



THE UNIVERSITY *of*  
NEW MEXICO

# Emittance Measurements

Accelerator Beam Diagnostics

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USPAS and University of New Mexico  
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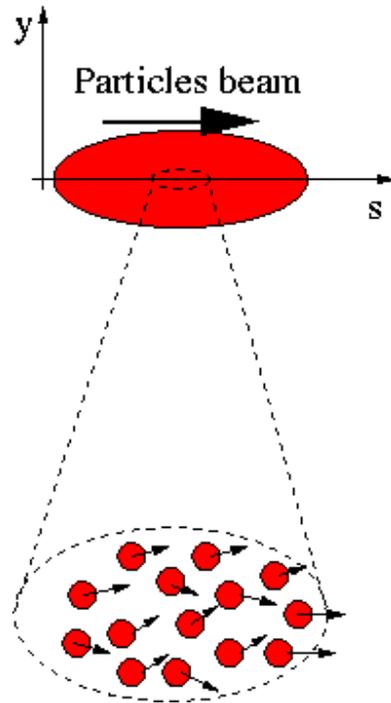
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- Introduction to phase space
- Emittance in a circular machine
- Phase space scans
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  - Pepperpot & screens
- 3 Profile measurement and Quadrupole scan
- Why measure Twiss parameters? Optical mismatch
- Longitudinal phase space scan
- Longitudinal phase space reconstruction with tomography



# What is “Emittance” ?



A beam is made of many, many particles, each one of these particles is moving with a given velocity. Most of the velocity vector of a single particle is parallel to the direction of the beam as a whole (s). There is however a smaller component of the particles velocity which is perpendicular to it (x or y).

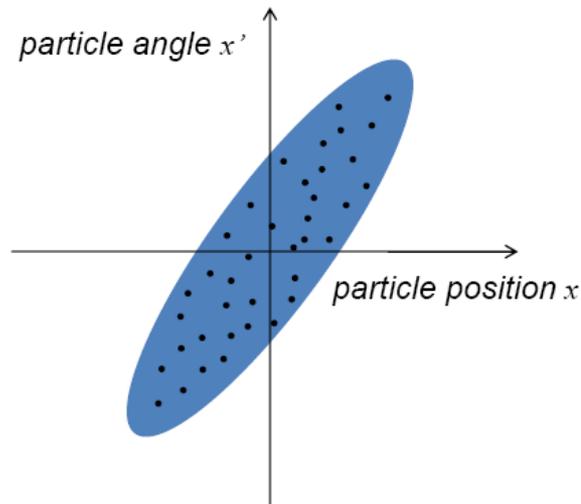
$$\vec{v}_{particle} = v_s \hat{u}_s + v_x \hat{u}_x + v_y \hat{u}_y$$

# Transverse Phase Space

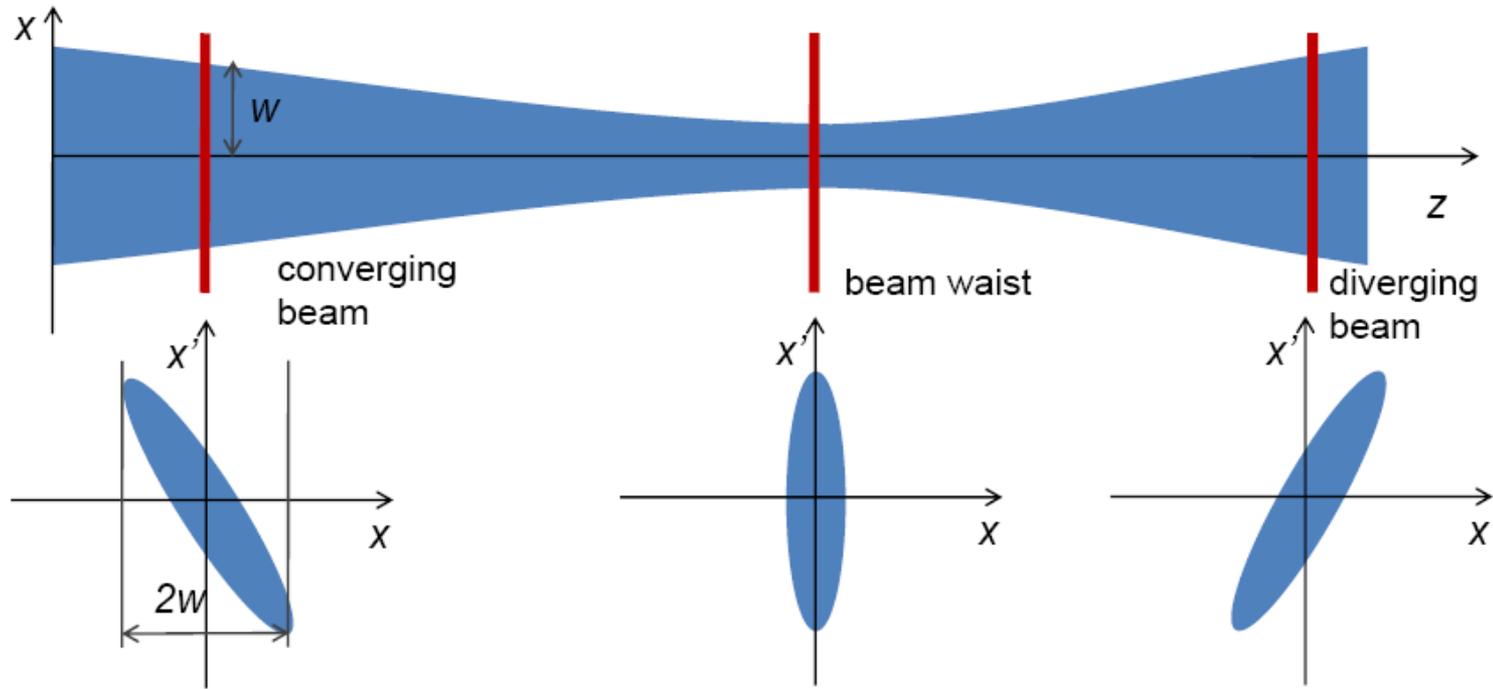
- The emittance describes the beam quality, assuming linear behavior due to second order differential equation.
- It is defined as the area in phase space including the particles (generally an ellipse).

$$\varepsilon = \frac{1}{\pi} \iint_A dx dx'$$

- The measurements are based on beam width and angular width measurements at a single location or multiple locations with additional optics calculations.



# Variation of the ellipse along the transport line



Along a beamline the orientation and aspect ratio of beam ellipse in  $x, x'$  plane varies, but area  $\pi\varepsilon$  remains constant

Beam width along  $z$  is described with  $w(z) = \sqrt{\beta(z) \varepsilon}$

# Ellipse Parameters

Beam ellipse and its orientation is defined

by the beam matrix  $\begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{pmatrix}$  for which the emittance is  $\varepsilon = \sqrt{\sigma_{11}\sigma_{22} - \sigma_{12}^2}$

which is related to the Twiss or Courant-Snyder parameters:

$$\sigma = \varepsilon \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$$

The equation of the beam ellipse is:

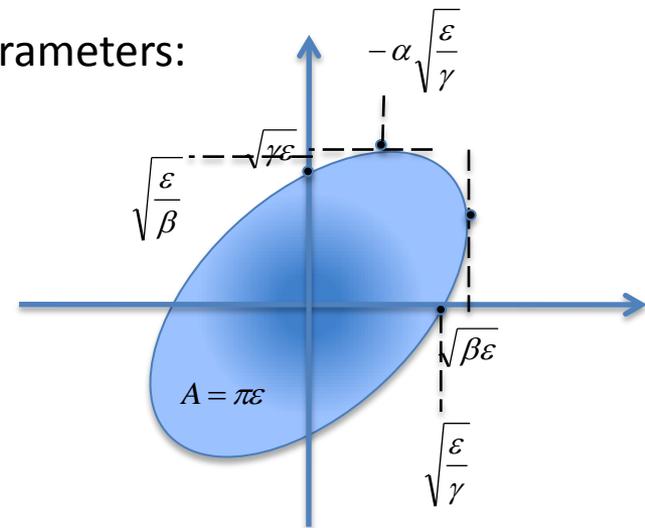
$$\varepsilon = \gamma x^2 + 2\alpha x x' + \beta x'^2 \quad \gamma = \frac{1 + \alpha^2}{\beta}$$

- $\sqrt{\beta\varepsilon}$  beam half width
- $\sqrt{\gamma\varepsilon}$  beam half divergence
- $\alpha$  correlation between  $x$  and  $x'$

$\alpha > 0$  : beam is converging

$\alpha < 0$  : beam is diverging

$\alpha = 0$  : beam has minimum or maximum

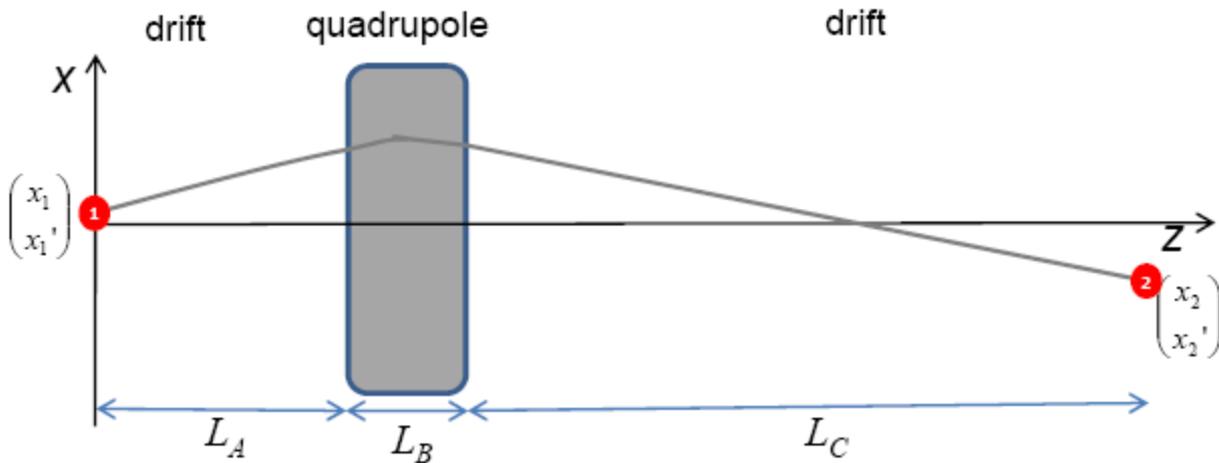


# 6-dimensional Phase Space

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- Transverse phase space:
  - $x, x'$  ( $x$ -position, angle in horizontal plane)
  - $y, y'$  ( $y$ -position, angle in vertical plane)
- Longitudinal phase space
  - $E, \Phi$  (Energy and phase or time of arrival)

# Transport of a single particle along a transfer line



$$\begin{pmatrix} x_2 \\ x_2' \end{pmatrix} = M \cdot \begin{pmatrix} x_1 \\ x_1' \end{pmatrix} = M_C \cdot M_B \cdot M_A \cdot \begin{pmatrix} x_1 \\ x_1' \end{pmatrix} = \begin{pmatrix} 1 & L_C \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos(\sqrt{k}L_B) & 1/\sqrt{k} \sin(\sqrt{k}L_B) \\ -\sqrt{k} \sin(\sqrt{k}L_B) & \cos(\sqrt{k}L_B) \end{pmatrix} \cdot \begin{pmatrix} 1 & L_A \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ x_1' \end{pmatrix}$$

$$M_{Drift} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \quad M_{Quadrupole} = \begin{pmatrix} \cos(\sqrt{k}L) & 1/\sqrt{k} \sin(\sqrt{k}L) \\ -\sqrt{k} \sin(\sqrt{k}L) & \cos(\sqrt{k}L) \end{pmatrix}$$

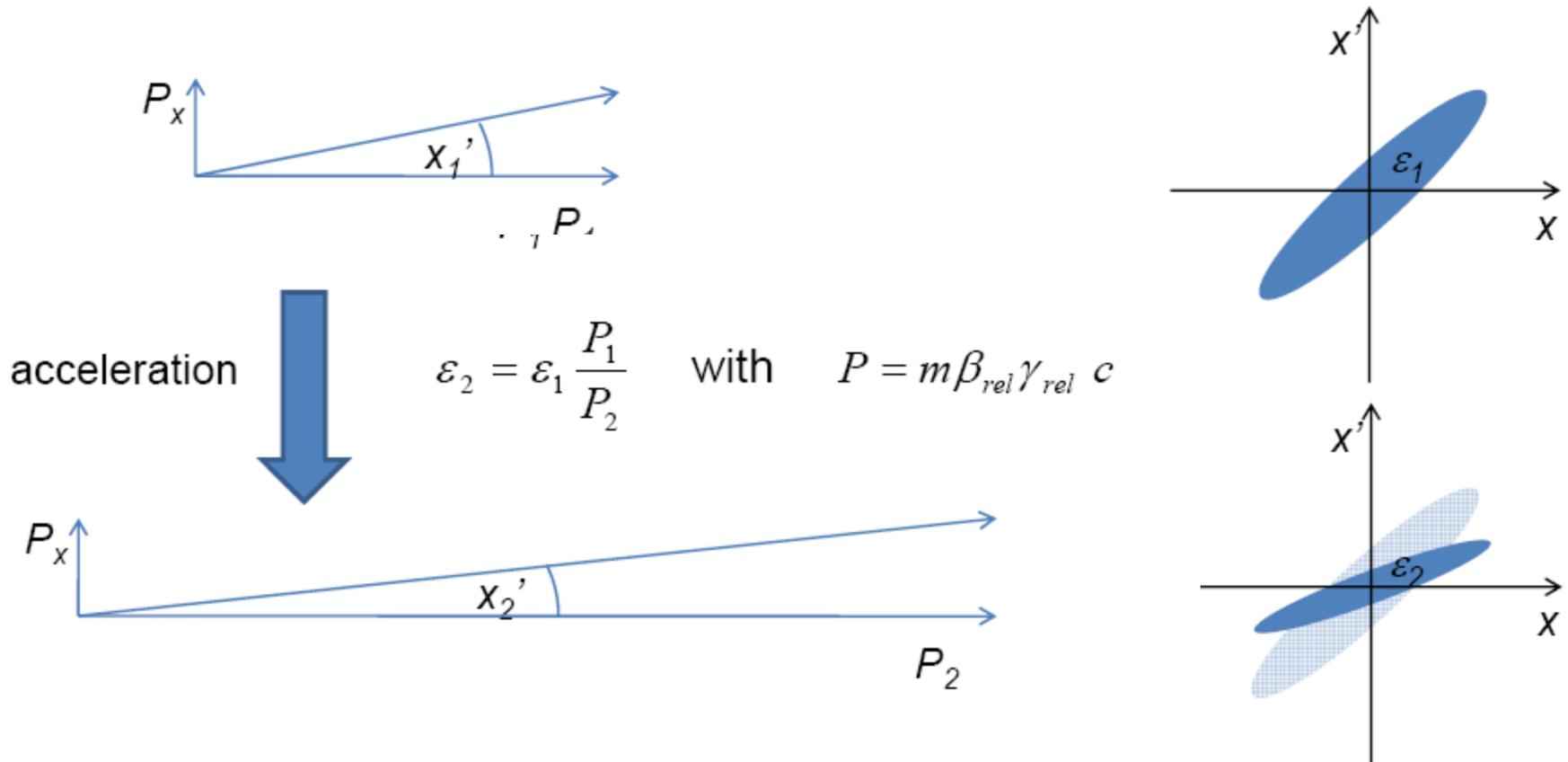
Thin lens approximation:

$$M_{quadrupole} = \begin{pmatrix} 1 & 0 \\ K & 1 \end{pmatrix}$$

generic names of matrix elements

$$M = \begin{pmatrix} c & s \\ c' & s' \end{pmatrix}$$

# Adiabatic damping



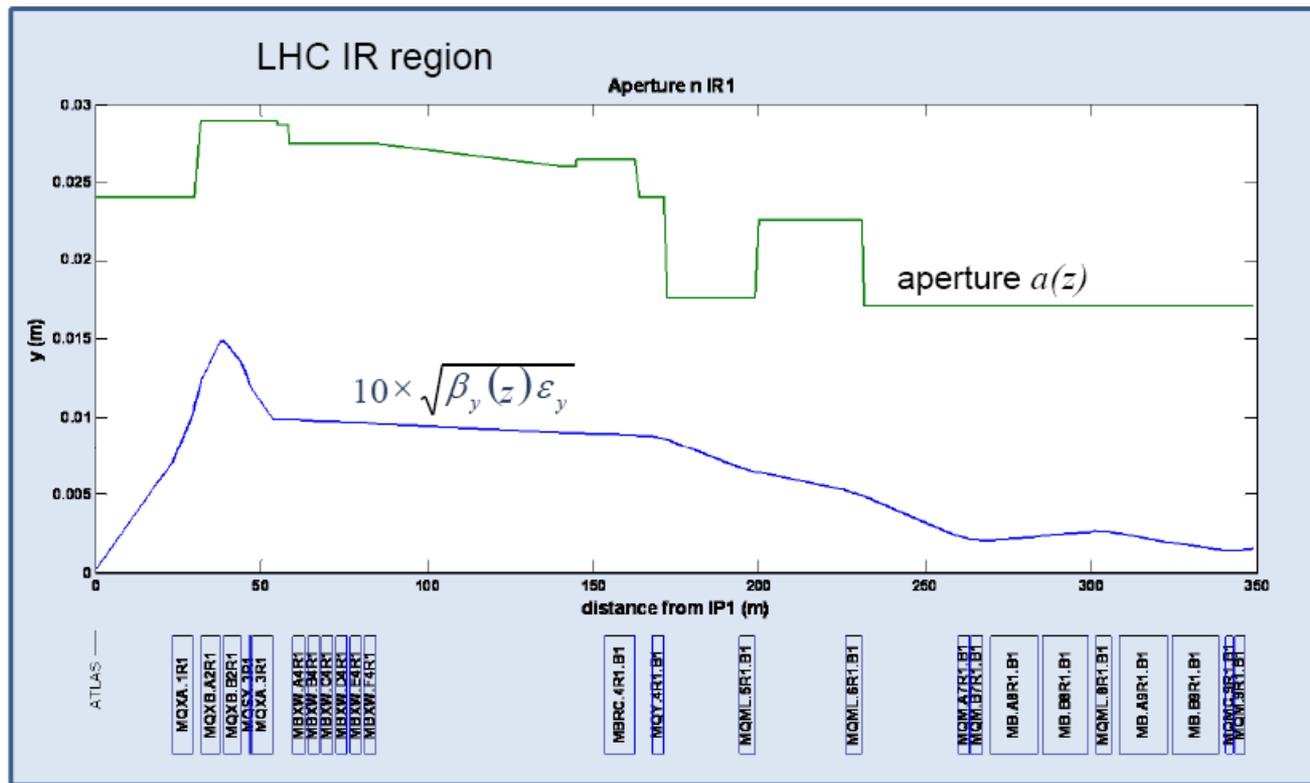
normalised emittance  $\varepsilon_N = \beta_{rel}\gamma_{rel} \varepsilon$  preserved with acceleration !

To distinguish from normalised emittance  $\varepsilon_N$ ,  $\varepsilon$  is quoted as “geometric emittance” !

# Why measure Emittance?

The emittance tells if a beam fits in the vacuum chamber or not

$$w(z) = \sqrt{\beta(z)} \varepsilon < a(z)$$



# Why measure Emittance?

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*Emittance is one of key parameters for overall performance of an accelerator*

- *Luminosity of colliders for particle physics*
- *Brightness of synchrotron radiation sources*
- *Wavelength range of free electron lasers*
- *Resolution of fixed target experiments*

*Therefore emittance measurement is essential to guide tune-up of accelerator !*

# How to measure Emittance?

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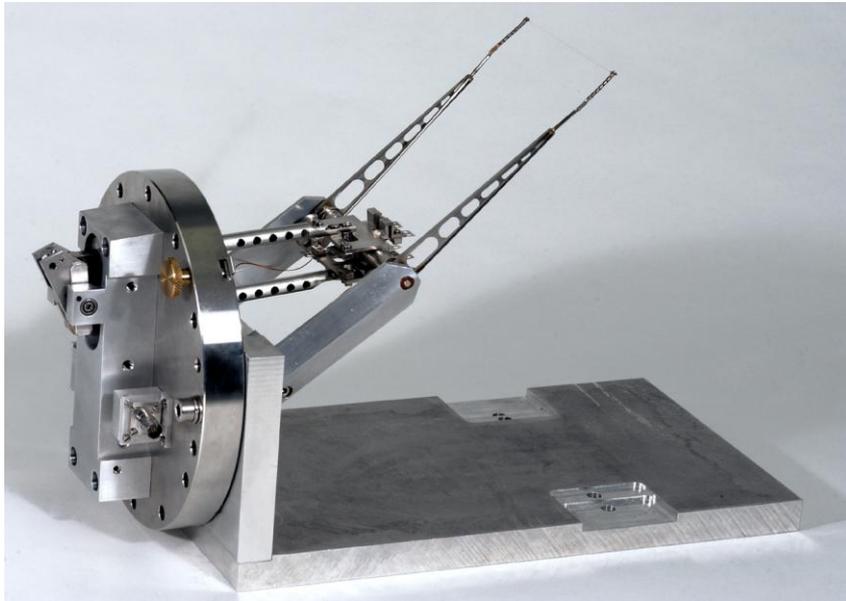
In a Synchrotron the lattice functions are fixed, beam width and emittance are related:

$$\varepsilon_x = \frac{1}{\beta_x(s)} \left[ \sigma_x^2 - \left( D(s) \frac{\Delta p}{p} \right)^2 \right] \quad \text{and} \quad \varepsilon_y = \frac{\sigma_y^2}{\beta_y(s)}$$

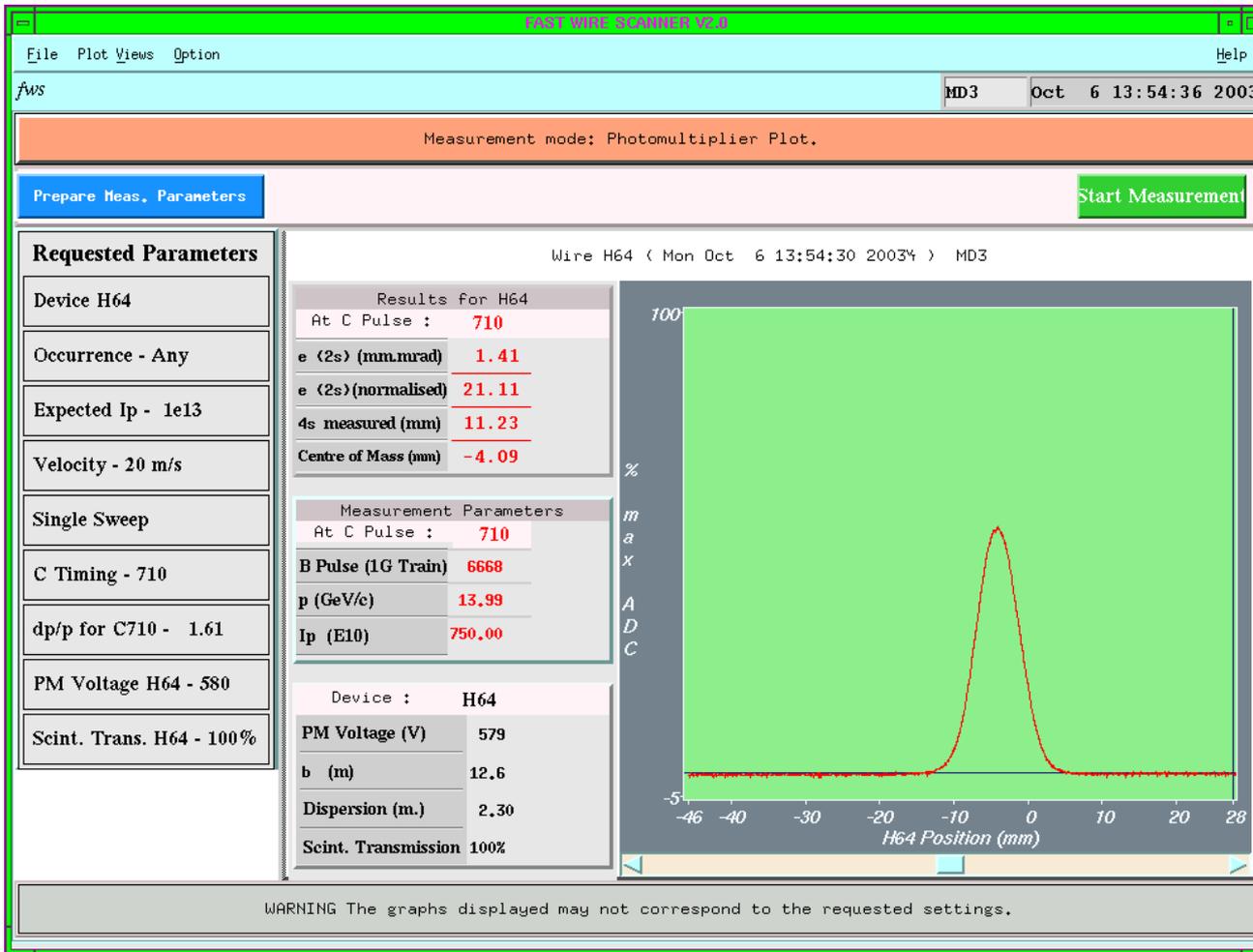
The  $\beta$  function and the Dispersion function  $D$  are known or measured with other means

# Wire Scanners

A thin wire is quickly moved across the beam  
Secondary particle shower is detected outside the vacuum chamber  
on a scintillator/photo-multiplier assembly  
Position and photo-multiplier signal are recorded simultaneously



# Wire scanner profile



High speed needed because of heating.

Adiabatic damping

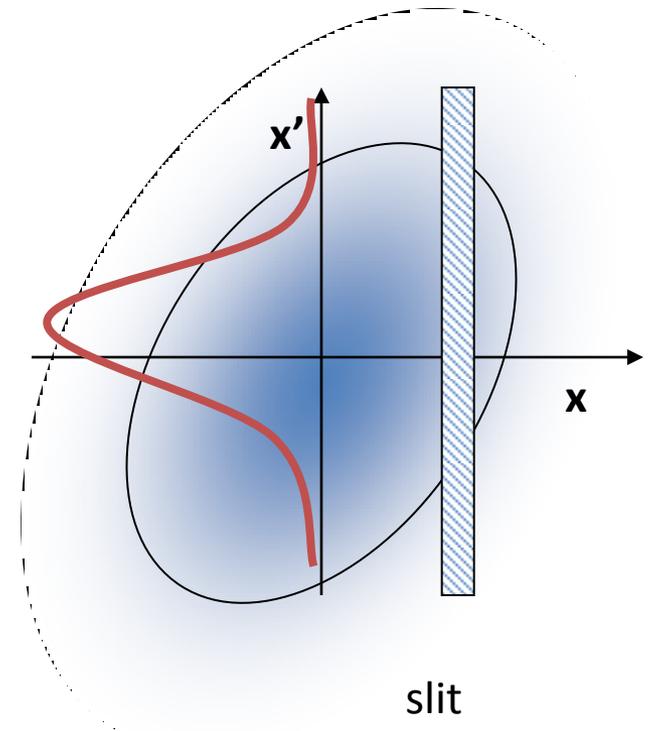
Current increases due to speed increase

Speeds of up to 20m/s  
⇒200g acceleration

Measure secondary particles outside the vacuum chamber or secondary emission

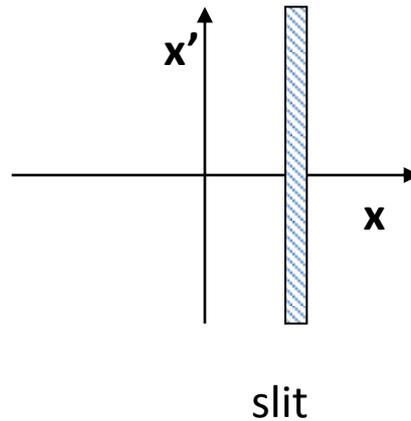
# The Slit and Grid method

- If we place a slit into the beam we cut out a small vertical slice of phase space
- Converting the angles into position through a drift space allows to reconstruct the angular distribution at the position defined by the slit

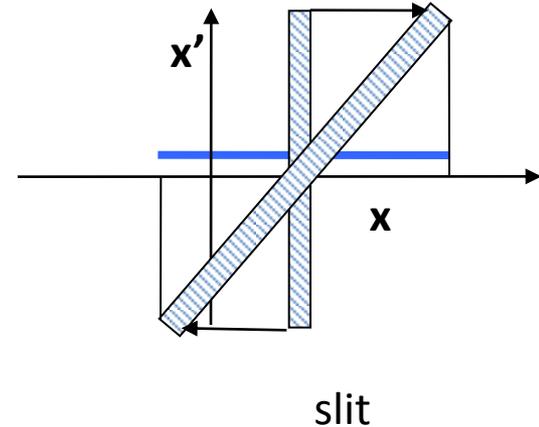


# Transforming angular distribution to profile

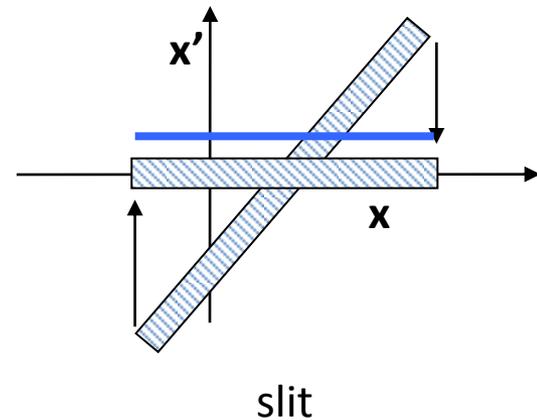
- When moving through a **drift space** the angles don't change (**horizontal move** in phase space)
- When moving through a **quadrupole** the position does not change but the angle does (**vertical move** in phase space)



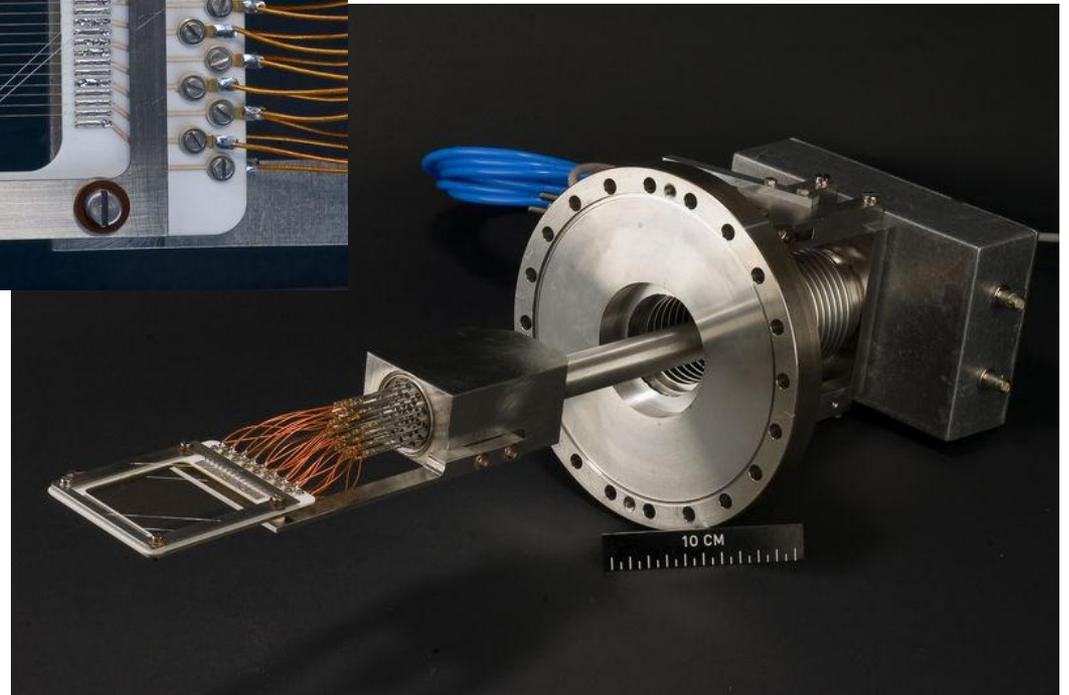
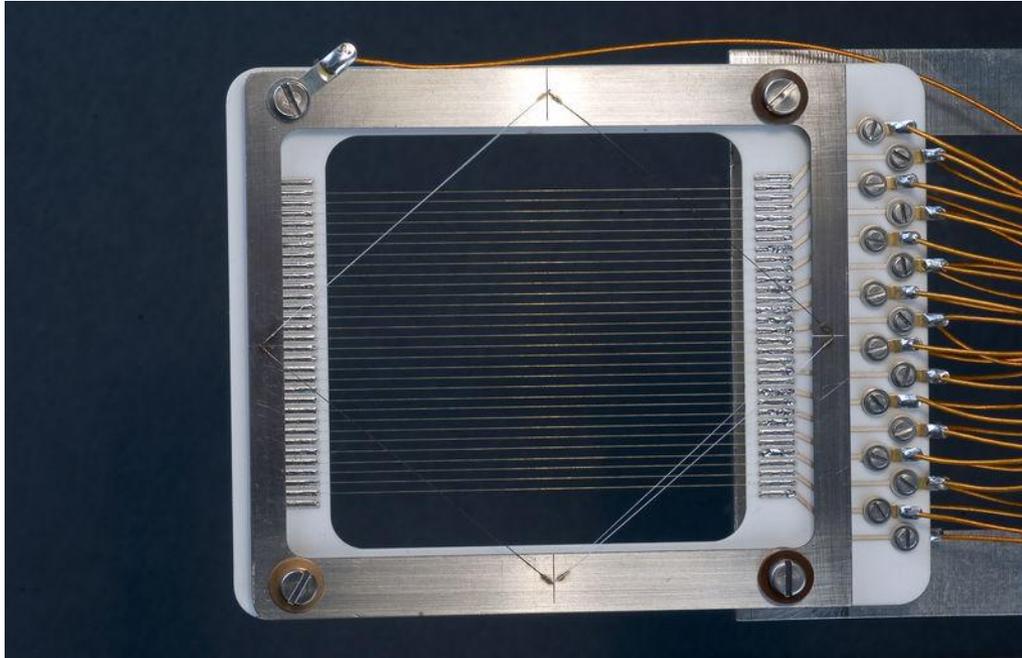
Influence of a drift space



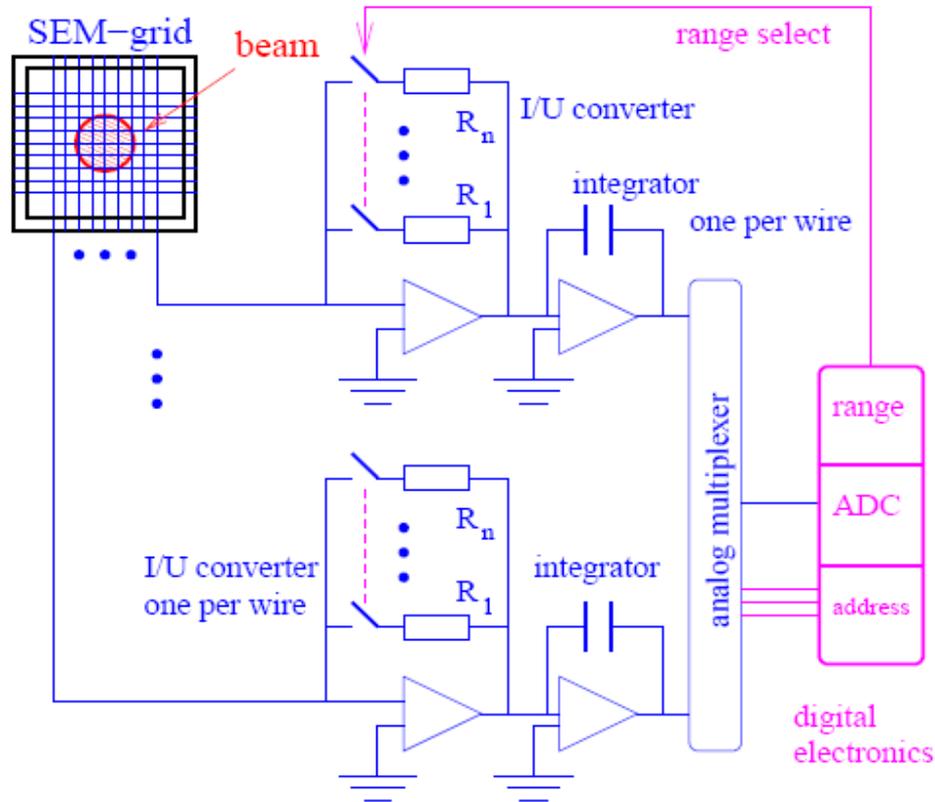
Influence of a quadrupole



# Secondary Emission Grids

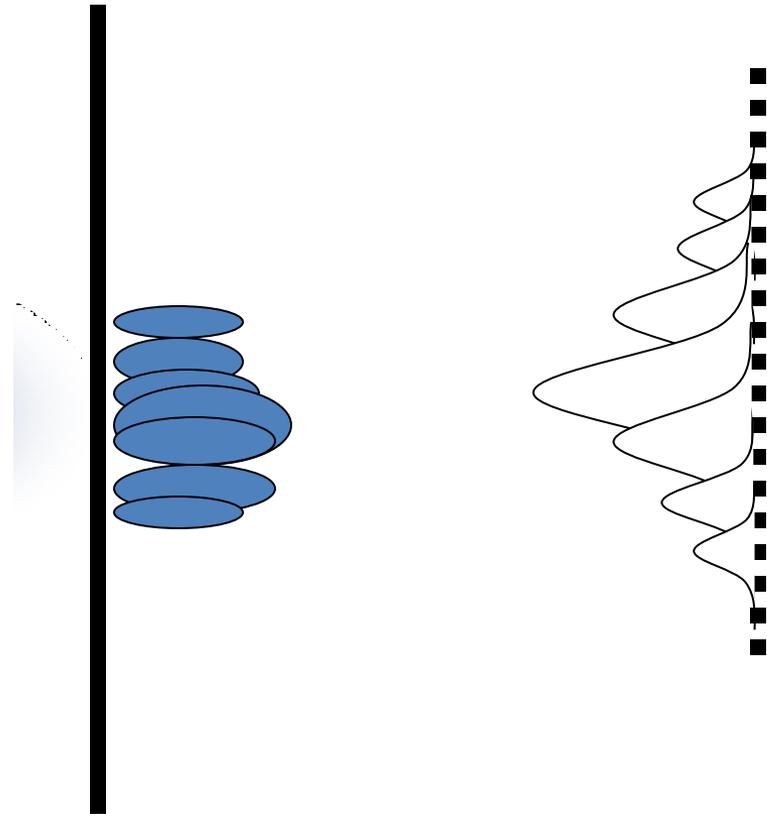
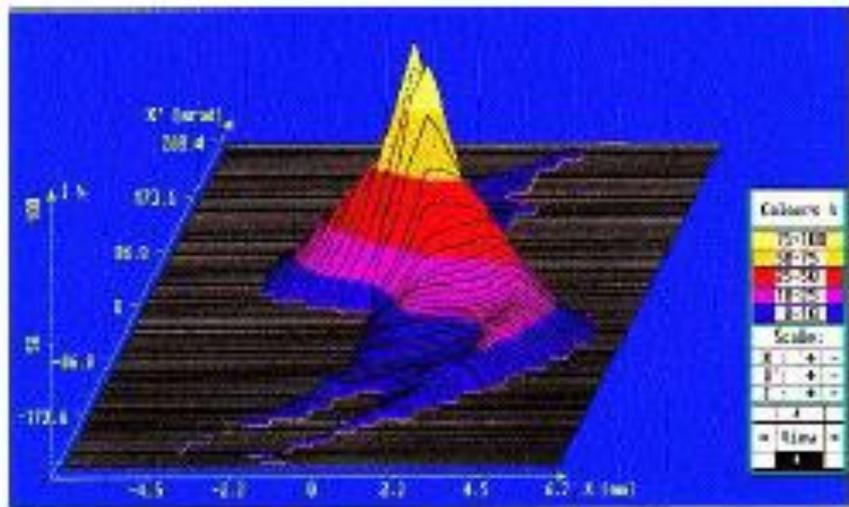


# SEMGrid electronics



# The Slit and Grid Method

3-dim plot:

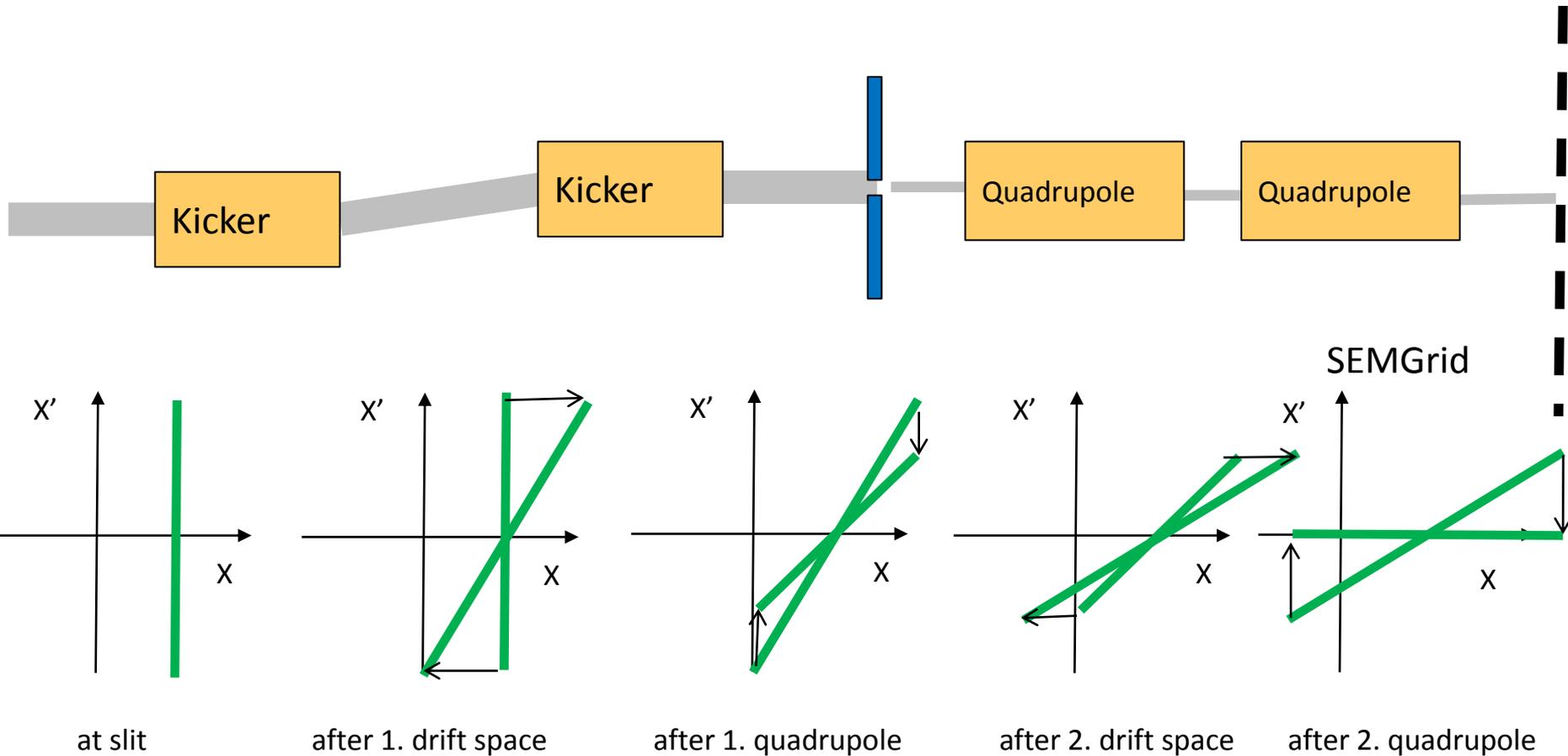


## Moving slit emittance measurement

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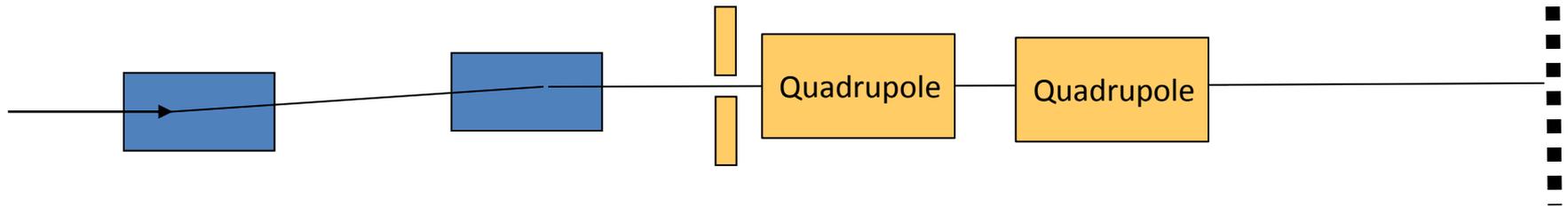
- Position resolution given by slit size and displacement
- Angle resolution depends on resolution of profile measurement device and drift distance
- High position resolution → many slit positions → slow
- Shot to shot differences result in measurement errors

# Transverse emittance line

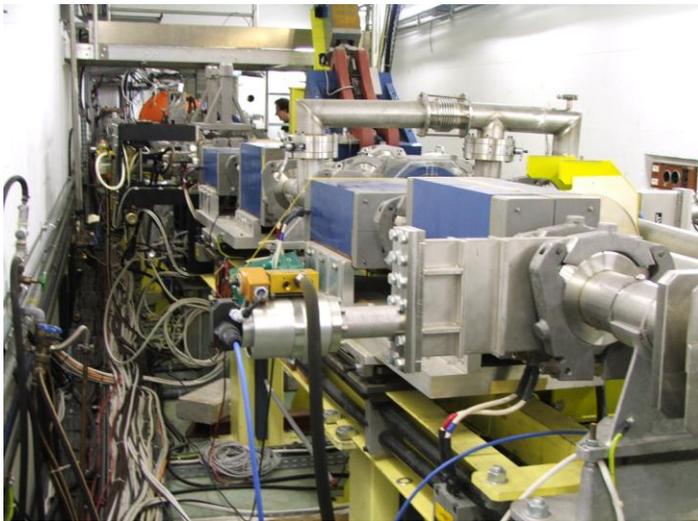


# Single pulse emittance measurement

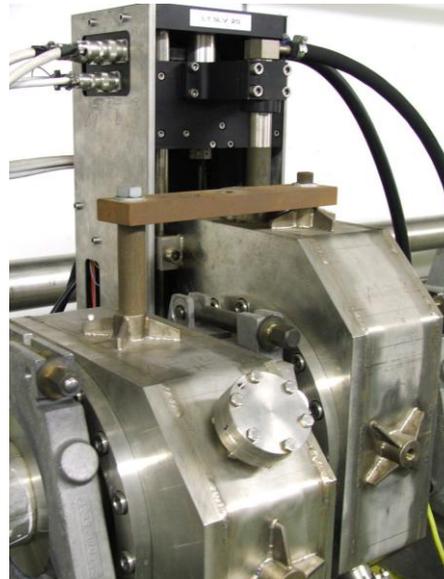
Every 100 ns  
a new profile



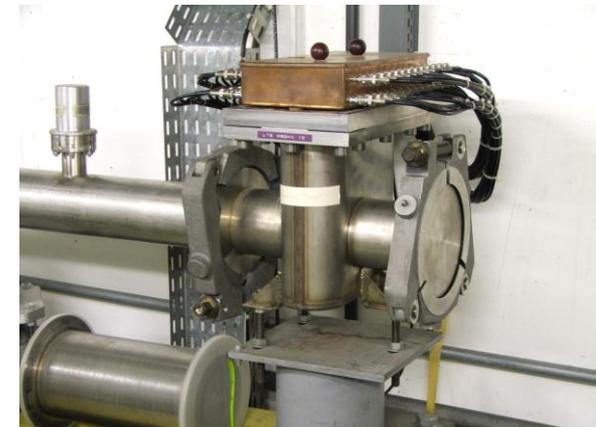
Kickers



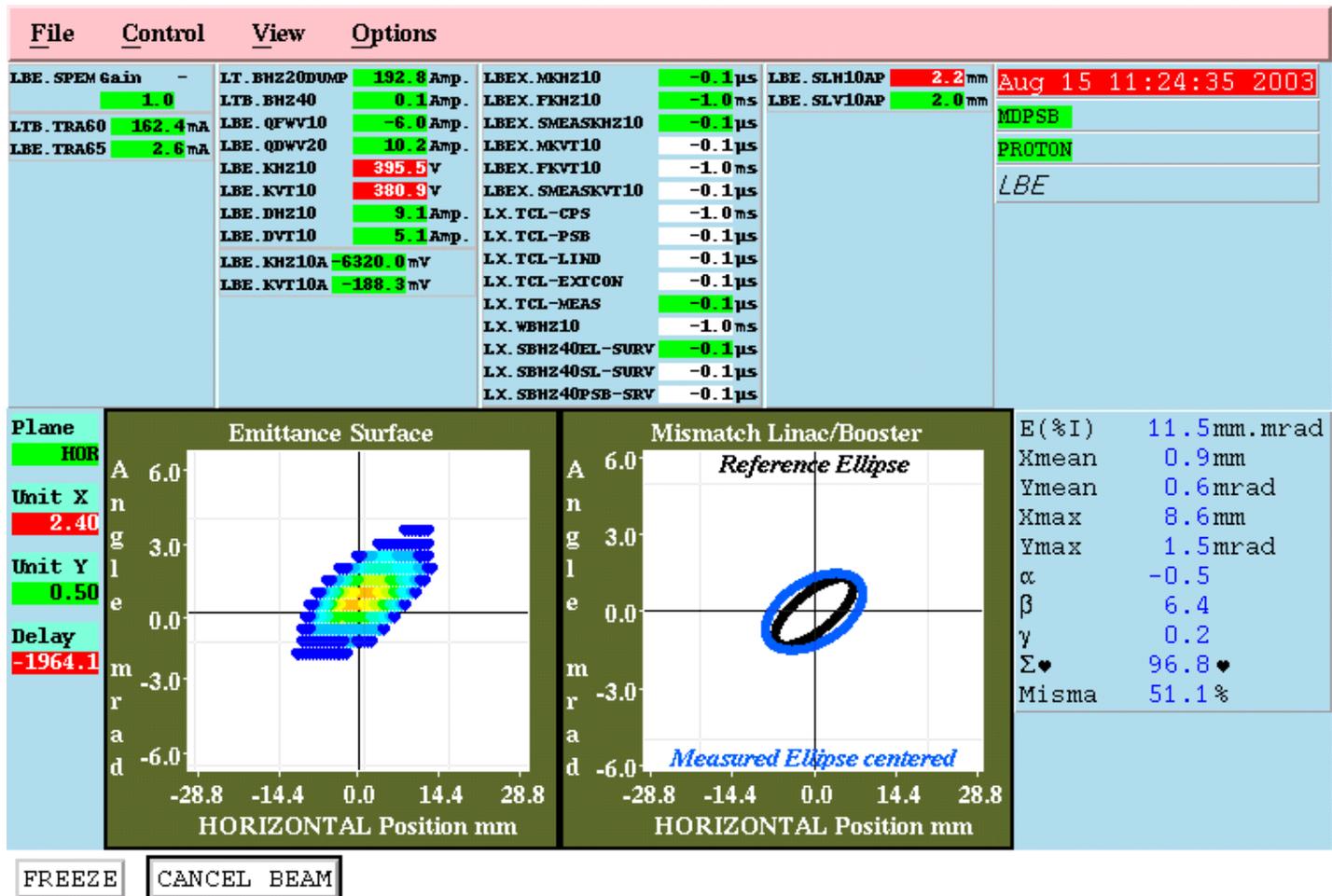
slit



SEMgrid



# Result of single pulse emittance measurement



Waiting for new acquisition...

# Single Shot Emittance Measurement

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## ● Advantage:

- ◆ Full scan takes 20  $\mu\text{s}$
- ◆ Shot by shot comparison possible

## ● Disadvantage:

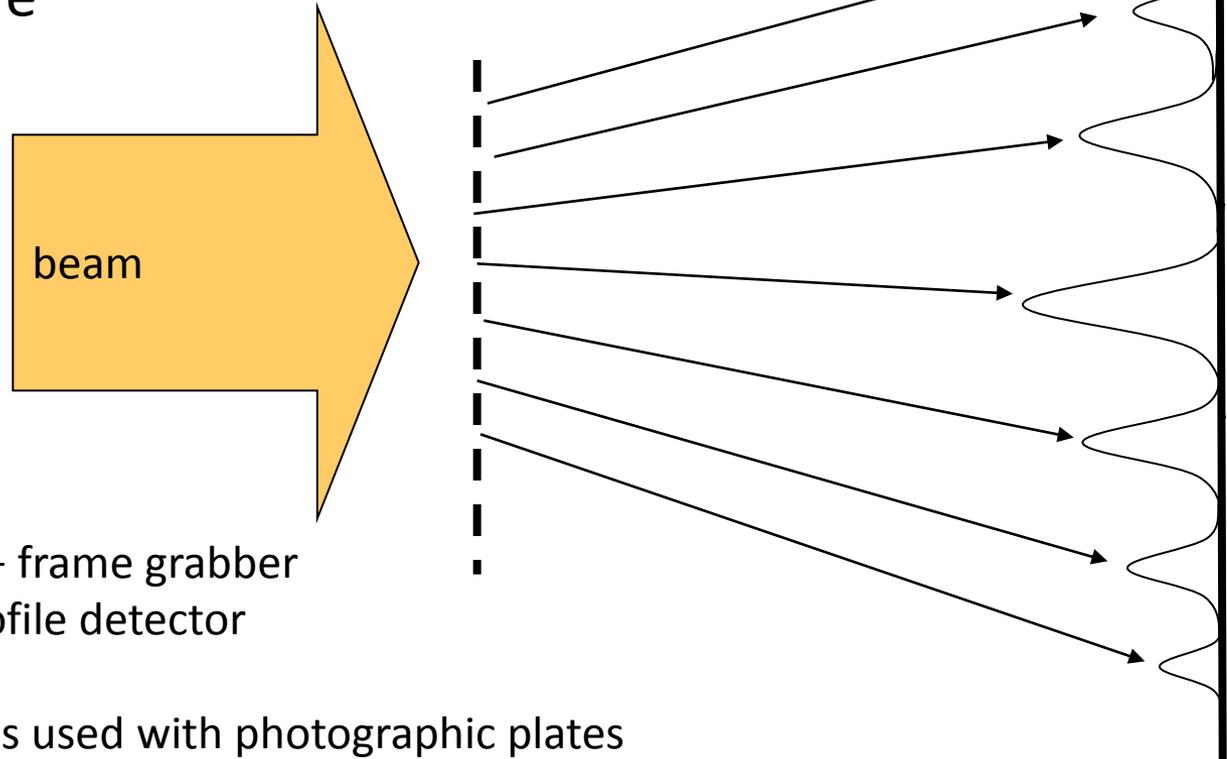
- ◆ Very costly
- ◆ Needs dedicated measurement line
- ◆ Needs a fast sampling ADC + memory for each wire

## ● Cheaper alternative:

- ◆ Multi-slit measurement

# Multi-slit measurement

- Needs high resolution profile detector
- Must make sure that profiles don't overlap



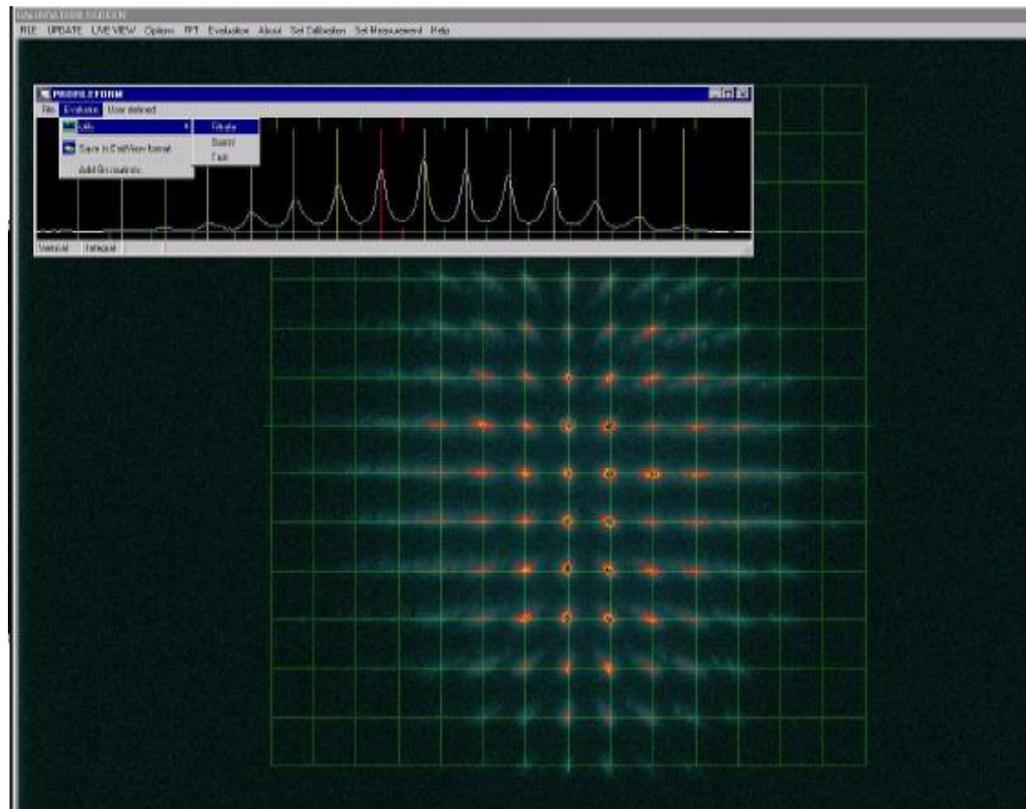
Scintillator + TV + frame grabber  
often used as profile detector

Very old idea, was used with photographic plates

# Pepperpot

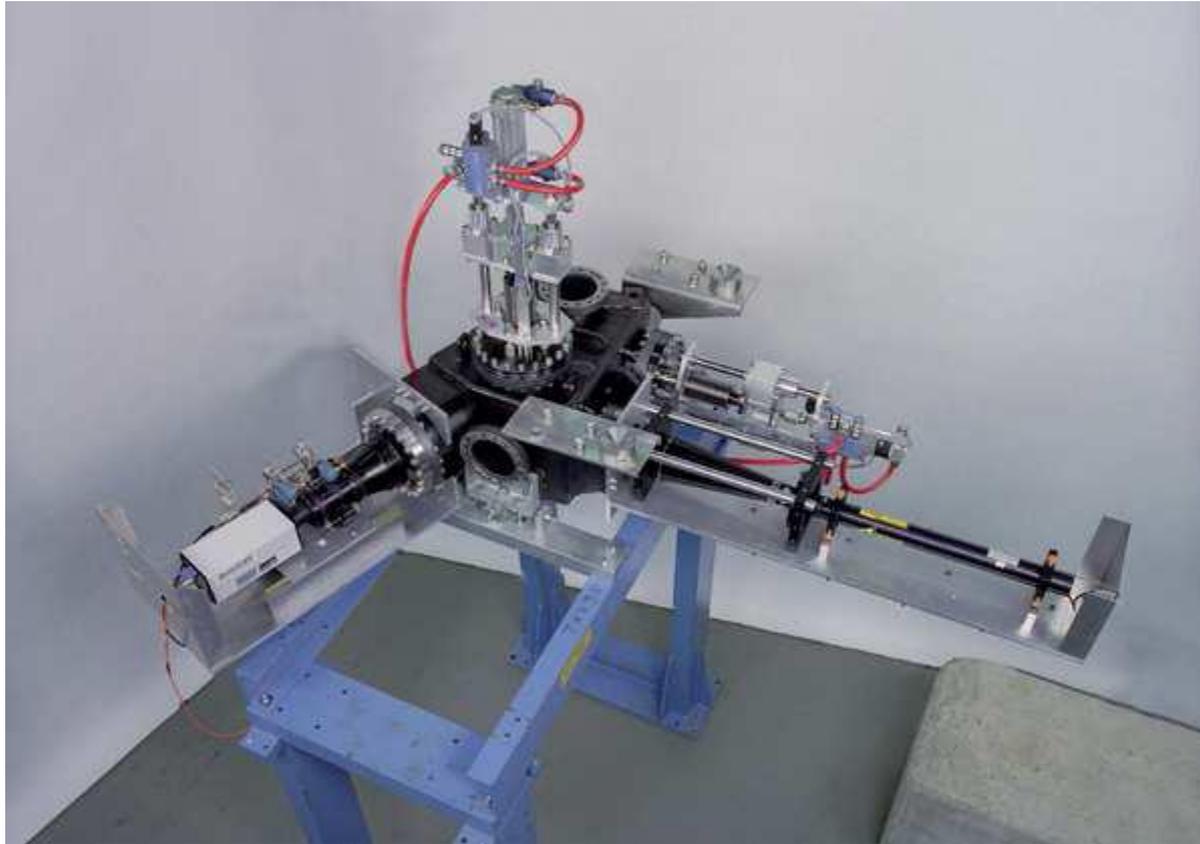
Uses small holes instead of slits

Measures horizontal and vertical emittance in a single shot



# Photo of a Pepperpot Device

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# Scintillating Screens

Method already applied in cosmic ray experiments

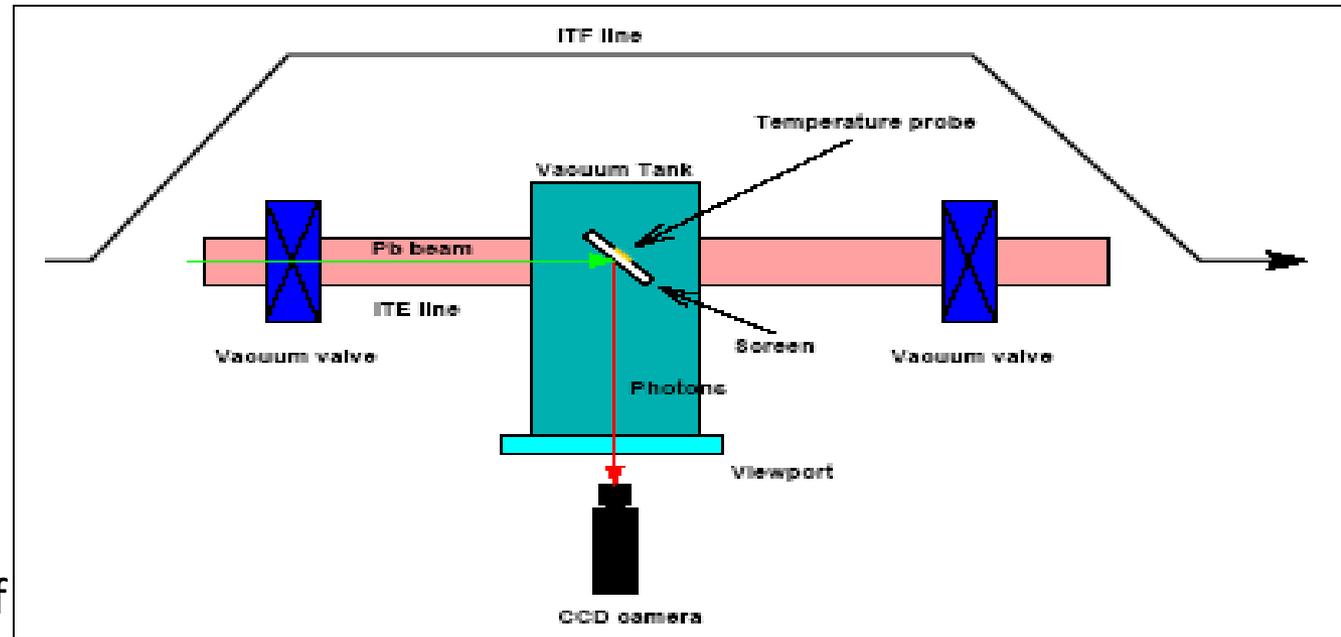
- Very simple
- Very convincing

Needed:

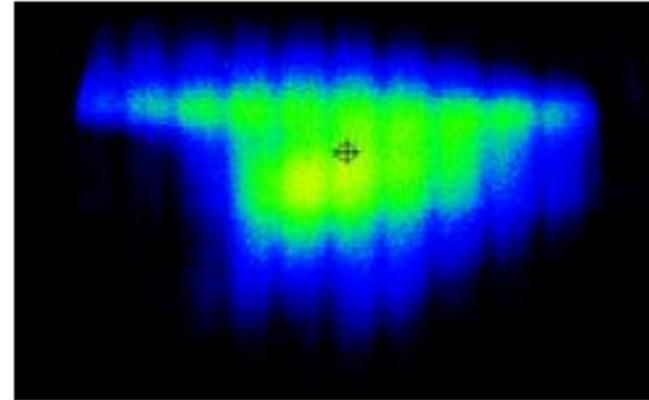
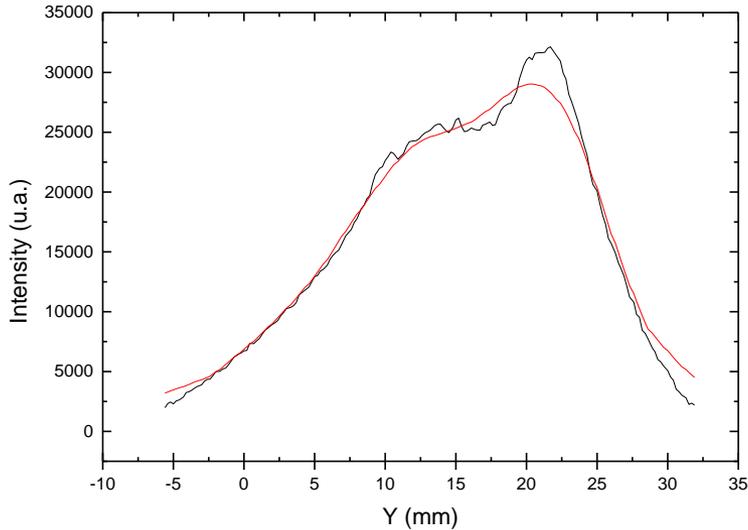
- Scintillating Material
- TV camera
- In/out mechanism

Problems:

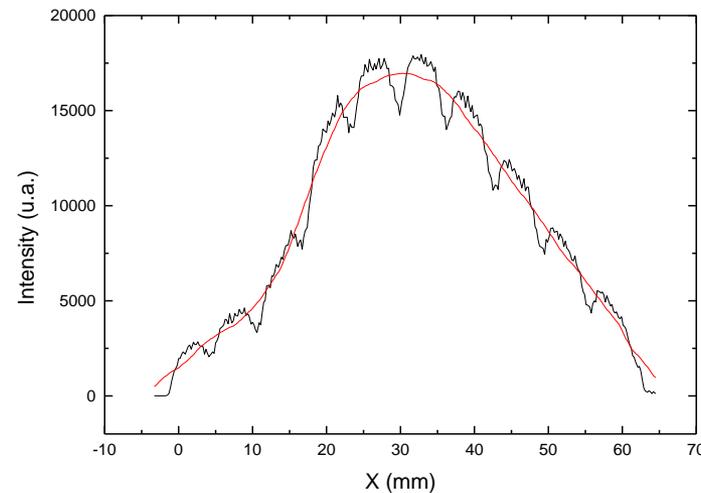
- Radiation resistance of TV camera
- Heating of screen (absorption of beam energy)
- Evacuation of electric charges



# Frame grabber



- For further evaluation the video signal is digitized, read-out and treated by program
- In new cameras digitization is done within the camera and digital image information is accessed via Ethernet or USB

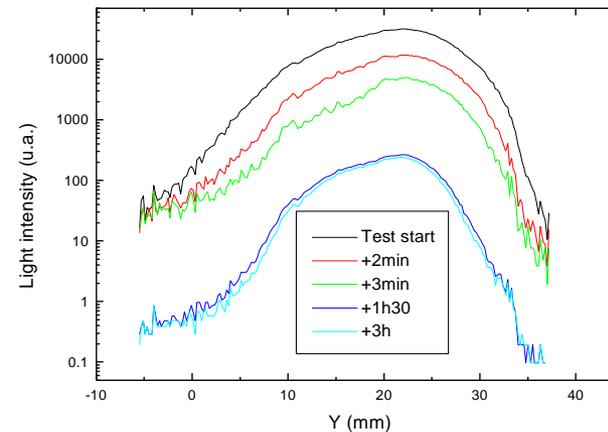
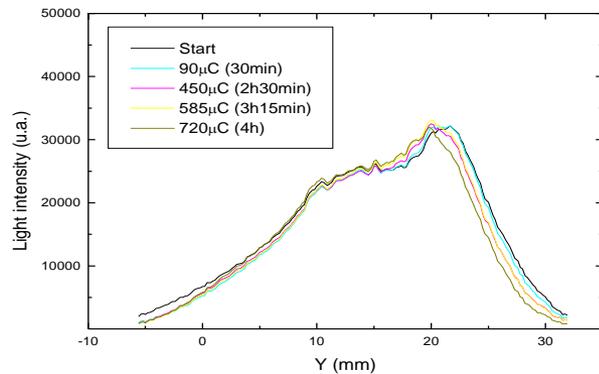


# Test for resistance against heat-shock

Material	$\rho$ g/cm <sup>3</sup>	$c_p$ at 20°C J/gK	$k$ at 100°C W/mK	$T_{max}$ °C	$R$ at 400°C Ω.cm
Al <sub>2</sub> O <sub>3</sub>	3.9	0.9	30	1600	10 <sup>12</sup>
ZrO <sub>2</sub>	6	0.4	2	1200	10 <sup>3</sup>
BN	2	1.6	35	2400	10 <sup>14</sup>

Better for electrical conductivity (>400°C)

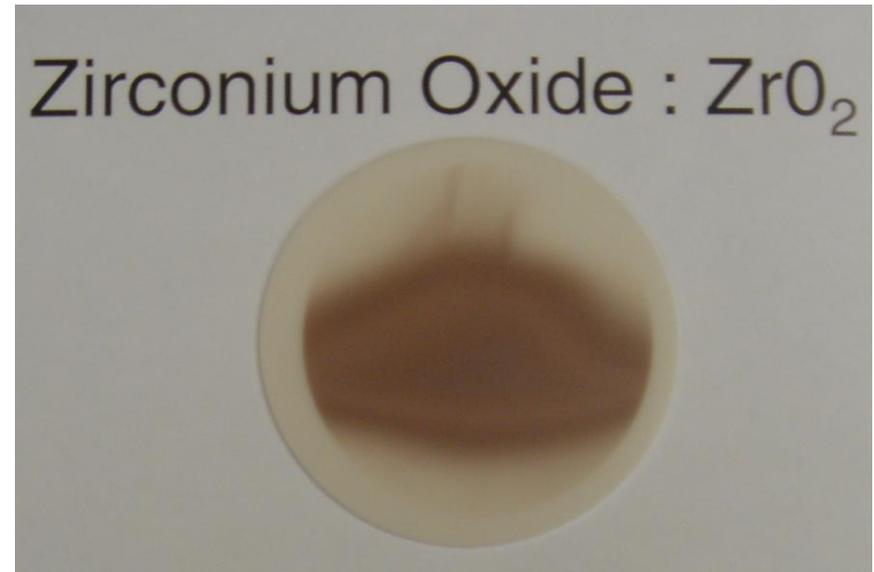
Better for thermal properties  
(higher conductivity, higher heat capacity)



# Degradation of screen

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Degradation clearly visible  
However sensitivity stays essentially  
the same



# Properties of scintillating material

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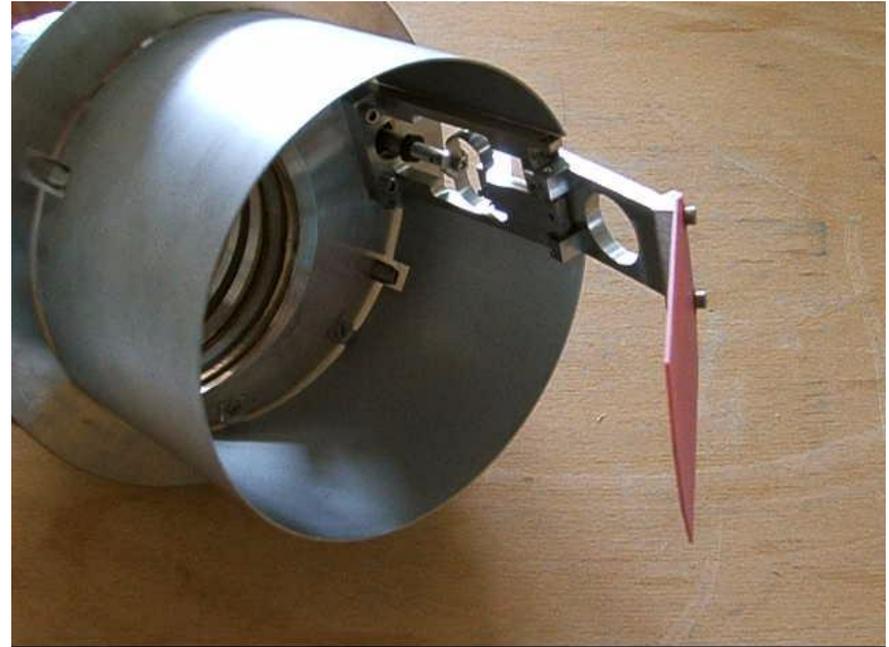
Abbreviation	Material	Activator	max. emission	decay time
Quartz	SiO <sub>2</sub>	none	optical	< 10 ns
	CsI	Tl	550 nm	1 $\mu$ s
	ZnS	Ag	450 nm	0.2 $\mu$ s
Chromolux	Al <sub>2</sub> O <sub>3</sub>	Cr	700 nm	100 ms
	Li glass	Ce	400 nm	0.1 $\mu$ s
P43	Gd <sub>2</sub> O <sub>2</sub> S	Tb	545 nm	1 ms
P46	Y <sub>3</sub> Al <sub>5</sub> O <sub>12</sub>	Ce	530 nm	0.3 $\mu$ s
P47	Y <sub>2</sub> Si <sub>5</sub> O <sub>8</sub>	Ce	400 nm	50 ns



# Screen mechanism

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- Screen with graticule

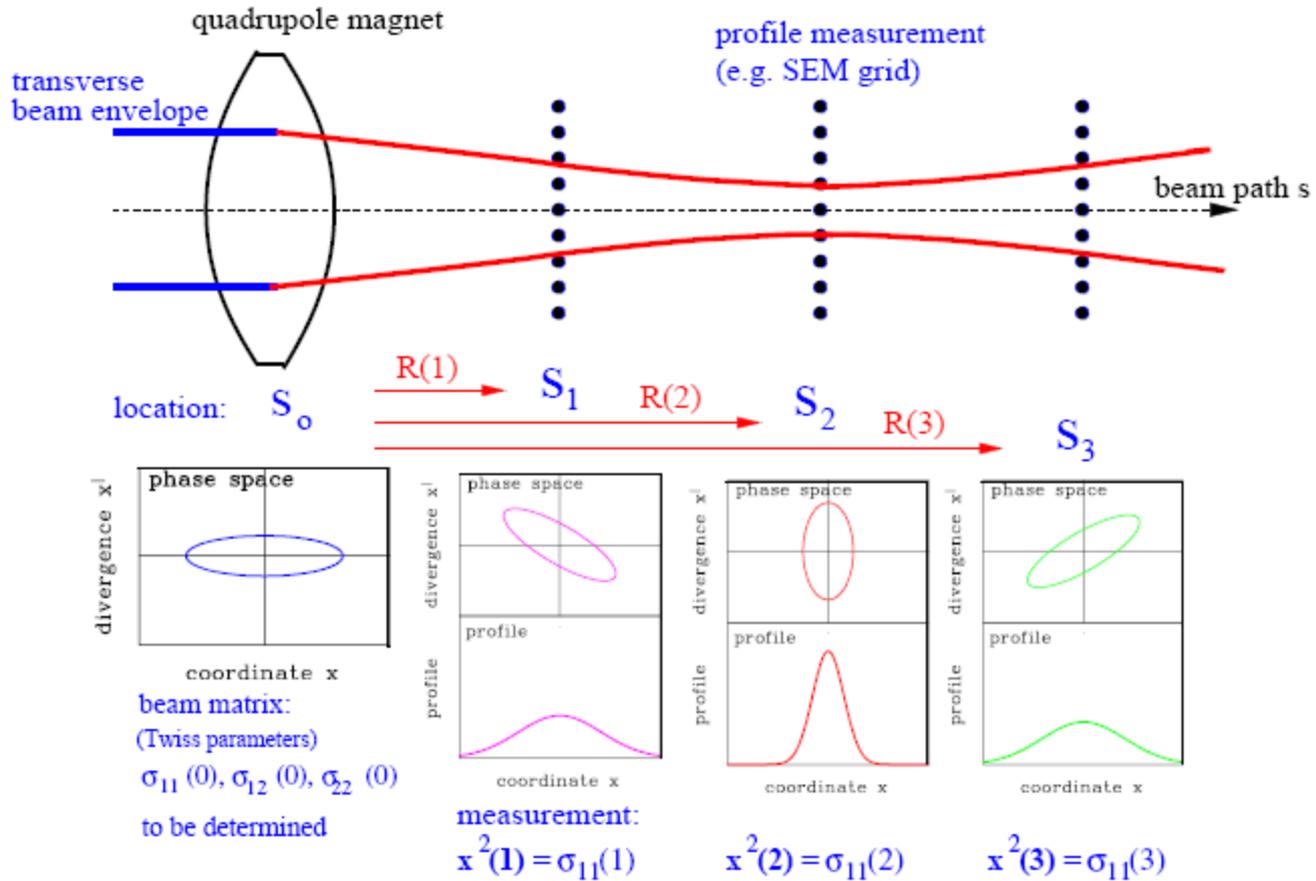


# 3 Profile Measurement and Quadrupole Scan

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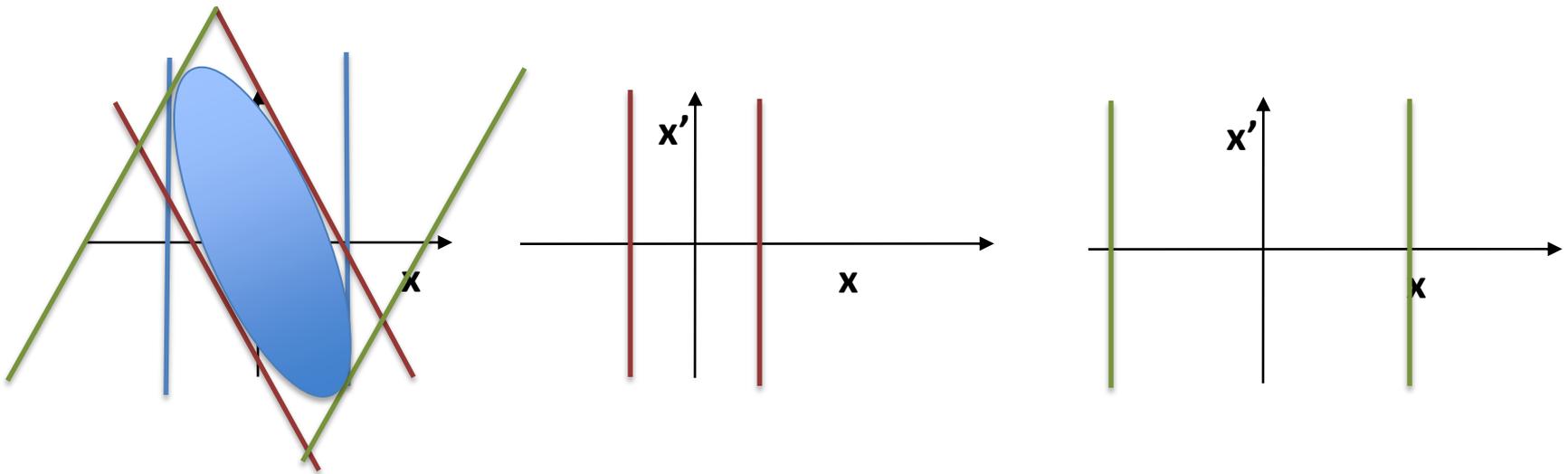
- To determine  $\varepsilon$ ,  $\beta$ ,  $\alpha$  at a reference point in a beamline one needs at least three  $w$  measurements with different transfer matrices between the reference point and the  $w$  measurements location.
- Different transfer matrices can be achieved with different profile monitor locations, different focusing magnet settings or combinations of both.
- Once  $\beta$ ,  $\alpha$  at one reference point is determined the values of  $\beta$ ,  $\alpha$  at every point in the beamline can be calculated.
- Three  $w$  measurements are in principle enough to determine  $\varepsilon$ ,  $\beta$ ,  $\alpha$
- In practice better results are obtained with more measurements.
- However, with more than three measurements the problem is over-determined.
- $\chi^2$  formalism gives the best estimate of  $\varepsilon$ ,  $\beta$ ,  $\alpha$  for a set of  $n$  measurements  $w_i$   $i=1-n$  with transfer matrix elements  $c_i$ ,  $s_i$ .

# 3-Profile Measurement

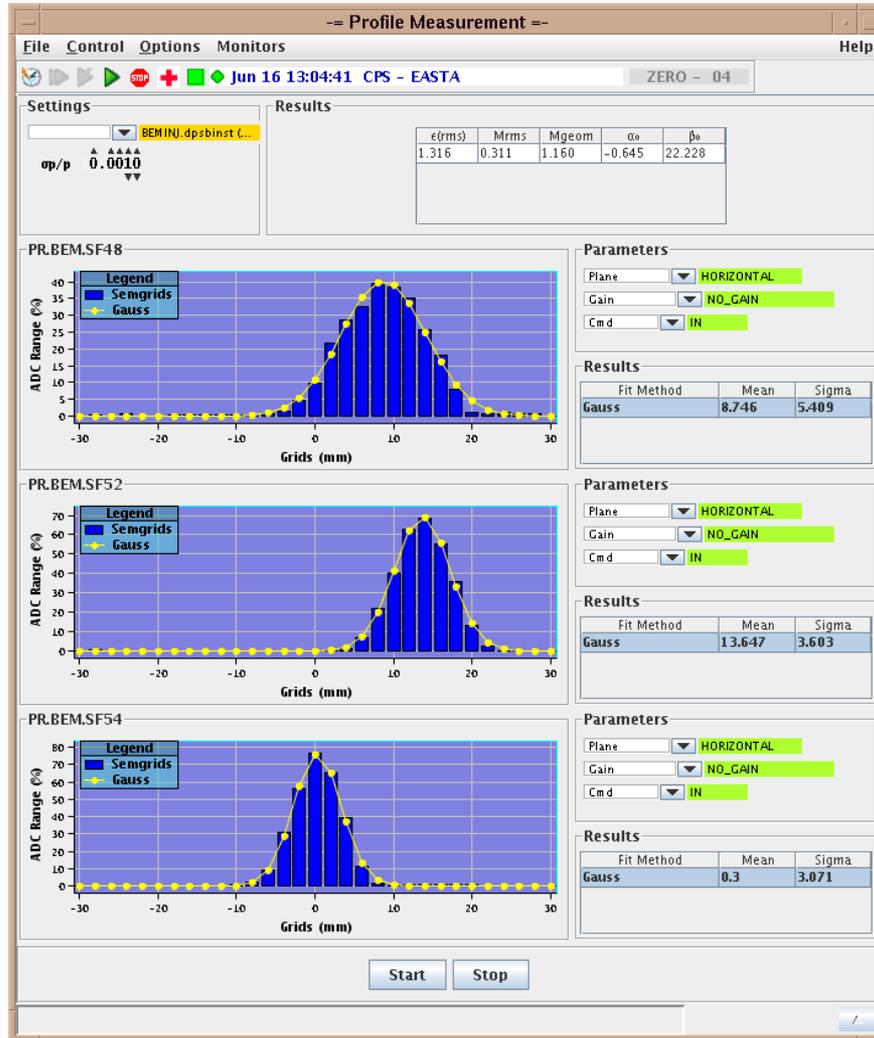


# 3-Profile Measurement

- Measure 3 profiles at 3 positions around a waist
- Spot width corresponds to vertical lines
- Transform back to the first Profile
- Lines become tangents to the beam ellipse



# An example from CERN PS

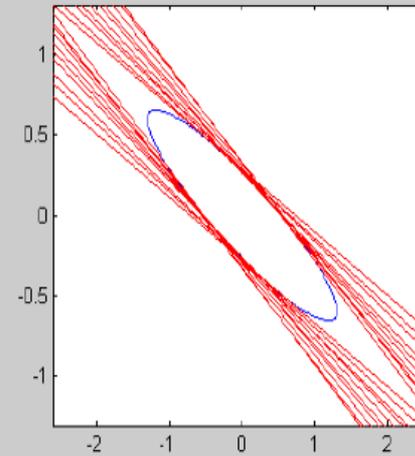
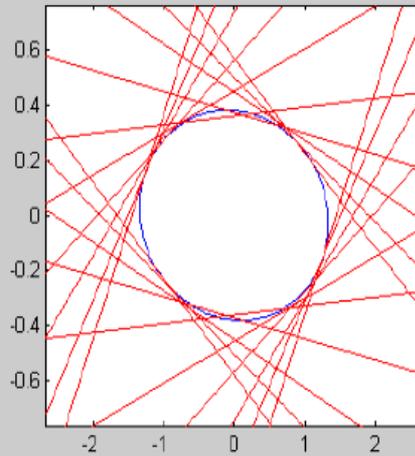


# Quadrupole Scan

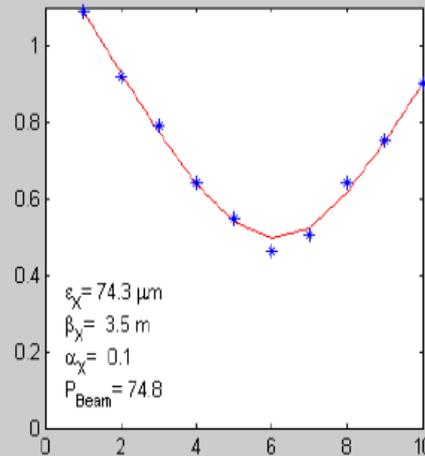
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- Works the same way as the 3-Profile measurement
- The profile is taken at a fixed position (needs a single profile measurement system)
- Vary a quadrupole and measure the profile width for each quadrupole setting

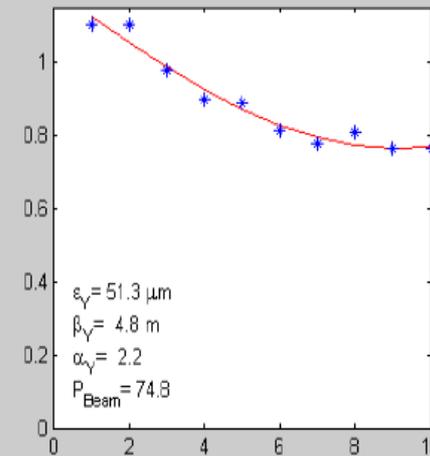
# Quadrupole Scan at CTF-3



14-May-2008, 11:36:53



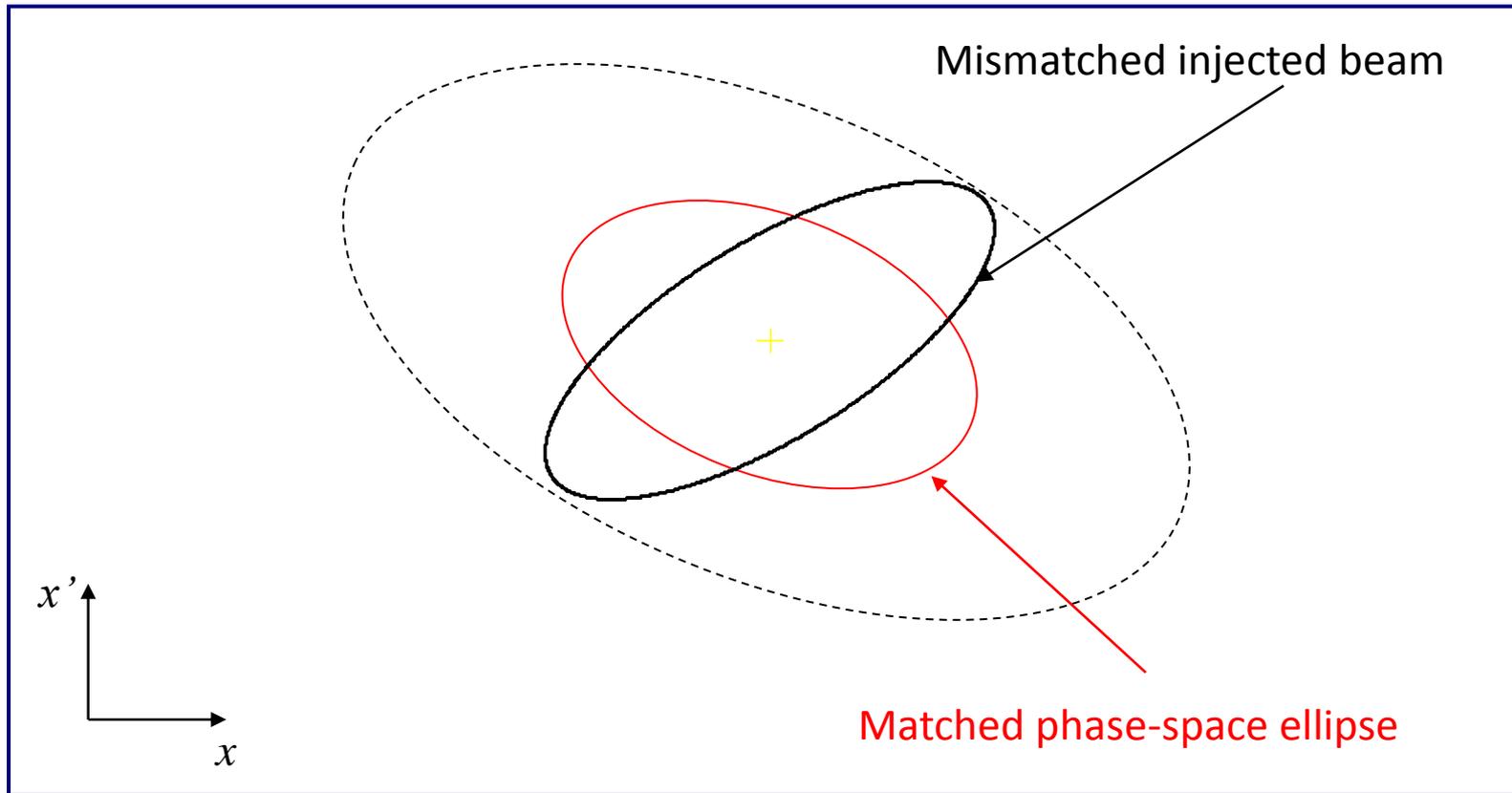
14-May-2008, 11:36:53



# Optical Mismatch at Injection

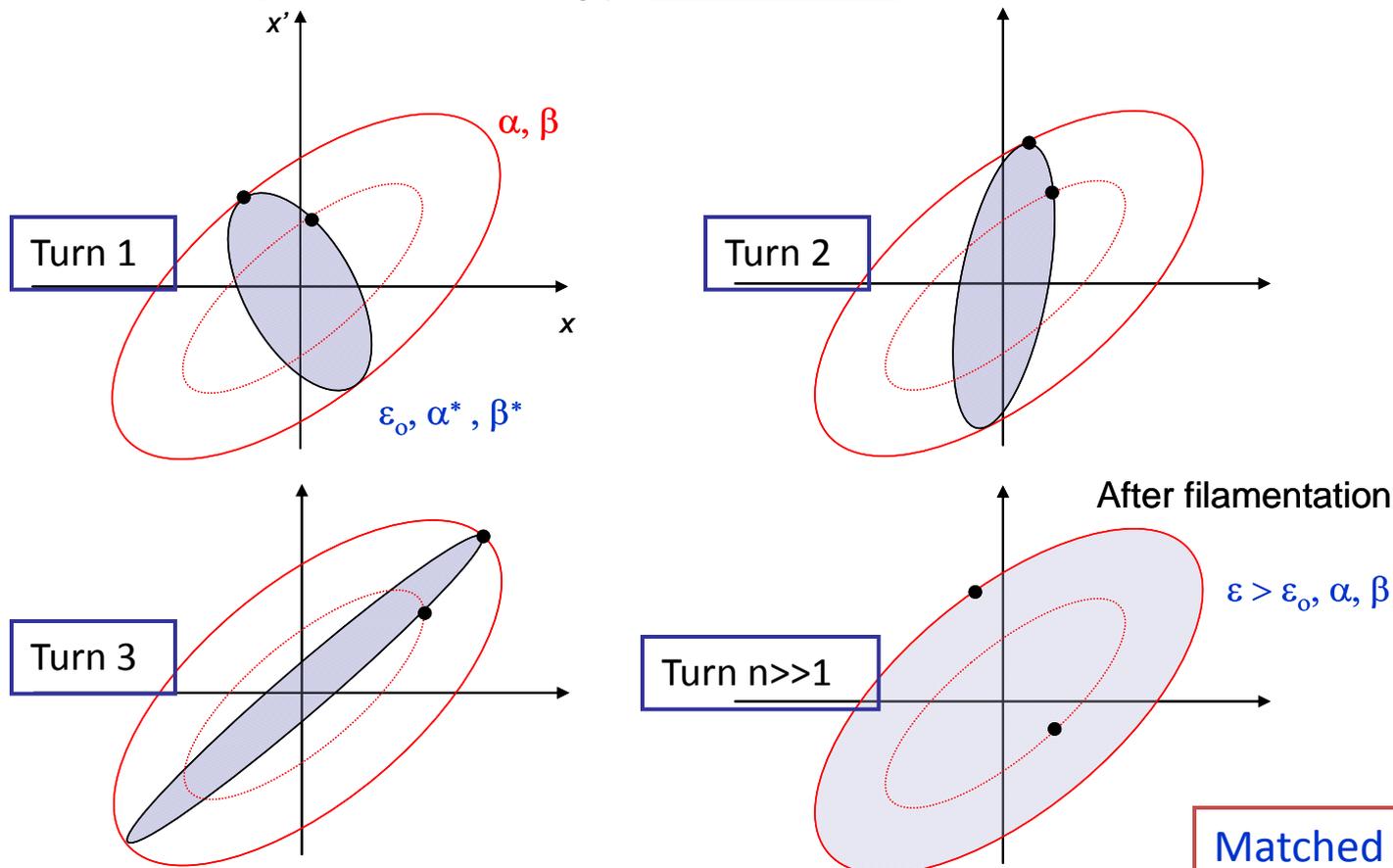
- Can also have an emittance blow-up through optical mismatch
- Individual particles oscillate with conserved CS invariant:

$$a_x = \gamma x^2 + 2\alpha x x' + \beta x'^2$$



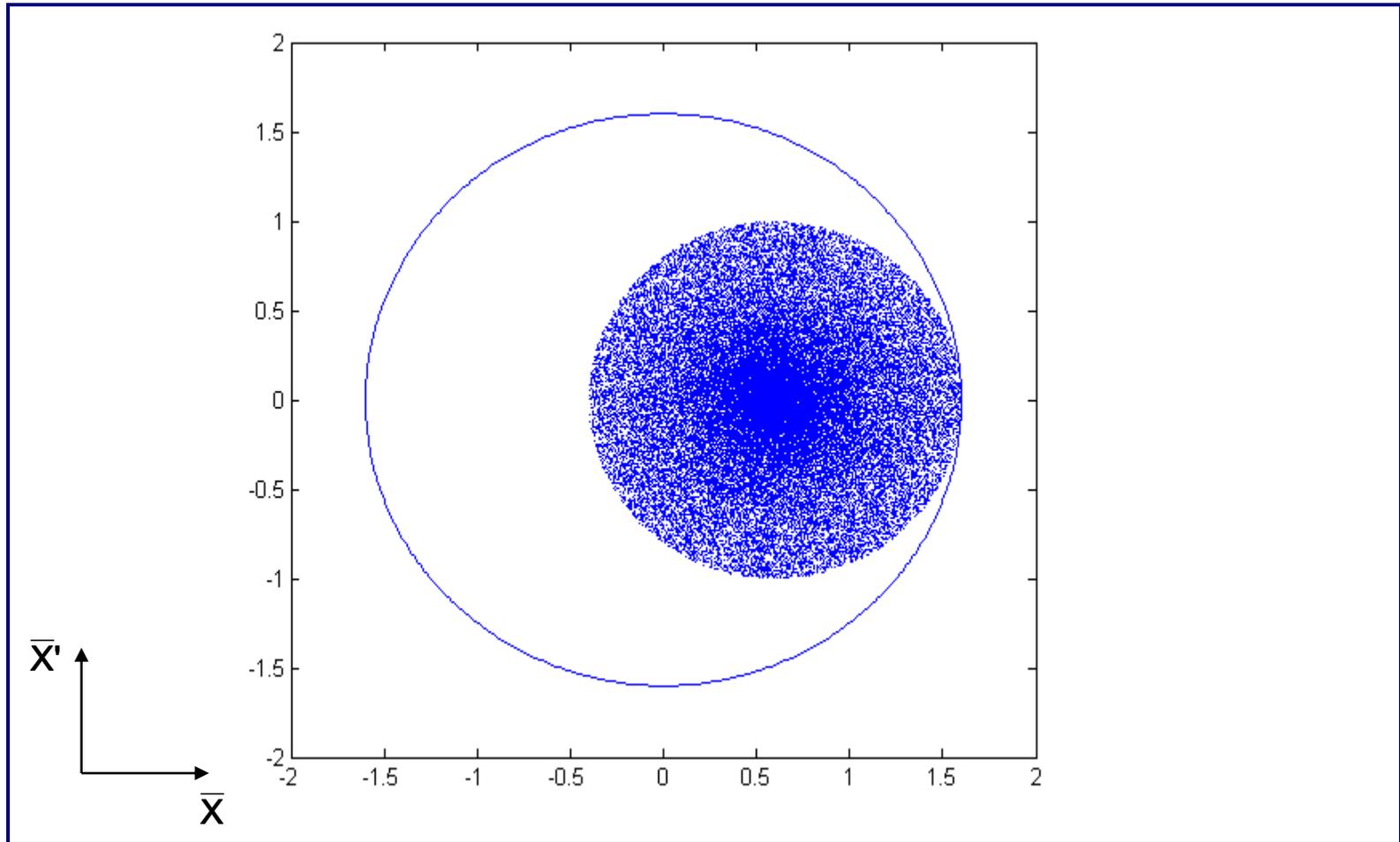
# Optical mismatch at injection

- Injected beam of emittance  $\varepsilon$ , characterised by a different ellipse ( $\alpha^*$ ,  $\beta^*$ ) to matched ellipse ( $\alpha$ ,  $\beta$ ), generates (via filamentation) a large ellipse with original shape ( $\alpha$ ,  $\beta$ ), but larger  $\varepsilon$

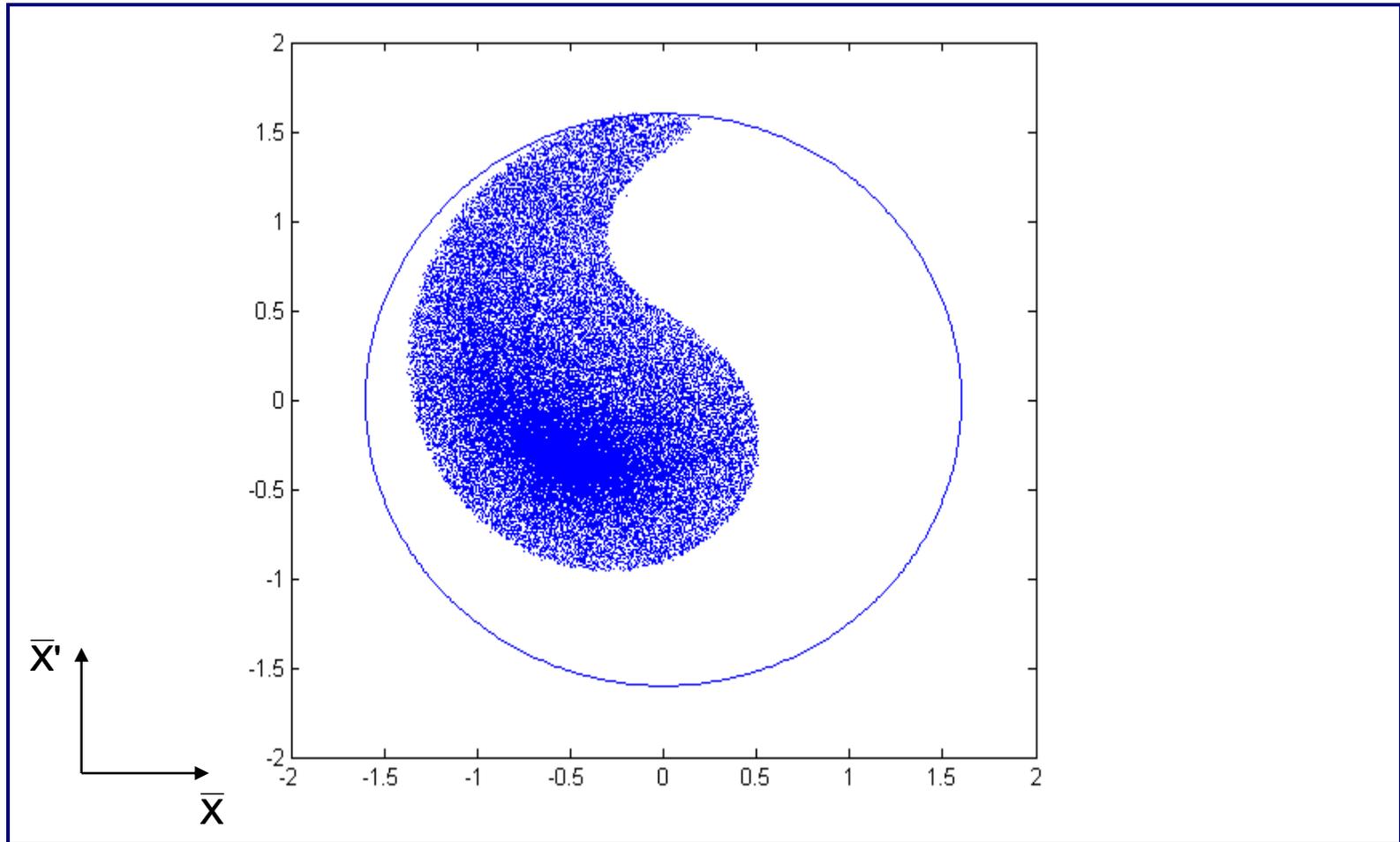


Matched ellipse  
determines beam shape

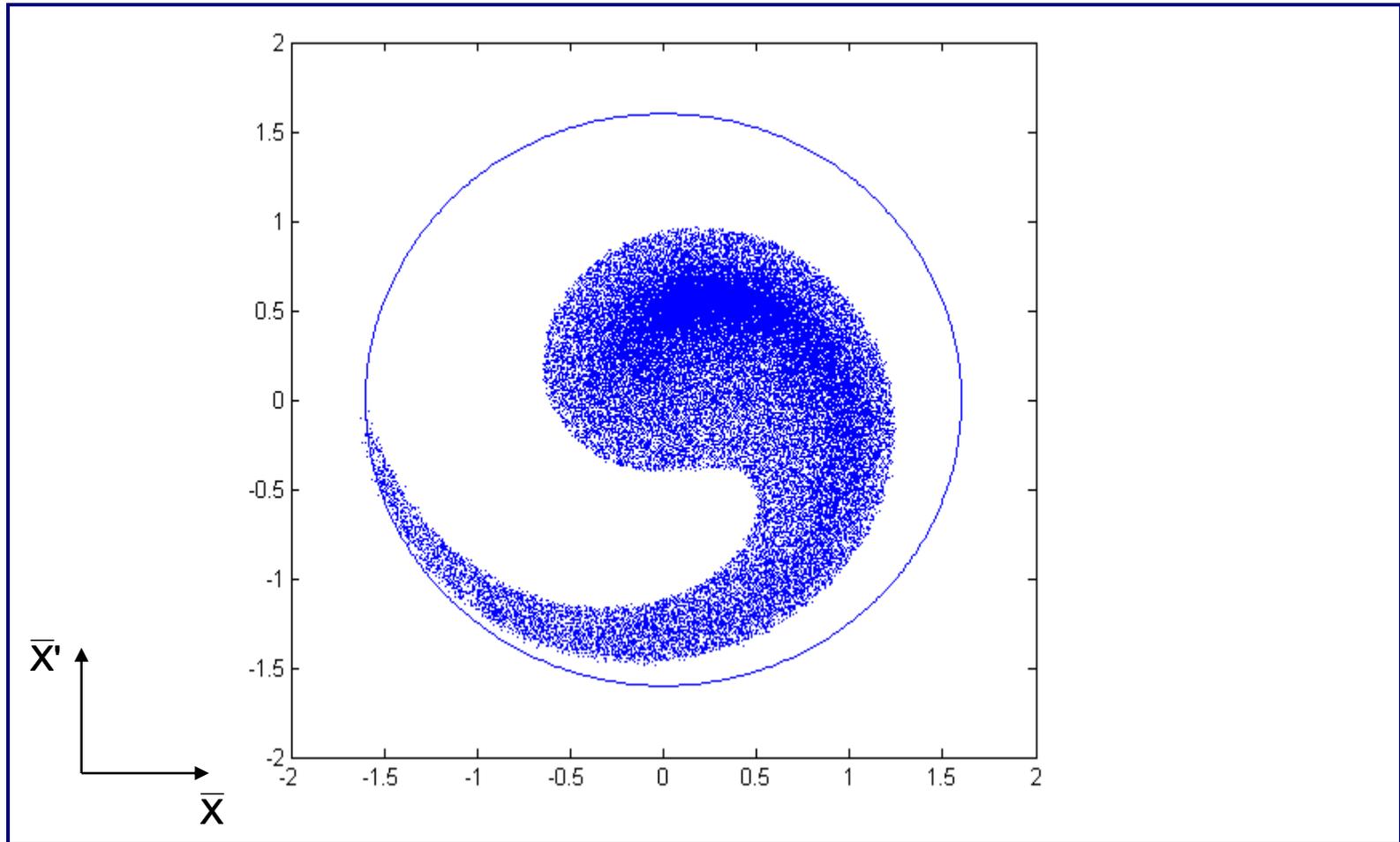
# Filamentation



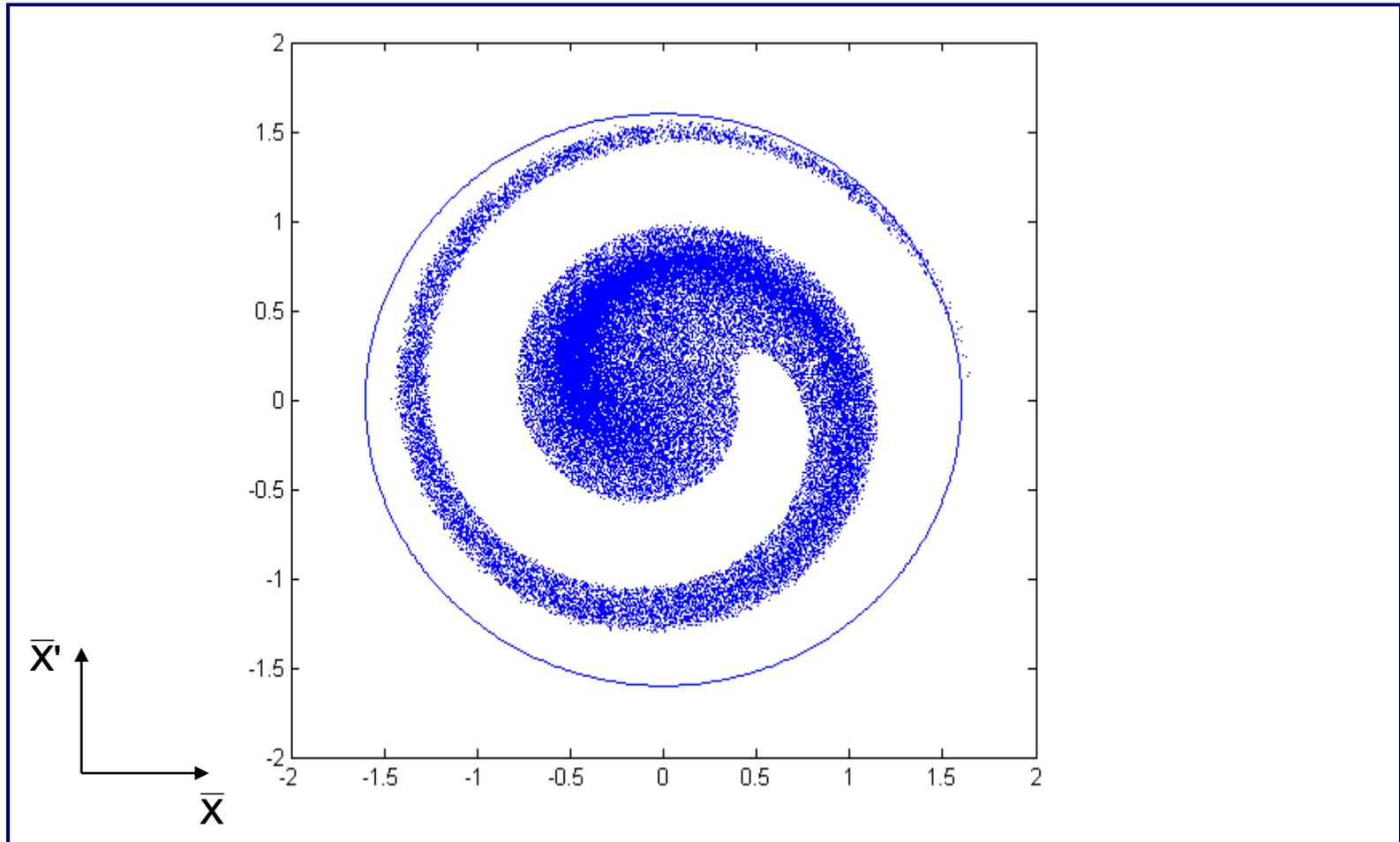
# Filamentation



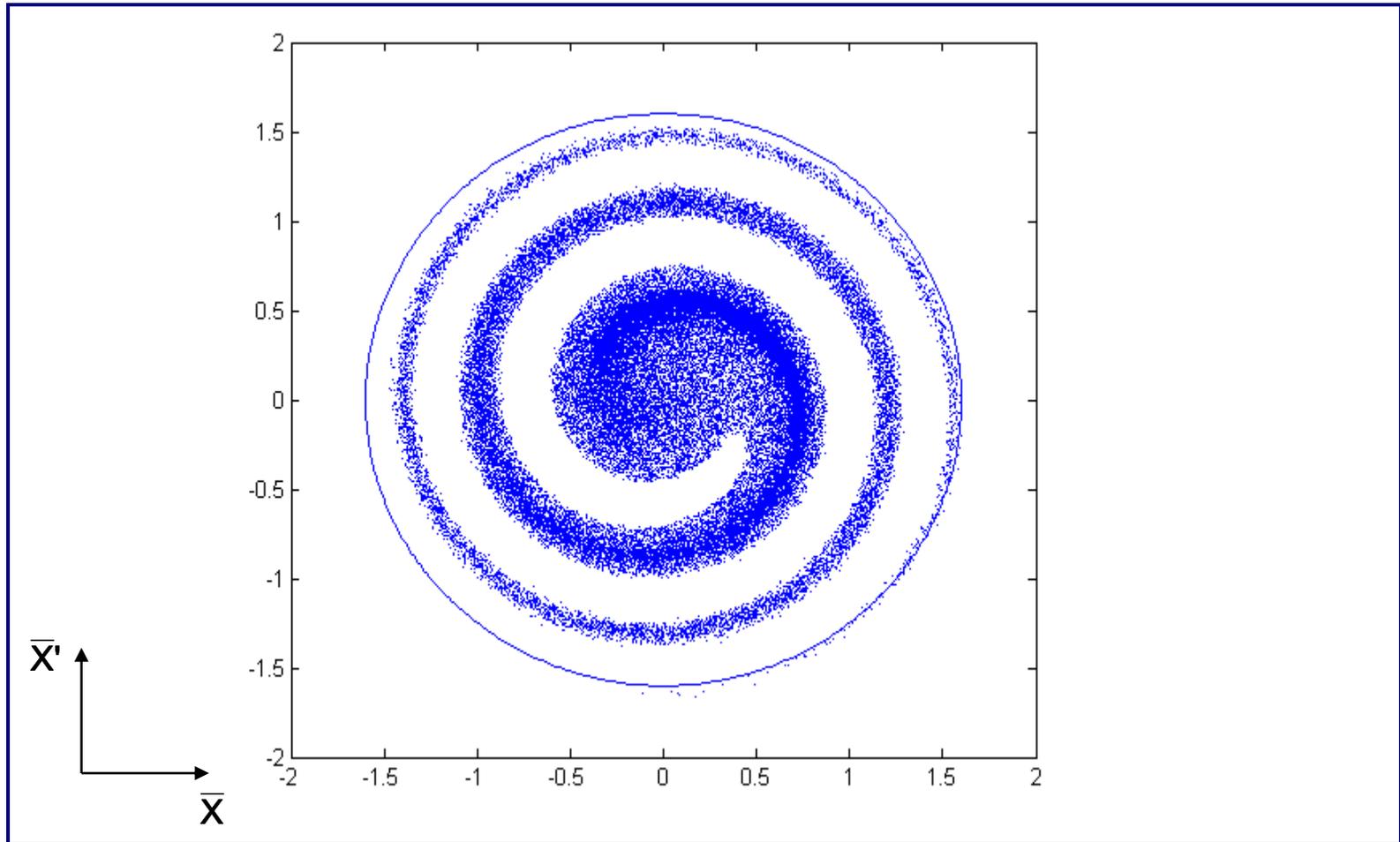
# Filamentation



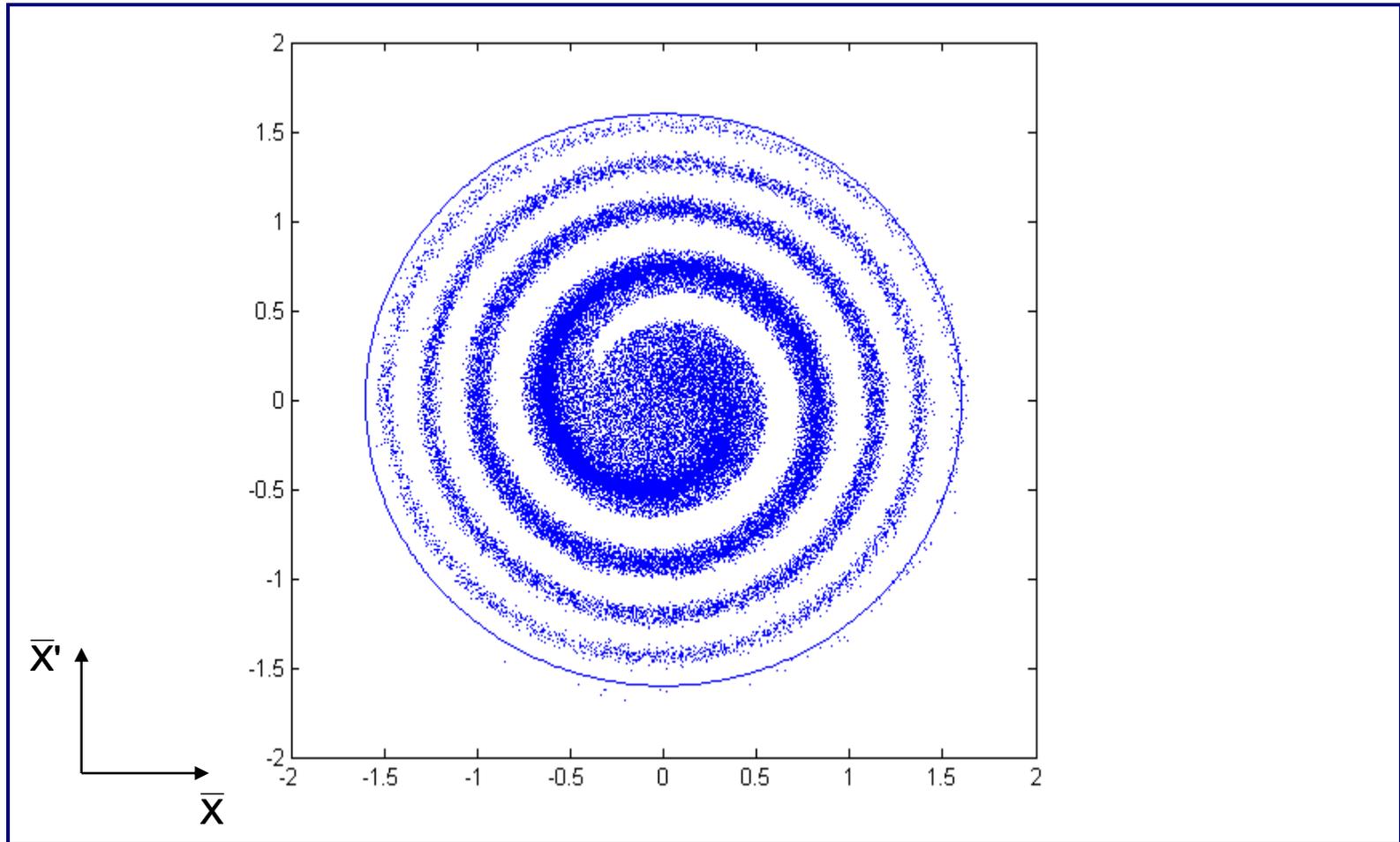
# Filamentation



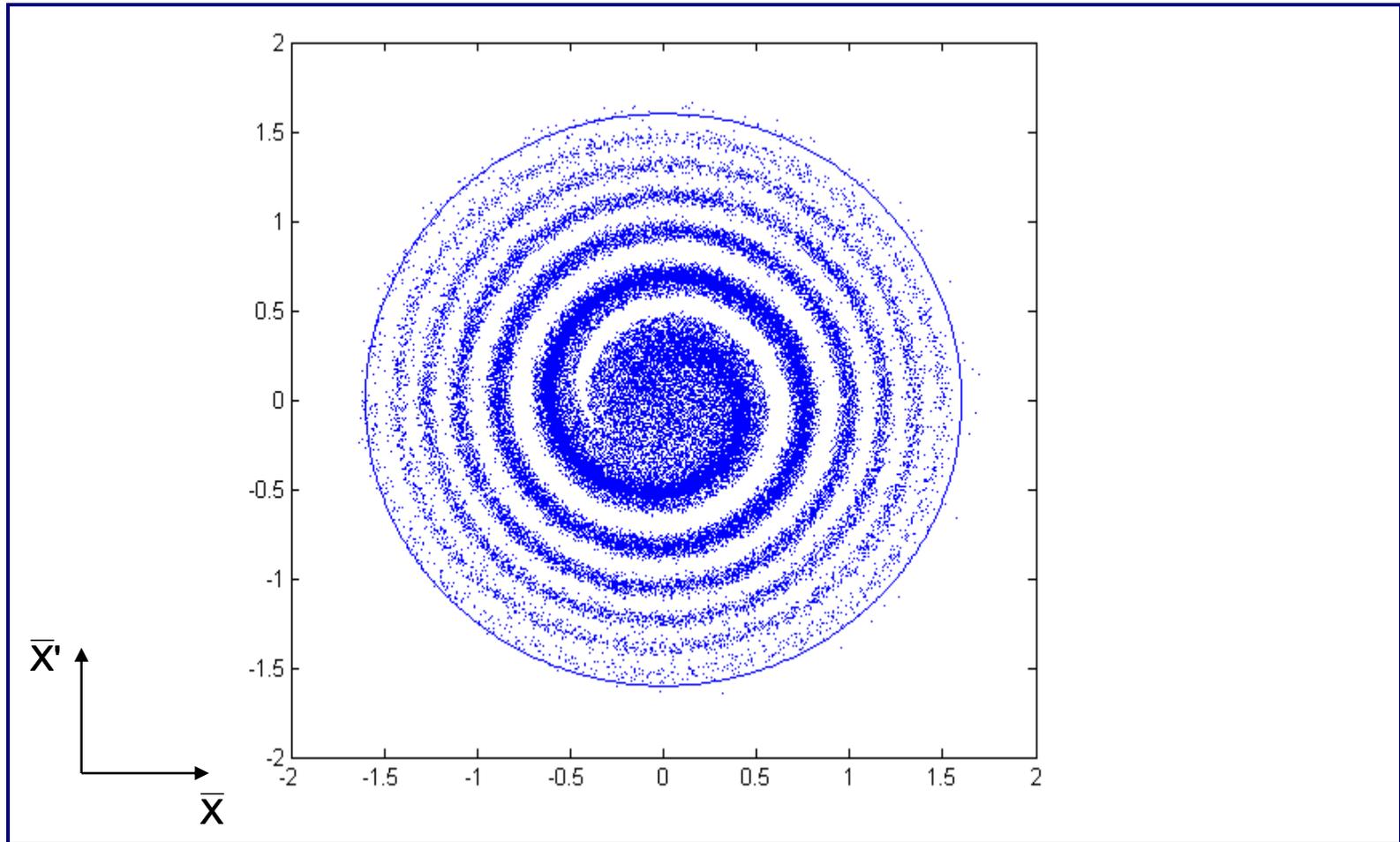
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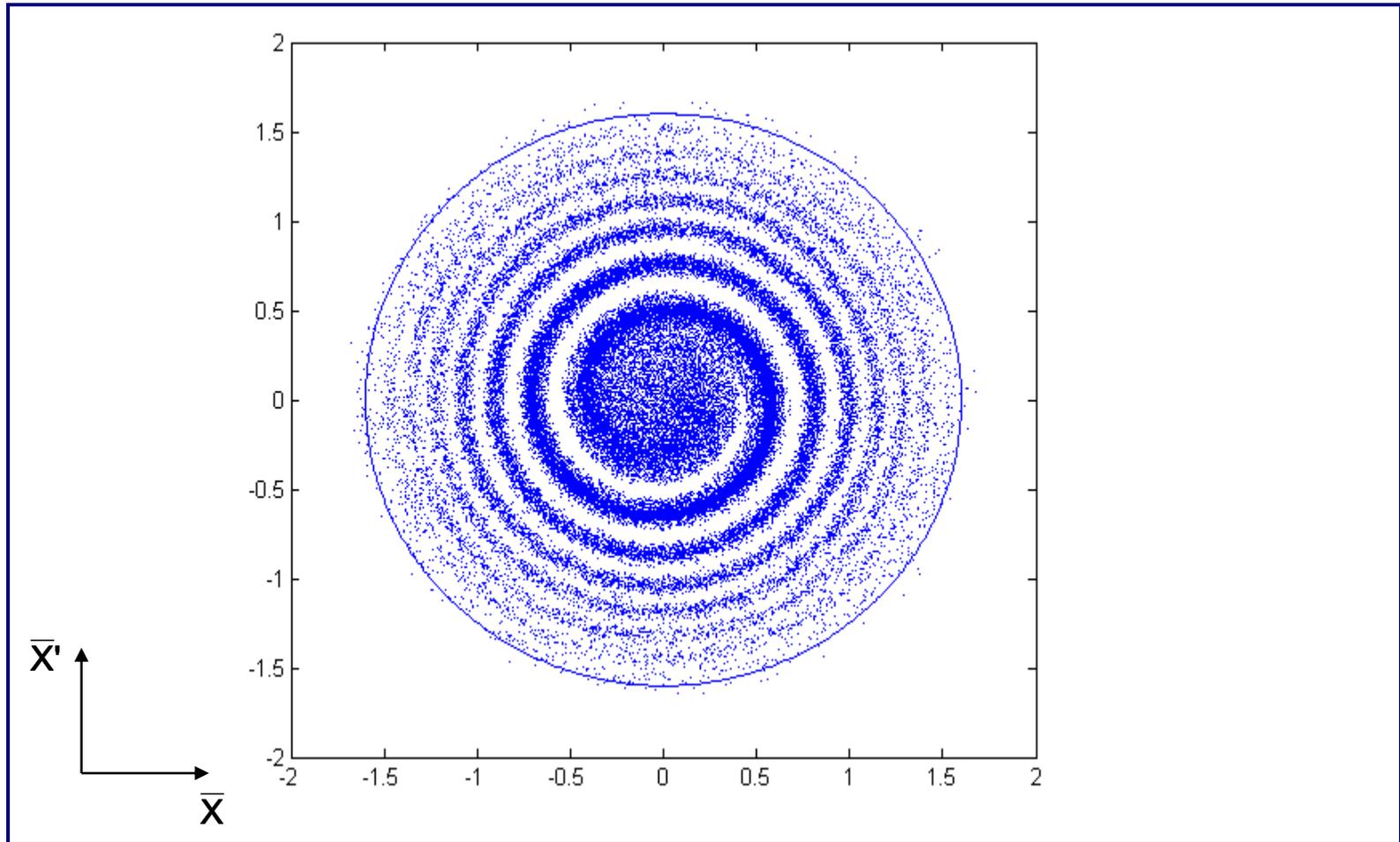
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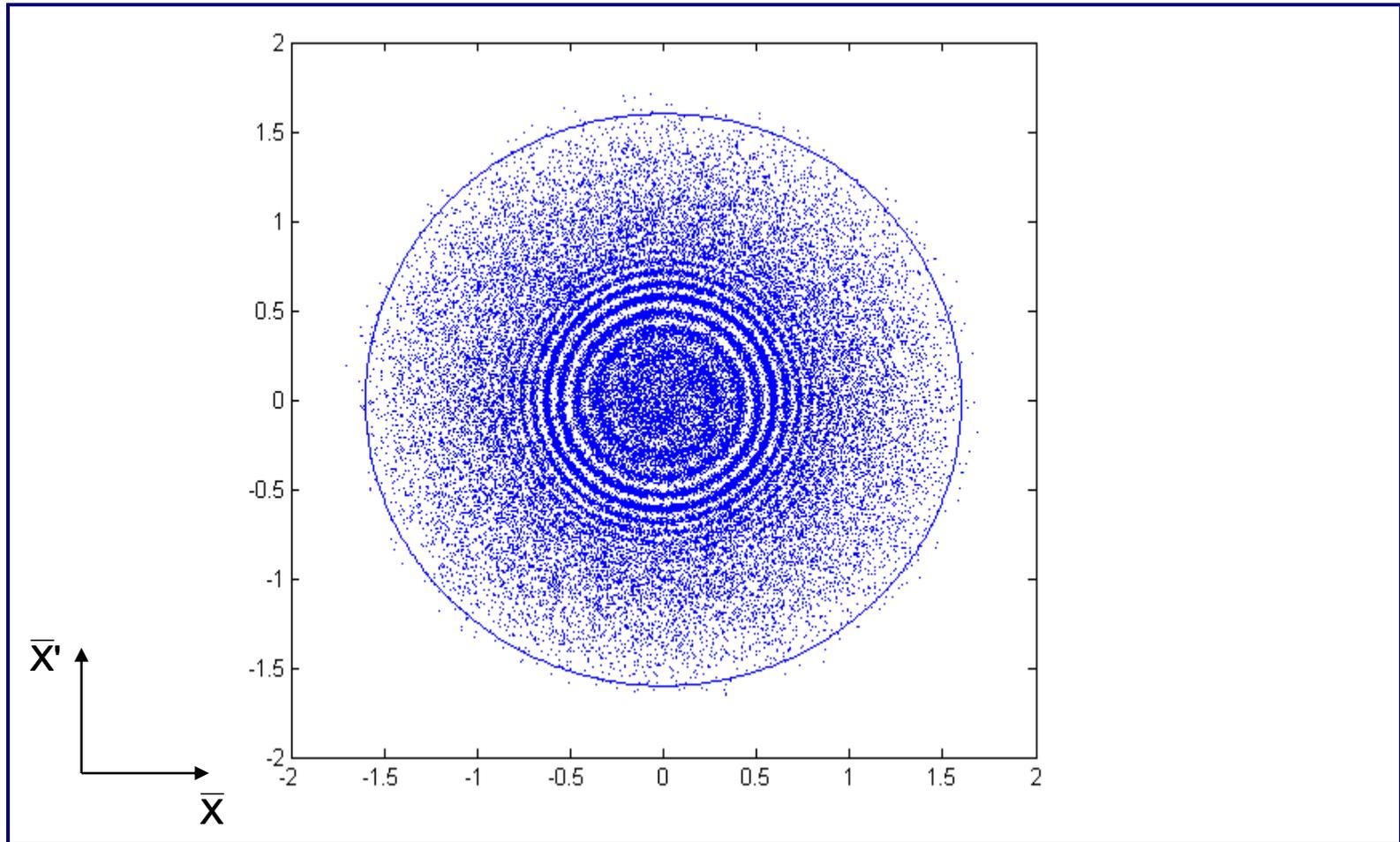
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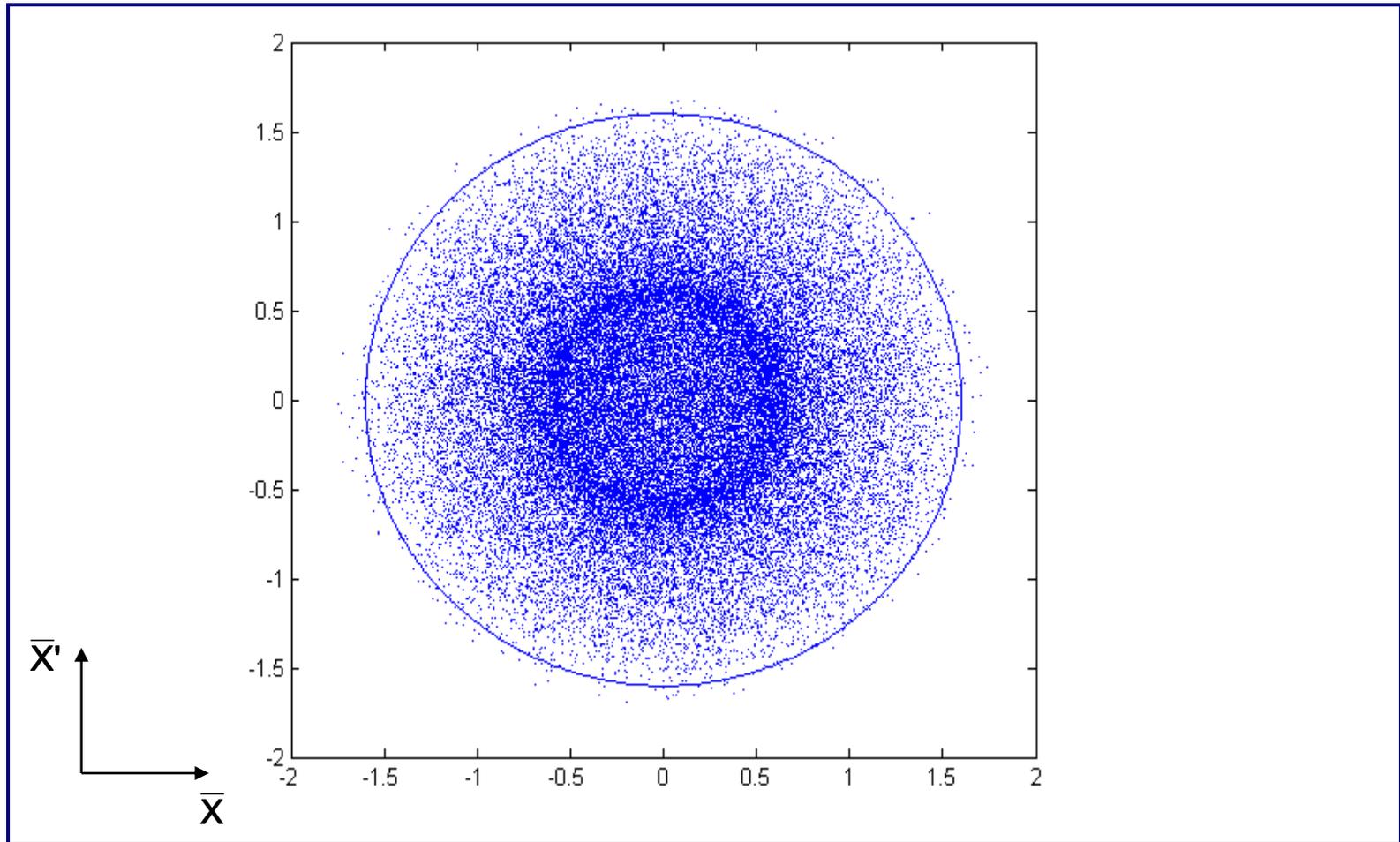
# Filamentation



# Filamentation

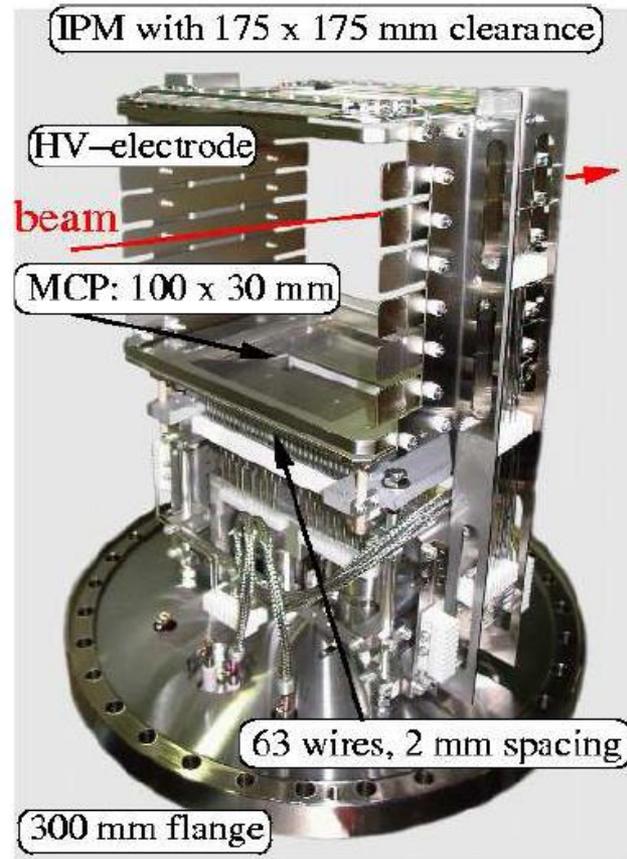
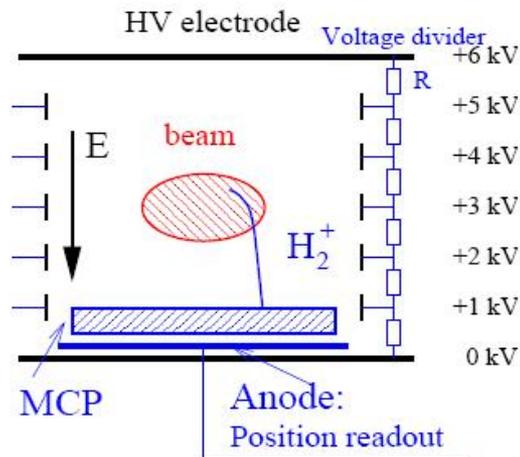


# Filamentation

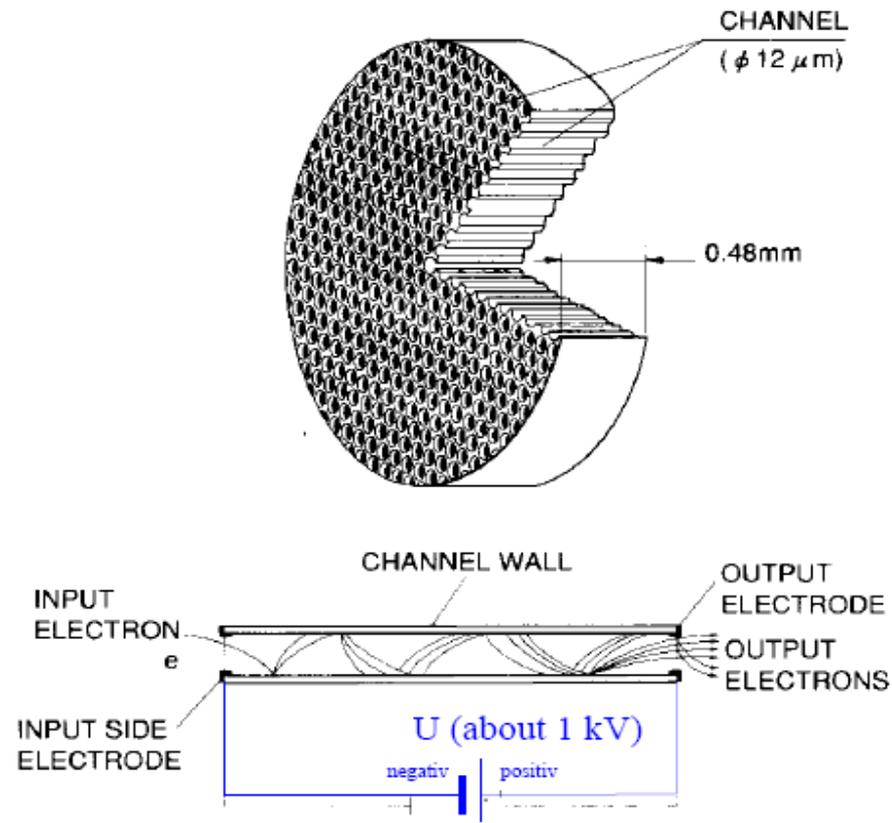


# Ionisation Profile Monitor

Uses rest gas in the vacuum which is ionized.

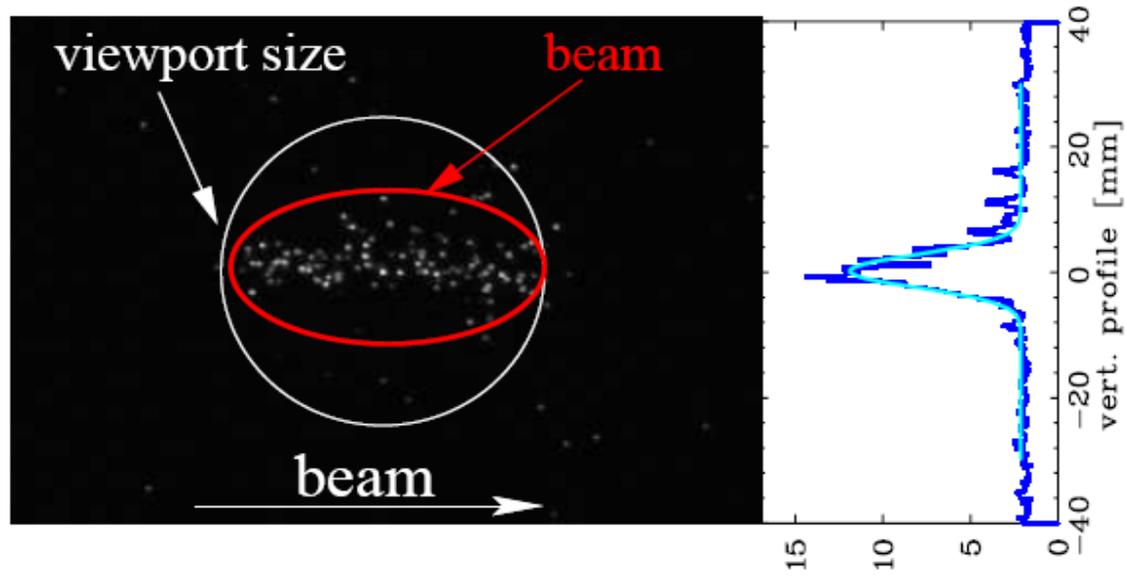


# Image Intensifier

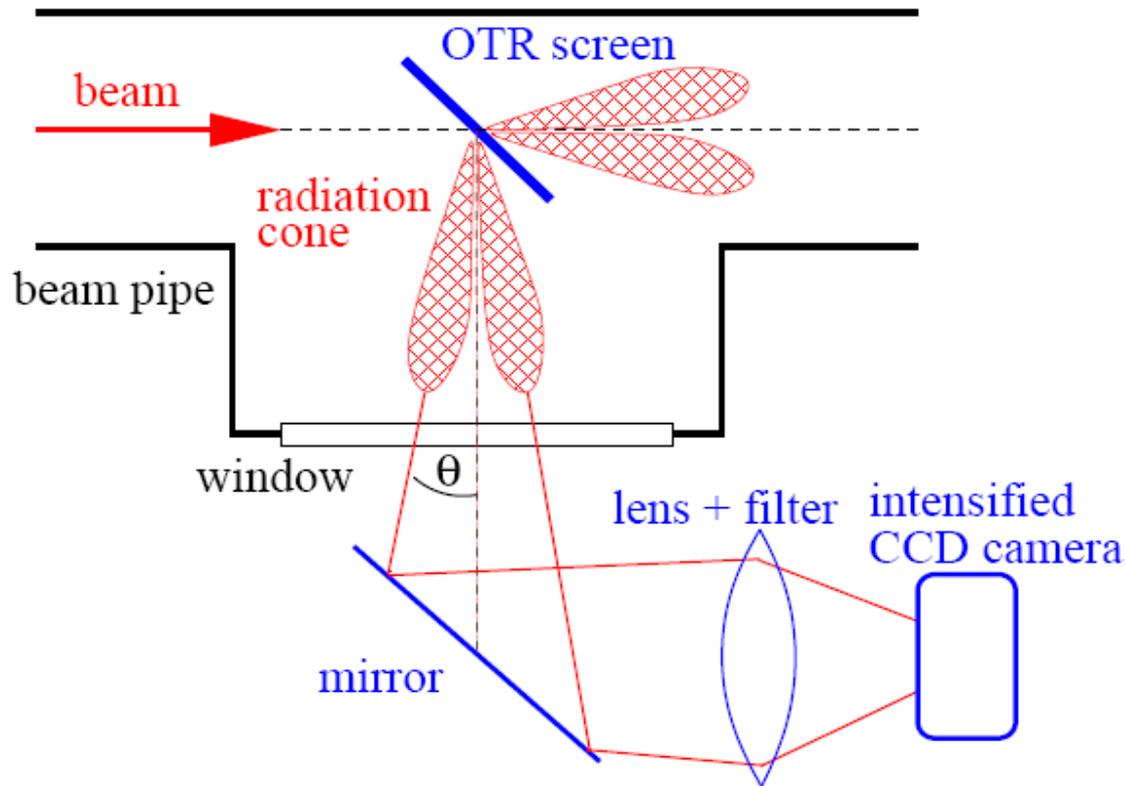


# Result from IPM

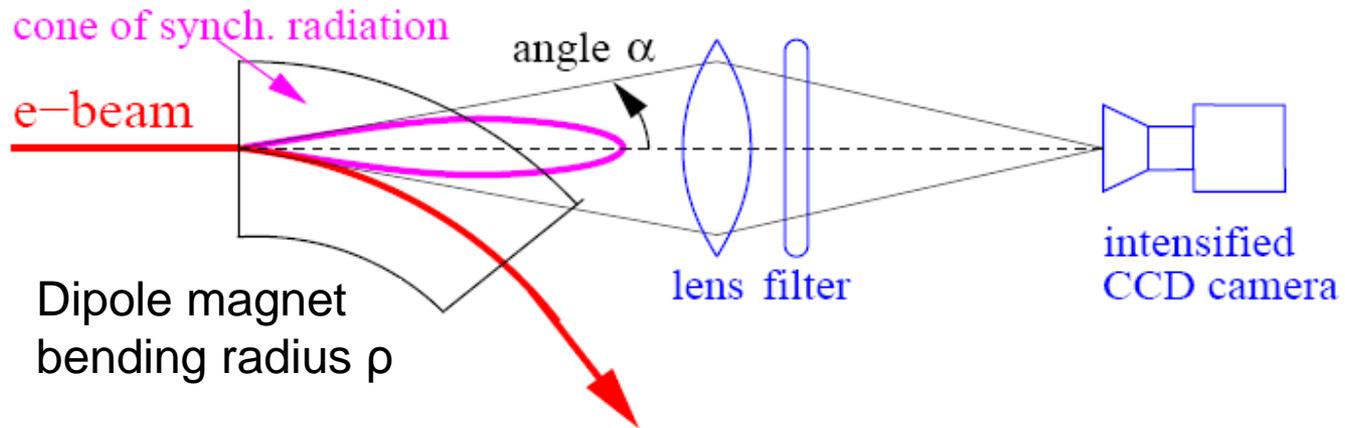
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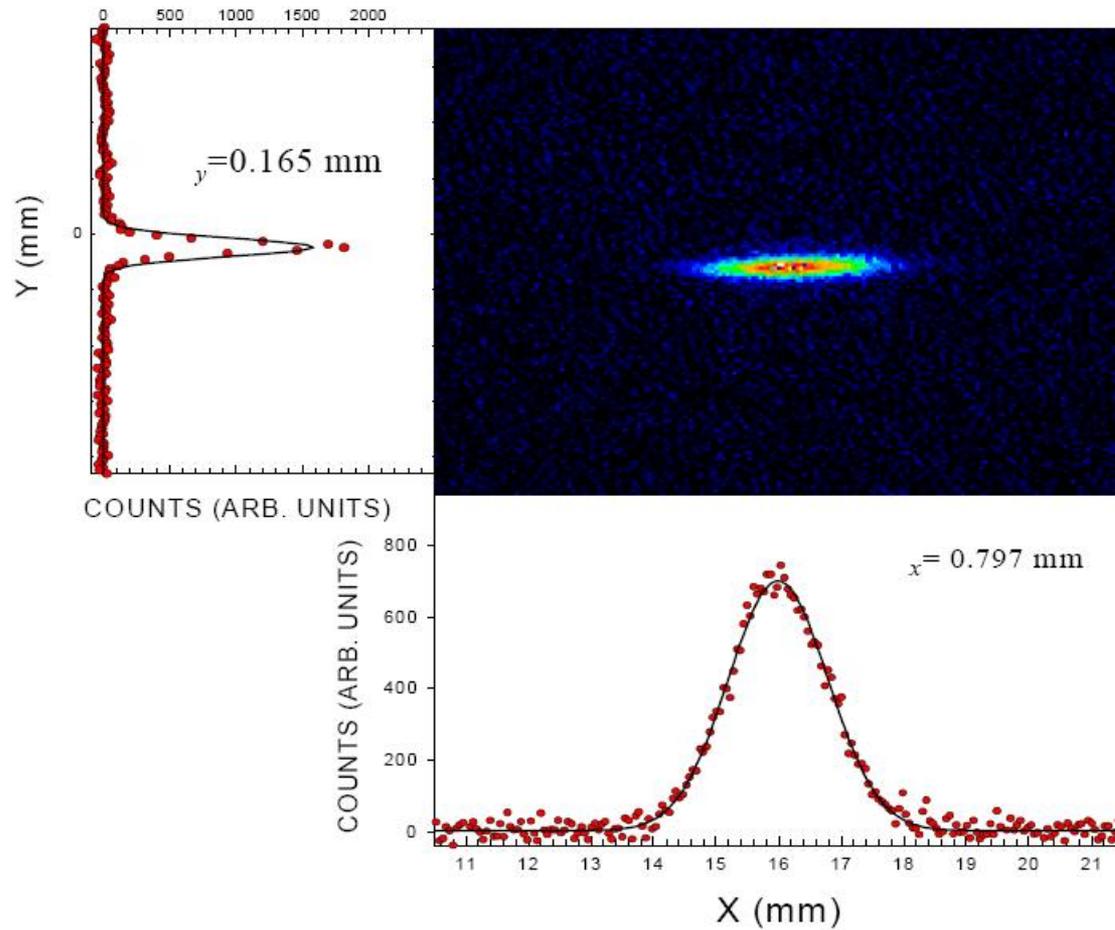
# Optical Transition Radiation



# Synchrotron Radiation

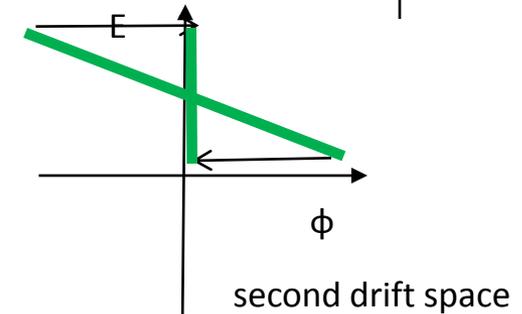
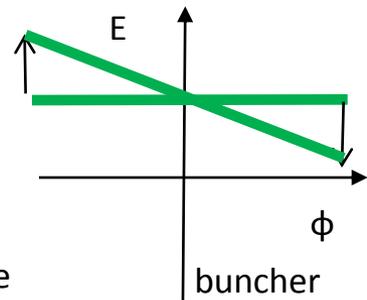
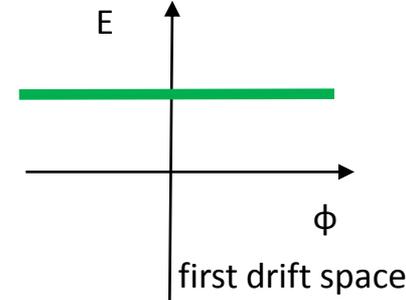
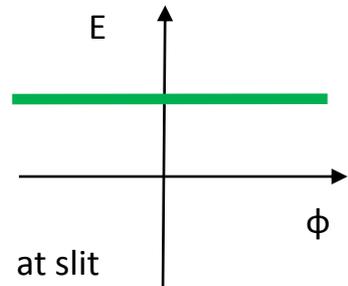
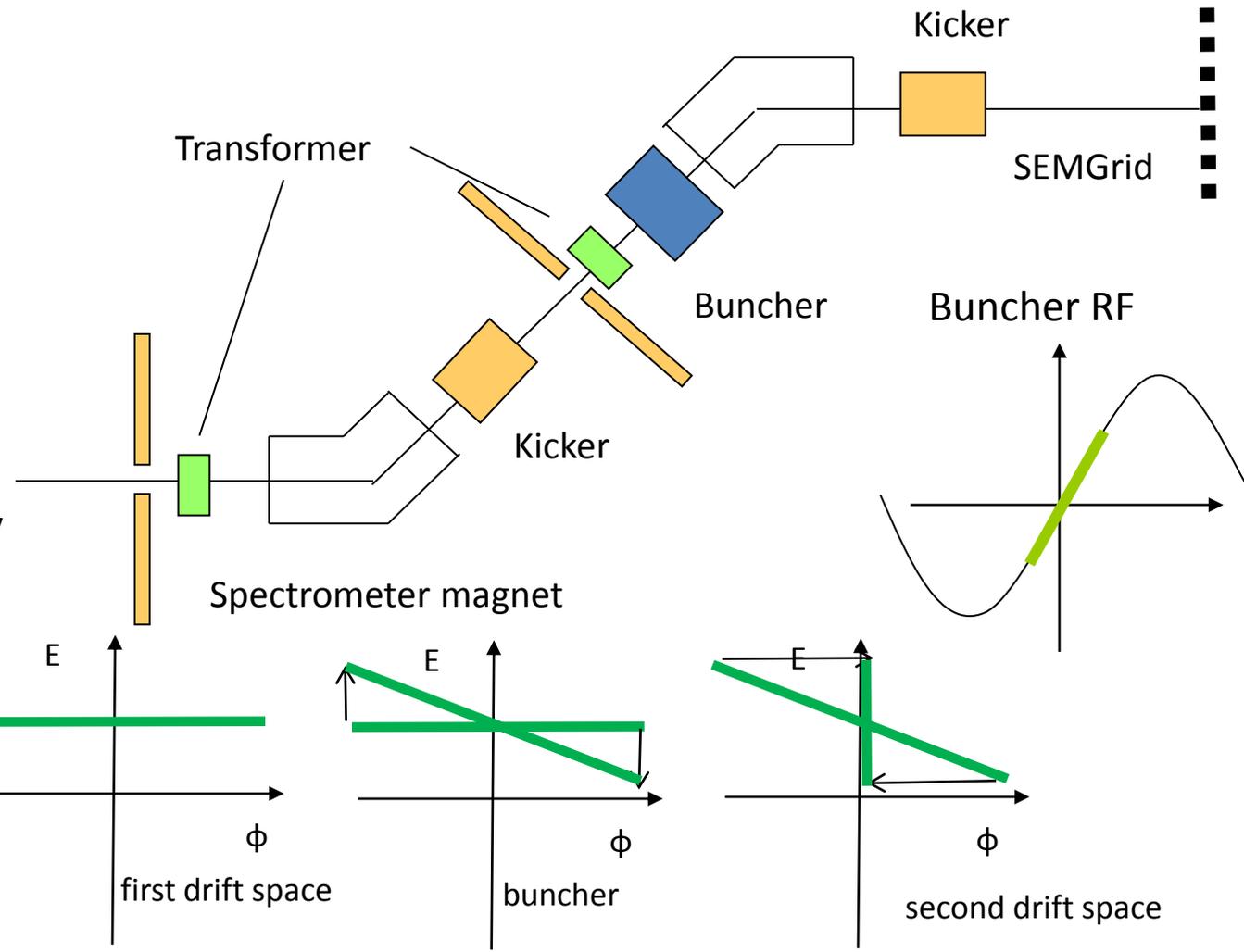


# Results from Synchrotron Radiation

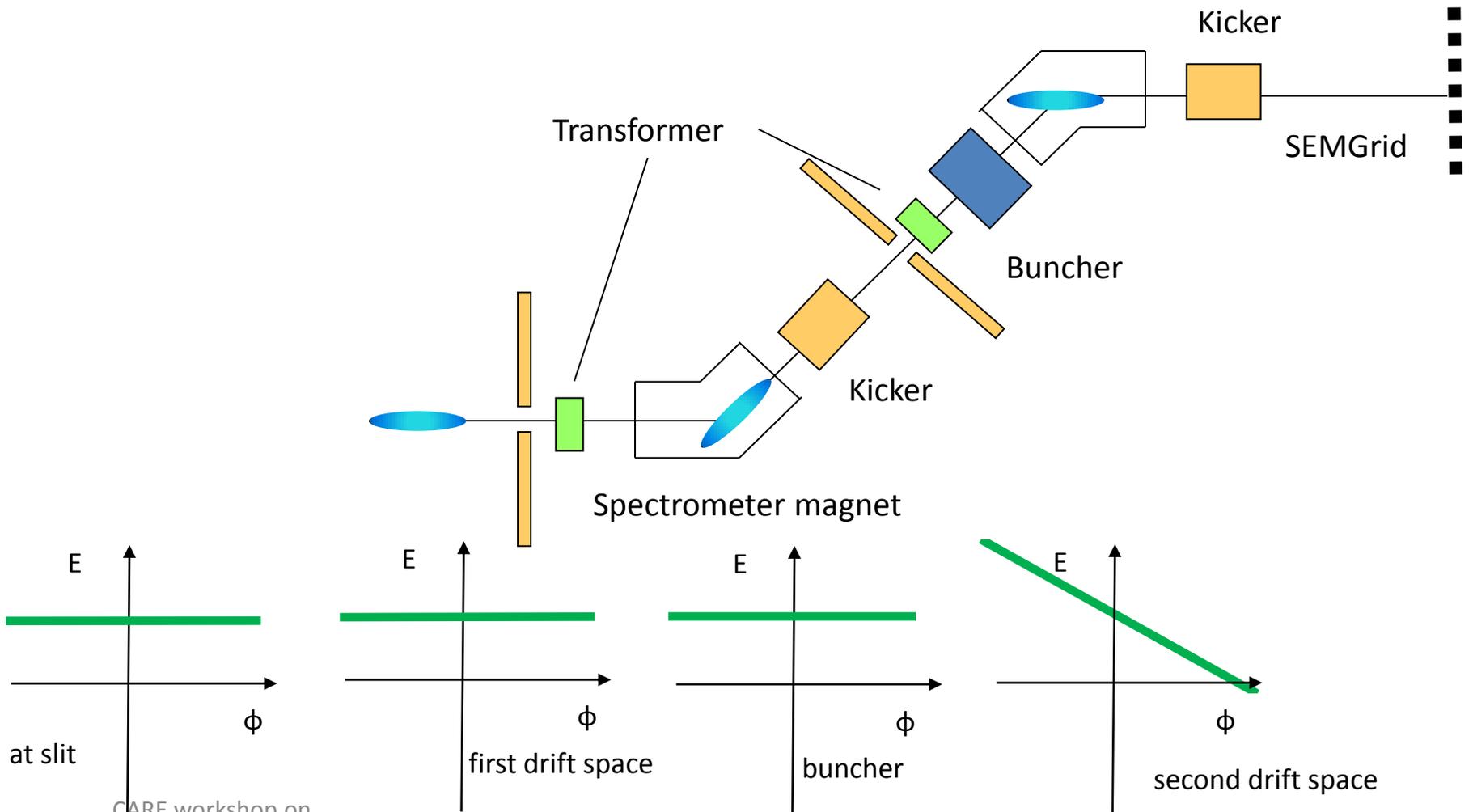


# Longitudinal Phase Space Transformation

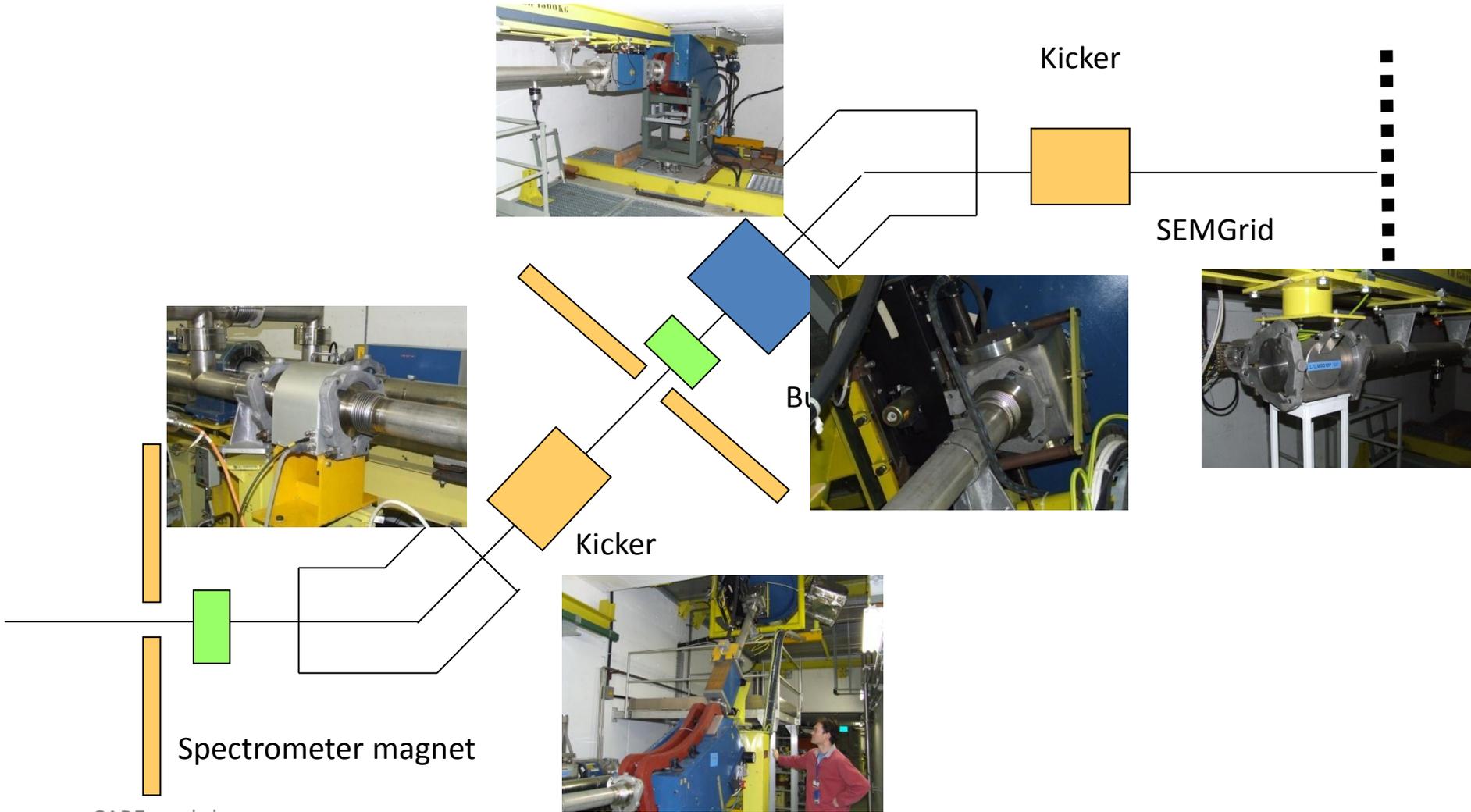
- Spectrometer produces image of slit on second slit
- second slit selects energy slice
- first kicker sweep phase space over all energies
- buncher rotates energy slice in phase space
- at second spectrometer the phase distribution is transformed into an energy distribution analyzed by the second spectrometer
- second kicker corrects for first kick



# Longitudinal Emittance measurement



# Photos of the line



# Computed Tomography (CT)

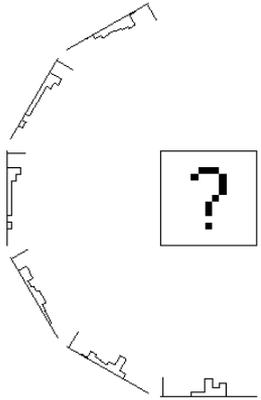
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Principle of Tomography:

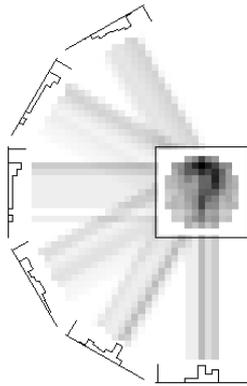
- Take many 2-dimensional Images at different angles
- Reconstruct a 3-dimensional picture using mathematical techniques (Algebraic Reconstruction Technique, ART)



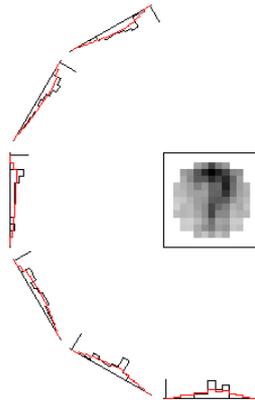
# The reconstruction



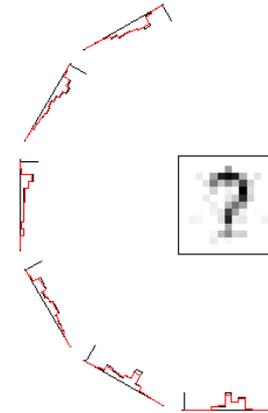
Produce many projections of the object to be reconstructed



Back project and overlay the "projection rays"

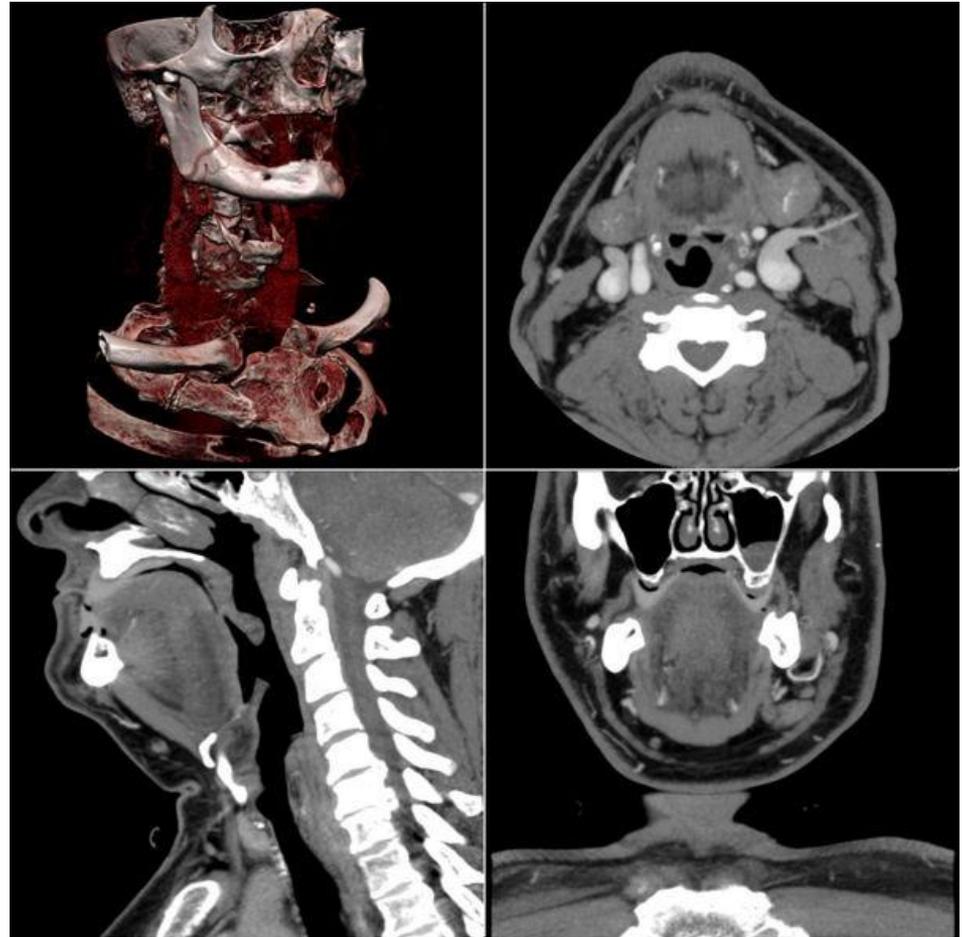
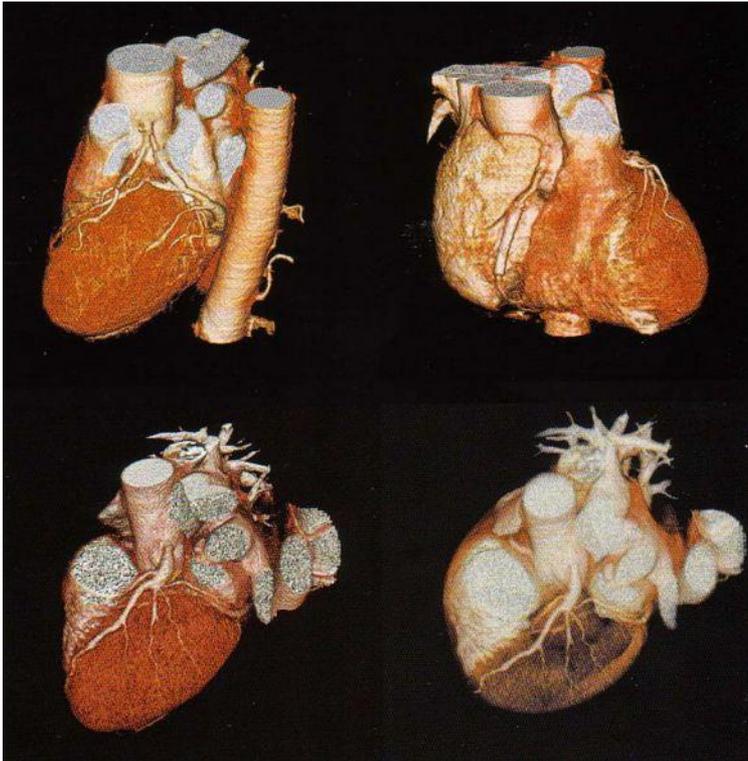


Project the back-projected object and calculate the difference



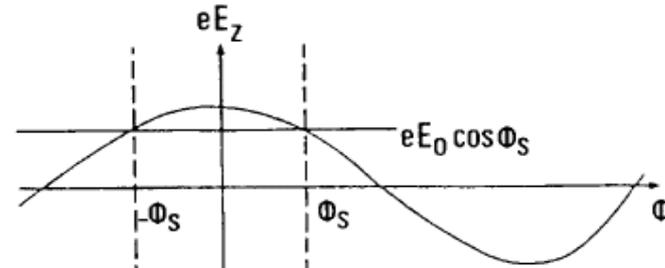
Iteratively back-project the differences to reconstruct the original object

# Some CT results

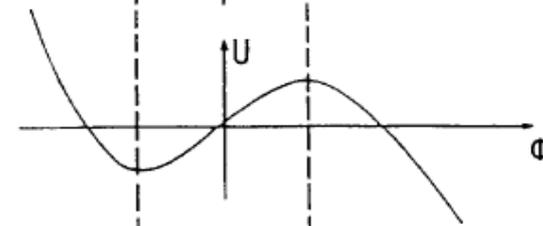


# Computed Tomography and Accelerators

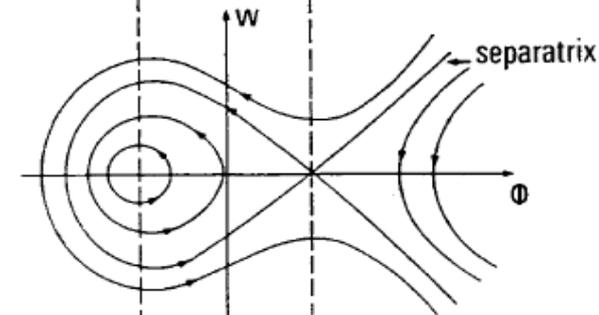
RF voltage



Restoring force for non-synchronous particle



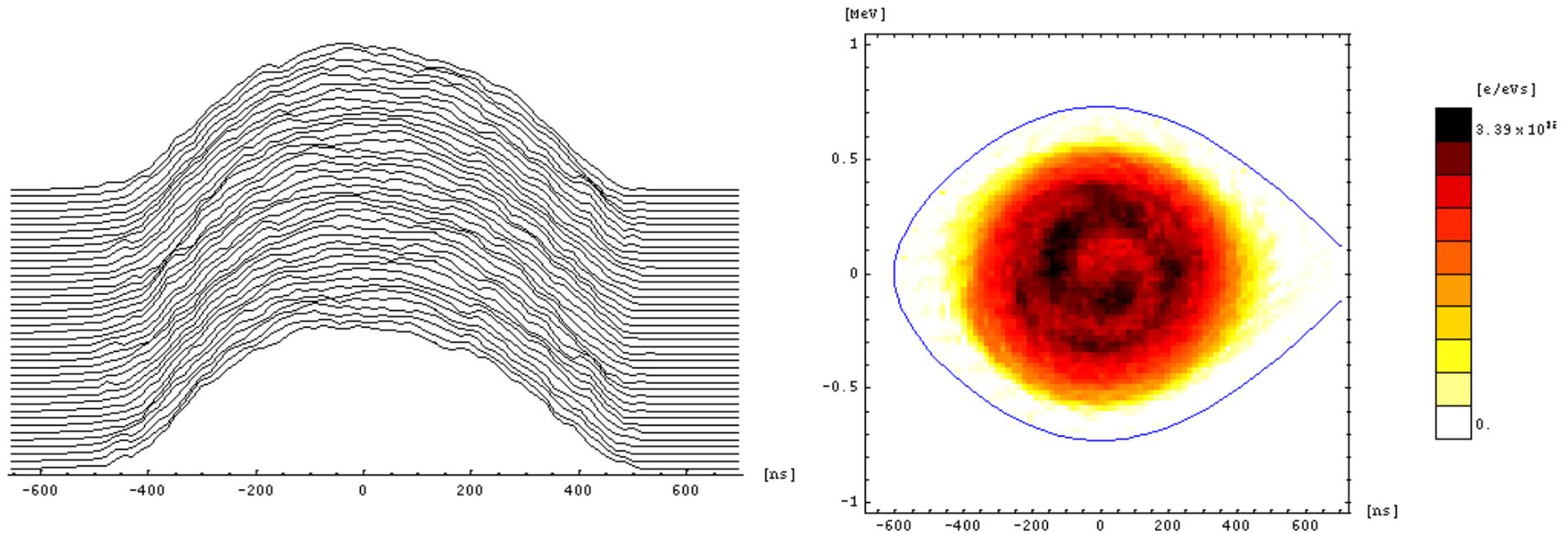
Longitudinal phase space



Projection onto  $\Phi$  axis corresponds to bunch profile



# Reconstructed Longitudinal Phase Space



# Bunch Splitting

