



# Fundamentals of Accelerators

## Lecture - Day 2 - Beam properties

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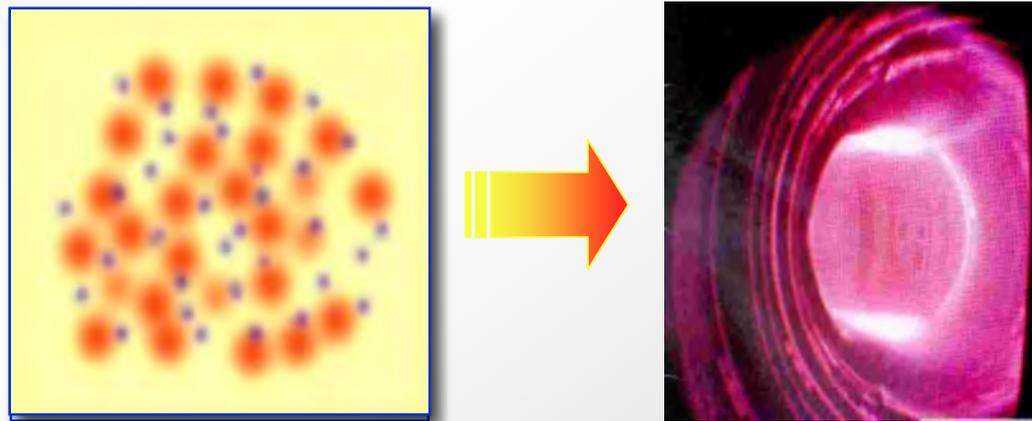
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## Beams: particle bunches with directed velocity

- ❖ Ions - either missing electrons (+) or with extra electrons (-)
- ❖ Electrons or positrons
- ❖ Plasma - ions plus electrons
- ❖ Source techniques depend on type of beam & on application

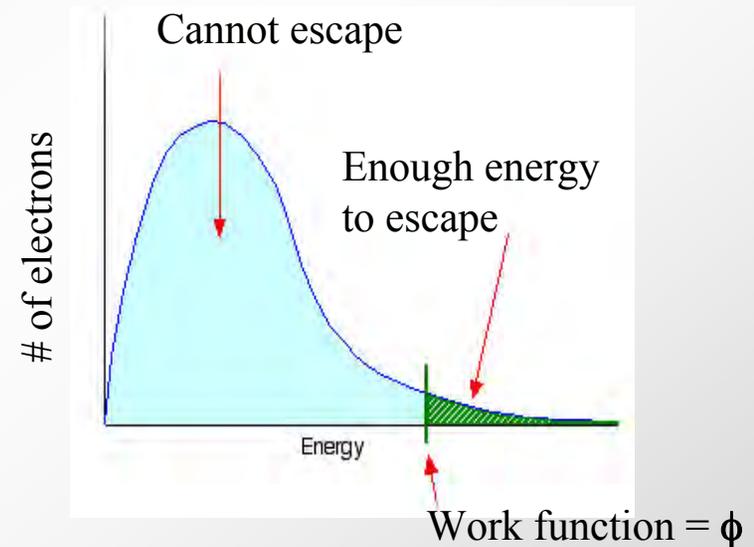
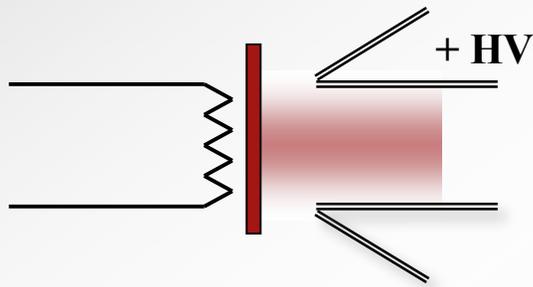




# Electron sources - thermionic

## ❖ Heated metals

- Some electrons have energies above potential barrier



Electrons in a metal obey Fermi statistics

$$\frac{dn(E)}{dE} = A\sqrt{E} \frac{1}{\left[ e^{(E-E_F)/kT} + 1 \right]}$$



# Electrons with enough momentum can escape from the metal

- ❖ Integrating over electrons going in the z direction with

$$p_z^2 / 2m > E_F + \phi$$

yields

$$J_e = \int_{-\infty}^{\infty} dp_x \int_{-\infty}^{\infty} dp_y \int_{p_{z,free}}^{\infty} dp_z (2/h^3) f(E) v_z$$

some considerable manipulation yields the Richardson-Dushman equation

$$I \propto AT^2 \exp\left(\frac{-q\phi}{k_B T}\right)$$

$$A = 1202 \text{ mA/mm}^2\text{K}^2$$



## Brightness of a beam source

- ❖ A figure of merit for the performance of a beam source is the brightness

$$\mathcal{B} = \frac{\text{Beam current}}{\text{Beam area} \circ \text{Beam Divergence}} = \frac{\text{Emissivity (J)}}{\sqrt{\text{Temperature/mass}}}$$

$$= \frac{J_e}{\left(\sqrt{\frac{kT}{\gamma m_o c^2}}\right)^2} = \frac{J_e \gamma}{\left(\frac{kT}{m_o c^2}\right)}$$

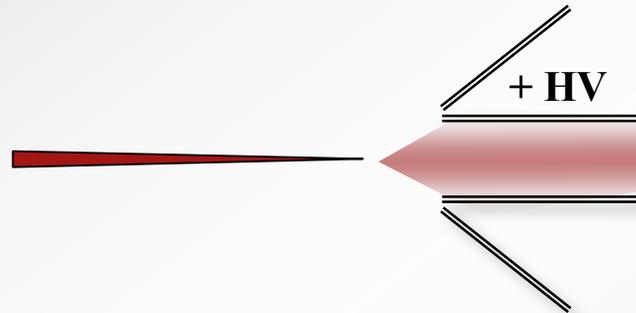
Typically the normalized brightness is quoted for  $\gamma = 1$



## Other ways to get electrons over the potential barrier

### ❖ Field emission

- Sharp needle enhances electric field

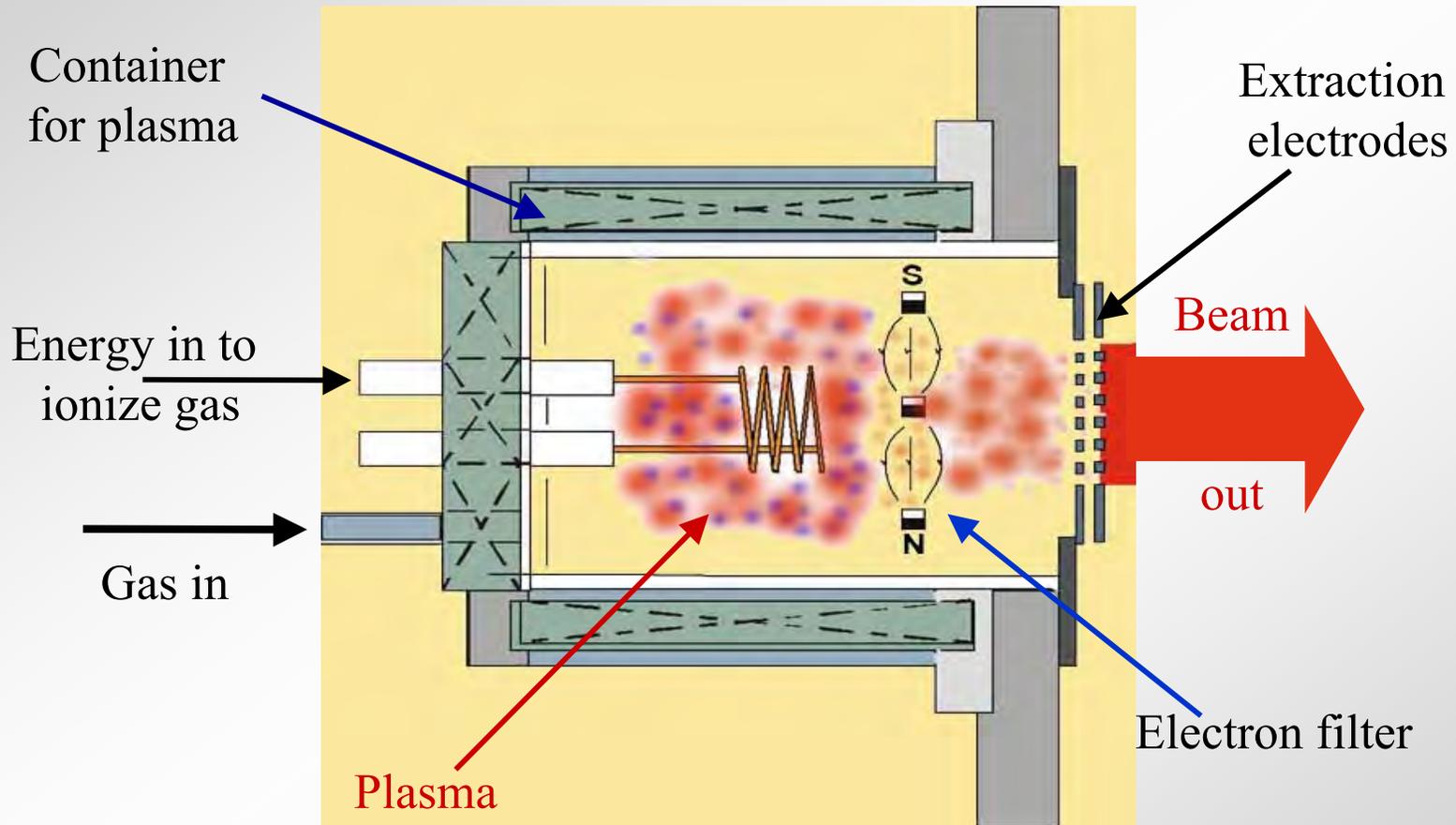


### ❖ Photoemission from metals & semi-conductors

- Photon energy exceeds the work function
- These sources produce beams with high current densities & low thermal energy
- This is a major topic of research



## Anatomy of an ion source



*Electron beams can also be used to ionize the gas or sputter ions from a solid*



# What properties characterize particle beams?

5 minute exercise



## Beams have directed energy

- ❖ The beam momentum refers to the average value of  $p_z$  of the particles

$$p_{\text{beam}} = \langle p_z \rangle$$

- ❖ The beam energy refers to the mean value of

$$E_{\text{beam}} = \left[ \langle p_z \rangle^2 c^2 + m^2 c^4 \right]^{1/2}$$

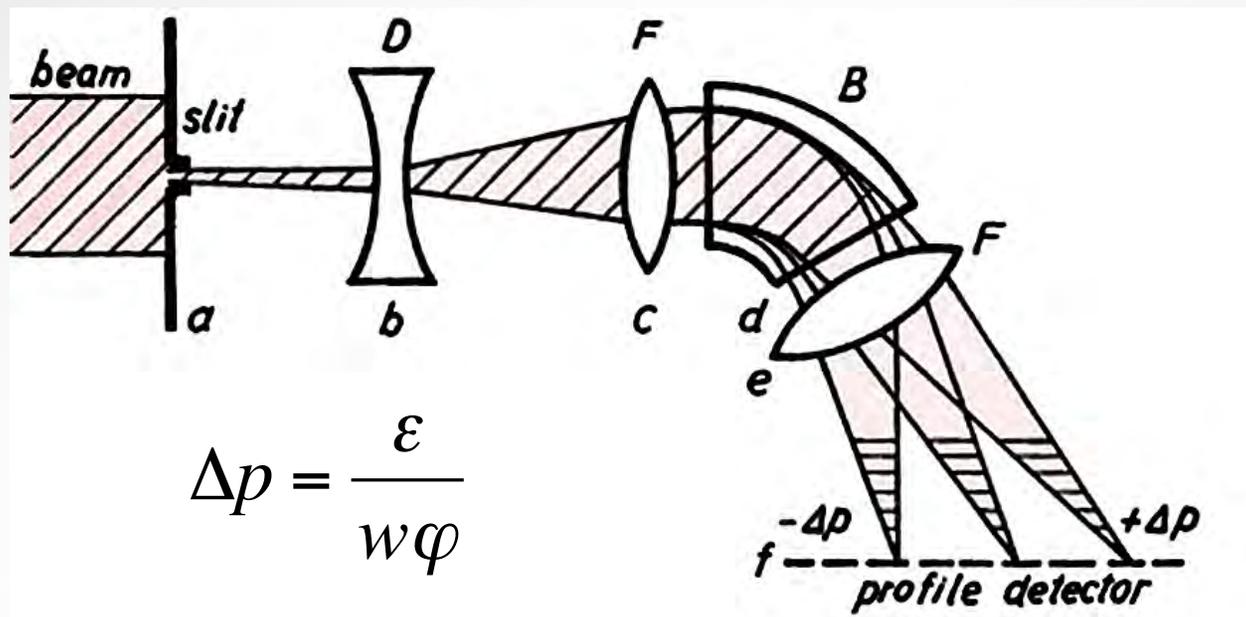
- ❖ For highly relativistic beams  $pc \gg mc^2$ , therefore

$$E_{\text{beam}} = \langle p_z \rangle c$$



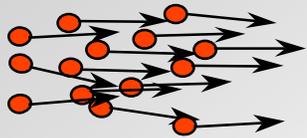
# Measuring beam energy & energy spread

- ❖ Magnetic spectrometer - for good resolution,  $\Delta p$  one needs
  - small sample emittance  $\varepsilon$ , (parallel particle velocities)
  - a large beamwidth  $w$  in the bending magnet
  - a large angle  $\varphi$



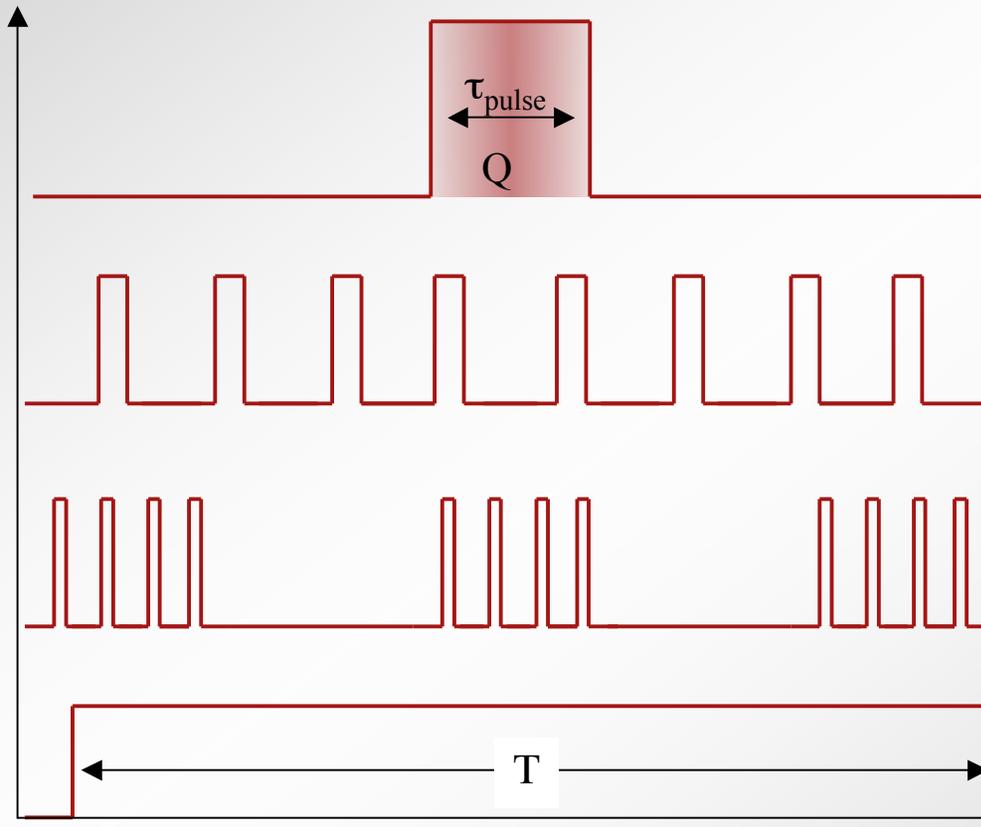


# Beam carry a current



$$I \sim ne\langle v_z \rangle$$

$$\text{Duty factor} = \frac{\sum \tau_{\text{pulse}}}{T}$$



$$I_{\text{peak}} = \frac{Q}{\tau_{\text{pulse}}}$$

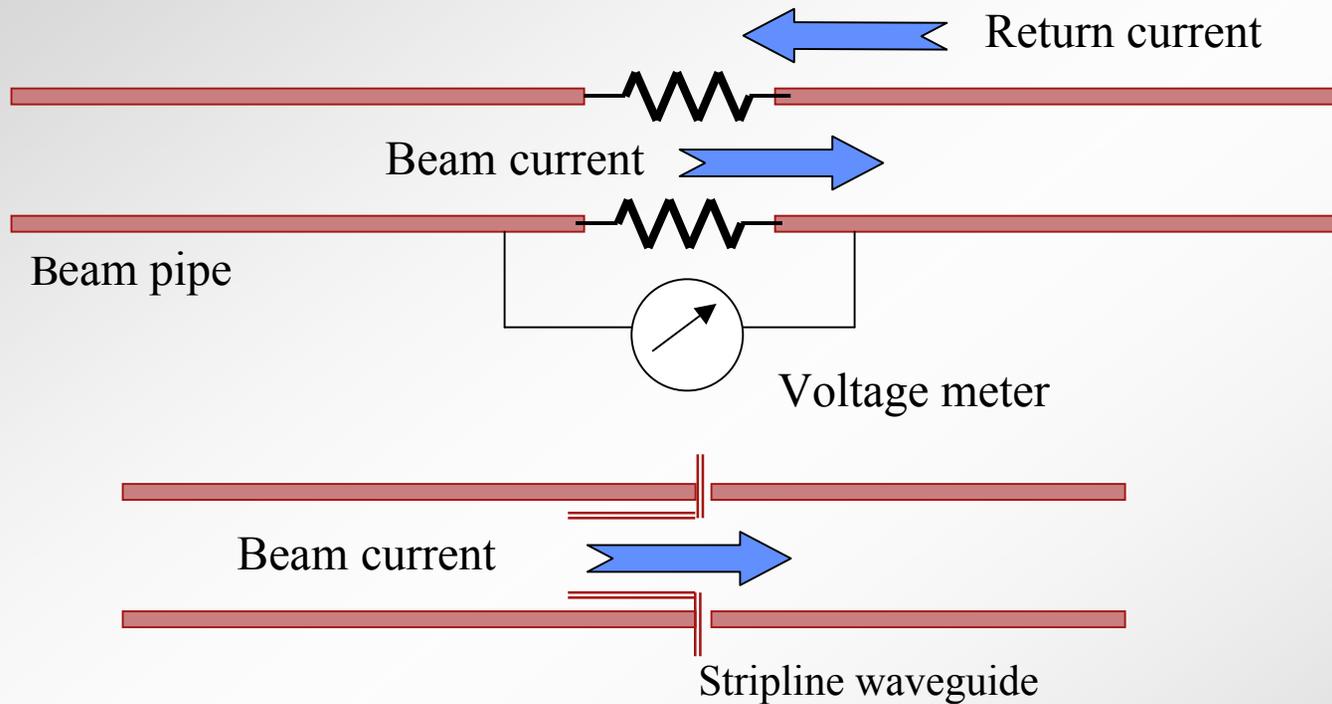
$$I_{\text{ave}} = \frac{Q_{\text{tot}}}{T}$$

$I_{\text{macro}}$

$I$



# Measuring the beam current



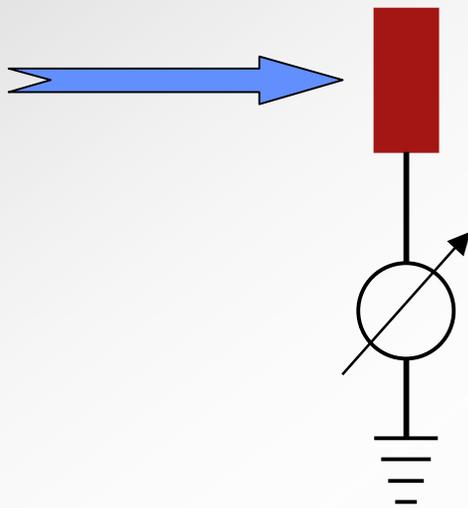
## ❖ Examples:

- Non-intercepting: Wall current monitors, waveguide pick-ups
- Intercepting: Collect the charge; let it drain through a current meter
  - Faraday Cup

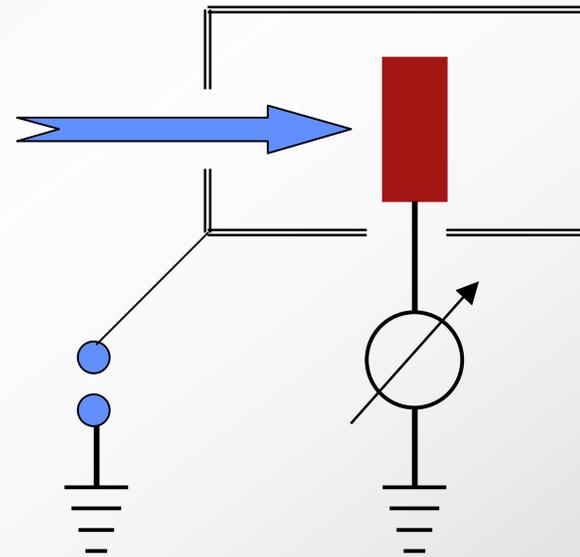


# Collecting the charge: Right & wrong ways

## The Faraday cup



Simple collector

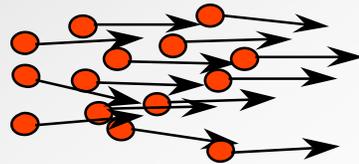


Proper Faraday cup



## Thermal characteristics of beams

- ❖ Beams particles have random (thermal)  $\perp$  motion



$$\vartheta_x = \left\langle \frac{p_x^2}{p_z^2} \right\rangle^{1/2} > 0$$

- ❖ Beams must be confined against thermal expansion during transport





## Beams have internal (self-forces)

- ❖ Space charge forces
  - Like charges repel
  - Like currents attract
- ❖ For a long thin beam

$$E_{sp} (V/cm) = \frac{60 I_{beam} (A)}{R_{beam} (cm)}$$

$$B_{\theta} (gauss) = \frac{I_{beam} (A)}{5 R_{beam} (cm)}$$



## Net force due to transverse self-fields

*In vacuum:*

Beam's transverse self-force scale as  $1/\gamma^2$

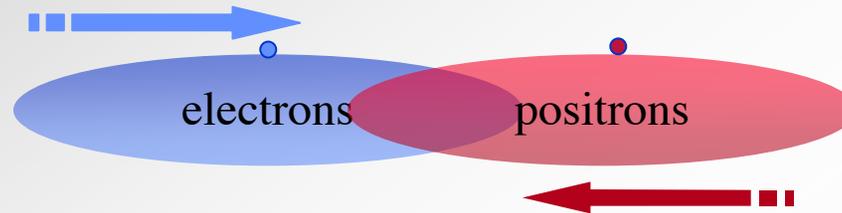
- Space charge repulsion:  $E_{sp,\perp} \sim N_{beam}$
- Pinch field:  $B_\theta \sim I_{beam} \sim v_z N_{beam} \sim v_z E_{sp}$

$$\therefore F_{sp,\perp} = q (E_{sp,\perp} + v_z \times B_\theta) \sim (1-v^2) N_{beam} \sim N_{beam}/\gamma^2$$

Beams in collision are *not* in vacuum (beam-beam effects)



# Interaction point fields in the proposed ILC (10 minute exercise)



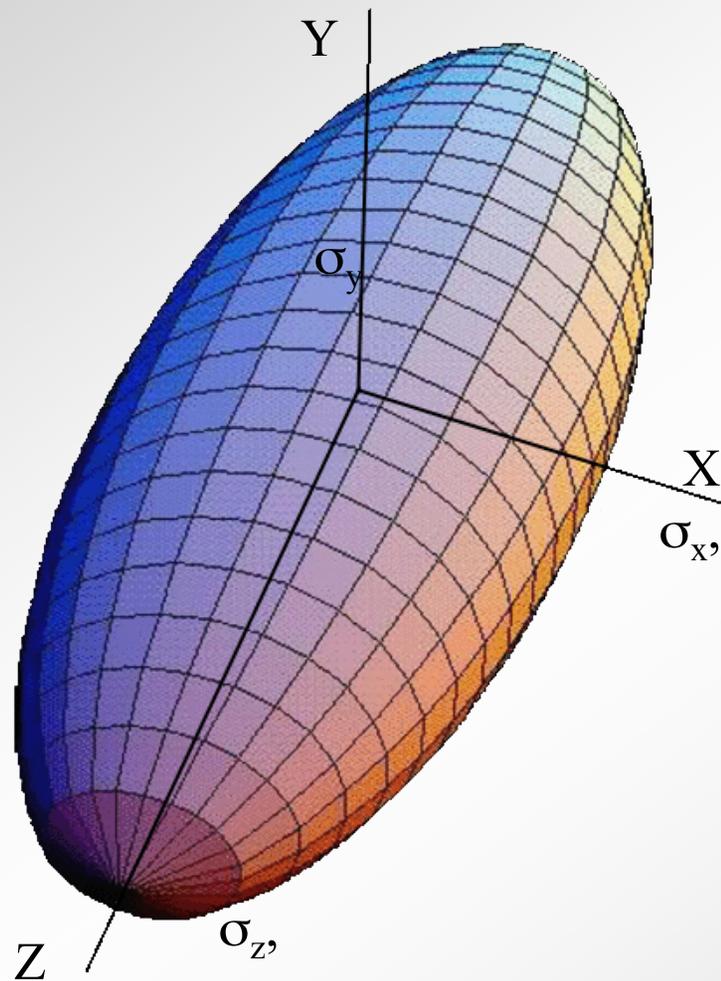
The International Linear Collider proposes to collide bunches of  $e^-$  &  $e^+$  with 10 nC each. Each bunch will be  $3 \mu\text{m}$  long & 10 nm in radius.

When the bunches overlap at the Interaction Point, what self-forces will particles at the edges of the beams experience? How large are the fields?

What consequences might you expect?



# Bunch dimensions



For uniform charge distributions

We may use “hard edge values

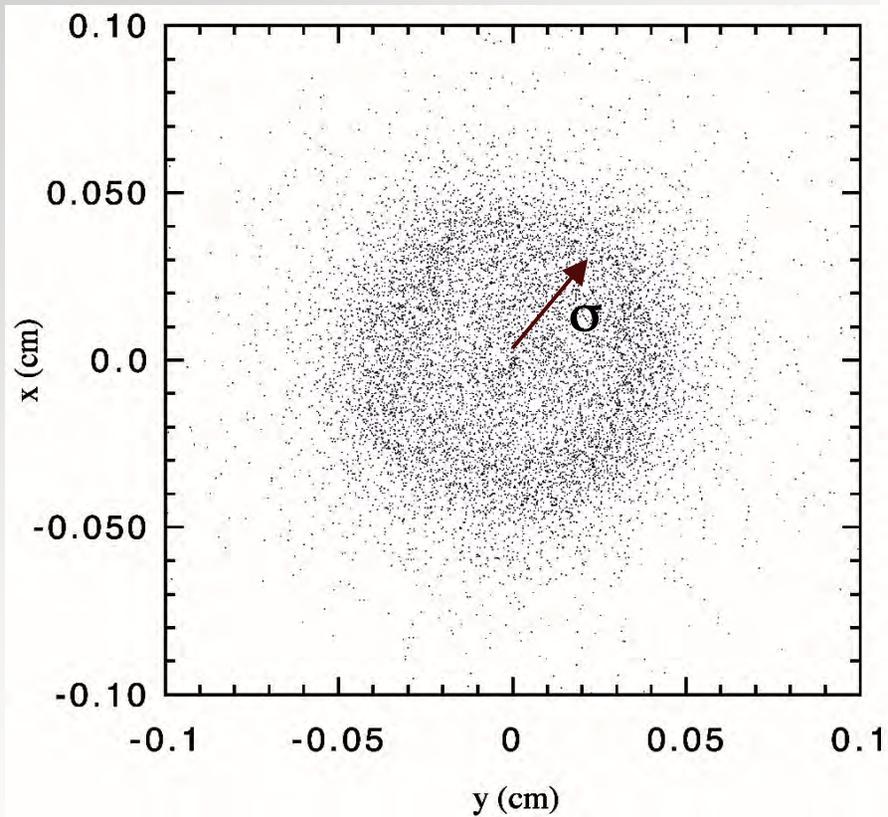
For gaussian charge distributions

Use rms values  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$

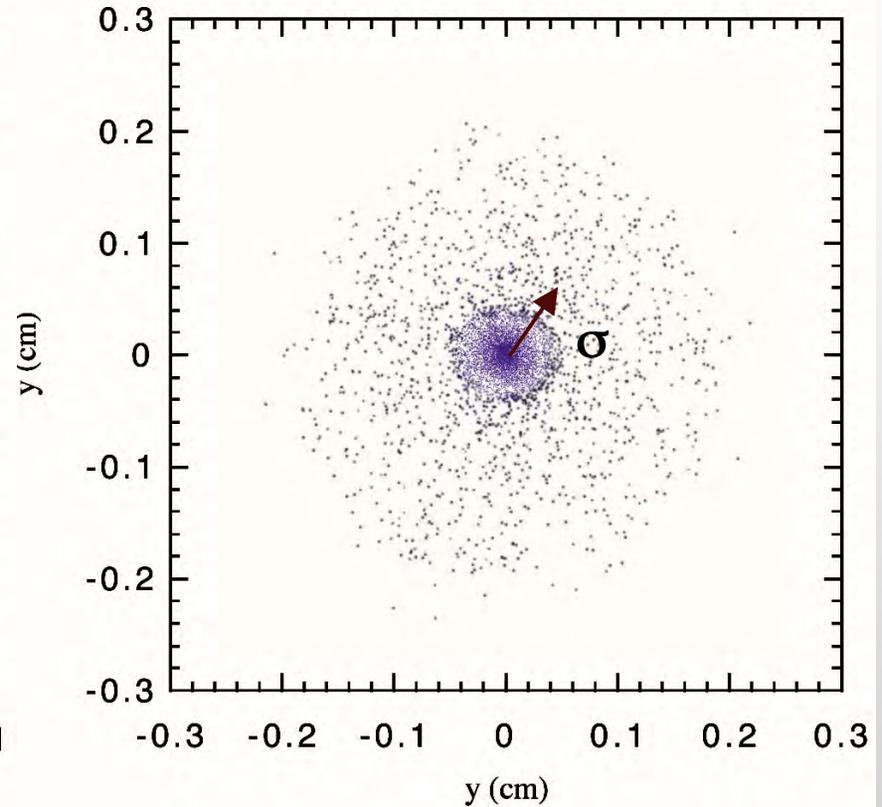
We will discuss measurements of  
bunch size and charge distribution later



## But rms values can be misleading



Gaussian beam



Beam with halo

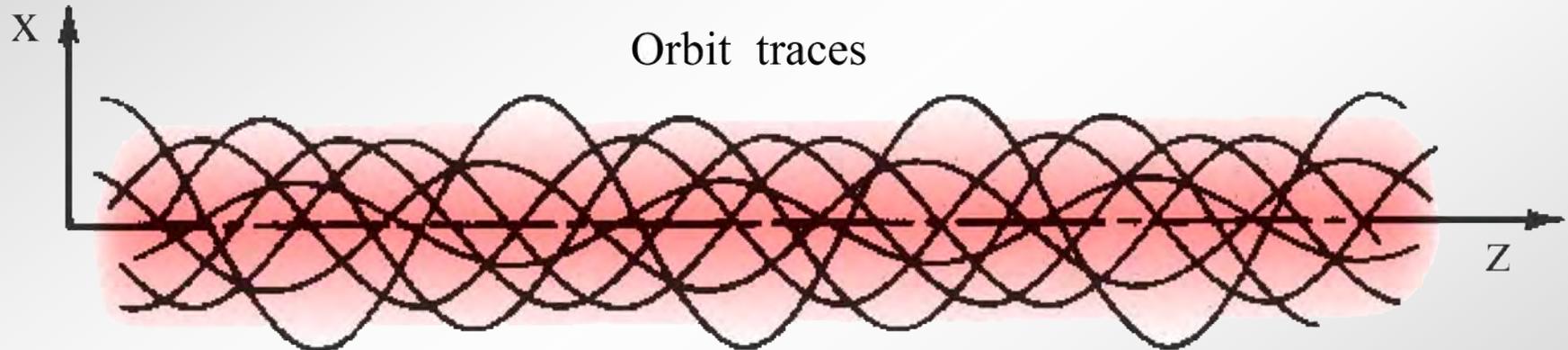
*We need to measure the particle distribution*



**What is this thing called beam quality?**  
*or*  
**How can one describe the dynamics of  
a bunch of particles?**

# Coordinate space

Each of  $N_b$  particles is tracked in ordinary 3-D space



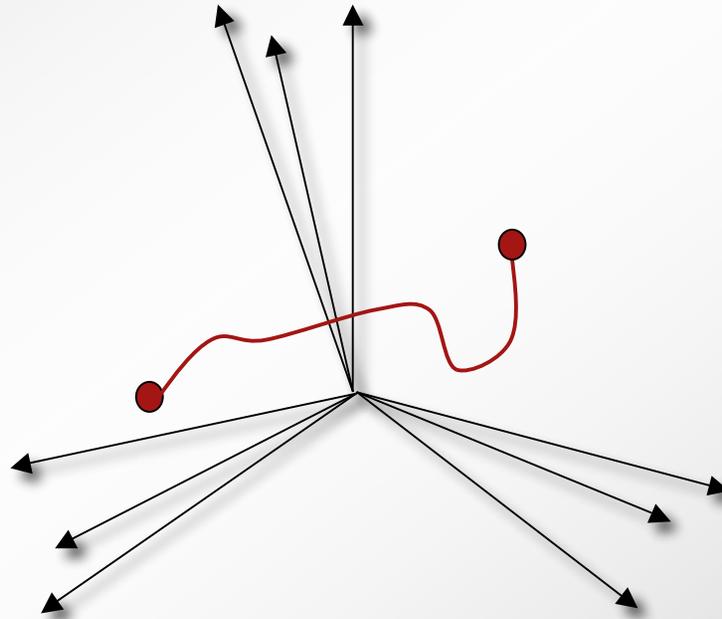
*Not too helpful*



## Configuration space:

$6N_b$ -dimensional space for  $N_b$  particles; coordinates  $(x_i, p_i)$ ,  $i = 1, \dots, N_b$

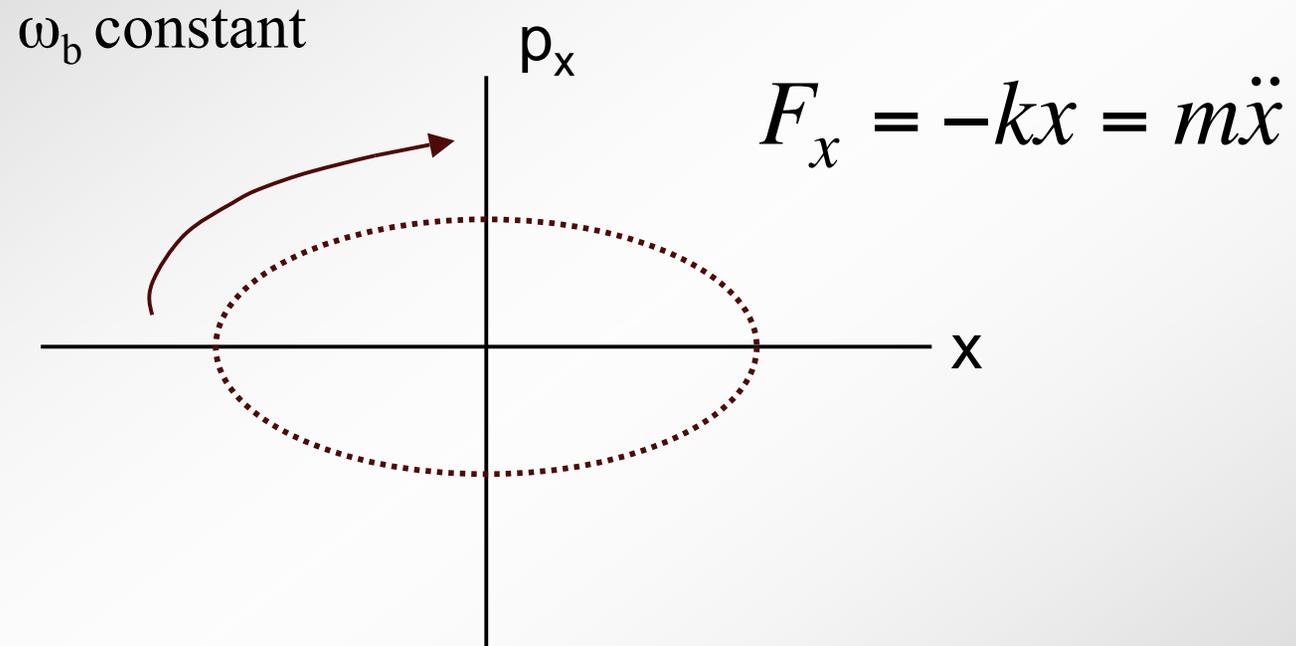
The bunch is represented by a single point that moves in time



*Useful for Hamiltonian dynamics*



## Configuration space example: One particle in an harmonic potential



But for many problems this description carries  
much more information than needed :

We don't care about each of  $10^{10}$  individual particles  
*But seeing both the  $x$  &  $p_x$  looks useful*

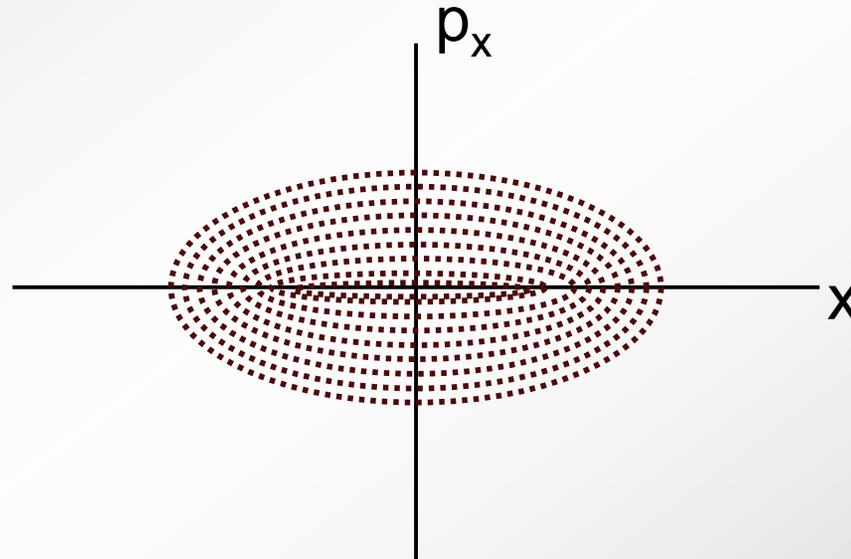


## Option 3: Phase space (gas space in statistical mechanics)

6-dimensional space for  $N_b$  particles

The  $i^{\text{th}}$  particle has coordinates  $(x_i, p_i)$ ,  $i = x, y, z$

The bunch is represented by  $N_b$  points that move in time



In most cases, the three planes are to very good approximation decoupled  
==> One can study the particle evolution independently in each planes:



# Particles Systems & Ensembles

- ❖ The set of possible states for a system of  $N$  particles is referred as an *ensemble* in statistical mechanics.
- ❖ In the statistical approach, particles lose their individuality.
- ❖ Properties of the whole system are fully represented by particle density functions  $f_{6D}$  and  $f_{2D}$  :

$$f_{6D}(x, p_x, y, p_y, z, p_z) dx dp_x dy dp_y dz dp_z \quad f_{2D}(x_i, p_i) dx_i dp_i \quad i = 1, 2, 3$$

where

$$\int f_{6D} dx dp_x dy dp_y dz dp_z = N$$



# Longitudinal phase space

- ❖ In most accelerators the phase space planes are only weakly coupled.
  - Treat the longitudinal plane independently from the transverse one
  - Effects of weak coupling can be treated as a perturbation of the uncoupled solution
- ❖ In the longitudinal plane, electric fields accelerate the particles
  - Use *energy* as longitudinal variable together with its canonical conjugate *time*
- ❖ Frequently, we use *relative energy variation*  $\delta$  & *relative time*  $\tau$  with respect to a reference particle

$$\delta = \frac{E - E_0}{E_0} \quad \tau = t - t_0$$

- ❖ According to Liouville, in the presence of Hamiltonian forces, the area occupied by the beam in the longitudinal phase space is conserved



## Transverse phase space

- ❖ For transverse planes  $\{x, p_x\}$  and  $\{y, p_y\}$ , use a modified phase space where the momentum components are replaced by:

$$p_{xi} \rightarrow x' = \frac{dx}{ds} \quad p_{yi} \rightarrow y' = \frac{dy}{ds}$$

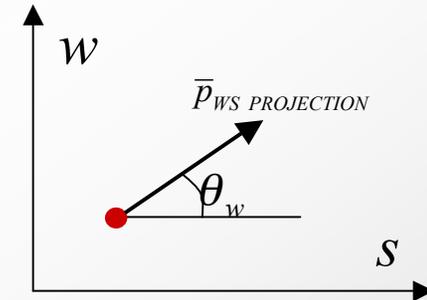
where  $s$  is the direction of motion

- ❖ We can relate the old and new variables (for  $B_z \neq 0$ ) by

$$p_i = \gamma m_0 \frac{dx_i}{dt} = \gamma m_0 v_s \frac{dx_i}{ds} = \gamma \beta m_0 c x'_i \quad i = x, y$$

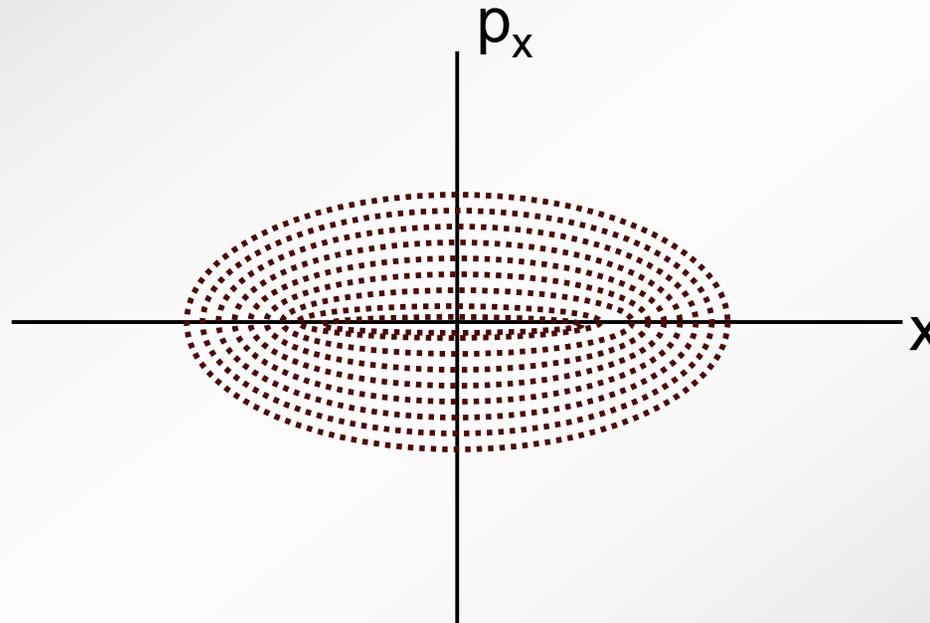
$$\text{where } \beta = \frac{v_s}{c} \quad \text{and} \quad \gamma = (1 - \beta^2)^{-1/2}$$

Note:  $x_i$  and  $p_i$  are canonical conjugate variables while  $x$  and  $x'_i$  are not, unless there is no acceleration ( $\gamma$  and  $\beta$  constant)





# Consider an ensemble of harmonic oscillators in phase space



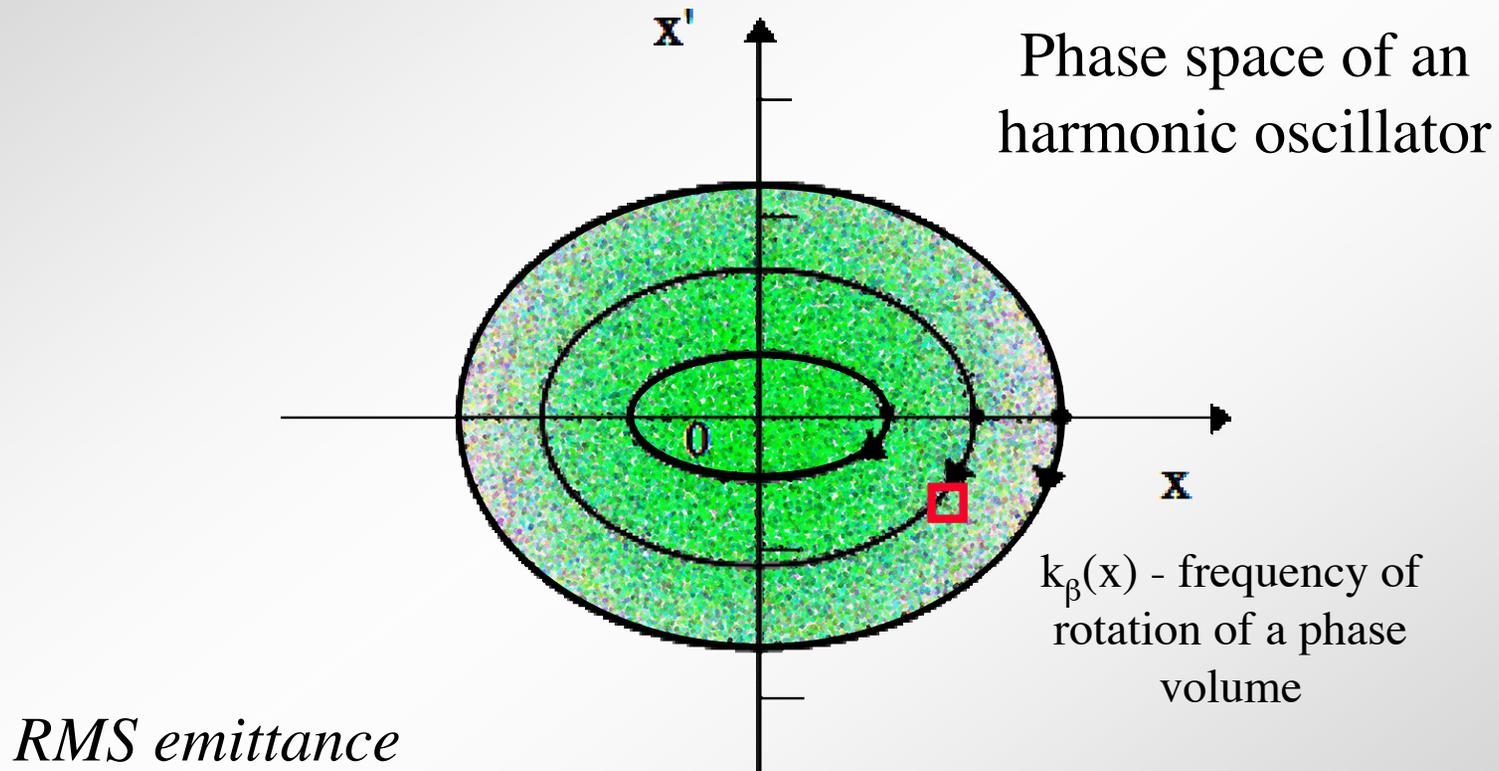
Particles stay on their energy contour.

Again the phase area of the ensemble is conserved



# Emittance describes area in phase space of the ensemble of beam particles

Emittance - Phase space volume of beam



$$\varepsilon^2 \equiv R^2 (V^2 - (R')^2) / c^2$$



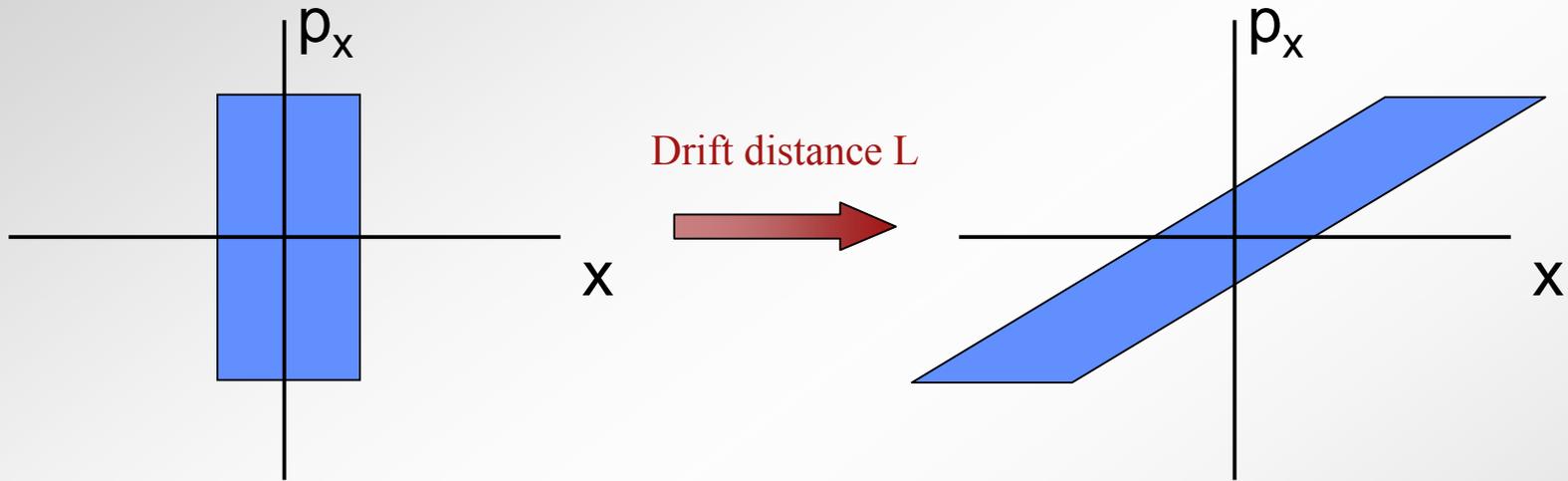
## 5 minute exercise

**What is the significance of  
(physical interpretation of)  
the term**

$$\frac{R^2 (R')^2}{c^2} \quad ?$$



# Force-free expansion of a beam

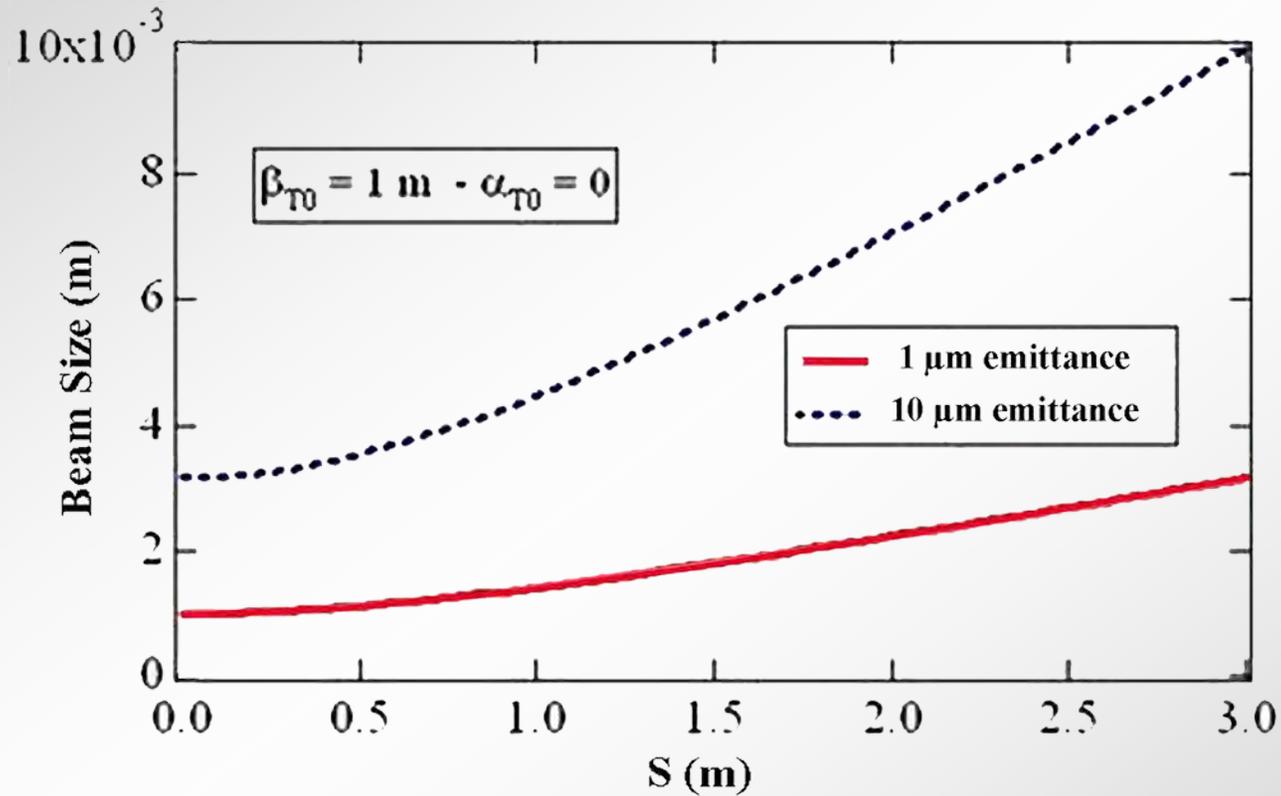


*Notice: The phase space area is conserved*

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} \Rightarrow \begin{aligned} x &= x_0 + Lx'_0 \\ x' &= x'_0 \end{aligned}$$



# A numerical example: Free expansion of a due due to emittance



$$R^2 = R_o^2 + V_o^2 L^2 = R_o^2 + \frac{\varepsilon^2}{R_o^2} L^2$$

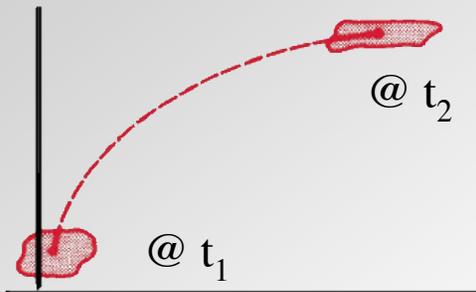


*This emittance is the phase space area occupied by the system of particles, divided by  $\pi$*

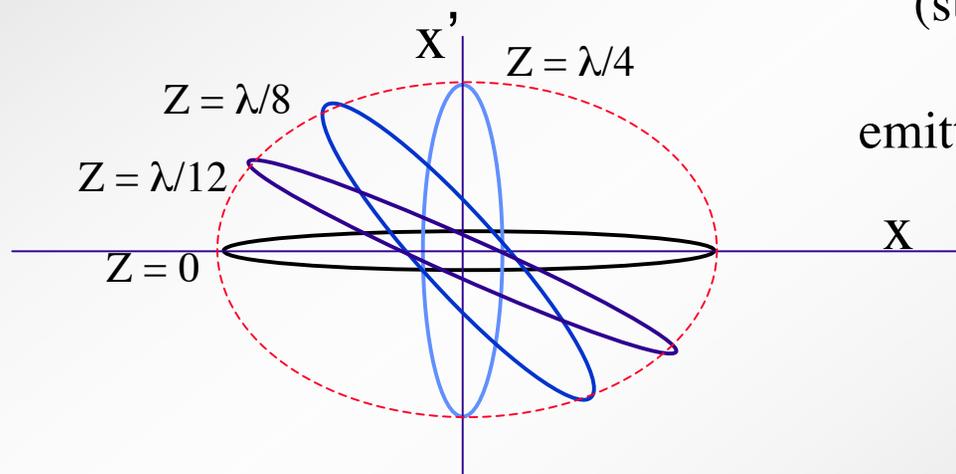
**The rms emittance is a measure of the mean non-directed (thermal) energy of the beam**



# Why is emittance an important concept



1) Liouville: Under conservative forces phase space evolves like an incompressible fluid ==>



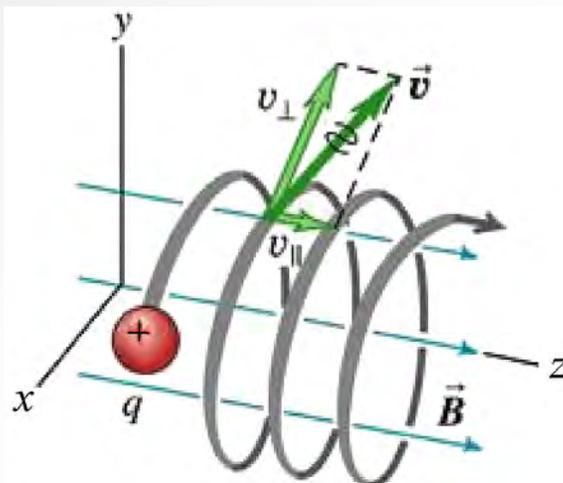
2) Under linear forces macroscopic (such as focusing magnets) &  $\gamma = \text{constant}$  emittance is an invariant of motion

3) Under acceleration  $\gamma \varepsilon = \varepsilon_n$  is an adiabatic invariant



## Emittance conservation with $B_z$

- ❖ An axial  $B_z$  field, (e.g., solenoidal lenses) couples transverse planes
  - The 2-D Phase space area occupied by the system in each transverse plane is no longer conserved

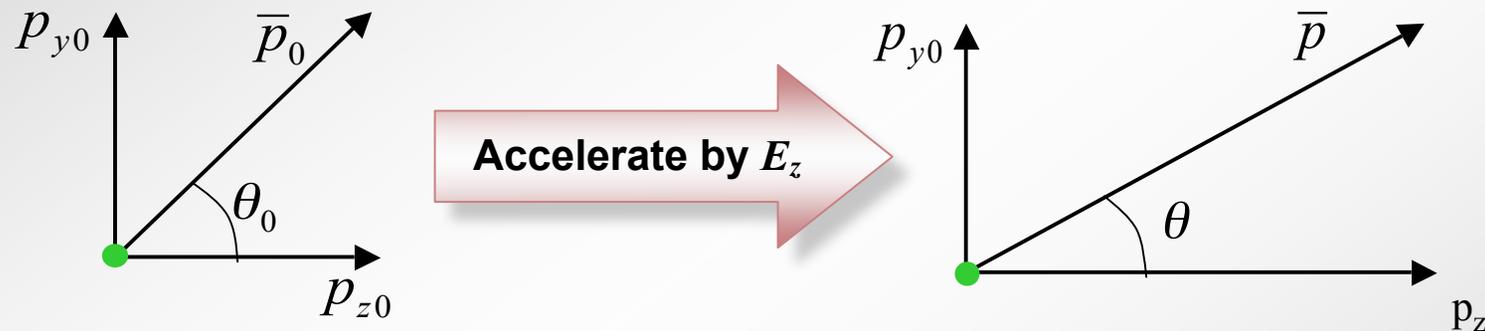


- ❖ Liouville's theorem still applies to the 4D transverse phase space
  - the 4-D hypervolume is an invariant of the motion
- ❖ In a frame rotating around the  $z$  axis by the *Larmor frequency*  $\omega_L = qB_z / 2g m_0$ , the transverse planes decouple
  - The phase space area in each of the planes is conserved again



## Emittance during acceleration

- ❖ When the beam is accelerated,  $\beta$  &  $\gamma$  change
  - $x$  and  $x'$  are no longer canonical
  - Liouville theorem does not apply & emittance is not invariant



$$p_z = \sqrt{\frac{T^2 + 2Tm_0c^2}{T_0^2 + 2T_0m_0c^2}} p_{z0}$$

$T \equiv \text{kinetic energy}$



# Then...

$$y'_0 = \tan \theta_0 = \frac{p_{y0}}{p_{z0}} = \frac{p_{y0}}{\beta_0 \gamma_0 m_0 c} \quad y' = \tan \theta = \frac{p_y}{p_z} = \frac{p_{y0}}{\beta \gamma m_0 c} \quad \frac{y'}{y'_0} = \frac{\beta_0 \gamma_0}{\beta \gamma}$$

In this case  $\frac{\varepsilon_y}{\varepsilon_{y0}} = \frac{y'}{y'_0} \implies \boxed{\beta \gamma \varepsilon_y = \beta_0 \gamma_0 \varepsilon_{y0}}$

- ❖ Therefore, the quantity  $\beta \gamma \varepsilon$  is invariant during acceleration.
- ❖ Define a conserved *normalized emittance*

$$\boxed{\varepsilon_{ni} = \beta \gamma \varepsilon_i \quad i = x, y}$$

*Acceleration couples the longitudinal plane with the transverse planes*  
*The 6D emittance is still conserved but the transverse ones are not*

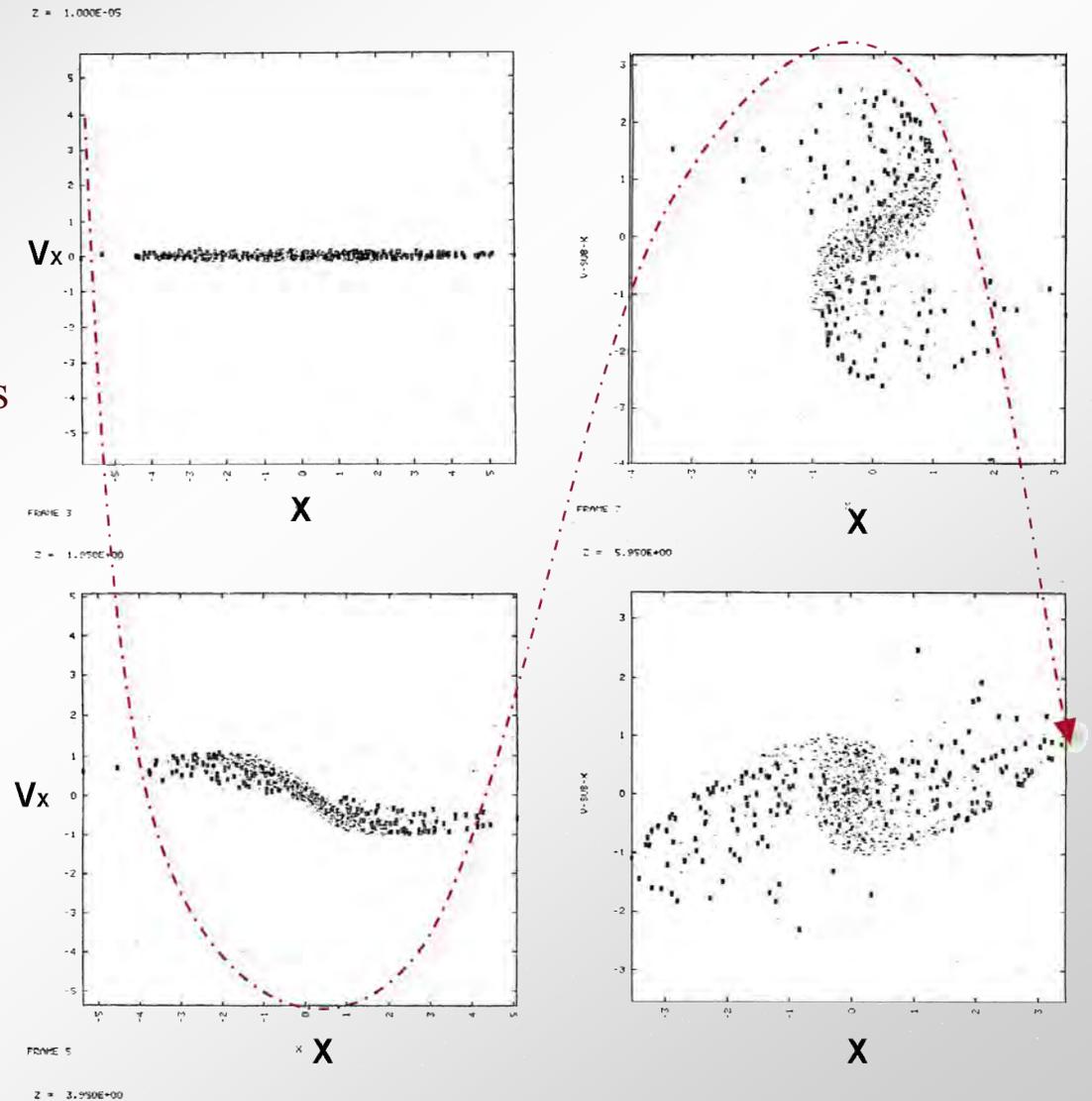


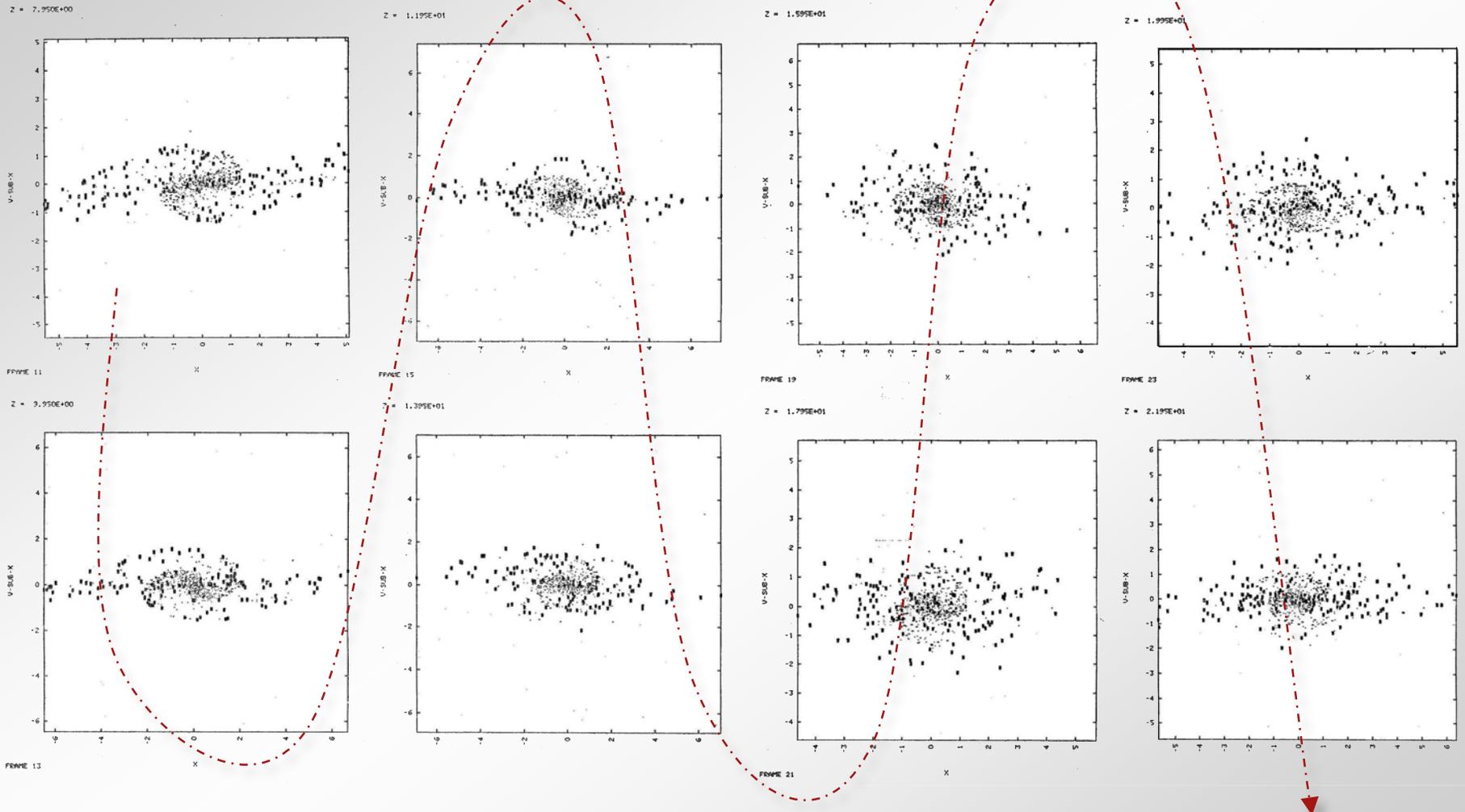
# Nonlinear space-charge fields filament phase space via Landau damping

Consider a cold beam with a Gaussian charge distribution entering a dense plasma

At the beam head the plasma shorts out the  $E_r$  leaving only the azimuthal B-field

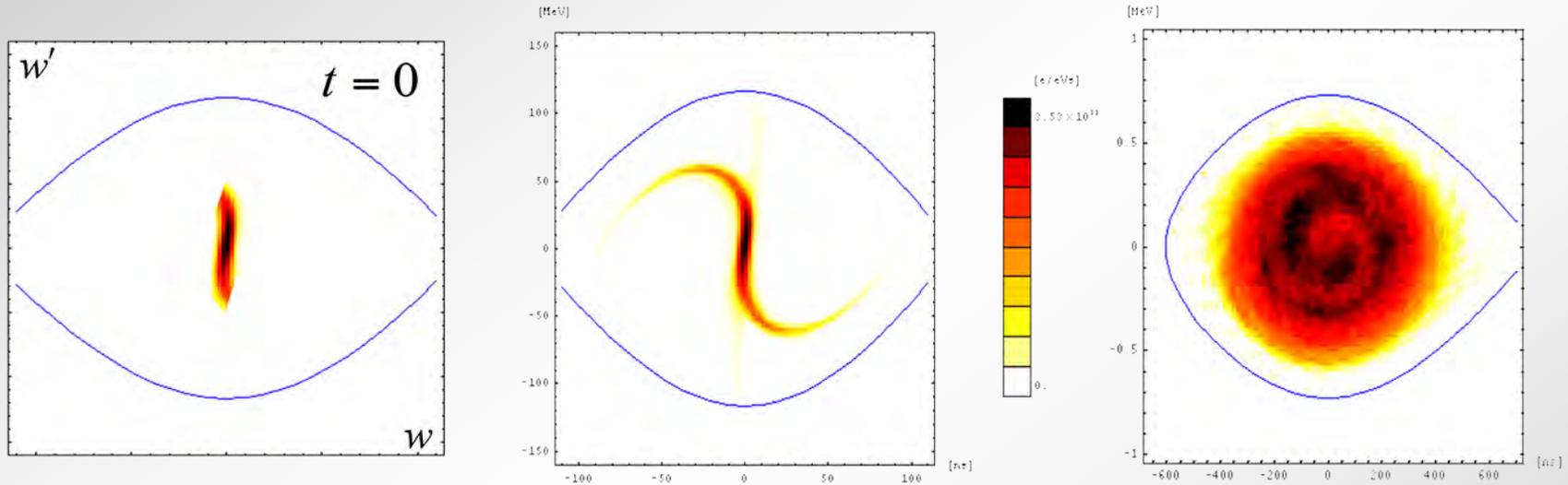
The beam begins to pinch trying to find an equilibrium radius







# Experimental example: Filamentation of longitudinal phase space



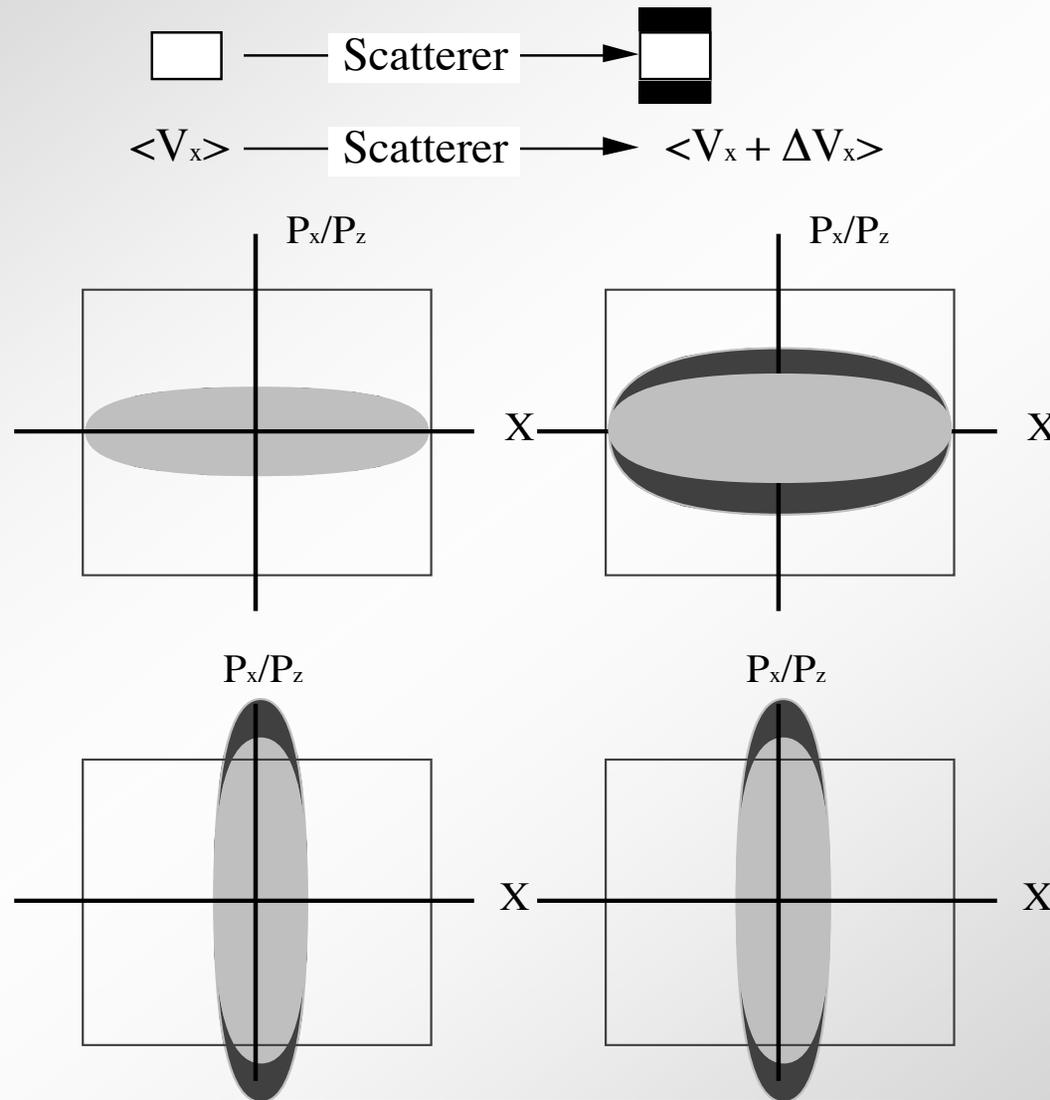
*Data from CERN PS*

The emittance according to Liouville is still conserved

*Macroscopic (rms) emittance is not conserved*



# Non-conservative forces increase emittance (scattering)





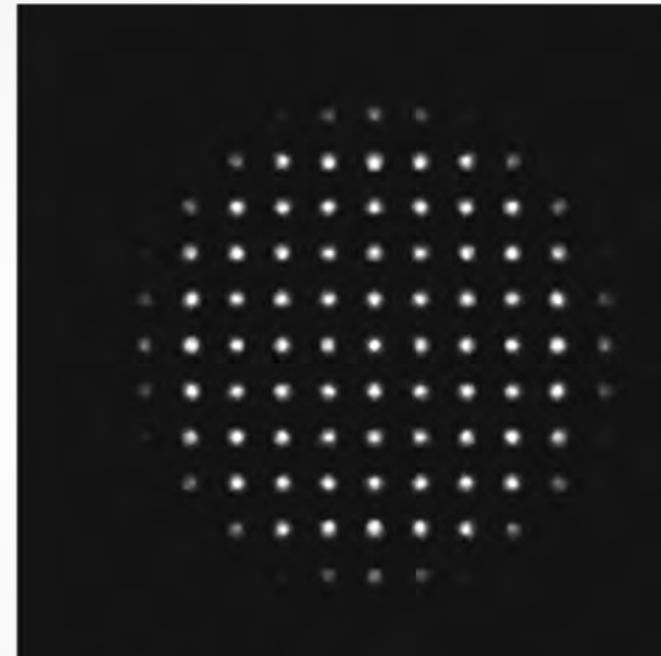
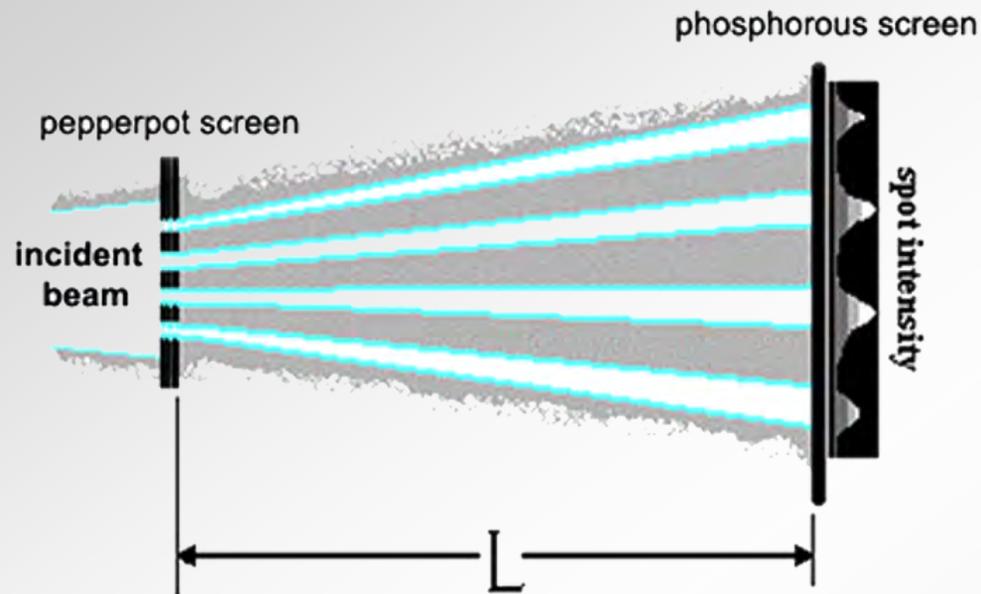
## Measuring the emittance of the beam

$$\varepsilon^2 = R^2(V^2 - (R')^2)/c^2$$

- ❖ RMS emittance
  - Determine rms values of velocity & spatial distribution
- ❖ Ideally determine distribution functions & compute rms values
- ❖ Destructive and non-destructive diagnostics



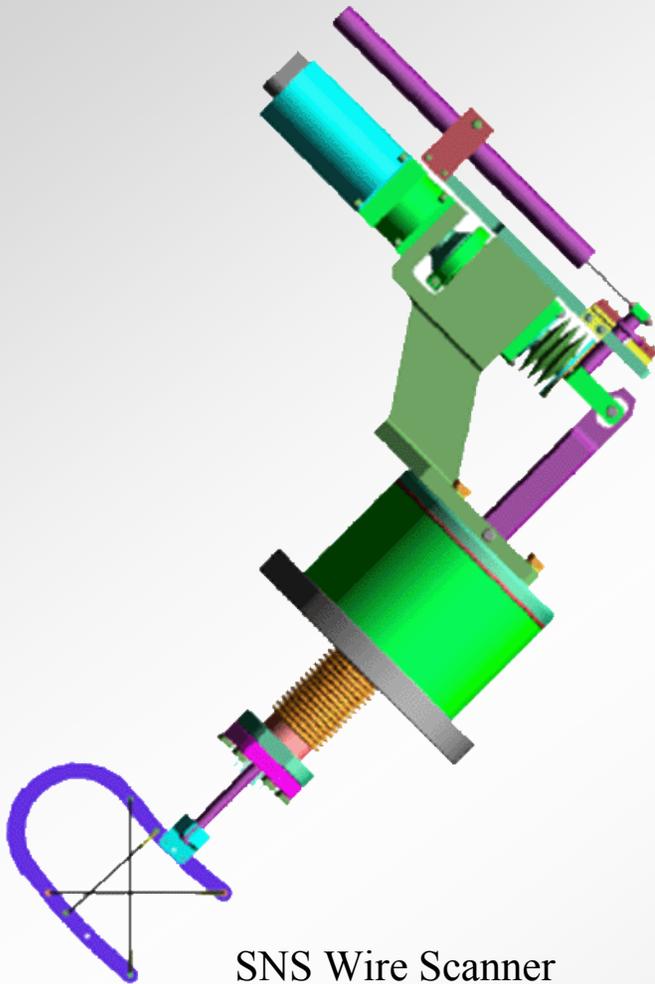
## Example of pepper-pot diagnostic



- ❖ Size of image  $\implies R$
- ❖ Spread in overall image  $\implies R'$
- ❖ Spread in beamlets  $\implies V$
- ❖ Intensity of beamlets  $\implies$  current density



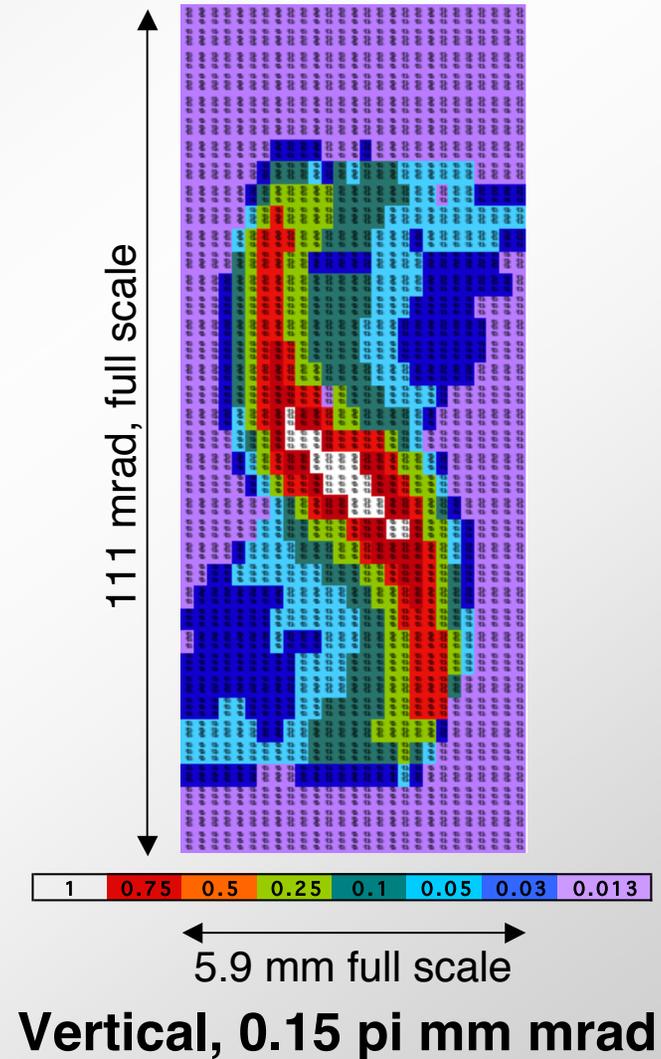
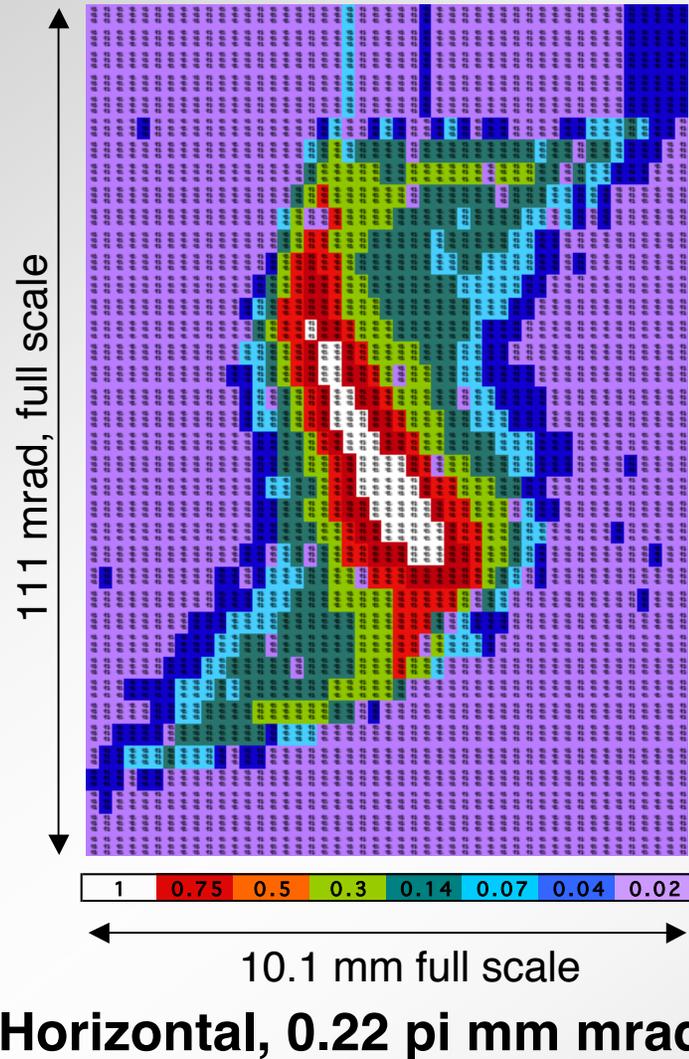
## Wire scanning to measure $R$ and $\epsilon$



- ❖ Measure x-ray signal from beam scattering from thin tungsten wires
- ❖ Requires at least 3 measurements along the beamline



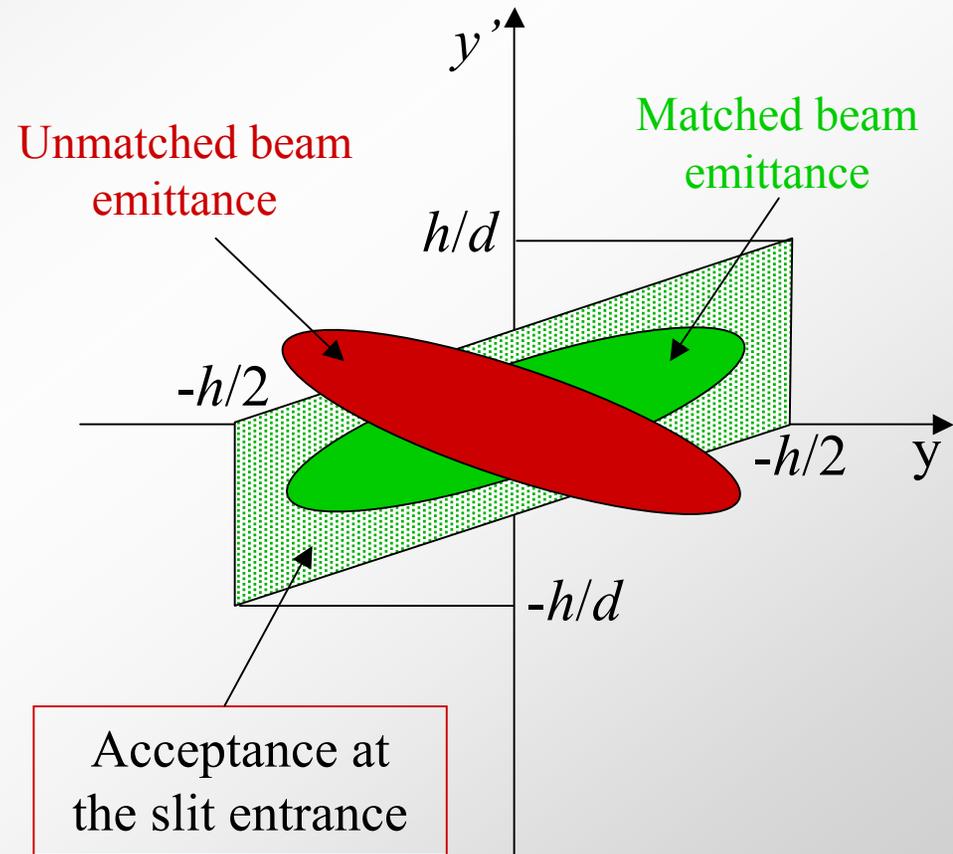
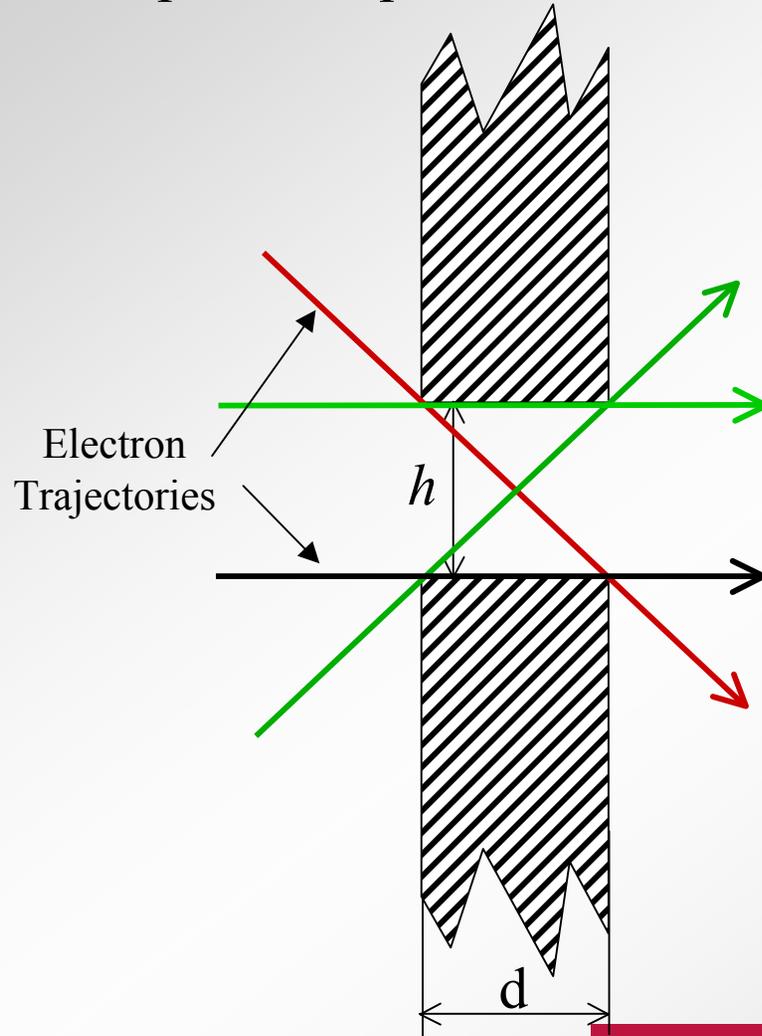
# Measured 33-mA Beam RMS Emittances





# The Concept of Acceptance

Example: Acceptance of a slit





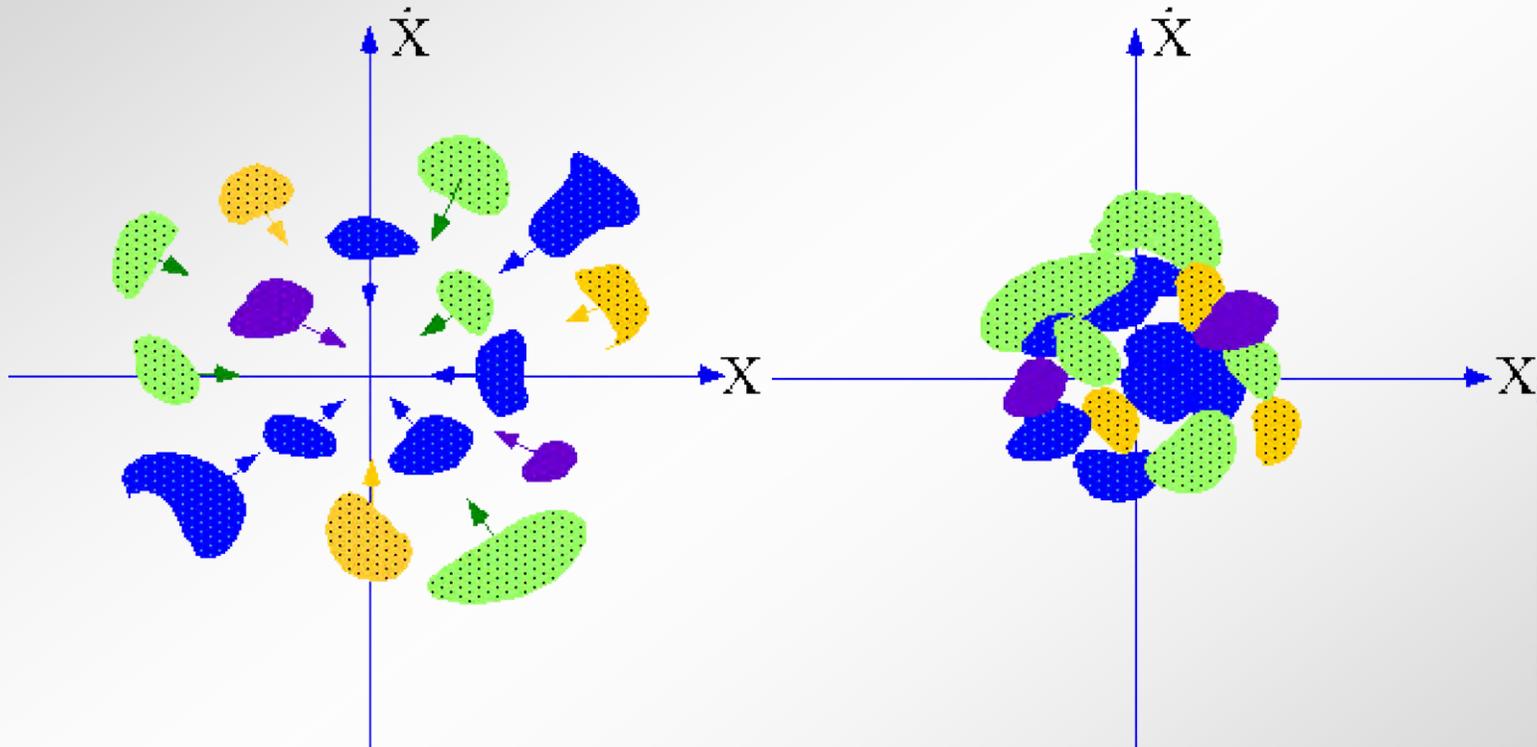
## Is there any way to decrease the emittance?

This means taking away mean transverse momentum,  
but  
keeping mean longitudinal momentum

*We'll leave the details for later in the course.*



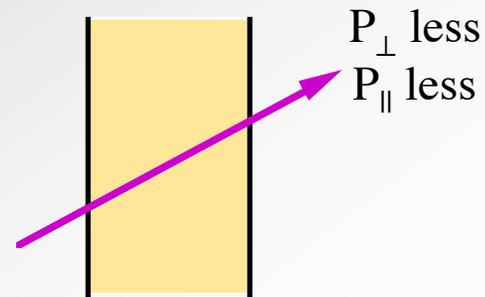
# Phase-Space Cooling in Any One Dimension



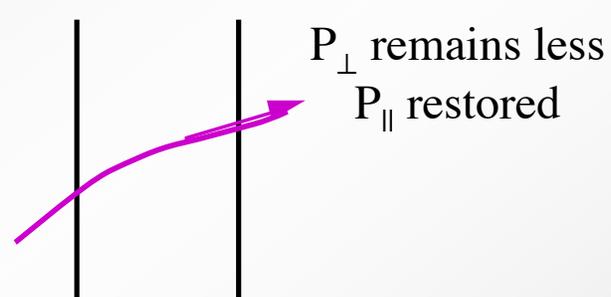


# Schematic: radiation & ionization cooling

## Transverse cooling:



**Passage  
through dipoles**



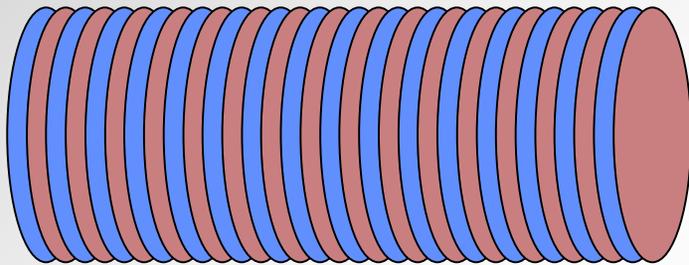
**Acceleration  
in RF cavity**

*Limited by quantum excitation*



# Cartoon of transverse stochastic cooling

Van der Meer Nobel prize



Divide (sample) the beam into disks

- 1) rf pick-up samples centroid of disks
- 2) Kicker electrode imparts  $v_{\perp}$   
to center the disk
- 3) Mix up the particles & repeat

