

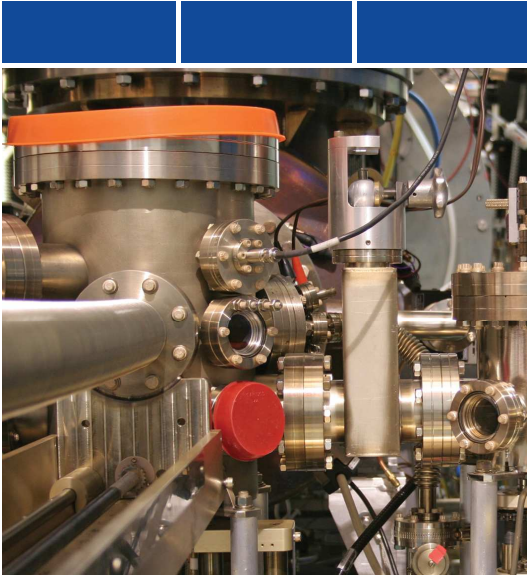
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# Vacuum Science and Technology for Accelerator Vacuum Systems

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# Table of Contents

- Vacuum Fundamentals
- Vacuum Instrumentation
- **Vacuum Pumps**
- Vacuum Components/Hardware
- Vacuum Systems Engineering
- Accelerator Vacuum Considerations, etc.

## SESSION 3.2: CAPTURE PUMPS

- *As named, these types of pumps operate by capturing gas molecules and binding them to a surface.*
- *The captured gases may be chemically bonded (chemisorbed), condensed (physisorbed), and/or buried.*
- *Capture pumps are naturally very clean. There are no moving parts, thus no lubrications, no noises. (But there may be particulates!)*
- *Most capture pumps have finite pumping capacity. After reaching the capacity, a pump has to be regenerated, or/and replaced. As such, a vacuum system needs to be 'roughed' down before a capture pump become functional.*
- *A good reference: Kimo M. Welch, "**Capture Pumping Technology**", 2<sup>nd</sup> Ed. Elsevier, North-Holland, 2006*

# Capture Pumping – Category



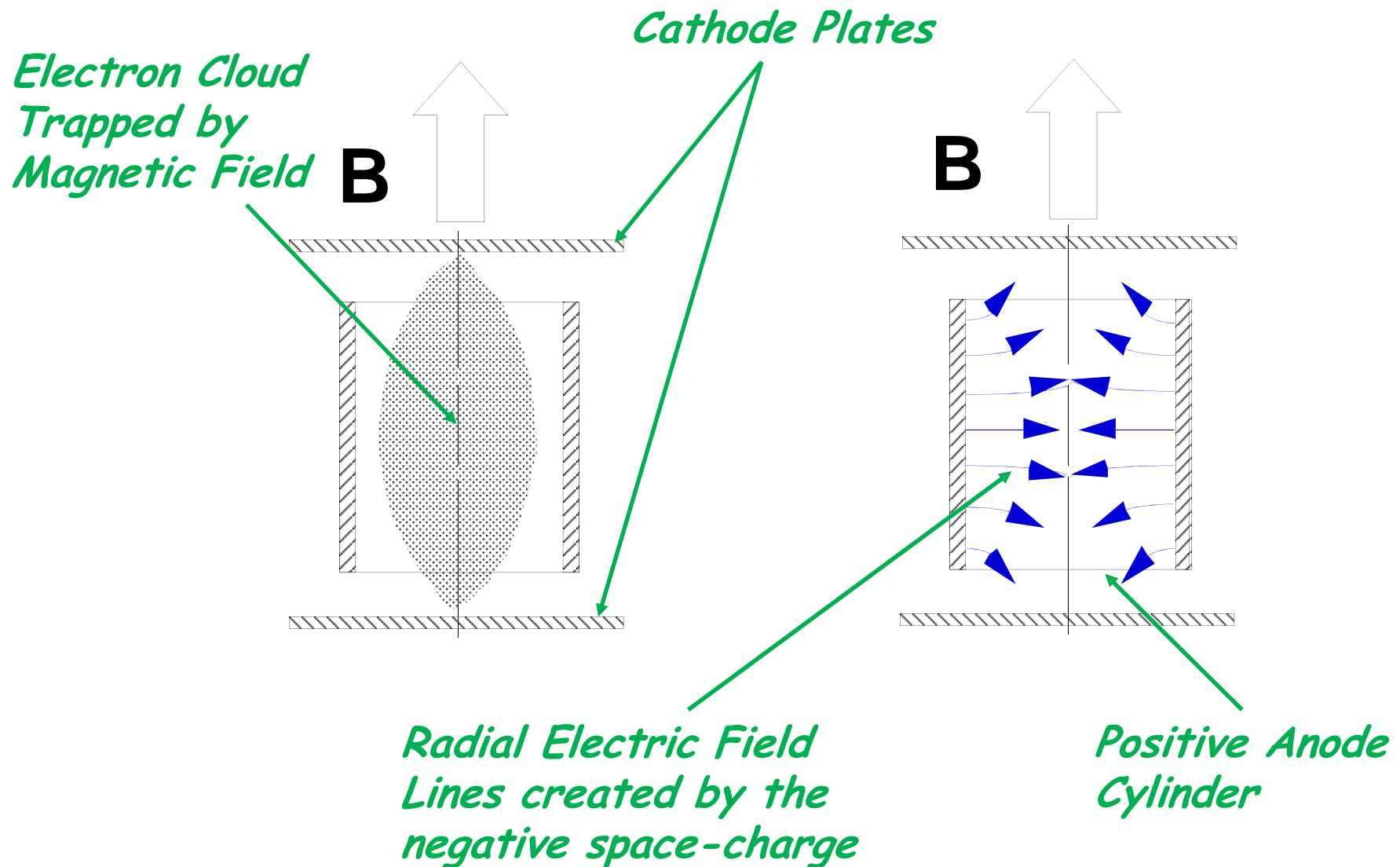
	Pumps	Properties
Active Pumping	Sputtering Ion Pumps	<ol style="list-style-type: none"> <li>1. Pump all gases, including noble gases</li> <li>2. Working range: <math>10^{-5} \sim 10^{-11}</math> torr</li> <li>3. Very high lifetime capacity</li> </ol>
Passive Pumping Physi-sorption	Sorption pumps	<ol style="list-style-type: none"> <li>1. Pump most air gases</li> <li>2. Limited capacity</li> <li>3. Working range: atm. <math>\sim 10^{-4}</math> torr</li> </ol>
	Cryo-pumps	<ol style="list-style-type: none"> <li>1. Pump all gases (except helium)</li> <li>2. Working range: <math>10^{-5} \sim 10^{-11}</math> torr</li> <li>3. Very high capacity</li> </ol>
Passive Pumping Chemi-sorption	Titanium sublimation pumps (TiSPs)	<ol style="list-style-type: none"> <li>1. Pump chemically active gases only</li> <li>2. Working range: <math>10^{-6} \sim 10^{-11}</math> torr</li> <li>3. Capacity limited by Ti-covered surface area</li> </ol>
	Non-evaporable getter pumps (NEGs)	<ol style="list-style-type: none"> <li>1. Pump chemically active gases only</li> <li>2. Working range: <math>10^{-6} \sim 10^{-11}</math> torr</li> <li>3. Higher capacity than TiSPs, very high capacity for <math>H_2</math>.</li> </ol>



## SESSION 3.2A: SPUTTER-ION PUMPS

- *Sputter-ion pumps were first commercialized by Varian Associates (now Agilent Technologies, Vacuum Division) as VacIon pumps*
- *Ion pumps are made of a cluster of Penning cells, thus the pumping speed scales with number of cells.*
- *Advantages of ion pumps:*
  - *Very clean (UHV or chemically speaking)*
  - *Wide working pressure range, and for all gases*
  - *(Almost) unlimited pumping capacity*
- *Some concerns of ion pumps:*
  - *May generate particulates (metallic particles from cathodes)*
  - *Stray magnetic field may affect low energy particle beams*
  - *Space and weight*
  - *Radiation hardness of HV cables and controllers*

# Penning Cell and Penning Discharge





$$S = \frac{I^+}{P^n}$$

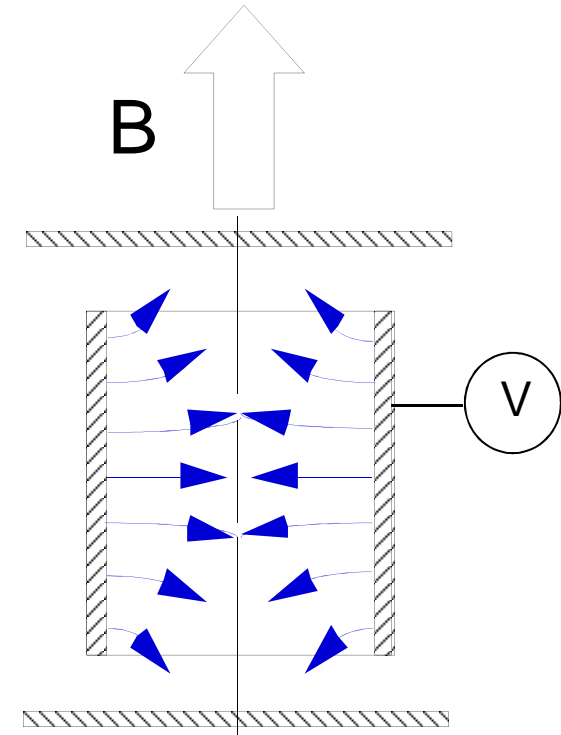
Where  $I^+$  = ion current (Amps)  
 $P$  = pressure (Torr)  
 $n = 1.05 \sim 1.50$



# Parameters Affecting Penning Cell Sensitivity



Anode Voltage	$V$	3.0 - 7.0 kV
Magnetic Field	$B$	0.1 - 0.2 T
Cell Diameter	$d$	1.0 - 3.0 cm
Cell Length	$l$	1.0 - 3.2 cm
Anode-Cathode Gap	$a$	0.6 - 1.0 cm

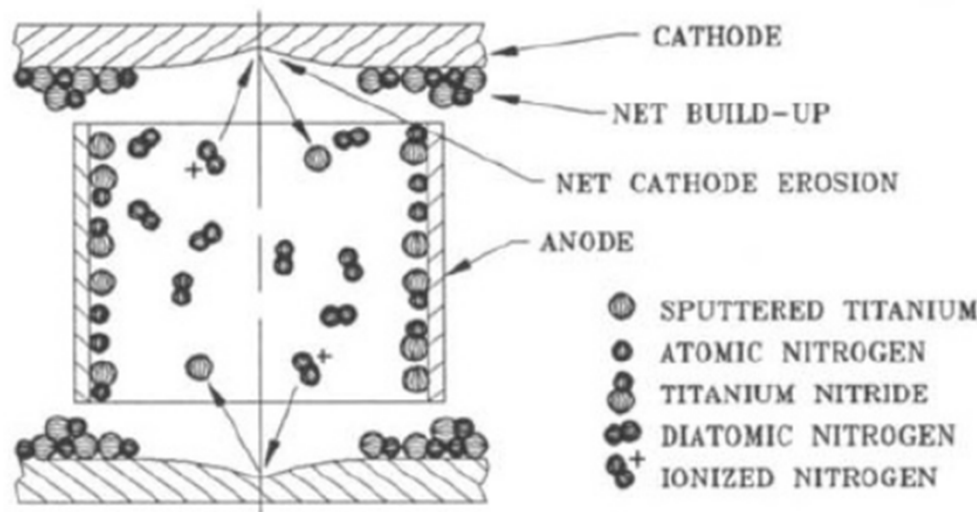




# SIP Pumping Mechanism – General

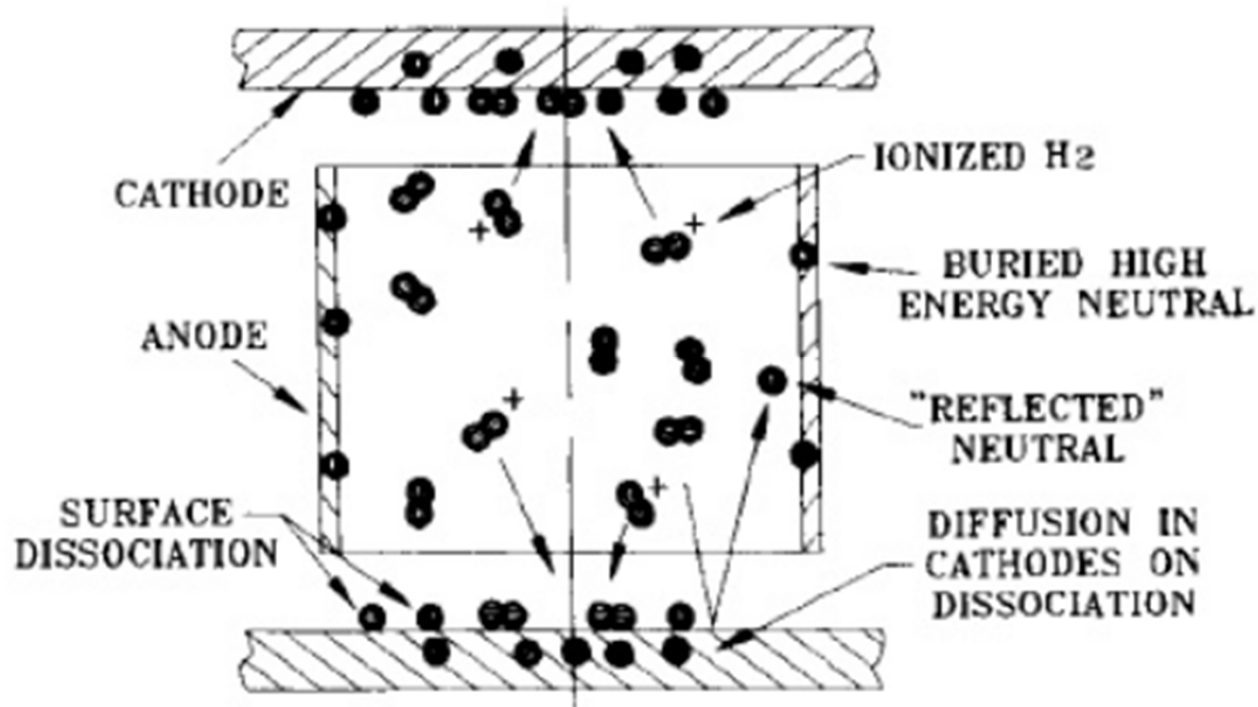


- ❑ An electron 'cloud' build up inside anode cell in the cross-field. The electron cloud may be started with field-emitted electrons, photo-electrons or radiations.
- ❑ The electrons gain kinetic energy in orbiting trajectories, ionize gas molecules by impact.
- ❑ While electrons from ionization contribute to the e-cloud, ions are accelerated towards cathode plates, and sputter off cathode materials.



- Gas molecules may be bonded to the 'fresh' cathode material, that is, **chemi-sorption**
- Or may be buried by the sputtered cathode atoms, that is, physical **embedment**. This is the main pumping mechanism for noble gases.

# *SIP Pumping Mechanism – Hydrogen*



*Sputtering Ion Pumps pump hydrogen gas differently.  
Hydrogen pumping is a two-step process:*

- *Hydrogen molecules dissociatively chemisorb on fresh metallic cathode surface*
- *Adsorbed H atoms then diffuse into the bulk of the cathodes*



# Types of Ion Pumps

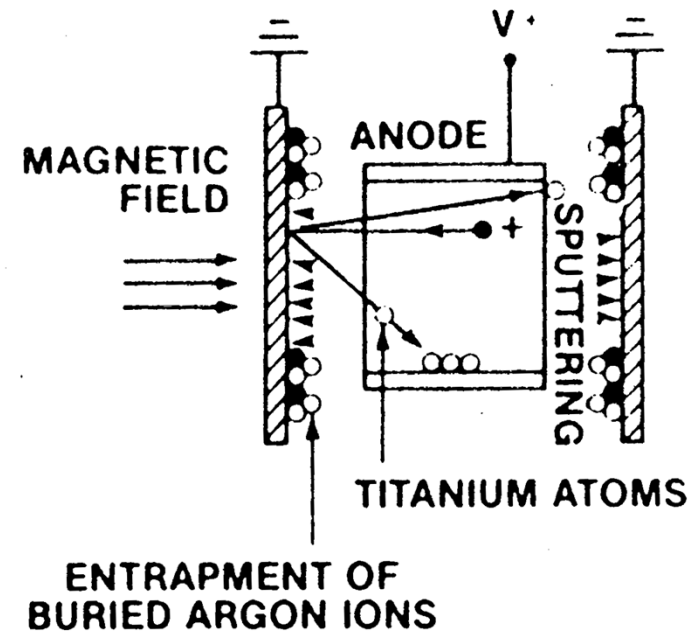
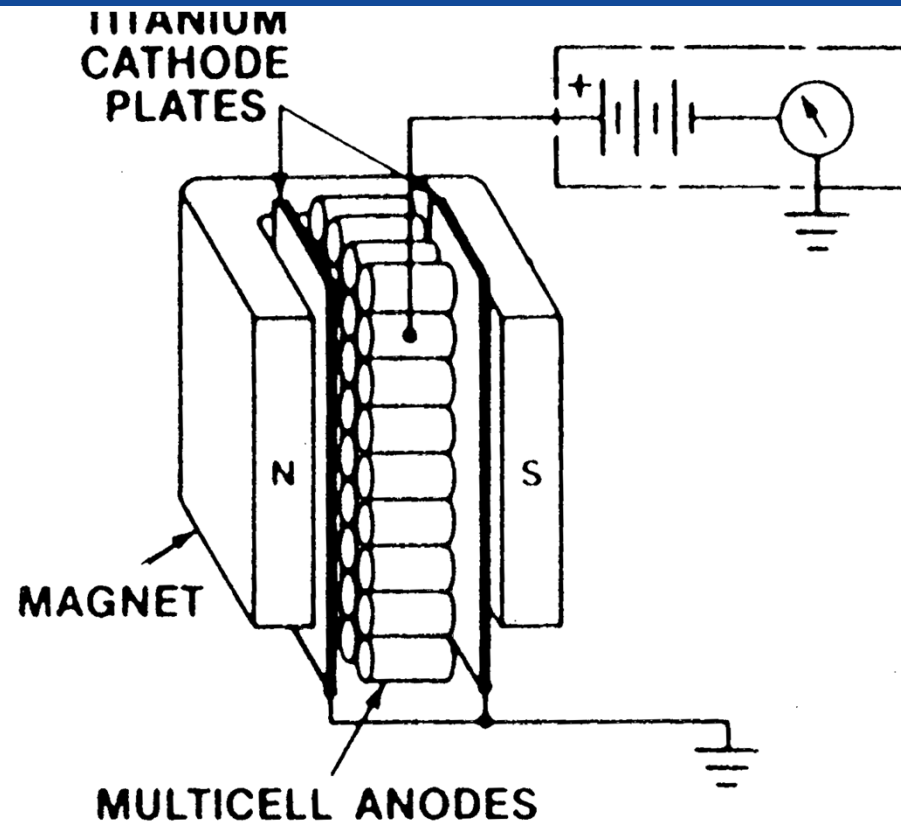
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- ❑ *Diode* - Most commonly used. Best for UHV systems where 98% of the gas is hydrogen. Diodes have the highest hydrogen pumping speed.
- ❑ *Differential (Noble Diode)* - Optimized for pumping noble gases, with a compromise for hydrogen pumping speed. This pump has reduced hydrogen pumping speed.
- ❑ *Triode/Starcell* - good hydrogen pumping speed, also pumps argon well. Good choice for pumping down from higher pressures often.



# Diode sputter-ion pump



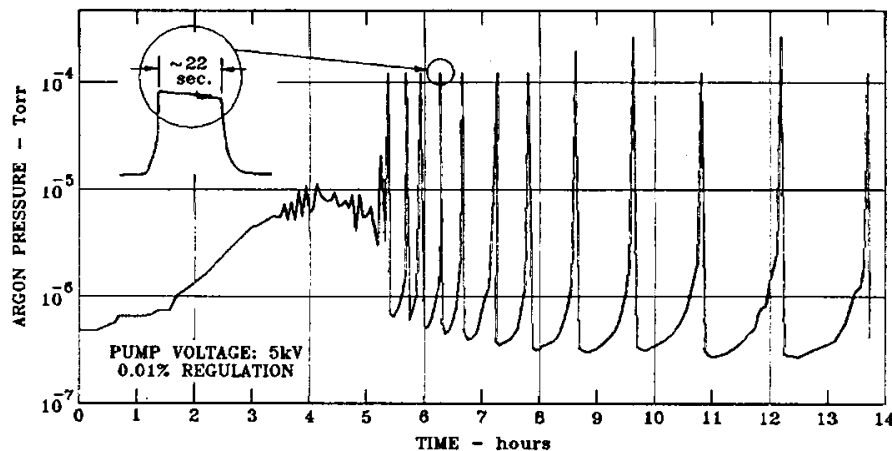
*In a diode ion pump, both cathode plates are commonly made of titanium, due to its high sputtering yields and chemical reactivity*



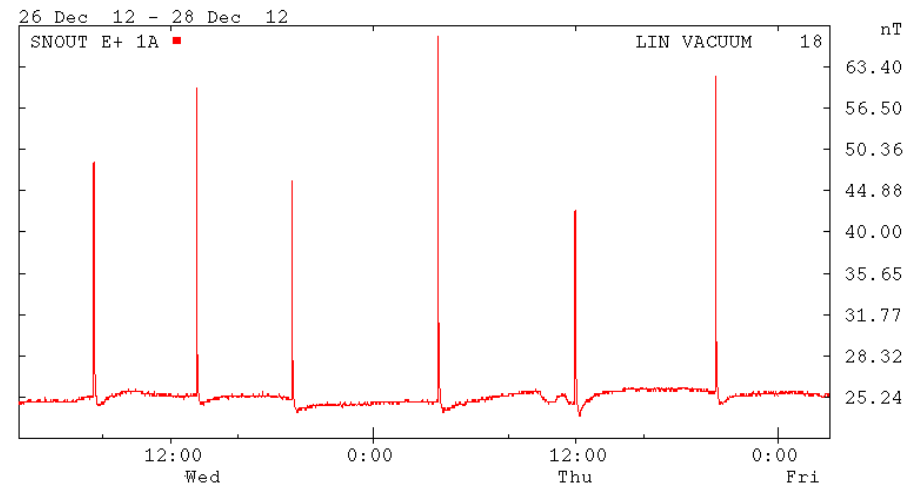
# Argon Instability of Diode Ion Pump



- ❑ Periodic pressure bursts observed for diode ion pump while pumping air or gas mixtures containing inert gases.
- ❑ This phenomena is usually referred as "argon instability", and the burst gas is mostly Ar.
- ❑ The sources of the argon bursts are believed from buried argon (or other noble gases) in the cathode, and then release by sputtering processes.



**SLAC Ar-bursts**



**CESR LINAC Ar-bursts**

# Differential Ion (Noble Diode) Pumps

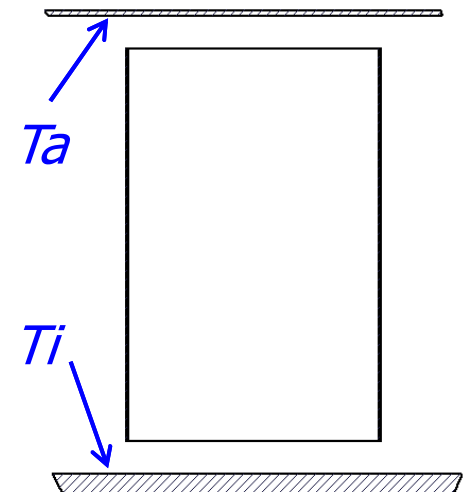
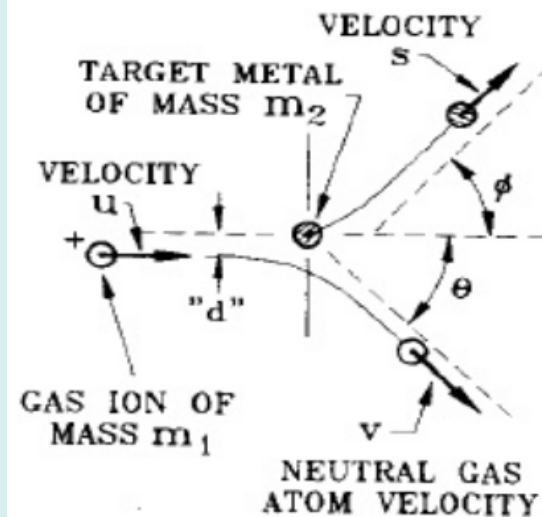


- ❑ In the so-called differential diode pumps, one of the Ti cathode plates is replaced with a heavy metal (commonly tantalum). The argon-instability is no longer an issue in the DI pumps.
- ❑ The enhanced noble gas pumping performance has been explained by a so-called fast neutral theory. The theory claims that the  $Ar^+$  neutralized on cathode surface, and Ar scatters and buried in anode surface. When this occurs on heavier metals, Ar neutral maintains higher velocity, thus buried deeper.

## Fast Neutral Theory

$$\frac{v}{u} = \frac{\cos \theta + (R^2 - \sin \theta)^{1/2}}{R + 1}$$

$$R \equiv m_2 / m_1$$



A D-I Cell

# *Noble Diode vs. Diode Pumps*

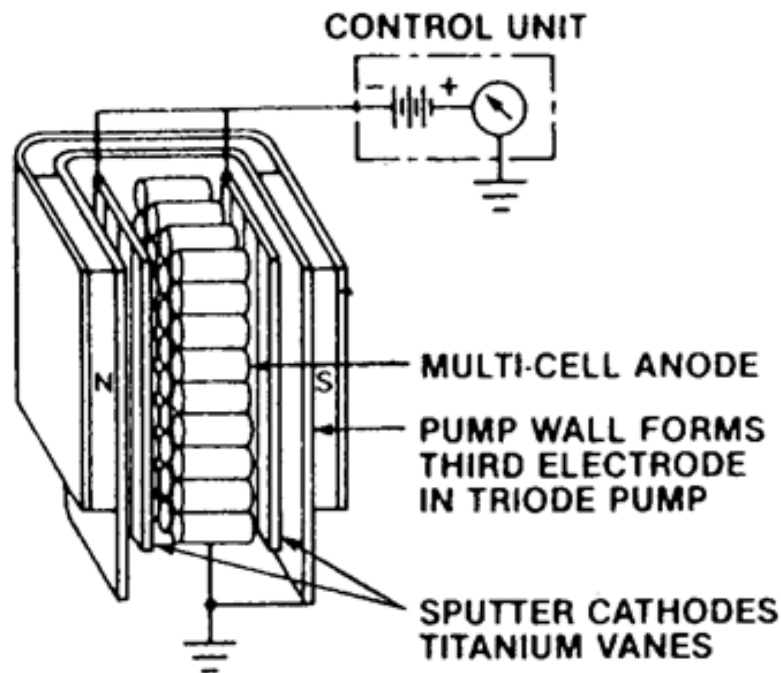


Gas	Noble Diode	Diode
H <sub>2</sub>	160%	220%
CO <sub>2</sub>	100%	100%
N <sub>2</sub>	85%	85%
O <sub>2</sub>	70%	70%
H <sub>2</sub> O	100%	100%
Ar	20%	5%
He	15%	2%
Light Hydrocarbons	90%	90%

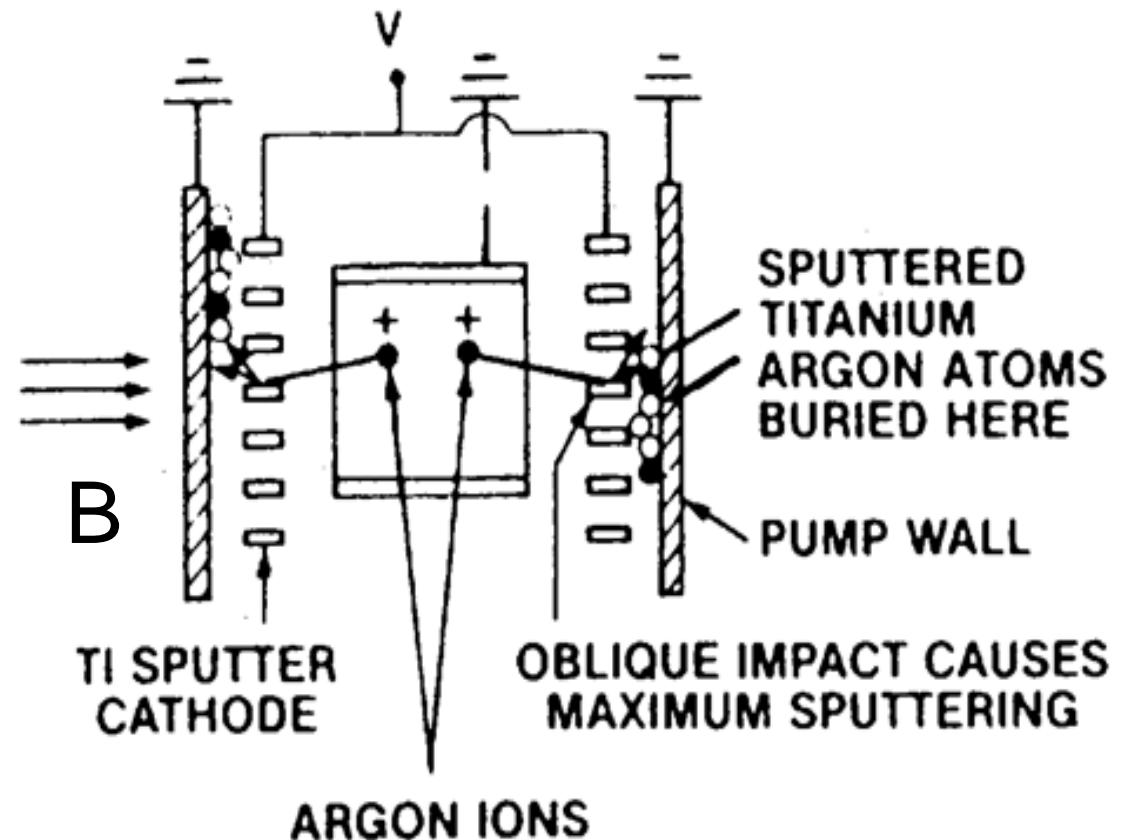




# Triode Ion Pump



*Another type of ion pumps handle noble gases well. Usually the triode pumping elements exchangeable with diode elements.*

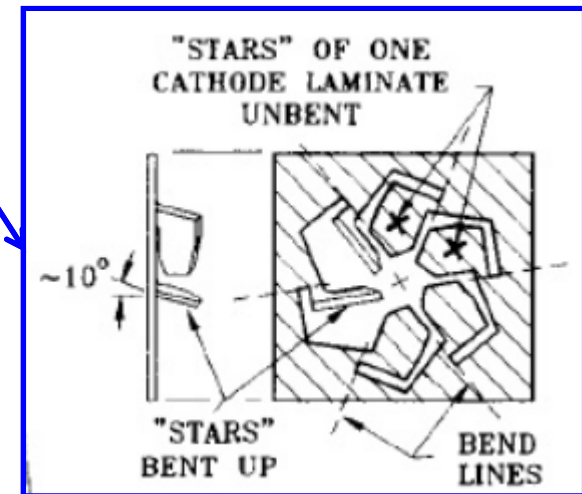
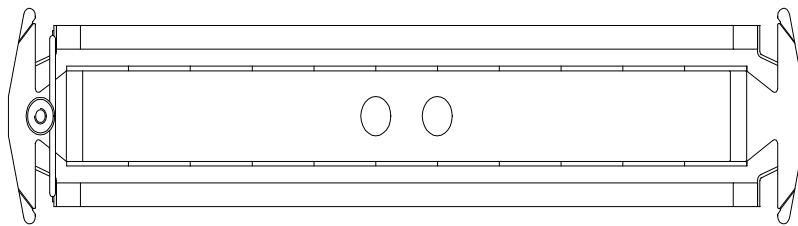
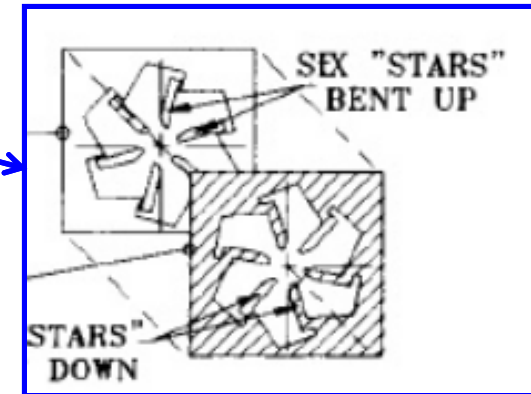
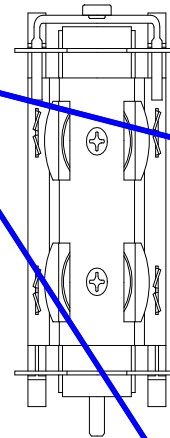
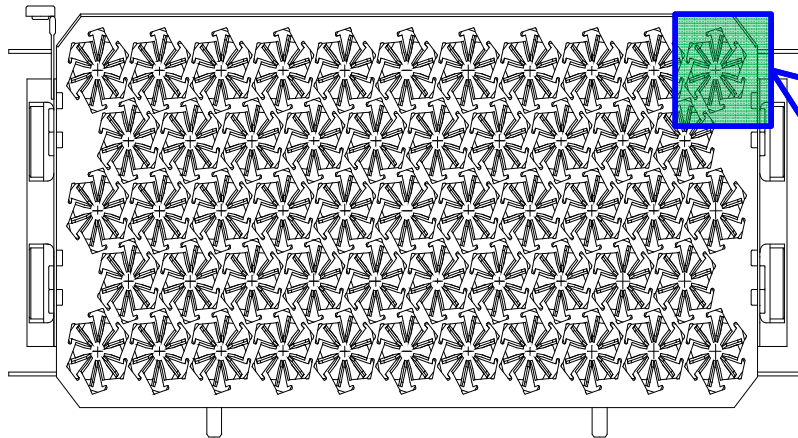


## *Disadvantages:*

- ❖ *Reduced pumping speed for all other gases.*
- ❖ *Expensive (due to complex assembling process)*
- ❖ *Cathode strips may cause short circuit.*

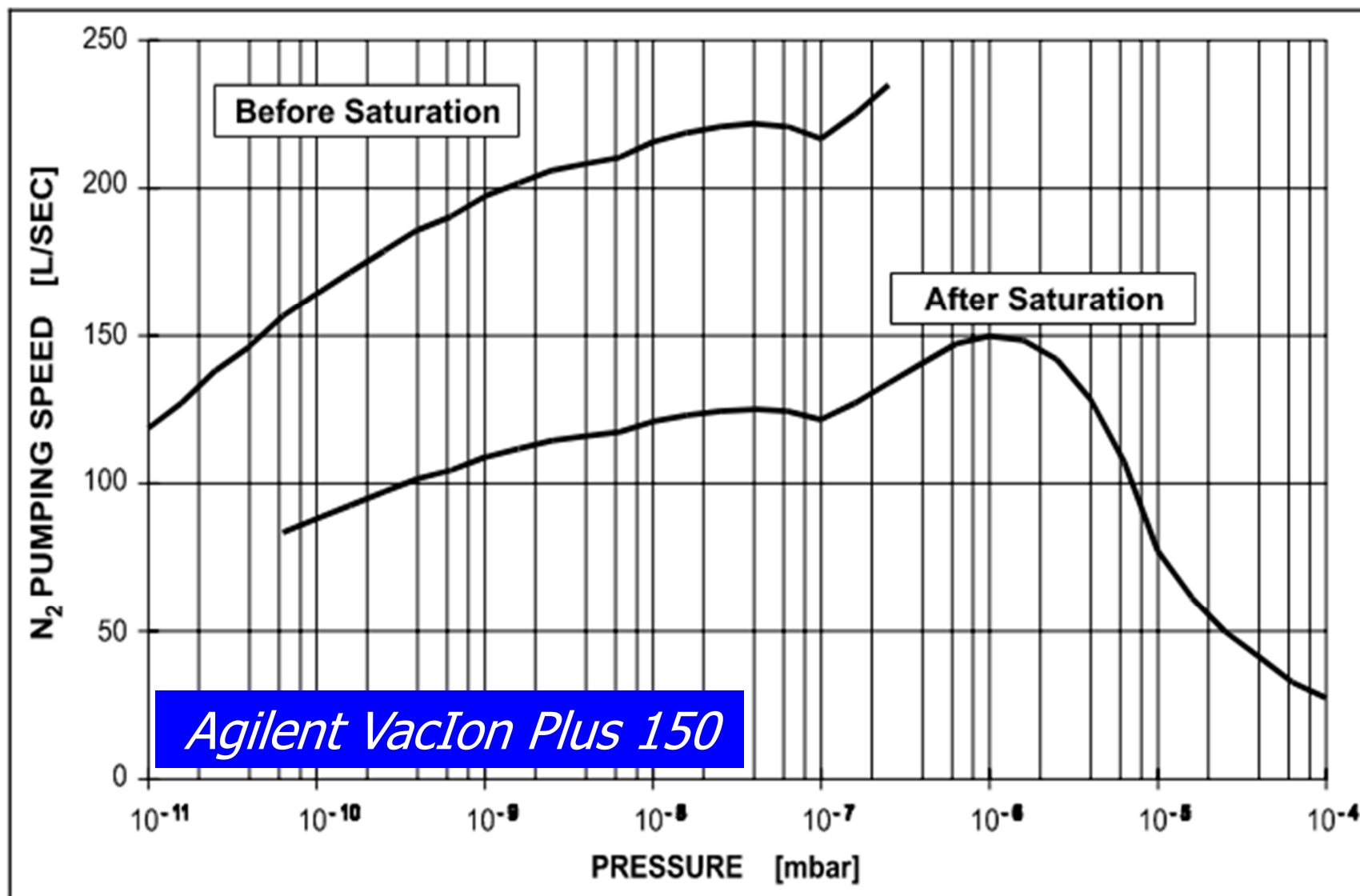


# Triode Ion Pump – StarCell Pumps

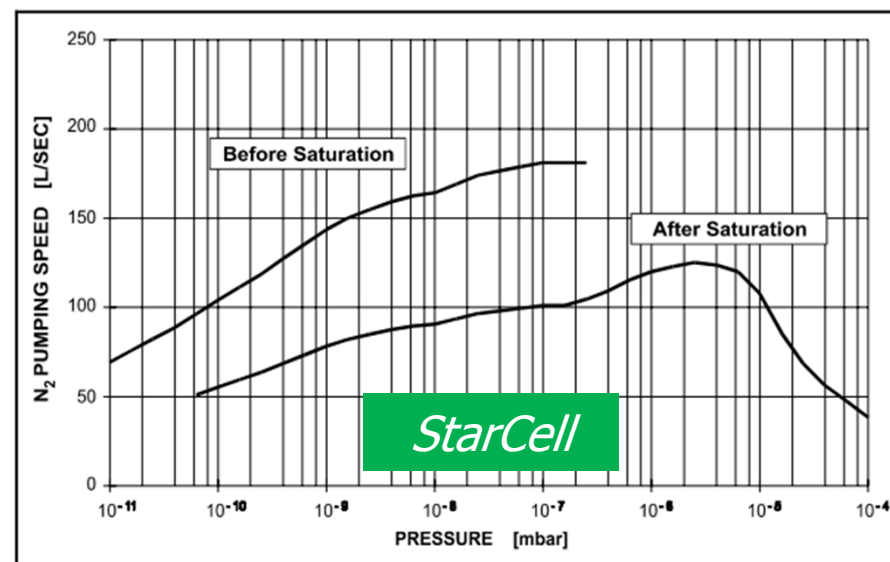
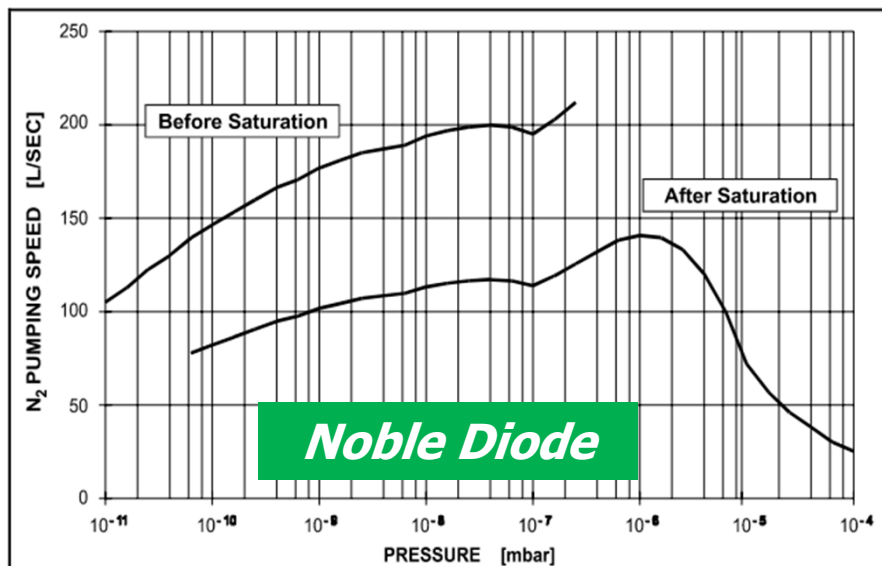
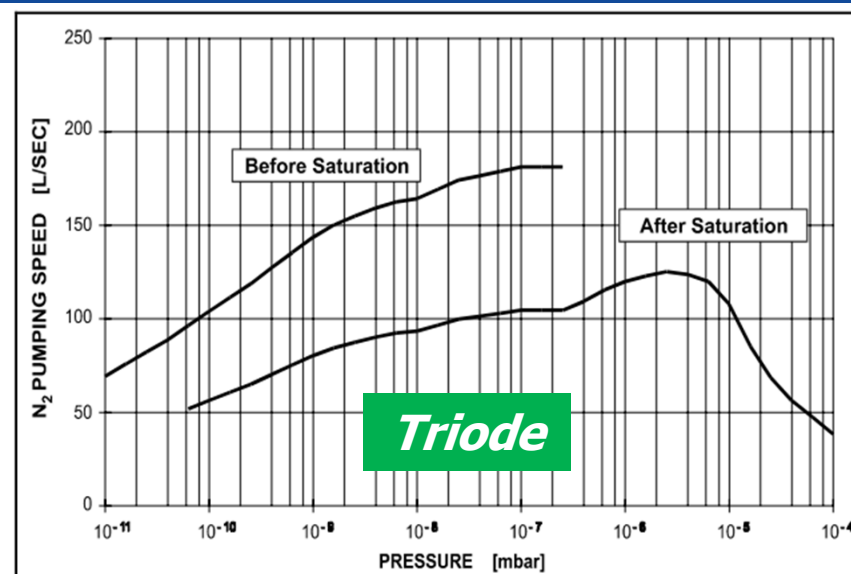
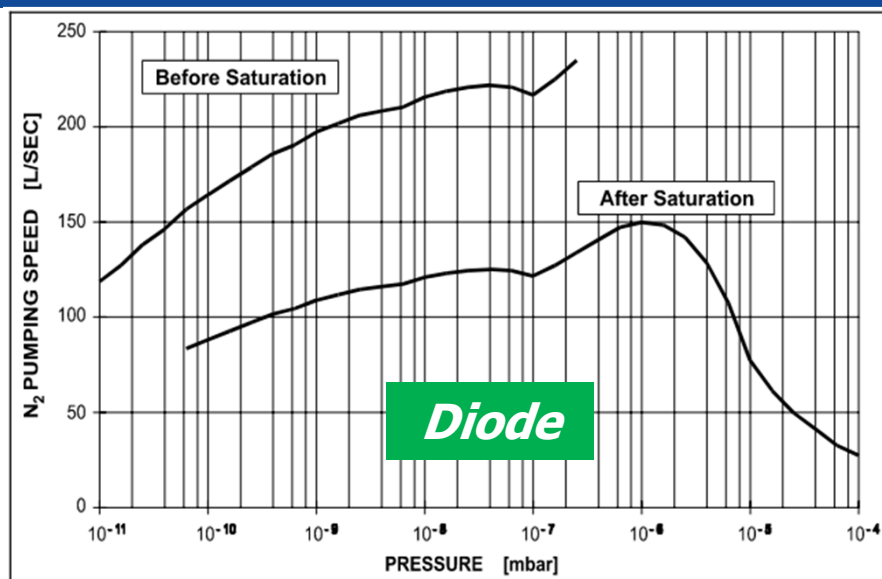


*A special type of triode pump from Agilent (Varian), with excellent noble gas pumping, and improved long-term performance over strip-style triode pump.*

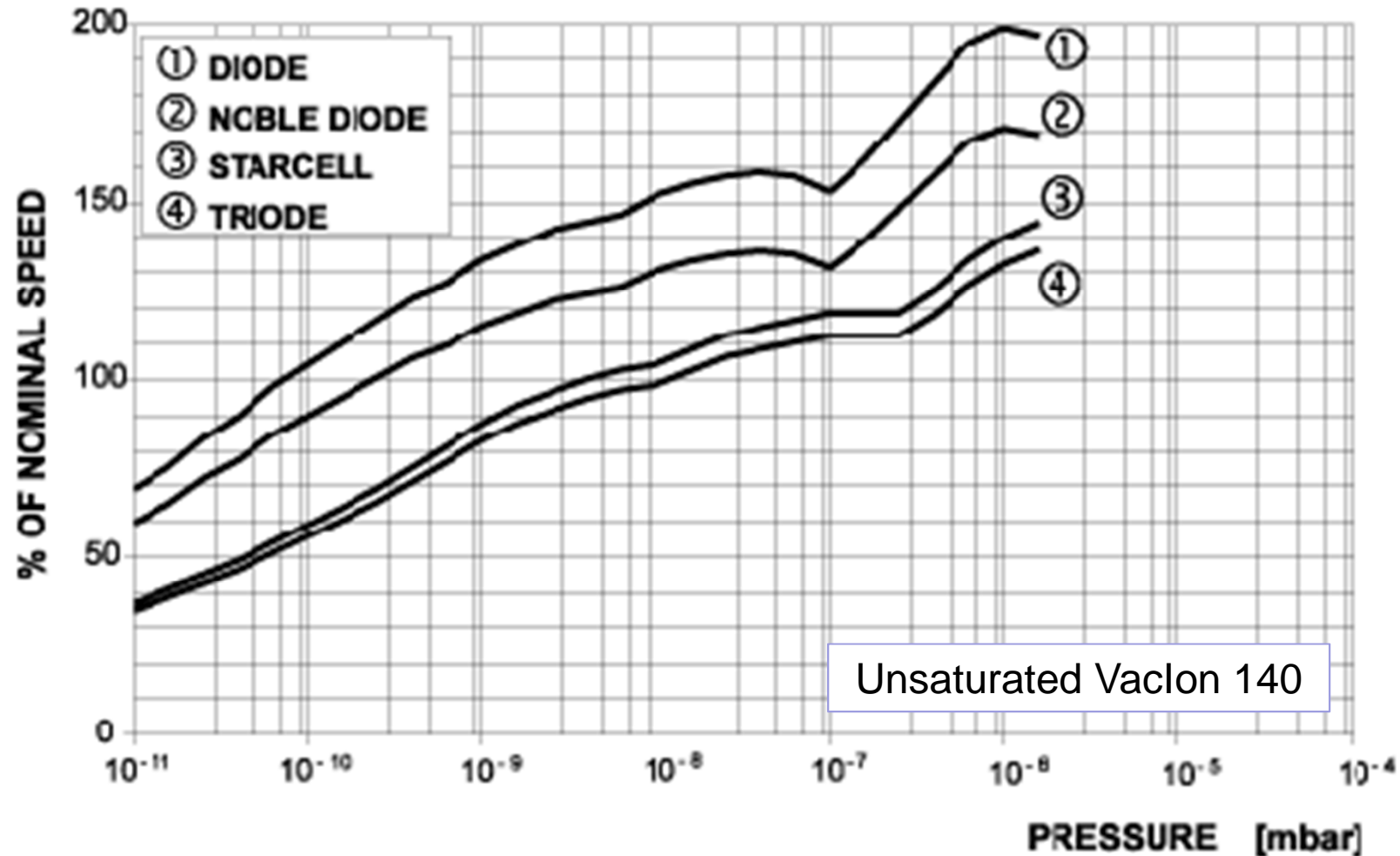
# Diode Ion Pump – Pumping Speed



# $N_2$ Pumping Speed of Different Styles

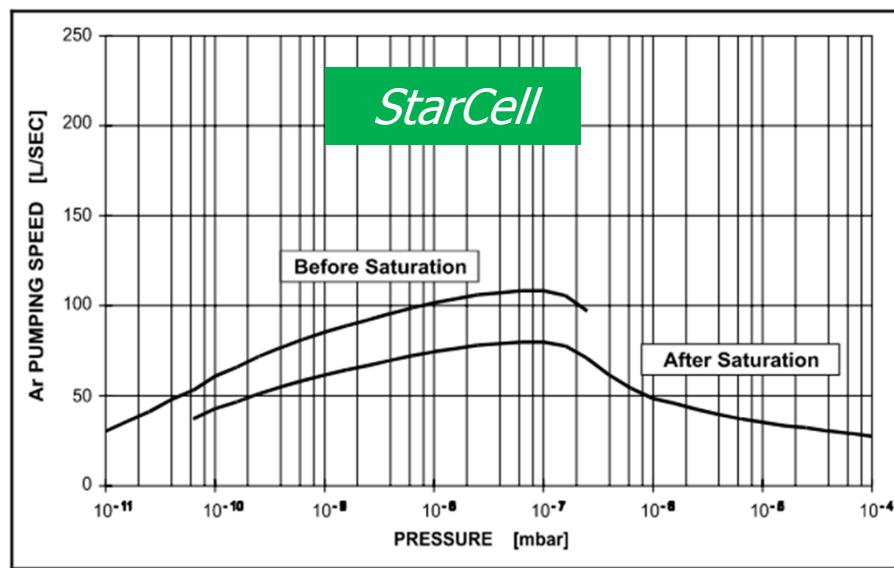
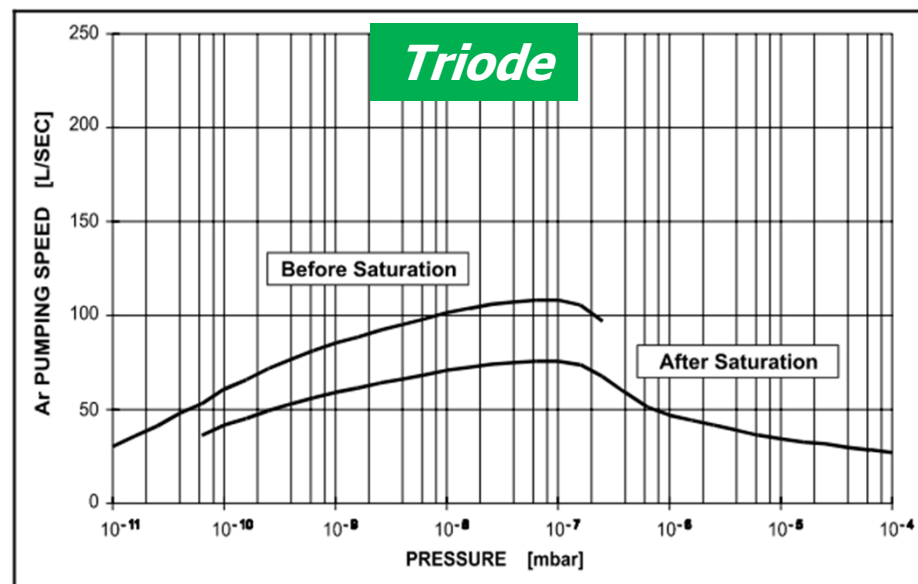
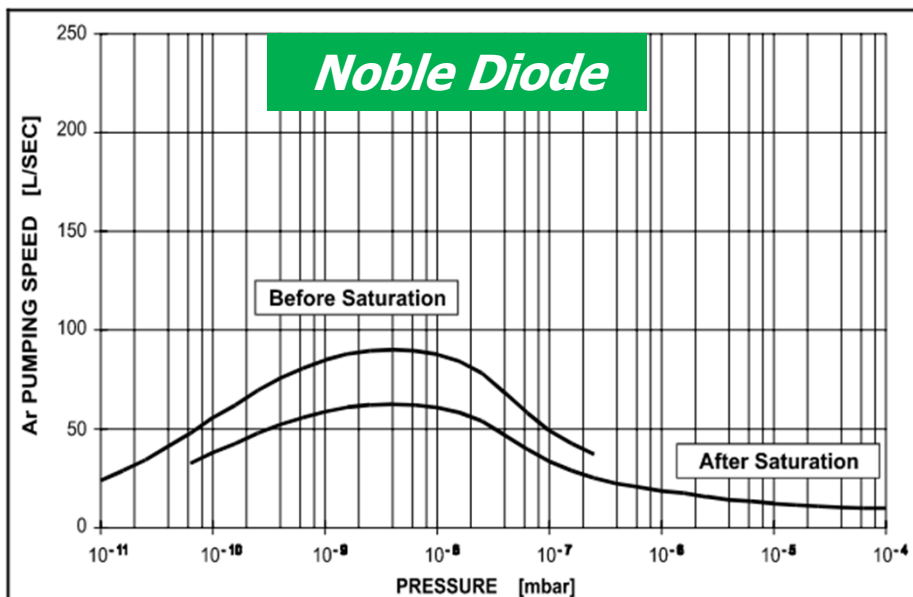


# *N<sub>2</sub> Pumping Speed of Different Styles*



(Ref. Varian Vacuum)

# Argon Pumping Speed of Different Styles



# *Ion Pump Performance for various gases*



Gas	Diode	Noble Diode	Triode	Starcell	TSP	NEG
H <sub>2</sub>	3	1	1	2	3	4
He	1	3	3	4	0	0
H <sub>2</sub> O	3	2	2	2	3	3
CH <sub>4</sub>	2	3	3	3	0	0
N <sub>2</sub>	3	3	2	2	3	3
O <sub>2</sub> , CO, C O <sub>2</sub>	3	3	2	2	4	3
Ar	1	3	3	4	0	0

None	0
Poor	1
Good	2
Excellent	3
Outstand.	4

(Ref. Varian Vacuum)



# Commercial Ion Pumps – Agilent (Varian)



- Brand-named: VacIon (old) and VacIon Plus
- Pump sizes from 2 l/s up to 500 l/s nominal speed
- Diode, noble-diode, triode and StarCell styles are available
- Combination with NEG available

# Commercial Ion Pumps – Gamma Vacuum

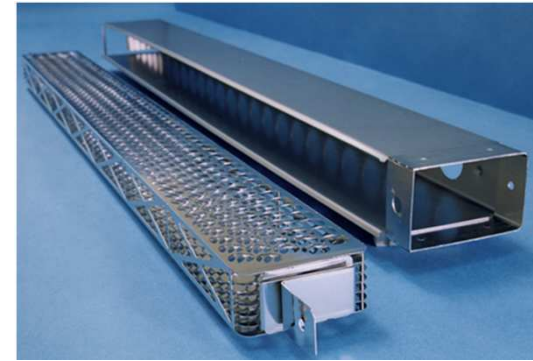
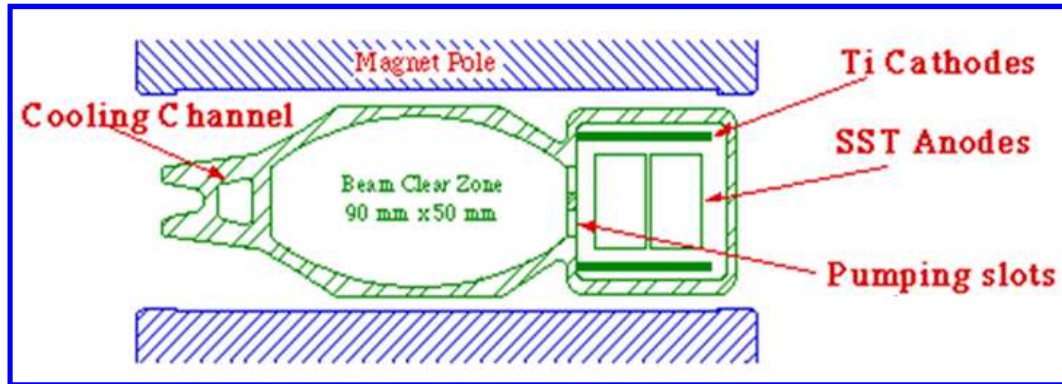


- *Formerly Perkin-Elmer, brand-named: TiTan Pumps*
- *Pump sizes from 2 l/s up to 1600 l/s nominal speed*
- *Diode, noble-diode and triode styles are available*
- *Combination with NEG available*

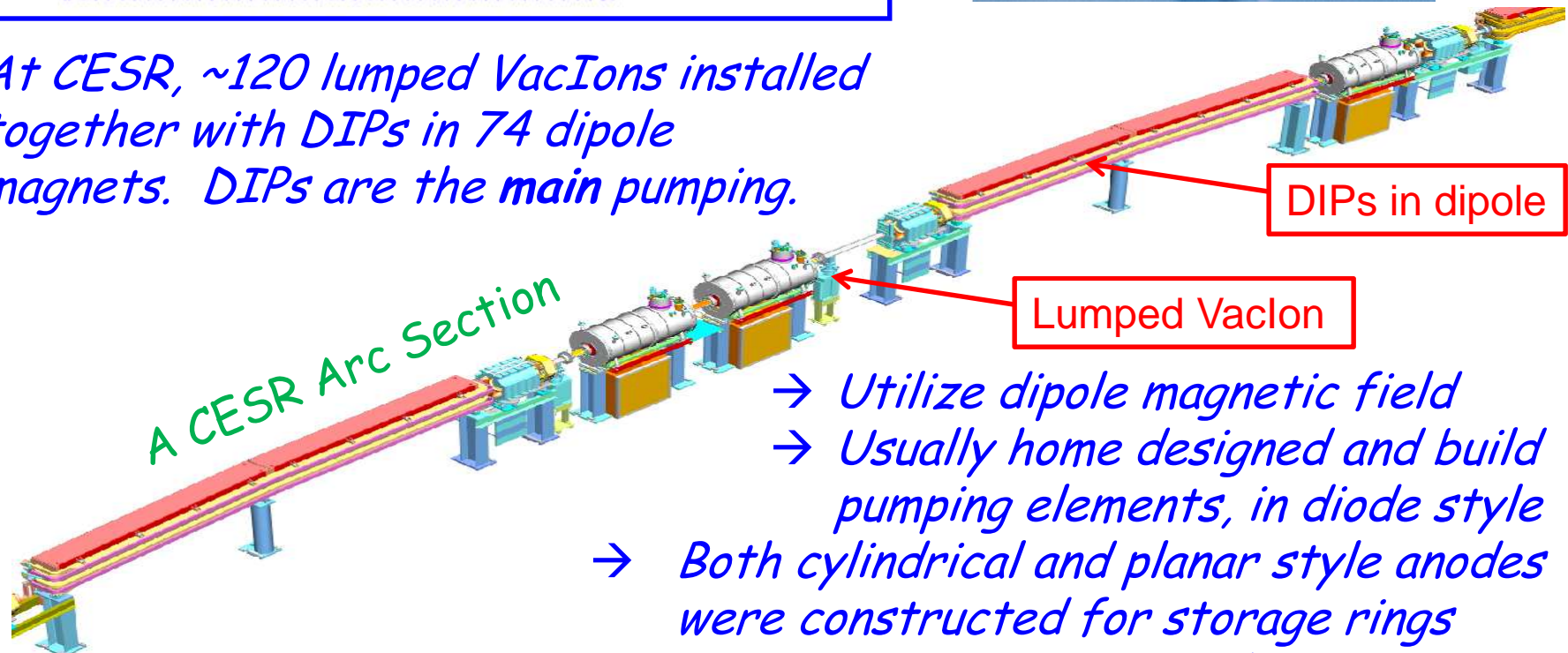




# Distributed Ion Pumps (DIPs)



*At CESR, ~120 lumped VacIons installed together with DIPs in 74 dipole magnets. DIPs are the main pumping.*



- Utilize dipole magnetic field
- Usually home designed and build pumping elements, in diode style
- Both cylindrical and planar style anodes were constructed for storage rings
- Pumping speed: 80~120 l/s-m

# *Ion Pump Selection and Operation*



- *For lumped ion pumps, noble gas pumping should be incorporated. Noble diode pumps are usually the best option, as the operating voltage polarity is same to regular diode pumps.*
- *In dipole magnet with sufficient field ( $> 0.1$  T), DIPs are economical and reliable distributed pumping (as compared to NEG's).*
- *Extreme cares must be taken to protect HV electric feedthroughs of the ion pumps, both mechanically and environmentally (such as condensations and corrosions).*
- *For very long duration operations (30+ years in CESR), 'whiskers' may develop on anodes that cause partial shorting. These whiskers may be 'burnt' out by temporarily operating a pump at high pressure ( $\sim 10^{-5}$  torr)*



# *Ion Pump Controllers*



- *Ion pump controllers provide DC high voltage needed for the ion pump operation.*
- *There are many suppliers for ion pump controllers. These are generally in two basic designs: the linear power controllers with transformers, and switchers. The formers are more robust, often with higher output power, but bulky and heavy. The switcher controllers are more commonly used nowadays.*
- *Important parameters in selection ion pump controllers:*
  - ✓ *Output power and current (ranging from  $< 1W$  to 100s  $W$ )*
  - ✓ *Pump ion current read-out precision (down to  $\mu A$  or even  $nA$ ) and response time (for interlocking etc.)*
  - ✓ *Programmability and computer interface features*
  - ✓ *Radiation hardness*



# Commercial Ion Pump Controllers



Switcher



## Agilent 4 UHV

Output Power: 400 W  
Output HV: 3, 5, 7 kV  
Current: up to 200 mA  
Ion Current: 10 nA ~ 100 mA

Switcher



## Agilent MiniVac

Output Power: 20~40 W  
Output HV: 5 kV  
Current: up to 20 mA  
Ion Current: 10  $\mu$ A~20 mA

Linear



## Gamma Vacuum LPC

Output Power: 200 W  
Output HV: 5.6/7.0 kV  
Current: up to 100 mA  
Ion Current res: 10 nA

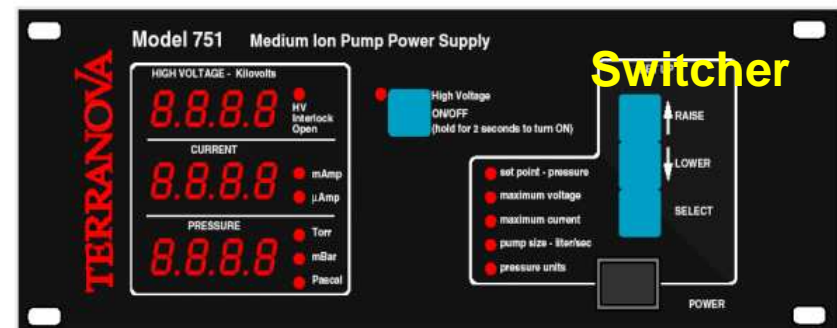
Switcher



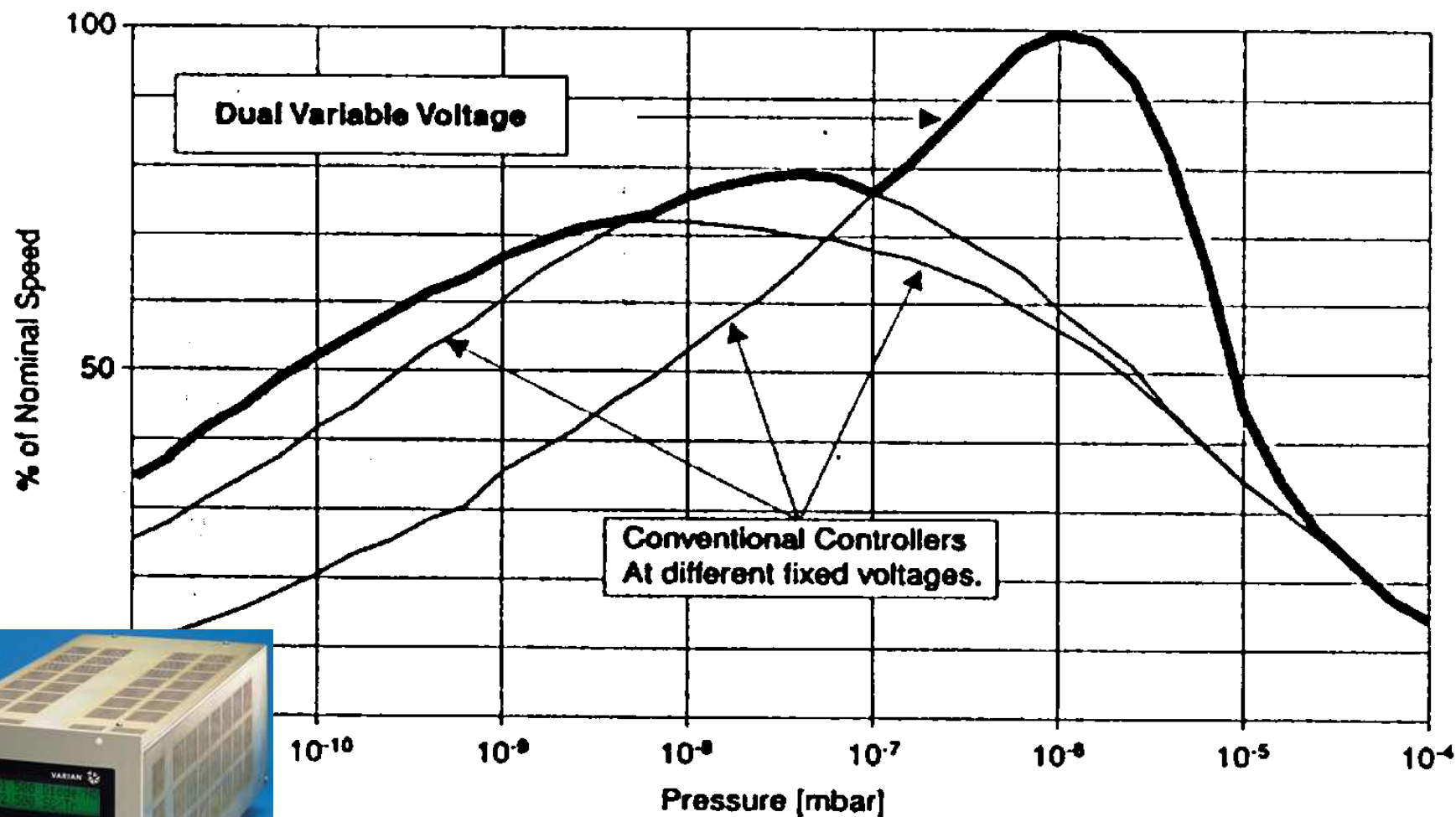
## Gamma SPC

Output Power: 40 W  
Output HV: 3.5~7.0 kV  
Current: up to 50 mA  
Ion Current res: 1 nA

Switcher



# "Step-Voltage" May Improves Pump Performance



(Ref. Varian Vacuum)





# Summary Notes



- 1) *Sputter-ion pumps are the primary UHV pumps for most modern accelerators, due to their cleanness and very high pumping capacity.*
- 2) *SIPs are most suitable at vacuum pressure  $< 10^{-7}$  torr. At these low pressures, their most efficient pumps, drawing almost no power.*
- 3) *As a capture pump, SIP has limited lifetime capacity. At extreme cases, ions may drill holes through cathode plates, resulting much poor performance and pressure spikes.*
- 4) *Starting SIPs should be done by experts, who understand the risk of thermal run-away in the pumping elements, especially in triode pumps.*
- 5) *Aged SIPs tend to have reduced  $H_2$  pumping speed, at UHV conditions. Thus combination with NEG is recommended.*
- 6) *Glow charge at high pressure may extend throughout a SIP, and potential metallic coating of sensitive surfaces may occur.*

