

# *US Particle Accelerator School*

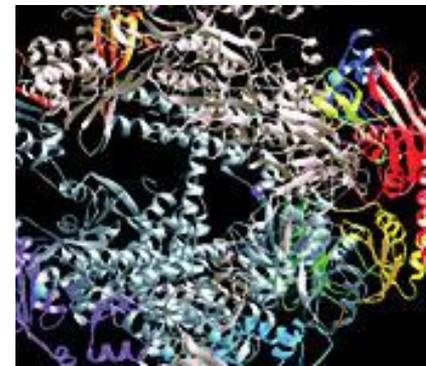
*Northern Illinois University: Lisle, Illinois*

*Accelerator Power System Engineering*

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*Paul Bellomo, P.E. and James Sebek, PhD*

*SLAC National Accelerator Laboratory (SLAC)*



*June 2017*

*Section 1 - Introduction*



## *Sections*

1. *Introduction*
2. *Purpose, Goals and Intended Audience*
3. *Mathematical Preliminaries*
4. *Typical Load Types*
5. *Power Lines*
6. *DC Power Supplies and AC Controllers*
7. *Superconducting Magnet Power Systems*
8. *Pulsed Power Supplies*
9. *Magnetics*
10. *Controls*
11. *Personnel and Equipment Safety*
12. *Reliability, Availability, Maintainability*
13. *Power Supply Specifications*
14. *References*
15. *Homework Problems*

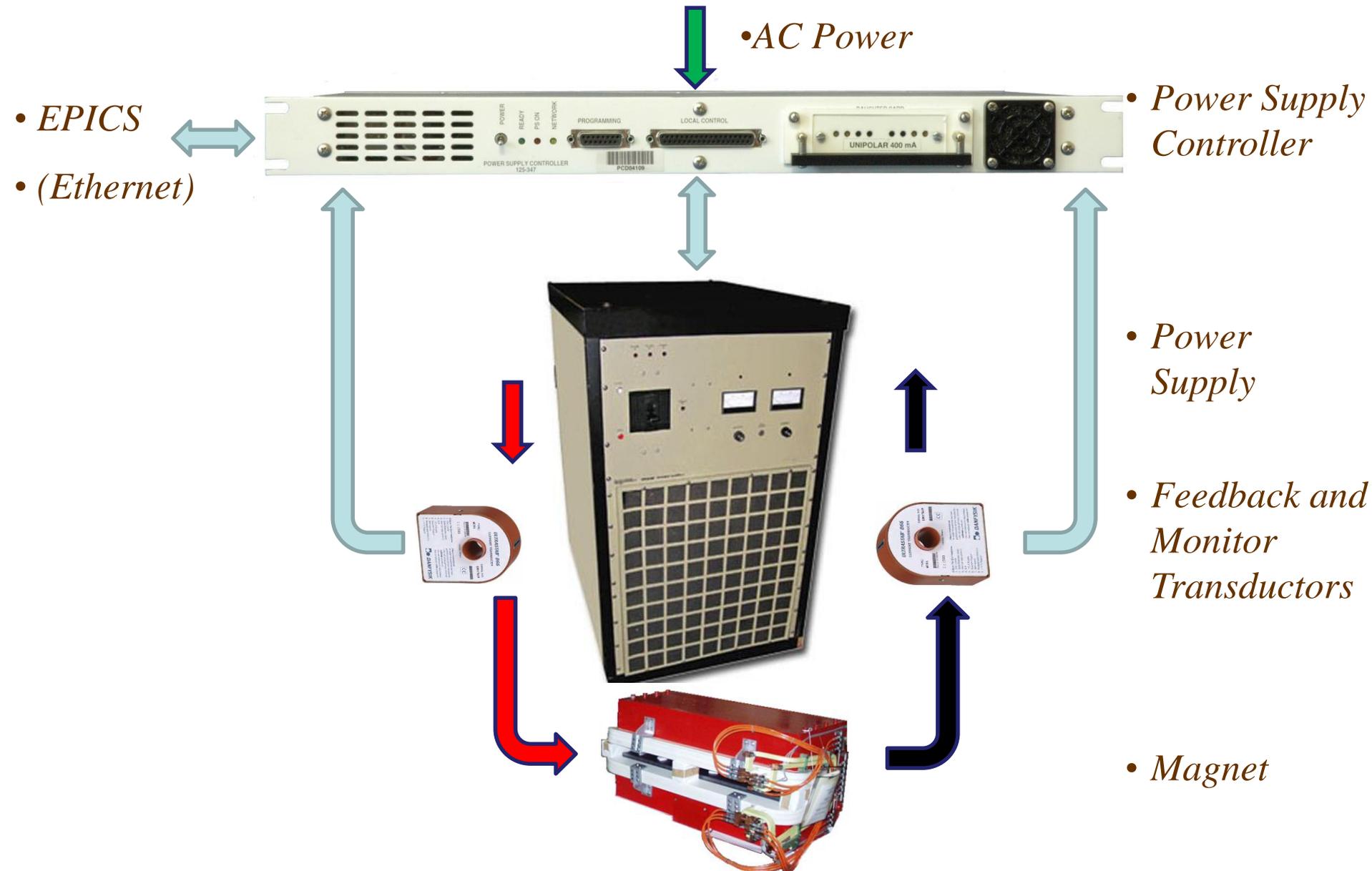


# *Introduction*

## *Section 1*

- *Introduction*

# A Typical DC Magnet Power System





## *Section 2*

- *Purpose*
- *Goals*
- *Intended Audience*
  - *Civil, Mechanical Designers*
  - *Control Engineers*
  - *Electrical Distribution System Designers*
  - *Maintenance Personnel*
  - *Magnet Designers*
  - *Operators*
  - *Physicists*
  - *Power Conversion / Power Supply Designers*
  - *Project Engineers / Managers*
  - *Safety Engineers / Designers*



## *Purpose*

- *Provide an overview of Accelerator Power Electronics Engineering with an emphasis on DC and an overview of pulsed power supplies*

## *Goals*

- *Provide a historical overview of Accelerator Power Supplies from early designs, to presently employed technology, to some promising future developments now in incubation*
- *Survey the most pertinent power supply topologies from the perspectives of accelerators, load type and rating*
- *Give other, non-power conversion disciplines a glimpse of, and a better understanding of, Power Electronics Engineering*
- *Define the information needed for the power supply designer, or user, to make appropriate choices for power supply type, design, and rating*



## *Intended Audience*

- *Civil, Mechanical Designers – interest in facility space, mounting, cooling*



- *Control Engineers – an insight into some interface requirements*



## *Intended Audience*

- *Electrical Distribution System Designers* – AC distribution requirements, address and reduce harmonics and EMI
- *Maintenance Personnel* – power system reliability and maintainability
- *Magnet Designers* – tradeoffs between power supply output voltage, current and stability limitations and the magnet design. The power supply role in magnet protection via cooling interlocks and ground fault detection and protection





## *Intended Audience*

- *Accelerator Operators – Power supply control and operating characteristics*



- *Physicists – Power system rating limitations, magnet configuration options vs. physics tradeoffs, long and short-term current stability limitations*

## *Intended Audience*

- *Power Conversion / Power Supply Designers – power systems from another point of view*



- *Project Engineers and Managers – Power conversion system costs*



- *Safety Engineers / Designers – Personnel and equipment safety in an electrical power environment. General power safety provisions*





## *Section 3*

- *Mathematical Preliminaries*
  - *Why Mathematical Preliminaries*
  - *Average and RMS Values*
  - *Complex Exponentials*
  - *Differential Equations*
  - *Linear Systems*
  - *Impulse and Step Functions*
  - *System Transfer Function*
  - *Fourier Series and Transforms*
  - *Laplace Transforms*



## *Why Mathematical Preliminaries?*

- *We need to use circuits and understand their behavior*
  - *Power supply loads*
  - *Filter circuits*
  - *Pulse shaping circuits*
  - *Feedback and control circuits*
  
- *Many important circuits are passive, consisting of*
  - *Resistors*
  - *Capacitors*
  - *Inductors*



## Why Mathematical Preliminaries?

- *For these circuits we the voltage-current relations for each element*

- $v_R = Ri_R$

- $v_L = L \frac{di_L}{dt}$

- $i_C = C \frac{dv_C}{dt}$

- *And*

*Kirchoff's Voltage Law for each loop:*

$$\sum_{n=1}^N v_n = 0$$

*Kirchoff's Current Law for each node*

$$\sum_{n=1}^N i_n = 0$$



## *Why Mathematical Preliminaries?*

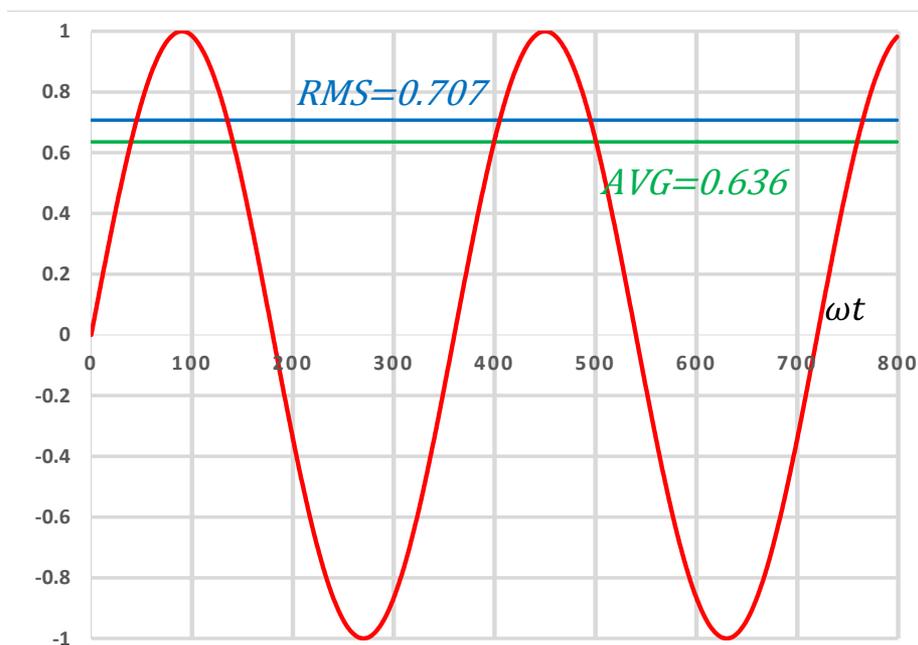
- *Solving circuit equations therefore involves solving differential equations, which includes calculus, integration, and convolution*
- *Fortunately circuits containing only passive elements can be well-approximated by linear systems*
- *If we learn the mathematics behind linear systems*
  - *Fourier and Laplace transforms and their inverses*
  - *Impulse and step functions*
- *We can trade*
  - *Calculus for algebra*
  - *Convolution for multiplication*



## Mathematical Preliminaries – Average and RMS Values – Sine Waves

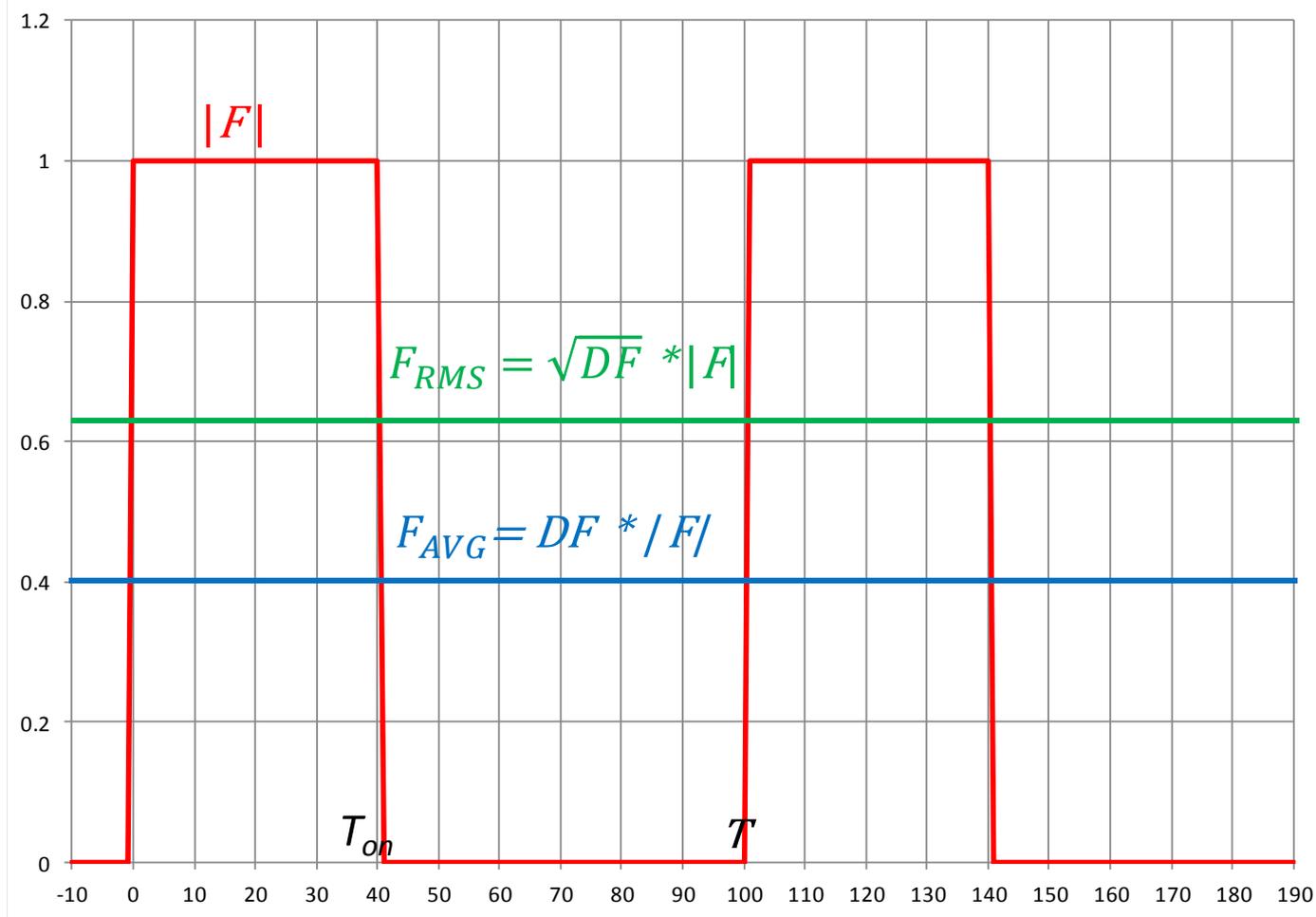
$$F_{ave} = \frac{1}{T} \int_{t=0}^{t=T} f(t) dt, \quad \text{if } f(t) = F_m \sin(\omega t), \quad F_{ave} = \frac{1}{\omega T} \int_{\omega t=0}^{\omega t=T} F_m \sin(\omega t) d\omega t = 0.636 * F_m$$

$$F_{rms} = \sqrt{\frac{1}{T} \int_{t=0}^{t=T} f(t)^2 dt}, \quad \text{if } f(t) = F_m \sin(\omega t), \quad F_{rms} = \sqrt{\frac{1}{\omega T} \int_{\omega t=0}^{\omega t=T} (F_m \sin(\omega t))^2 d\omega t} = \frac{F_m}{\sqrt{2}} = 0.707 * F_m$$



# Mathematical Preliminaries – Average and RMS Values – Rectangular Pulses

$$\text{Duty Factor} = DF = \frac{T_{on}}{T}$$



## ◀ *Mathematical Preliminaries – Average and RMS Values – Rectangular Pulses*

$$\text{Duty Factor} = DF = \frac{T_{on}}{T_{on} + T_{off}} = \frac{T_{on}}{T}$$

$$F_{ave} = \frac{1}{T} \int_{t=0}^{t=T} f(t) dt, \quad \text{if } f(t) = F_m \text{ during } T_{on}$$

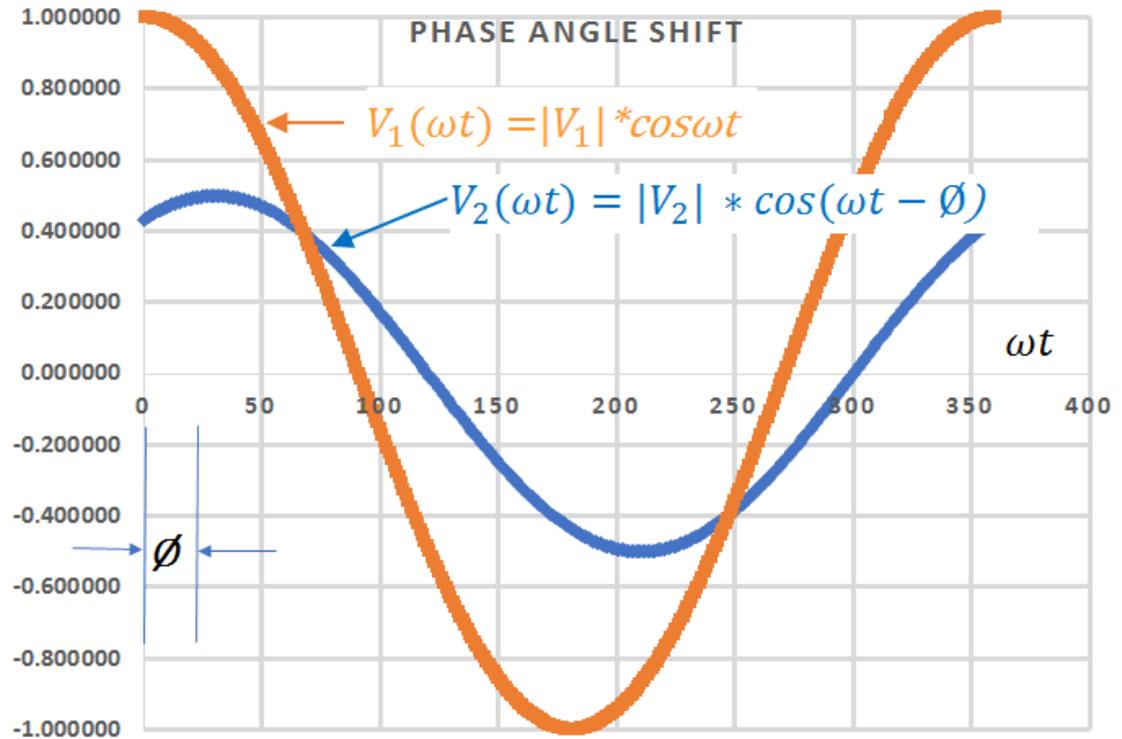
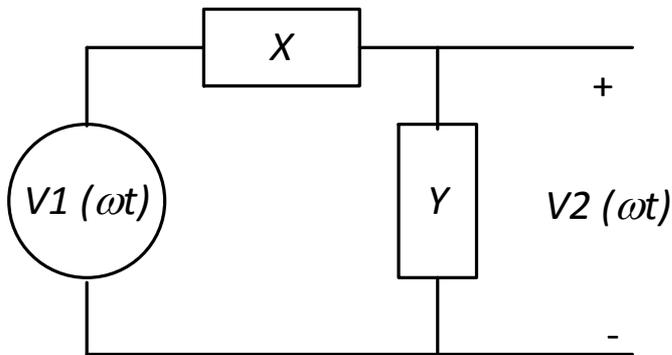
$$F_{ave} = \frac{1}{T} \int_{t=0}^{t=T_{on}} F_m dt = \frac{T_{on}}{T} * F_m = DF * F_m$$

$$F_{rms} = \sqrt{\frac{1}{T} \int_{t=0}^{t=T} f(t)^2 dt}, \quad \text{if } f(t) = F_m \text{ during } T_{on}$$

$$F_{rms} = \sqrt{\frac{1}{T} \int_{t=0}^{t=T_{on}} F_m^2 dt} = \sqrt{\frac{T_{on}}{T}} * F_m = \sqrt{DF} * F_m$$

## Mathematical Preliminaries - Complex Exponentials – Phasor Form

Given  $\omega = 2\pi f \left(\frac{\text{rad}}{\text{sec}}\right)$ ,  $t = \text{time (sec)}$ ,  $V = |V| * \angle(\omega t \pm \phi)$



$V_1(\omega t) = |V_1| * \cos \omega t$ , Real, in-phase component only

$V_1(\omega t) = |V_1| * \angle(\omega t + 0) = |V_1| * \angle 0$  phasor form

$V_2(\omega t) = |V_2| * \cos(\omega t - \phi)$ , in-phase and out-of-phase components

$V_2(\omega t) = |V_2| * \angle(\omega t - \phi)$ , or  $V_2 = |V_2| * \angle - \phi$  phasor form

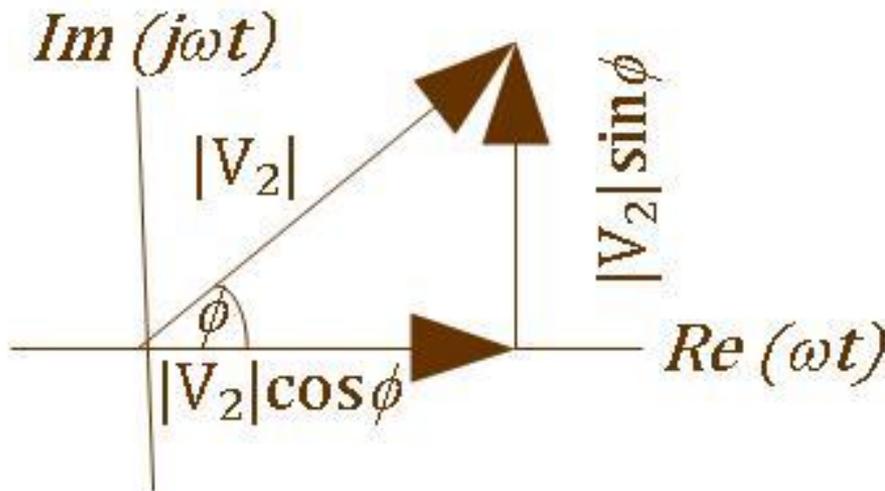
## ◀ *Mathematical Preliminaries - Complex Exponentials - Exponential Form*

*Euler's Identity:  $Ae^{jX} = A(\cos X + j \sin X)$*

*Use the trigonometric identity*

$$\cos(U \pm V) = \cos U * \cos V \mp \sin U * \sin V$$

$$V_2(\omega t) = |V_2| * \cos(\omega t - \emptyset) = |V_2| * [\cos \omega t * \cos \emptyset + \sin \omega t * \sin \emptyset]$$



$$\text{Re } V_2 = |V_2| \cos \emptyset$$

$$\text{Im } V_2 = |V_2| \sin \emptyset$$

$$V_2 = |V_2| \cos \emptyset + j |V_2| \sin \emptyset$$

$$V_2 = |V_2| e^{j\emptyset}$$

## Mathematical Preliminaries - Complex Exponentials

$$V_2(\omega t) = |V_2|e^{j(\omega t \pm \phi)} = |V_2|e^{\pm j\phi} \text{ exponential form}$$

$$|V_2| = |V_2| * \sqrt{\cos^2 \phi + \sin^2 \phi} = |V_2| * 1$$

• *This has  
to move*



- *Lastly, since the magnitude of the complex exponential is always 1, this function gives us a steady state eigenfunction of the constant, differential and integral operators we will need to analyze circuits*



*Eigenfunction = any function that satisfies a differential equation*

## *Mathematical Preliminaries - Complex Exponentials*

- *The phasor amplitude is the eigenvalue of that frequency*
- *For example if the behavior of a system is determined by the equation*

*$ax^2 + bx + c = 0$ , the roots given by the quadratic formula  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$  are the eigenvalues or the roots of the system.*



*Eigenvalue = proper value or characteristic roots*

- *If the input to a system consists of a single frequency, the output will consist of just that same frequency, although with a different amplitude and phase than the input*

## Mathematical Preliminaries - Differential Equations

Differential equations describe systems that change with time

For a system with time varying quantities,  $u(t)$ ,  $y(t)$ , that satisfy

the differential equation 
$$\frac{dy(t)}{dt} = a u(t)$$

$y(t)$  depends on its past values as well as those of  $u(t)$

$$\frac{dy(t)}{dt} \equiv \lim_{\Delta t \rightarrow 0} \frac{y(t_0 + \Delta t) - y(t_0)}{\Delta t} = a u(t)$$

$$y(t_0 + \Delta t) \cong y(t_0) + \Delta t a u(t_0)$$

Continuing this to construct  $y(t)$  at later times

$$y(t_0 + 2\Delta t) \cong y(t_0 + \Delta t) + \Delta t a u(t_0 + \Delta t) \cong y(t_0) + \Delta t a u(t_0) + \Delta t a u(t_0 + \Delta t)$$

$$y(t_0 + N\Delta t) \cong y(t_0) + a \sum_{n=0}^{N-1} \Delta t u(t_0 + n \Delta t)$$

Resulting in the integral equation

$$y(t) = y(t_0) + a \int_{t_0}^t u(\tau) d\tau$$

## *Mathematical Preliminaries - Differential Equations*

*Differential equations describe systems that evolve with time*

*In general, given a driving term  $u(t)$  and a driven term  $y(t)$ , one can define a differential equation for the evolution of  $y(t)$*

$$\frac{dy(t)}{dt} + ay(t) = bu(t)$$

*$y(t)$  depends on the past and current values of itself and  $u(t)$*

*The derivative is defined as*

$$\frac{dy(t)}{dt} = \lim_{\Delta t \rightarrow 0} \frac{y(t) - y(t - \Delta t)}{\Delta t} = -ay(t) + bu(t)$$

*so that*

$$\begin{aligned} y(t) &\approx y(t - \Delta t) + \Delta t[-ay(t) + bu(t)] \\ &\approx \frac{1}{1 + a\Delta t} y(t - \Delta t) + \frac{b\Delta t}{1 + a\Delta t} u(t) \end{aligned}$$

If we continue this construction

$$y(t + \Delta t) \approx y(t) + \Delta t[-ay(t + \Delta t) + bu(t + \Delta t)]$$

$$\approx \frac{1}{1 + a\Delta t} y(t - \Delta t) + \frac{b\Delta t}{1 + a\Delta t} u(t) + \Delta t[-ay(t + \Delta t) + bu(t + \Delta t)]$$

$$y(t + \Delta t) \approx \frac{1}{(1 + a\Delta t)^2} y(t - \Delta t) + b \left[ \frac{u(t)}{(1 + a\Delta t)^2} + \frac{u(t + \Delta t)}{1 + a\Delta t} \right]$$

$$y(t + (N + 1)\Delta t) \approx \frac{1}{(1 + a\Delta t)^N} y(t - \Delta t) + b \sum_{n=0}^{N+1} \frac{u(t + n\Delta t)}{(1 + a\Delta t)^{N-n}}$$

From this we can continue on to obtain the exact solution

$$y(t) = e^{-at} \left[ y(t_0) + \int_{t_0}^t e^{a\tau} u(\tau) d\tau \right]$$

which can be obtained by, for example, the method of variation of parameters.

Note that the  $ay$  term in the differential equation gives rise to a term

$$e^{-at}$$

that acts to damp out initial conditions and past inputs.

## Mathematical Preliminaries - Linear Systems

A linear system,  $h[x]$  is defined such that for inputs  $x_1$  and  $x_2$ , if  $y_1 = h[x_1]$  and  $y_2 = h[x_2]$  then

$$ay_1 + by_2 = h[ax_1 + bx_2]$$

This is the principle of linear superposition.

Examples of linear systems:

Constant gain system  $h_1[x] = A_1x$   $V = R_1I$

Sum of two constant gains  $h_2[x] = A_2x + A_3x$   $V = R_2I + R_3I$

Derivatives  $h_3[x] = A_4 \frac{dx}{dt}$   $V = L_4 \frac{dI}{dt}$

Integrals  $h_4[x] = A_5 \int x dt$   $V = \frac{1}{C_5} \int I dt$

We are interested in linear systems because there are many mathematical tools available for use on linear systems and because many common physical systems and components are linear: Resistors, Inductors, Capacitors

## *Mathematical Preliminaries - Example of a Nonlinear System*

$h[x] = e^x$       *This is a nonlinear system*

*Proof:*

$$e^{(ax+bx)} = e^{ax} e^{bx} \neq a e^x + b e^x$$

*We note that non-linear systems can often be approximated by linear systems*



## *Mathematical Preliminaries - Impulse and Step Functions*

- *The problems we investigate involve a control signal acting on a system*
- *We simplify the solution by representing the control signal as a sequence of elementary functions*
- *Then we need to characterize the response of our system to these elementary functions*
- *Finally, we use the properties of linear systems to obtain the response of the system with the control signal acting on it*
- *Two such commonly used elementary functions are the impulse function and the step function*

## ◀ *Mathematical Preliminaries - Impulse Functions - Discrete and Continuous*

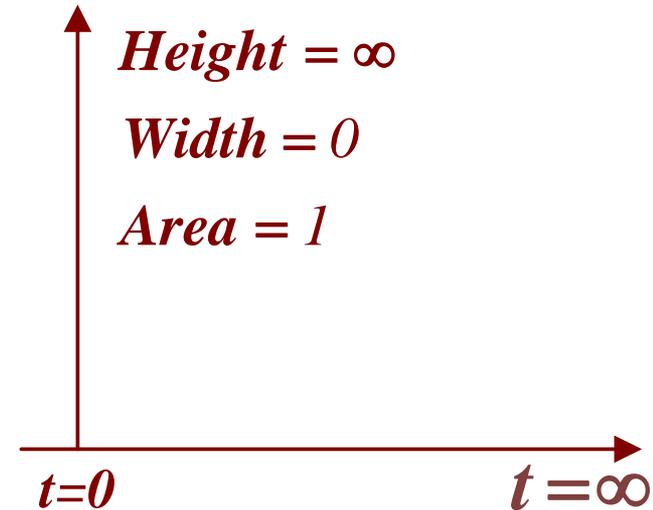
*Continuous Dirac delta function,  $\delta(t)$  properties*

$$\delta(t) = 0, \quad t \neq 0 \quad \delta(t) = \infty, \quad t = 0$$

$$\int_{-\infty}^{\infty} \delta(t) dt = 1$$

*Functional representation*

$$f(t_0) = \int_{-\infty}^{\infty} f(t) \delta(t - t_0) dt$$

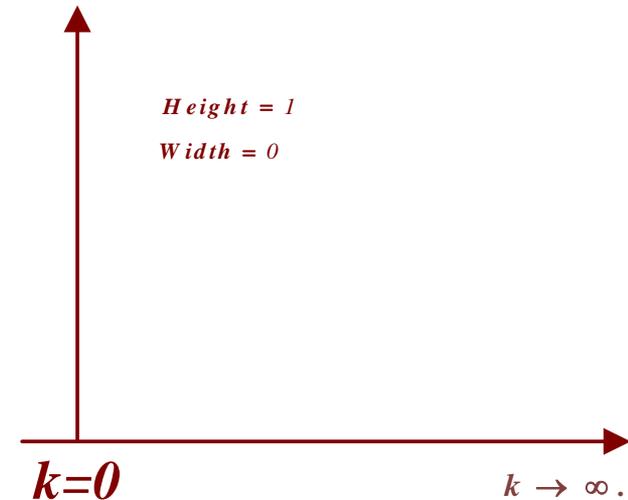


*Discrete impulse function properties*

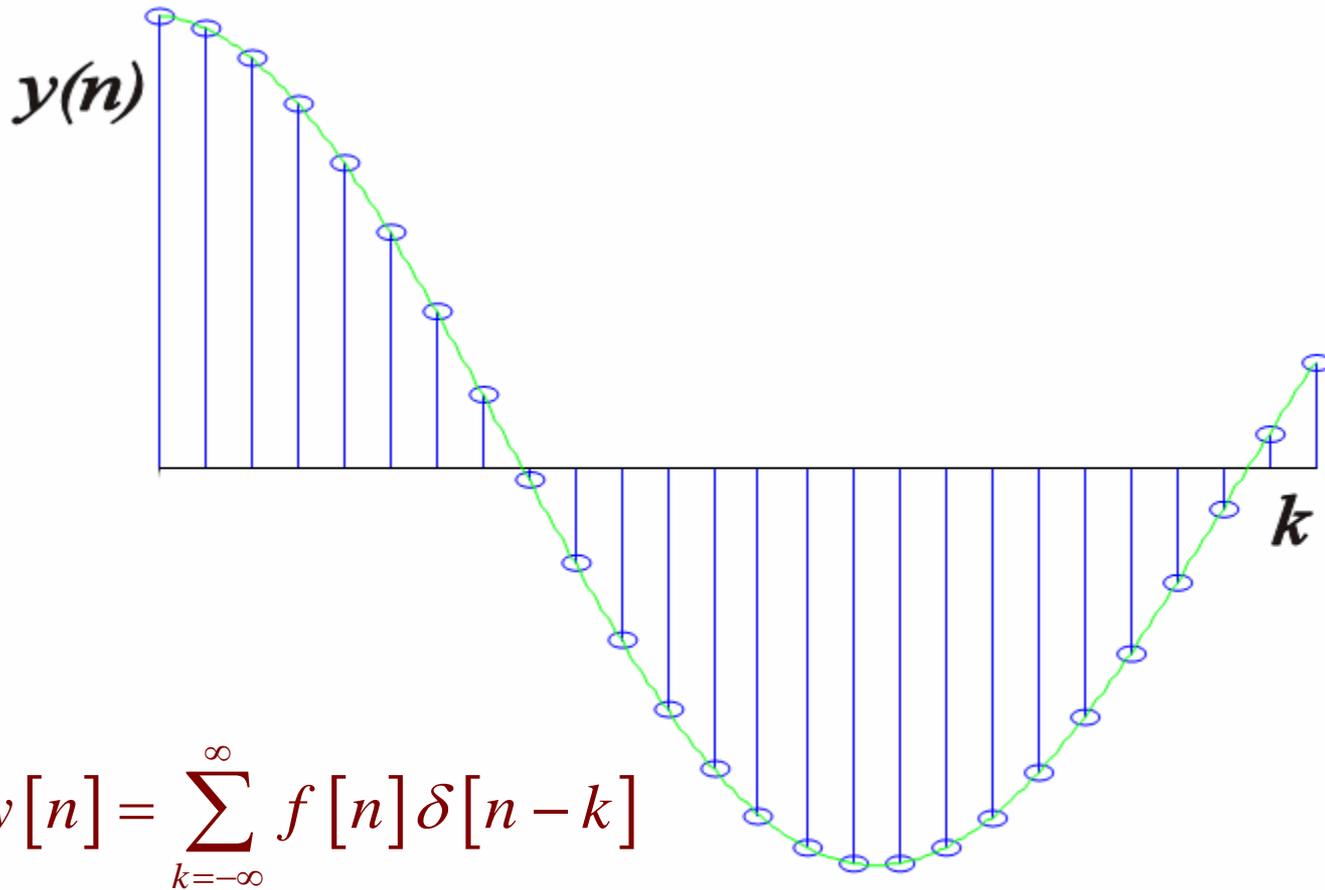
$$\delta[n] = 0, \quad n \neq 0 \quad \delta[n] = 1, \quad n = 0$$

*Functional representation*

$$f[n] = \sum_{k=-\infty}^{\infty} f[k] \delta[k - n]$$



# Mathematical Preliminaries - Function as Sum of Delta Functions

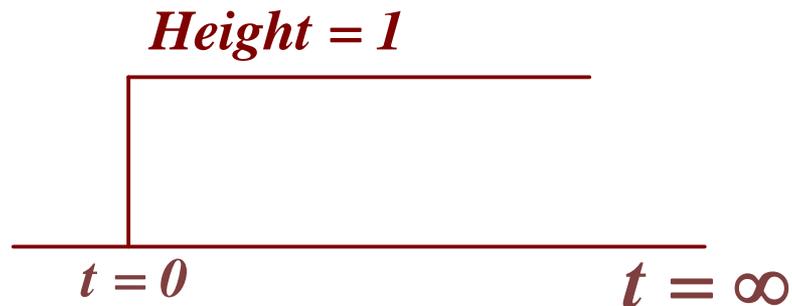


## Mathematical Preliminaries - Continuous Step Function

### Properties

$$U(t) = 0, \quad t < 0$$

$$U(t) = 1, \quad t \geq 0$$



### Relation to Impulse

$$\delta(t) = \frac{d}{dt} U(t)$$

### Functional Representation

$$f(t_0) = \int_{-\infty}^{\infty} f(t) \delta(t - t_0) dt$$

$$= \int_{-\infty}^{\infty} f(t) \left( \frac{d}{dt} U(t - t_0) \right) dt$$

$$= f(t) U(t - t_0) \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} U(t - t_0) \frac{df(t)}{dt} dt$$

$$= f(\infty) - \int_{t_0}^{\infty} \frac{df(t)}{dt} dt = f(\infty) - (f(\infty) - f(t_0)) = f(t_0)$$

## Mathematical Preliminaries - Discrete Step Function

Heaviside step function

$$U[n] = 0, \quad n < 0$$

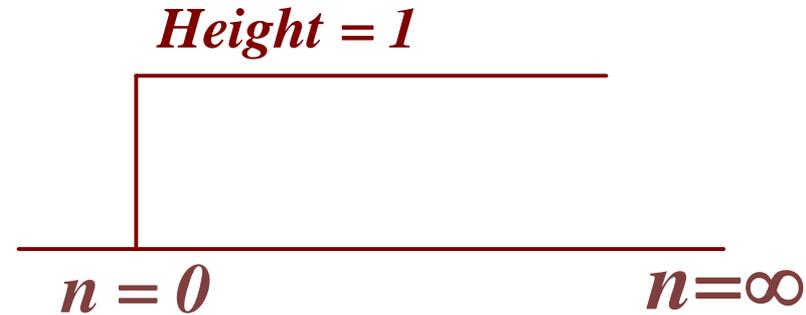
$$U[n] = 1, \quad n \geq 0$$

Relation to impulse

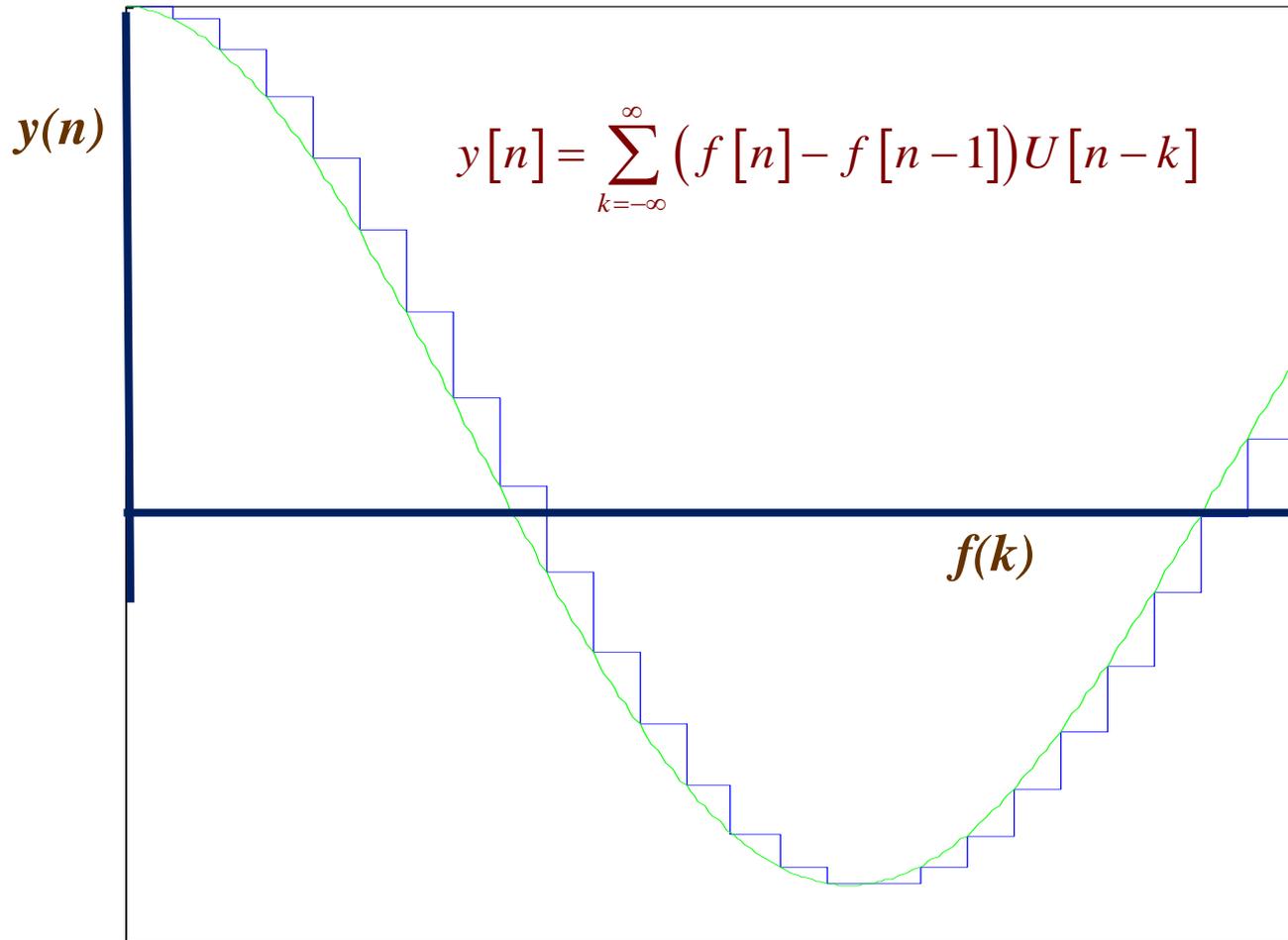
$$\delta[n] = U[n] - U[n - 1]$$

Functional representation

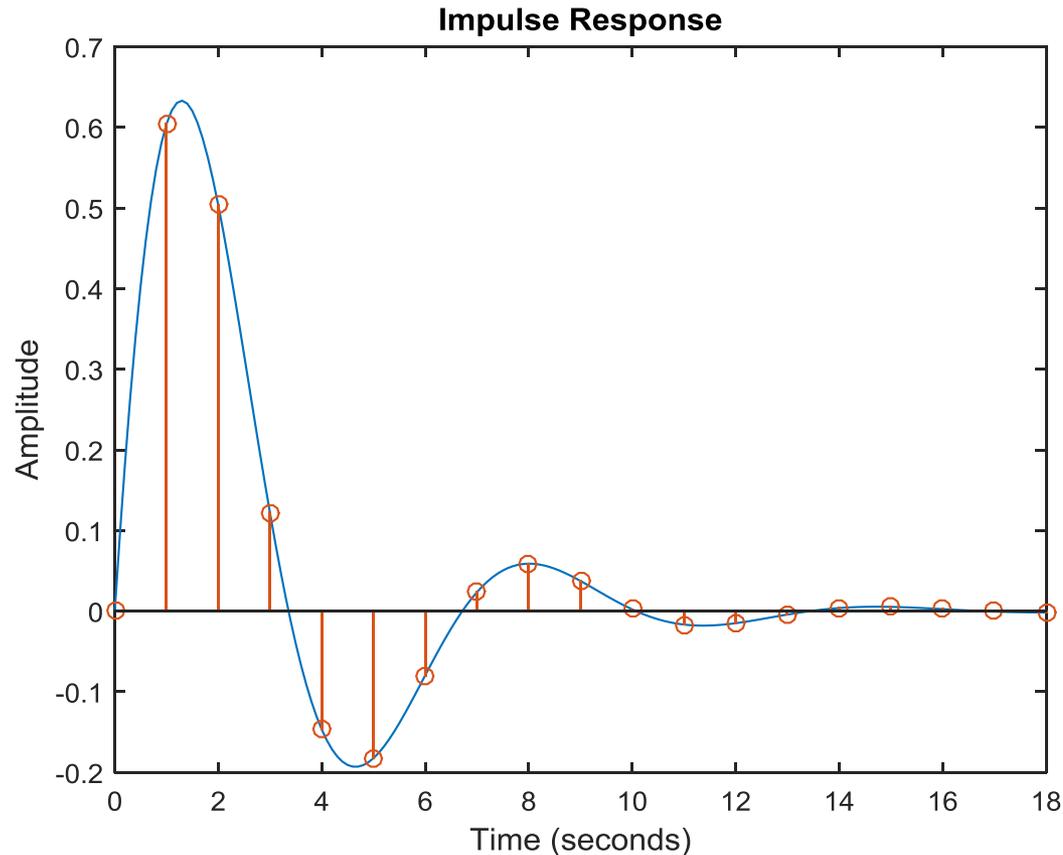
$$\begin{aligned} f[n] &= \sum_{k=-\infty}^{\infty} f[k] \delta[k - n] \\ &= \sum_{k=-\infty}^{\infty} f[k] (U[k - n] - U[k - n - 1]) \\ &= \sum_{k=-\infty}^{\infty} (f[k] - f[k + 1]) U[k - n] \\ &= \sum_{k=n}^{\infty} (f[k] - f[k + 1]) = f[n] \end{aligned}$$



# Mathematical Preliminaries - Function Approximation with Steps



## Mathematical Preliminaries - System Transfer Function



*The impulse response of a general system is causal*

*There is no response before the impulse occurs*

*The impulse response, in general, also lasts after the impulse ends*

## *Mathematical Preliminaries - System Transfer Function*

*The input to the system can be represented as a series of impulses,  $x[k]$ .*

*For each impulse, the output at any later time is the system response to that impulse*

$$y[n] = h[n - k]x[k]$$

*The total system output for the total system input is*

$$y[n] = \sum_{k=-\infty}^{\infty} h[n - k]x[k]$$

*where  $h[n]$  is causal, so vanishes for  $n < 0$ .*

*For continuous systems, this is*

$$y(t) = \int_{-\infty}^{\infty} h(t - u)x(u)du$$

*These are convolution integrals and sums.*

*If one Fourier-transforms this integral relationship, the convolution integral in the time domain becomes a product in the frequency domain*

$$Y(\omega) = H(\omega)X(\omega)$$

## *Mathematical Preliminaries - System Transfer Function*

*We want to convert the convolution integral of the input with the impulse response into the frequency domain.*

*We first need to define, and then use, a representation of the delta function*

$$\delta(\omega - \omega_0) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{j(\omega - \omega_0)t} dt$$

*Intuition:*

*For  $\omega \neq \omega_0$ , the integrand oscillates, so the average value vanishes*

*For  $\omega = \omega_0$ , the integrand is unity, and the integral is infinite*

## Mathematical Preliminaries - System Transfer Function

We now return to the convolution integral

$$y(t) = \int_{-\infty}^{\infty} h(t - u)x(u)du$$

and insert the transforms for  $h(t - u)$  and  $x(u)$

$$\begin{aligned}y(t) &= \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(\omega)e^{j\omega(t-u)}X(\omega_1)e^{j\omega_1u} du d\omega_1 d\omega \\&= \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(\omega)X(\omega_1)e^{j\omega t}e^{j(\omega_1-\omega)u} du d\omega_1 d\omega \\&= \frac{1}{2\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(\omega)X(\omega_1)e^{j\omega t}\delta(\omega_1 - \omega)d\omega_1 d\omega \\&= \frac{1}{2\pi} \int_{-\infty}^{\infty} H(\omega)X(\omega)e^{j\omega t} d\omega = \frac{1}{2\pi} \int_{-\infty}^{\infty} Y(\omega)e^{j\omega t} d\omega\end{aligned}$$

Therefore  $Y(\omega) = H(\omega)X(\omega)$

The transform of the output equals the product of the transform of the input multiplied by the transform of the impulse response.

## Mathematical Preliminaries - System Transfer Function

Given an input,  $x(t)$ , a system,  $h(t)$ , and an output,  $y(t)$ , the Transfer Function is the Fourier Transform of  $h(t)$

$$H(\omega) = \int_{-\infty}^{\infty} h(t) e^{-j\omega t} dt$$

$$H(\omega) = \frac{Y(\omega)}{X(\omega)}$$

where

$$Y(\omega) = \int_{-\infty}^{\infty} y(t) e^{-j\omega t} dt$$

$$X(\omega) = \int_{-\infty}^{\infty} x(t) e^{-j\omega t} dt$$



## Mathematical Preliminaries - Fourier Transforms

- *Fourier transforms represent some of the Eigen functions as combinations (sums/integrals) of complex exponentials.*
- *The standard Fourier transform pair for continuous functions is*

$$F(\omega) = \int_{-\infty}^{\infty} f(t) e^{-j\omega t} dt \quad f(t) = \int_{-\infty}^{\infty} F(\omega) e^{j\omega t} \frac{d\omega}{2\pi}$$

- *For periodic systems, with a period,  $T$ , the only complex eigenvectors that can be used to represent the signals are those whose frequencies are multiples of the “fundamental harmonic”,  $\omega = 2\pi/T$ .*
- *Periodic functions are represented by the infinite sums of the appropriately weighted harmonics. In this case the Fourier transform pairs are*

$$F_n = \frac{1}{T} \int_{-T/2}^{T/2} f(t) e^{-j\frac{2\pi n}{T} t} dt \quad f(t) = \sum_{n=-\infty}^{\infty} F_n e^{j\frac{2\pi n}{T} t}$$

## *Mathematical Preliminaries - Fourier Series*

*Using Euler's formula, we can also represent these relations as*

$$f(t) = a_0 + \sum_{n=1}^{\infty} a_n \cos \frac{2\pi n}{T} t + \sum_{n=1}^{\infty} b_n \sin \frac{2\pi n}{T} t$$

*The series is complete, that is, it can represent any continuous function*

*The terms of the series are orthogonal*

$$a_0 = \frac{1}{T} \int_0^T f(t) dt$$

$$a_n = \frac{2}{T} \int_{-T/2}^{T/2} f(t) \cos \frac{2\pi n}{T} t dt$$

$$b_n = \frac{2}{T} \int_{-T/2}^{T/2} f(t) \sin \frac{2\pi n}{T} t dt$$

## Mathematical Preliminaries - Fourier Series Properties

- *The DC term is orthogonal to all others*

$$\int_{-T/2}^{T/2} \cos \frac{2\pi nt}{T} dt = \int_{-T/2}^{T/2} \sin \frac{2\pi nt}{T} dt = 0$$

- *The sinusoidal terms are periodic, so the integral over one period vanishes.*
- *All cosine terms are orthogonal to all sine terms. Using  $\sin A \cos B = 1/2[\sin(A + B) + \sin(A - B)]$*

$$\begin{aligned} & \int_{-T/2}^{T/2} \cos \frac{2\pi nt}{T} \sin \frac{2\pi mt}{T} dt \\ &= \frac{1}{2} \left[ \int_{-T/2}^{T/2} \sin \frac{2\pi(m+n)t}{T} dt + \int_{-T/2}^{T/2} \sin \frac{2\pi(m-n)t}{T} dt \right] = 0 \end{aligned}$$

- *Cosine terms are orthogonal to other cosine terms. Using  $\cos A \cos B = 1/2[\cos(A + B) + \cos(A - B)]$*

*we get*

$$\begin{aligned} & \int_{-T/2}^{T/2} \cos \frac{2\pi nt}{T} \cos \frac{2\pi mt}{T} dt \\ &= \frac{1}{2} \left[ \int_{-T/2}^{T/2} \cos \frac{2\pi(n+m)t}{T} dt + \int_{-T/2}^{T/2} \cos \frac{2\pi(n-m)t}{T} dt \right] \\ &= \begin{cases} 1/2 & n = m \\ 0 & n \neq m \end{cases} \end{aligned}$$

- *Using  $\sin A \sin B = 1/2[\cos(A - B) - \cos(A + B)]$ , we find the same relationship for products of sine terms.*

## Mathematical Preliminaries - Fourier Series Definition

- Using the calculations above, we represent the Fourier series of the periodic function  $f(t)$

$$f(t) = a_0 + \sum_{n=1}^{\infty} \left( a_n \cos \frac{2\pi nt}{T} + b_n \sin \frac{2\pi nt}{T} \right)$$

where

$$a_0 = \frac{1}{T} \int_{-T/2}^{T/2} f(t) dt$$

$$a_n = \frac{2}{T} \int_{-T/2}^{T/2} f(t) \cos \frac{2\pi nt}{T} dT$$

$$b_n = \frac{2}{T} \int_{-T/2}^{T/2} f(t) \sin \frac{2\pi nt}{T} dT$$

## Mathematical Preliminaries – Fourier Square Wave Expansion

$$f(t) = \begin{cases} 0 & -T/2 \leq t < 0 \\ 1 & 0 \leq t < T/2 \end{cases}$$

$$a_0 = \frac{1}{T} \int_0^{T/2} dt = \frac{1}{2}$$

$$a_n = \frac{2}{T} \int_0^{T/2} \cos \frac{2\pi n t}{T} dt = \frac{2}{T} \frac{T}{2\pi n} \sin \frac{2\pi n t}{T} \Big|_0^{T/2} = \frac{1}{\pi n} \sin n\pi = 0$$

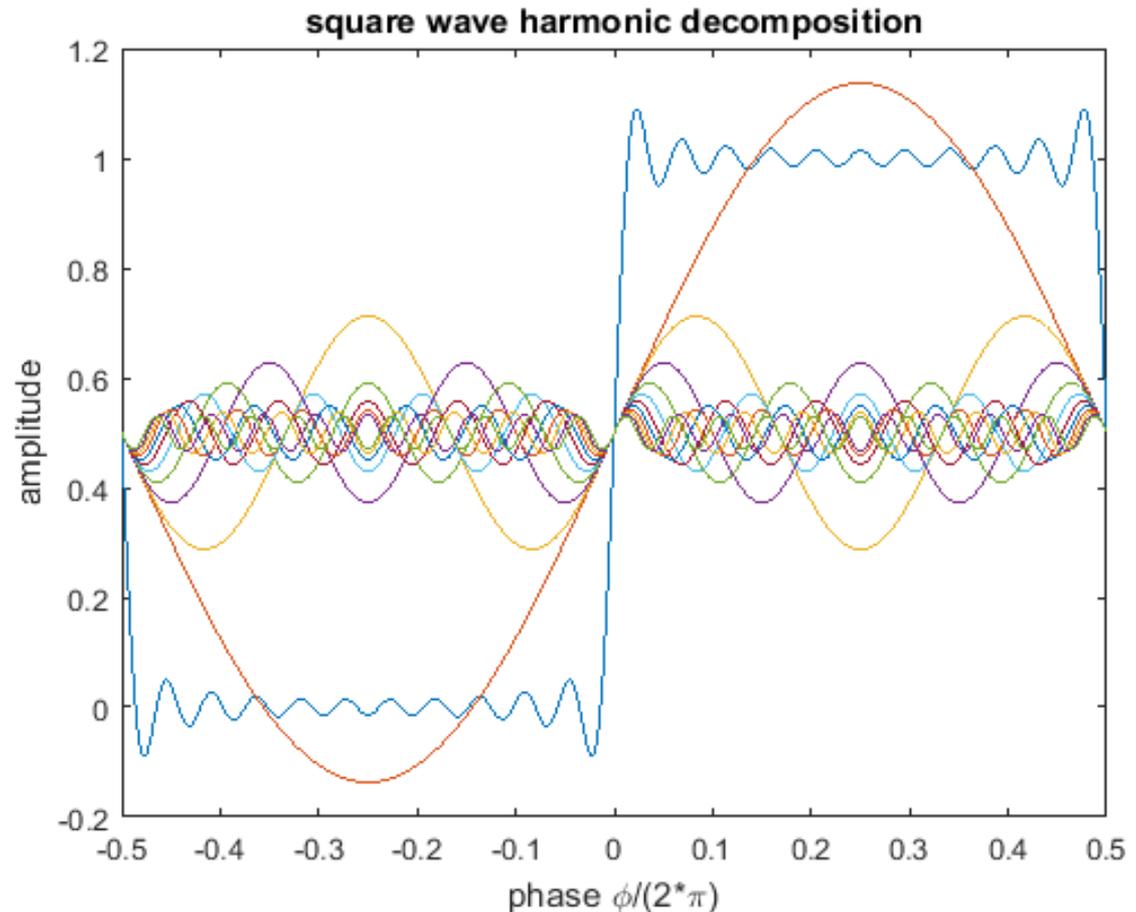
$$b_n = \frac{2}{T} \int_0^{T/2} \sin \frac{2\pi n t}{T} dt = -\frac{1}{\pi n} \cos \frac{2\pi n t}{T} \Big|_0^{T/2} = \frac{1}{\pi n} (1 - \cos n\pi)$$
$$= \frac{1}{\pi n} (1 - (-1)^n)$$

$$f(t) = \frac{1}{2} + \sum_{n=1}^{\infty} \frac{1}{\pi n} (1 - (-1)^n) \sin \frac{2\pi n t}{T}$$

$$f(t) = \frac{1}{2} + \frac{2}{\pi} \sum_{n=0}^{\infty} \frac{1}{2n+1} \sin \frac{2\pi(2n+1)t}{T}$$

## Mathematical Preliminaries – Fourier Square Wave Expansion

- *Fundamental term dominates*
- *Harmonic terms mainly contribute to sharp transition at edge*
- *Since the square wave function is discontinuous, the edges have “Gibbs ears”*



## Mathematical Preliminaries – Fourier Triangle Wave Expansion

$$f(t) = \begin{cases} 1 + 2t/T & -T/2 \leq t < 0 \\ 1 - 2t/T & 0 \leq t < T/2 \end{cases}$$

$$a_0 = \frac{1}{T} \left[ \int_{-T/2}^0 (1 + 2t/T) dt + \int_0^{T/2} (1 - 2t/T) dt \right]$$

$$= \frac{1}{T} \left[ \left( t + \frac{2t^2}{2T} \right) \Big|_{-T/2}^0 + \left( t - \frac{2t^2}{2T} \right) \Big|_0^{T/2} \right]$$

$$= \frac{1}{T} \left[ \left( \frac{T}{2} - \frac{1}{T} \left( \frac{T}{2} \right)^2 \right) + \left( \frac{T}{2} - \frac{1}{T} \left( \frac{T}{2} \right)^2 \right) \right] = \frac{1}{2}$$

## *Mathematical Preliminaries – Fourier Triangle Wave Expansion*

$$\begin{aligned}
 a_n &= \frac{2}{T} \left[ \int_{-T/2}^0 \left( 1 + \frac{2t}{T} \right) \cos \frac{2\pi nt}{T} dt + \int_0^{T/2} \left( 1 - \frac{2t}{T} \right) \cos \frac{2\pi nt}{T} dt \right] \\
 &= \frac{2}{T} \left[ \int_{-T/2}^{T/2} \cos \frac{2\pi nt}{T} dt + \frac{2}{T} \int_{-T/2}^0 t \cos \frac{2\pi nt}{T} dt - \frac{2}{T} \int_0^{T/2} t \cos \frac{2\pi nt}{T} dt \right] \\
 &= \left( \frac{2}{T} \right)^2 \left[ \int_{-T/2}^0 t \cos \frac{2\pi nt}{T} dt - \int_0^{T/2} t \cos \frac{2\pi nt}{T} dt \right] \\
 &= -2 \left( \frac{2}{T} \right)^2 \int_0^{T/2} t \cos \frac{2\pi nt}{T} dt \\
 &= -2 \left( \frac{2}{T} \right)^2 \left[ \frac{T}{2\pi n} t \sin \frac{2\pi nt}{T} \Big|_0^{T/2} - \frac{T}{2\pi n} \int_0^{T/2} \sin \frac{2\pi nt}{T} dt \right] \\
 &= 2 \left( \frac{2}{T} \right)^2 \left( \frac{T}{2\pi n} \right)^2 (1 - \cos n\pi) = \frac{2}{(\pi n)^2} (1 - (-1)^n)
 \end{aligned}$$

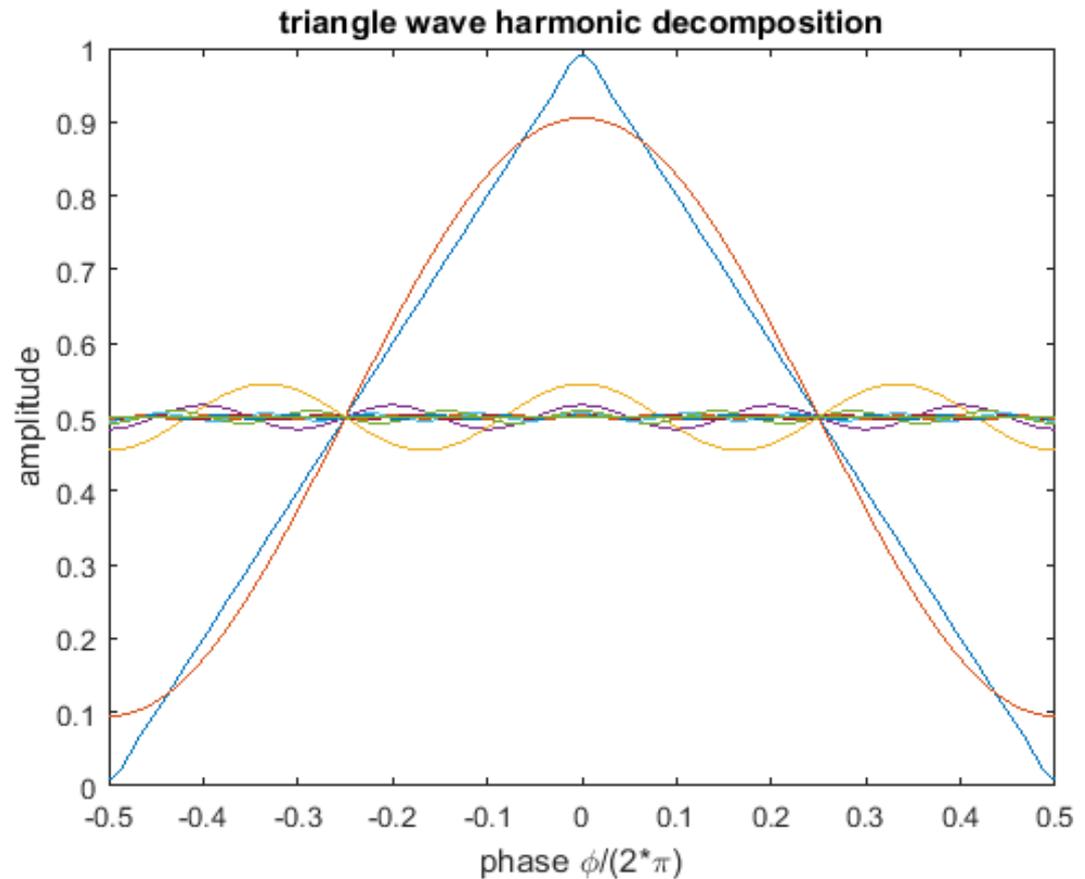
## *Mathematical Preliminaries – Fourier Triangle Wave Expansion*

$$\begin{aligned}
 b_n &= \frac{2}{T} \left[ \int_{-T/2}^{T/2} \sin \frac{2\pi n t}{T} dt + \frac{2}{T} \int_{-T/2}^0 t \sin \frac{2\pi n t}{T} dt - \frac{2}{T} \int_0^{T/2} t \sin \frac{2\pi n t}{T} dt \right] \\
 &= \left( \frac{2}{T} \right)^2 \left[ -\frac{T}{2\pi n} t \cos \frac{2\pi n t}{T} \Big|_{-T/2}^0 + \frac{T}{2\pi n} \int_{-T/2}^0 \cos \frac{2\pi n t}{T} dt \right. \\
 &\quad \left. + \frac{T}{2\pi n} t \cos \frac{2\pi n t}{T} \Big|_0^{T/2} - \frac{T}{2\pi n} \int_0^{T/2} \cos \frac{2\pi n t}{T} dt \right] \\
 &= \left( \frac{2}{T} \right)^2 \left[ -\frac{T}{2\pi n} \frac{T}{2} \cos(-n\pi) - \left( \frac{T}{2\pi n} \right)^2 \sin(-n\pi) + \frac{T}{2\pi n} \frac{T}{2} \cos(n\pi) \right. \\
 &\quad \left. - \left( \frac{T}{2\pi n} \right)^2 \sin n\pi \right] = 0
 \end{aligned}$$

$$\begin{aligned}
 f(t) &= \frac{T}{2} + \sum_{n=1}^{\infty} \frac{2}{(n\pi)^2} (1 - (-1)^n) \cos \frac{2\pi n t}{T} \\
 f(t) &= \frac{T}{2} + \left( \frac{2}{\pi} \right)^2 \sum_{n=0}^{\infty} \frac{1}{(2n+1)^2} \cos \frac{2\pi(2n+1)t}{T}
 \end{aligned}$$

## Mathematical Preliminaries – Fourier Triangle Wave Expansion

- *Fundamental term again dominates*
- *Now harmonics mainly contribute to peaks*
- *Sharp corners require high harmonics*
- *Triangle wave is continuous so expansion approaches waveform everywhere*



## ◀ *Mathematical Preliminaries - Advantages of the Frequency Domain*

- *When working with linear, time-invariant systems, there are several advantages to moving from the time domain to the frequency domain.*
- *If  $x_1 \rightarrow y_1$  and  $x_2 \rightarrow y_2$  and if  $ax_1 + bx_2 \rightarrow ay_1 + by_2$  then the system is **linear**.*
- *If  $x(t) \rightarrow y(t)$  and if  $x(t - t_0) \rightarrow y(t - t_0)$  then the system is **time-invariant**.*
- *Each frequency corresponds to a unique eigenfunction of the system and the system response for each frequency can be calculated independently.*



## *Mathematical Preliminaries - Laplace Transforms*

- *There is another transform often used in system analysis, the Laplace transform.*
- *It is closely related to the Fourier transform in that it is also based on system eigenfunctions.*
- *In addition to “real” frequencies, it also uses complex frequencies that allow it to also study decaying solutions.*
- *As with Fourier transform, integral must converge in order for transform to exist.*
- *It is convenient to use Laplace transforms for the study of solutions to problems with initial conditions.*
- *The variable used in Laplace transforms is often  $s = j\omega$*

## *Mathematical Preliminaries - Laplace Transforms*

- The Laplace transform is used for analysis of systems with given initial conditions*
- For a given function of time,  $f(t)$ , its Laplace transform,  $F(s)$ , is defined as*

$$\mathcal{L}(f(t)) = F(s) = \int_0^{\infty} f(t)e^{-st} dt$$

- $f(t)$  has to grow less quickly than  $e^{-st}$  decreases as  $t \rightarrow \infty$*
- When working in the frequency ( $s$ ) domain, we express transfer functions in terms of known Laplace transforms and take the inverse transform,  $\mathcal{L}^{-1}(F(s))$ , to obtain the time domain solution.*



## Mathematical Preliminaries - Laplace Transforms

- **Delta function:**  $f(t) = \delta(t)$

$$F(s) = \int_0^{\infty} \delta(t)e^{-st} dt = 1$$

- **Delta function with delay:**  $f(t) = \delta(t - t_0), t \geq 0$

$$F(s) = e^{-st_0}$$

- **Step function:**  $f(t) = U(t)$

$$F(s) = \int_0^{\infty} U(t)e^{-st} dt = \int_0^{\infty} e^{-st} dt = -\frac{1}{s} e^{-st} \Big|_0^{\infty} = \frac{1}{s}$$

- **Step function with delay:**  $f(t) = U(t - t_0), t \geq 0$

$$F(s) = \frac{e^{-st_0}}{s}$$

## Mathematical Preliminaries - Laplace Transforms

- **Ramp function:**  $f(t) = t$

Use integration by parts (IBP)

$$\int_a^b u dv = uv \Big|_a^b - \int_a^b v du$$

$$u = t; du = dt; dv = e^{-st} dt; v = -e^{-st} / s$$

$$F(s) = \int_0^{\infty} t e^{-st} dt = -\frac{t}{s} e^{-st} \Big|_0^{\infty} + \frac{1}{s} \int_0^{\infty} e^{-st} dt = \frac{1}{s^2}$$

- **Exponential function:**  $f(t) = e^{at}$  with  $a$  an arbitrary complex number

$$F(s) = \int_0^{\infty} e^{at} e^{-st} dt = \int_0^{\infty} e^{-(s-a)t} dt$$

$$F(s) = -\frac{1}{s-a} e^{-(s-a)t} \Big|_0^{\infty} = \frac{1}{s-a}$$

Only if  $\lim_{t \rightarrow \infty} e^{-(s-a)t}$  exists does  $F(s)$  exist. Therefore  $\text{Re}(a) \leq 0$ . Note that  $a$  can be imaginary.

## Mathematical Preliminaries - Laplace Transforms

- **Sinusoidal functions:**  $f(t) = \cos \omega t$       $f(t) = \sin \omega t$

We could do this from the definition and IBP, but instead we use Euler's formula, exponential transforms, and the linearity of the Laplace transform

$$\begin{aligned} F(s) &= \int_0^{\infty} \cos \omega t e^{-st} dt = \frac{1}{2} \int_0^{\infty} (e^{j\omega t} + e^{-j\omega t}) e^{-st} dt \\ &= \frac{1}{2} \left( \int_0^{\infty} e^{-(s-j\omega)t} dt + \int_0^{\infty} e^{-(s+j\omega)t} dt \right) = \frac{1}{2} \left( \frac{1}{s-j\omega} + \frac{1}{s+j\omega} \right) \end{aligned}$$

$$F(s) = \frac{s}{s^2 + \omega^2}$$

$$F(s) = \int_0^{\infty} \sin \omega t e^{-st} dt = \frac{\omega}{s^2 + \omega^2}$$

## Mathematical Preliminaries - Laplace Transforms

- **Transform of a derivative:**  $f(t) = \frac{dg(t)}{dt}$

$$F(s) = \int_0^{\infty} \frac{dg(t)}{dt} e^{-st} dt$$

$$= g(t)e^{-st} \Big|_0^{\infty} + \int_0^{\infty} sg(t)e^{-st} dt$$

$$= g(t)e^{-st} \Big|_0^{\infty} + s \int_0^{\infty} g(t)e^{-st} dt$$

$$F(s) = sG(s) - g(0)$$

where we have used integration by parts and  $G(s) = \int_0^{\infty} g(t)e^{-st} dt$

## Mathematical Preliminaries - Laplace Transforms

$f(t) = \mathcal{L}^{-1}(F(s))$	$F(s) = \mathcal{L}(f(t))$
$\delta(t)$	1
$U(t)$	$\frac{1}{s}$
$t$	$\frac{1}{s^2}$
$e^{-at}$	$\frac{1}{s+a}$
$\cos \omega t$	$\frac{s}{s^2 + \omega^2}$
$\sin \omega t$	$\frac{\omega}{s^2 + \omega^2}$
$e^{-at} \cos \omega t$	$\frac{s+a}{(s+a)^2 + \omega^2}$
$e^{-at} \sin \omega t$	$\frac{\omega}{(s+a)^2 + \omega^2}$
$\frac{dg(t)}{dt}$	$sG(s) - g(0)$
$f(t - t_0)$	$e^{-st_0}F(s)$

## *Mathematical Preliminaries - Inverting Laplace Transforms*

- *Laplace Transforms simplify the calculations of system behavior, but these calculations are performed in the complex frequency ( $s$ ) domain.*
- *In order to return to a time domain function, the  $s$  domain function must be inverted.*
- *Inversion of these functions can be performed via complex variable techniques.*
- *Much more commonly, one uses readily available tables of functions and their Laplace transform pairs*
- *There also exist such transform tables for Fourier transforms.*



<http://www.vibrationdata.com/Laplace.htm>

[http://en.wikipedia.org/wiki/Laplace\\_transform](http://en.wikipedia.org/wiki/Laplace_transform)

<http://mathworld.wolfram.com/FourierTransform.html>

[http://en.wikipedia.org/wiki/Fourier\\_transform](http://en.wikipedia.org/wiki/Fourier_transform)

## *Mathematical Preliminaries - Inverting Laplace Transforms*

- *Response of a single pole low pass filter to an impulse*

$$H(s) = \frac{a}{s + a}$$

$$(H(j\omega) = \frac{a}{j\omega + a})$$

$$(H(0) = 1; \text{ 3 dB rolloff at } \omega = a)$$

$$X(s) = 1$$

$$Y(s) = H(s)X(s) = \frac{a}{s + a}$$

$$y(t) = \mathcal{L}^{-1}(Y(s)) = \mathcal{L}^{-1}\left(\frac{a}{s + a}\right)$$

$$y(t) = e^{-at}$$

- *Response to a step:*

$$Y(s) = H(s)X(s) = \frac{a}{s+a} \frac{1}{s}$$

$$y(t) = \mathcal{L}^{-1} \left( \frac{a}{s+a} \frac{1}{s} \right)$$

*Use partial fractions to expand argument and then linearity of  $\mathcal{L}$*

$$\frac{a}{s(s+a)} = \frac{A}{s} + \frac{B}{s+a}$$

$$a = (s+a)A + sB$$

$$s = 0 \Rightarrow A = 1$$

$$s = -a \Rightarrow B = -1$$

$$y(t) = \mathcal{L}^{-1} \left( \frac{a}{s+a} \frac{1}{s} \right) = \mathcal{L}^{-1} \left( \frac{1}{s} - \frac{1}{s+a} \right) = \mathcal{L}^{-1} \left( \frac{1}{s} \right) - \mathcal{L}^{-1} \left( \frac{1}{s+a} \right)$$

$$= 1 - e^{-at}$$

- *Response to an exponential:*

$$x(t) = e^{-bt}$$

$$X(s) = \mathcal{L}(e^{-bt}) = \frac{1}{s + b}$$

$$Y(s) = H(s)X(s) = \frac{a}{s + a} \frac{1}{s + b}$$

$$y(t) = \mathcal{L}^{-1}\left(\frac{a}{s + a} \frac{1}{s + b}\right) = \frac{a}{b - a} \mathcal{L}^{-1}\left(\frac{1}{s + a} - \frac{1}{s + b}\right)$$

$$= \frac{a}{b - a} (e^{-at} - e^{-bt})$$



## *Section 4*

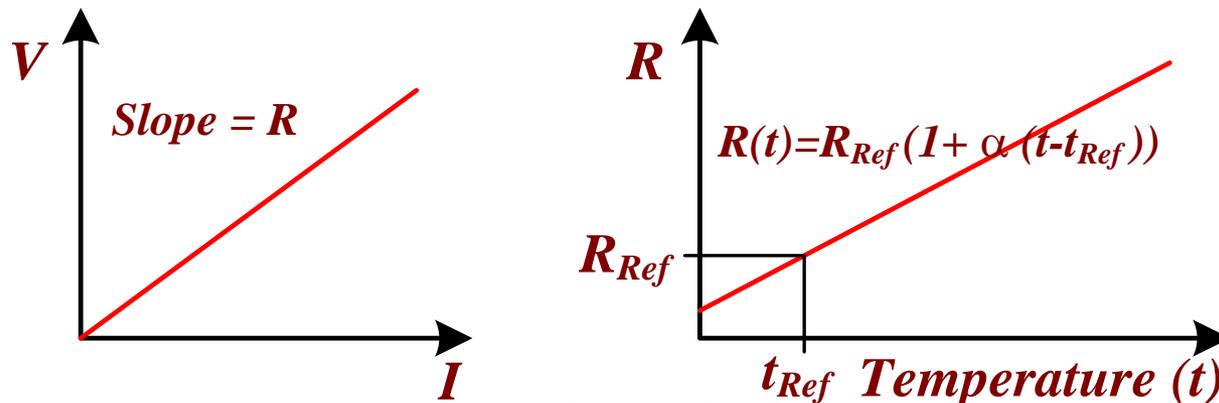
- *Typical Load Types*

- *Resistive – Electron Beam Filament*
- *Resistive - Titanium Sublimation Pumps (TSPs)*
- *DC Magnets*
- *Klystrons*
- *Electron Beam Gun*
- *Pulsed Magnets*

## Resistive Load Characteristics

### Electron Beam Guns (Filament) / Titanium Sublimation Pump Heaters

- High temperature – 1,500 °C not uncommon
- High current – 10 to 100s of amperes, low voltage, typically < 50 V
- Short thermal time-constants - 100s of milliseconds, power stability needed to keep temperature constant
- Resistive with (+) metal or (-) carbon temperature coefficient of resistance
- Power with constant voltage, current or power. *Might have to avoid DC (more later in AC Controllers) depending upon circumstances*
- Heat gradually to avoid thermally shocking and breaking brittle loads
- Usually linear V-I and R-T characteristics, but sometimes non-linear





## *Resistive Load Characteristics*

### *Electron Beam Gun Filaments / Titanium Sublimation Pump Heaters*

#### *Ideal Characteristics*

- *Low potential barrier (work function)*
- *High melting point*
- *Chemical stability at high temperatures*
- *Long life*

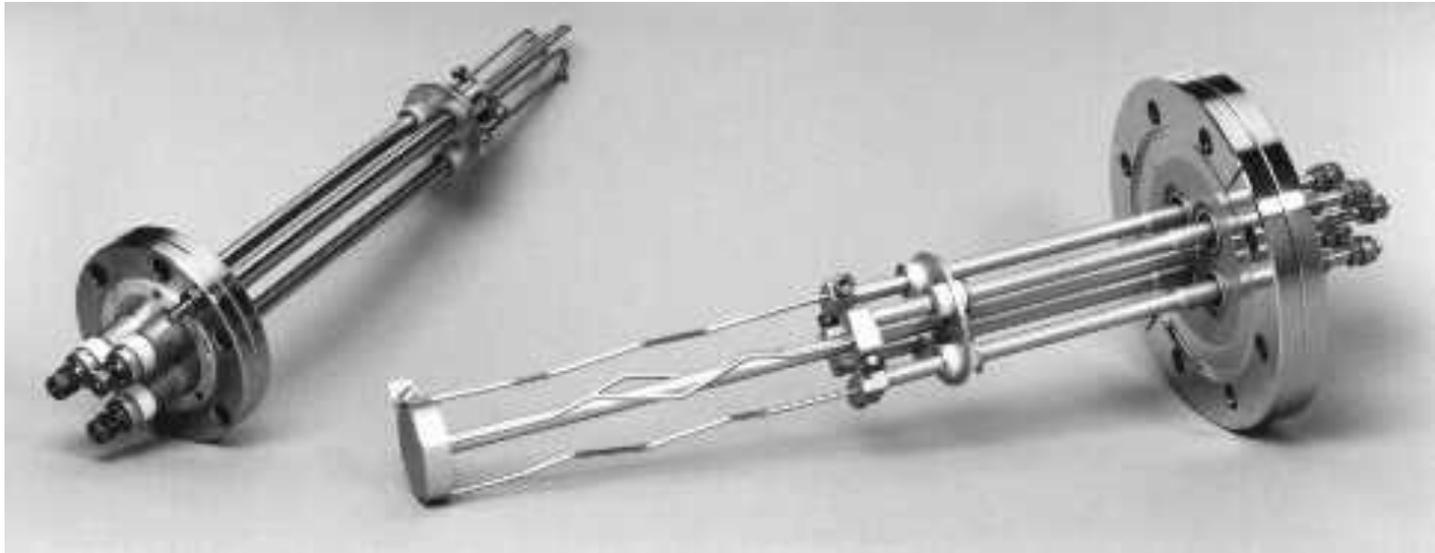


**Work function** - the minimum energy which must be supplied to extract an electron from a solid; symbol  $\phi$ , units J (joule), or more often eV (electron-volt). It is a measure of how tightly electrons are bound to a material. The work function of several metals is given below:

<i>Material</i>	<i>Work function (eV)</i>
<i>Sodium</i>	<i>2.75</i>
<i>Silver</i>	<i>4.26</i>
<i>Titanium</i>	<i>4.33</i>
<i>Silicon</i>	<i>4.60</i>
<i>Gold</i>	<i>5.31</i>
<i>Graphite</i>	<i>5.37</i>
<i>Tungsten</i>	<i>5.40</i>

## Titanium Sublimation Pumps (TSPs)

- *Titanium Sublimation Pumps (TSPs) are used to pump chemically reactive, getterable gases, such as  $H_2$ ,  $H_2O$ ,  $CO$ ,  $N_2$ ,  $O_2$ ,  $CO_2$  from vacuum vessels. Titanium is effective, easily sublimed, and inexpensive.*
- *TSPs filaments are 85% titanium and 15% molybdenum, a combination which prevents premature filament “burnout” and have high pumping speeds, typically  $10 \text{ l / sec / cm}^2$*



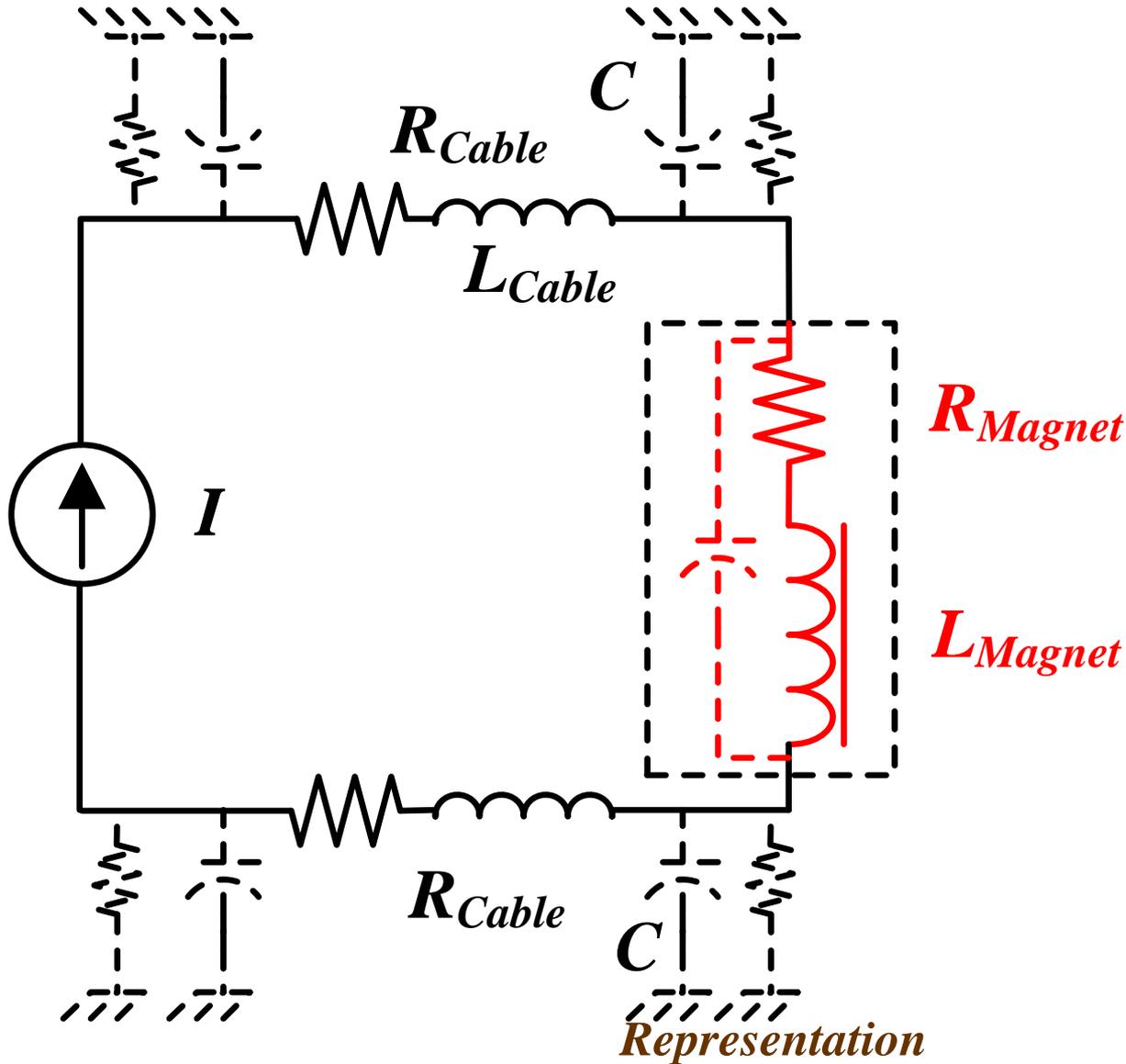
**Sublimate** - To transform directly from the solid to the gaseous state. Deposition is the passing from the gaseous to the solid state without becoming a liquid.



## *DC Magnet Loads – Characteristics*

- *Linear and inductive with long (mS to sec) electrical time-constants ( $\tau = L/R$ )*
- *Families include dipole steering, quadrupole and sextupole focusing / defocusing, corrector / trims*
- *Driven by constant current and require high current stability ( $\Delta I$  in PPM)*
- *Correctors / trims frequently require current modulation for beam-based alignment / diagnostic systems, orbit correction and stabilization*
- *Air-cooled or water-cooled (temperature or flow interlocks to power supply)*
- *Occasionally series-connected in strings and powered from a common power supply to reduce power system cost*

# DC Magnet Loads – Characteristics



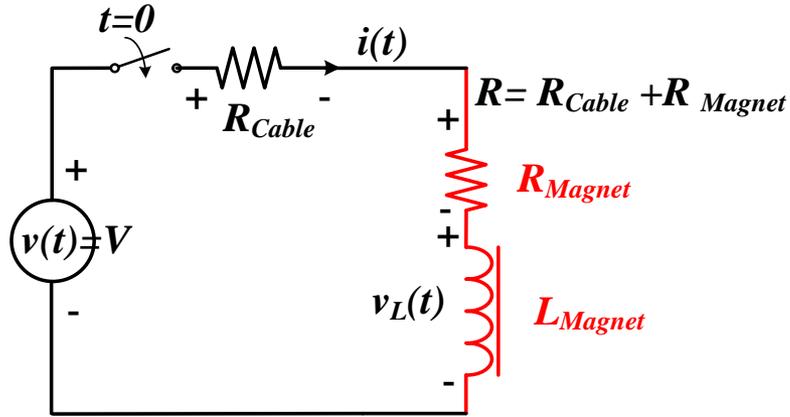
*Ampere's Law*

$$B = \mu H = \frac{\mu_r \mu_0 NI}{l}$$

*Lorentz Force Law*

$$F = q( E + ( v \times B ) )$$

## DC Magnet Loads – Characteristics



Using Kirchoff's voltage law (KVL):

$$-v(t) + (R_{cable} + R_{magnet})i(t) + L \frac{di(t)}{dt} = 0$$

$$Ri(t) + L \frac{di(t)}{dt} = v(t)$$

Converting to the  $s$  domain

$$RI(s) + LsI(s) - Li(0) = V(s), \quad \text{But } i(0) = 0 \text{ and } V(s) = \frac{V}{s}$$

Rearranging gives

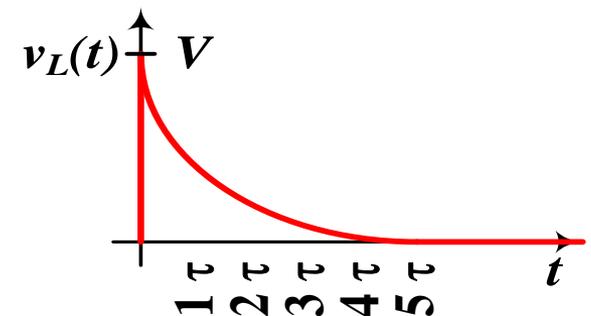
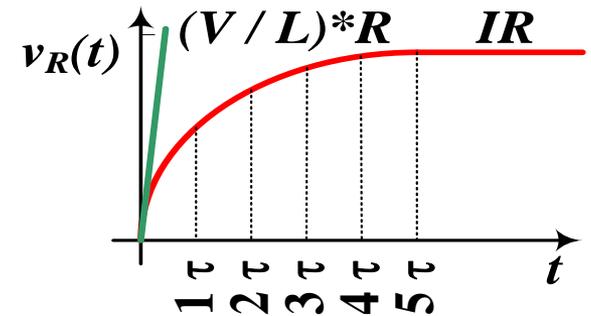
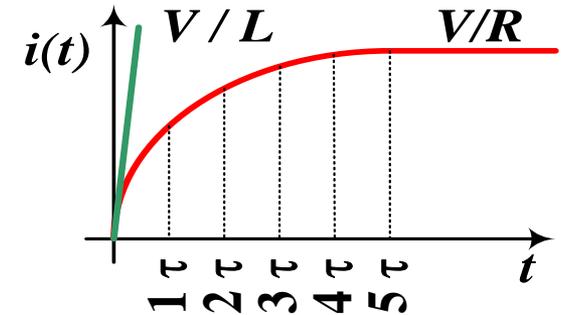
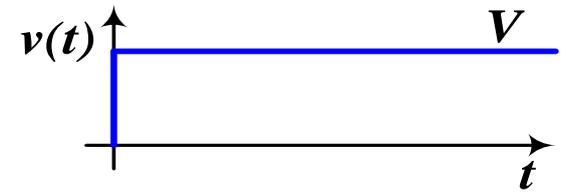
$$I(s) \frac{L}{R} \left( s + \frac{R}{L} \right) = \frac{V}{R s}$$

$$\text{let } \frac{R}{L} = \alpha \text{ and } \frac{L}{R} = \frac{1}{\alpha} = \tau$$

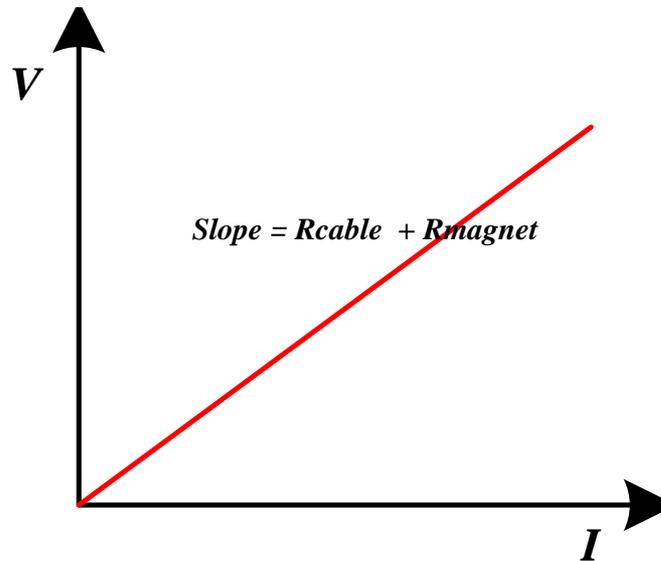
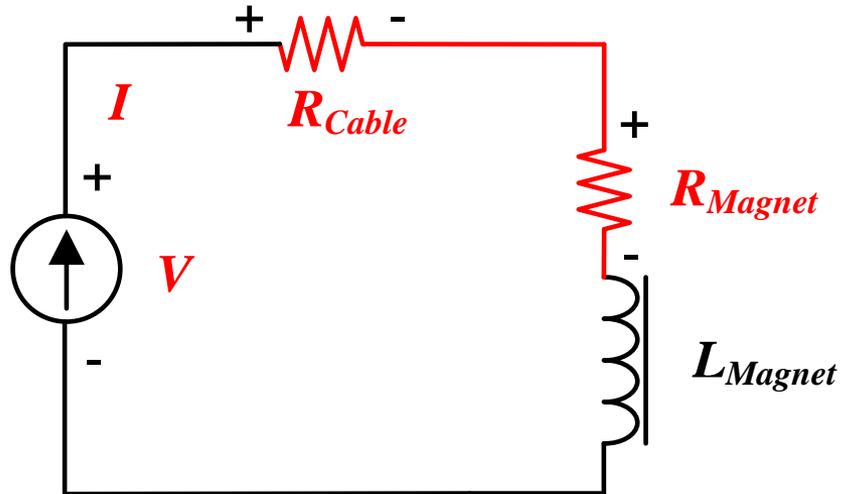
$$I(s) = \frac{V}{R} \frac{\alpha}{s(s + \alpha)}$$

$$i(t) = \frac{V}{R} \left( 1 - e^{-\frac{t}{\tau}} \right)$$

$$v_L(t) = Ve^{-t/\tau}$$

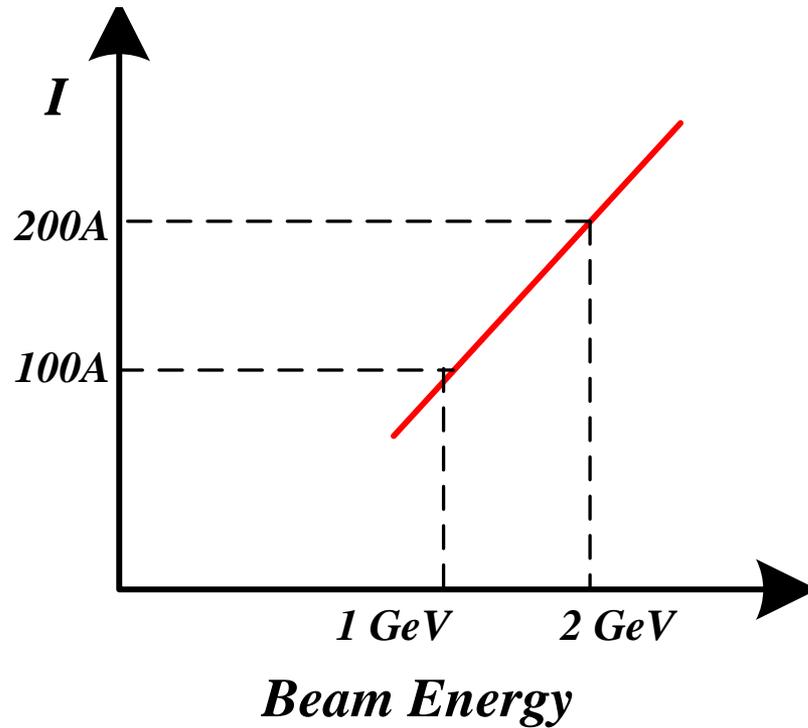
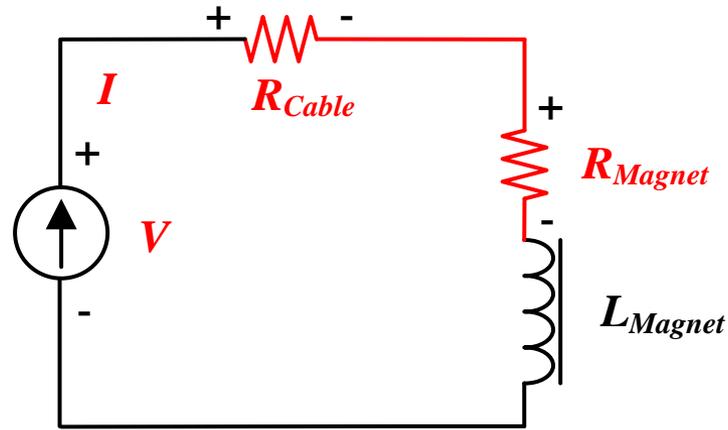


## DC Magnet Loads – Characteristics

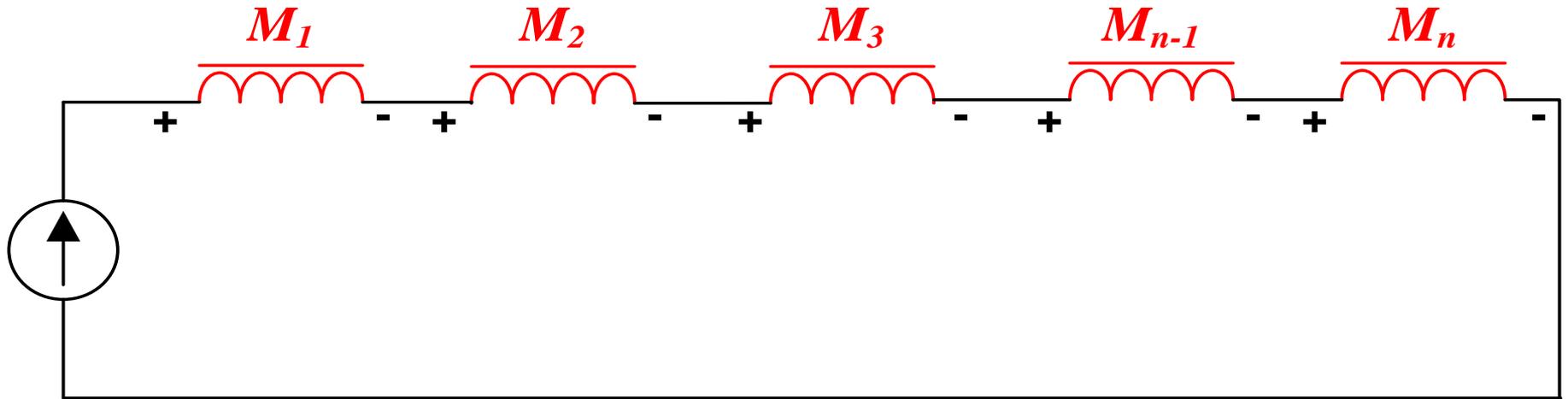


**DC V-I Characteristic**

# DC Magnet Loads – Characteristics



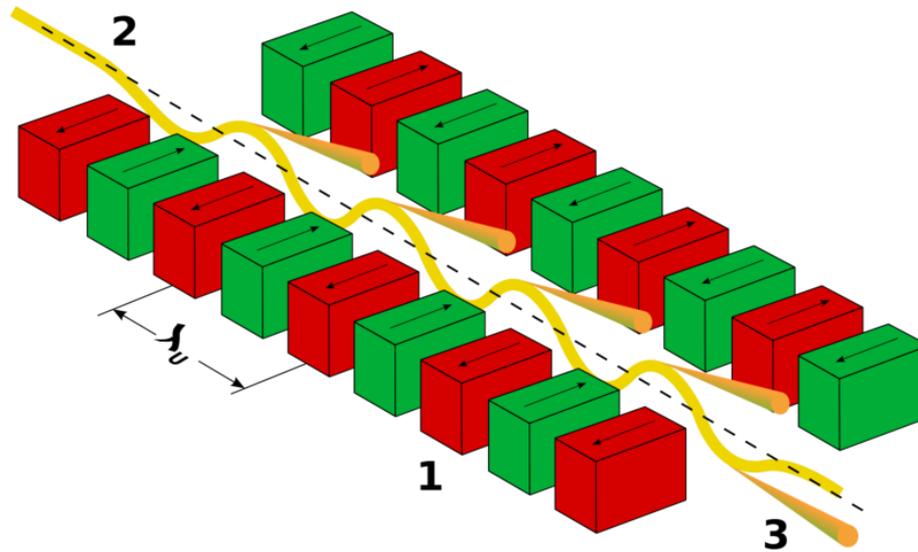
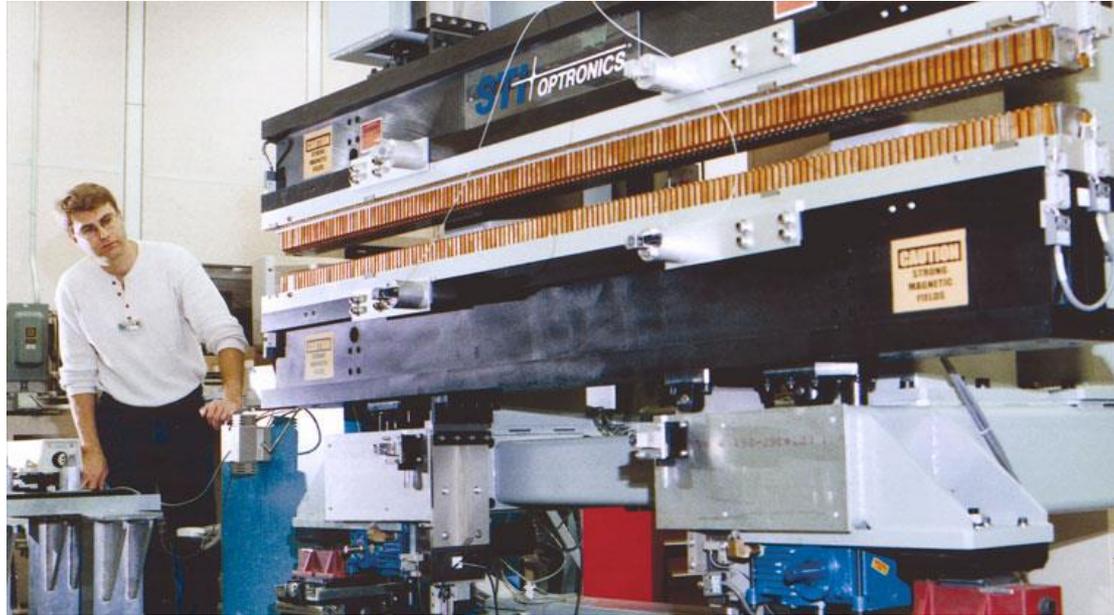
## DC Magnet Loads – Characteristics



*String (series-connect) magnets for economy when there are no special optics requirements. The current in each series-connected magnet is the same.*

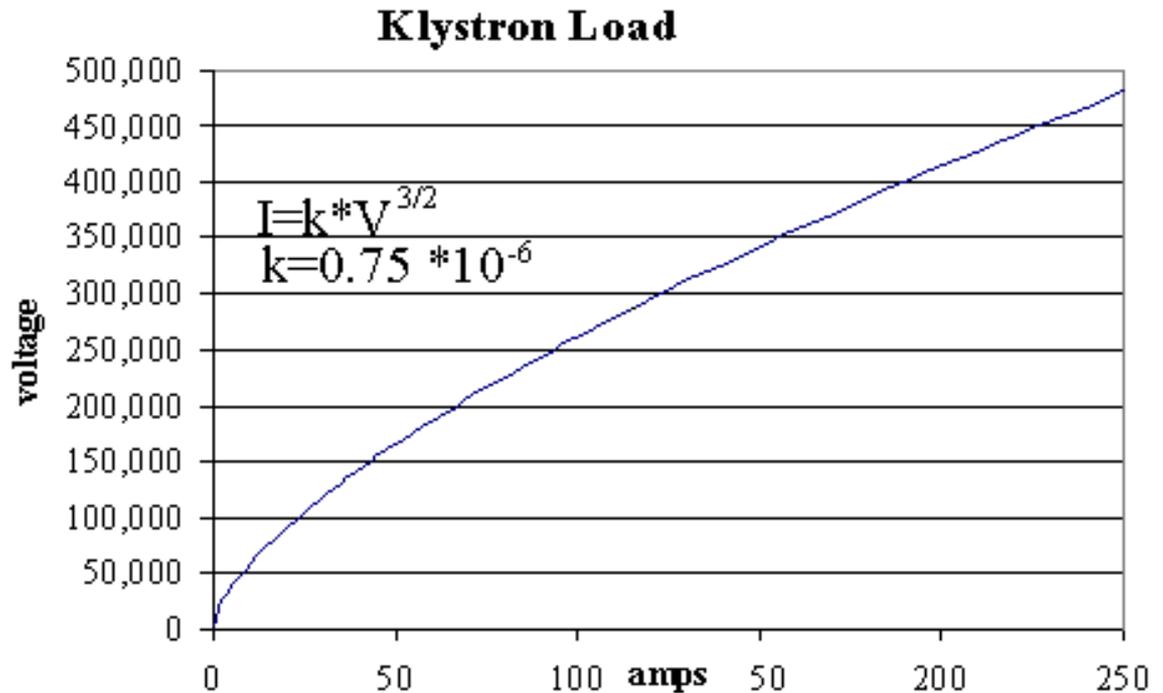
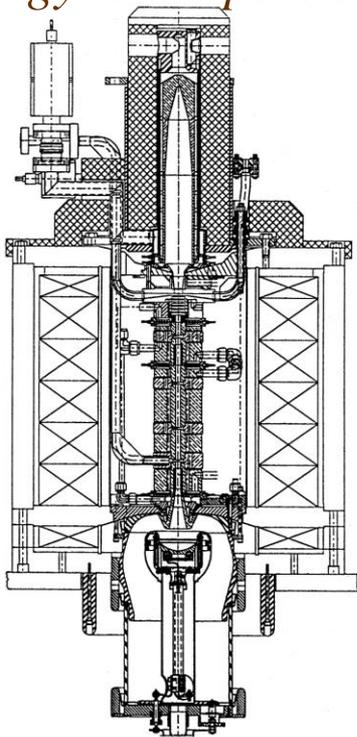
 *insertion device (ID) is a component in modern synchrotron light sources. They are periodic magnetic structures that stimulate highly brilliant, forward-directed synchrotron radiation emission by forcing a stored charged particle beam to perform wiggles, or undulations, as they pass through the device. This motion is caused by the Lorentz force, and it is from this oscillatory motion that we get the names for the two classes of device, which are known as wigglers and undulators*

# Photograph of an Insertion Device at the APS



## Klystron Load

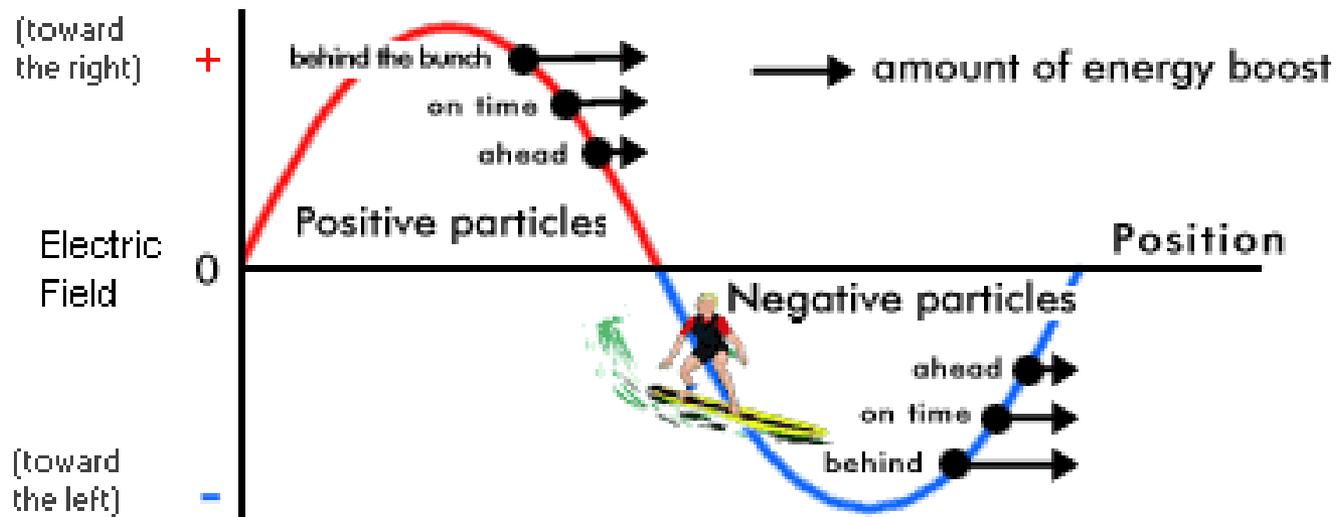
- *Klystrons in RF and microwave systems accelerate particle beams. They need a power supply and an RF source.*
- *Their transfer function is called perveance ( $k$ ) which expresses the beam current and accelerating voltage relation. It is usually expressed as  $\mu\text{p}$ .*
- *In LINACs they operate in a pulsed mode to accelerate particle beams*
- *In boosters and storage rings they operate in continuous-mode to supply make-up energy to the particle beam to compensate for energy losses or for beam bunching*





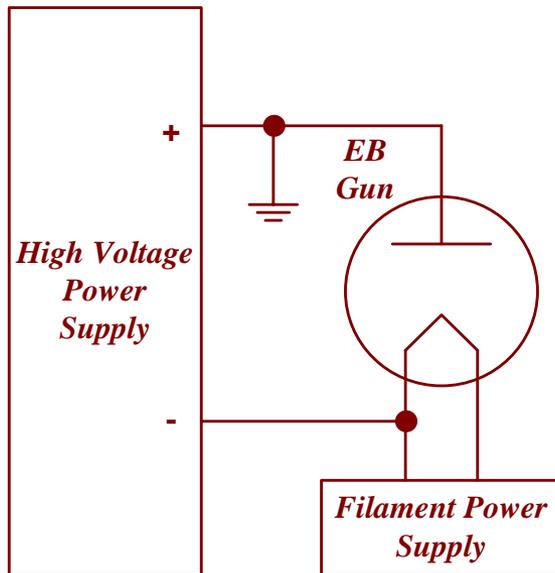
## *Klystrons and Accelerators*

- *Electrons and positrons may be accelerated by injecting them into structures with traveling electromagnetic waves*
- *The microwaves from klystrons are fed into the accelerator structure via waveguides. This creates a pattern of electric and magnetic fields, which form an electromagnetic wave traveling down the accelerator. The beam energy is a function of the energy boost per klystron and the total number of klystrons.*

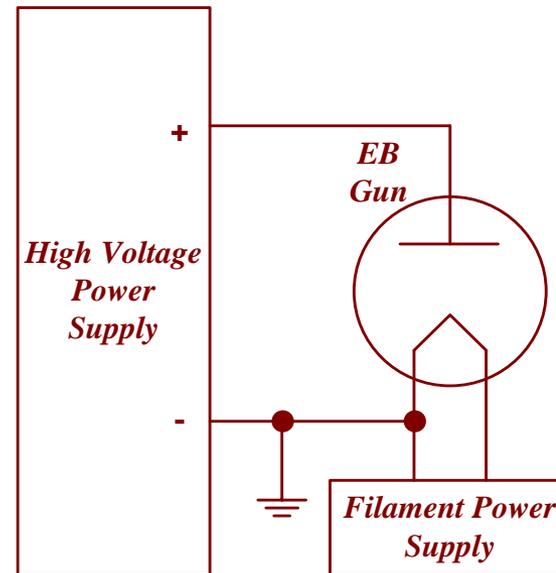


## DC Electron Beam Gun Electrical Load Characteristics

- *Electron gun exhibits non-linear V-I characteristics*
- *Capacitive loading*
- *High voltage, low DC current*
- *High peak pulsed current*
- *Subject to arcing*
- *Limited fault energy capability - arc protection (crowbar) needed*



*If work surface (anode) is difficult to insulate - put at ground potential. Float filament at HV.*



*If work surface (anode) is easy to insulate - float at HV. Put filament at ground potential.*

# Pulsed Loads - Beam Separators and Deflectors

## Characteristics

- Capacitive loading
- High voltage, low DC current
- High peak pulsed current
- Subject to arcing
- Limited energy capability - arc protection (crowbar) needed

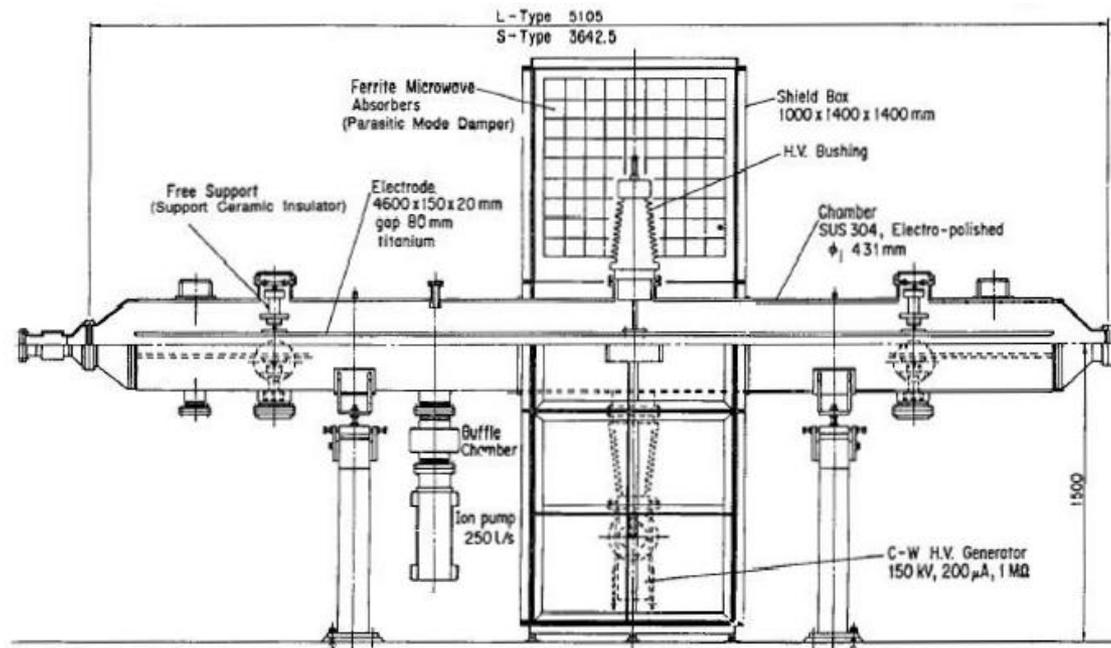
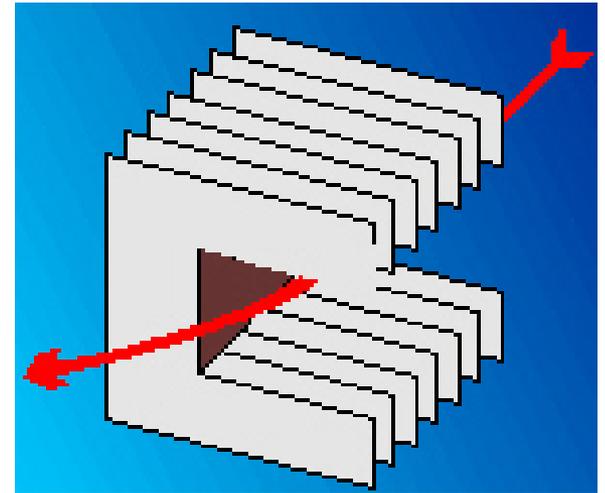


Fig.2 Separator chamber.

## *Pulsed Magnet Loads - Kickers, Pulsed Deflectors, Etc.*

- Kicker magnets interact with positively or negatively charged particle beams which, in most cases, are grouped into bunches*
- The purpose of an injection kicker is to fully deflect (kick) bunches, without disturbance to the preceding or following bunches, from a beamline into a storage ring*
- An ejection kicker will do the inverse, that is, kick a particle beam from a storage ring into a working beamline.*



# Pulsed Magnet Loads – Kickers, Pulsed Deflectors

- Short time constants ( $\tau = L/R$ )  $\ll 1$  mS
- Characteristic impedance is like a transmission line
- High voltage, low impedance
- Fast pulse, match or terminating resistors
- Subject to reflection and breakdown

Fig. 5. SLAC-designed kicker magnet.

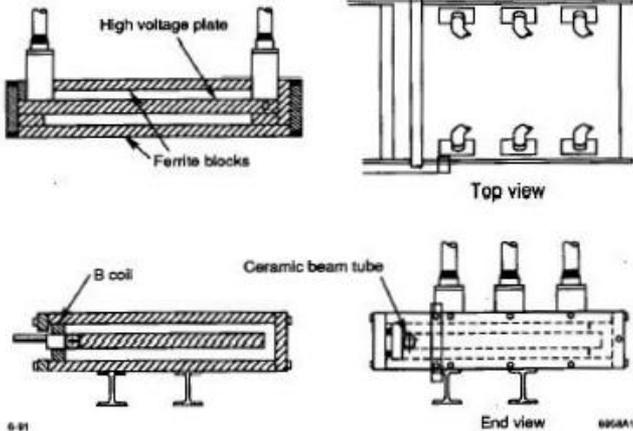
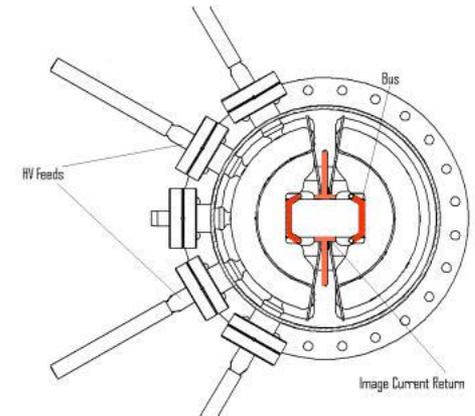
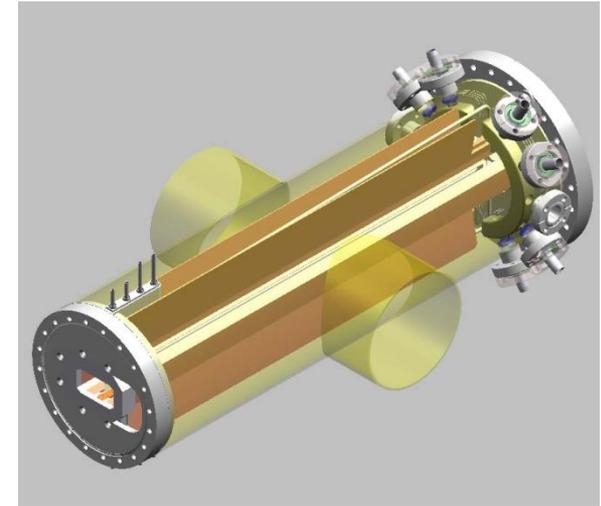
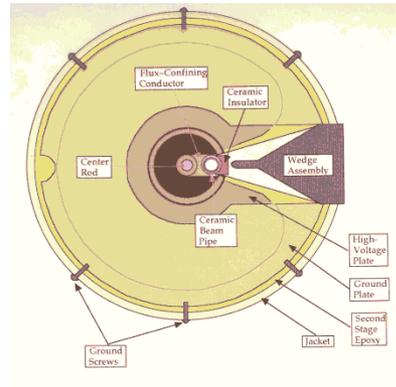
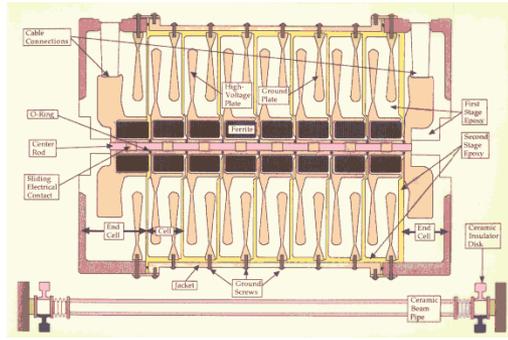


Fig. 6. SLAC-style kicker magnet.





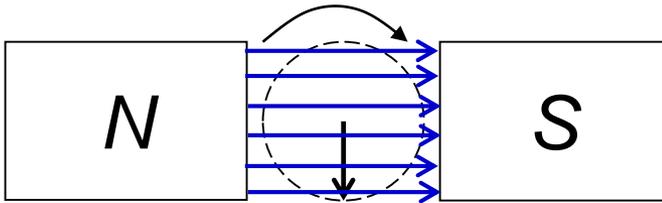
## *Section 5*

- *Power Line and Other Considerations*

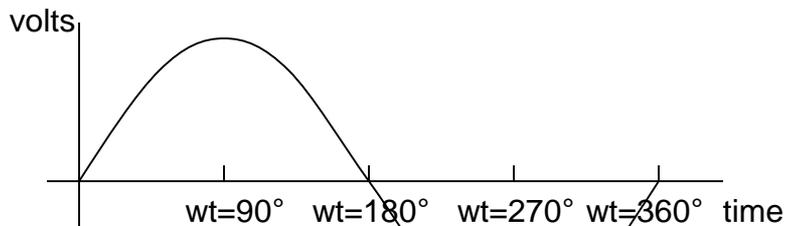
- *Fundamental Quantities*
- *Single Phase Systems*
- *Three Phase Systems*
- *Transformer Primer*
- *The Per Unit Calculation System*
- *Harmonics, Complex Waveforms and Fourier Series*
- *SCR Commutation as Distortion Cause*
- *Electromagnetic Compatibility and Interference (EMC/EMI)*
- *Power Factor*

# Fundamental Quantities - Characteristics of Sinusoidal Waves

- *Generation of sine waves*



- *Plotting of sine waves*



Here  $w$  is the rotating speed and  $t$  is the time

- *Expression of sine waves*

$$v(t) = V_{max} \sin(\omega t)$$

$$\omega = 2\pi f$$

## Fundamental Quantities - Average and RMS Values

- *Average value:*

$$V_{ave} = \frac{1}{T} \int_0^T v(t) dt$$

*for AC sine system*

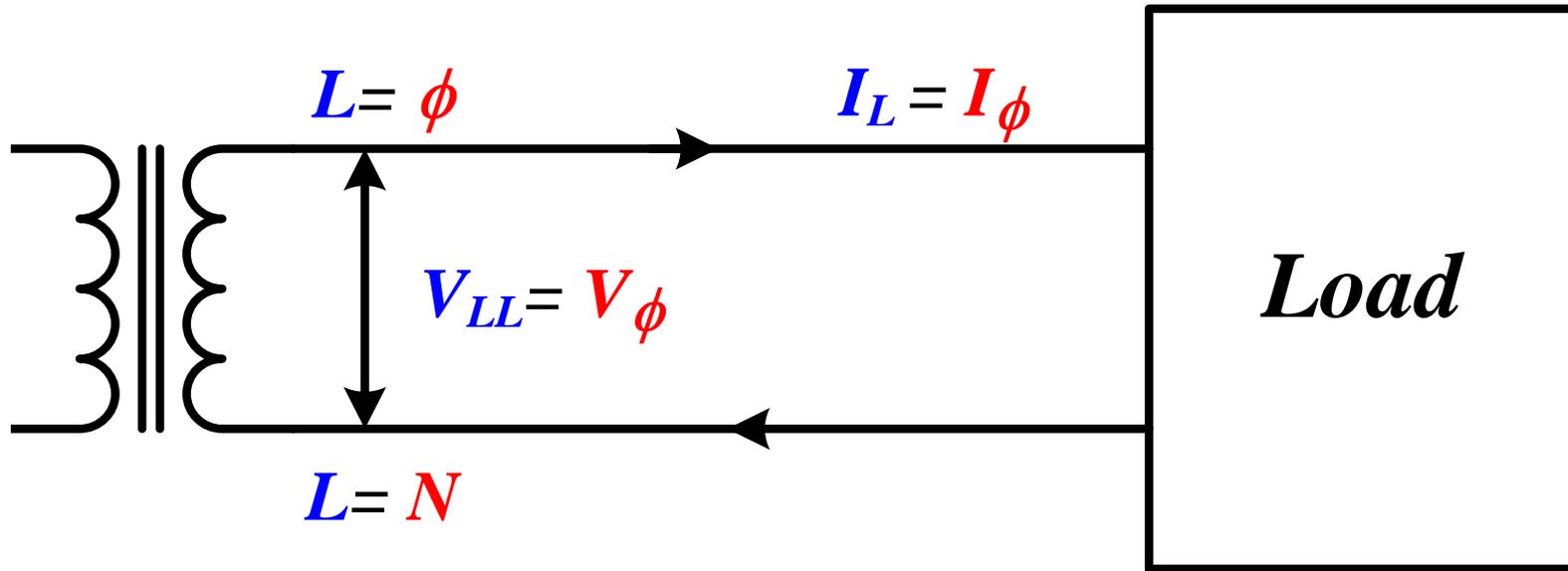
$$v(t) = V_m \sin(\omega t), \text{ then } V_{ave} = \frac{2}{\omega T} \int_0^{\frac{T}{2}} V_m \sin(\omega t) d\omega t = 0.636V_m$$

- *RMS value:*

$$V_{rms} = \sqrt{\frac{1}{T} \int_0^T v(t)^2 dt}$$

*for AC sine system*

$$V_{rms} = \sqrt{\frac{1}{\omega T} \int_0^T (V_m \sin(\omega t))^2 d\omega t} = \frac{V_m}{\sqrt{2}} = 0.707 * V_m$$

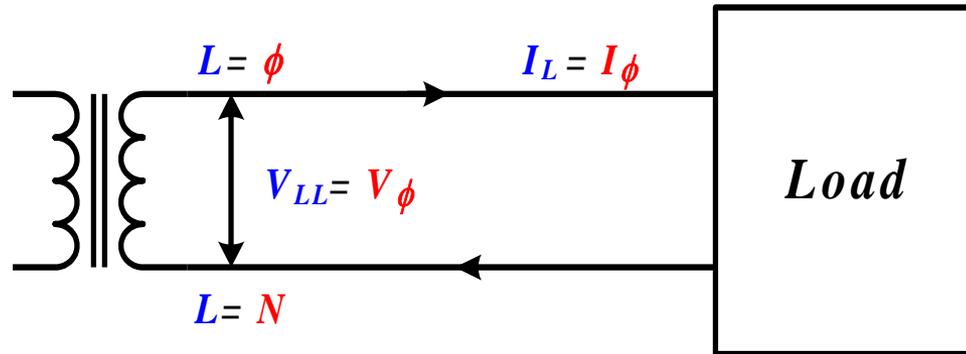


*For 1 $\phi$  AC input*

$$V_{\phi} = V_{LL}$$

$$I_{\phi} = I_L \quad \text{where } V_{\phi} \text{ and } I_{\phi} \text{ are RMS values}$$

## Single Phase Systems



The Apparent, Real and Reactive "powers" are:

Apparent  $S_{1\phi} = V_{LL} I_L = P_{1\phi} + j Q_{1\phi}$  VA

Real (active)  $P_{1\phi} = V_{LL} I_L \cos(\alpha)$  Watt,

Reactive  $Q_{1\phi} = V_{LL} I_L \sin(\alpha)$  VAR

$\alpha =$  phase angle between line current and line-line voltage with current as the reference.

All "powers" are average "powers"

$$S_{1\phi} = \sqrt{\frac{1}{T} \int_0^T v_{LL}^2(t) dt} * \sqrt{\frac{1}{T} \int_0^T i_L^2(t) dt} = \frac{1}{T} \int_0^T v_{LL}(t) i_L(t) dt$$



## Single Phase Systems

*Instantaneous power  $p(t)$  is the product of the instantaneous voltage  $v(t)$  and the instantaneous current  $i(t)$*

*Derivation*

$$p(t) = v(t)i(t)$$

let  $v(t) = \sqrt{2}V \sin(\omega t + \phi)$

$$i(t) = \sqrt{2}I \sin(\omega t)$$

and  $p(t) = \sqrt{2}V \sin(\omega t + \phi)\sqrt{2}I \sin(\omega t) = 2VI \sin(\omega t + \phi)\sin(\omega t)$

*Identity*

$$\sin(a)\sin(b) = \frac{1}{2}(\cos(a-b) - \cos(a+b))$$

*Substituting*

$$p(t) = VI[\cos(\omega t - \omega t + \phi) - \cos(\omega t + \omega t + \phi)]$$

$$p(t) = VI[\cos(\phi) - \cos(2\omega t + \phi)]$$

$$p(t) = VI \cos(\phi) - VI \cos(2\omega t + \phi)$$

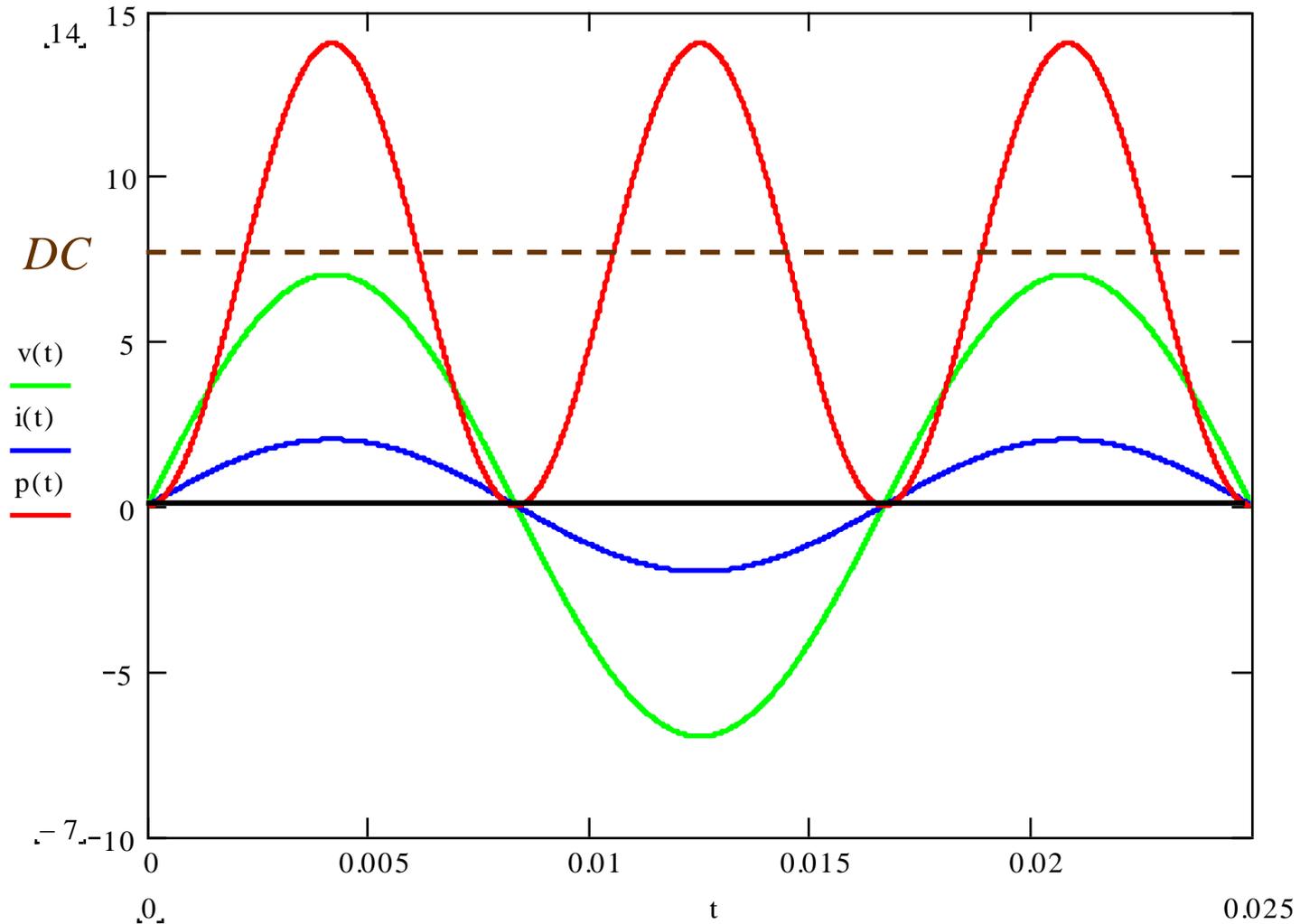
*It is seen that*

*$p(t)$  = DC component + AC component with twice the frequency of the voltage or current*

*The DC component is a maximum when the voltage and current are in phase*

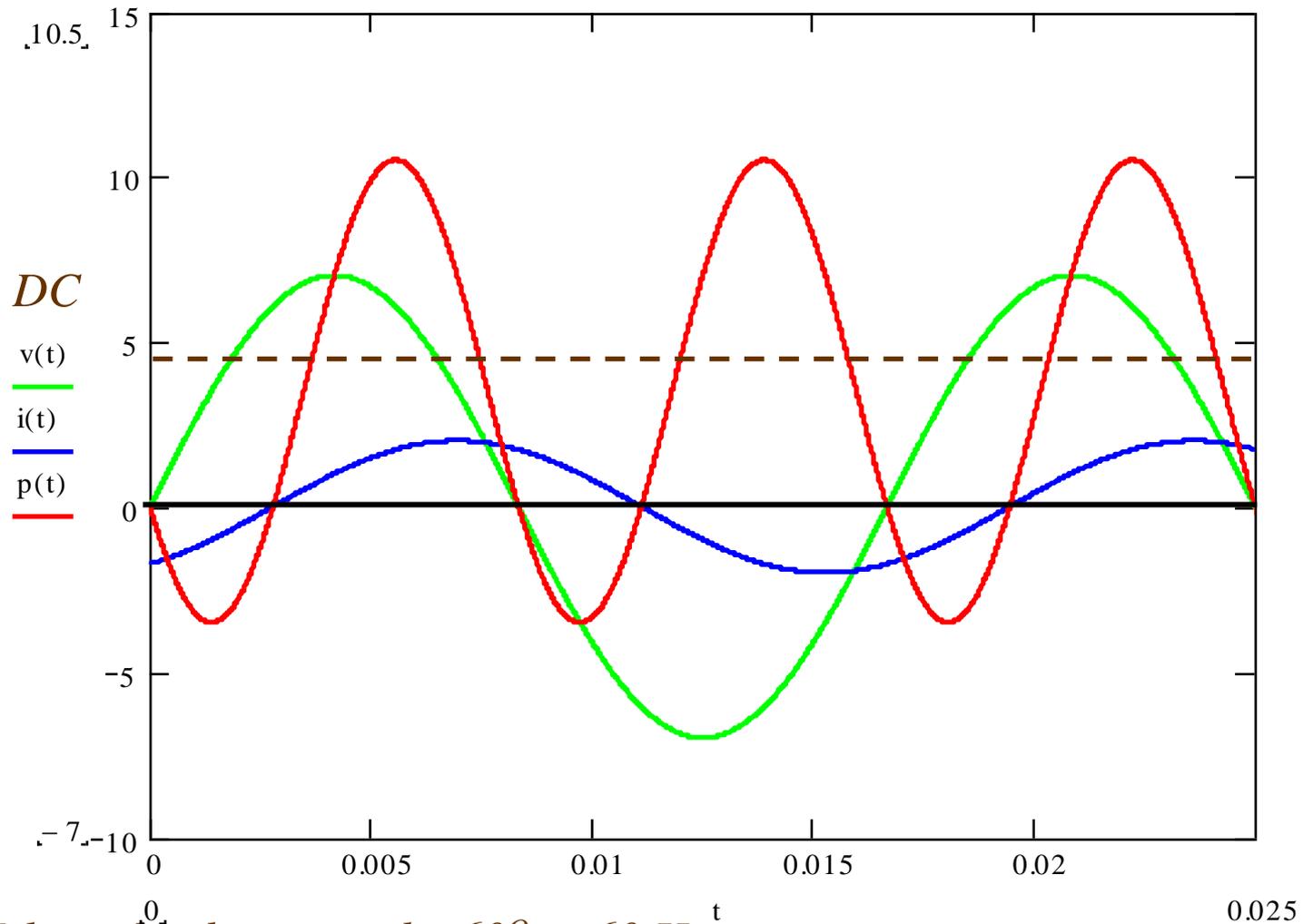
*The power is the product of the RMS values of the line – line voltage and line current*

## Single Phase Systems



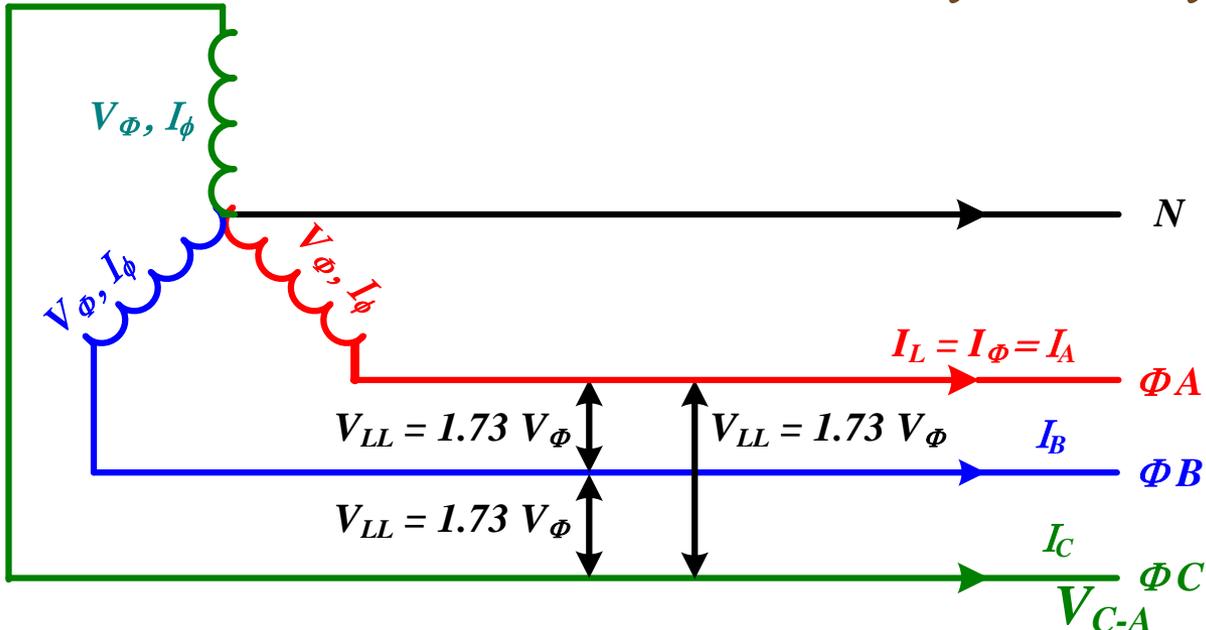
- *Voltage and current are in phase at 60 Hz*
- *Power = DC + 120Hz terms, both equal in amplitude*

## Single Phase Systems



- *Voltage leads current by  $60^\circ$  at 60 Hz*
- *Power = DC + 120Hz terms, but now unequal in amplitude*
- *Power is + (delivered to load) and - (returned to the AC line) and 120 Hz*
- *+ and - power are equal when current - voltage are  $90^\circ$  out of phase*

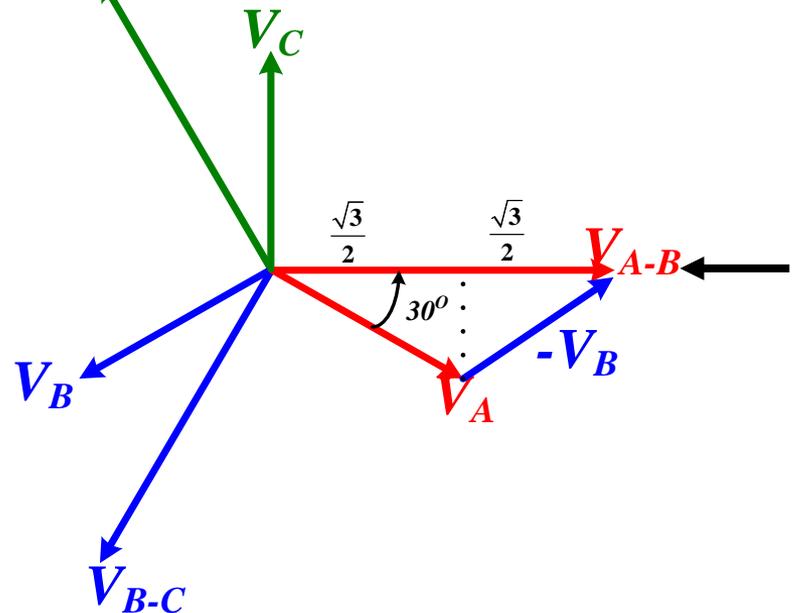
# Three Phase Systems - Wye



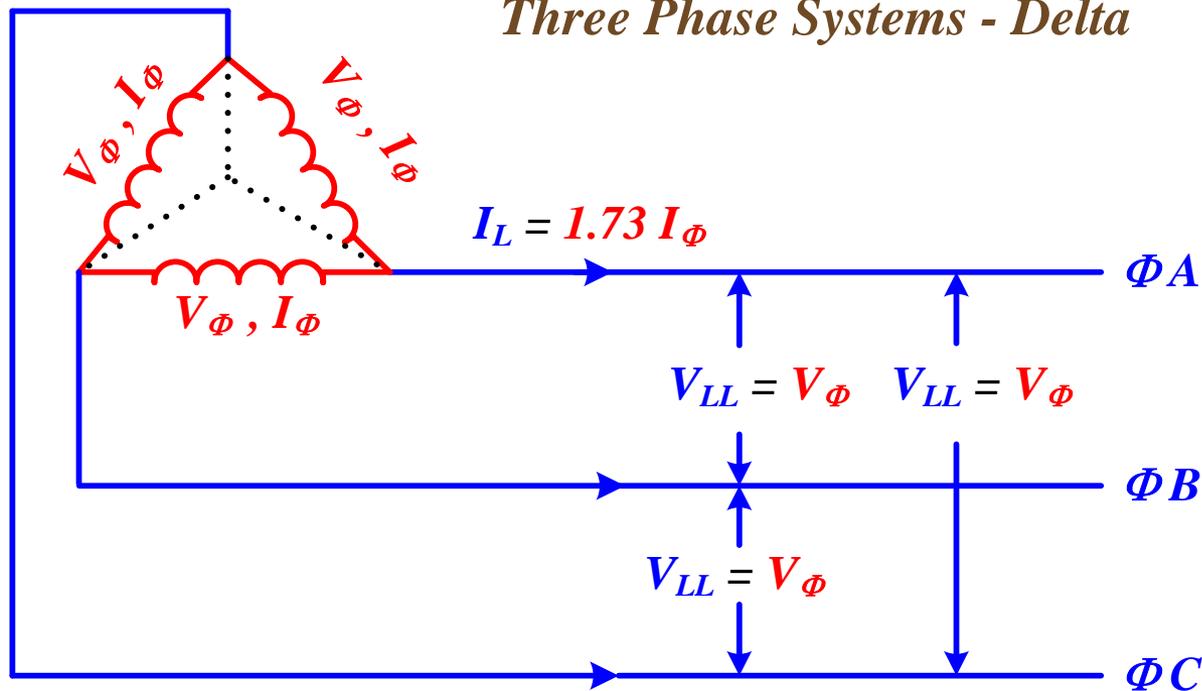
$$V_{LL} = \sqrt{3} V_\phi \text{ and } I_L = I_\phi$$

$$S_{3\phi} = 3 V_\phi I_\phi$$

$$S_{3\phi} = \sqrt{3} V_{LL} I_L$$



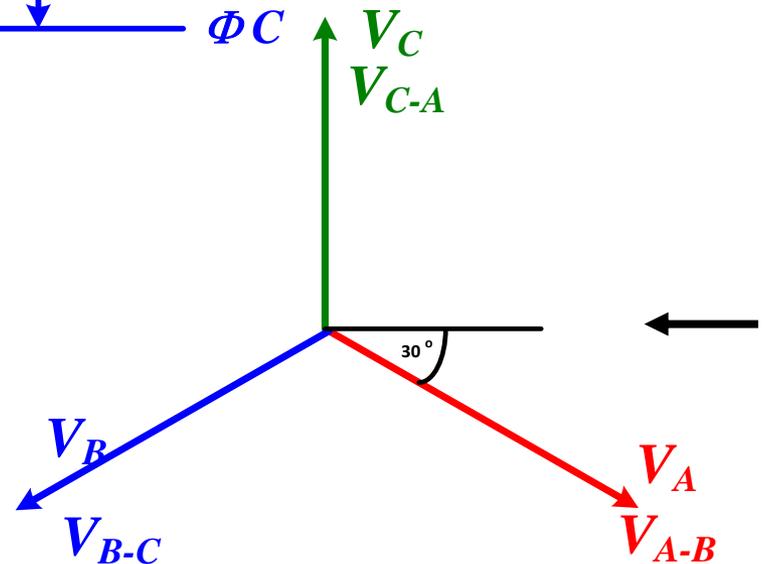
## Three Phase Systems - Delta



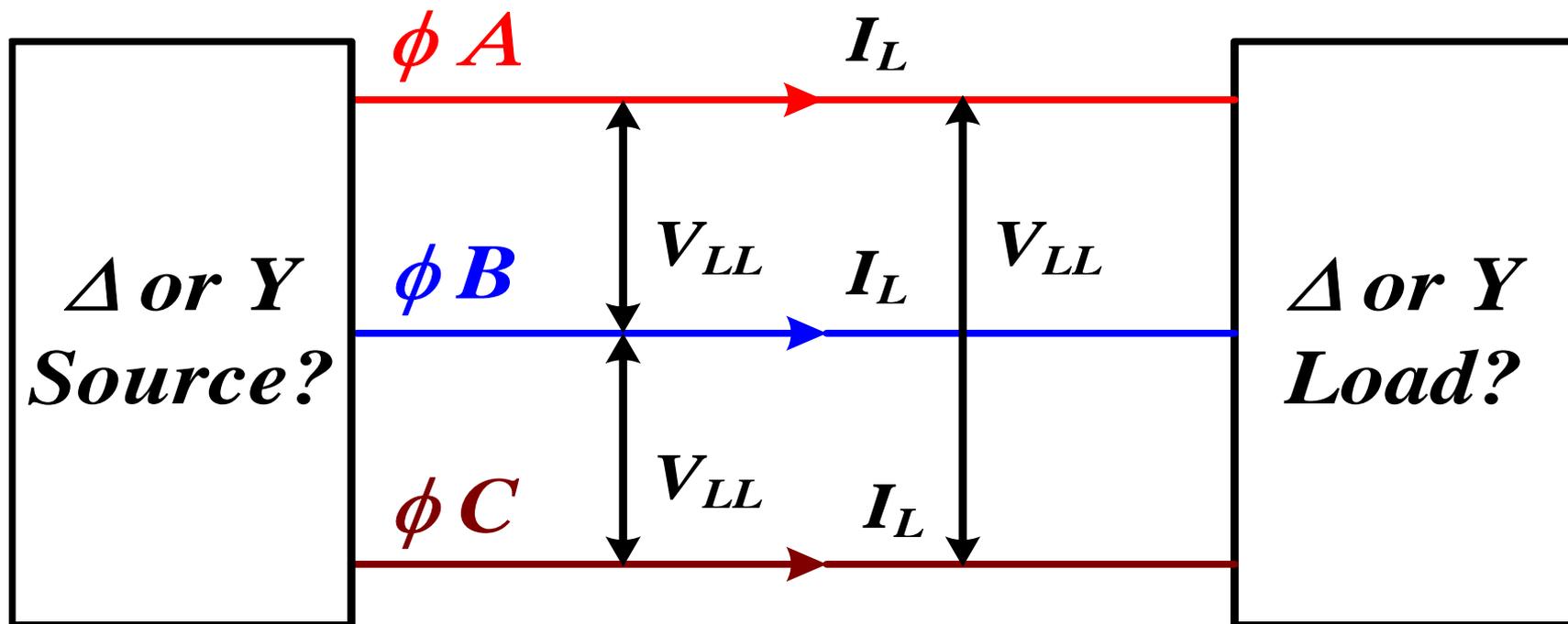
$$V_{LL} = V_\phi \quad \text{and} \quad I_L = \sqrt{3} I_\phi$$

$$S_{3\phi} = 3 V_\phi I_\phi$$

$$S_{3\phi} = \sqrt{3} V_{LL} I_L$$



## Three Phase Systems – Wye or Delta



*For Wye*

$$S_{3\phi} = \sqrt{3} V_{LL} I_L$$

*For Delta*

$$S_{3\phi} = \sqrt{3} V_{LL} I_L$$

$$V_{A-B} = |V_{A-B}| e^{j0} \quad V_{B-C} = |V_{B-C}| e^{-j120} \quad V_{C-A} = |V_{C-A}| e^{-j240}$$

## Three Phase Systems

*This will show that three phase power is constant*

$$s(t) = v_{ab}(t)i_a(t) + v_{bc}(t)i_b(t) + v_{ca}(t)i_c(t)$$

$$s(t) = \frac{|V_{ab}|}{\sqrt{2}} \sin(\omega t) \frac{|I_a|}{\sqrt{2}} \sin(\omega t - \phi) \\ + \frac{|V_{bc}|}{\sqrt{2}} \sin(\omega t - 120^\circ) \frac{|I_b|}{\sqrt{2}} \sin(\omega t - 120^\circ - \phi) \\ + \frac{|V_{ca}|}{\sqrt{2}} \sin(\omega t - 240^\circ) \frac{|I_c|}{\sqrt{2}} \sin(\omega t - 240^\circ - \phi)$$

**But**  $\frac{|V_{ab}|}{\sqrt{2}} = \frac{|V_{bc}|}{\sqrt{2}} = \frac{|V_{ca}|}{\sqrt{2}} = V$  and  $\frac{|I_a|}{\sqrt{2}} = \frac{|I_b|}{\sqrt{2}} = \frac{|I_c|}{\sqrt{2}} = I$  (if load is balanced)

**Identity**

$$\sin(\alpha)\sin(\beta) = \frac{1}{2}(\cos(\alpha - \beta) - \cos(\alpha + \beta))$$

**Making the substitutions we have**

$$s(t) = VI [\cos(\omega t - \omega t + \phi) - \cos(\omega t + \omega t - \phi)] \\ + VI [\cos(\omega t - 120^\circ - \omega t + 120^\circ + \phi) - \cos(\omega t - 120^\circ + \omega t - 120^\circ - \phi)] \\ + VI [\cos(\omega t - 240^\circ - \omega t + 240^\circ + \phi) - \cos(\omega t - 240^\circ + \omega t - 240^\circ - \phi)]$$

$$s(t) = VI [\cos(\phi) - \cos(2\omega t - \phi) + \cos(\phi) - \cos(2\omega t - 240^\circ - \phi) + \cos(\phi) - \cos(2\omega t - 480^\circ - \phi)]$$

**Recognizing that  $\cos(-480^\circ) = \cos(-120^\circ)$  the following is obtained**

$$s(t) = VI [\cos(\phi) - \cos(2\omega t - \phi) + \cos(\phi) - \cos(2\omega t - 240^\circ - \phi) + \cos(\phi) - \cos(2\omega t - 120^\circ - \phi)]$$

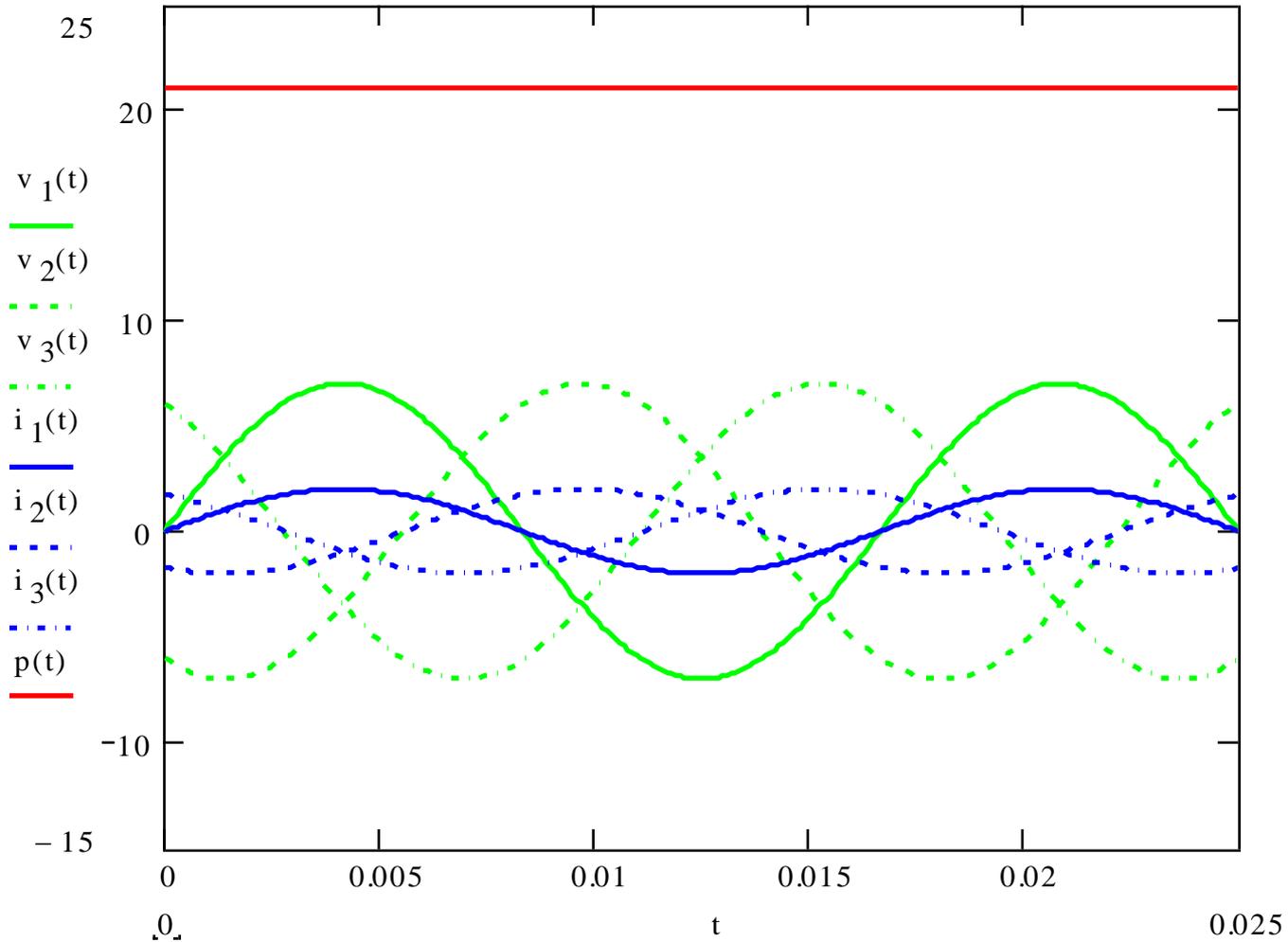
$$s(t) = VI [3\cos(\phi) - (\cos(2\omega t - \phi) + \cos(2\omega t - 120^\circ - \phi) + \cos(2\omega t - 240^\circ - \phi))]$$

**Acknowledging that  $\cos(2\omega t - \phi) + \cos(2\omega t - 120^\circ - \phi) + \cos(2\omega t - 240^\circ - \phi) = 0$  yields**

$$s(t) = 3VI \cos(\phi)$$

**A constant power, with a maximum when  $\phi=0^\circ$  and where  $V$  and  $I$  are RMS values**

## Three Phase Systems



- $p(t) = v_A(t) \cdot i_A(t) + v_B(t) \cdot i_B(t) + v_C(t) \cdot i_C(t)$
- *3 times the single phase power with only 3 conductors, not 6*
- *For balanced load,  $p(t)$  is constant*



## *Transformer Primer - Why Needed*

- *Needed to transform the load voltage to the line voltage*
- *Needed to isolate the load from the line for better ground fault immunity and to reduce the magnitude of fault currents*



## Transformer Primer

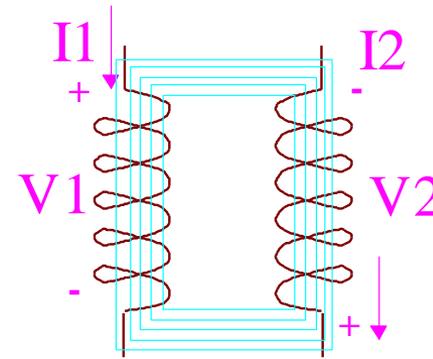
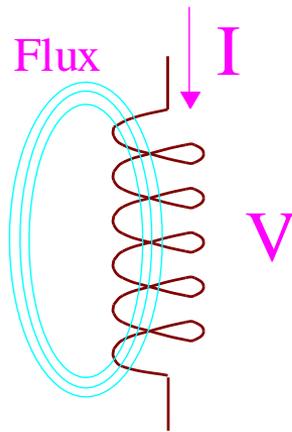
- Transformers are inductors with linked flux  $\phi$ :

Volts = # of turns \* time rate of change of flux in the coil

Volts = (inductance) \* (rate of change of current)

$$V = N * d \phi / dt \quad \text{or}$$

$$V = L_m * di / dt$$



- Iron core transformers are used at frequencies below 1 kHz
- $L_m$  results from the number of turns, the area of turn path, length of flux path and  $\mu$  of the iron. It is normally referred to as the magnetizing inductance
- Magnetizing inductance for a low frequency transformer is large, typically requiring about 1% of the rated primary current to produce the desired excitation

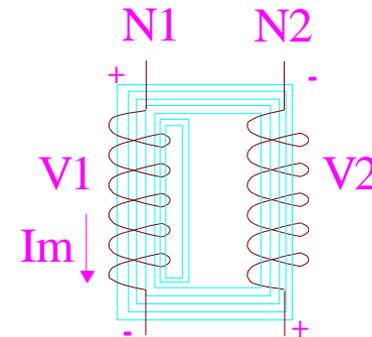


## Transformer Primer

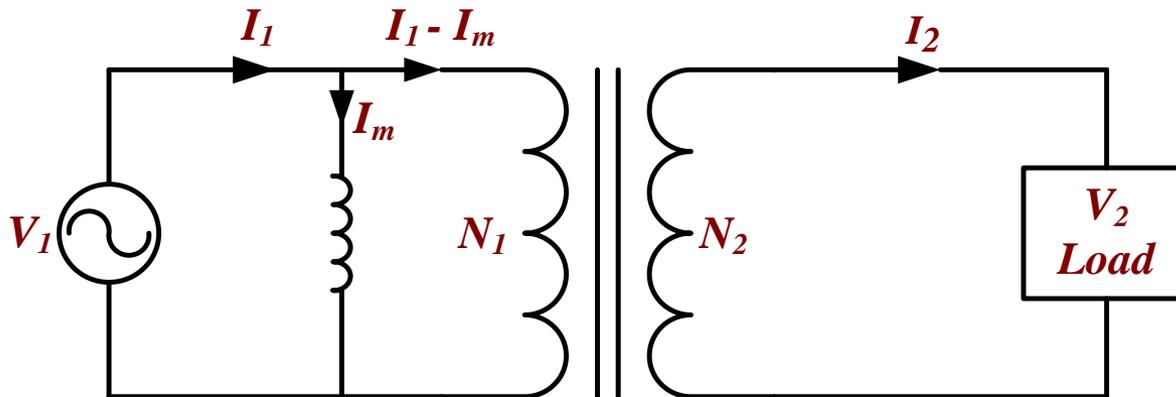
### Equivalent Transformer Circuit

- The current required to magnetize the core with flux is called the magnetizing current and is made up of two parts:
  1. A component out of phase with the induced voltage due to the magnetizing inductance.
  2. A component in phase with the induced voltage from losses due to eddy current and hysteresis losses.
- The magnetizing inductance is obtained by driving the transformer with the secondary open circuited  $I_2=0$  and measuring the Primary voltage and current.

$$L_m = (V_1 / I_1) / (2 * \pi * f)$$



## Transformer Primer – Turns / Voltage / Current Ratios



*Secondary winding turns cut by the common flux produce a voltage with the same volts per turn as the driving primary turns*

$$\frac{V_1}{N_1} = \frac{d\phi}{dt} = \frac{V_2}{N_2} \quad \text{or} \quad \frac{V_1}{V_2} = \frac{N_1}{N_2}$$

*Where  $N_1$  and  $N_2$  are the number of turns cut by the same flux*

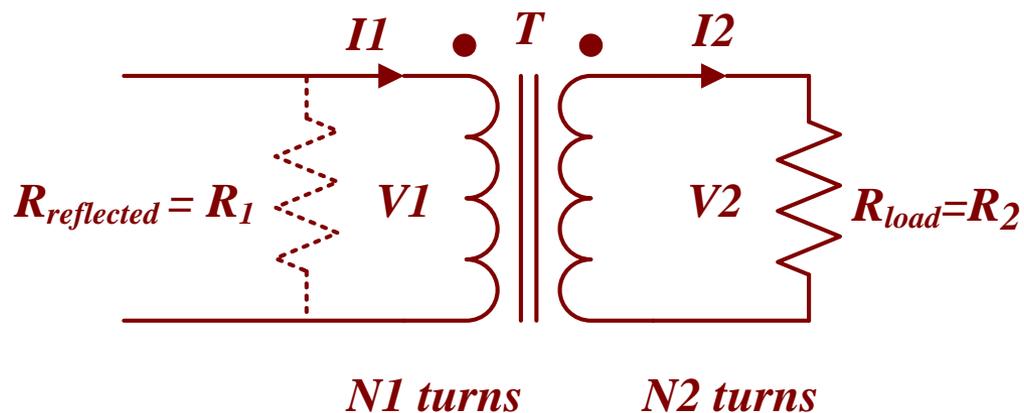
*With a source and a load, the primary current equals the source current minus the magnetizing current. Ampere-turns in the primary and secondary must equate*

$$N_1(I_1 - I_m) = I_2 N_2 \quad \text{or} \quad \frac{N_1}{N_2} = \frac{I_2}{I_1 - I_m}$$

*If  $I_m \ll I_1$  then*

$$\frac{I_1}{I_2} = \frac{N_2}{N_1}$$

## Transformer Primer - Impedance Ratios and Reflected Impedances



$$R_1 = \frac{V_1}{I_1} = \frac{\frac{N_1}{N_2} V_2}{\frac{N_2}{N_1} I_2} = \frac{N_1 V_2}{N_2} * \frac{N_1}{N_2 I_2}$$

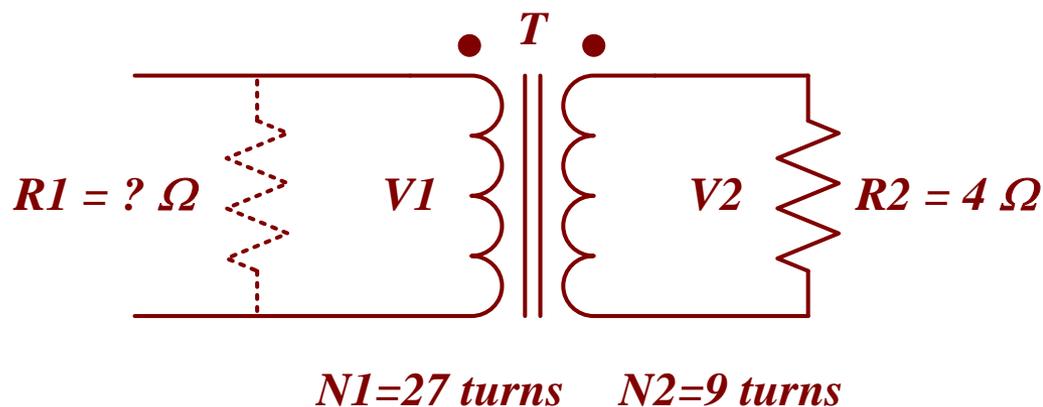
$$R_1 = \frac{N_1^2}{N_2^2} * \frac{V_2}{I_2} = \frac{N_1^2}{N_2^2} * R_2$$

$$\frac{R_1}{R_2} = \left( \frac{N_1}{N_2} \right)^2$$

## Transformer Primer - Impedance Ratios and Reflected Impedances

*Example*

$R_2 = 4\Omega$ , what is the value of the reflected resistance as seen on the primary side?

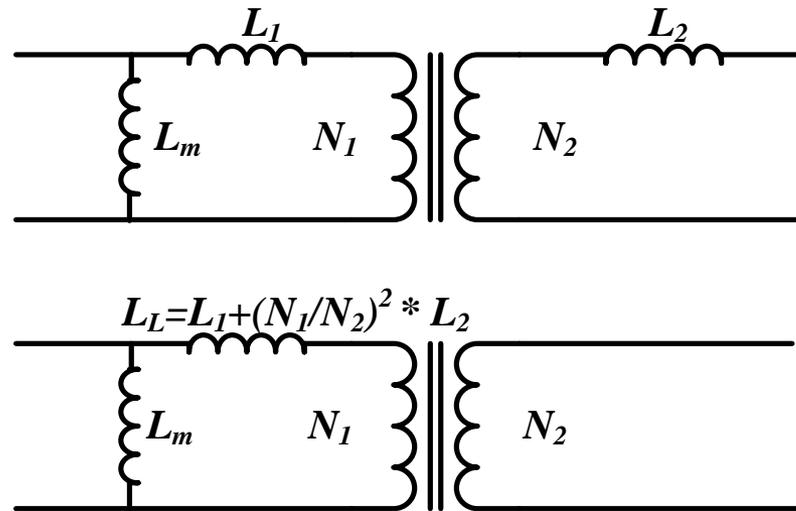


$$R_1 = \frac{N_1^2}{N_2^2} * R_2 = \frac{27^2}{9^2} * 4\Omega$$

$$R_1 = 9 * 4\Omega = 36\Omega$$

## Transformer Primer - Leakage Inductance - Equivalent Circuit

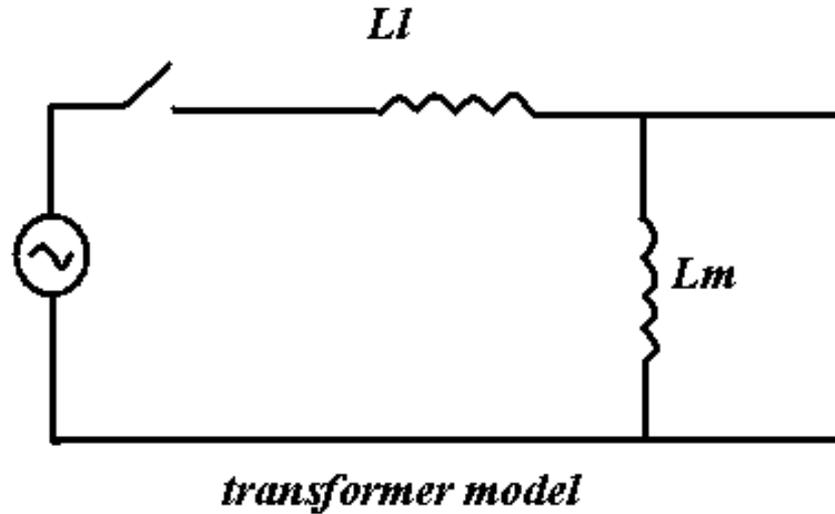
- Flux that does not couple both windings is called the leakage flux and acts like a series inductor called the leakage inductance
- If the secondary is shorted and the magnetizing current is small ( $I_m \ll I_1$ ), then the leakage inductance is proportional to the primary voltage divided by the primary current (or secondary current referred to the primary side)



- If the secondary is shorted the “percent impedance” is the drive voltage divided by the rated input voltage with rated load current flowing

$$\frac{V_1}{V_{rated}} \text{ with } I_{rated} \times 100\% = \% \text{ impedance}$$

## *Transformer Primer - Model*

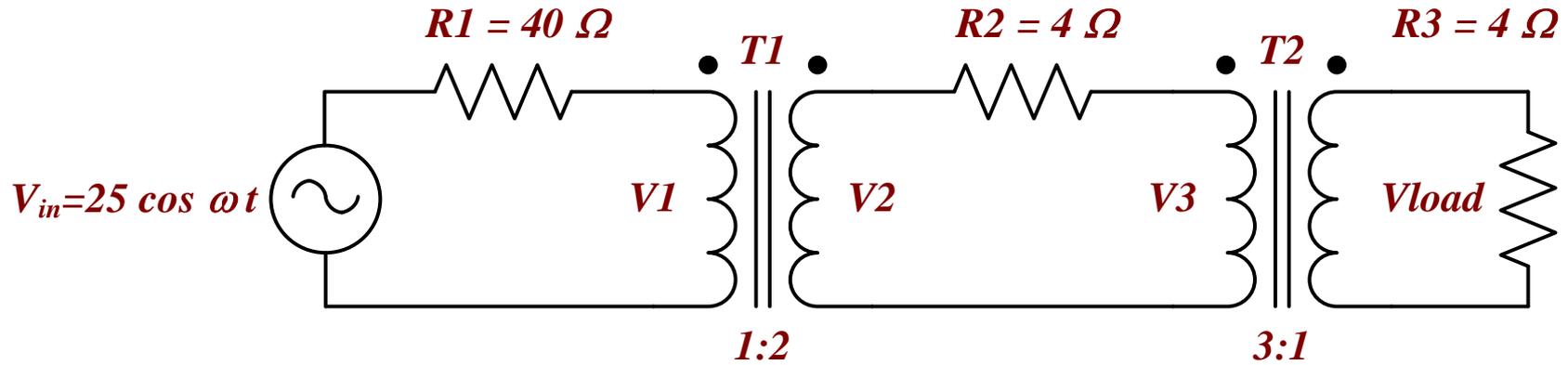


*An air gap is undesirable in a transformer because:*

- It reduces  $L_m$ , and a large  $L_m$  is desired to reduce the magnetizing and inrush current*
- It increases  $L_1$ , and a small  $L_1$  is desired to lower energy and other losses*

## Transformer Primer - Homework Problem # 1

Calculate the output voltage in the circuit shown below.



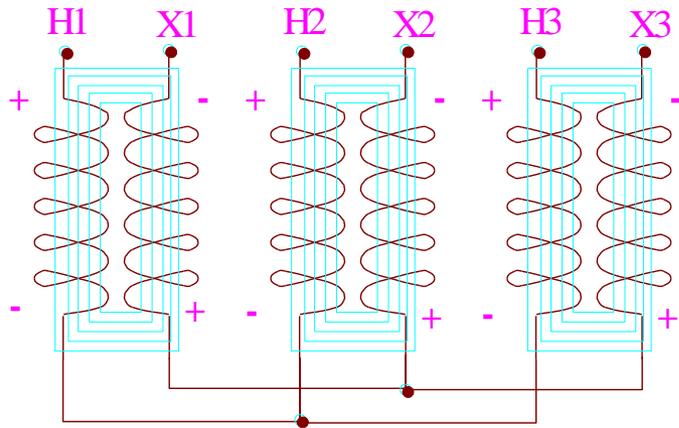
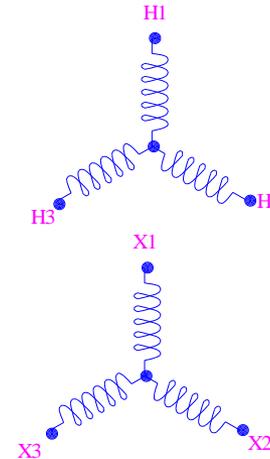
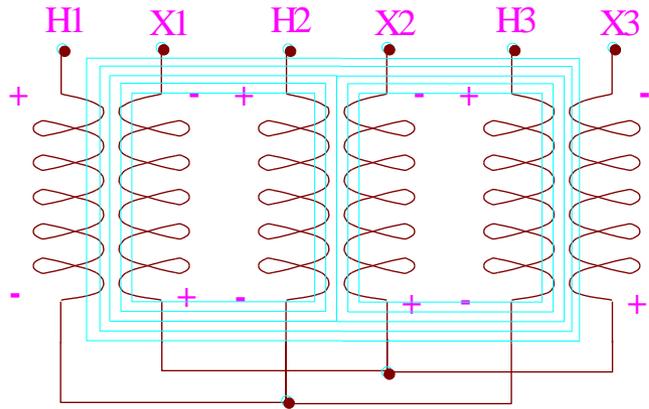
## *Transformer Primer - Configuration*

- *Low frequency, 60 Hz, transformers almost always use laminated iron cores to reduce Eddy Current and hysteresis losses*
- *For low power applications  $< 2.5$  kW single phase transformers are used to eliminate the need for costly 3 phase input power lines.*
- *3 phase lines and transformers are used to reduce the cost of higher power systems (usually  $>2.5$  kW)*
- *3 phase lines allow the use of phase shifting transformers to generate any number of output phases*

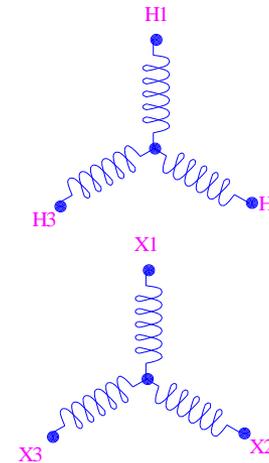


# Transformer Primer - Three Phase Most Common Types

Single core and 3 core three phase transformers



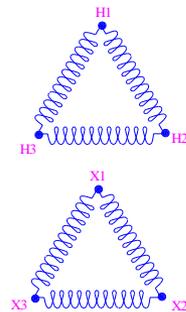
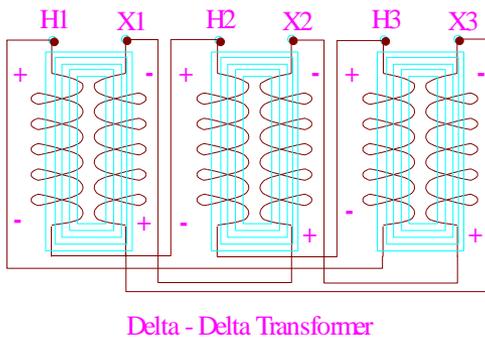
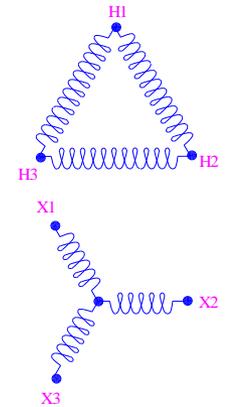
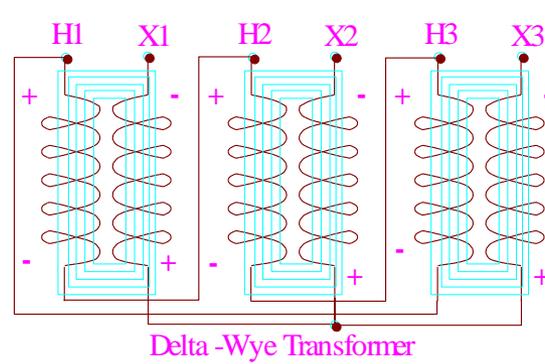
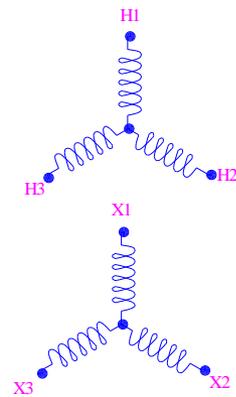
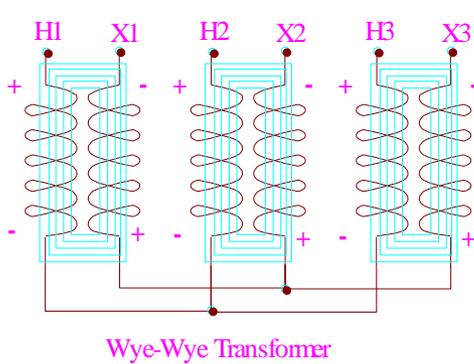
Wye-Wye Transformer



# Transformer Primer - Three Phase Most Common Types

## Three phase Transformers

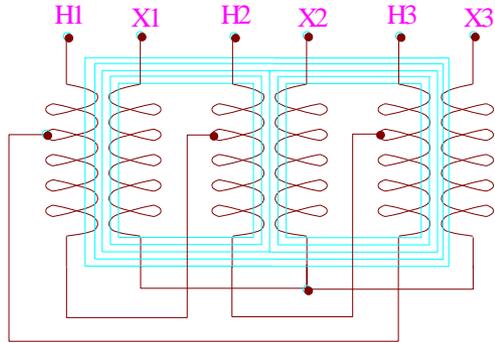
- A three phase transformer can be constructed with 1 core or 2 or 3 independent cores
- Independent core transformers are more expensive ( use more steel) and can result in line imbalances



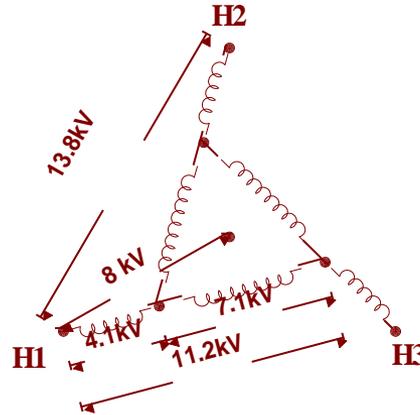
# Transformer Primer - Three Phase Phase Shifting Transformer

## Extended Delta Phase shifting transformer

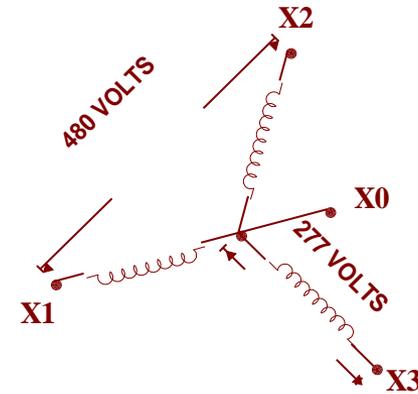
EXTENDED DELTA 13.8kV to 480 V 7.5 °



Extended Delta-Wye Transformer 3 core

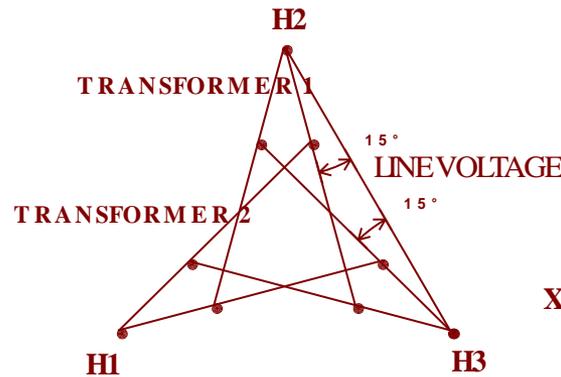


PRIMARY VOLTAGE RELATIONSHIP

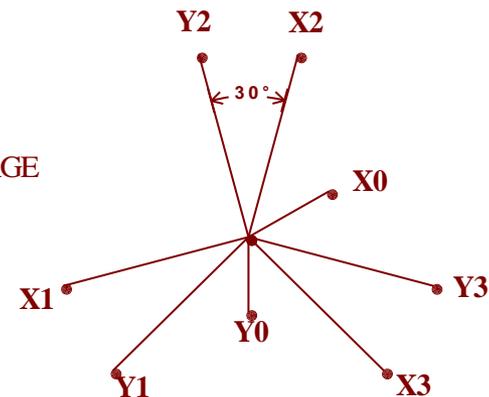


SECONDARY VOLTAGE RELATIONSHIP

### PHASERELATIONSHIP



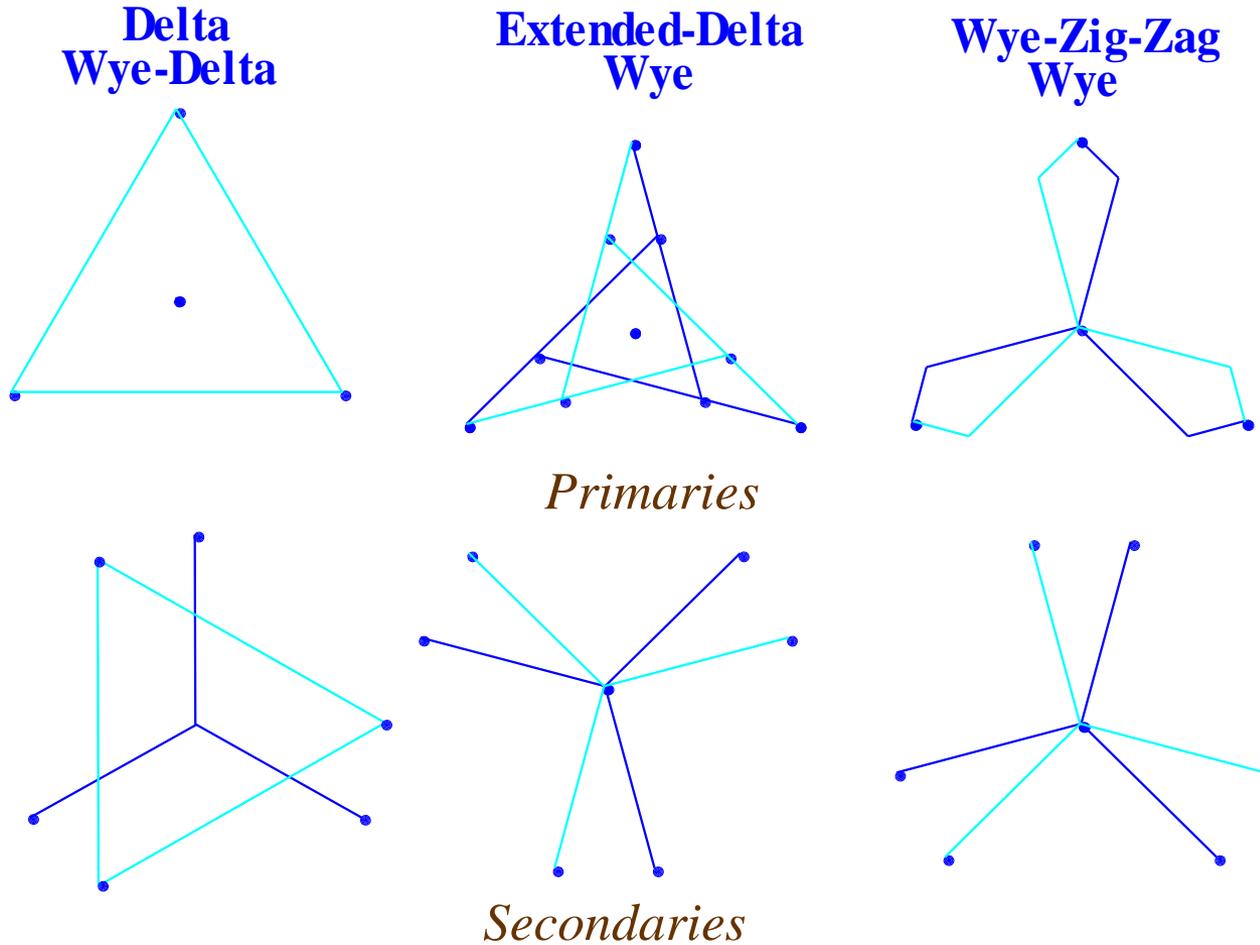
PRIMARY PHASE RELATIONSHIP



SECONDARY PHASE RELATIONSHIP

# Transformer Primer - Three Phase Phase Shifting Transformer

## Phase shifting transformer for 12 Pulse operation





## ***Transformer Primer - Standards***

### ***Standards for Power Rectifier Transformers***

- 1) Practice for Semiconductor Power Rectifiers ANSI C34.2-1973*
- 2) IEEE standards for Transformer and Inductors for Electronic power conversion Equipment ANSI/IEEE std 388-1992*

### ***Insulation Class Recommendations for Rectifier Transformers***

- 1) Oil filled, 65 °C rise over ambient ( paper oil insulation)*
- 2) Dry type, Class B 80°C rise over ambient, (paper, varnish)*
- 3) Dry type, Class H 150°C over ambient ( fiberglass, epoxy)*

### ***Phase Relationship and labeling***

- 1) General requirements for distribution power and regulating transformers ANSI C57.12.00-1973*



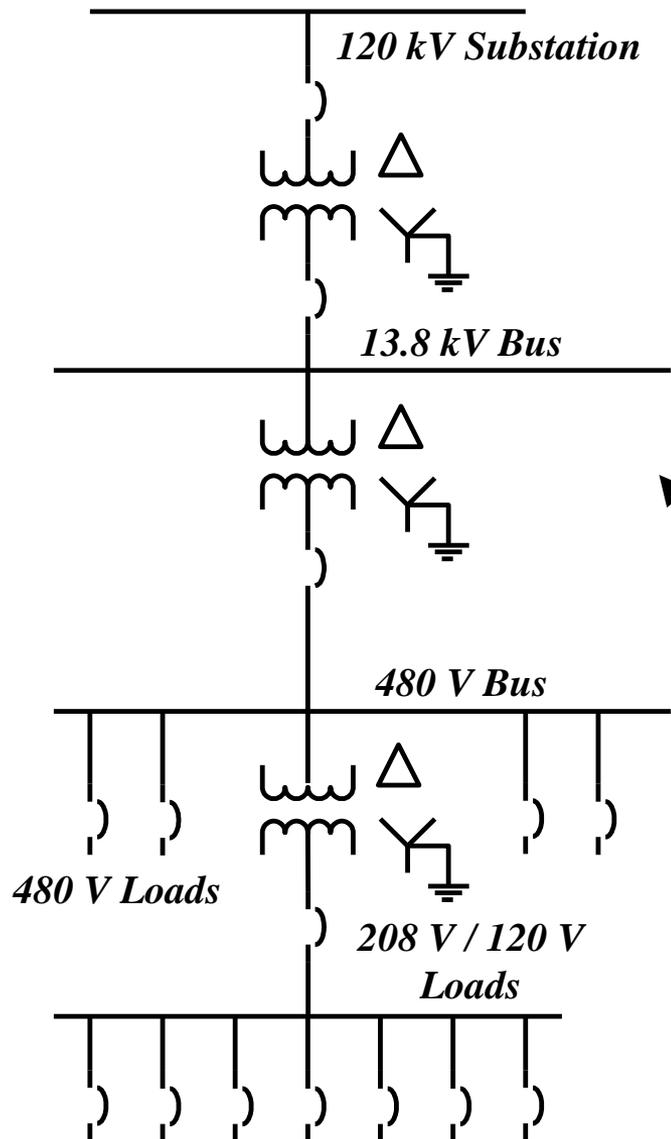
## *Transformer Primer - Problems*

*Low Frequency Transformers have been around a long time and designs are well established. There are a few problems related to rectifier operation that should be considered when using transformers;*

- 1) **Harmonic currents** in the core and coils can result in excessive losses.*
- 2) Presence of DC and/or **second harmonic** currents/ voltage can saturate the core resulting in more harmonics and excessive core hysteresis loss.*
- 3) **Short circuits** are common in rectifiers resulting in high forces on the coils and the coil bracing resulting in coil faults.*
- 4) Connection to the center of a wye can generate excessive **third harmonic** current resulting in voltage distortion and overheating.*
- 5) The **fast switching voltages** of rectifiers under commutation can produce non-uniform voltage distribution on coil windings resulting in insulation failure.*



## *Three Phase Systems - Delta - Wye Configuration – The Preferred Choice*

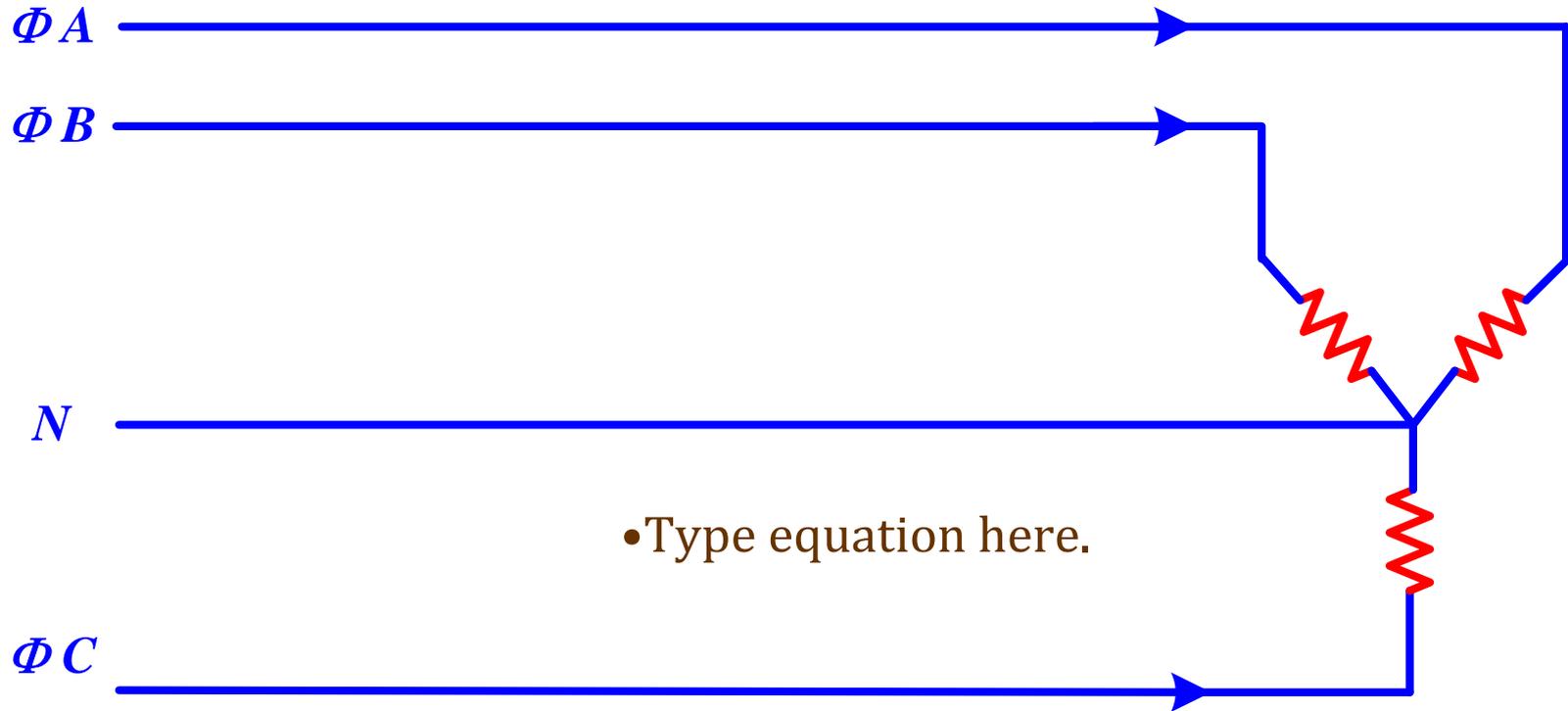


*Delta - Wye Provides*

- *3 phase output*
- *A closed path for harmonic currents*
- *A neutral for connection to ground for safety*
- *A neutral for single phase loads*

*Single or One-Line Diagram*

## Three Phase Systems - Neutral Wire Size – Balanced, Linear Load



$$I_A = |I_A|e^{-j30} \quad I_B = |I_B|e^{-j150} \quad I_C = |I_C|e^{-j270}$$

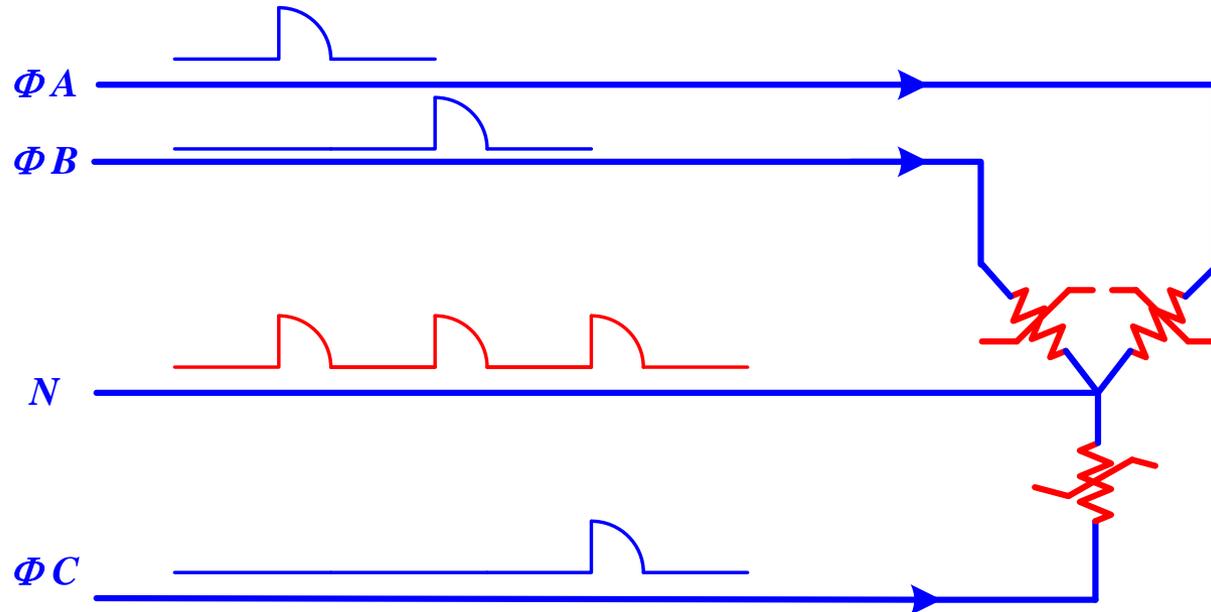
$$|I_A| = |I_B| = |I_C|$$

$$I_N = I_A + I_B + I_C$$

$$I_N = |I_A|[(0.87 - j0.5) + (-0.87 - j0.5) + (0 + j1)] = 0$$

*There is no neutral current flow if load is balanced and linear*

## Three Phase Systems - Neutral Wire Size – Unbalanced and/or Non-linear Loads



*For balanced non-linear loads*

$$|I_A| = |I_B| = |I_C| = I_L$$

$$|I_N| = \sqrt{|I_A|^2 + |I_B|^2 + |I_C|^2} = \sqrt{3} I_L$$

*For unbalanced linear or non-linear loads*

$$|I_A| \neq |I_B| \neq |I_C|$$

$$|I_N| = \sqrt{|I_A|^2 + |I_B|^2 + |I_C|^2}$$

*The neutral conductor can safely be sized for  $\sqrt{3} * \text{MAX}(I_A, I_B, I_C)$*



## *Fundamental Quantities American Commercial and Residential AC Voltages*

<i>Class</i>	<i>Voltage</i>	<i>Type</i>	<i>Derivatives</i>
<i>High Voltage</i>	<i>138 kV</i>	<i>3φ</i>	<i>None</i>
	<i>69 kV</i>	<i>3φ</i>	<i>None</i>
<i>Medium Voltage</i>	<i>13.8 kV</i>	<i>3φ</i>	<i>None</i>
	<i>12.47 kV</i>	<i>3φ</i>	<i>None</i>
	<i>4.16 kV</i>	<i>3φ</i>	<i>None</i>
<i>Low Voltage</i>	<i>480 V</i>	<i>3φ</i>	<i>277 V, 1φ</i>
	<i>240V</i>	<i>1φ</i>	<i>120 V, 1φ</i>
	<i>208 V</i>	<i>3φ</i>	<i>120 V, 1φ</i>
	<i>120 V</i>	<i>1φ</i>	<i>None</i>

$$V_{LL}(RMS) = \sqrt{\frac{1}{T} \int_0^T v_{LL}^2(t) dt}$$



## *The Per Unit Calculation System*

### *Why Mentioned Here*

- *Because the power supplies will interface to the AC line*
- *Because all AC power equipment (generators, motors, transformers and chokes) impedances are expressed in %*
- *Because line limitations (short-circuit currents, arc flash, V droop, transients, harmonics) must be considered. These effects are usually calculated in the per unit system*

### *Why Used*

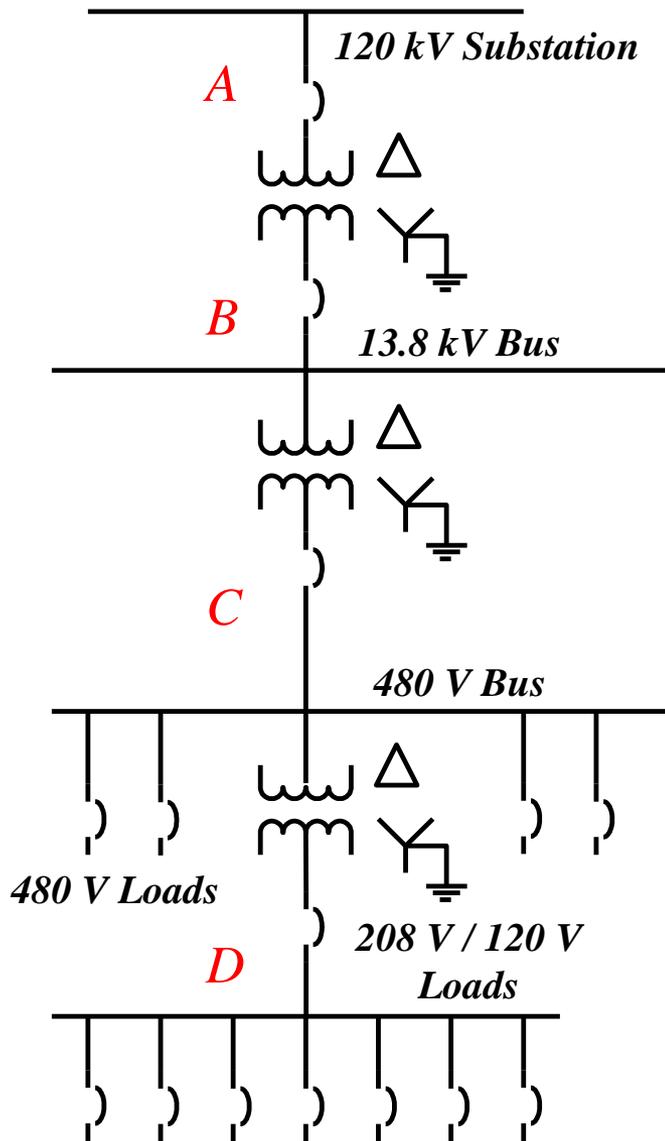
- *To make quantities and values convenient and manageable*
- *To put quantities on a single per phase or 3-phase basis*
- *To avoid having to remember to correct for transformer turns ratios, reflected voltages, current and impedances*
- *No worries about delta or wye configurations*

## *The Per Unit Calculation System*

*Establish Configuration, then Power, Voltage, Current and Impedance Bases*

<i>Base</i>	<i>Per <math>\phi</math> Phase</i>	<i>3 Phase</i>	<i>Notes</i>
<i>S,P,Q</i>	$= \text{Base kVA}$	$= \text{Base kVA}$ $= 3 * \text{per } \phi \text{ Base kVA}$	<i>One power base must be used throughout</i>
<i>V</i>	$= \text{Base kV (L-N)}$	$= \text{Base kV (L-L)}$	<i>V Base location dependent</i>
<i>I</i>	$= \text{Base kVA} / \text{Base kV}$	$= \text{Base kVA} / \sqrt{3} \text{Base kV}$	<i>I Base location dependent</i>
<i>Z</i>	$= (\text{Base kV})^2 / \text{Base kVA}$	$= (\text{Base kV})^2 / \text{Base kVA}$	<i>Z Base location dependent</i> <i>Z Base phase independent</i> <i>per <math>\phi</math> Z Base = 3 <math>\phi</math> Z Base</i>

# The Per Unit Calculation System



*Example - various locations on one-line diagram*



## *The Per Unit Calculation System*

- *p.u. = actual value / Base value*

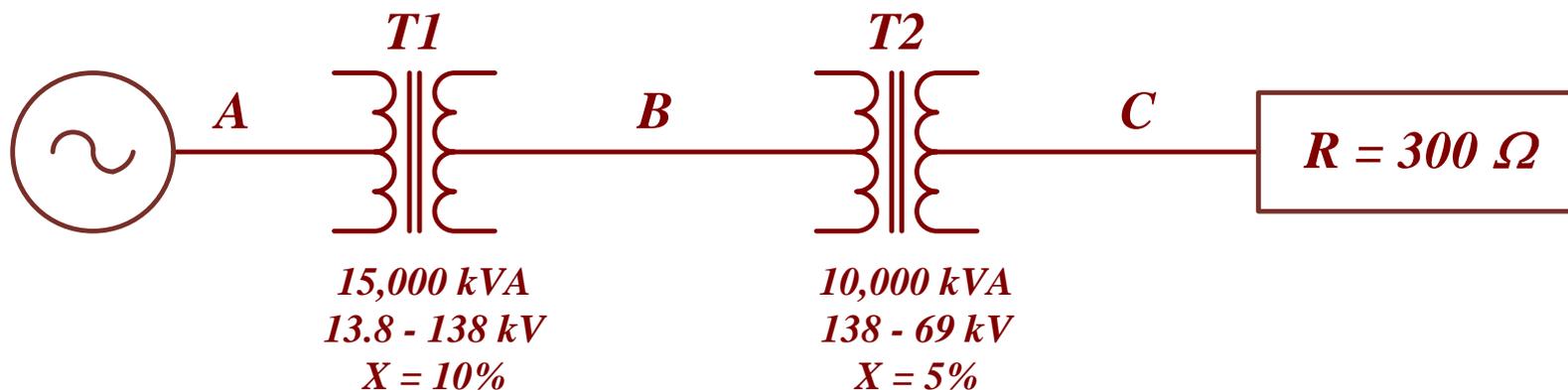
- $$p.u._{new} = p.u._{given} \left( \frac{Base\ kV_{given}}{Base\ kV_{new}} \right)^2 * \left( \frac{Base\ kVA_{new}}{Base\ kVA_{given}} \right)$$

- *% = p.u. \* 100 %*

- *Choose the system and base that yield the most convenient numbers and calculations!*



## The Per Unit Calculation System - 1 $\phi$ Example to Calculate Line Currents



### Establish Bases

*In Section A*

$$\text{Base } S = 10,000 \text{ kVA}$$

$$\text{Base } V = 13.8 \text{ kV}$$

$$\text{Base } I = \frac{S}{V} = \frac{10,000 \text{ kVA}}{13.8 \text{ kV}} = 725 \text{ A}$$

$$\text{Base } Z = \frac{V^2}{S} = \frac{(13.8 \text{ kV})^2}{10,000 \text{ kVA}} = 19 \Omega$$

*Section B*

$$S = 10,000 \text{ kVA}$$

$$V = 138 \text{ kV}$$

$$I = \frac{10,000 \text{ kVA}}{138 \text{ kV}} = 72.5 \text{ A}$$

$$Z = \frac{(138 \text{ kV})^2}{10,000 \text{ kVA}} = 1900 \Omega$$

*Section C*

$$S = 10,000 \text{ kVA}$$

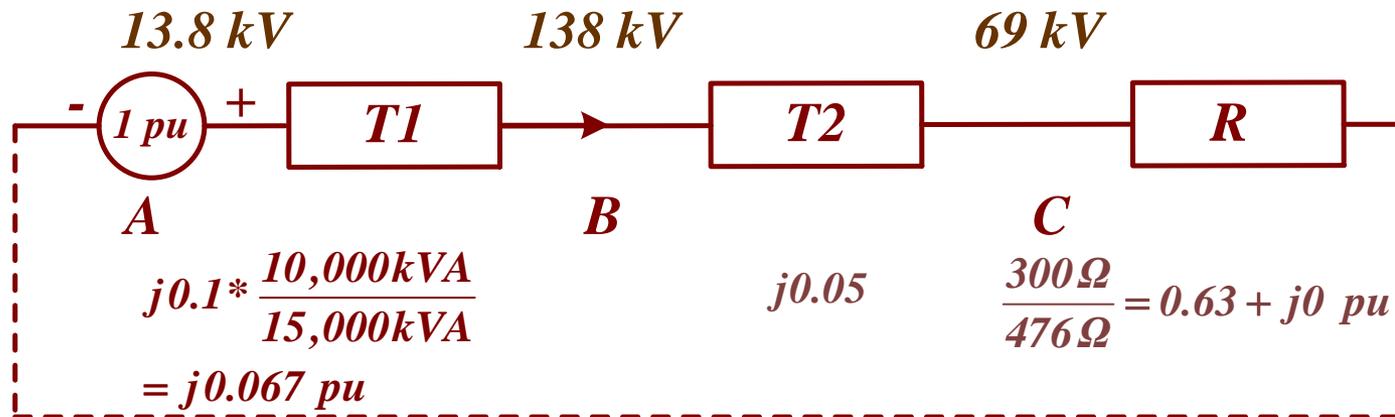
$$V = 69 \text{ kV}$$

$$I = \frac{10,000 \text{ kVA}}{69 \text{ kV}} = 145 \text{ A}$$

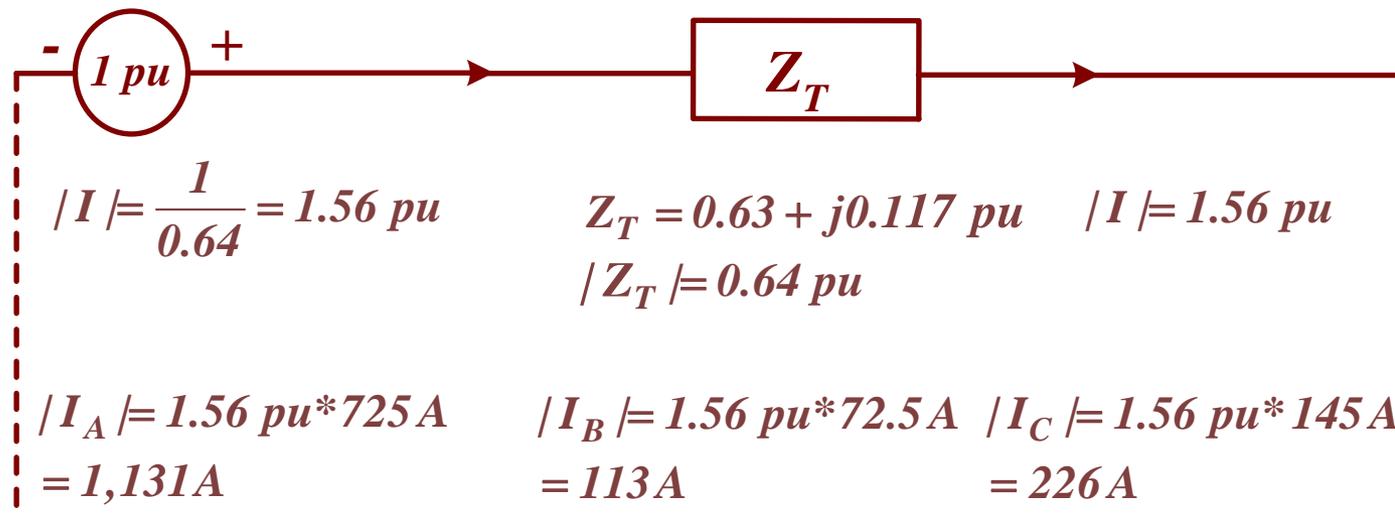
$$Z = \frac{(69 \text{ kV})^2}{10,000 \text{ kVA}} = 476 \Omega$$

# The Per Unit Calculation System – 1 $\phi$ Example (Continued)

Obtain pu values



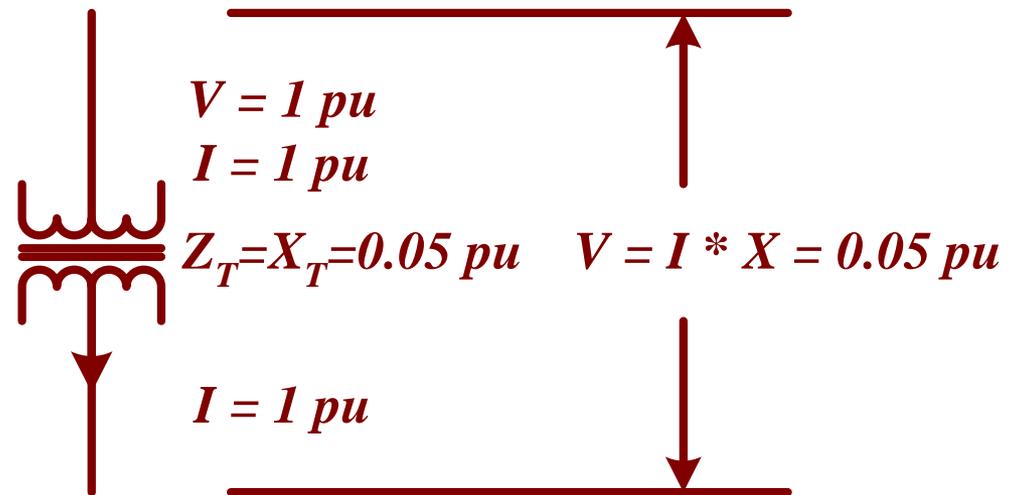
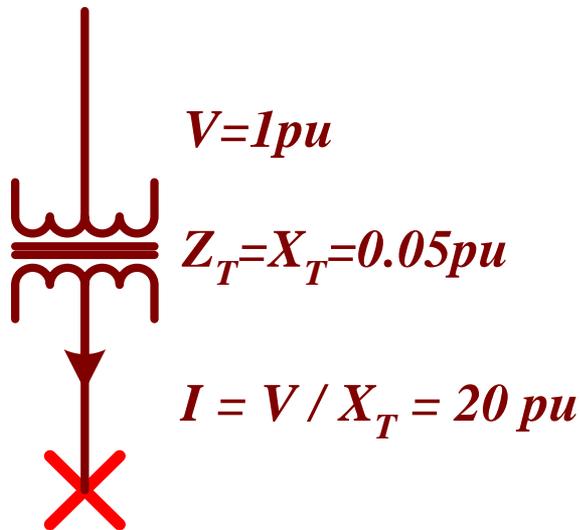
Combine impedances – Solve for  $I$



## The Per Unit Calculation System

A transformer impedance of 5% means:

- The short circuit current is 20X rated full load input / output
- The voltage drop across the transformer at full load is 5% of rated



## The Per Unit Calculation System - Homework Problem #2

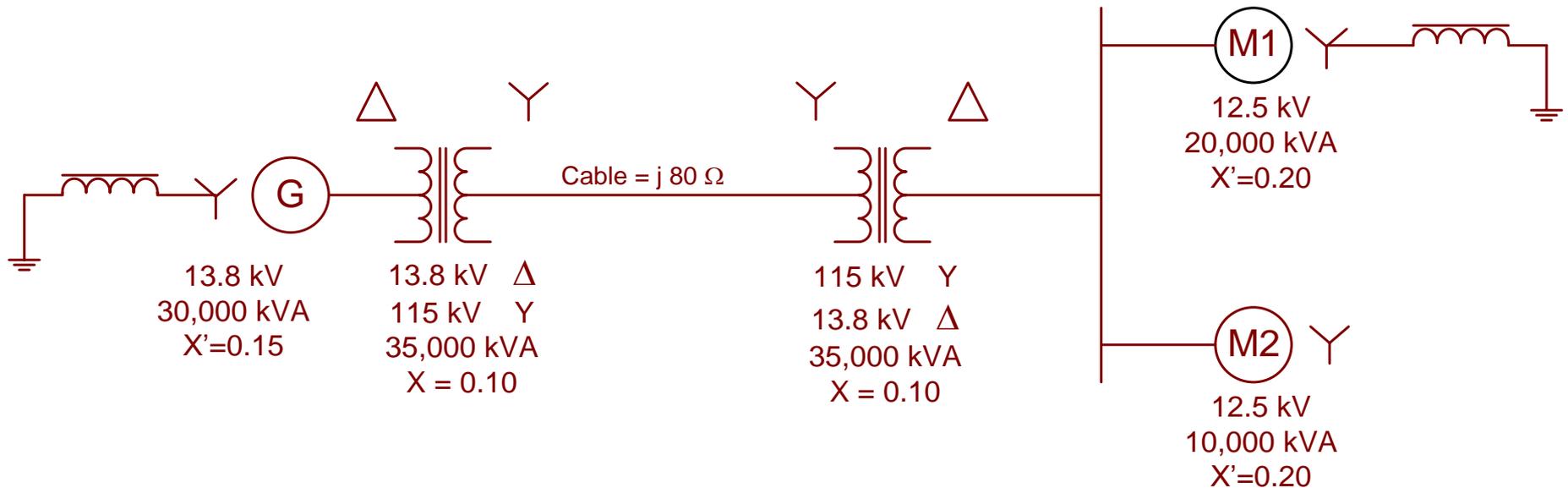
Referring to the one-line diagram below, determine the line currents in the:

A. Generator

B. Transmission Line

C. M1

D. M2





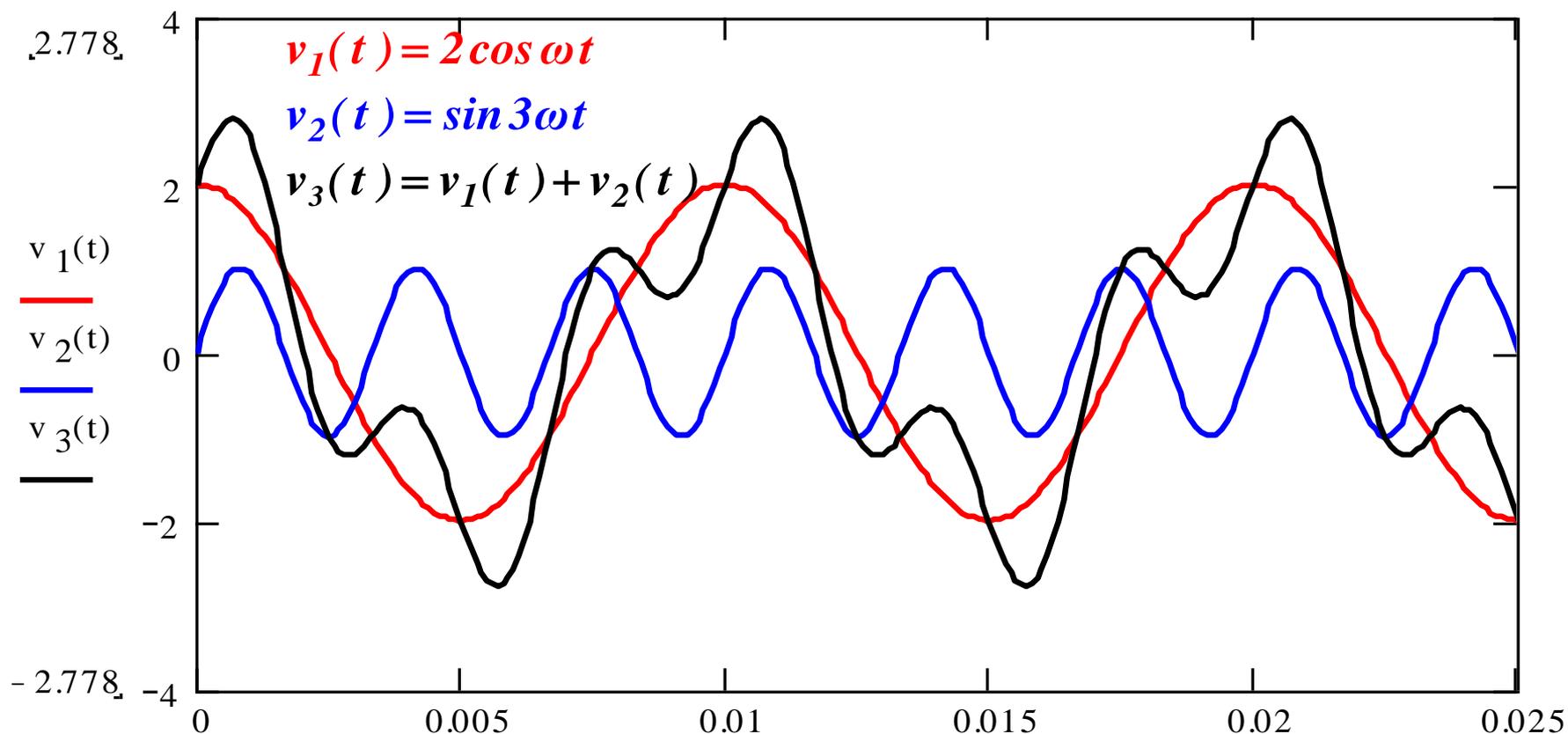
## *Per Unit System - Homework Problem #3*

*A 1000kVA, 12.47kV to 480V, 60Hz three-phase transformer has an impedance of 5%. Calculate:*

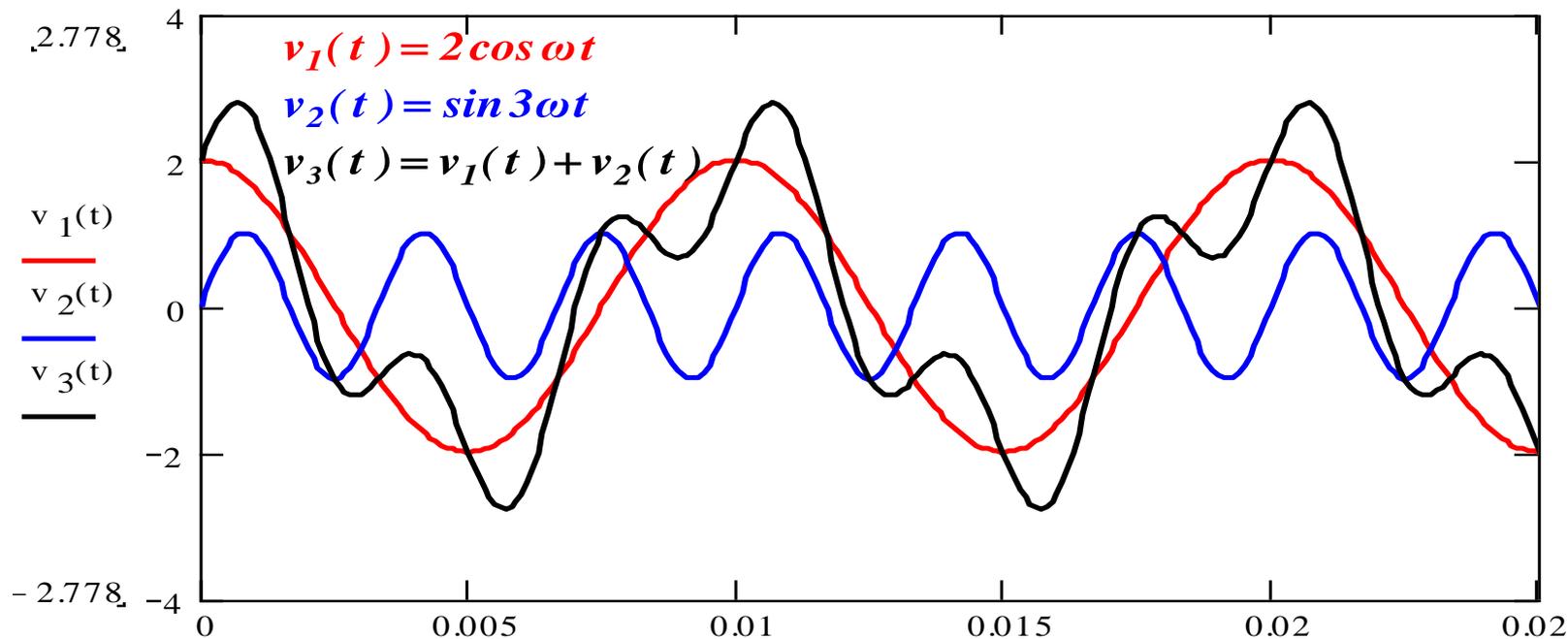
- a. The actual impedance and leakage inductance referred to the primary winding*
- b. The actual impedance and leakage inductance referred to the secondary winding*
- c. The magnetizing inductance referred to the primary winding*

## Harmonics, Complex Waveforms and Fourier Series

- *Non-sinusoidal waves are complex and are composed of sine and cosine harmonics*
- *The harmonics are integral multiples of the fundamental frequency (1<sup>st</sup> harmonic) of the wave. The second harmonic is twice the fundamental frequency, the third harmonic is 3 X the fundamental frequency, etc.*



## Harmonics, Complex Waveforms and Fourier Series

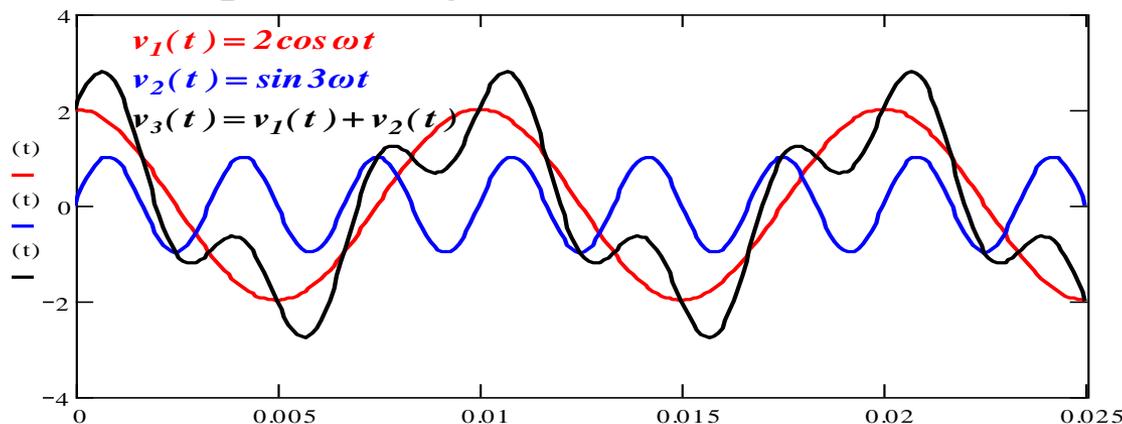


### Trigonometric forms of the Fourier Series

$$a_0 = \frac{1}{T} \int_0^T f(t) dt \quad a_k = \frac{2}{T} \int_0^T f(t) \cos k \omega t dt \quad b_k = \frac{2}{T} \int_0^T f(t) \sin k \omega t dt$$

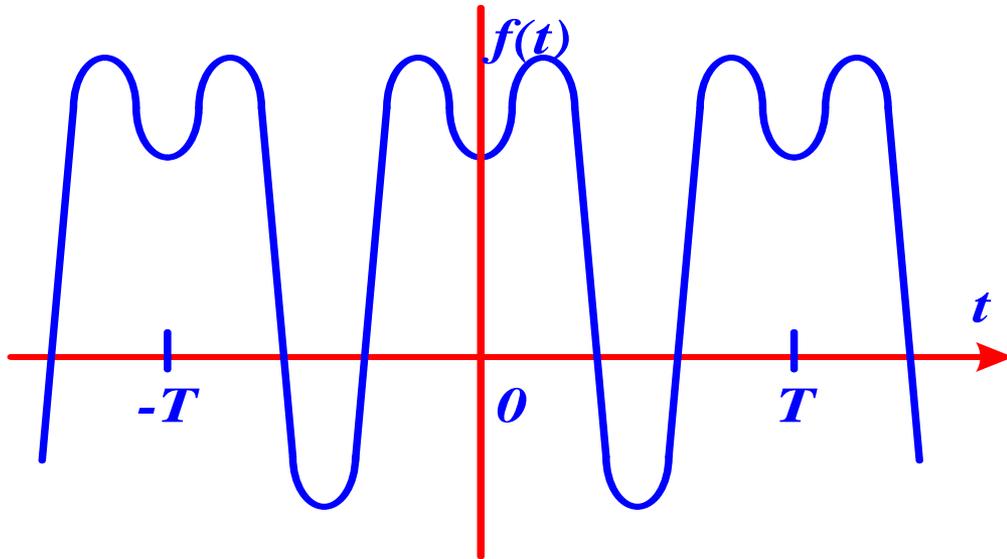
$$f(t) = a_0 + \sum_{k=1}^{\infty} a_k \cos \frac{2\pi kt}{T} + b_k \sin \frac{2\pi kt}{T}$$

# ◀ Harmonics, Complex Waveforms and Fourier Series - Coefficient Facilitators

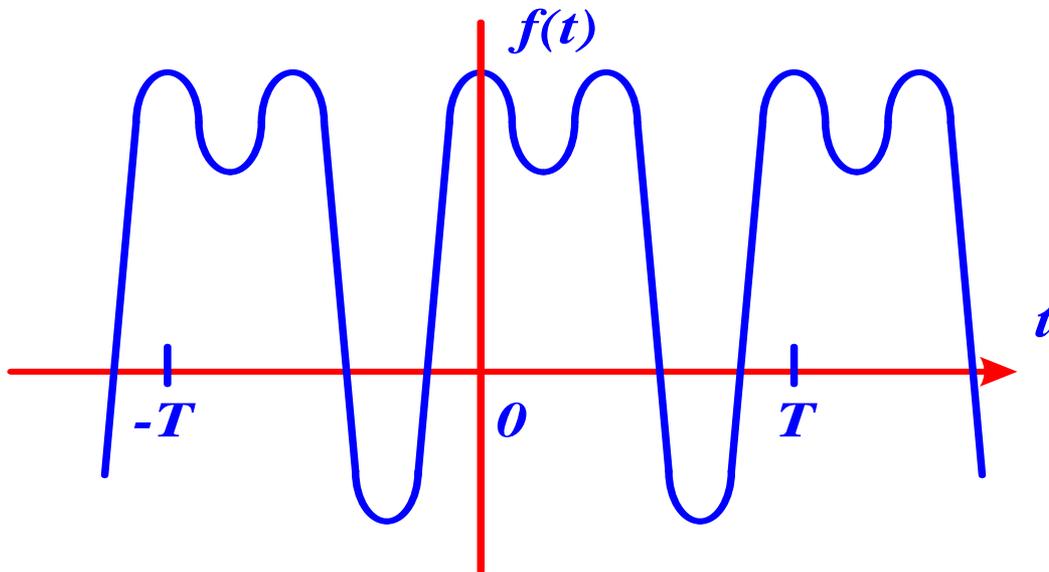


<i>No symmetries</i>		$a_k$ cosines, $b_k$ sines, for all $k$	<i>May or may not have DC component</i>
<i>Even function symmetry</i>	$f(t) = f(-t)$	<i>Only <math>a_k</math> cosines for all <math>k</math> (<math>b_k = 0</math>)</i>	<i>Has DC component if no half-wave symmetry</i>
<i>Odd function symmetry</i>	$f(t) = -f(-t)$	<i>Only <math>b_k</math> sines for all <math>k</math> (<math>a_k = 0</math>)</i>	<i>No DC component</i>
<i>Half-wave symmetry</i>	$f(t) = -f\left(t - \frac{T}{2}\right)$	$a_k$ cosines, $b_k$ sines, for odd $k$	<i>No DC component</i>
<i>Half-wave, even function symmetry</i>	$f(t) = -f\left(t - \frac{T}{2}\right)$ $f(t) = f(-t)$	<i>Only <math>a_k</math> cosines for odd <math>k</math> (<math>b_k = 0</math>)</i>	<i>No DC component</i>
<i>Half-wave, odd function symmetry</i>	$f(t) = -f\left(t - \frac{T}{2}\right)$ $f(t) = -f(-t)$	<i>Only <math>b_k</math> sines for odd <math>k</math> (<math>a_k = 0</math>)</i>	<i>No DC component</i>

## Fourier Series – Examples of Periodic Waveforms

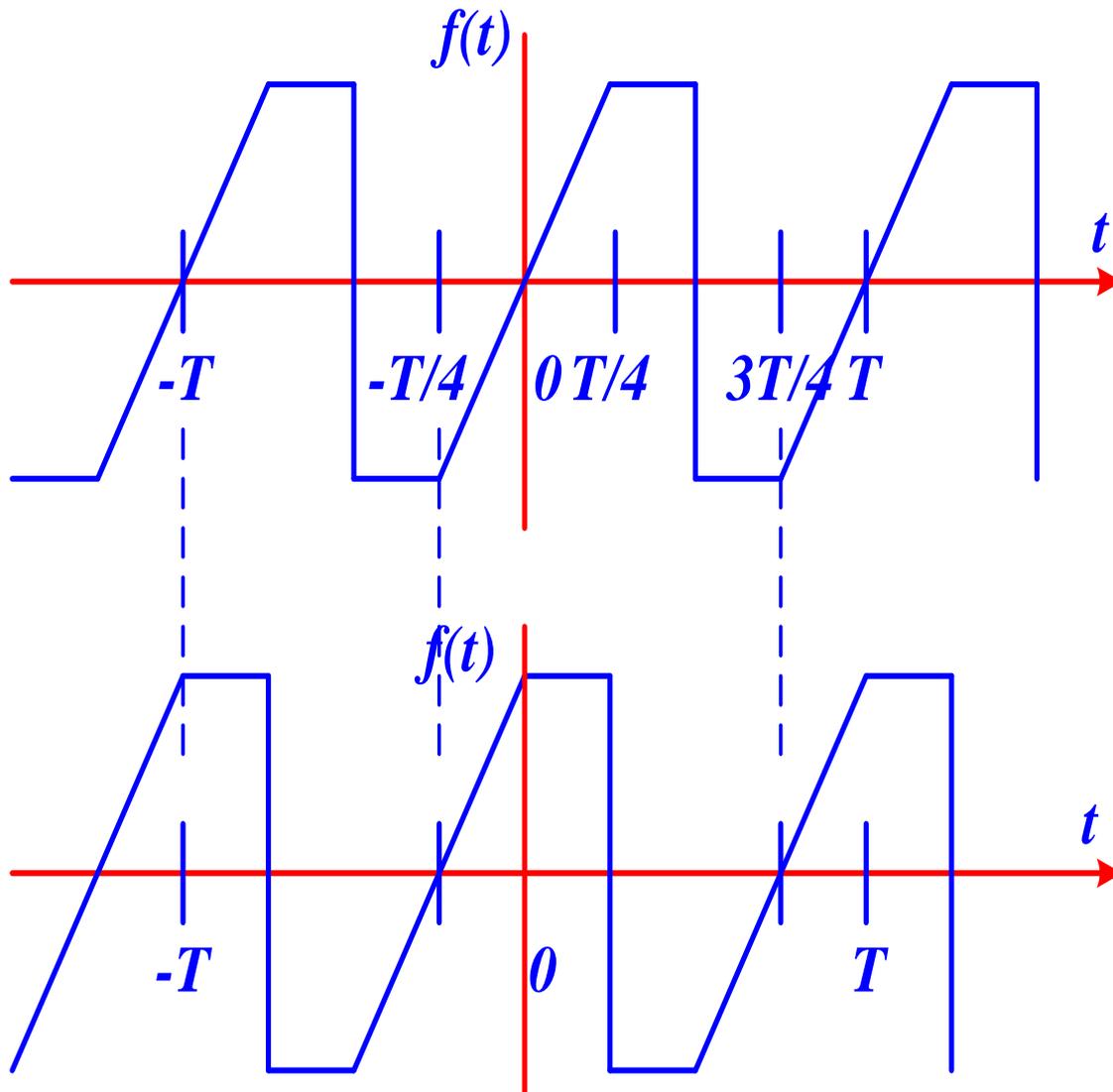


- $f(t) = f(-t)$  even function
- $f(t) \neq -f(t-T/2)$
- No half-wave symmetry
- DC component,  $a_0$
- No sine terms, only cosines, all  $k$ s
- $$a_k = \frac{2}{T} \int_0^T f(t) \cos k \omega_0 t dt$$



- No even or odd function symmetry
- No half-wave symmetry
- Have sine and cosine terms, all  $k$
- DC component,  $a_0$
- $a_0, a_k, b_k$  terms

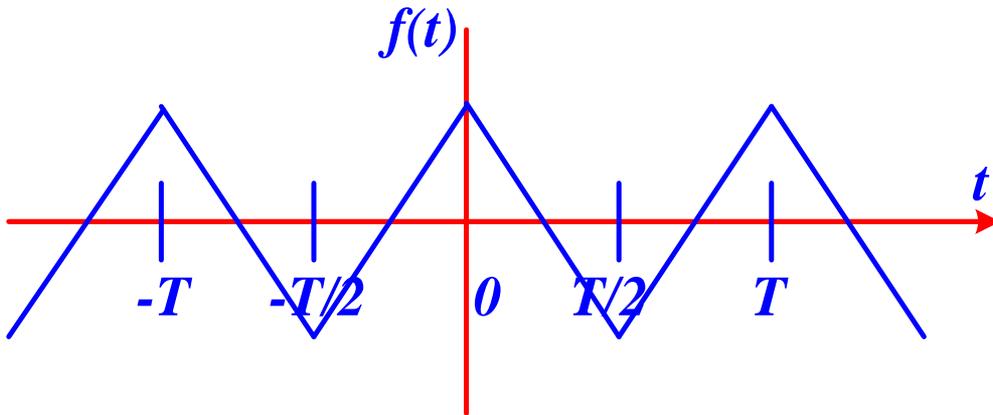
## Fourier Series - Examples of Periodic Waveforms



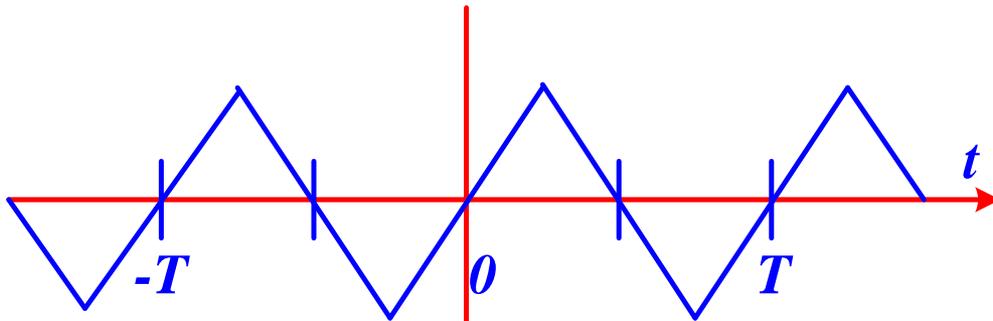
- $f(t) = -f(-t)$  odd function
- No half-wave symmetry
- No DC component
- Just  $b_k$  sines, all  $k$
- $$b_k = \frac{2}{T} \int_0^T f(t) \sin k \omega_0 t dt$$

- No symmetries
- No DC component
- Have  $a_k$  cosine and  $b_k$  sine terms, all  $k$

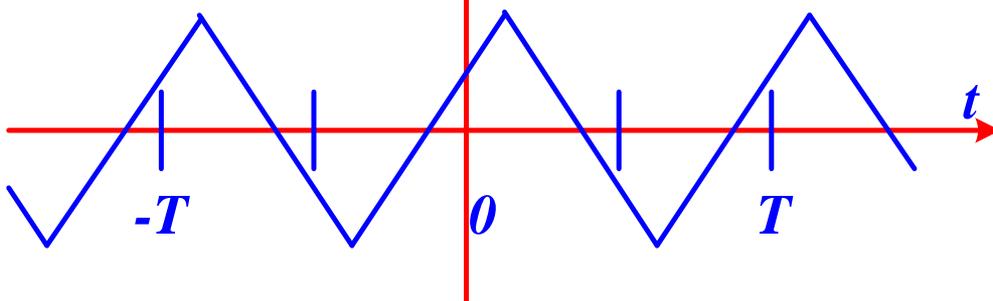
## Fourier Series - Examples of Periodic Waveforms



- $f(t) = f(-t)$  even function
- Half-wave symmetry
- No DC component
- Have  $a_k$  for odd  $ks$

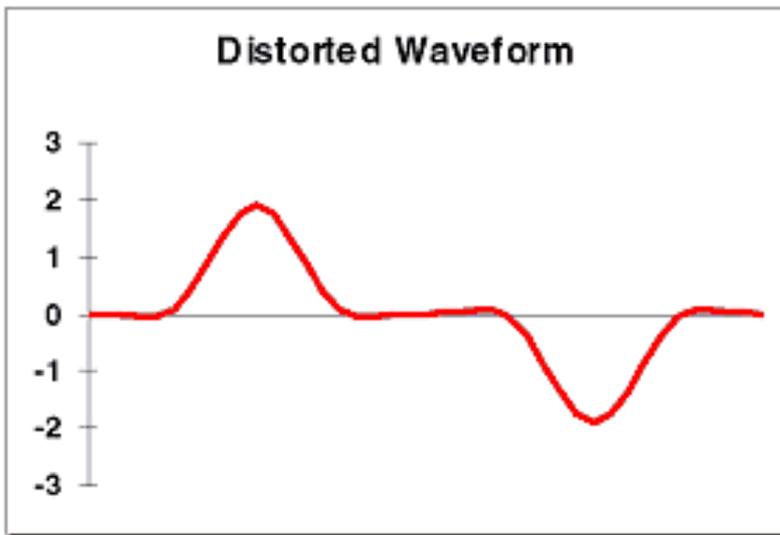


- $f(t) = -f(-t)$
- Half wave symmetry
- No DC component
- Have  $b_k$  for odd  $ks$

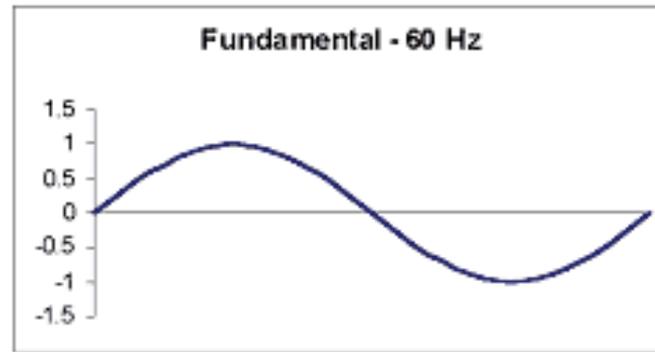


- No even or odd symmetry
- Half wave symmetry
- No DC component
- Have  $a_k, b_k$  for odd  $ks$

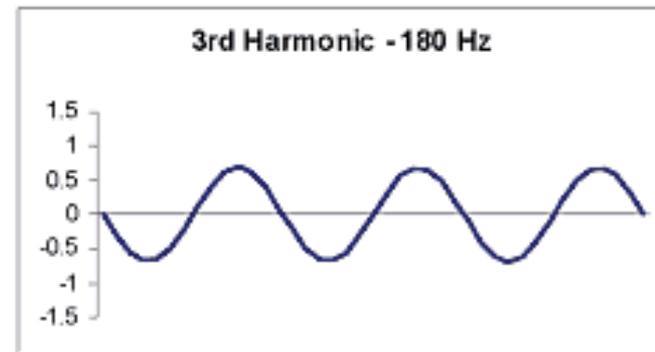
# Fourier Series - Distorted (Complex) Waveforms



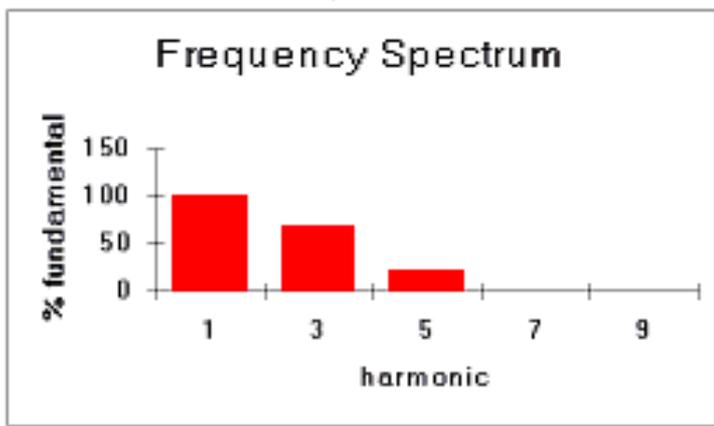
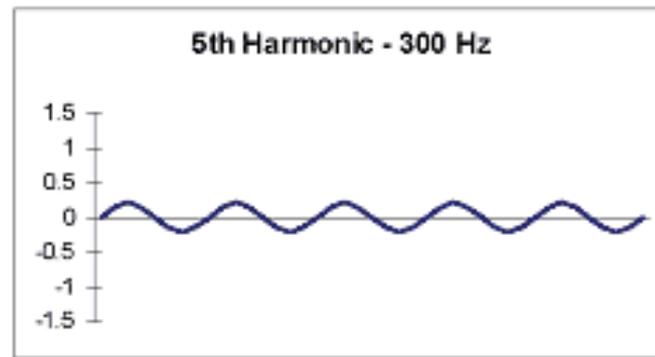
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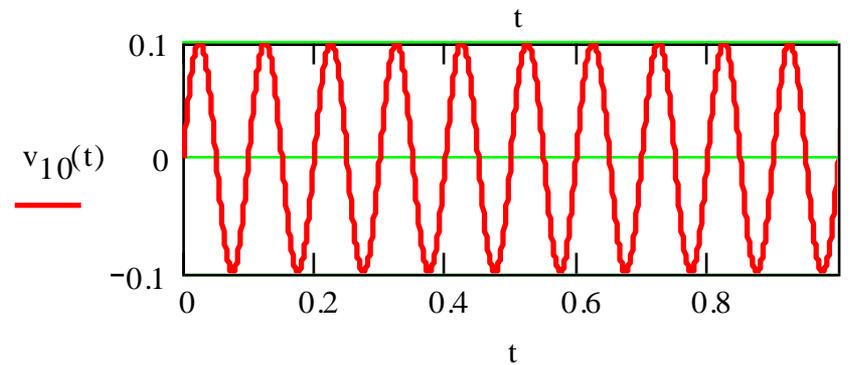
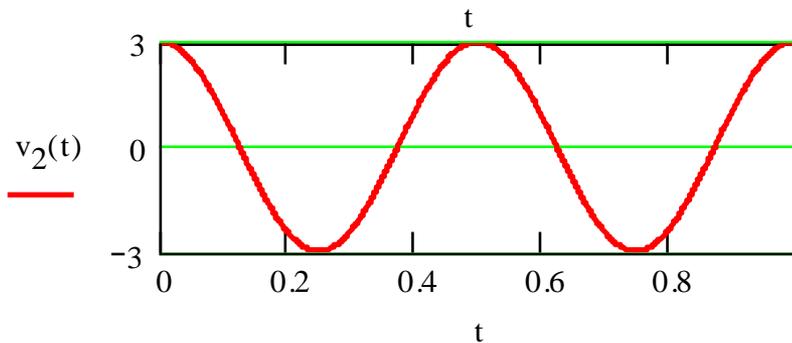
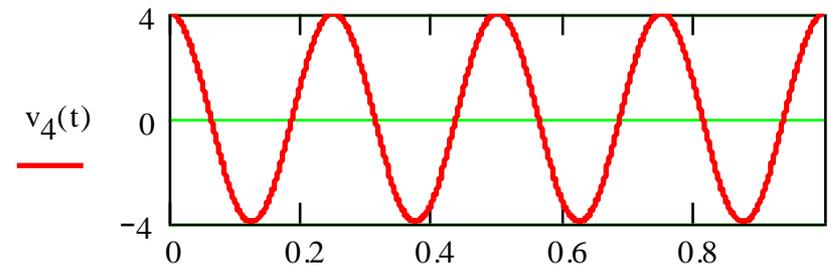
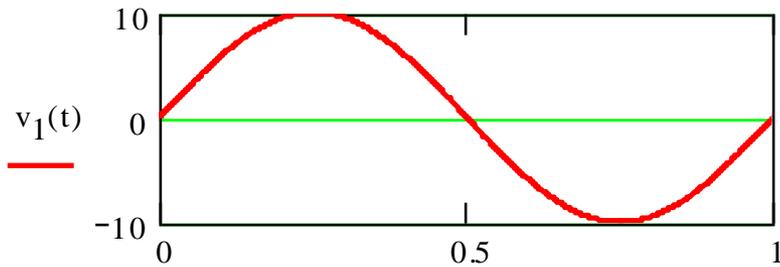
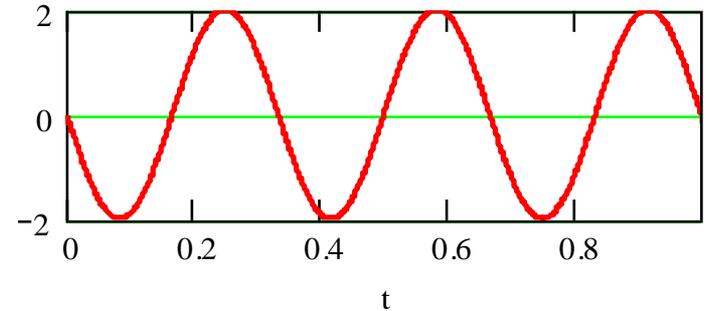
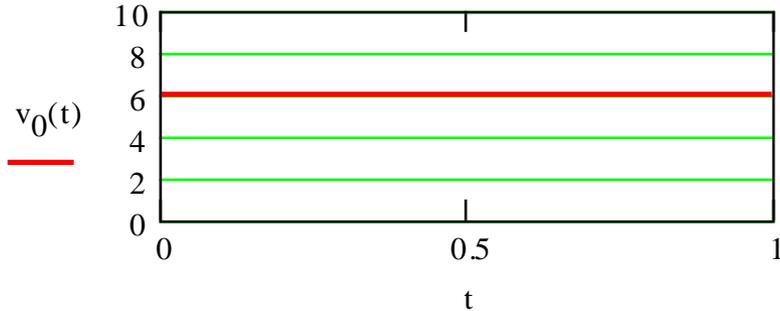


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## Fourier Series - Homework Problem #4

A waveform  $v(t)$  was analyzed and found to consist of 6 components as shown here.



## Fourier Series - Homework Problem #4 (Continued)

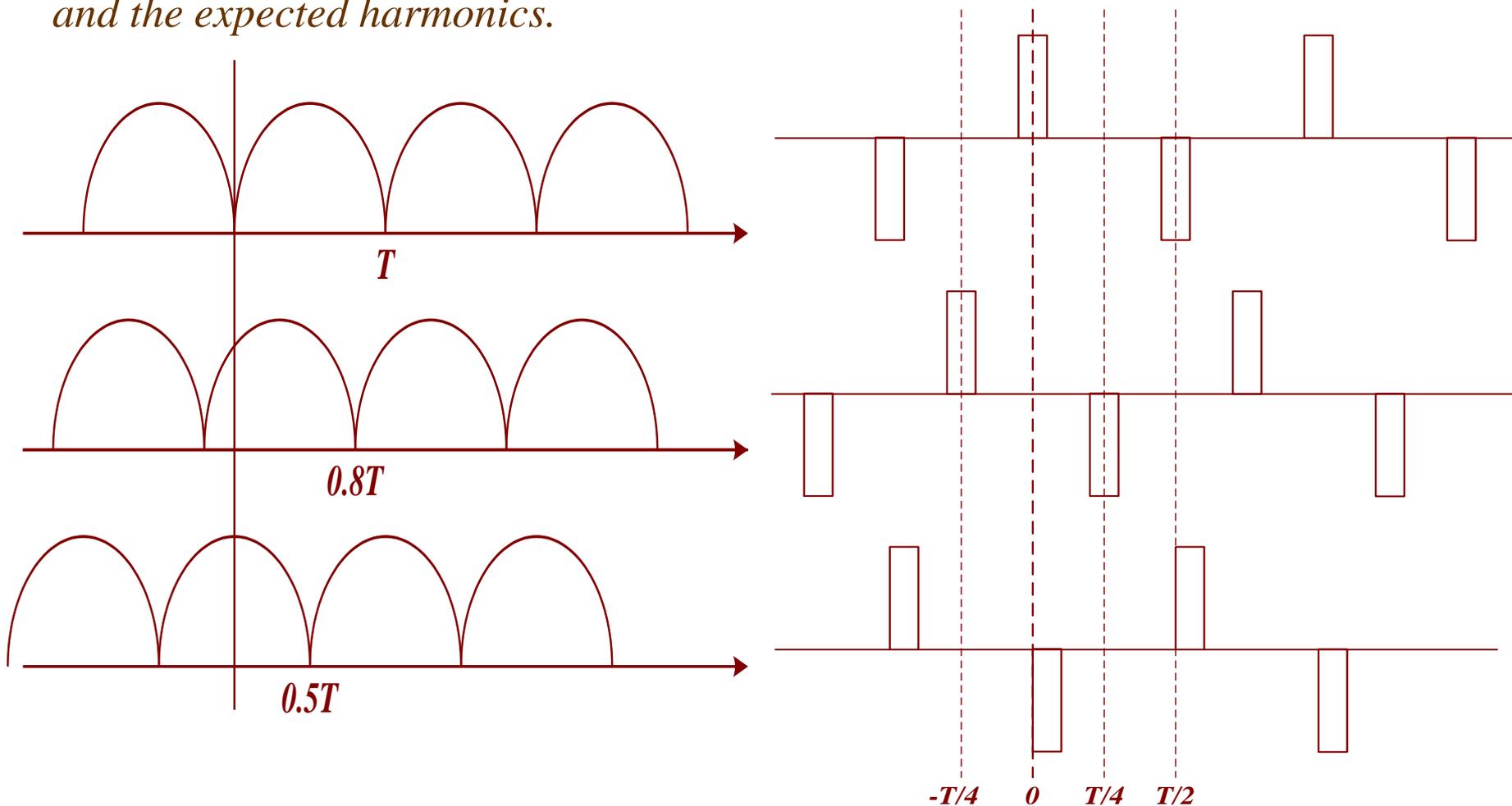
- Write the mathematical expression for each component in terms of  $\omega = (2 * \pi) / T$
- Show the harmonic content graphically by plotting the frequency spectrum
- Give the numerical result of

$$b_3 = \frac{2}{T} \int_0^T v(t) \sin 3\omega t \, dt \quad \text{Help : } \int \sin^2(3\omega t) \, dt = \frac{t}{2} - \frac{\sin 6\omega t}{12\omega}$$

$$b_4 = \frac{2}{T} \int_0^T v(t) \sin 4\omega t \, dt \quad \text{Help : } \int \cos(4\omega t) \sin(4\omega t) \, dt = \frac{\sin(4\omega t)^2}{8\omega}$$

## Fourier Series - Homework Problem #5

Each waveform below can be written as a Fourier series. The result depends upon the choice of origin. For each of the 6 cases, state the type of symmetry present, non-zero coefficients and the expected harmonics.



**Signal Total Harmonic Distortion (THD):** The ratio of the square root of the summed squares of the amplitudes of all harmonic frequencies above the fundamental frequency to the fundamental frequency

$$THD_V = \frac{\left[ \sum_{i=2}^N V_i^2 \right]^{1/2}}{V_1} * 100\%$$

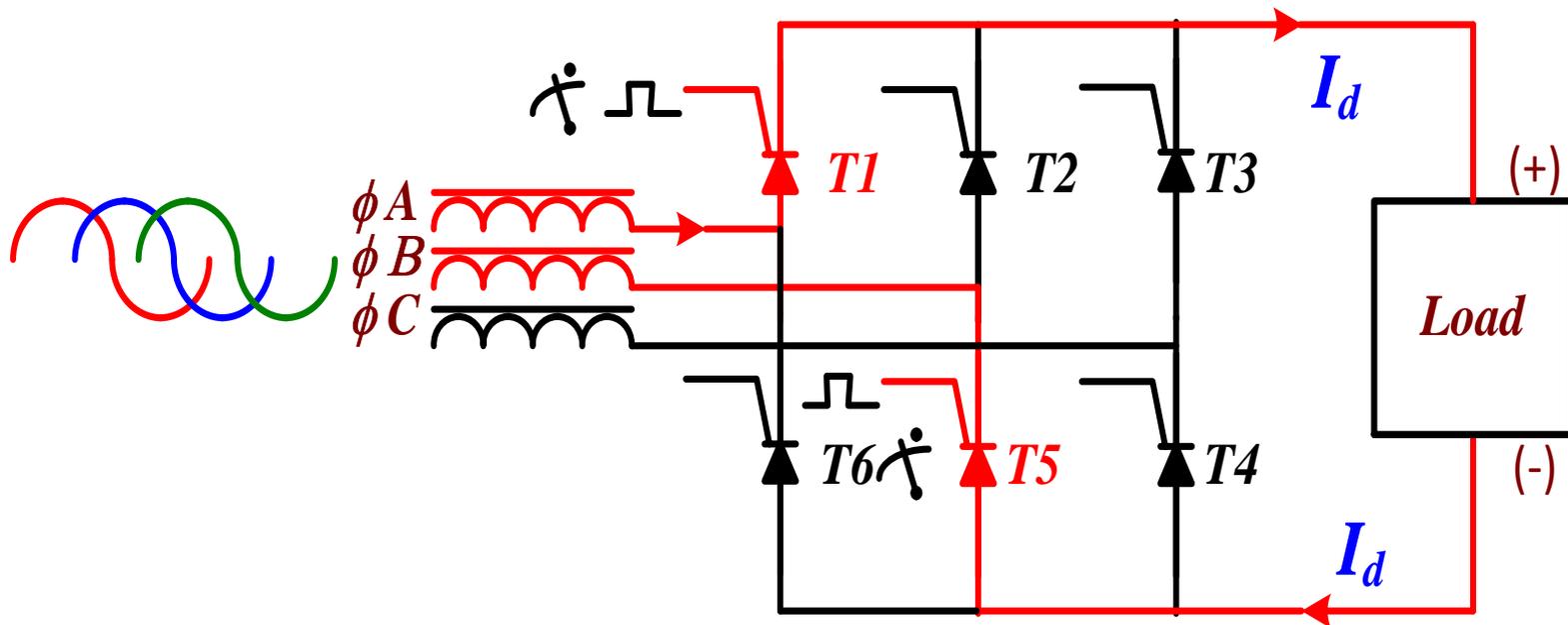
$$THD_I = \frac{\left[ \sum_{i=2}^N I_i^2 \right]^{1/2}}{I_1} * 100\%$$



## *Fourier Series - Causes of Harmonic Distortion*

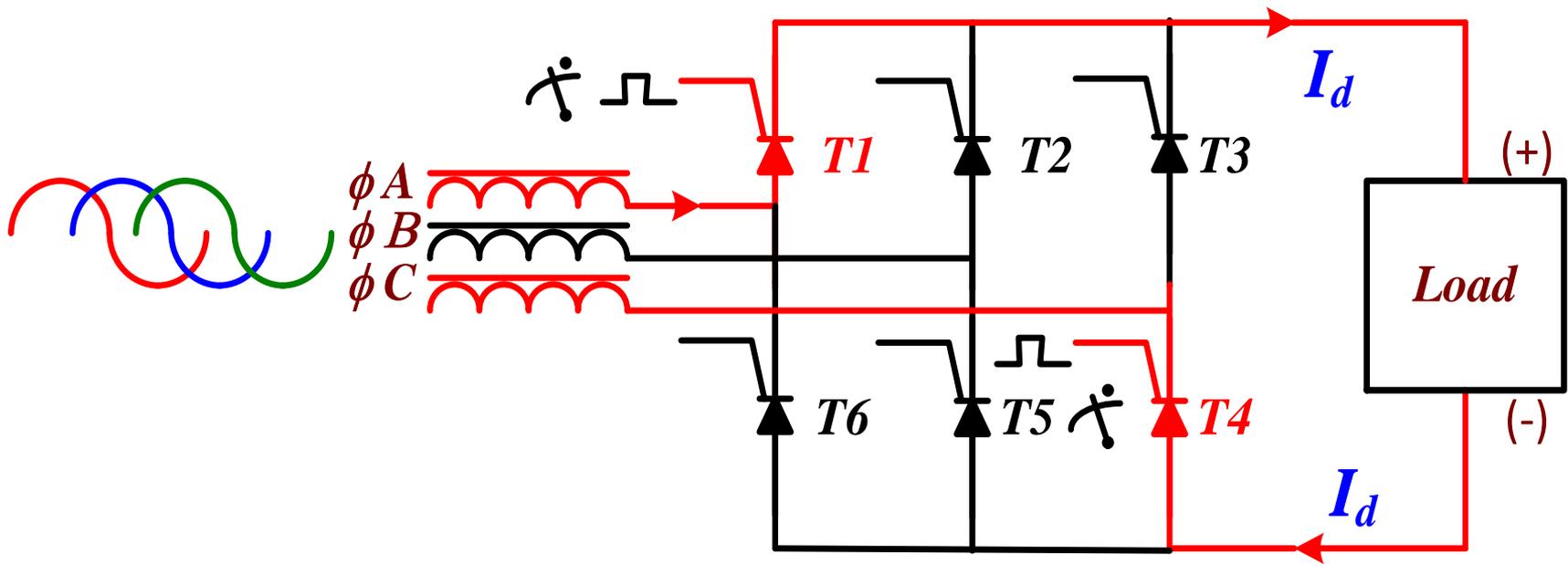
- *SCR or diode commutation*
- *Unbalanced 3-phase, non-linear loads*

## SCR Commutation as Distortion Cause



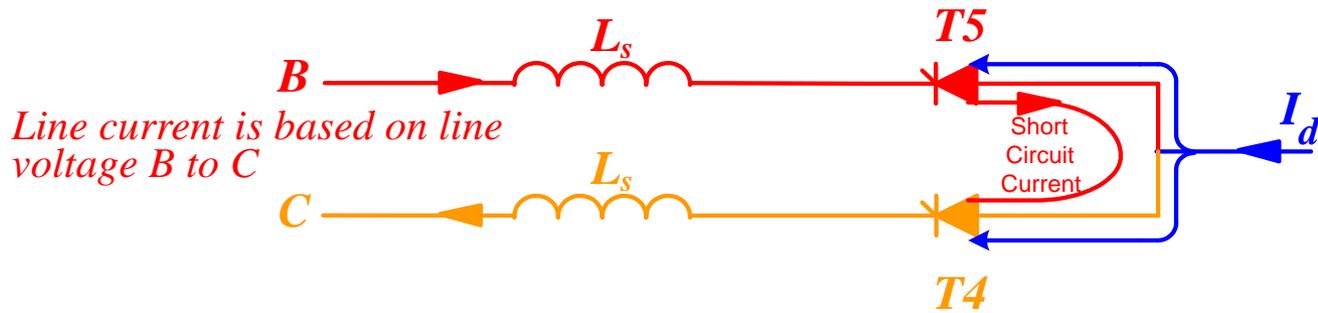
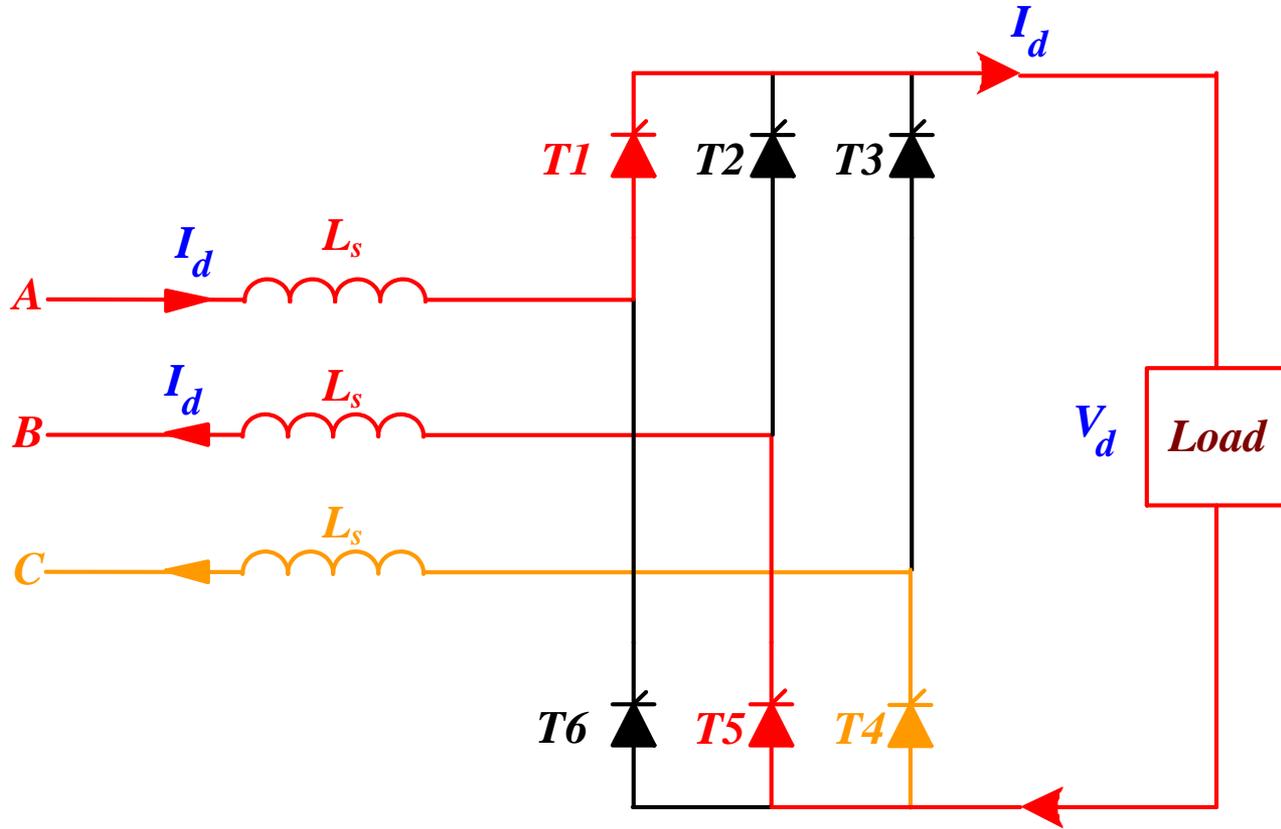
*State 1: A-B (+) SCR s 1 – 5 On*

## SCR Commutation as Distortion Cause



*State 2 : A-C (+), 5 off, 4 on, SCR s 1 – 4 On*

# SCR Commutation as Distortion Cause





## SCR Commutation Voltage Drop

$$V_d = V_{do} - V_u$$

$$V_{Ls} = L_s \frac{di}{dt}$$

$$V_u = \frac{q}{\omega T} \int_{\alpha}^{\alpha+\mu} V_{Ls} d(\omega t) = \frac{q}{2\pi} \omega L_s \int_0^{I_d} di = \frac{q}{2\pi} \omega L_s I_d = q f L_s I_d$$

$$V_d = \frac{q\sqrt{2}}{2\pi} V_{LL} \cos \alpha - q f L_s I_d$$

*Commutation voltage drop*

$V_d$  = reduced output,  $V_{do}$  = Theoretical output,  $V_u$  = commutation drop

$V_{Ls}$  = Voltage drop due to line impedance,  $i$  = phase current

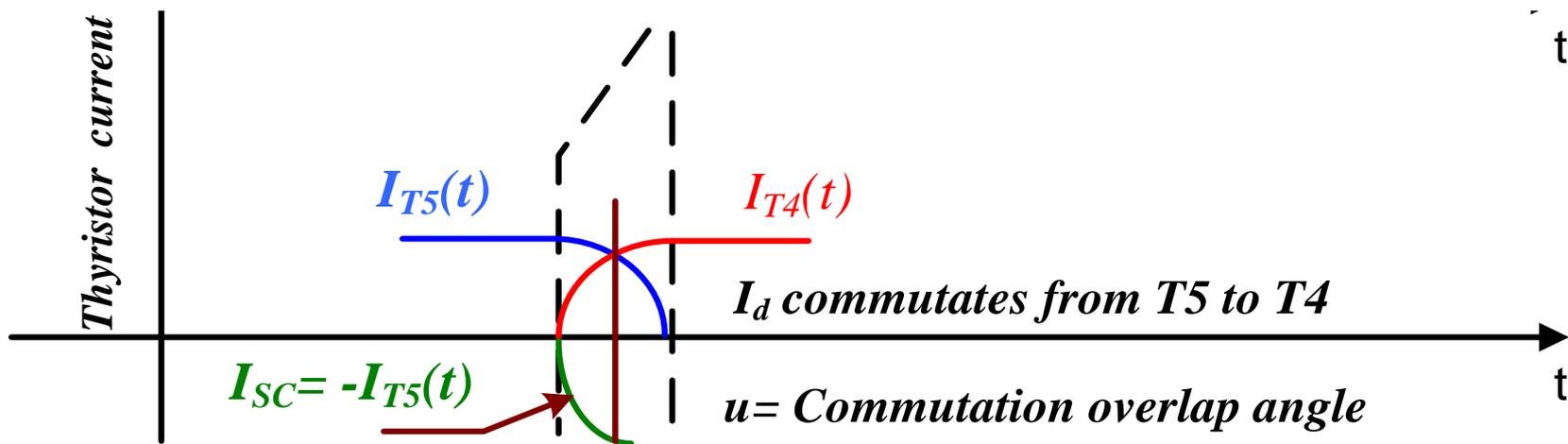
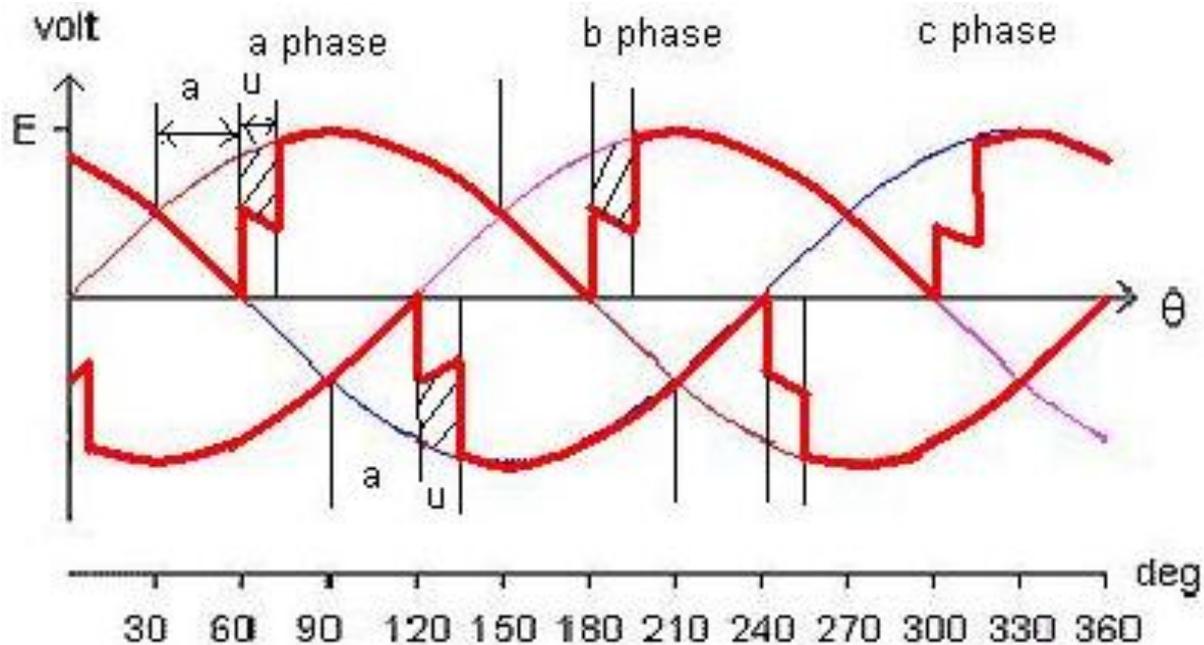
$q$  = number of rectifier states,  $\alpha$  = SCR gate trigger retard angle,  $\mu$  = commutation overlap angle

$\omega$  = operating frequency in radians,  $f$  = frequency in Hz,  $I_d$  = Load current

### Conclusions

- The current commutation takes a finite commutation interval  $u$ .
- During the commutation interval, three SCRs conduct.
- $V_u$  (and line voltage distortion) is directly proportional to the inductance of the input AC line or transformer and the DC current flowing in the load

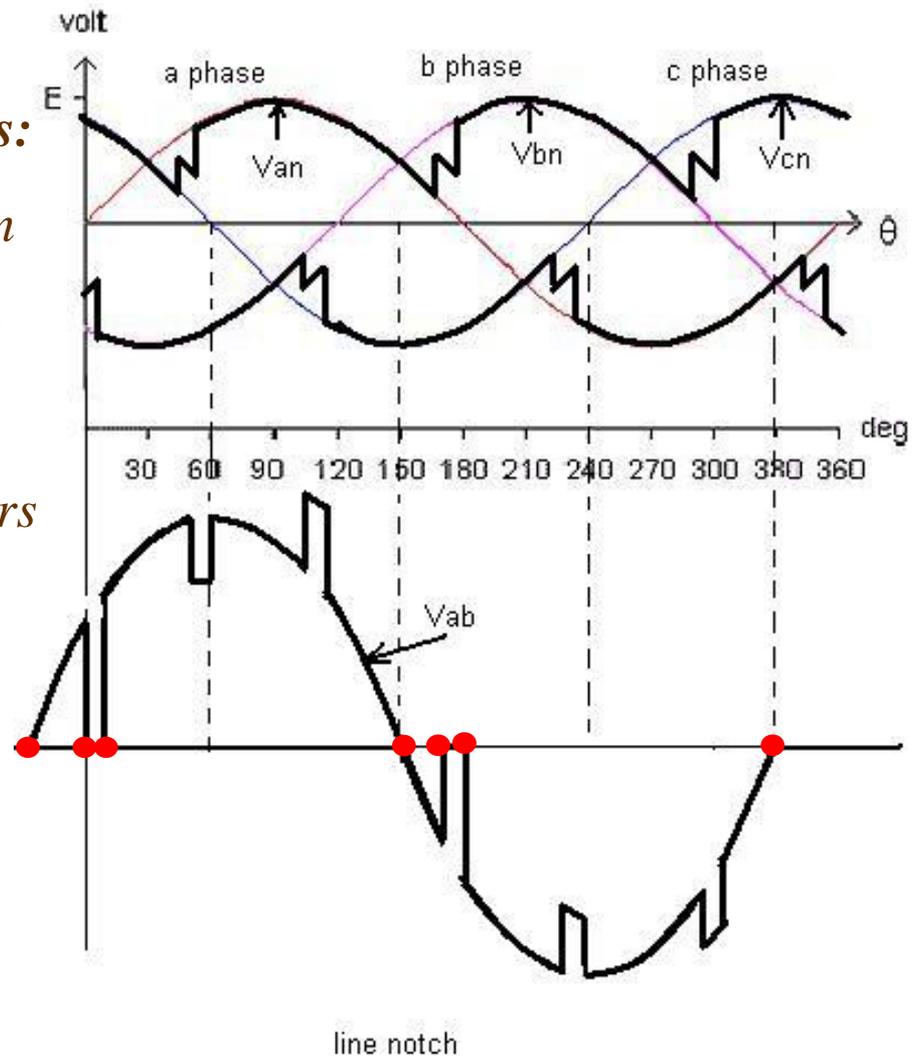
## SCR Commutation as Distortion Cause



## SCR Commutation Effects

### *SCR / diode commutation line notches:*

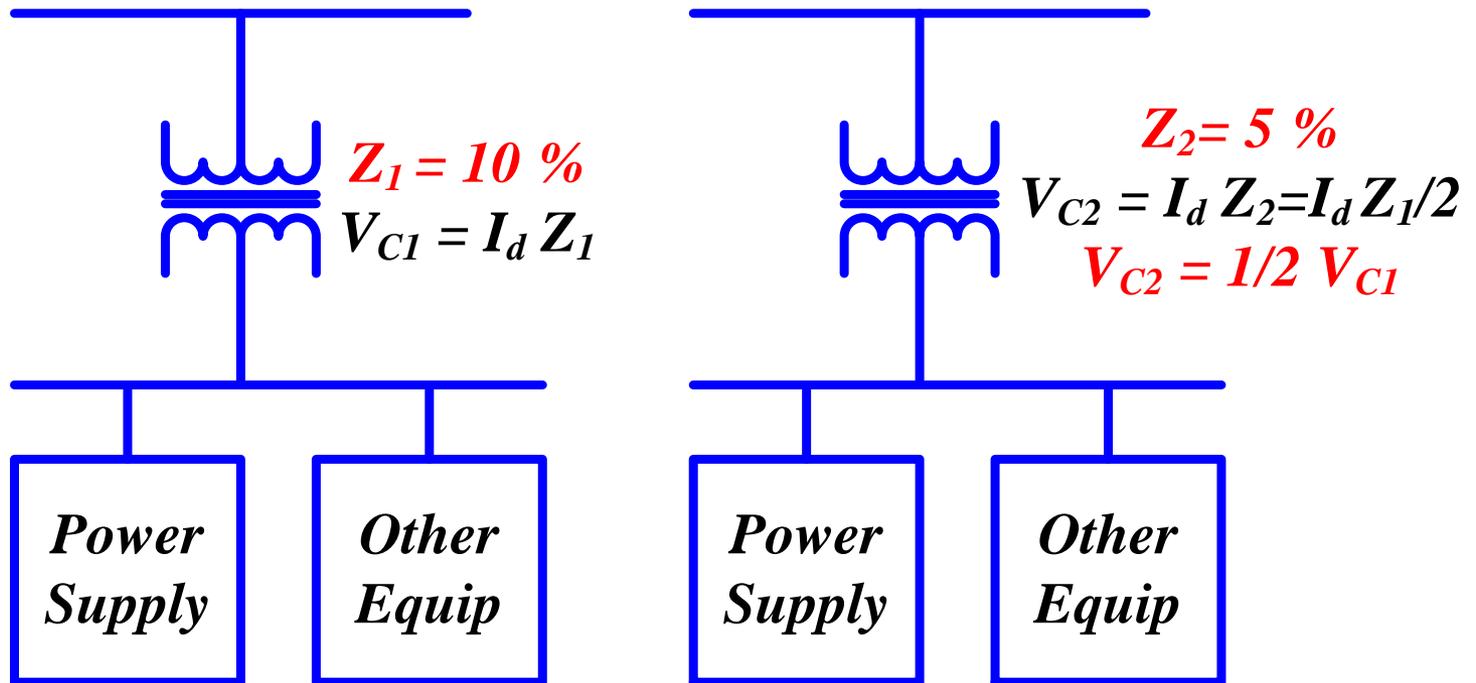
- *Are a source of line voltage distortion*
- *If deep enough, they cause extra zero crossings in the line voltage. In 3 phase systems, instead of 2 zero crossings per cycle, 6 zero crossings can be experienced*
- *The extra zero crossings can upset equipment timing. This can cause SCRs to trigger at the wrong time, damaging the power supply or cause false turn-on and damage to other equipment.*



## SCR Commutation Effects

### Reducing SCR commutation effects

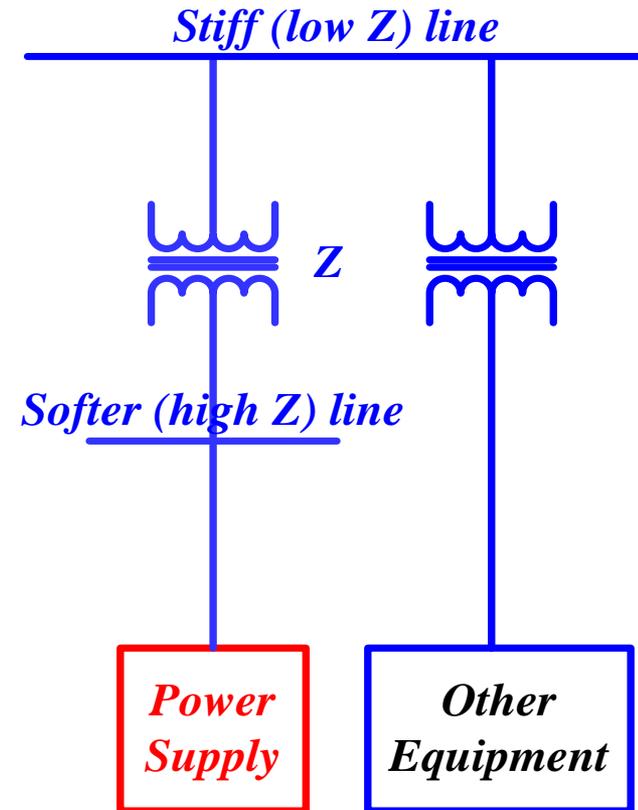
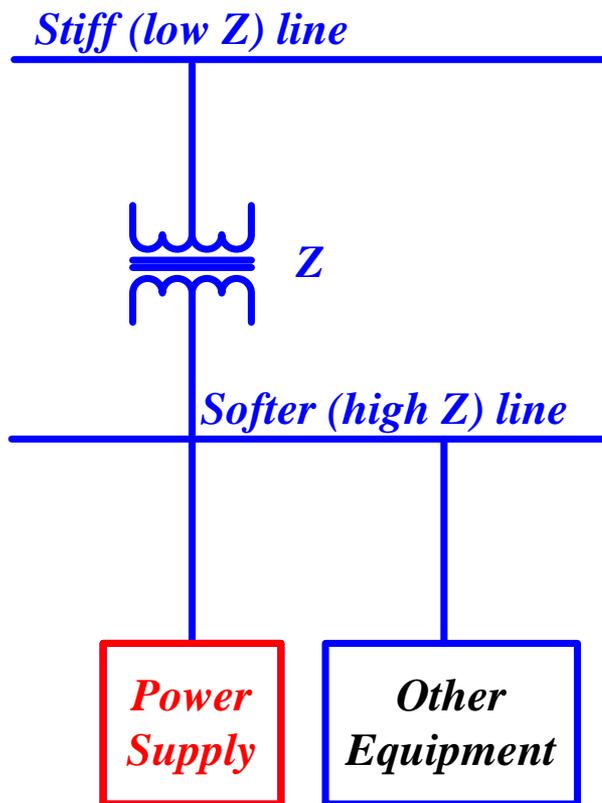
- Commutation notches (voltage drops) are directly proportional to system  $Z$  and DC load current. To reduce commutation notch depth, use a stiff (large, low  $Z$ ) line.



## SCR Commutation Effects

### *Reducing SCR commutation effects on other equipment*

- *Isolate other equipment by placing them on another line*





## *SCR Commutation Effects - International Harmonic Distortion Standards*

<i>Australia</i>	<i>AS/NZS 61000.3.6 , replaces AS 2279 - “Disturbances in Mains Supply Networks” and is compatible with IEEE 519 recommendations</i>
<i>Britain</i>	<i>G5/4 – 1 “Standard for Harmonic Control in Power Systems” which is compatible with IEEE 519 – 1992</i>
<i>Europe</i>	<i>International Electrotechnical Commission IEC 555 Series for harmonic current distortion limits for small devices (extended by IEC 1000 standards)  Larger devices IEC61000-3-2, EN61000-3-2</i>
<i>United States</i>	<i>IEEE 519 – 1992 “Standard Practices and Requirements for Harmonic Control in Electrical Power Systems” .</i>



## SCR Commutation Effects - IEEE 519- 1992 Voltage Distortion Limits

**Table 10.2 Low Voltage System Classification And Distortion Limits**

	<i>Special Applications <sup>1</sup></i>	<i>General Systems</i>	<i>Dedicated Systems <sup>2</sup></i>
<i>THD (Voltage)</i>	3%	5%	10%
<i>Notch Depth</i>	10%	20%	50%
<i>Notch Area <sup>3</sup></i>	16,400 V - $\mu$ S	22,800 V - $\mu$ S	36,500 V - $\mu$ S

1. Airports and hospitals
2. Exclusive use converters
3. Multiply by  $V / 480$  for other than 480 V systems

$$\text{Example : } 480V * \sqrt{2} = 678.8V \quad 20\% \text{ notch depth} = 135.8V$$

$$\frac{22,800V * \mu S}{135.8V} = 168 \mu S \quad \frac{168 \mu S}{16.6mS} \sim 1\% \text{ of } 60\text{Hz period}$$

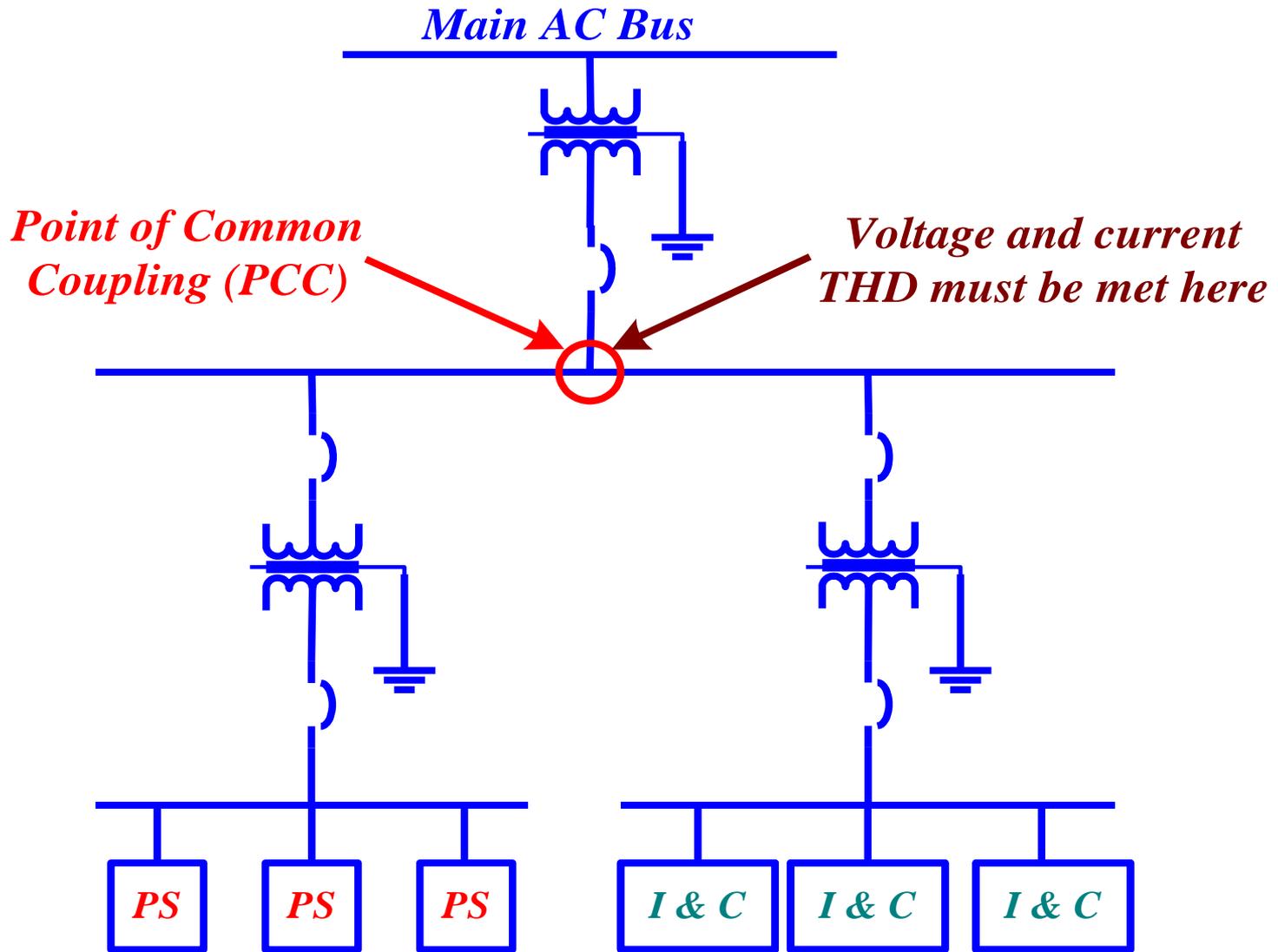
## ◀ SCR Commutation Effects - IEEE 519- 1992 Load Current Distortion Limits

### *General Distribution Systems – 120 V Through 69 kV*

$I_{SC} / I_L$	<i>Maximum THD</i>
$< 20$	5
$20 < 50$	8
$50 < 100$	12
$100 < 1,000$	15
$> 1,000$	20

1.  $I_{SC}$  = maximum short-circuit current at Point of Common Coupling (PCC)
2.  $I_L$  = maximum load current at PCC
3.  $I_{SC} / I_L$  = system short-circuit current capability to load current ratio

# SCR Commutation Effects - Point of Common Coupling Illustrated



## ◀ *Electromagnetic Compatibility and Interference - Glossary of EMC/EMI Terms*

***Electromagnetic Interference (EMI)** is any electromagnetic disturbance that interrupts, obstructs, or otherwise degrades or limits the effective performance of electronics/electrical devices, equipment or systems. Sometimes also referred to as radio frequency interference (RFI)*

***Electromagnetic Compatibility (EMC)** describes how an electronic device will behave in a "real world" setting of EMI*

***Broadband Interference** This type of interference usually exhibits energy over a wide frequency range and is generally a result of sudden changes in voltage or current. It is normally measured in decibels above one micro-volt (or micro-ampere) per megahertz  $\text{dB } \mu\text{V} / \text{MHz}$  or  $\text{dB } \mu\text{A} / \text{MHz}$*

***Narrowband Interference** has its spectral energy confined to a specific frequency or frequencies. This type of interference is usually produced by a circuit which contains energy only at the frequency of oscillation and harmonics of that frequency. It is normally measured in "decibels above one micro-volt (or micro-ampere)", e.g.,  $\text{dB } \mu\text{V}$  or  $\text{dB } \mu\text{A}$ .*

### *Five Types of EMI*

- *Conducted Emissions (CE) - the EMI emitted into lines and connections by an electronic device. Of particular interest is the EMI conducted onto the AC input power lines*
- *Conducted Susceptibility (CS) - the EMI present on lines and connections (e.g. power lines) and its effect on a connected electronic device.*
- *Radiated Emissions (RE) - the EMI radiated by an electronic device*
- *Radiated Susceptibility (RS) - radiated EMI effect on an electronic device*
- *Electromagnetic Pulse (EMP) – radiated EMI by lightning or atomic blast*

### *Culprits and Victims*

- *Culprits are devices, equipment or systems that emit EMI*
- *Victims are devices, equipment or systems that are susceptible to EMI*



### *USA*

- ***MIL-STD-461E Emissions & Susceptibility Standard for Defense Electronics**  
This standard sets the Emissions & Susceptibility (Immunity) noise limits and test levels for electrical / electronic and electromechanical equipment*
- ***MIL-STD-462E** is the companion standard that describes the methods and test procedures for certification under MIL-STD-461.*
- *The object of the standards is to maximize safety and reliability and to minimize downtime and breakdowns of equipment essential for defense.*
- *The worldwide defense electronics and aerospace community recognizes and generally accepts MIL-STD-461.*



## *Electromagnetic Compatibility and Interference - EMI / EMC Standards*

### *USA*

*Federal Communications Commission (FCC) under the Code of Federal Regulations CFR, Part 15, Sub-Part J, for Class A and B devices and equipment.*

### *Germany*

*Verband Deutscher Elektrotechniker (VDE) has developed VDE 0871 for Level A and Level B.*

### *European Community*

*EMC Directives of 1996*

*The FCC and VDE specifications are similar in that Class A and Level A describe industrial equipment, while Class B and Level B are applicable to consumer equipment.*



## *Electromagnetic Compatibility and Interference - Conducted Emissions*

### *Conducted emissions*

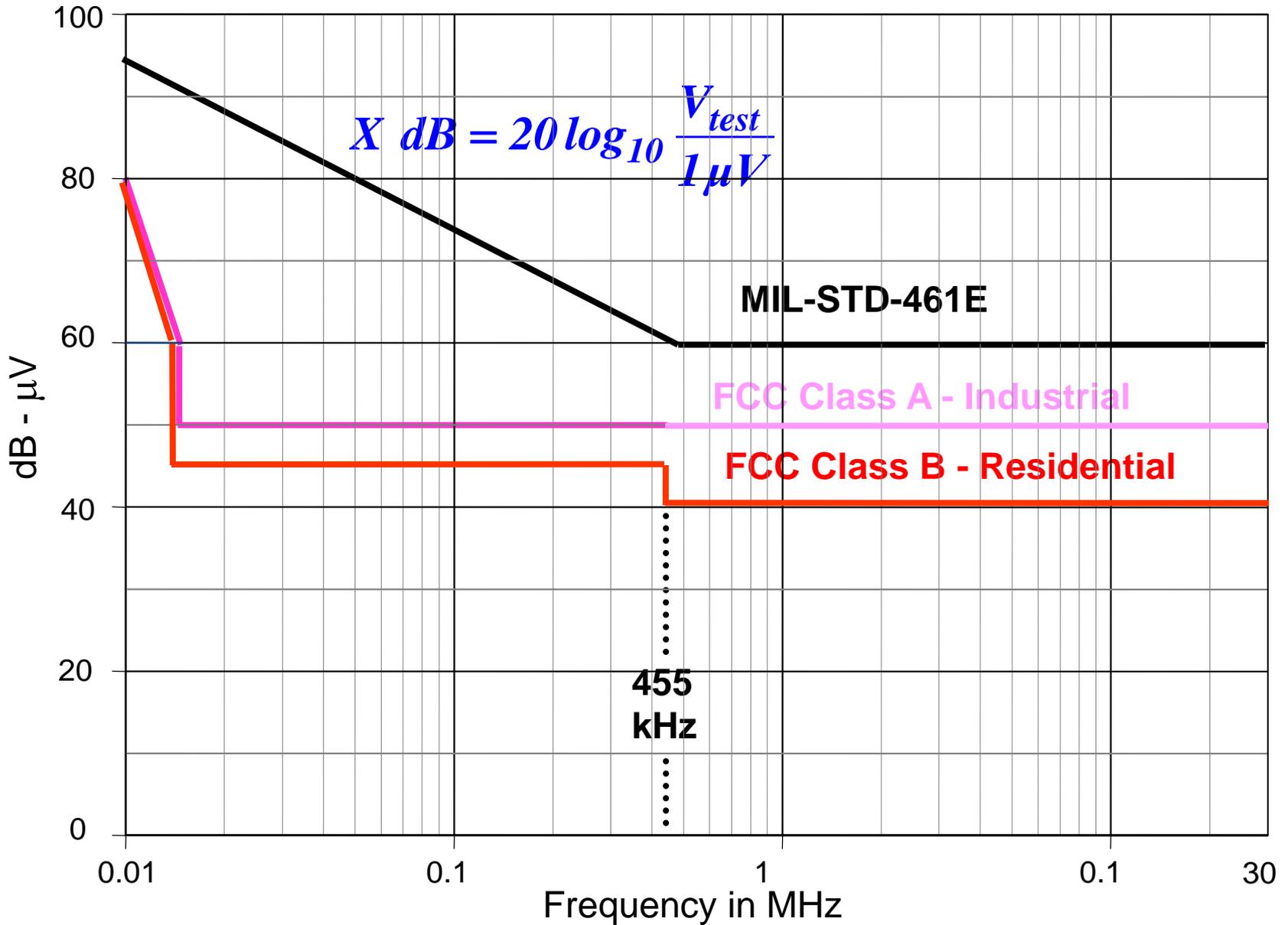
- *EMI conducted onto AC Lines by the power supply.*
- *Typically 10 kHz to 30 MHz*
- *Measured in  $\mu V$  or dB -  $\mu V$  ( Reference:  $1 \mu V = 0 \text{ dB}$  )*

$$dB = 20 * \log_{10} \frac{\text{measured } \mu V}{1 \mu V}$$

*Example: Measured noise =  $100 \mu V$*

$$dB = 20 * \log_{10} \frac{100 \mu V}{1 \mu V} = 40dB$$

# Electromagnetic Compatibility and Interference – Conducted Limits

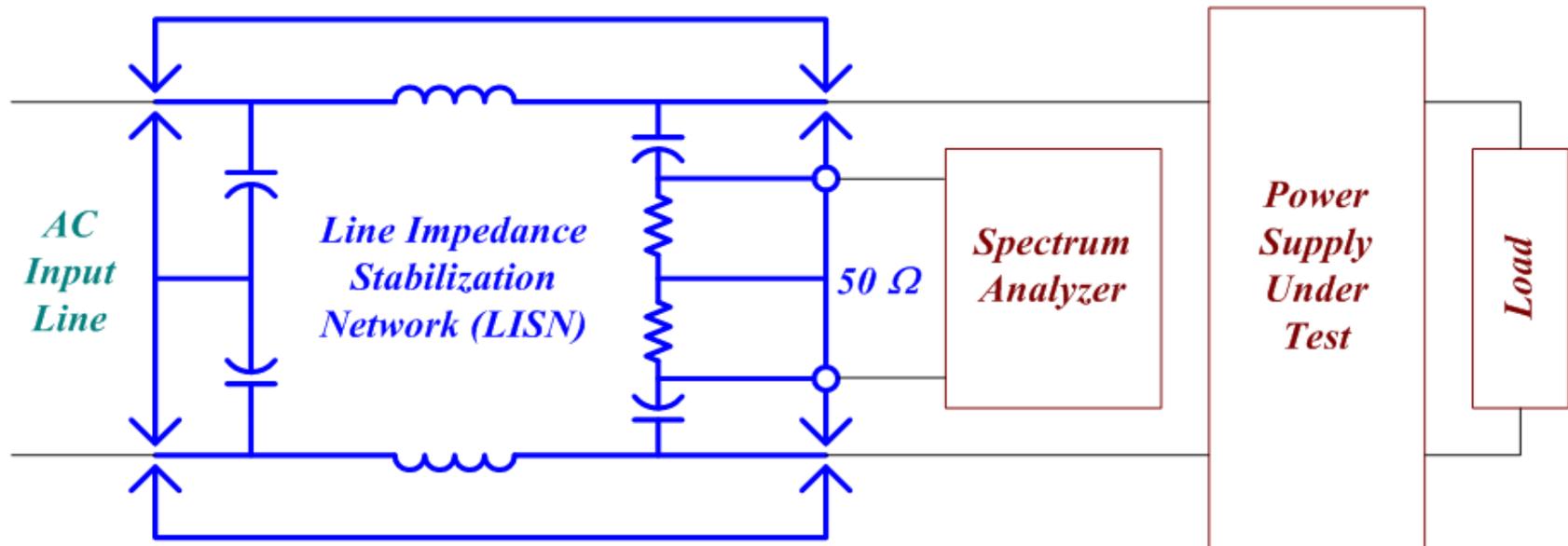




## *Electromagnetic Compatibility and Interference - Conducted Emissions*

*Test equipment used – Spectrum analyzers with Line Impedance Stabilization Networks (LISNs) that*

- Filter and divert external AC line intrinsic noise from the EMI measurements*
- Isolate and decouple the AC line high voltage and prevent line transients from damaging spectrum analyzers and other sensitive test equipment*
- Present a known, fixed impedance at RF frequencies to the power supply undergoing test*



## ◀ *Electromagnetic Compatibility and Interference Conducted Emissions – LISNs*

### *LISN considerations:*

- *Desired impedance (typically 50  $\Omega$ )*
- *Bandwidth (typically victims are susceptible to 10 kHz to 30 MHz)*
- *Line type (DC, Single phase, 3  $\phi$  delta, 3 phase wye)*
- *Line voltage (120 V, 208 V, 480 V, etc)*
- *Power supply input current when under load*

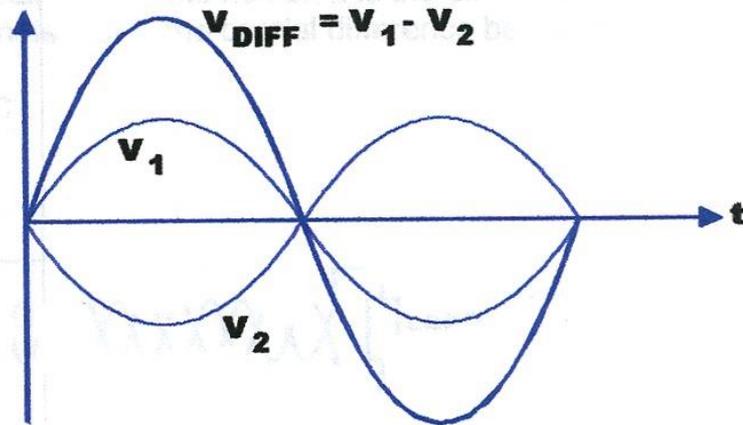
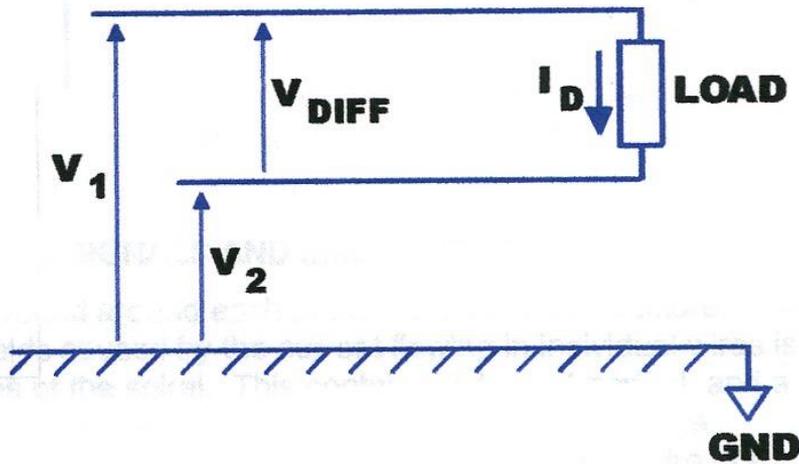
### *Spectrum Analyzers*

*Anritsu, Keysight, Rigol,  
Rohde and Schwarz*



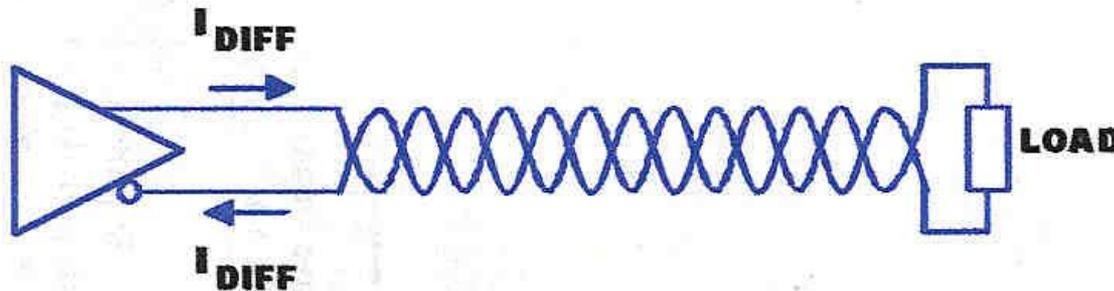


## Electromagnetic Compatibility and Interference - Differential Mode Noise



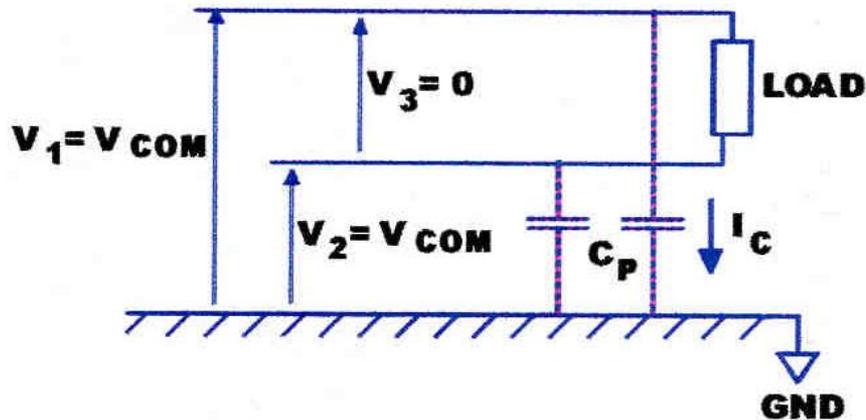
- *Produced as a natural result of complex, high frequency switching V and I*
- $V_1 = -V_2$
- *Magnitudes are equal*
- *Phase difference is 180°*
- $V_{Load} = V_1 - V_2 = KVL$  unwanted signal
- $I_D = |V_1| + |V_2| / R_{Load}$

## EMC/EMI - Differential Mode Electromagnetic Compatibility



- *Current flow in opposite directions so that the magnetic field is contained within the spirals*
- *The tighter the cable twist the greater the containment and noise attenuation*
- *Shielding the pair (and tying the shield to ground in one or more places) will also increase noise attenuation*

## EMC/EMI - Common Mode Noise



- Produced as a result of circuit imbalances, currents produced by simultaneous high frequency voltages on (+) and (-) lines capacitively coupled to ground

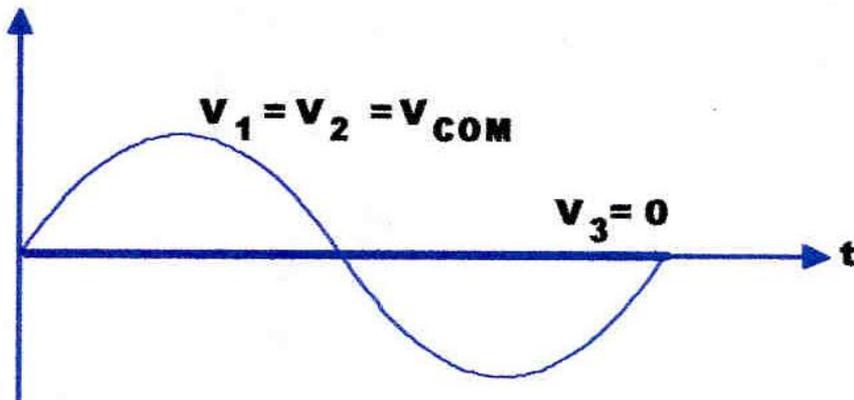
- $V_1 = V_2 = V_{COM}$

- Magnitudes are equal

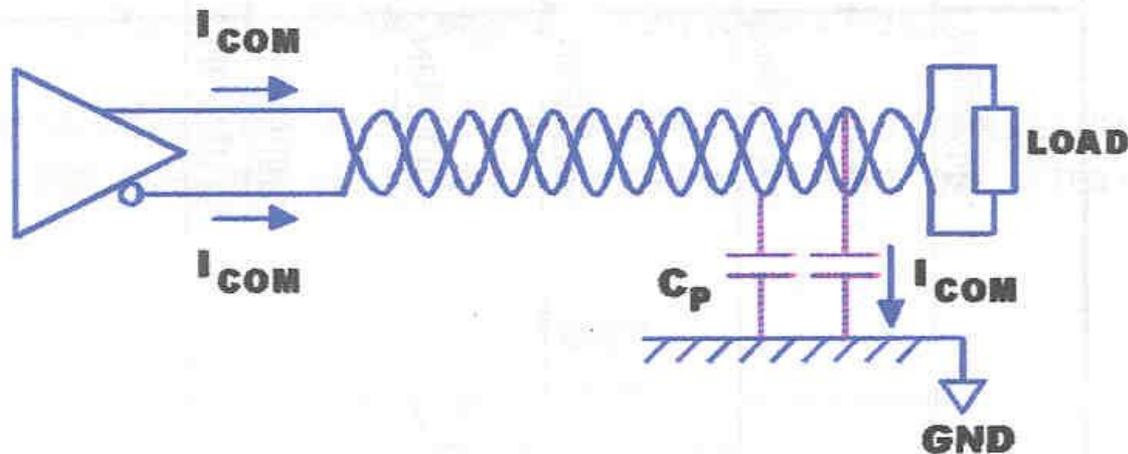
- Phase difference is  $0^\circ$

- $I_{Load} = (V_1 - V_2) / R_{Load}$

- $V_{SUM} = V_1 + V_2 = 0$



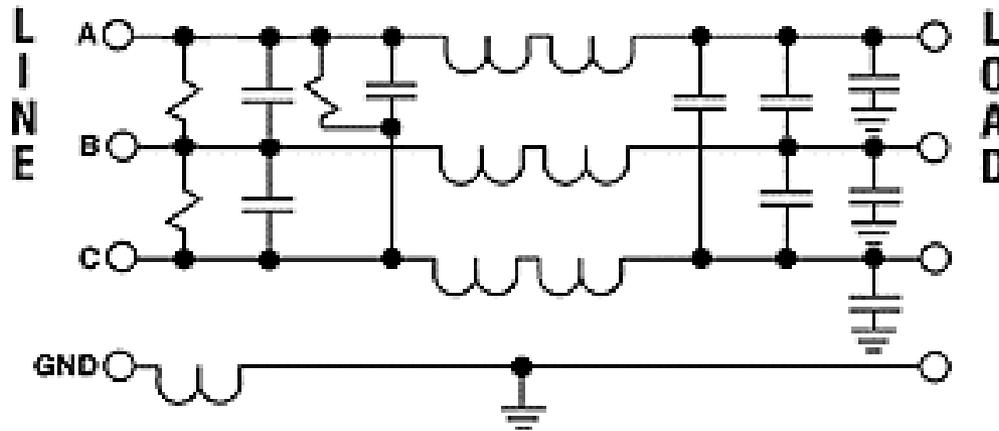
## EMC/EMI - Common Mode Compatibility



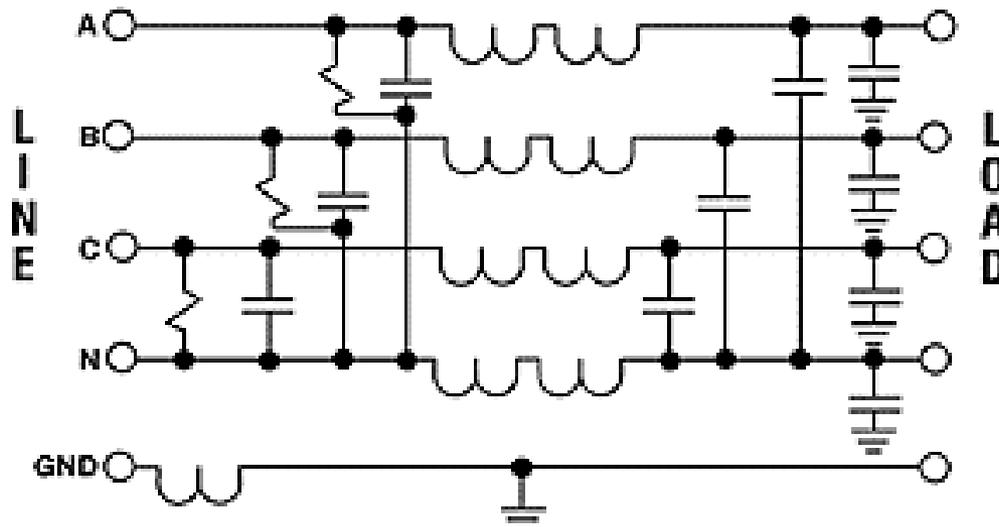
- *Common mode current generated by common mode voltages impressed across parasitic capacitances to ground*
- *Current flows are the same magnitude and in the same direction so that the spirals have no effect on containing the magnetic fields*
- *The pair must be shielded and the shield tied to ground in one or more places for noise attenuation*

# EMC/EMI - Input Conducted Line Noise Filters

## Delta input



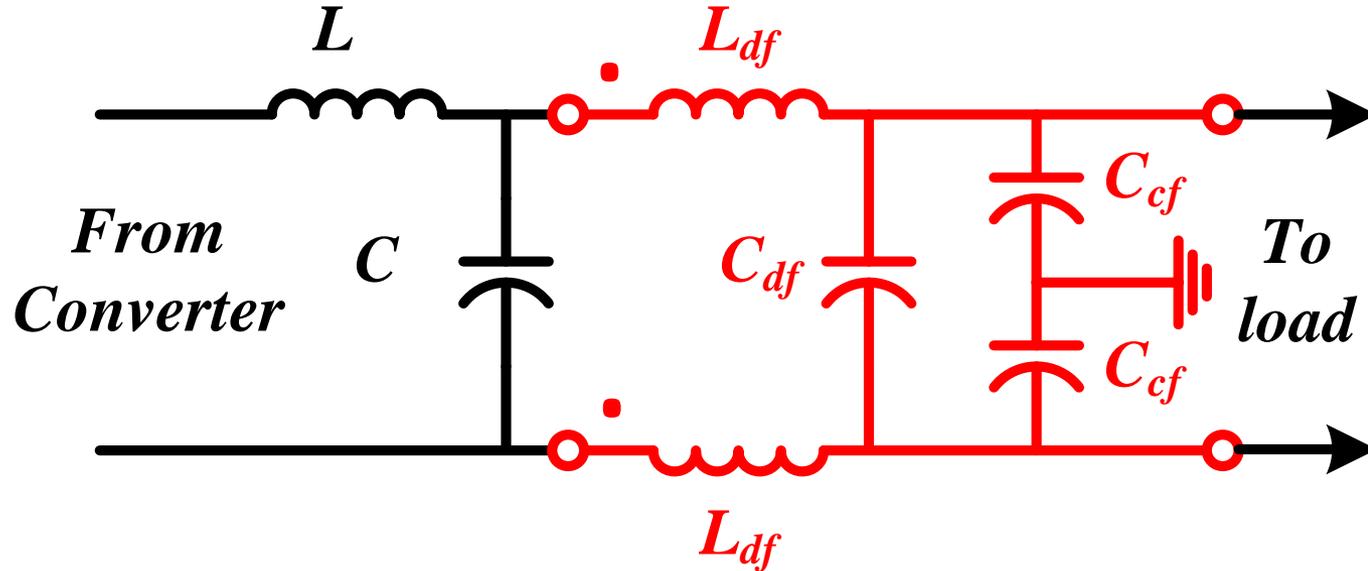
## Wye input



- Configurations C, L, Pi, T
- Attenuation 20 to 70dB
- Filters both differential and common mode noise

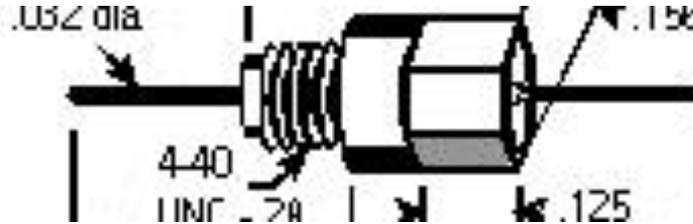
[http://www.filterconcepts.com/three\\_phase/3v\\_series.html](http://www.filterconcepts.com/three_phase/3v_series.html)

## EMC/EMI - Input / Output Line Noise Filters

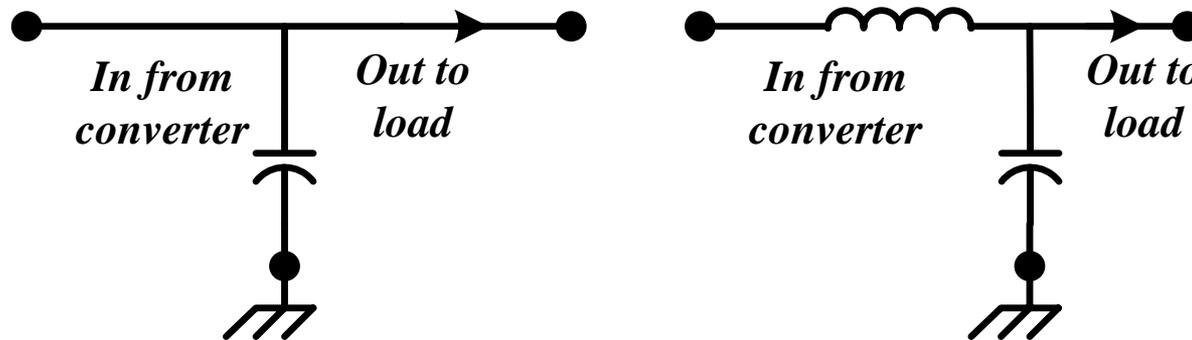


- $L$  and  $C$  are not good noise ( $f > f_{sw}$ ) filters
- $L$  looks capacitive at  $f > f_{sw}$ ,  $C$  looks inductive at  $f > f_{sw}$
- $L_{df}$  is a differential / common mode noise filter inductor and might be a real inductance or the intrinsic inductance of the bus
- $C_{df}$  is a differential mode noise filter capacitor
- $C_{cf}$  are common mode noise filter capacitors

## EMC/EMI - Output Line Feed-through Noise Filters



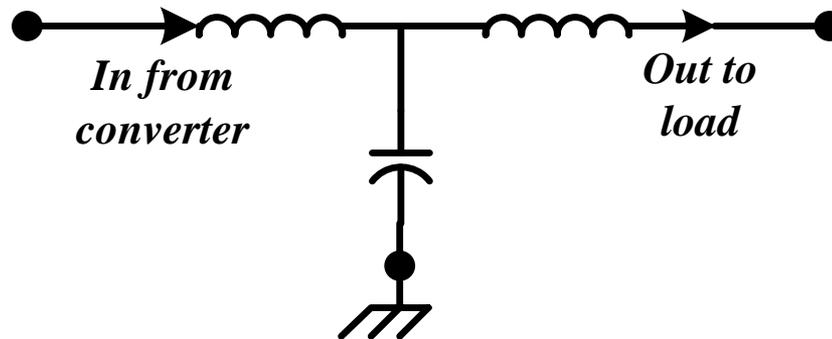
- *C filters* are the most common EMI filter, consisting of a 3 terminal feed-thru capacitor, used to attenuate high frequency signals



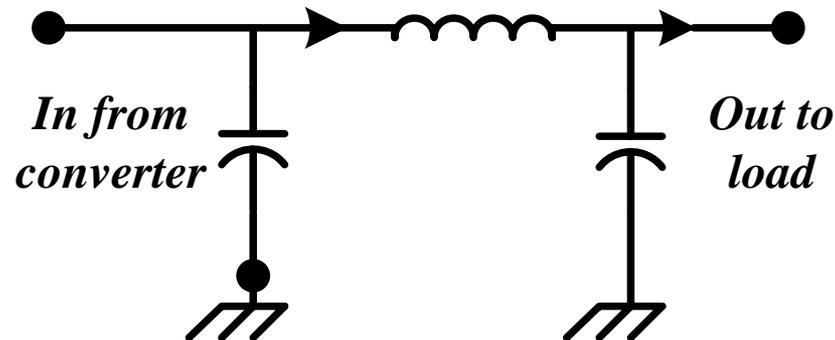
- *L filters* consist of one inductive element and one capacitor. One disadvantage is that the inductor element in smaller filters consists of a ferrite bead that will saturate and lose effectiveness at larger load currents

## EMC/EMI - Output Line Feed-through Noise Filters

- **T filters** consist of two inductive elements and one capacitor. This filter presents a high impedance to both the source and load of the circuit

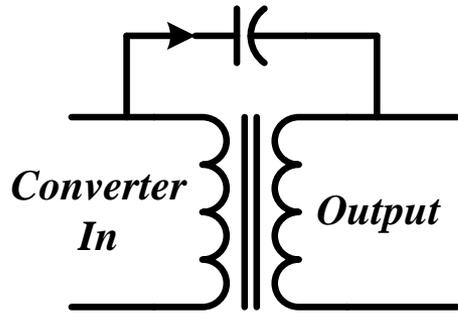


- **Pi filters** consist of two capacitors and one inductor. They present a low impedance to both source and load. The additional capacitor element, provides better high frequency attenuation than the C or L filters

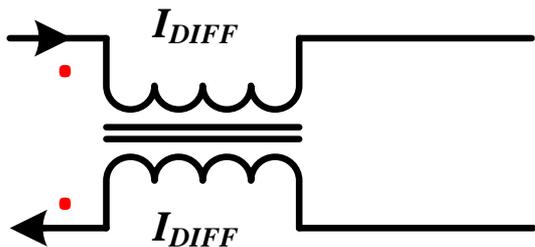
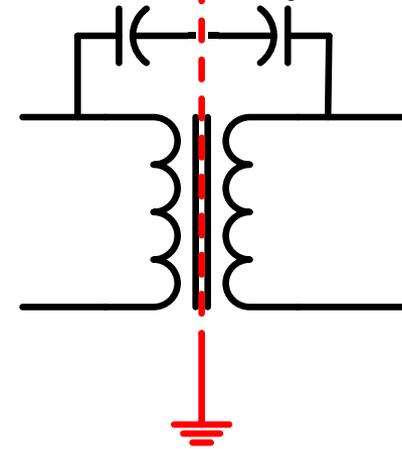


# EMC/EMI - Other Conducted Noise Filters

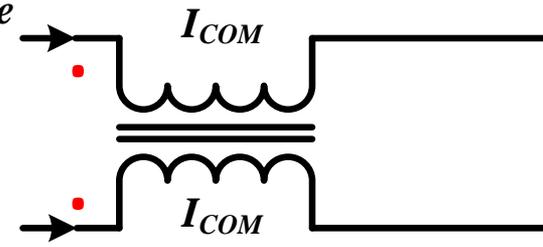
*Plain Transformer*



*Electrostatic (Faraday) Shielded Transformer*



*Common Mode Choke*

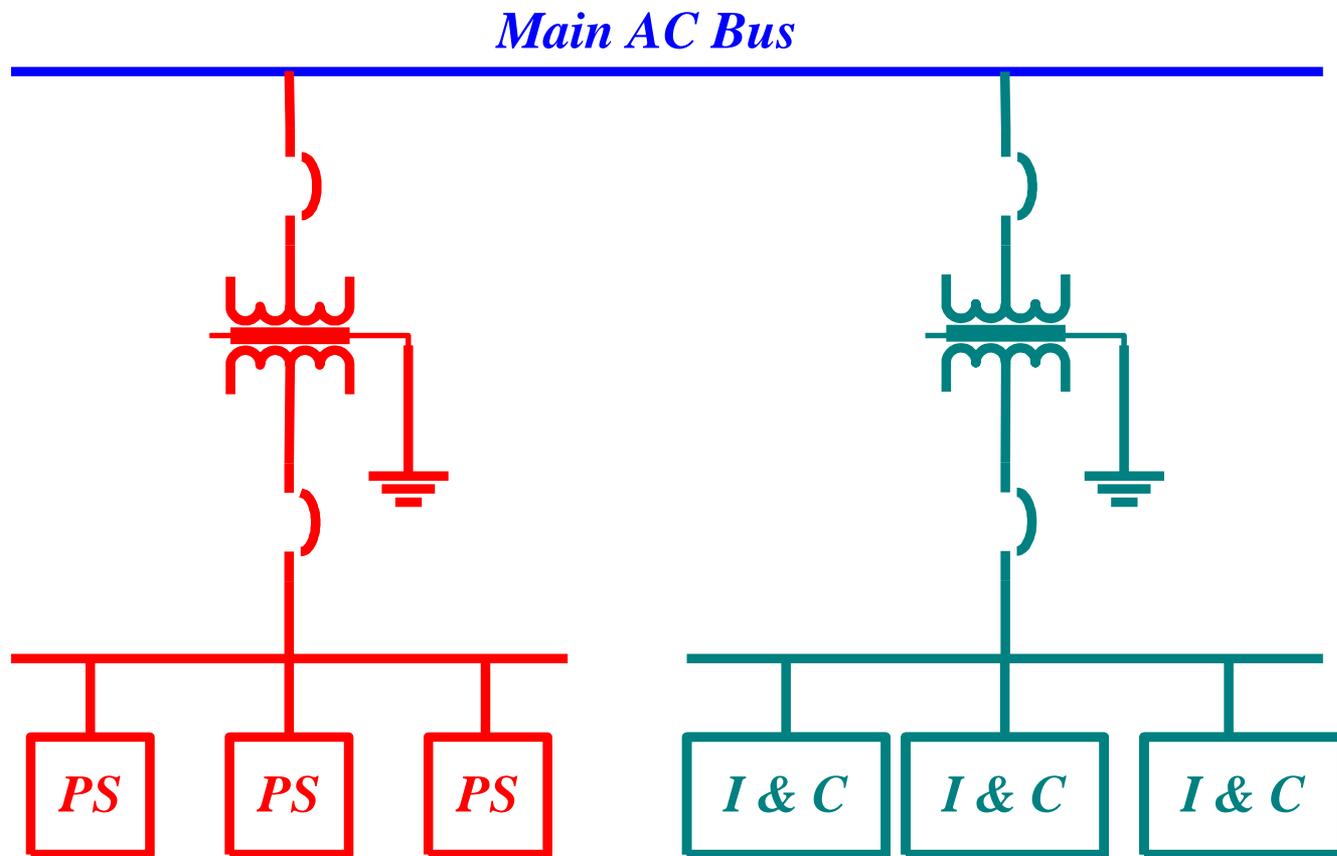


*Differential mode currents flow in opposite directions. Magnetic fields cancel, choke presents low impedance, low attenuation to noise*

*Common mode currents flow in same direction. Magnetic fields add, choke presents high impedance, high attenuation to noise*



## *EMC/EMI - Reducing Conducted Noise on Other Systems / Equipment*



- *Separate noisy power supplies from sensitive I & C loads by Faraday-shielded transformers to attenuate common mode noise*

## *EMC/EMI - Radiated Emissions*

### *Radiated emissions*

- *EMI radiated from cables, transformers, other components.*
- *Typically 30 MHz to > 1GHz. 30 MHz start because cables and other equipment are effective radiators of frequencies above 30 MHz*
- *Measured in  $\mu V / m$  or dB -  $\mu V / m$  ( Reference:  $1 \mu V / m = 0 \text{ dB}$  )*
- *Measured 3 m (residential) or 30 m (industrial) from the emitting equipment. TVs located within 3 m of computers in the home and within 30 m in the industrial setting. Limits 100 to 200  $\mu V / m$  are 1/10 of TV reception signal*
- *Industrial FCC Class A limits of 200  $\mu V / m$  are higher (less severe) than residential Class B because it is assumed that there will be an intervening wall between culprit and victim that will provide some shielding*

### *Test equipment used*

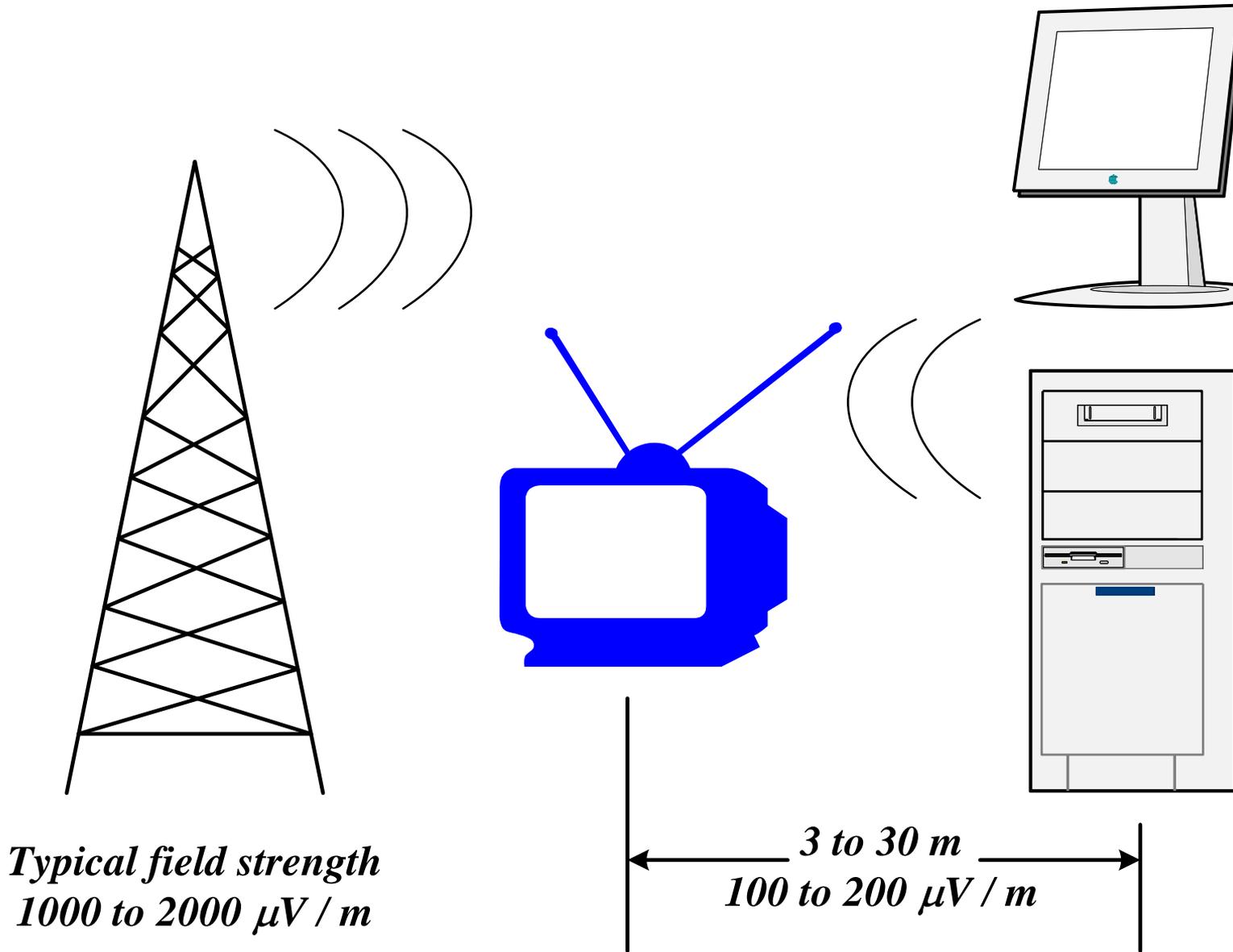
- *Spectrum Analyzers, rotating tables, conical and/or log periodic antennas and anechoic chambers designed to minimize reflections and absorb external EMI*

## *EMC/EMI - Radiated Emissions*

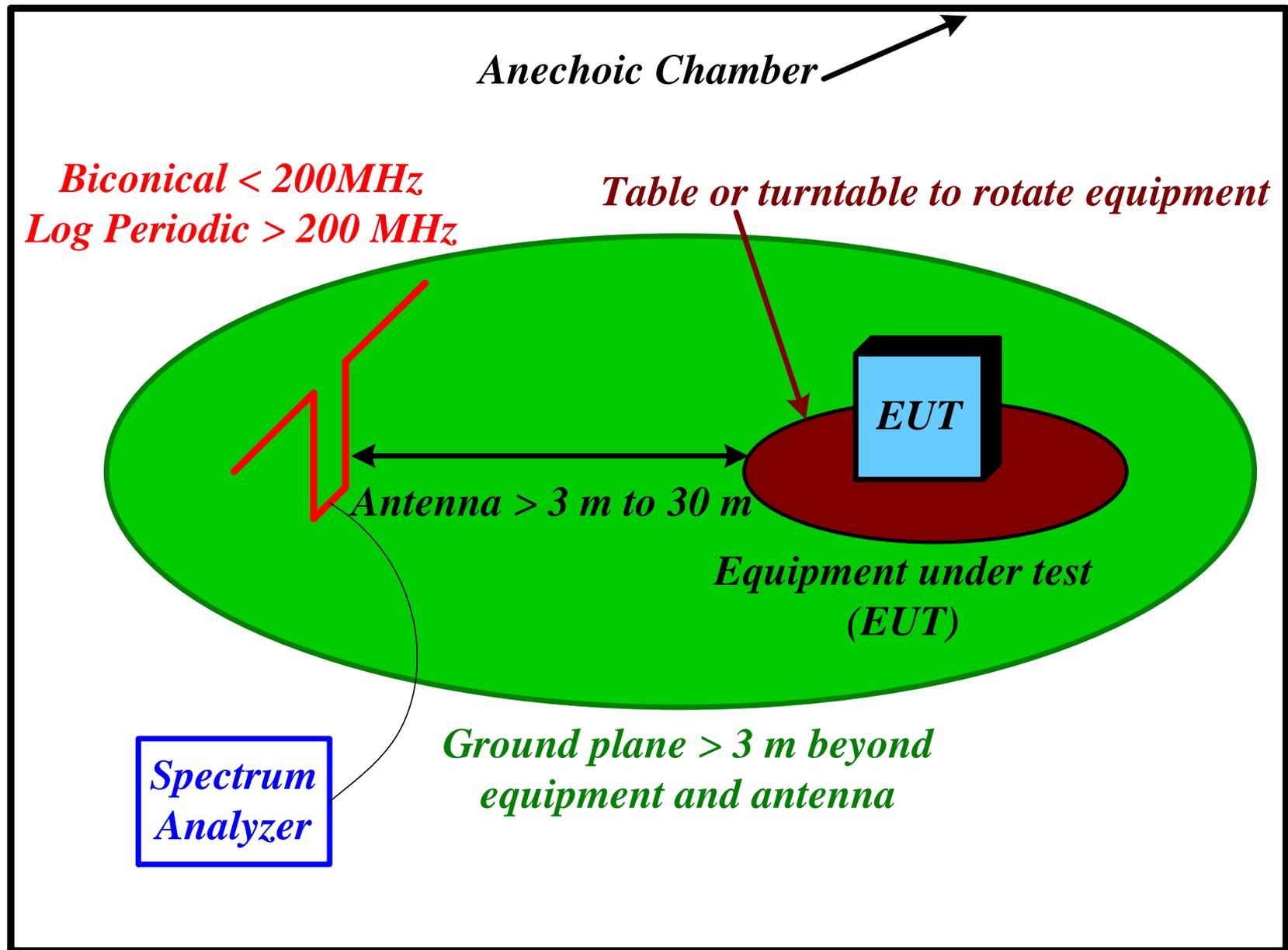
*Any component or cable  $> 1/2$  wavelength ( $\lambda$ ) will be an efficient radiating or receiving antenna*

<i>Cable Lengths Vs Wavelength</i>			
<i>Frequency</i>	<i><math>\lambda</math></i>	<i><math>1/2 \lambda</math></i>	<i><math>1/4 \lambda</math></i>
<i>10 kHz</i>	<i>30 km</i>	<i>15000 m</i>	<i>7500 m</i>
<i>100 kHz</i>	<i>3 km</i>	<i>1500 m</i>	<i>750 m</i>
<i>1 MHz</i>	<i>300 m</i>	<i>150 m</i>	<i>75 m</i>
<i>10 MHz</i>	<i>30 m</i>	<i>15 m = 50 ft</i>	<i>7.5 m = 25 ft</i>
<i>30 MHz</i>	<i>10 m</i>	<i>500 cm = 16 ft</i>	<i>2.5 m = 8 ft</i>
<i>100 MHz</i>	<i>3 m</i>	<i>150 cm = 5 ft</i>	<i>75 cm = 2.5 ft</i>
<i>1 GHz</i>	<i>30 cm</i>	<i>15 cm = 6 in</i>	<i>7.5 cm = 3 in</i>

# EMC/EMI - Basis For Industrial – Residential Emission Limits

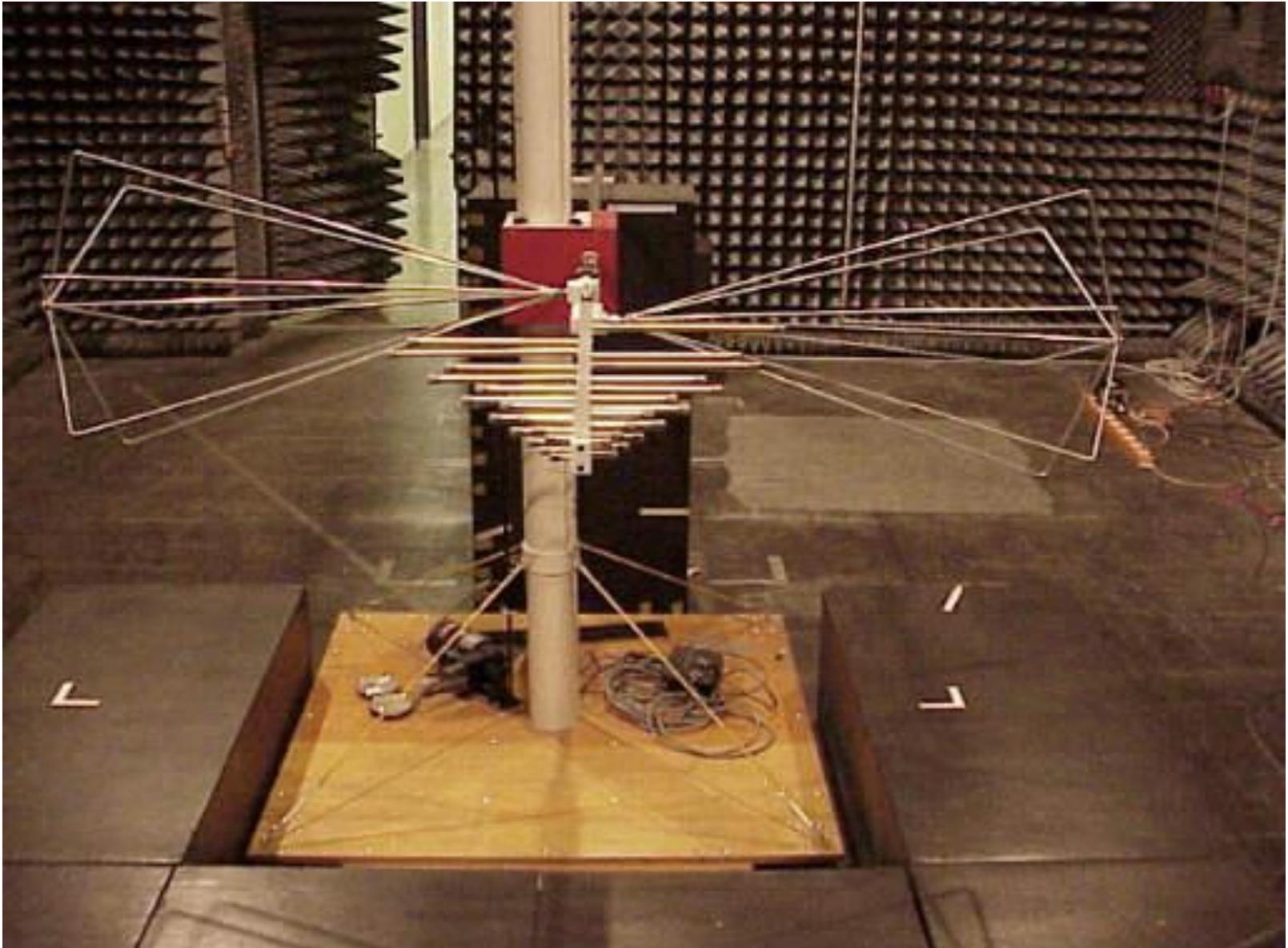


# EMC/EMI - Radiated Emissions Test Setup





## *EMC/EMI - Bi-Conical Antenna*





## *EMC/EMI - Log-Periodic Antenna*



## EMC/EMI - Radiated Noise Reduction – Small Loops

- $B = T = 10,000$  gauss

- $A = m^2$

*Faraday's Induced Voltage Law*

- $(T/s) * m^2 = V$

$$V = \oint E \cdot dl = -\frac{d\phi}{dt} = -\frac{dB}{dt} A \quad \text{Hint: Homework problem}$$

$V \propto \frac{dB}{dt}$  the magnitude and rate of change of flux density with time

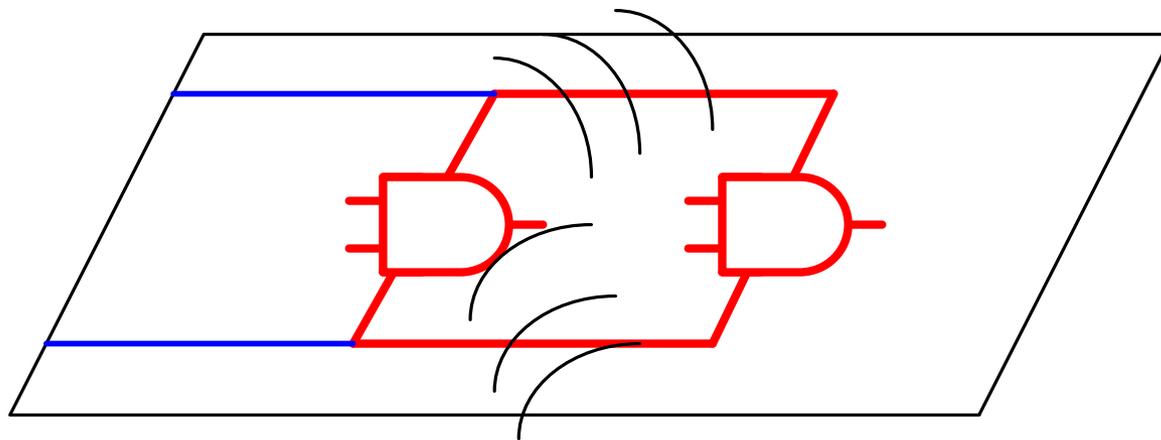
$V \propto A$  the area of the loop cut by flux

*Moral - minimize loop areas by:*

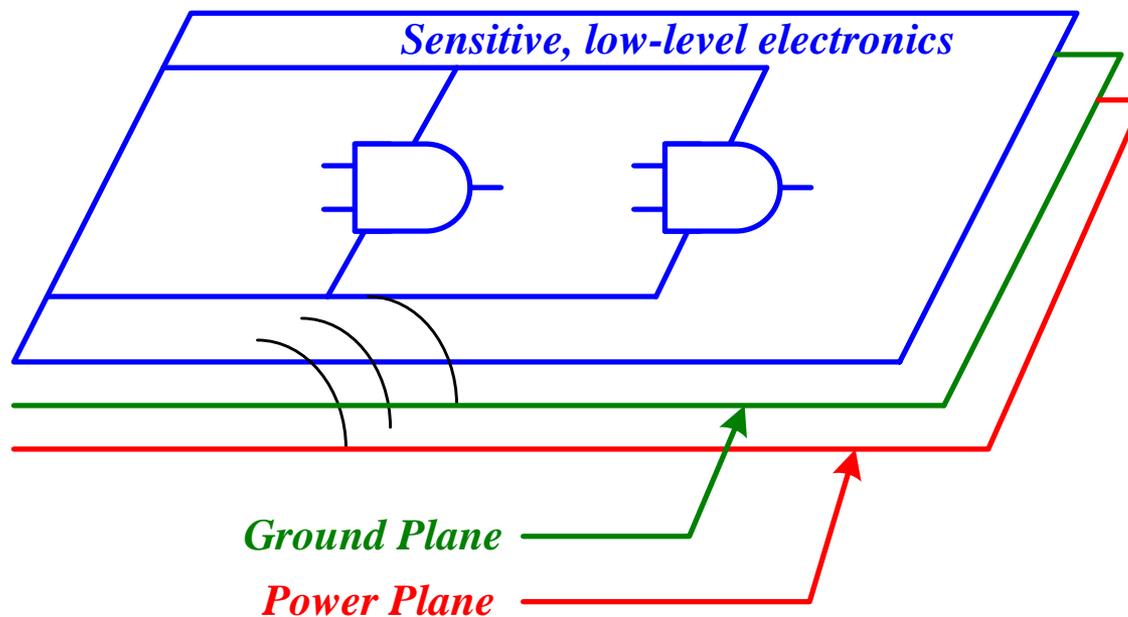
*running supply and return bus or cable conductors together*

*twisting cables whenever possible*

# EMC/EMI - Radiated Noise Reduction By PCB Small Loops



## Radiated Noise Reduction By PCB Ground Planes

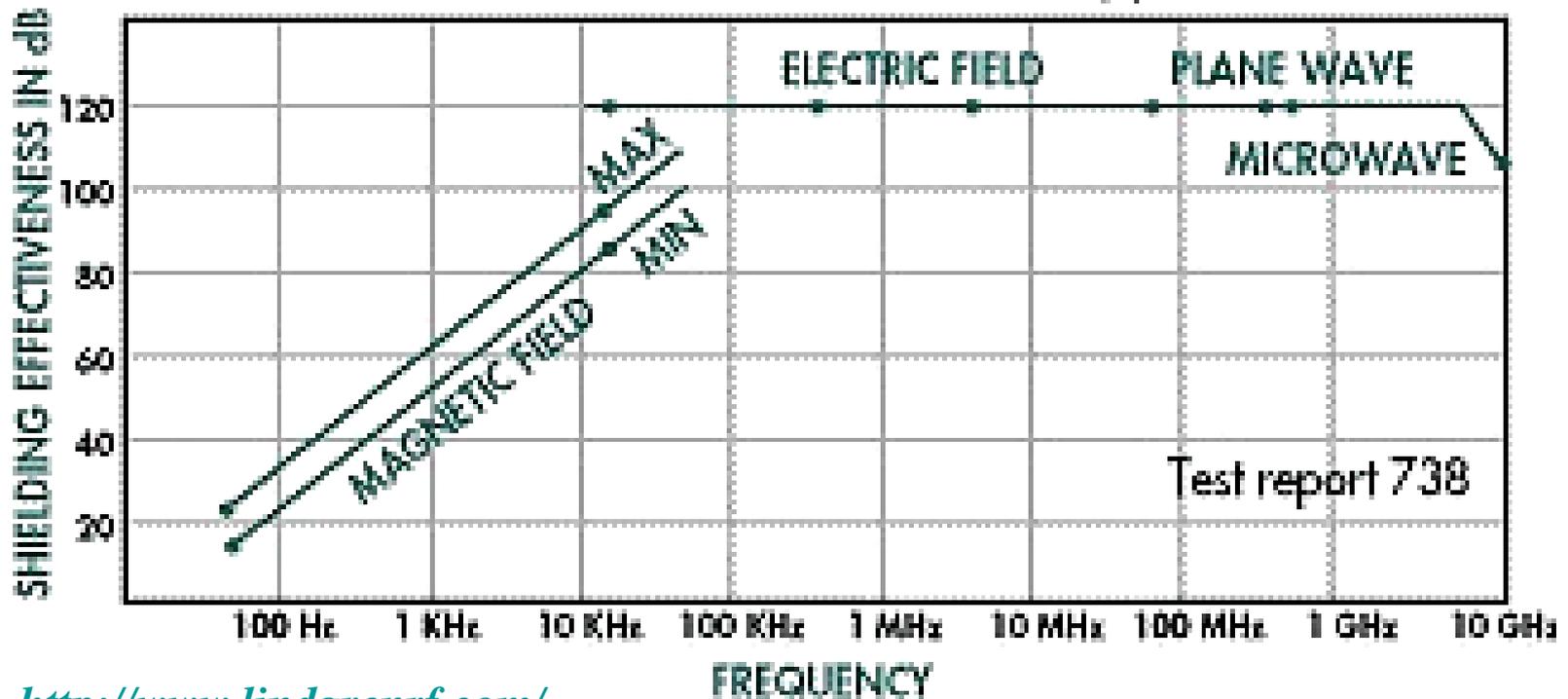


## EMC/EMI - Radiated Noise Reduction

Use shielded cables

Use shielded enclosures (if necessary for interior controls)

### 22 Ga. Galvanized Steel/24 Oz. Copper Enclosure



<http://www.lindgrenrf.com/>

*note – link no longer valid*

$$\delta = \frac{1}{\sqrt{\pi f \mu \sigma}}$$

### *Shielding*

- *Use ground planes extensively to minimize E and H fields*
- *If ribbon cable is used, employ and spread ground conductors throughout to minimize loop areas*
- *Avoid air gaps in transformer/inductor cores.*
- *Use toroid windings for air core inductors*
- *If shielding is impractical, then filter*

### *Filtering*

- *Use common mode chokes whenever practical*
- *Use EMI ferrites, not low-loss ferrites – useful frequency range 50 to 500 MHz. Be careful of DC or low-frequency current saturation*
- *Use capacitors and feed-through capacitors, separately or in conjunction with chokes/ferrites. Be mindful of capacitor ESR and inductance*



## *Homework Problem # 6*

*A uniform magnetic field  $B$  is normal to the plane of a circular ring 10 cm in diameter made of #10 AWG copper wire having a diameter of 0.10 inches. At what rate must  $B$  change with time if an induced current of 10 A is to appear in the ring? The resistivity of copper is about  $1.67 \mu\Omega \cdot \text{cm}$ .*

*Hint:  $R = \frac{\rho * L}{A}$*

## Power Factor - Calculation and Importance

### Single Phase System

$$S_{1\phi} = V_{\phi} I_{\phi}^* = P_{1\phi} + j Q_{1\phi}$$

$$|S_{1\phi}| = |V_{\phi}| |I_{\phi}| e^{j\alpha_V} e^{-j\beta_I}$$

$$|S_{1\phi}| = |V_{\phi}| |I_{\phi}| [\cos(\alpha_V - \beta_I) + j \sin(\alpha_V - \beta_I)]$$

$$P_{1\phi} = |V_{\phi}| |I_{\phi}| \cos(\alpha_V - \beta_I)$$

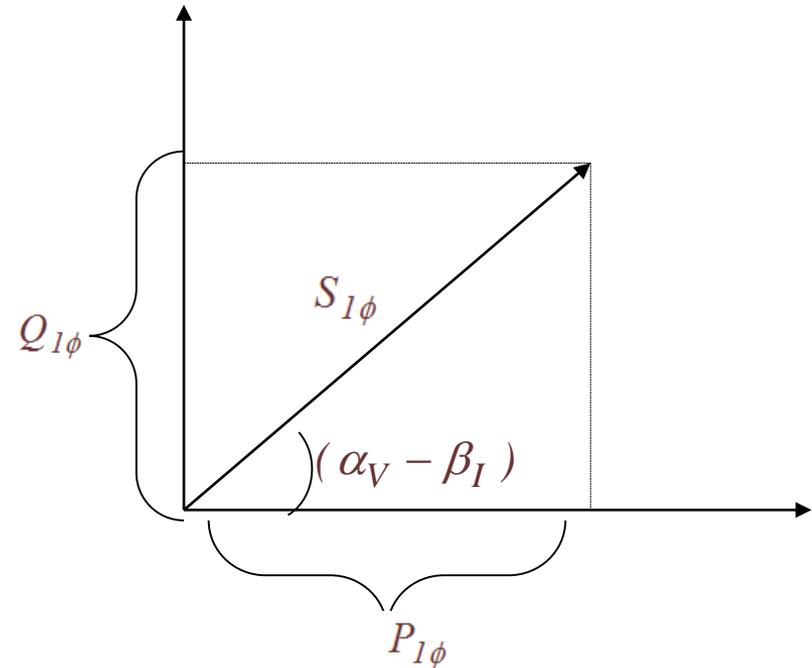
$$Q_{1\phi} = |V_{\phi}| |I_{\phi}| \sin(\alpha_V - \beta_I)$$

$$PF = \frac{|P_{1\phi}|}{|S_{1\phi}|} = \cos(\alpha_V - \beta_I)$$

$0 \leq PF \leq 1$ , leading or lagging, current is reference

$PF$  is not efficiency

$$Eff = \frac{P_o}{P_i}$$





## *Power Factor - Calculation and Importance*

*Balanced three Phase*

$$S_{3\phi} = 3V_{\phi} I_{\phi} = \sqrt{3} V_{LL} I_L$$

$$P_{3\phi} = 3V_{\phi} I_{\phi} \cos(\alpha_{I_{\phi}} - \beta_{V_{\phi}})$$

$$PF_{3\phi} = \frac{P_{3\phi}}{S_{3\phi}} = \cos(\alpha_{I_{\phi}} - \beta_{V_{\phi}})$$

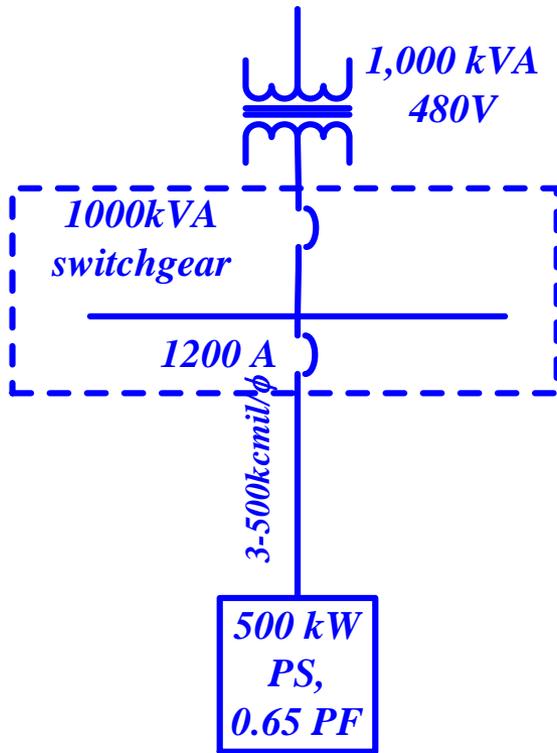
*Unbalanced three phase power*

$$S_{3\phi} = V_{\phi A} I_{\phi A} + V_{\phi B} I_{\phi B} + V_{\phi C} I_{\phi C}$$

$$P_{3\phi} = V_{\phi A} I_{\phi A} \cos(\alpha_{I_{\phi A}} - \beta_{V_{\phi A}}) + V_{\phi B} I_{\phi B} (\alpha_{I_{\phi B}} - \beta_{V_{\phi B}}) + V_{\phi C} I_{\phi C} (\alpha_{I_{\phi C}} - \beta_{V_{\phi C}})$$

$$PF_{3\phi} = \frac{P_{3\phi}}{S_{3\phi}}$$

## Power Factor is Important - Capital Equipment Cost

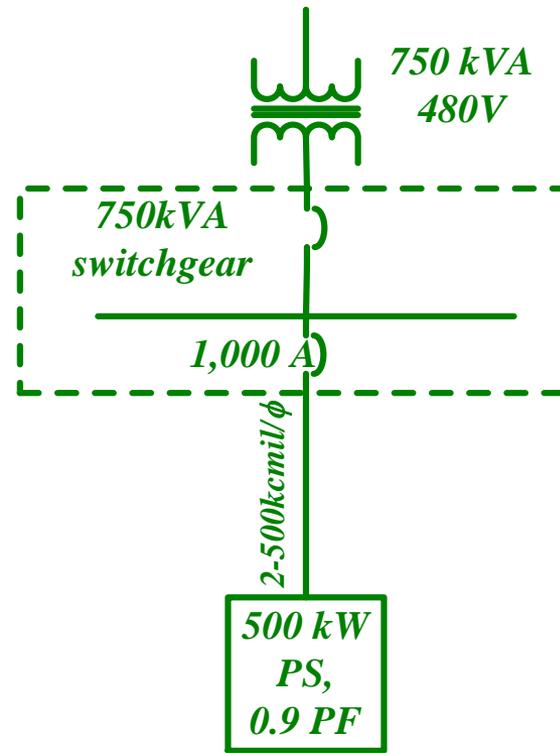


$$S = \frac{P}{PF} = \frac{500kW}{0.65} = 769kVA$$

$$I = \frac{769kVA}{\sqrt{3} * 480V} = 925A$$

$$I_{CB} = 925A * 1.25 = 1,156A, \text{ buy } 1200A$$

Buy 1000kVA switchgear/transformer



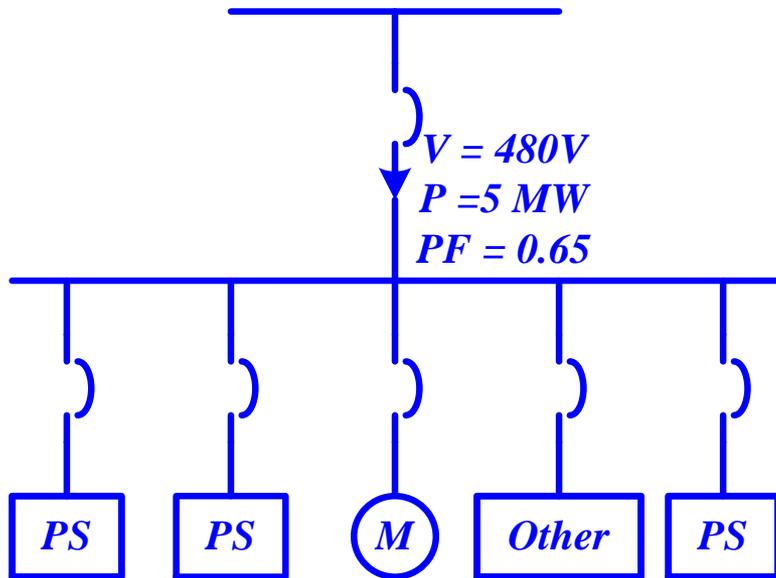
$$S = \frac{P}{PF} = \frac{500kW}{0.9} = 555kVA$$

$$I = \frac{555kVA}{\sqrt{3} * 480V} = 667A$$

$$I_{CB} = 667A * 1.25 = 834A, \text{ buy } 1000A$$

Buy 750kVA switchgear/transformer

## Power Factor is Important – Energy Cost



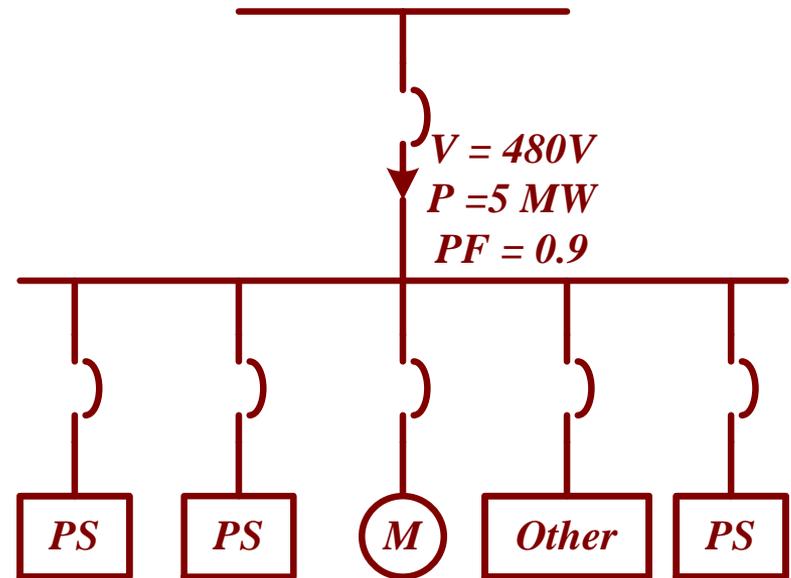
$$S = \frac{P}{PF} = \frac{5MW}{0.65} = 7.7MVA$$

$$\text{Electric rate} = \frac{\$0.06}{kW - Hr}$$

$$9 \text{ months} * \frac{30 \text{ days}}{\text{month}} * \frac{24 \text{ hr}}{\text{day}} = \frac{6480 \text{ hr}}{\text{yr}}$$

$$7.7MVA * \frac{\$0.06}{kW - Hr} * \frac{6480 \text{ hr}}{\text{yr}} = \frac{\$3.0M}{\text{yr}}$$

$$\frac{\$3M}{\text{yr}} * 20 \text{ yr} = \$60M$$



$$S = \frac{P}{PF} = \frac{5MW}{0.9} = 5.6MVA$$

$$\text{Electric rate} = \frac{\$0.06}{kW - Hr}$$

$$9 \text{ months} * \frac{30 \text{ days}}{\text{month}} * \frac{24 \text{ hr}}{\text{day}} = \frac{6480 \text{ hr}}{\text{yr}}$$

$$5.6MVA * \frac{\$0.06}{kW - Hr} * \frac{6480 \text{ hr}}{\text{yr}} = \frac{\$2.2M}{\text{yr}}$$

$$\frac{\$2.2M}{\text{yr}} * 20 \text{ yr} = \$44M$$

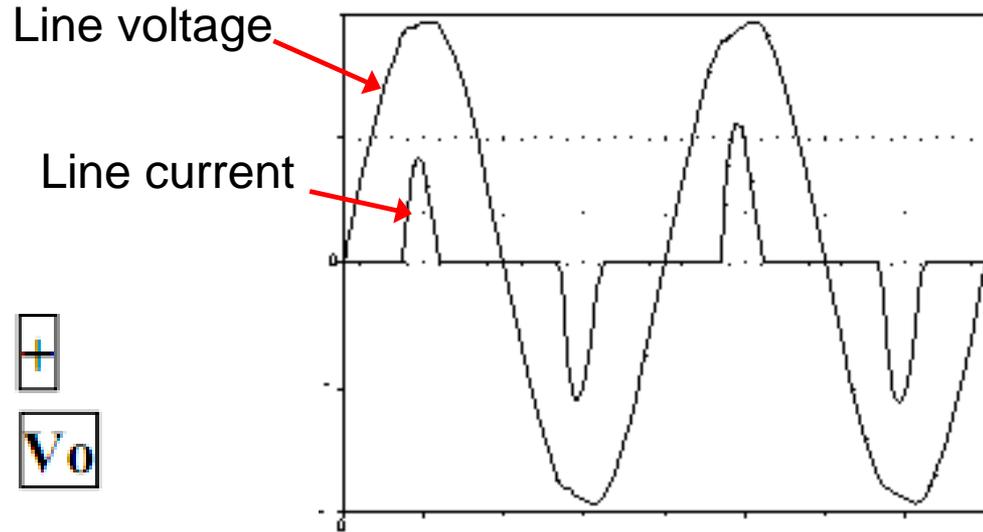
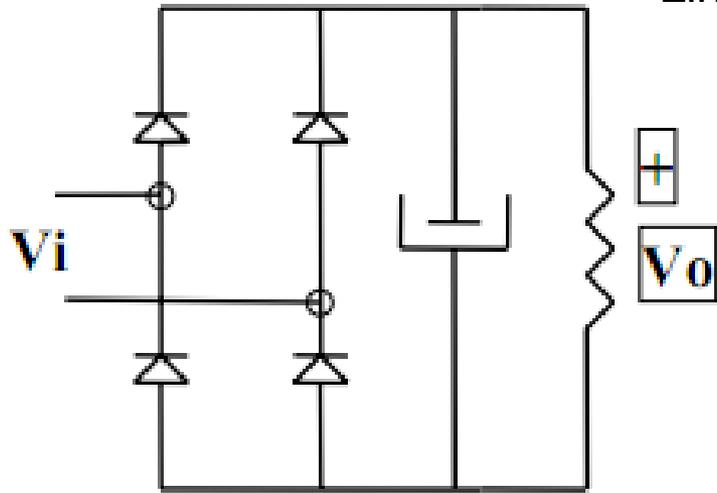


## *Power Factor Improvement*

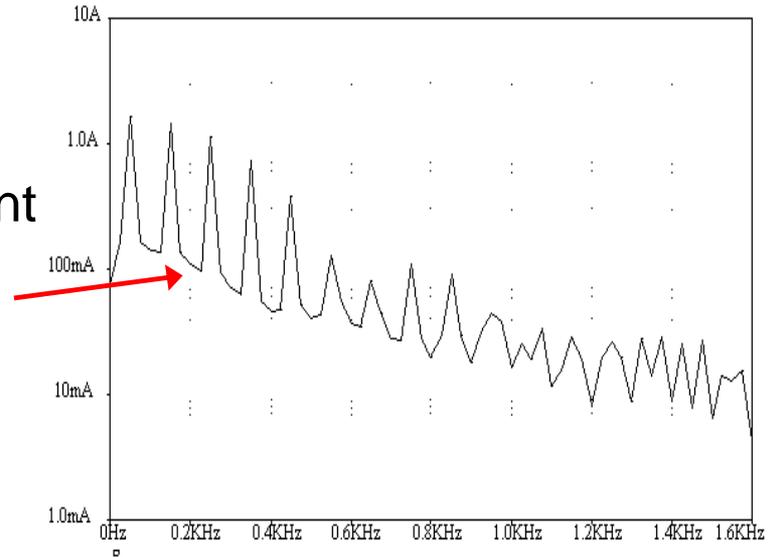
*Higher Power Factor Translates to:*

- *Lower apparent power consumption*
- *Lower equipment electrical losses*
- *Electrically/physically smaller equipment*
- *Less expensive equipment*
- *Lower electric bill*
- *Implies lower distortion of the line voltage and current*

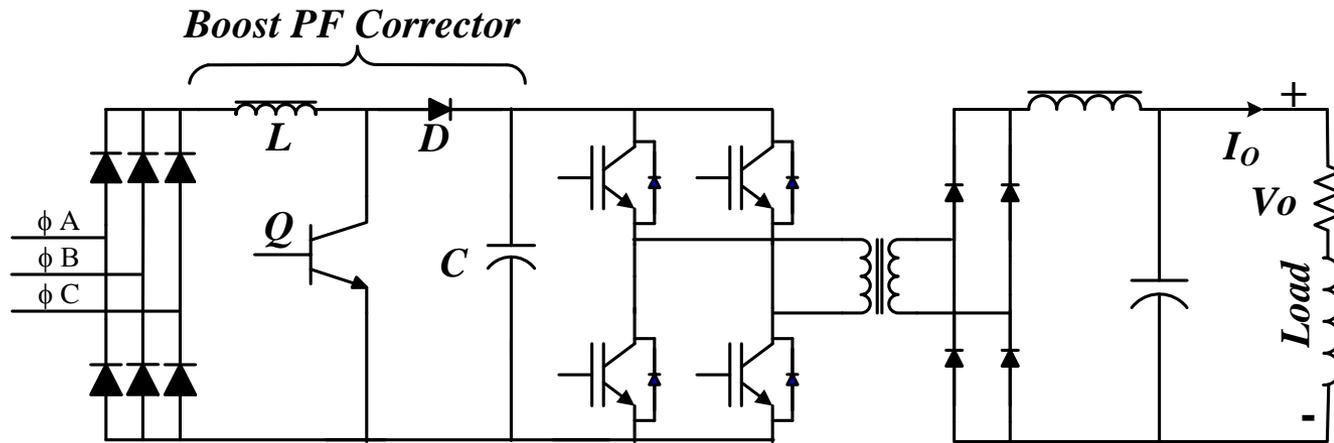
# Active Power Factor Correction Problem



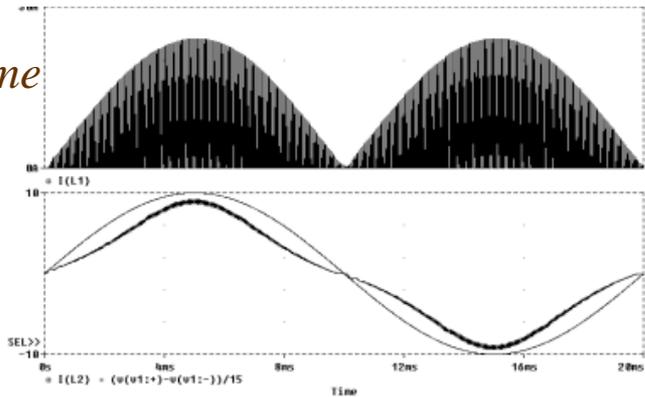
Line current harmonics



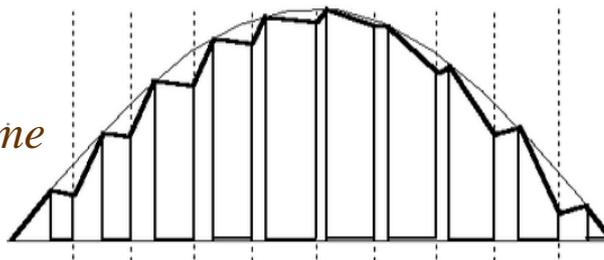
# Active Power Factor Correction, AC – DC Converter with PF Control



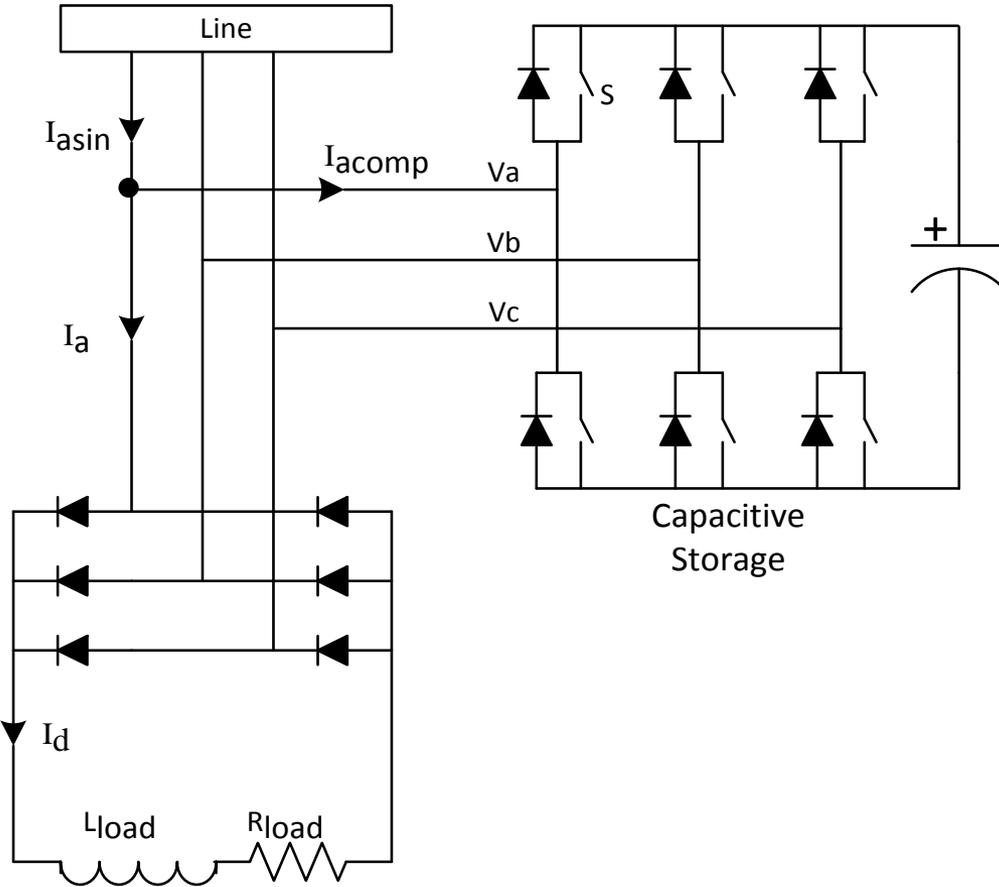
$I_c$  v. time



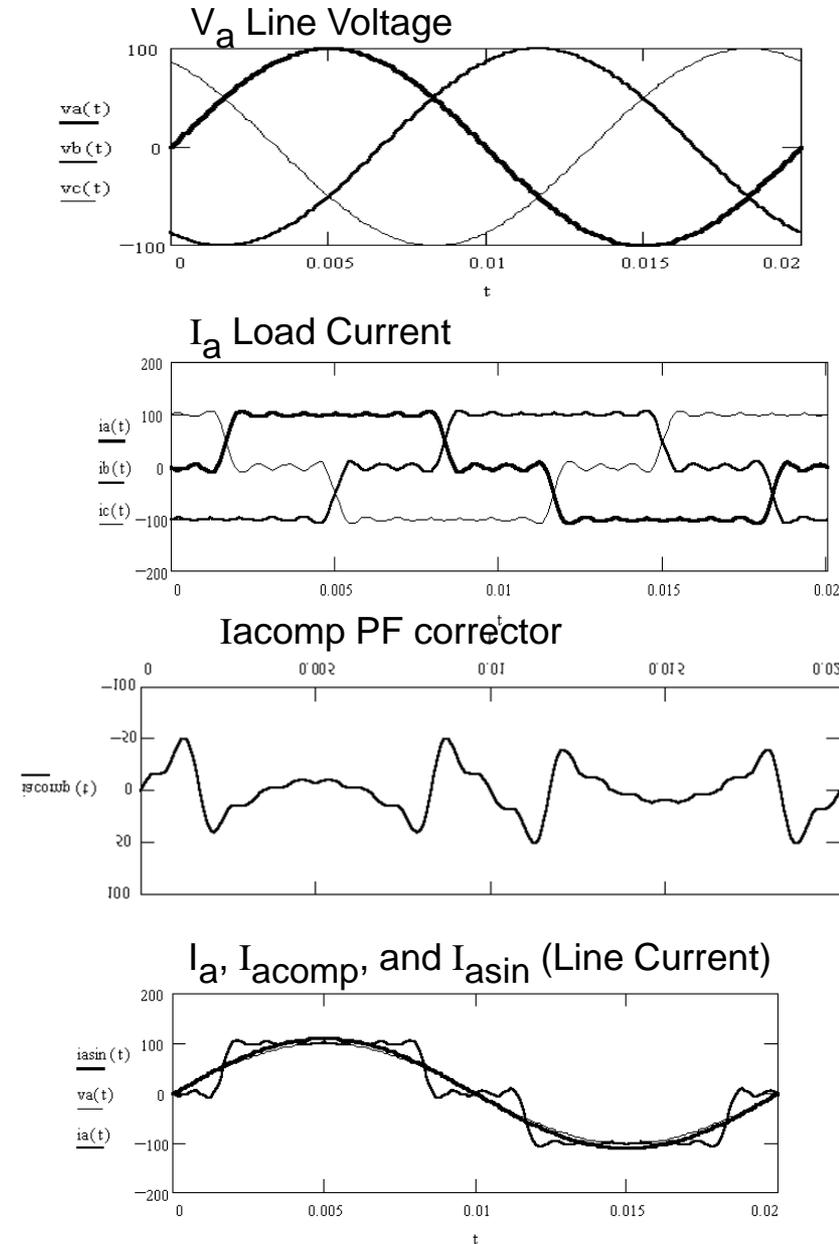
$V_{ab}, I_L, I_Q$  v. time



# Active Power Factor Correction - 3 Phase Systems

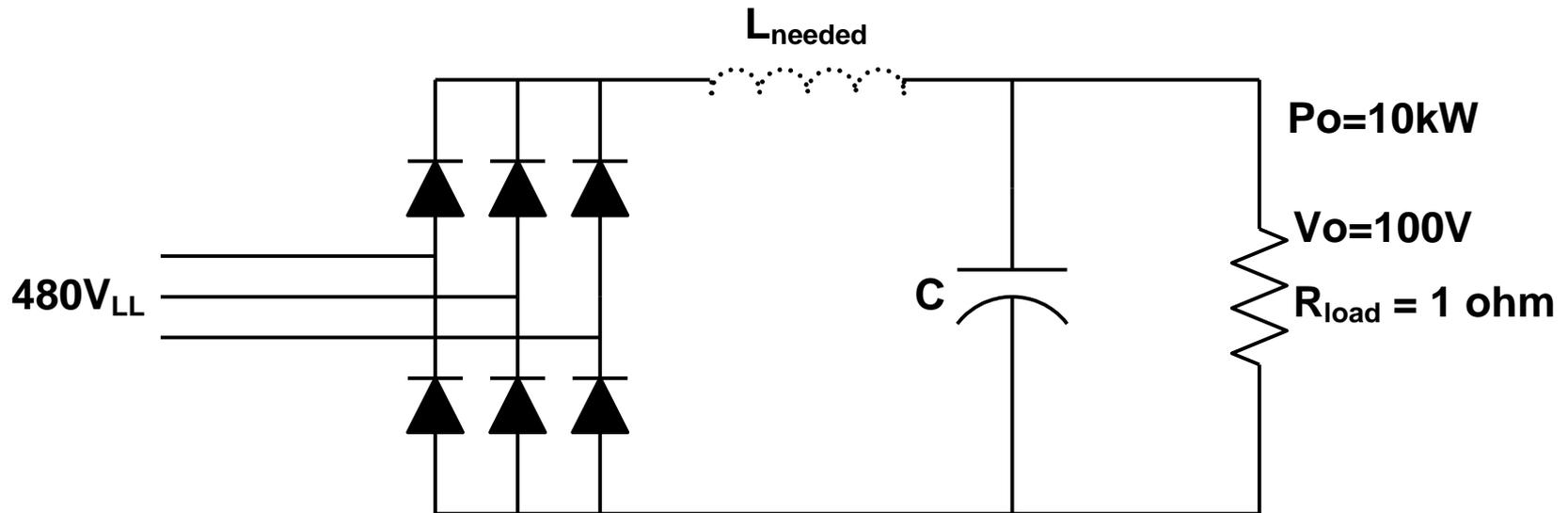


- *Appropriate switches (s) are rapidly opened and closed to control charging and discharging of the capacitor ( $I_{acomp}$ )*
- *From KCL,  $I_{asin} = I_a + I_{acomp}$*



## Homework Problem # 7

A 10kW, 3 phase power supply has an efficiency of 90% and operates with a leading power factor of 0.8. Determine the size of the inductor needed to improve the power factor to 1.0. Hint: the ripple frequency of the rectifier output is 360Hz.



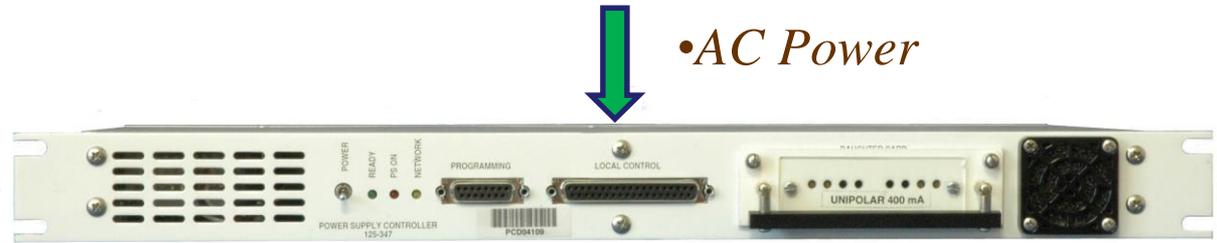


## *Section 6 – DC Power Supplies*

- *Power Supply Definition, Purpose, and Scope*
- *Rectifiers*
- *AC Controllers*
- *Voltage and Current Sources*
- *Linear Systems Disadvantage*
- *Switchmode DC Power Supplies*
  - *Advantages*
  - *Switch Candidates*
  - *Converter Topologies*
  - *Pulse Width Modulation*
  - *Conducting and Switching Losses*
  - *Resonant Switching*
- *High Frequency Transformers and Inductors*
- *Ripple Filters*
- *Other Design Considerations*
- *Power Supplies in Particle Accelerators*

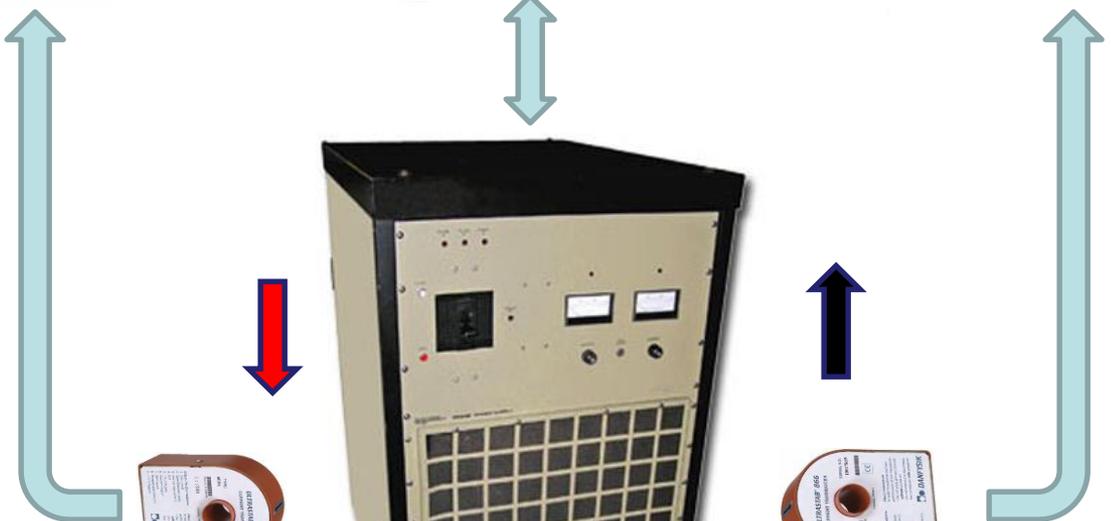
# A Typical DC Magnet Power System

• EPICS  
• (Ethernet)



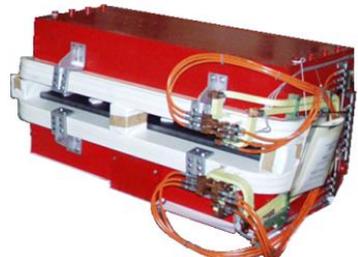
• AC Power

• Power Supply Controller



• Power Supply

• Feedback and Monitor Transducers



• Magnet



## *Power Supply Definition, Purpose, and Scope*

### *Definition*

- *A “DC power supply” is a device or system that draws uncontrolled, unregulated input AC or DC power at one voltage level and converts it to controlled and precisely regulated DC power at its output in a form required by the load*

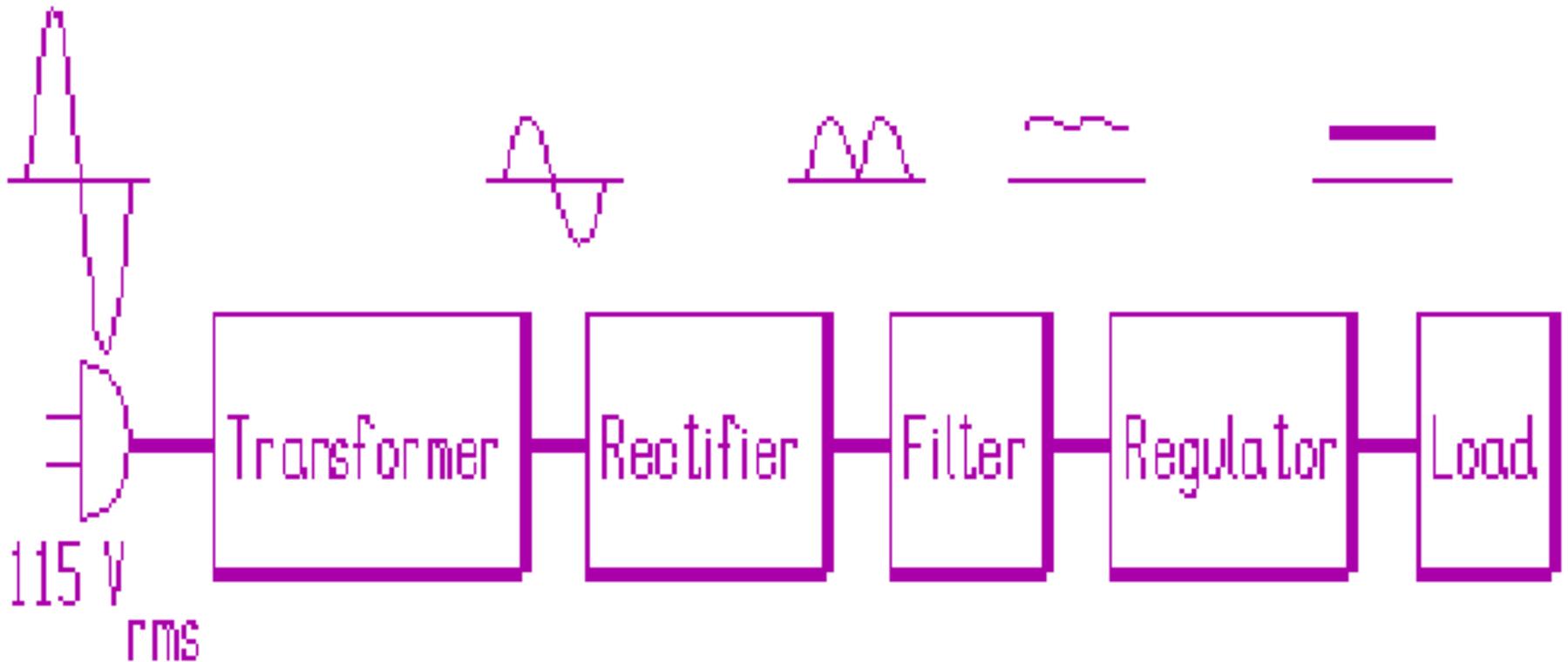
### *Purpose*

- *Change the output to a different level from the input (step-up or step-down)*
- *Rectify AC to DC*
- *Isolate the output from the input*
- *Provide for a means to vary the output*
- *Stabilize the output against input line, load, temperature and time (aging) changes*

### *Example*

- *120 VAC is available. The load is a logic circuit in a personal computer that requires regulated 5V DC power. The power supply makes the 120 V AC power source and 5V DC load compatible*

# Power Supply Definition, Purpose, and Scope - Block Diagram



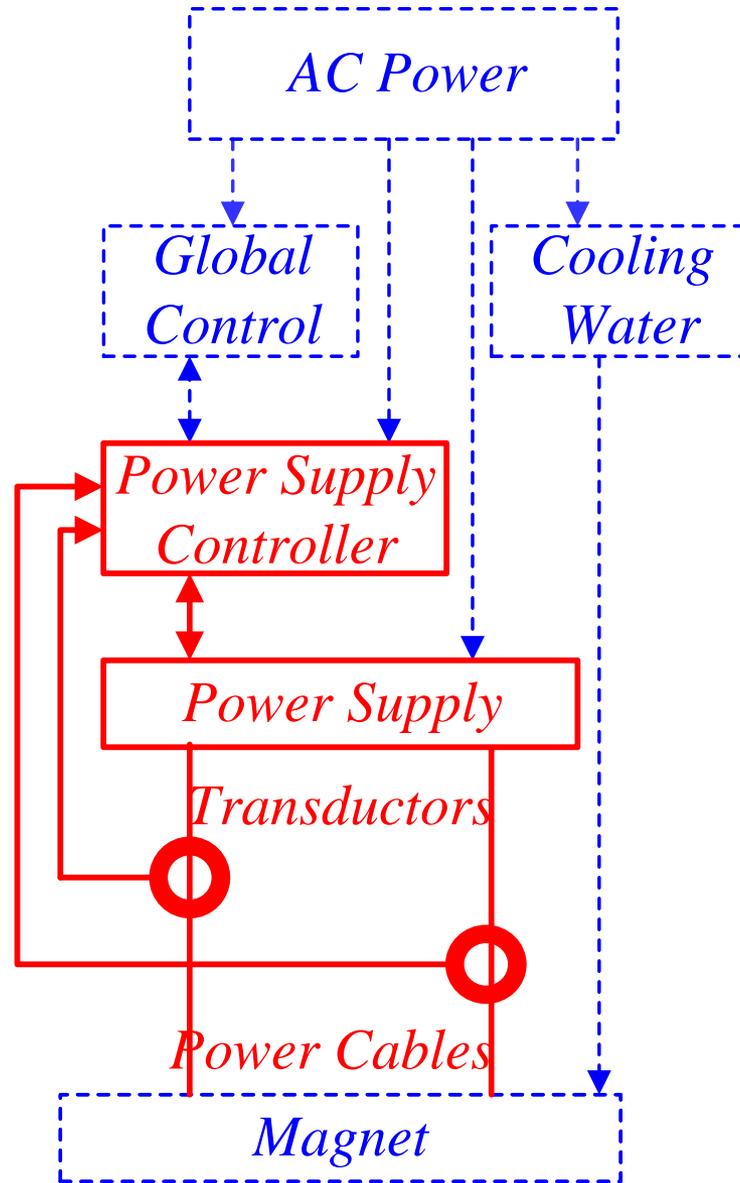
**Power Line  
EMI/EMC**

**Controls**

**Interlocks**

**Reliability**

◀ *Power Supply Definition, Purpose, and Scope – A DC Magnet Power System*





# Power Supply Definition, Purpose, and Scope – A DC Magnet Power System

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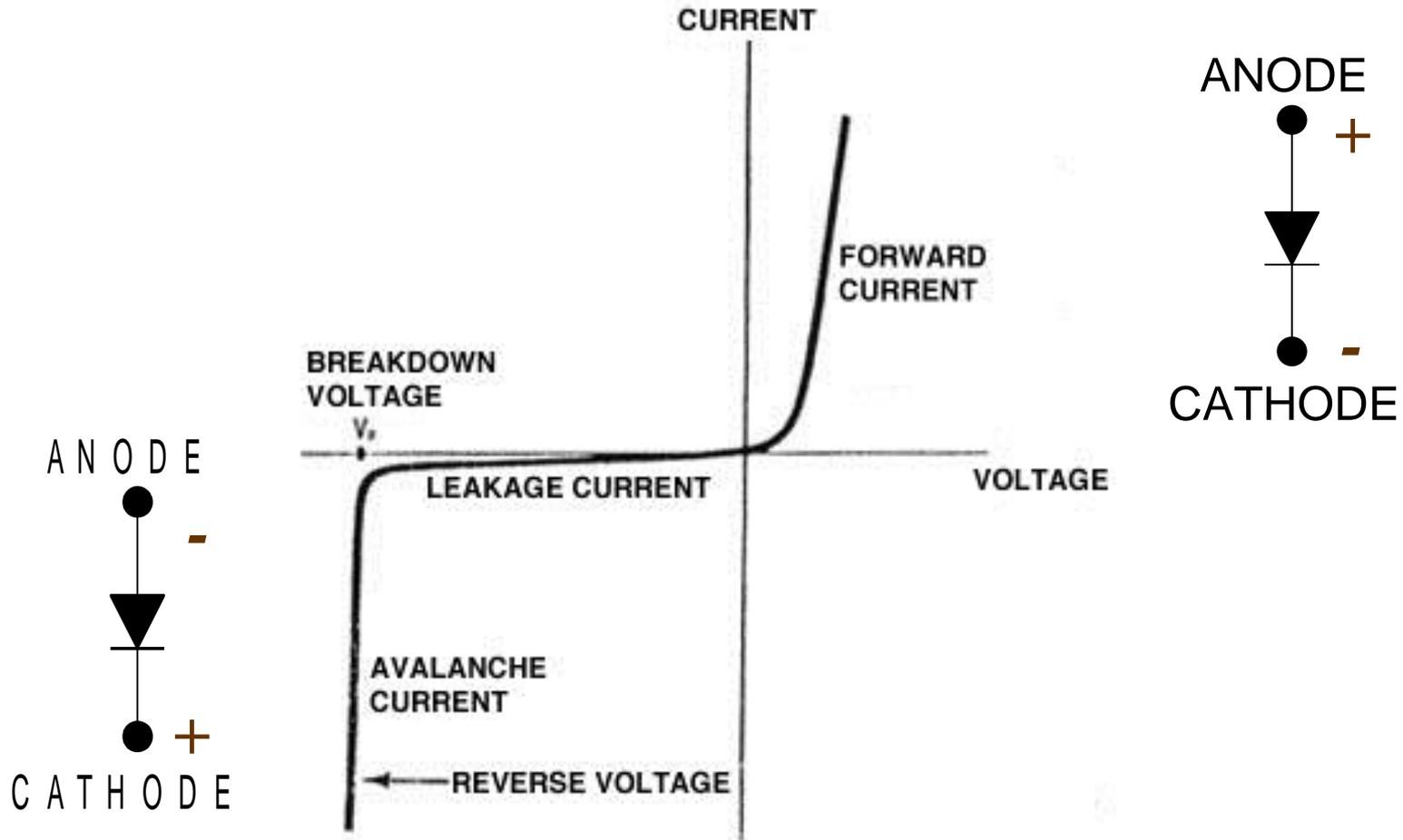
**Rackmount Series**  
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 • 902-9kV/s, 1-50kW  
 • LC1202-12kV/s, 1-30kW  
 • 202-20kV/s, 1-50kW  
 • 302-30kV/s, 1-50kW  
 • Turn-key HV Systems 60kW

## *Power Supply Definition, Purpose, and Scope – Characteristics*

*Some characteristics of the power supplies most often used in particle or synchrotron accelerators are:*

- They are voltage or current sources that use the AC mains (off-line) as their source of energy.*
- They can be DC-DC converters*
- They are not AC controllers.*
- They are not computer power supplies or printed circuit board converters*
- They have a single output.*
- The output voltage or current is not fixed (such as those used by the telephone and communications industry), but are adjustable from zero to the full rating*
- The DC output power ratings range from a few watts to several megawatts*
- Typical loads are magnets or capacitor banks*
- The bipolar power supplies discussed later are typically used for small corrector magnets are DC-DC converters fed from a common off-line power supply*
- They can have pulsed outputs as discussed later*

## Rectifiers - Diode Characteristics

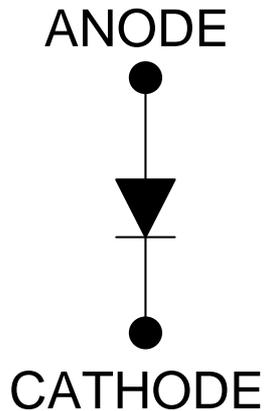


*In the reverse direction, there is a small leakage current up until the reverse breakdown voltage is reached*

*Forward voltage drop,  $V_f$ : a small current conducts in forward direction up to a threshold voltage, 0.3V for germanium and 0.7V for silicon*



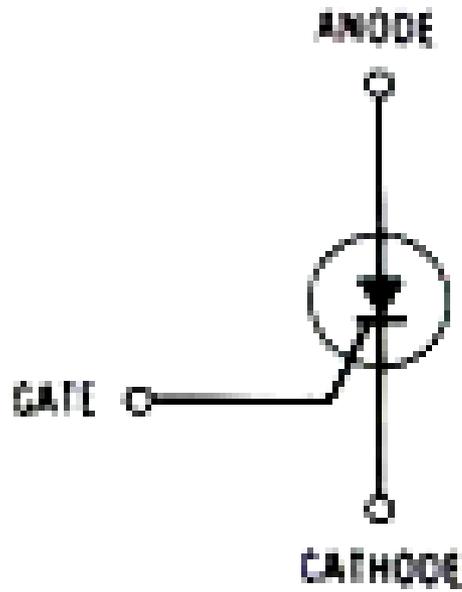
## Rectifiers - Diode Considerations



*Schematic representation*

- *Forward voltage drop,  $V_F$  or  $V_{F(AV)}$*
- *Forward current,  $I_F$  or  $I_{F(AV)}$*
- *Maximum reverse (blocking) voltage,  $V_R$*
- *Average reverse (leakage) current,  $I_{R(AV)}$*
- *Forward recovery time,  $t_{fr}$*
- *Reverse recovery time,  $t_{rr}$ , usually much less than  $t_{fr}$*
- *Peak surge current,  $I_{surge}$*
- *Cooling (air, water, oil, other)*
- *Package style*
- *$I = I_o \left( e^{\frac{qV}{nkT}} - 1 \right)$  Shockley equation*

## Rectifiers - Thyristors - Silicon Controlled Rectifier (SCR)

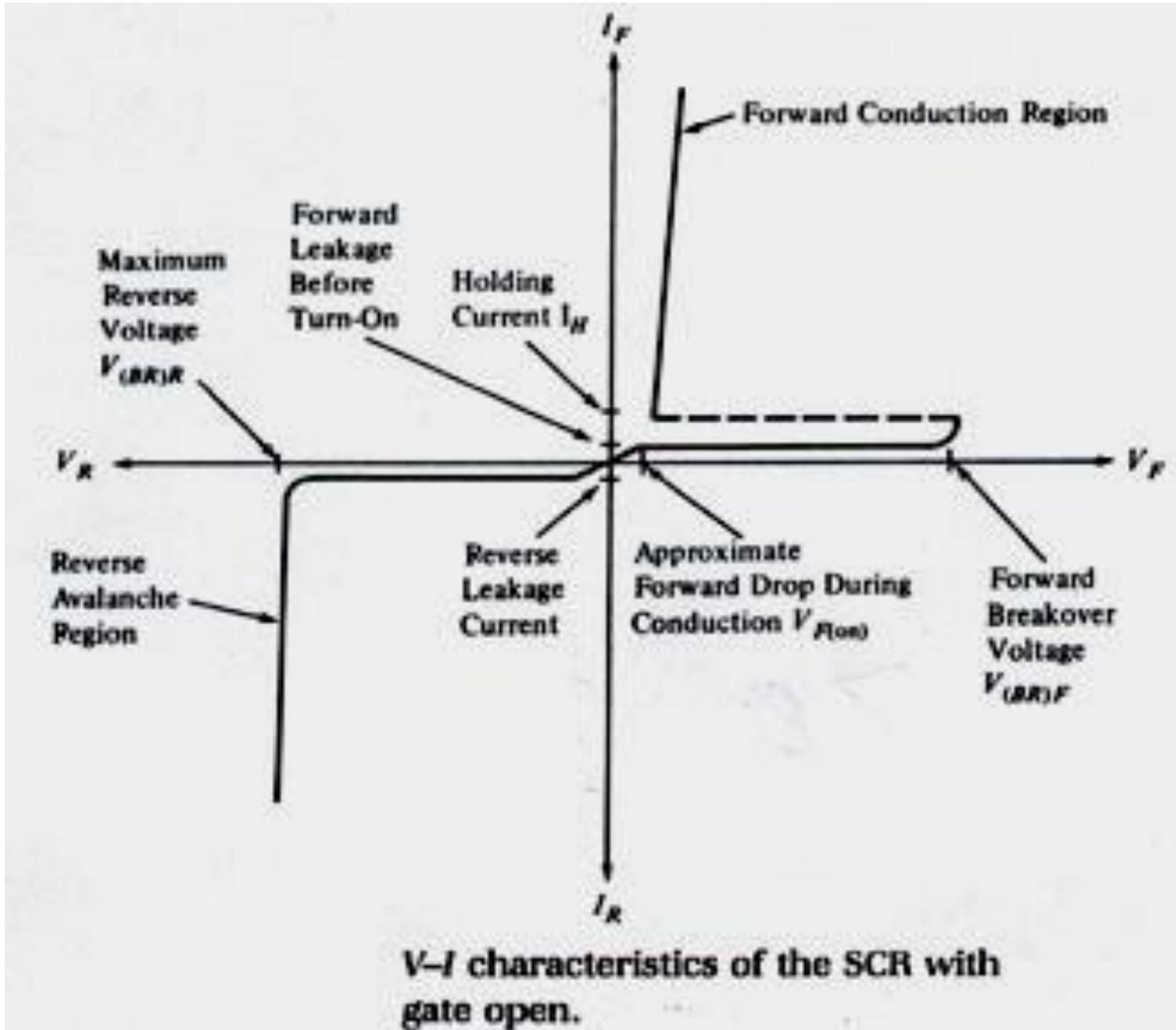


*Schematic representation*

### *SCR properties*

- *It is simply a conventional rectifier with turn on controlled by a gate signal*
- *It is controlled from the off to on states by a signal applied to the gate-cathode*
- *It has a low forward resistance and a high reverse resistance*
- *It remains on once it is turned on even after removal of the gate signal*
- *The anode-cathode current must drop below the “holding” value in order to turn it off*

# Rectifiers - SCR Characteristics





## *Rectifiers - SCR Considerations*

- *Maximum forward current*
- *Reverse breakdown voltage*
- *Gate trigger voltage and current*
- *Minimum holding current,  $I_h$*
- *Power dissipation*
- *Peak forward voltage*
- *Maximum reverse  $dv/dt$*



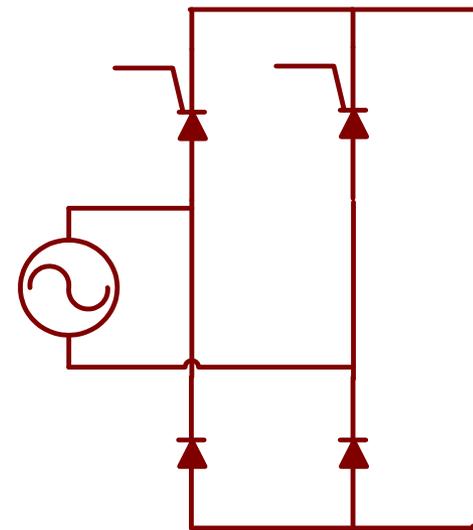
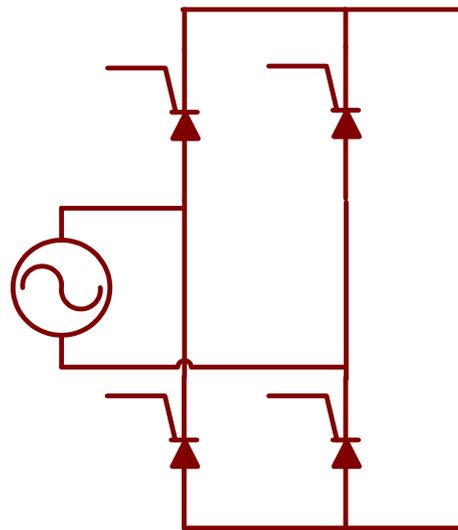
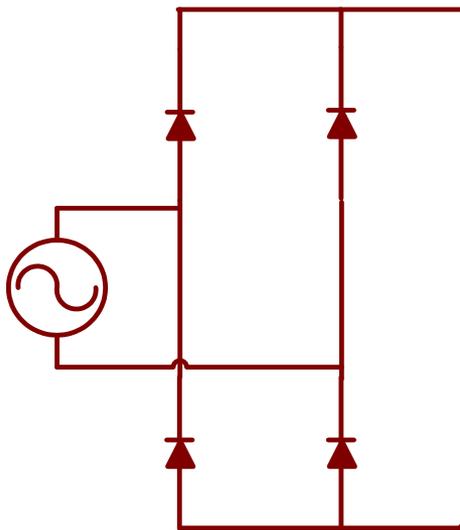
## *Rectifiers - General*

- *A rectifier converts ac voltage to dc voltage*
  - *Classifications*

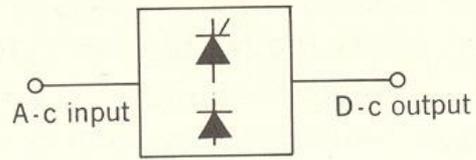
*Uncontrolled rectifiers (diodes)*

*Controlled rectifiers (all SCRs)*

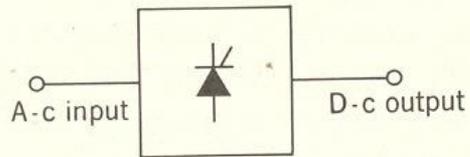
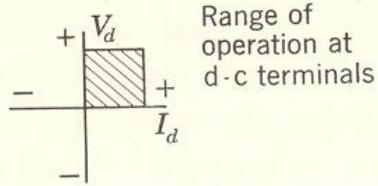
*Semi-controlled rectifiers (SCRs and diodes)*



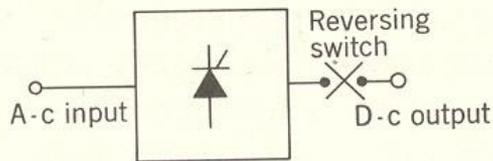
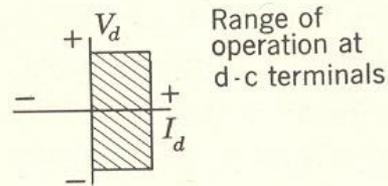
# Rectifiers - Multiple Quadrant Operation



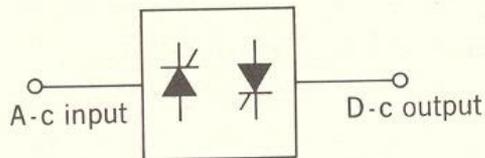
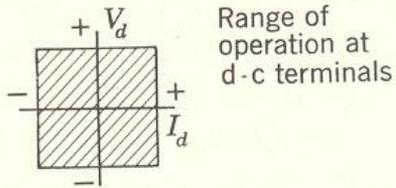
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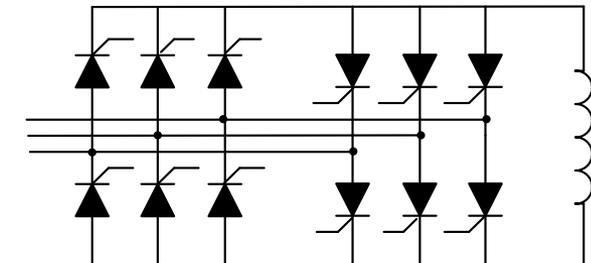
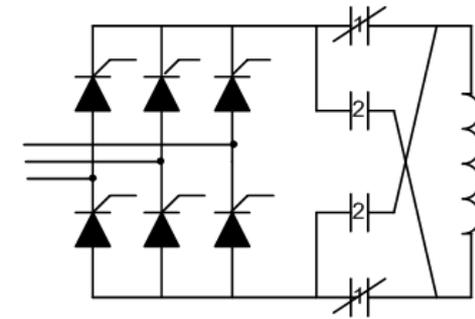
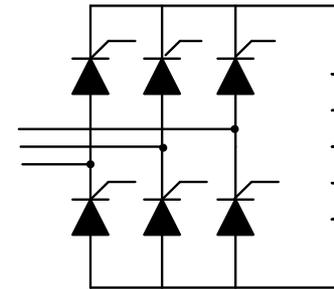
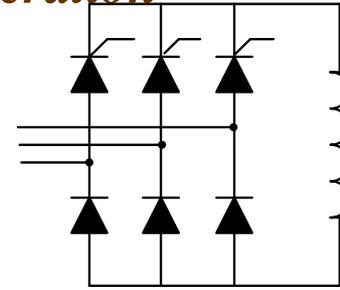
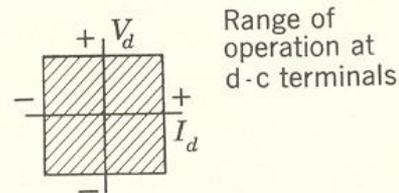
(b)



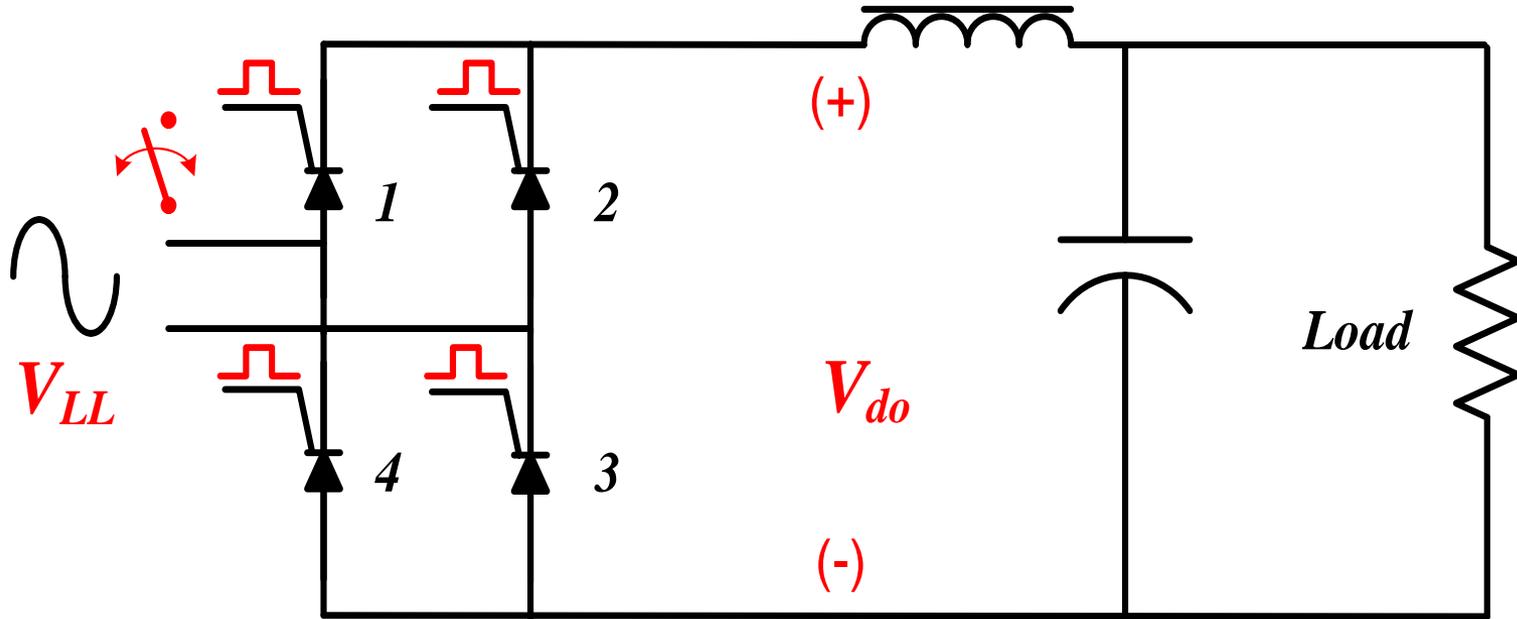
(c)



(d)

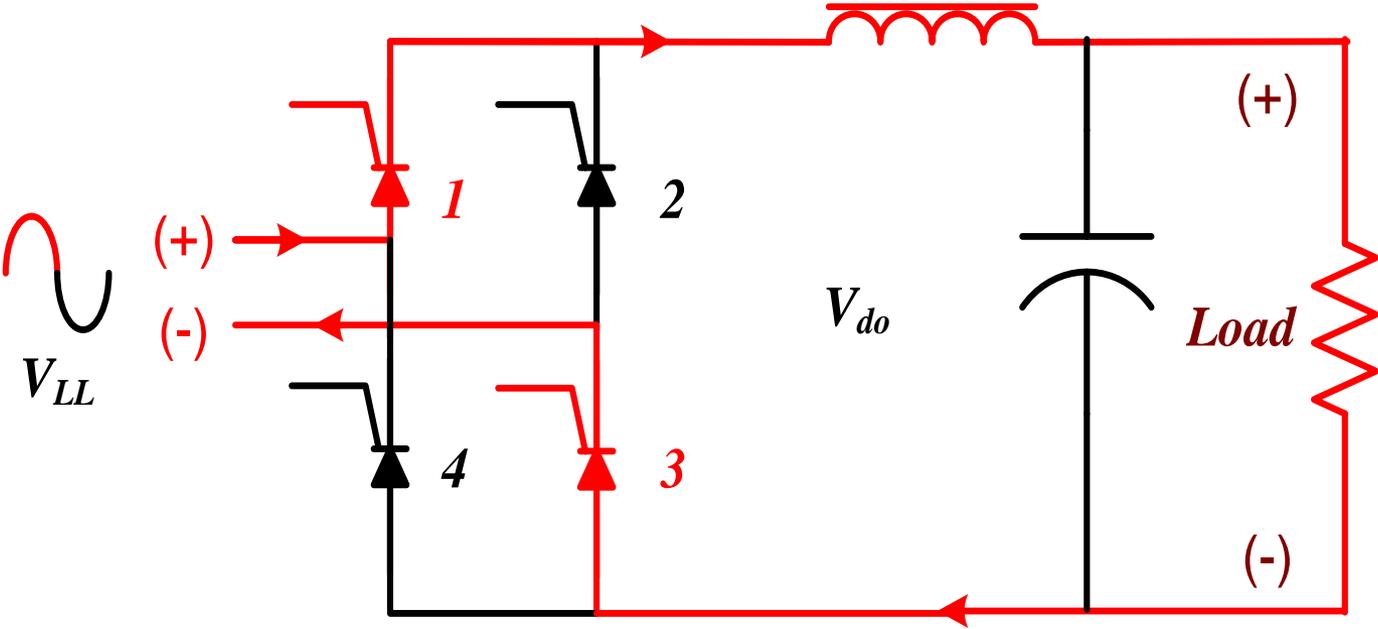


## Rectifiers - 1 $\phi$ Full Wave ( $q = 2$ Pulse)



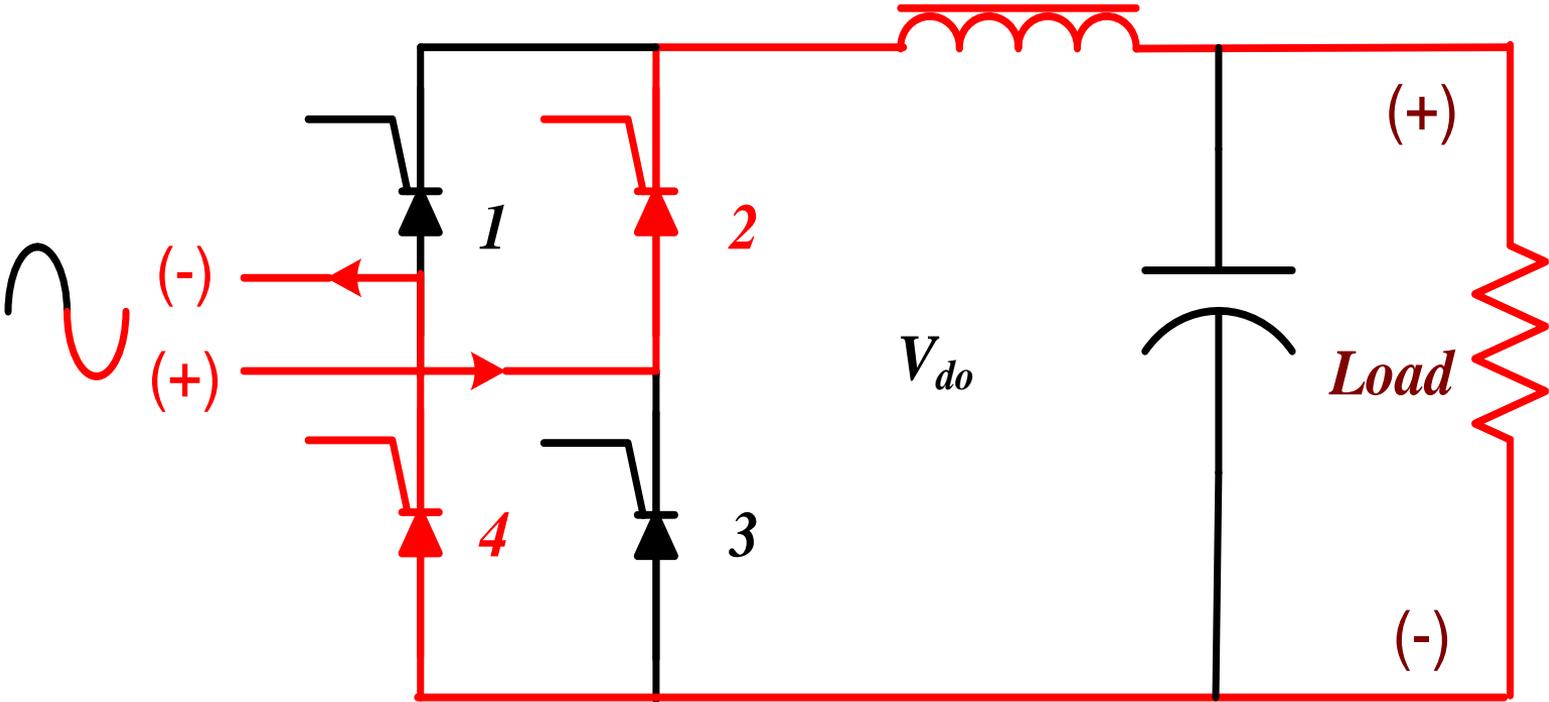
- $q \equiv$  the number of possible rectifier states
- SCR s are electronic switches

*Rectifiers - 1  $\phi$  Full Wave ( $q = 2$  Pulse)*



*State 1 : SCR s 1 – 3 On*

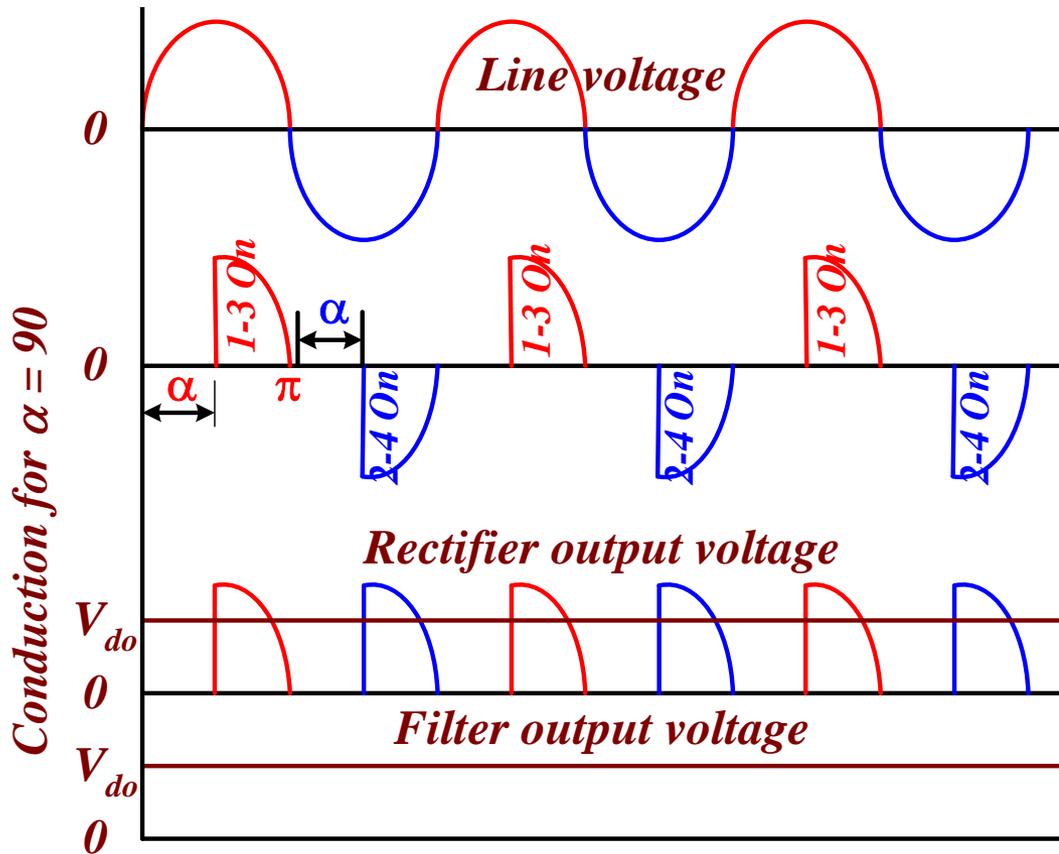
*Rectifiers - 1  $\phi$  Full Wave ( $q = 2$  Pulse)*



*State 2 : SCR s 2 – 4 On*



## Rectifiers - 1 $\phi$ Full Wave ( $q = 2$ Pulse)



$$V_{do} = \frac{1}{T} \int_t^T v_{LL}(t) dt = \frac{1}{T} \int_t^T \sqrt{2} V_{LL} \sin \omega t dt = \frac{1}{\omega T} \int_{\alpha}^{\omega T} \sqrt{2} V_{LL} \sin \omega t d\omega t$$

the SCR gate trigger retard angle range is  $0 \leq \alpha \leq \pi$

$$V_{do} = \frac{\sqrt{2} V_{LL}}{\pi} (1 + \cos \alpha) \text{ for resistive load}$$



## *Rectifiers - 1 $\phi$ , Full Wave ( $q = 2$ Pulse) Summary*

- *2 pulse rectifier – low input power factor, high output ripple*
- *Ripple frequency is 120 Hz (if input is 60 Hz)*
- *Large filter needed*
- *Limited in use to power supplies < 2.5 kW*

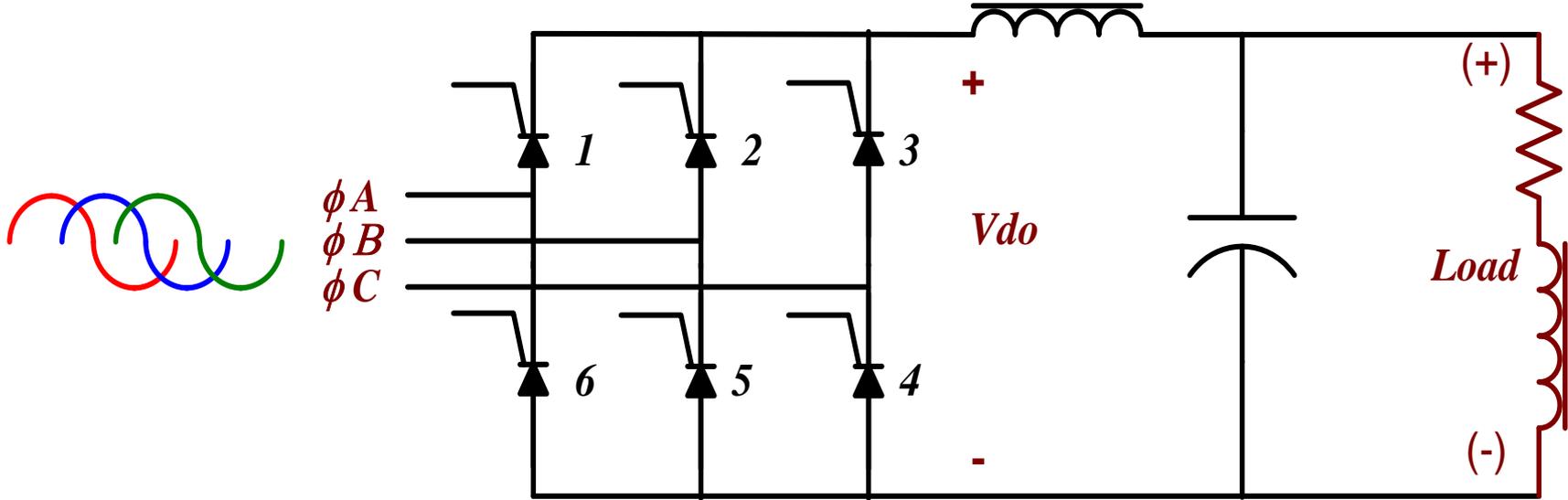
$$V_{do} = \frac{1}{T} \int_t^T v_{LL}(t) dt = \frac{1}{T} \int_t^T \sqrt{2} V_{LL} \sin \omega t dt = \frac{1}{\omega T} \int_{\alpha}^{\omega T} \sqrt{2} V_{LL} \sin \omega t d\omega t$$

*the SCR gate trigger retard angle range is  $0 \leq \alpha \leq \pi$*

$$V_{do} = \frac{\sqrt{2} V_{LL}}{\pi} (1 + \cos \alpha) \text{ for resistive load}$$



## Rectifiers - 3 $\phi$ , $q = 6$ Pulse



Assuming the American standard phase rotation of

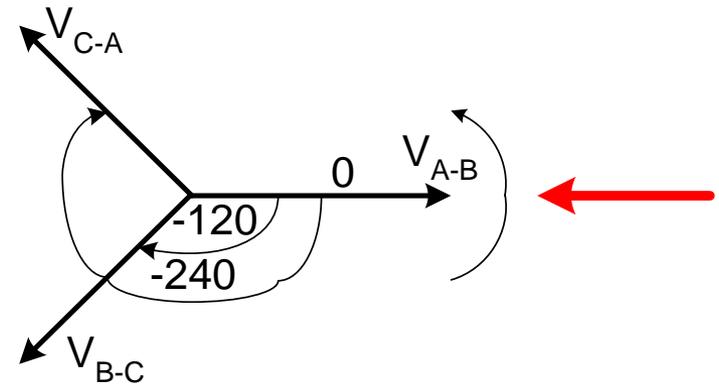
$$V_{A-B} = |V| e^{j0}$$

$$V_{B-C} = |V| e^{-j120}$$

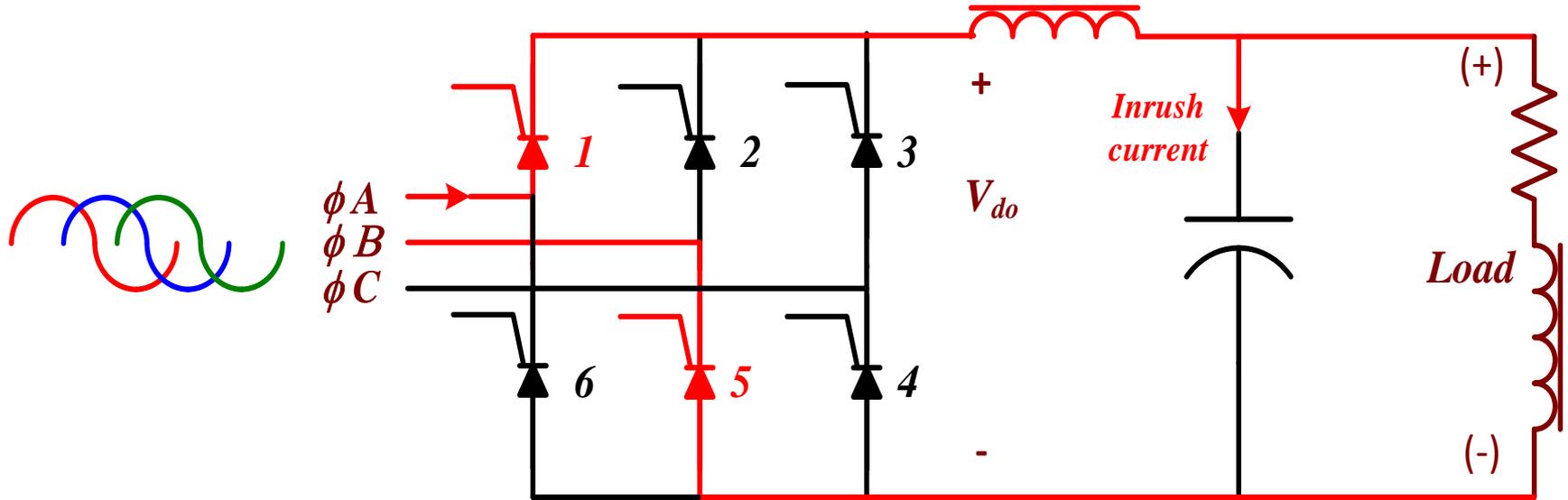
$$V_{C-A} = |V| e^{-j240}$$

The thyristor firing sequence is:

1-5, 1-4, 2-4, 2-6, 3-6, 3-5



## Rectifiers - 3 $\phi$ , $q = 6$ Pulse

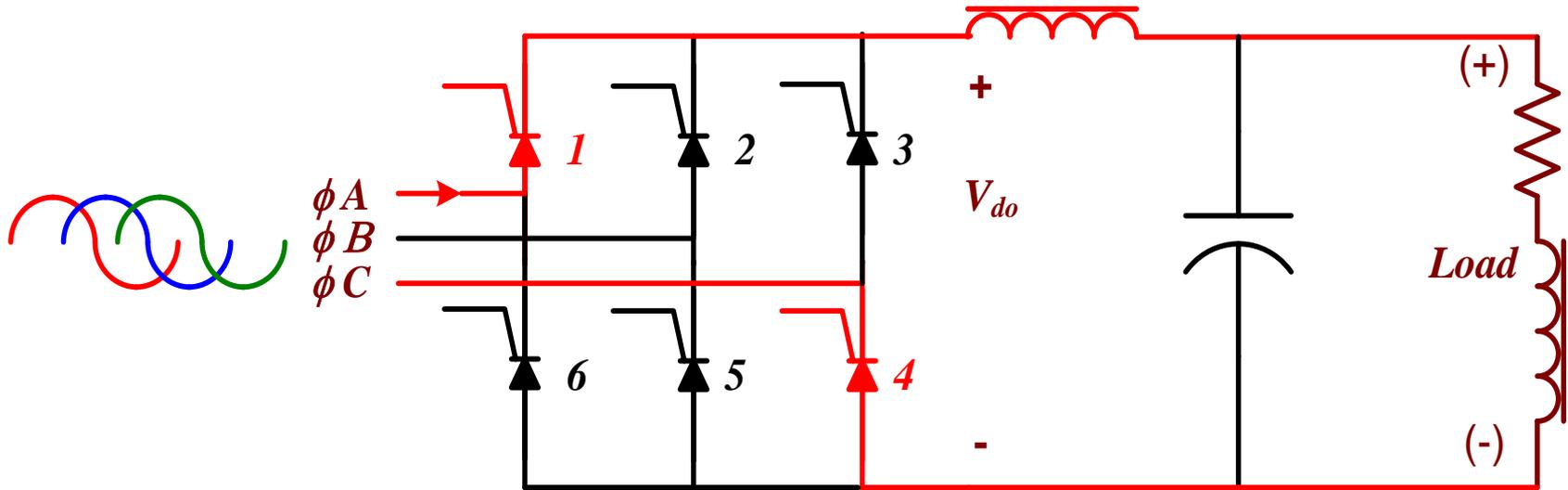


*State 1: A-B (+) SCR s 1 – 5 On*

*Note: Phase SCRs from full retard to full forward slowly to bring the rectifier output voltage up slowly and reduce the capacitor inrush current*



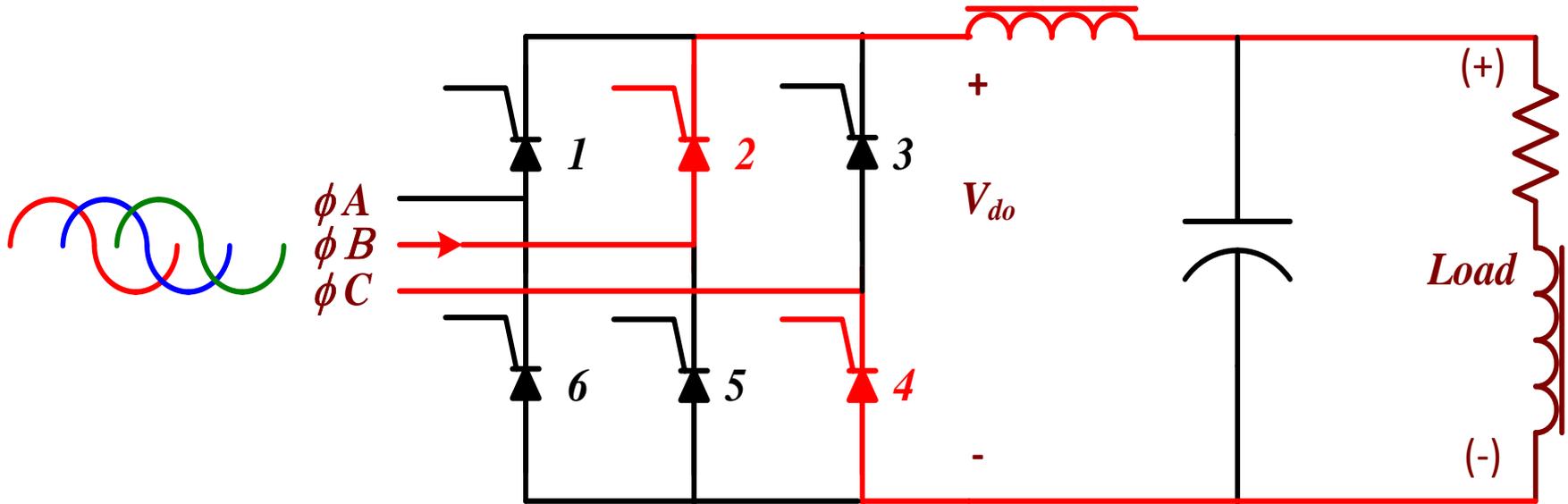
## Rectifiers - 3 $\phi$ , $q = 6$ Pulse



*State 2 : A-C (+), 5 off, SCR s 1 – 4 On*



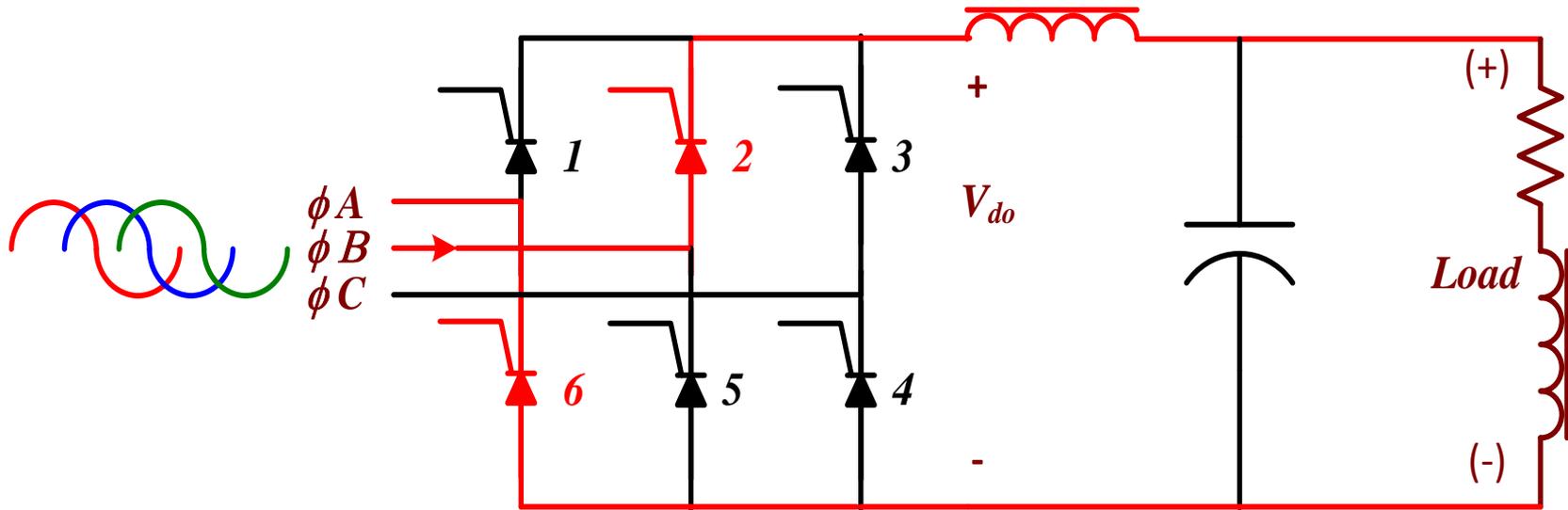
## Rectifiers - 3 $\phi$ , $q = 6$ Pulse



*State 3 : B-C (+), 1 off, SCR s 2 – 4 On*



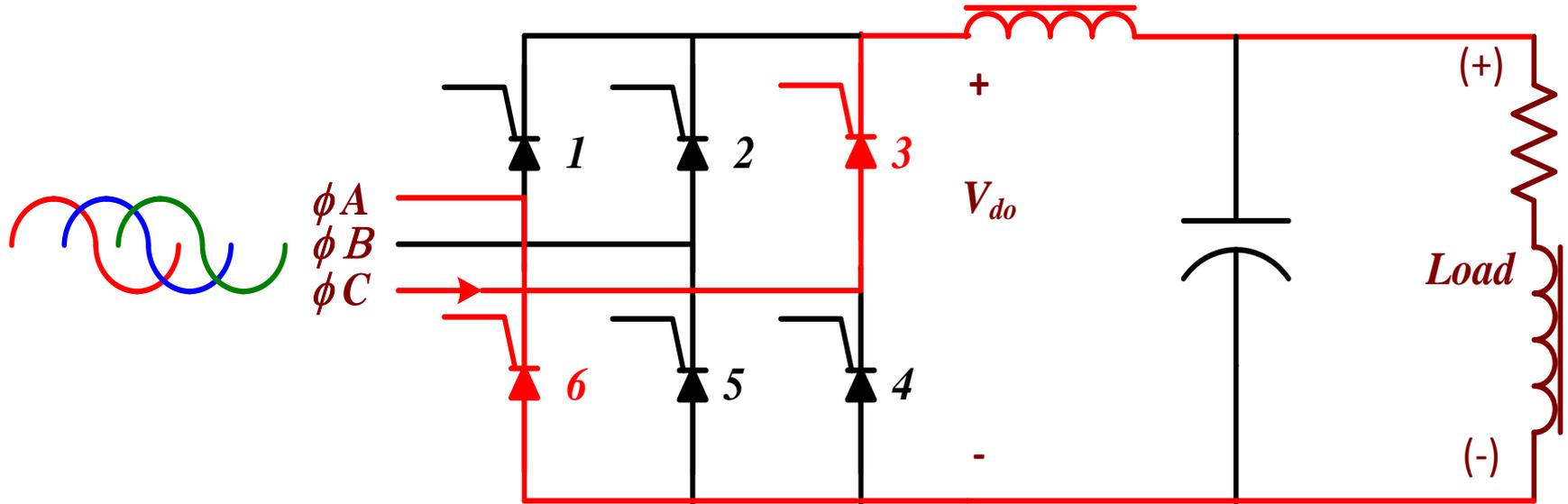
### 3 $\phi$ , $q = 6$ Pulse Rectifier



*State 4 : B-A (+), 4 off, SCR s 2 – 6 On*



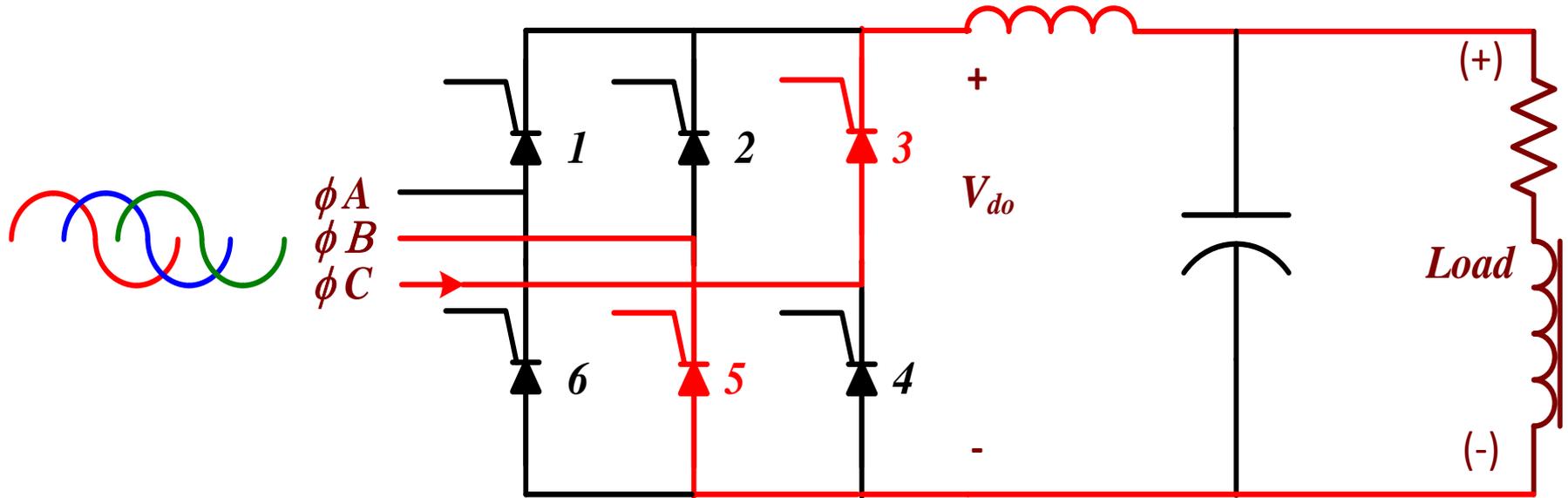
### 3 $\phi$ , $q = 6$ Pulse Rectifier



*State 5 : C-A (+), 2 off, SCR s 3 – 6 On*

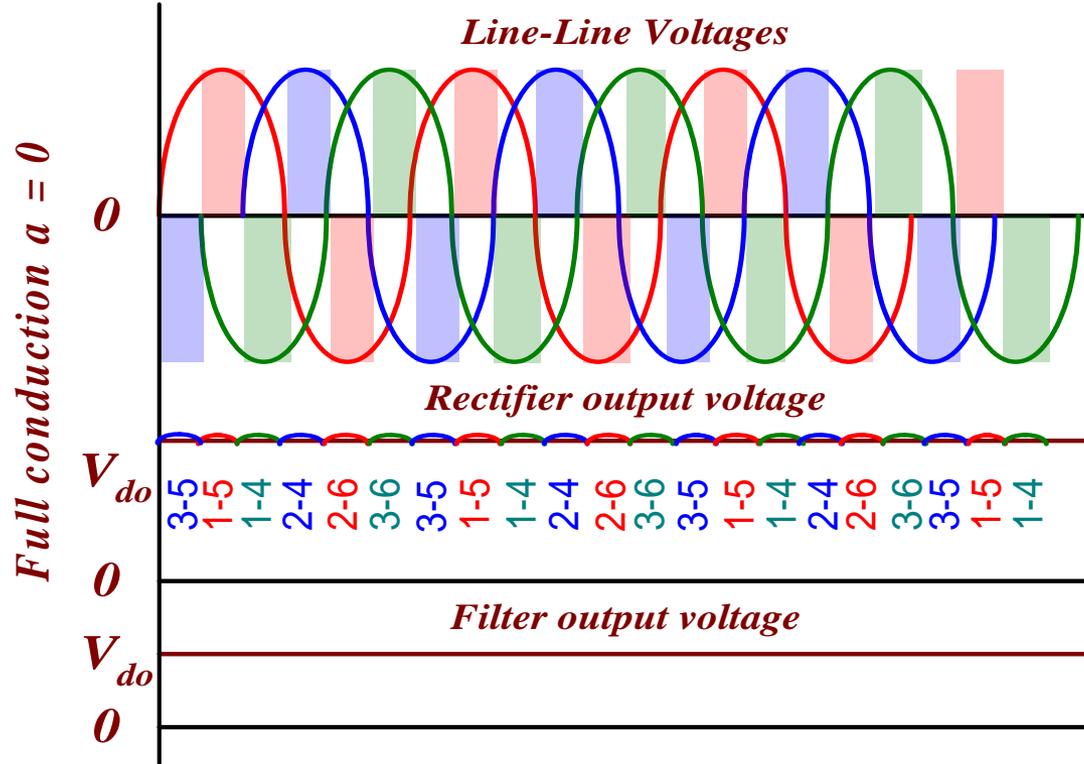


### 3 $\phi$ , $q = 6$ Pulse Rectifier



*State 6 : C-B (+), 6 off, SCR s 3 – 5 On*

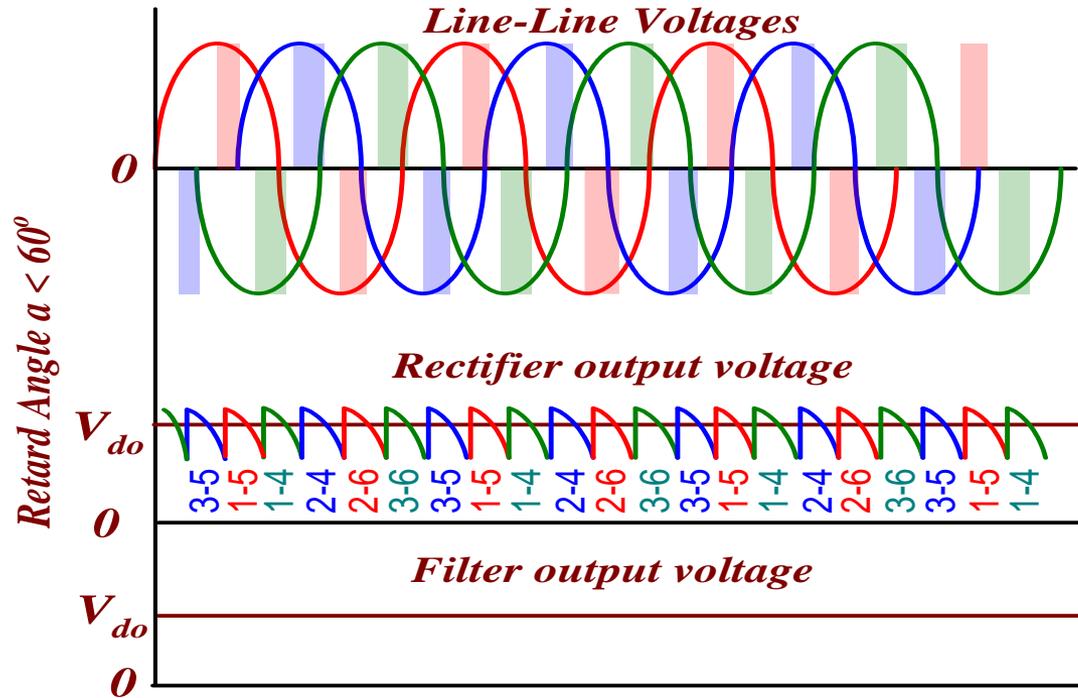
### 3 $\phi$ , $q = 6$ Pulse Rectifier Waveforms



$$V_{do} = \frac{3\sqrt{2}}{\pi} V_{LL} \cos\alpha$$

where  $\alpha$  is the gate trigger retard angle and conduction is continuous

### 3 $\phi$ , $q = 6$ Pulse Rectifier Waveforms

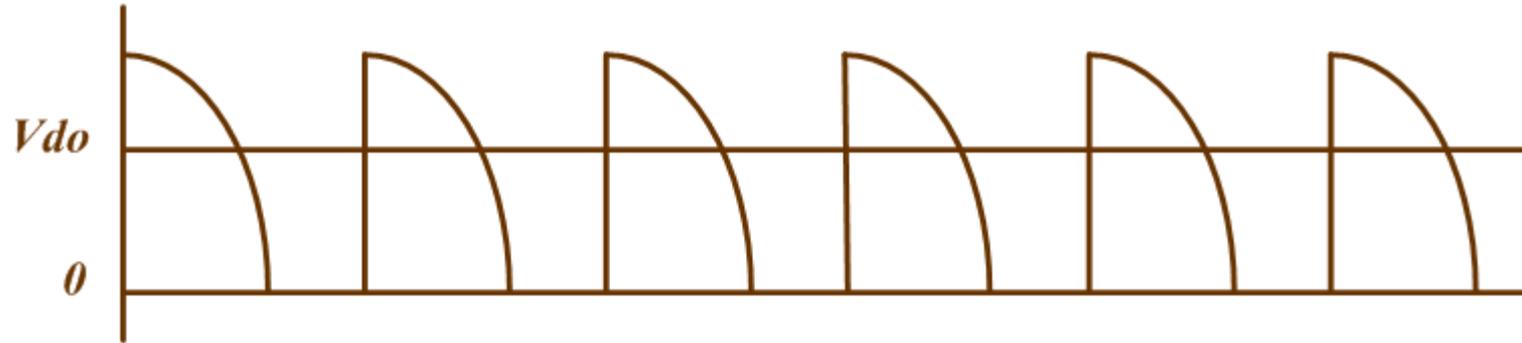


For  $0 \leq \alpha \leq \frac{\pi}{3}$  where  $\alpha$  is the gate trigger retard angle and conduction is continuous

$$V_{do} = \frac{3\sqrt{2}}{\pi} V_{LL} \cos \alpha$$

### 3 $\phi$ , $q = 6$ Pulse Rectifier Waveforms

Retard angle  $\alpha > 60$  degrees



For  $\frac{\pi}{3} < \alpha \leq \frac{2\pi}{3}$  where conduction can be discontinuous

$$V_{do} = \frac{3\sqrt{2}}{\pi} V_{LL} \left( 1 + \cos\left(\alpha + \frac{\pi}{3}\right) \right) \text{ for resistive load}$$



## *3 $\phi$ , $q = 6$ Pulse Rectifier Summary*

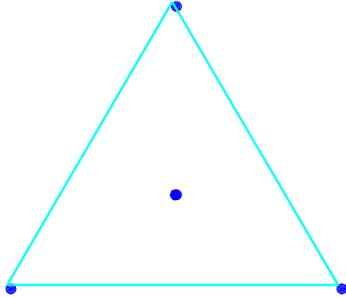
- *6 pulse – high input PF  $\rightarrow$  0.95*
- *Use soft-start to limit filter capacitor inrush current.*
- *Output ripple frequency is 360 Hz for 60 Hz input*
- *Relatively low output ripple and easy to filter with small LC*
- *Limited to loads  $<$  350 kW*
- *Diodes or SCRs are air or water-cooled depending upon load current*



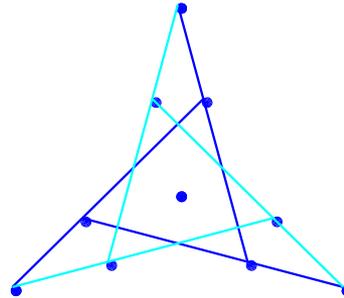
# Three Phase, Phase Shifting Transformer

Phase shifting transformer for 12 Pulse operation

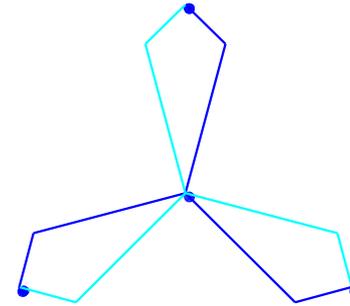
**Delta  
Wye-Delta**



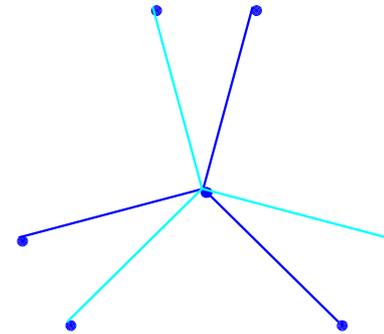
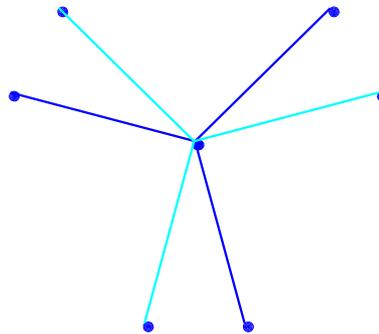
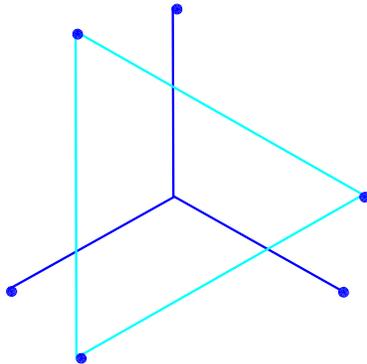
**Extended-Delta  
Wye**



**Wye-Zig-Zag  
Wye**

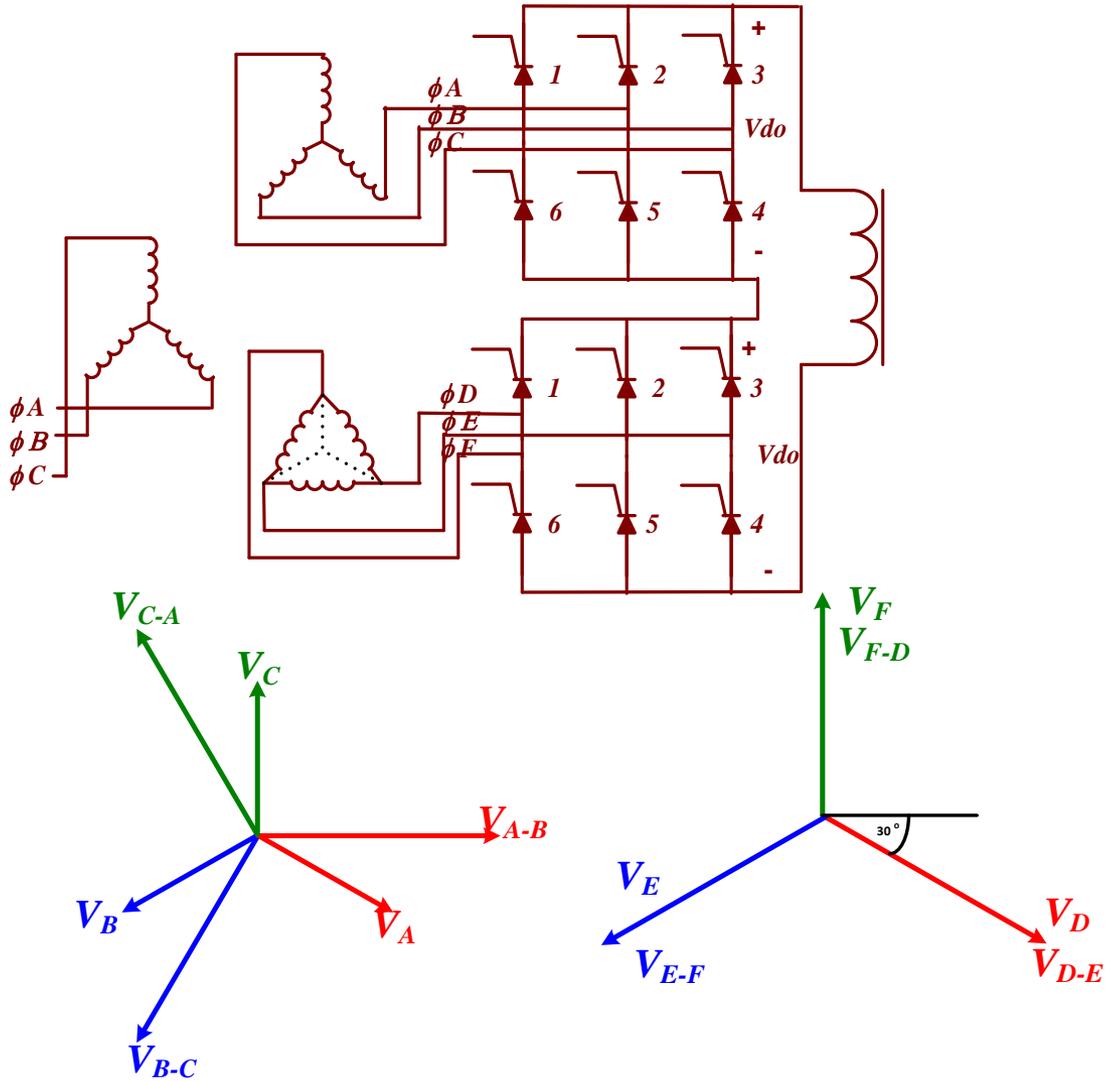


*Primaries*



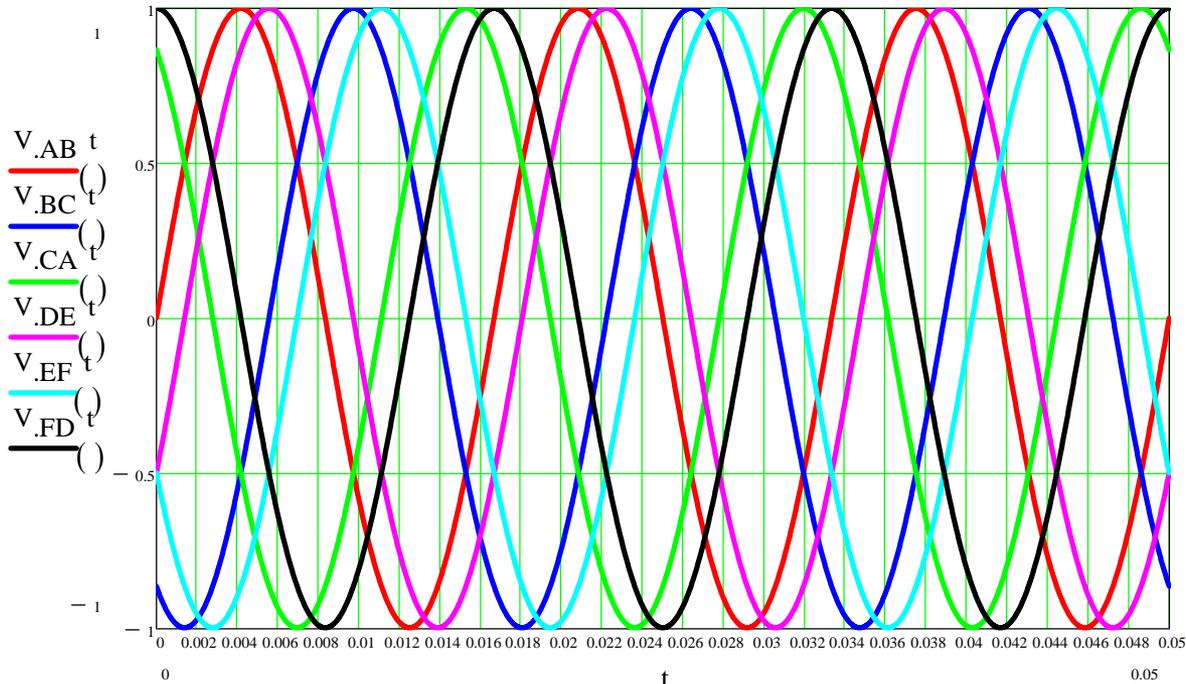
*Secondaries*

# Total Primary Current in Wye-Wye-Delta



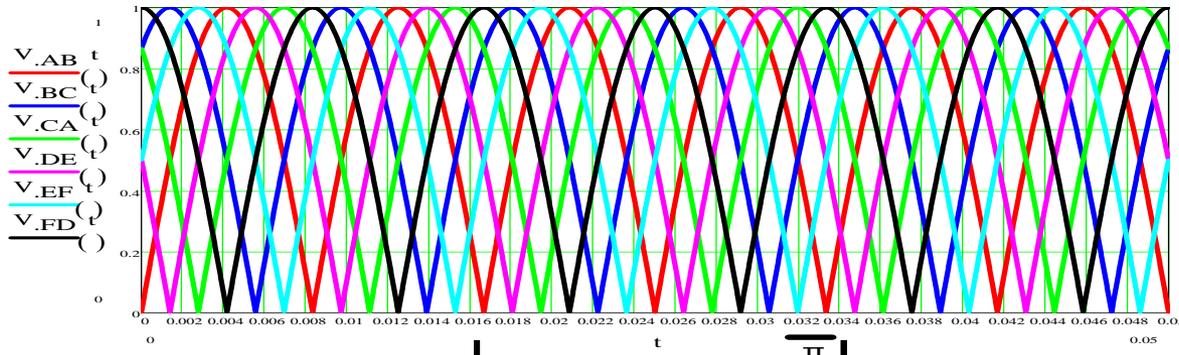
<i>Line</i>	<i>Phase (<math>^\circ</math>)</i>
$V_{A-B}$	$0$
$V_{D-E}$	$-30$
$V_{B-C}$	$-120$
$V_{E-F}$	$-150$
$V_{C-A}$	$-240$
$V_{F-D}$	$-270$

# Total Primary Current in Wye-Wye-Delta



6 cycles  
(phases) in  
 $T=16.67ms$

Voltage Output Delta-Wye Rectifier (Line-Line Voltages)

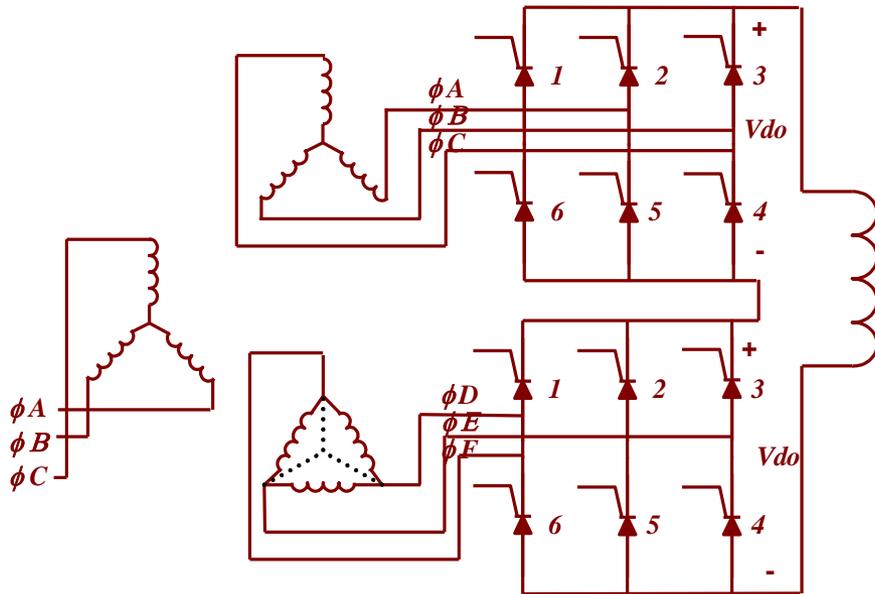


12 cycles in  
 $T=16.67ms$

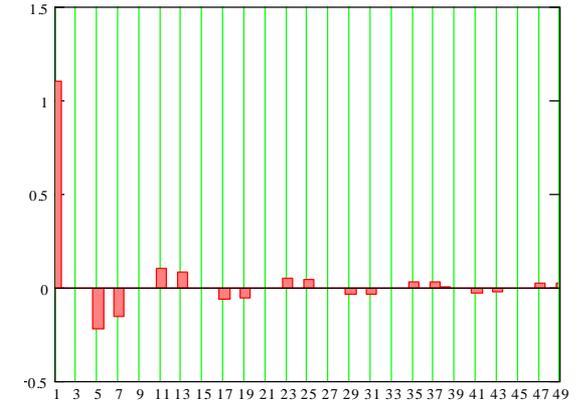


12 pulses in  
 $T=16.67ms$

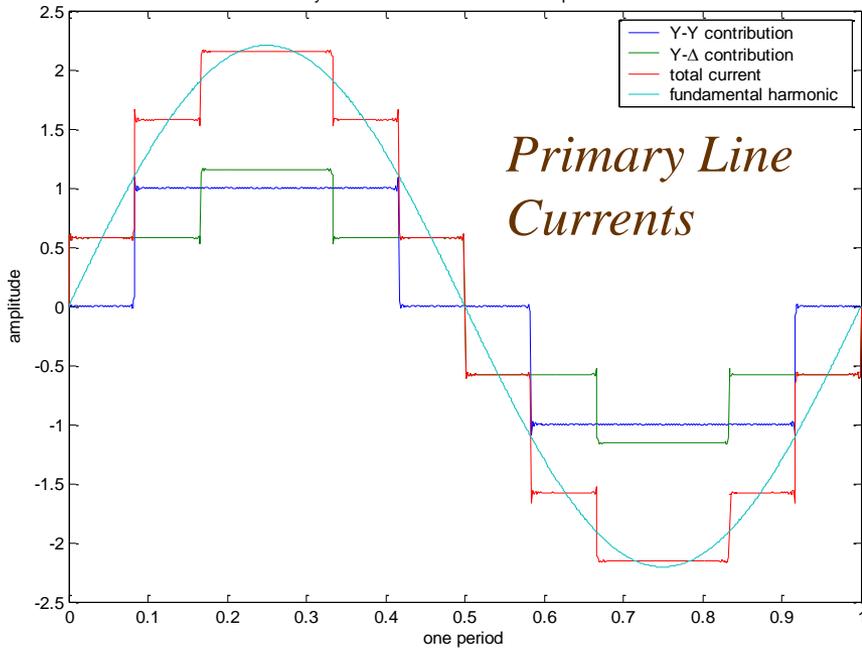
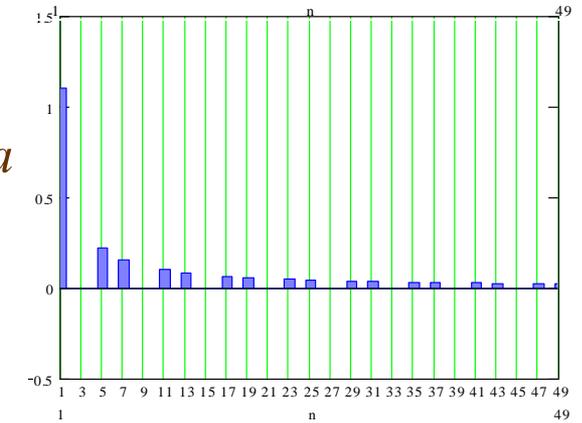
# Total Primary Current in Wye-Wye-Delta



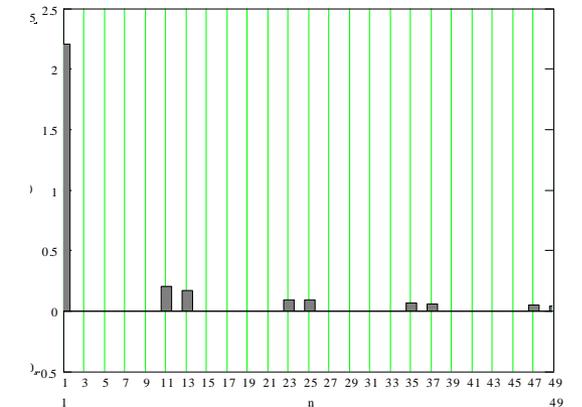
Wye-Wye



Wye-Delta



Wye-  
Wye-  
Delta



## Balanced Bridge Harmonics - Trigonometric Identities

*Addition formulae*

$$\sin(A + B) = \sin A \cos B + \sin B \cos A$$

$$\sin(A - B) = \sin A \cos B - \sin B \cos A$$

*Therefore*

$$\sin(A + B) + \sin(A - B) = 2 \sin A \cos B$$

$$\sin(A + B) - \sin(A - B) = 2 \sin B \cos A$$

*and*

$$\sin A + \sin B = 2 \sin \frac{A + B}{2} \cos \frac{A - B}{2}$$

$$\sin A - \sin B = 2 \sin \frac{A - B}{2} \cos \frac{A + B}{2}$$

*Similarly*

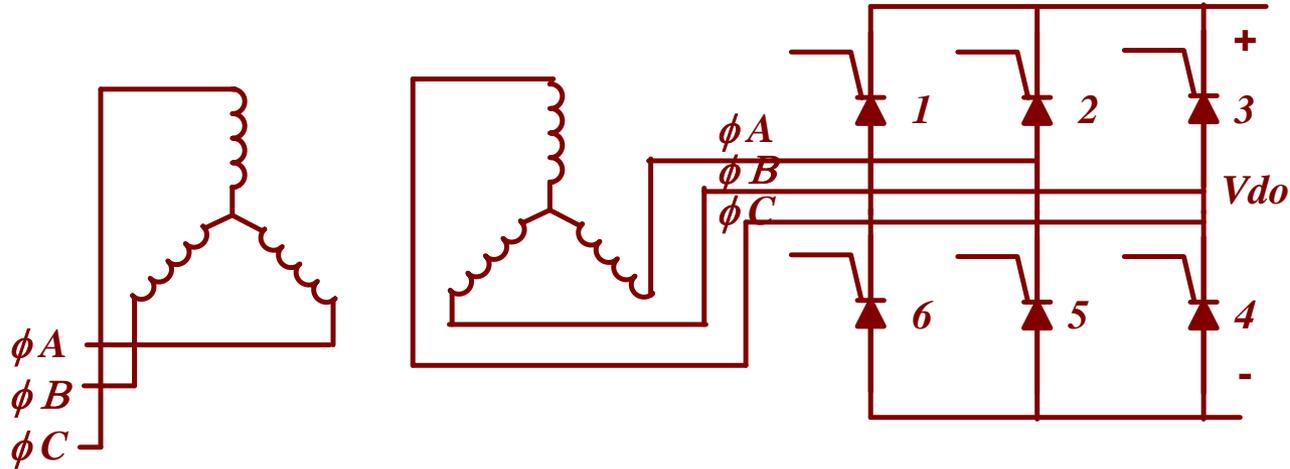
$$\cos(A + B) = \cos A \cos B - \sin A \sin B$$

$$\cos(A - B) = \cos A \cos B + \sin A \sin B$$

$$\cos A + \cos B = 2 \cos \frac{A + B}{2} \cos \frac{A - B}{2}$$

$$\cos A - \cos B = -2 \sin \frac{A + B}{2} \sin \frac{A - B}{2}$$

## Three Phase Wye-Wye



$$V_{Ap} = V_{LNp} \sin \omega t$$

$$V_{Bp} = V_{LNp} \sin(\omega t - 2\pi/3)$$

$$V_{Cp} = V_{LNp} \sin(\omega t - 4\pi/3)$$

$$\begin{aligned} V_{ABp} &= V_{Ap} - V_{Bp} \\ &= V_{LNp} [\sin \omega t - \sin(\omega t - 2\pi/3)] \\ &= 2V_{LNp} \sin \pi/3 \cos(\omega t - \pi/3) \\ &= \sqrt{3}V_{LNp} \sin(\omega t - \pi/3 + \pi/2) \\ &= \sqrt{3}V_{LNp} \sin(\omega t + \pi/6) \end{aligned}$$

$$V_{BCp} = \sqrt{3}V_{LNp} \sin(\omega t - \pi/2)$$

$$V_{CAp} = \sqrt{3}V_{LNp} \sin(\omega t - 7\pi/6)$$

For a transformer ratio,  $N_{YY}$

$$V_s = N_{YY} V_p; I_s = I_p / N_{YY}$$

$$V_{ABYs} = \sqrt{3}N_{YY} V_{LNp} \sin(\omega t + \pi/6)$$

$$V_{BCYs} = \sqrt{3}N_{YY} V_{LNp} \sin(\omega t - \pi/2)$$

$$V_{CAYs} = \sqrt{3}N_{YY} V_{LNp} \sin(\omega t - 7\pi/6)$$

$$I_{ABYs} = (\sqrt{3}I_{LNp} / N_{YY}) \sin(\omega t + \pi/6 + \phi_Z)$$

$$I_{BCYs} = (\sqrt{3}I_{LNp} / N_{YY}) \sin(\omega t - \pi/2 + \phi_Z)$$

$$I_{CAYs} = (\sqrt{3}I_{LNp} / N_{YY}) \sin(\omega t - 7\pi/6 + \phi_Z)$$

## Spectrum of Wye-Wye

Assume full conduction into a large inductive load

$$b_n = \frac{2}{T} \int_0^T I_{ANYs}(t) \sin \frac{2\pi nt}{T} dt$$

The load current,  $I_L$ , is then constant

The current out of the A leg of the transformer is

$$= \frac{2I_L}{T} \left[ \int_{T/12}^{5T/12} \sin \frac{2\pi nt}{T} dt - \int_{7T/12}^{11T/12} \sin \frac{2\pi nt}{T} dt \right]$$

$$I_{ANYs}(t) = 0 \quad 0 \leq t \leq T/12$$

$$= I_L \quad T/12 \leq t \leq 5T/12$$

$$= 0 \quad 5T/12 \leq t \leq 7T/12$$

$$= -I_L \quad 7T/12 \leq t \leq 11T/12$$

$$= 0 \quad 11T/12 \leq t \leq T$$

$$= \frac{4I_L}{T} \int_{T/12}^{5T/12} \sin \frac{2\pi nt}{T} dt$$

$$= -\frac{2I_L}{n\pi} \cos \frac{2\pi nt}{T} \Big|_{T/12}^{5T/12}$$

The Fourier series expansion is

$$I_{ANYs}(t) = a_0 + \sum_{n=1}^{\infty} a_n \cos \frac{2\pi nt}{T} + b_n \sin \frac{2\pi nt}{T}$$

$$= -\frac{2I_L}{n\pi} [\cos(5n\pi/6) - \cos(n\pi/6)]$$

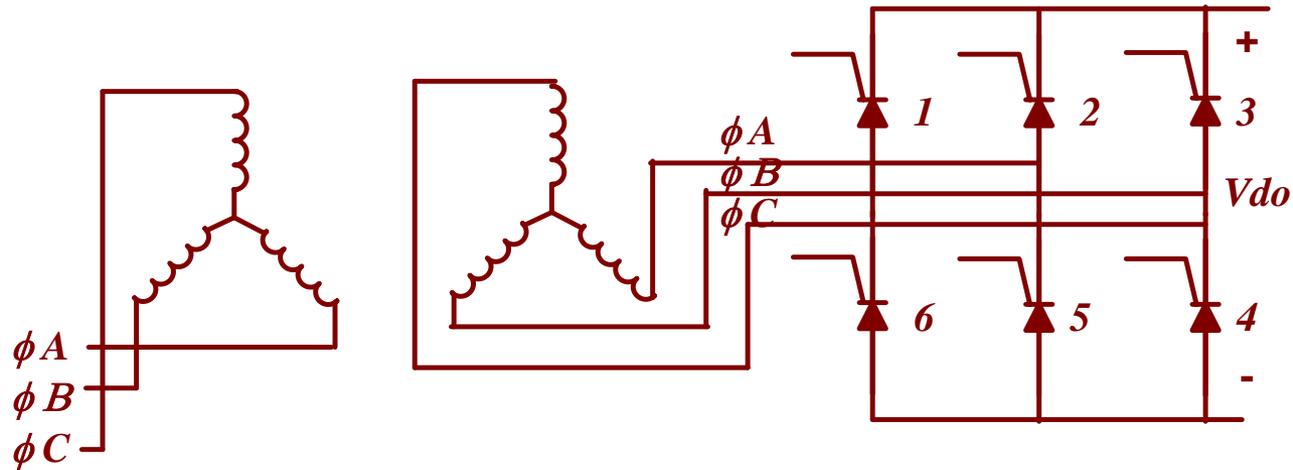
From the symmetry of the waveform,

$$a_0 = a_n = 0$$

$$b_n = \frac{4I_L}{n\pi} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3}$$



## Wye-Wye Primary Current



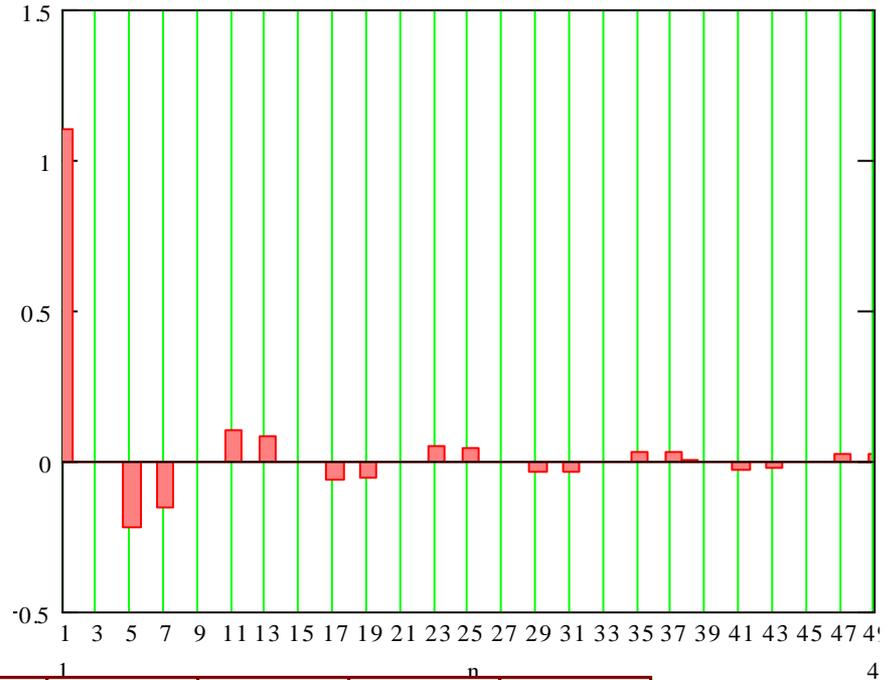
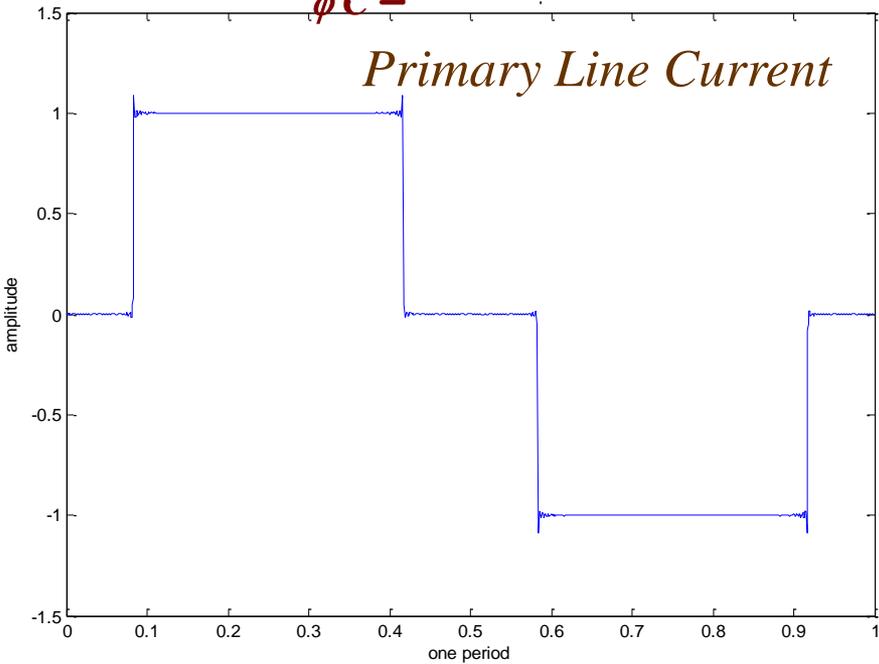
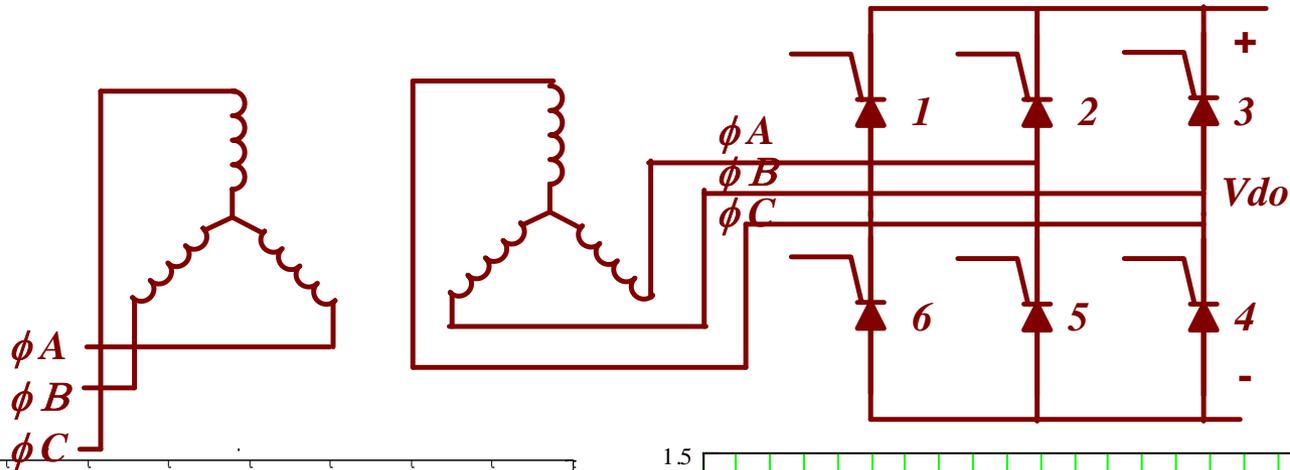
The current on the primary leg of the transformer, due to the YY winding is

$$I_{ANYp}(t) = N_{YY} \frac{4I_L}{n\pi} \sum_{n=1}^{\infty} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3} \sin \frac{2\pi nt}{T}$$

Note that the first term eliminates all of the even harmonics and the second eliminates all multiples of the third harmonic.



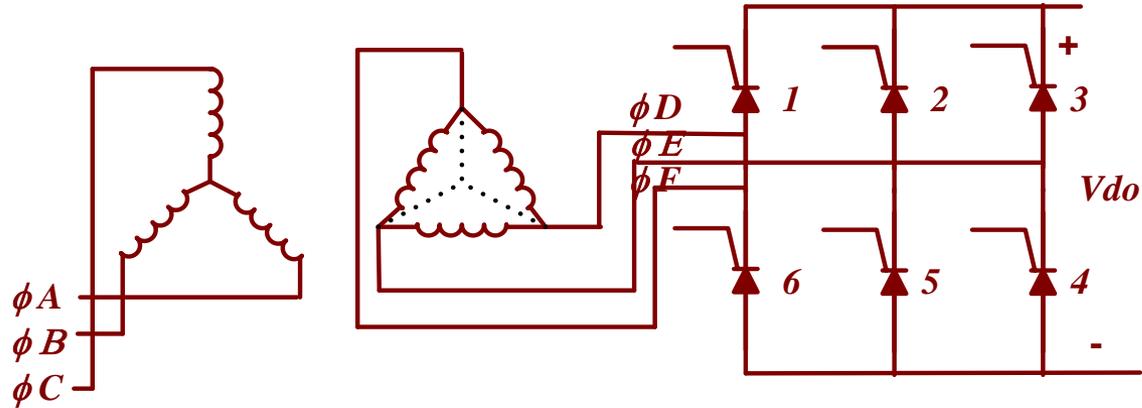
# Wye-Wye Primary Current



<i>Harmonic</i>	<i>1</i>	<i>5</i>	<i>7</i>	<i>11</i>	<i>13</i>	<i>15</i>	<i>17</i>	<i>19</i>
<i>Frequency</i>	<i>60</i>	<i>300</i>	<i>420</i>	<i>660</i>	<i>780</i>	<i>900</i>	<i>1020</i>	<i>1140</i>
<i>Amplitude</i>	<i>1.103</i>	<i>-0.221</i>	<i>-0.158</i>	<i>0.100</i>	<i>0.085</i>	<i>0</i>	<i>-0.065</i>	<i>-0.058</i>



## Three Phase Wye-Delta



In order to have balanced current on the primary

$$I_{A\Delta s} + I_{B\Delta s} + I_{C\Delta s} = 0$$

When two delta leg A switches conduct

$$I_{B\Delta s} = I_{C\Delta s}$$

so that

$$I_{A\Delta s} + 2I_{B\Delta s} = 0$$

The current through the switch is then

$$I_L = I_{A\Delta s} - I_{B\Delta s}$$

$$I_L = I_{A\Delta s} + \frac{1}{2}I_{A\Delta s}$$

$$I_L = \frac{3}{2}I_{A\Delta s}$$

$$I_{A\Delta s} = \frac{2}{3}I_L$$

For a transformer ratio  $N_{Y\Delta}$

$$V_{AB\Delta s} = N_{Y\Delta} V_{LNp} \sin(\omega t)$$

$$V_{BC\Delta s} = N_{Y\Delta} V_{LNp} \sin(\omega t - 2\pi/3)$$

$$V_{CA\Delta s} = N_{Y\Delta} V_{LNp} \sin(\omega t - 4\pi/3)$$

$$I_{AB\Delta s} = (V_{LNp} / N_{Y\Delta}) \sin(\omega t + \phi_Z)$$

$$I_{BC\Delta s} = (V_{LNp} / N_{Y\Delta}) \sin(\omega t - 2\pi/3 + \phi_Z)$$

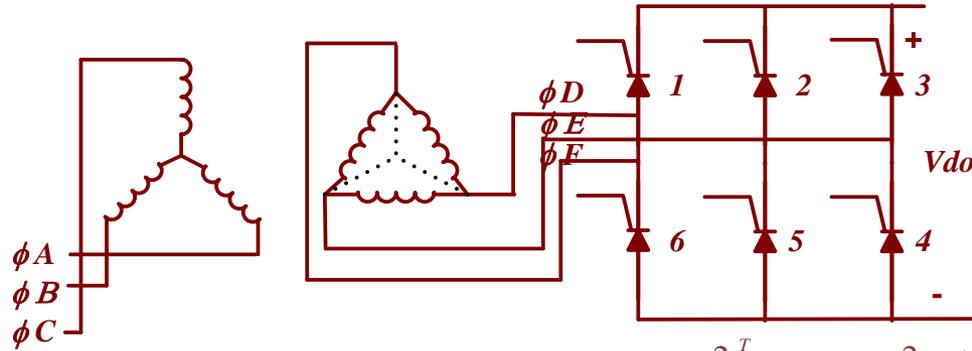
$$I_{CA\Delta s} = (V_{LNp} / N_{Y\Delta}) \sin(\omega t - 4\pi/3 + \phi_Z)$$

For equal secondary voltages

$$N_{Y\Delta} = \sqrt{3}N_{YY}$$



## Wye-Delta Spectrum



The current through the A winding is

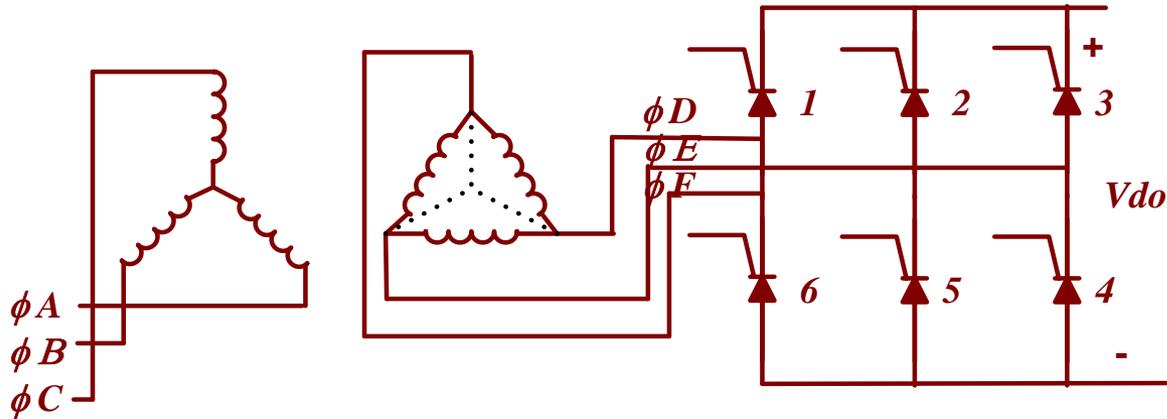
$$\begin{aligned}
 I_{A\Delta s}(t) &= I_L/3 & 0 \leq t \leq T/6 \\
 &= 2I_L/3 & T/6 \leq t \leq T/3 \\
 &= I_L/3 & T/3 \leq t \leq T/2 \\
 &= -I_L/3 & T/2 \leq t \leq 2T/3 \\
 &= -2I_L/3 & 2T/3 \leq t \leq 5T/6 \\
 &= -I_L/3 & 5T/6 \leq t \leq T
 \end{aligned}$$

$$a_0 = a_n = 0$$

Again, by symmetry, only the  $b_n$  terms are non-zero

$$\begin{aligned}
 b_n &= \frac{2}{T} \int_0^T I_{A\Delta s}(t) \sin \frac{2\pi n t}{T} dt \\
 &= \frac{4I_L}{3T} \left[ \int_0^{T/6} \sin \frac{2\pi n t}{T} dt + 2 \int_{T/6}^{T/3} \sin \frac{2\pi n t}{T} dt + \int_{T/3}^{T/2} \sin \frac{2\pi n t}{T} dt \right] \\
 &= -\frac{2I_L}{3n\pi} \left[ \cos \frac{2\pi n t}{T} \Big|_0^{T/6} + 2 \cos \frac{2\pi n t}{T} \Big|_{T/6}^{T/3} + \cos \frac{2\pi n t}{T} \Big|_{T/3}^{T/2} \right] \\
 &= \frac{2I_L}{3n\pi} \left[ \left( \cos 0 + \cos \frac{\pi n}{3} \right) - \left( \cos \frac{2\pi n}{3} + \cos \pi n \right) \right] \\
 &= \frac{4I_L}{3n\pi} \left( \cos \frac{n\pi}{6} \cos \frac{n\pi}{6} - \cos \frac{5n\pi}{6} \cos \frac{n\pi}{6} \right) \\
 &= \frac{4I_L}{3n\pi} \cos \frac{n\pi}{6} \left( \cos \frac{n\pi}{6} - \cos \frac{5n\pi}{6} \right) \\
 &= \frac{8I_L}{3n\pi} \cos \frac{n\pi}{6} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3}
 \end{aligned}$$

## Primary Current in the Wye-Delta



$$I_{\Delta\Delta s}(t) = \frac{8I_L}{3n\pi} \sum_{n=1}^{\infty} \cos \frac{n\pi}{6} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3} \sin \frac{2\pi nt}{T}$$

Note that multiples of the 2<sup>nd</sup> and 3<sup>rd</sup> harmonics are also suppressed.

The  $\cos \frac{n\pi}{6}$  term does not introduce any extra zeros, but it does contribute to the sign of the terms.

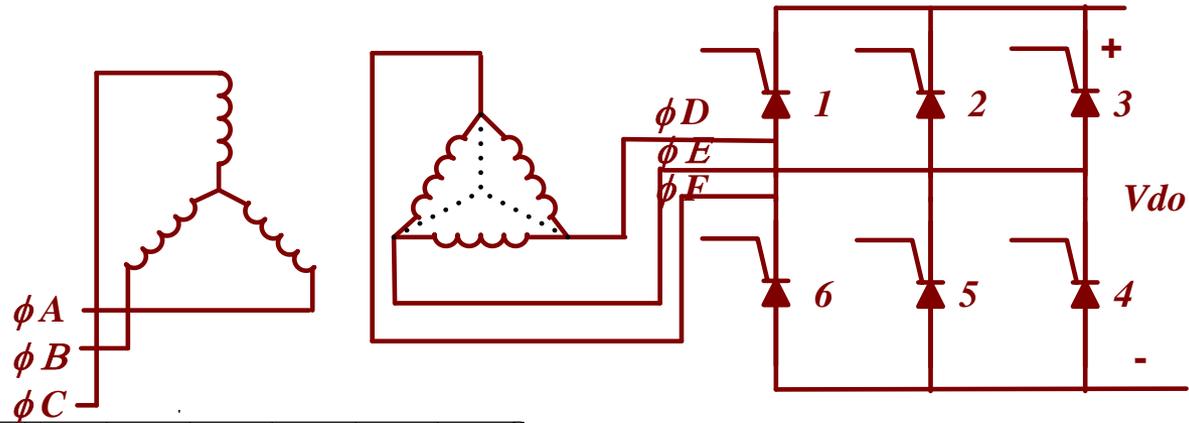
The non-vanishing terms are  $n = 1, 5, 7, 11, \dots$ , for which the magnitude is  $\sqrt{3}/2$ .

Referred back to the primary, the current is

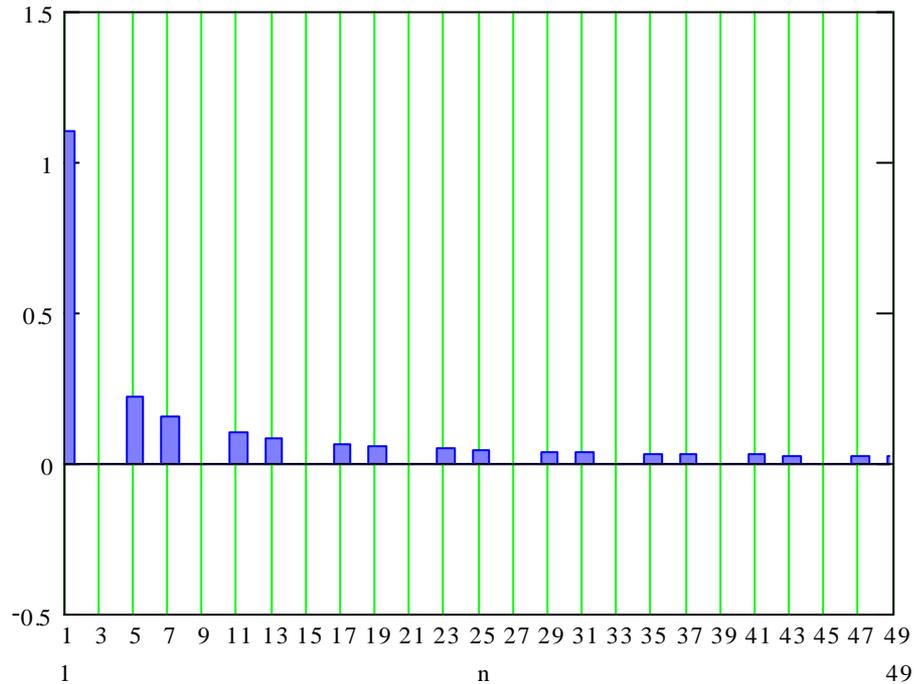
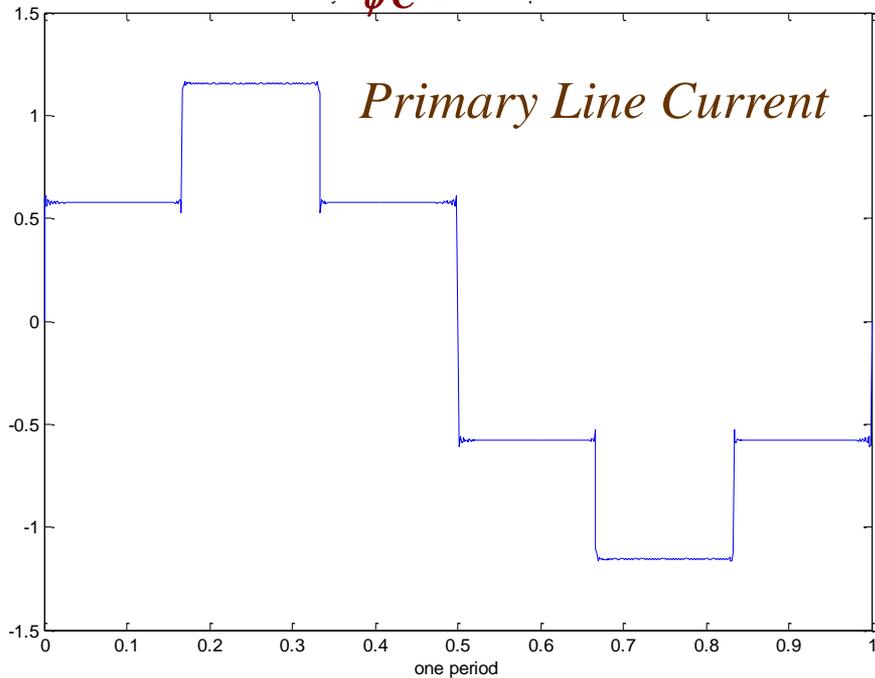
$$I_{\Delta\Delta p}(t) = N_{Y\Delta} \frac{8I_L}{3n\pi} \sum_{n=1}^{\infty} \cos \frac{n\pi}{6} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3} \sin \frac{2\pi nt}{T}$$

$$I_{\Delta\Delta p}(t) = N_{YY} \frac{8\sqrt{3}I_L}{3n\pi} \sum_{n=1}^{\infty} \cos \frac{n\pi}{6} \sin \frac{n\pi}{2} \sin \frac{n\pi}{3} \sin \frac{2\pi nt}{T}$$

# Primary Current in the Wye-Delta

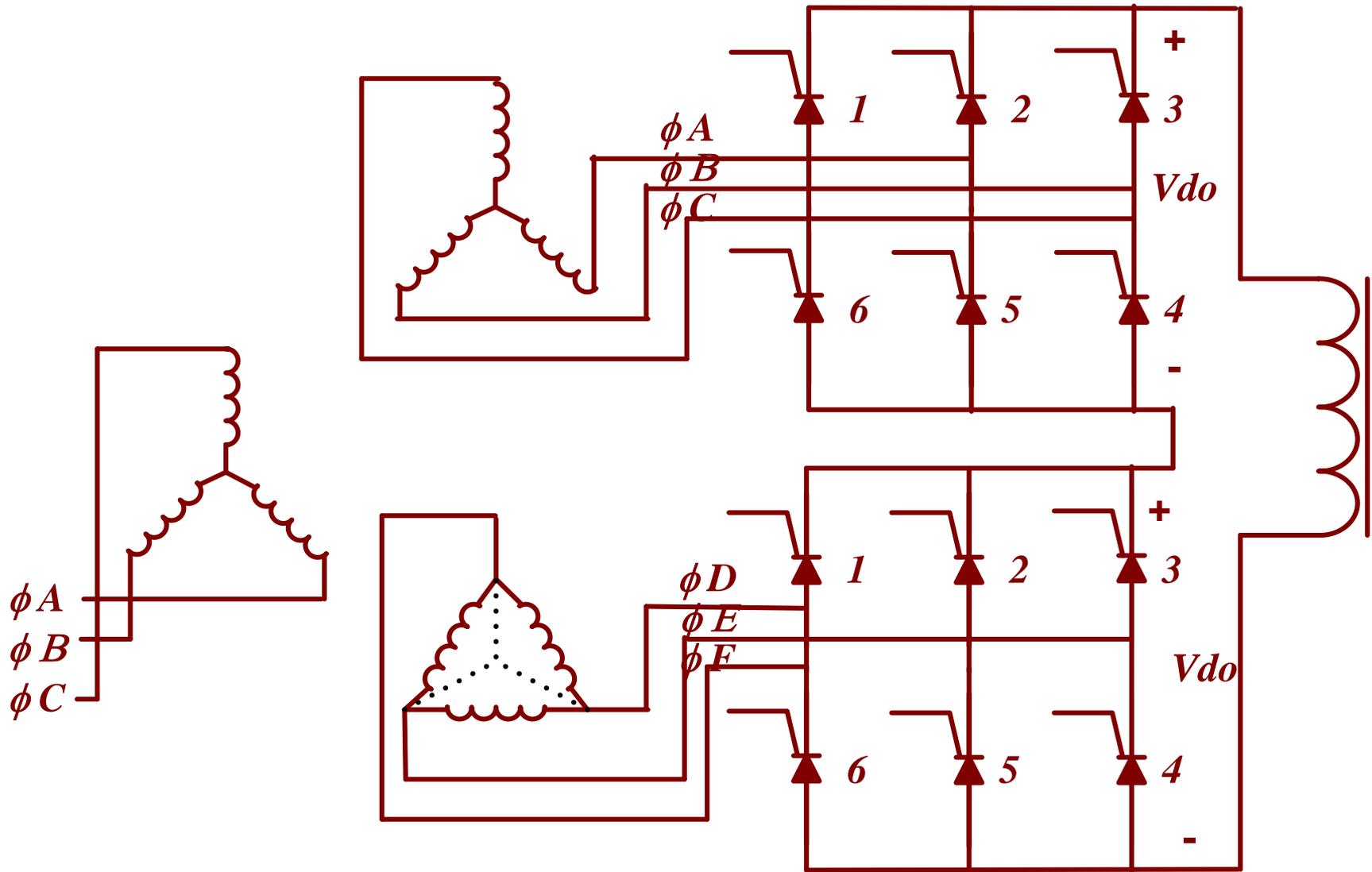


Primary Line Current



<i>Harmonic</i>	<i>1</i>	<i>5</i>	<i>7</i>	<i>11</i>	<i>13</i>	<i>15</i>	<i>17</i>	<i>19</i>
<i>Frequency</i>	<i>60</i>	<i>300</i>	<i>420</i>	<i>660</i>	<i>780</i>	<i>900</i>	<i>1020</i>	<i>1140</i>
<i>Amplitude</i>	<i>1.103</i>	<i>0.221</i>	<i>0.158</i>	<i>0.100</i>	<i>0.085</i>	<i>0</i>	<i>0.065</i>	<i>0.058</i>

# Total Current (Primary Wye Current) in Wye-Wye-Delta



## Total Primary Current in Wye-Wye-Delta

The total current in the A leg of the primary is the sum of these two terms.

$$I_{Ap}(t) = I_{ANYp}(t) + I_{\Delta p}(t)$$

The only non-vanishing terms in both of these series are  $n = 1, 5, 7, 11$

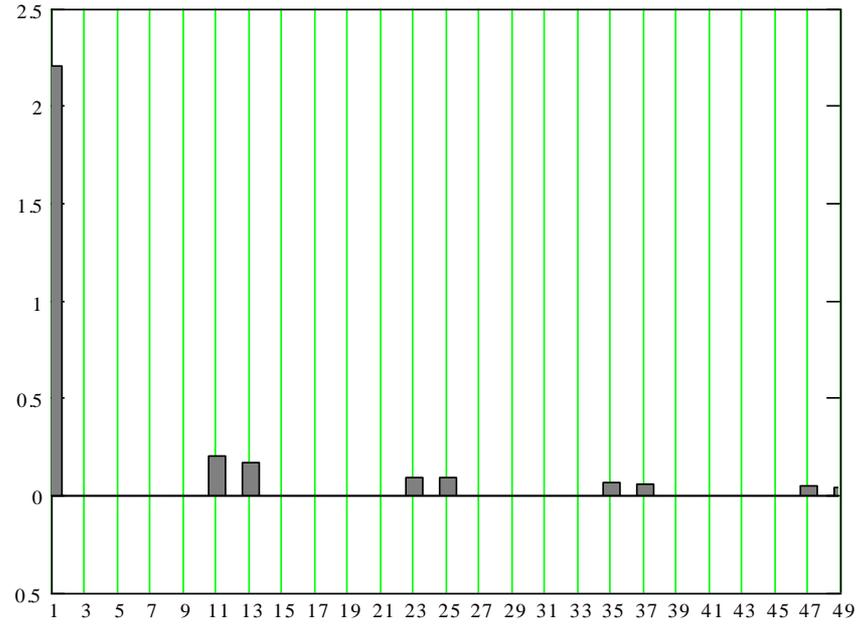
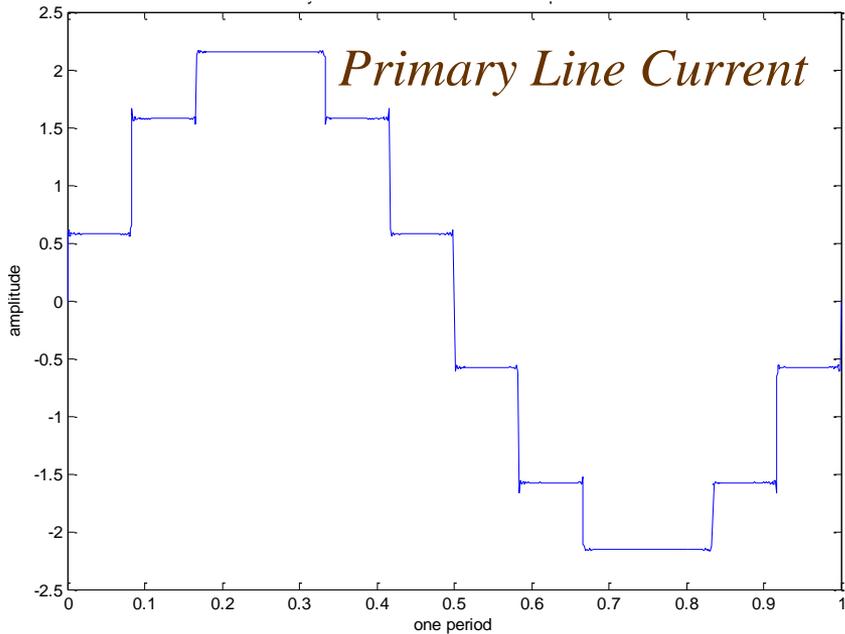
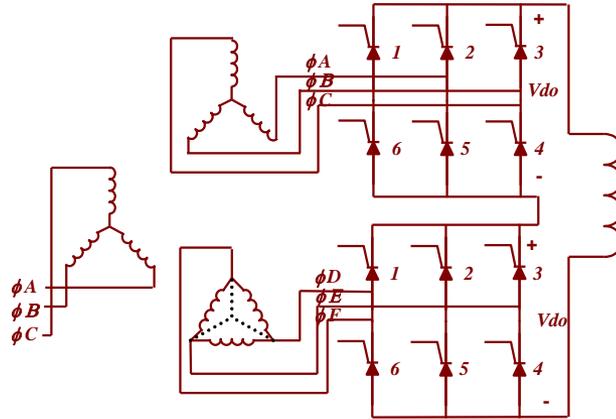
and all other values of  $n$  which have the same phase

The values of  $\cos \frac{n\pi}{6}$  for these  $n$  are  $\frac{\sqrt{3}}{2}, -\frac{\sqrt{3}}{2}, -\frac{\sqrt{3}}{2}, \frac{\sqrt{3}}{2}$

The surviving terms in each series have the same magnitude, but half have different signs so that the only remaining harmonics in the total balanced

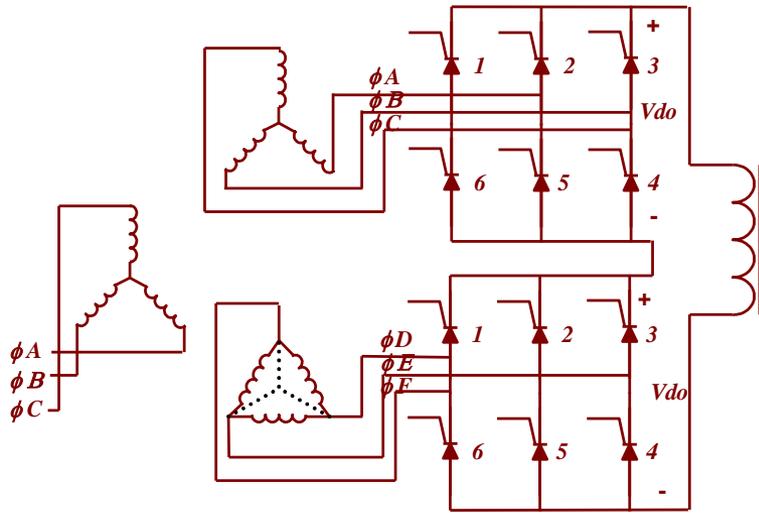
12 pulse bridge are  $n = 1, 11, 13, 23, 25, 35, \dots$  with coefficient  $N_{YY} \frac{4I_L}{n\pi}$

# Total Primary Current in Wye-Wye-Delta

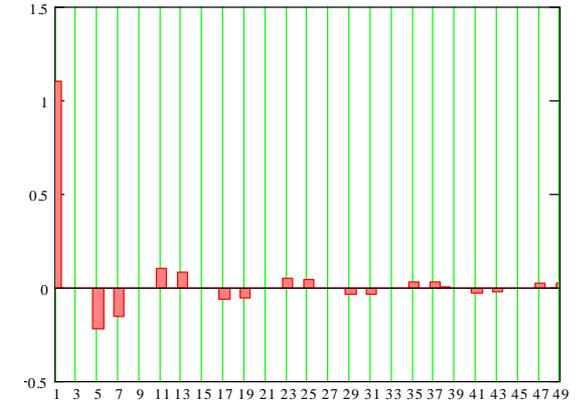


<i>Harmonic</i>	<i>1</i>	<i>5</i>	<i>7</i>	<i>11</i>	<i>13</i>	<i>15</i>	<i>17</i>	<i>19</i>
<i>Frequency</i>	<i>60</i>	<i>300</i>	<i>420</i>	<i>660</i>	<i>780</i>	<i>900</i>	<i>1020</i>	<i>1140</i>
<i>Amplitude</i>	<i>2.205</i>	<i>0</i>	<i>0</i>	<i>0.200</i>	<i>0.085</i>	<i>0.170</i>	<i>0</i>	<i>0</i>

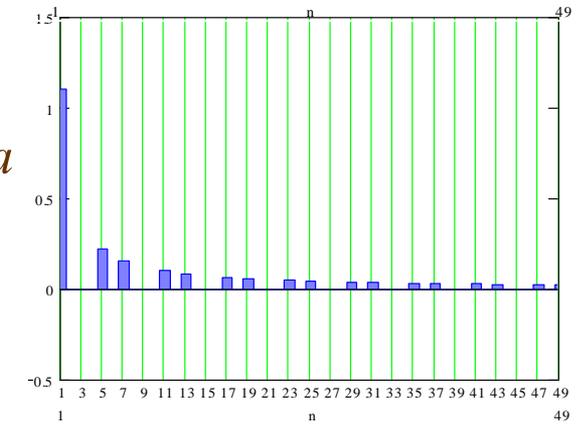
# Total Primary Current in Wye-Wye-Delta



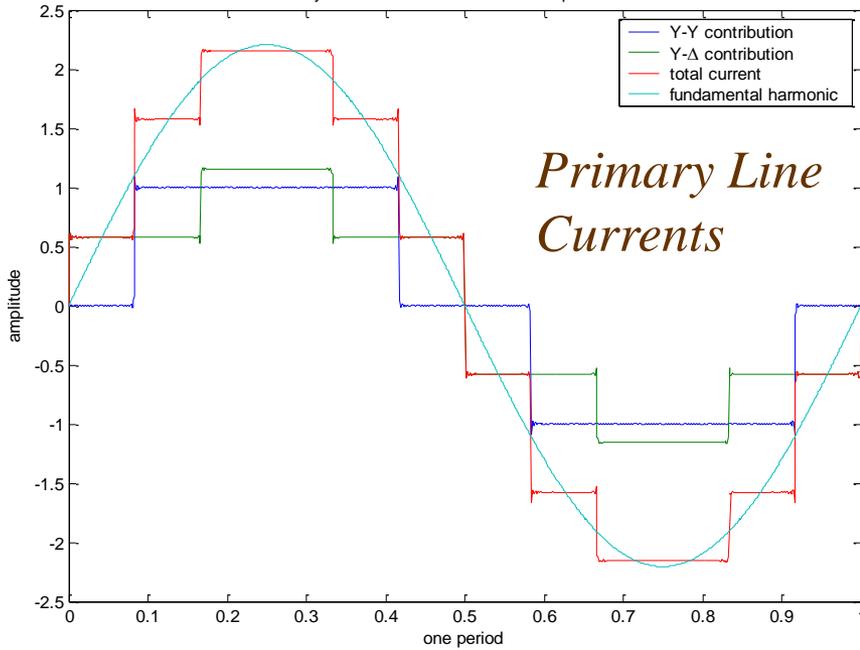
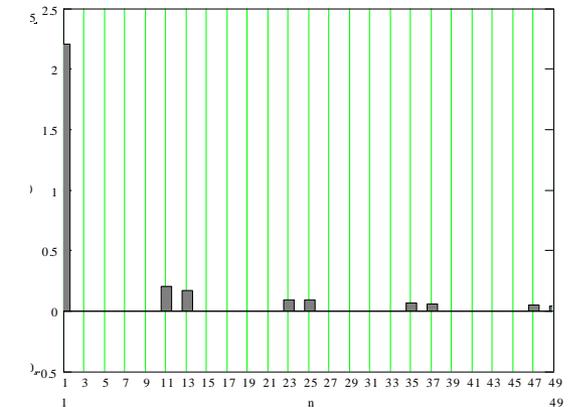
Wye-Wye



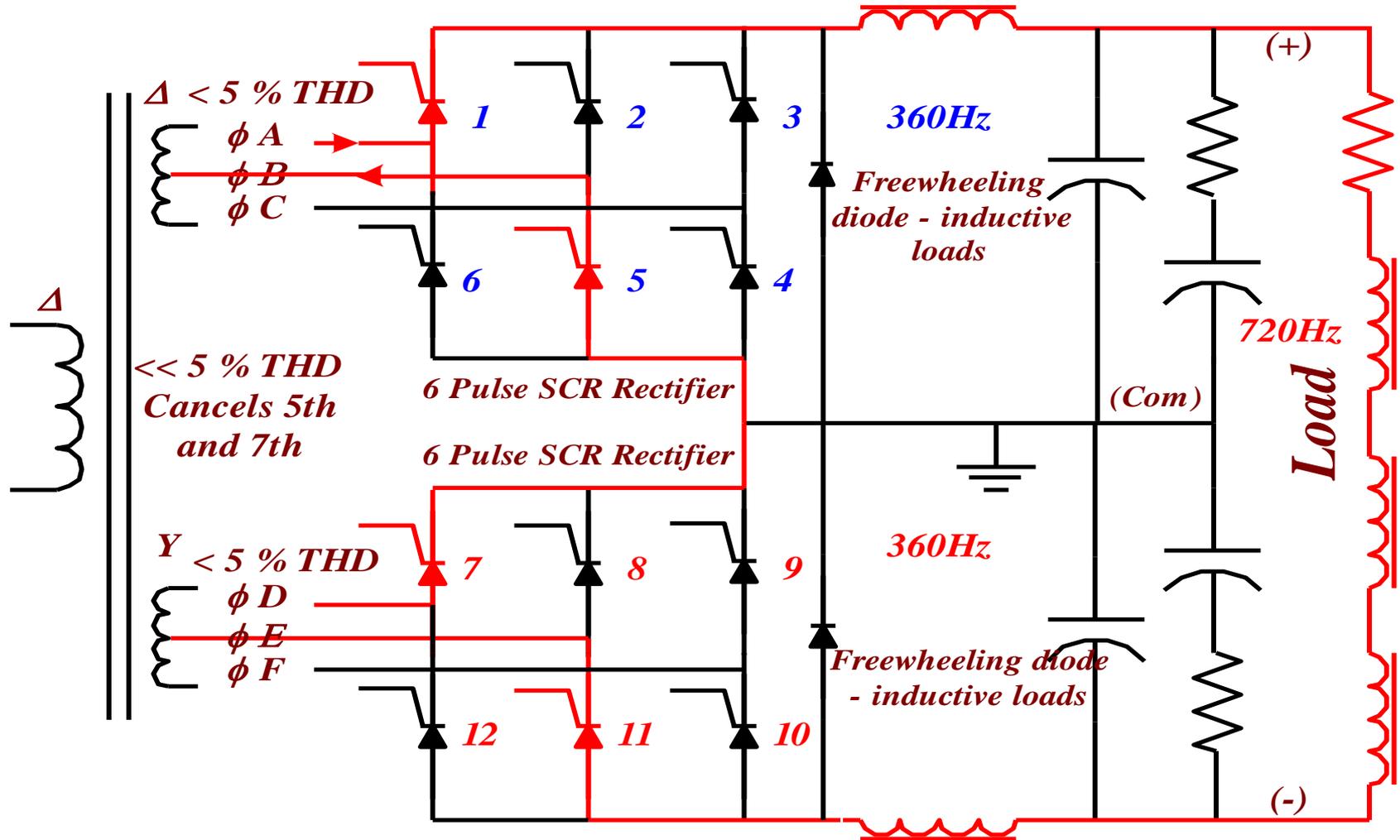
Wye-Delta



Wye-  
Wye-  
Delta



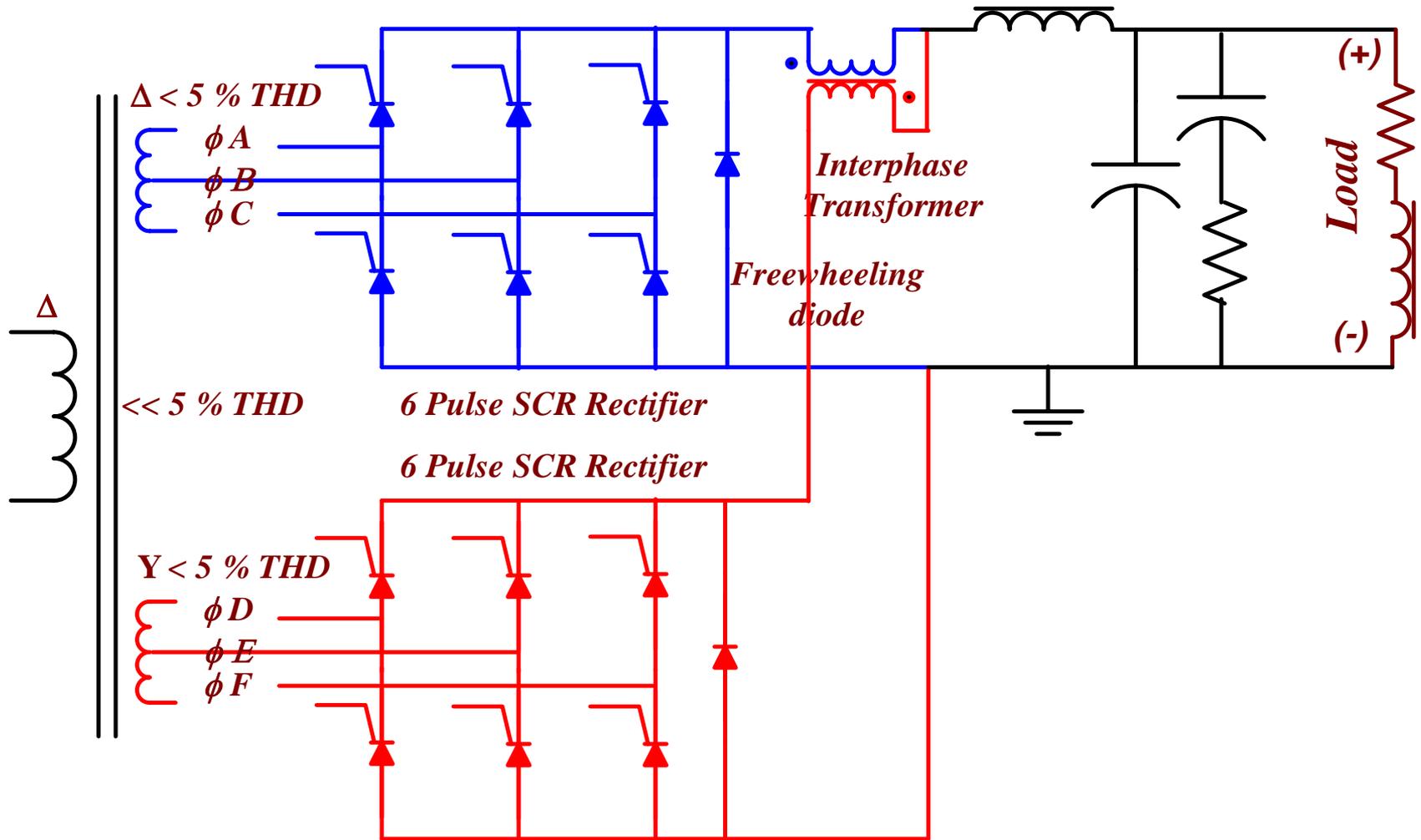
## 6 $\phi$ , $q = 12$ Pulse By Series Bridges



SCR sequence for  $30^\circ$  lagging wye secondary

1-5, 7-11, 1-4, 7-10, 2-4, 8-10, 2-6, 8-12, 3-6, 9-12, 3-5, 9-11

## 6 $\phi$ , $q = 12$ Pulse By Parallel Bridges



*Transformer phases and SCR firing sequence are the same as shown for the series-connected bridges*

## *6 $\phi$ , $q = 12$ Pulse Rectifiers - Summary*

### *For Both Series And Parallel-Connected Bridges*

- *Input transformer  $\Delta$  primary,  $\Delta - Y$  secondaries for 6 AC phases*
- *$\Delta - Y$  secondaries are phase shifted  $30^\circ$*
- *$5^{\text{th}}$  and  $7^{\text{th}}$  harmonics virtually non-existent in input line,  $\ll 5\%$  THD of line voltage  $< 20\%$  THD of line current*
- *Very high input PF to 0.97*
- *Output ripple frequency is 720 Hz for 60 Hz input*
- *Use soft-start to limit filter capacitor inrush current*
- *Freewheeling diode for to allow lagging bridge to conduct*
- *For loads  $\geq 350$  kW*



## *6 $\phi$ , $q = 12$ Pulse Rectifiers - Summary*

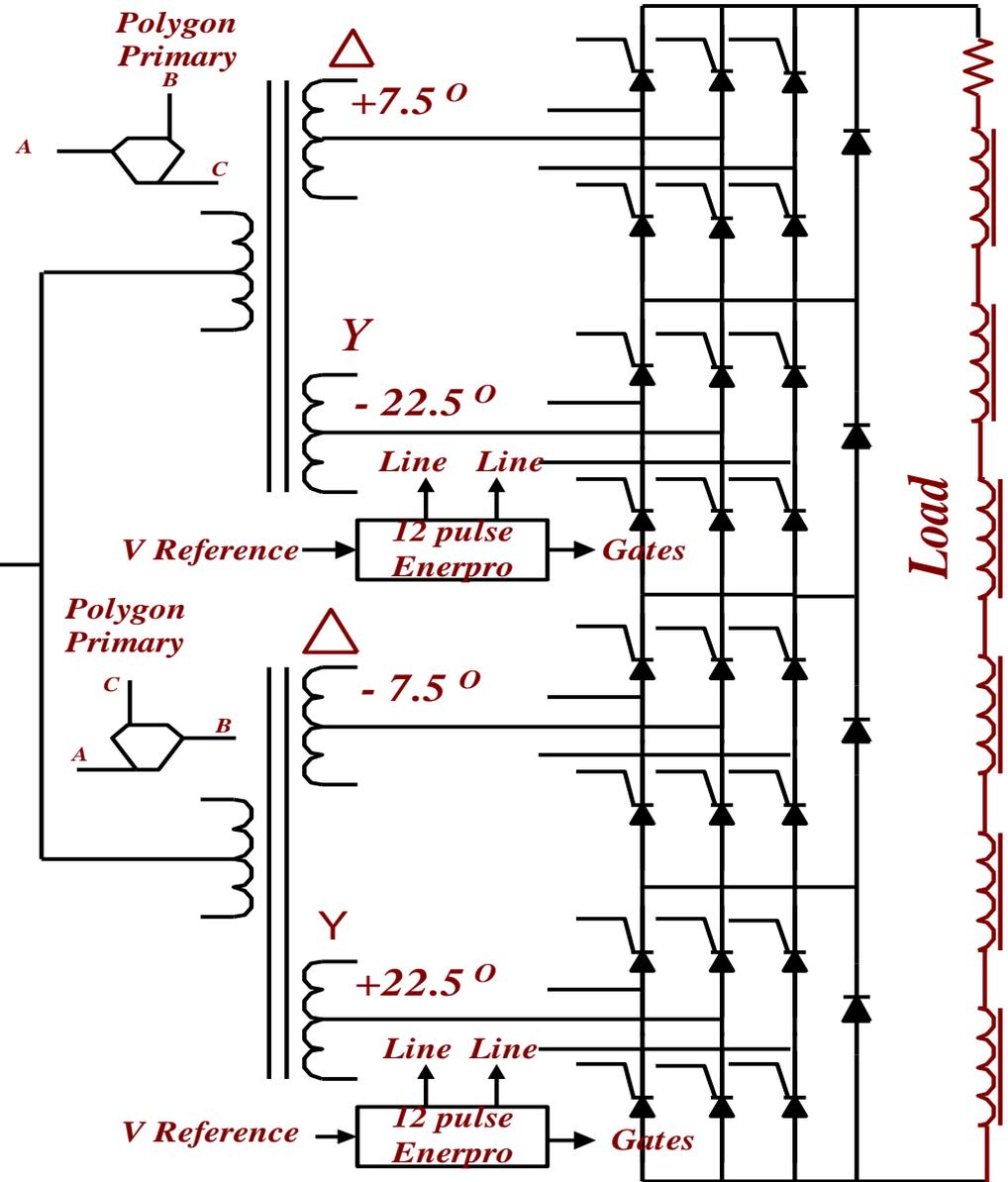
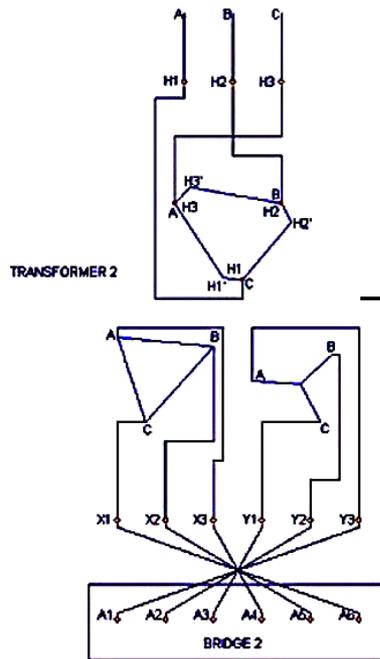
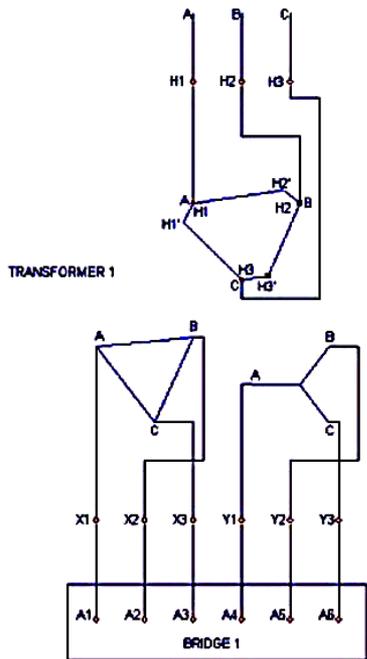
### *Series-connected bridges*

- *For high-voltage, low-current loads*

### *Parallel-connected bridges*

- *For high-current, low-voltage loads  $\geq 350$  kW.*
- *Inter-phase transformer needed for current sharing*

# 12 $\phi$ , $q = 24$ Pulse Rectifier

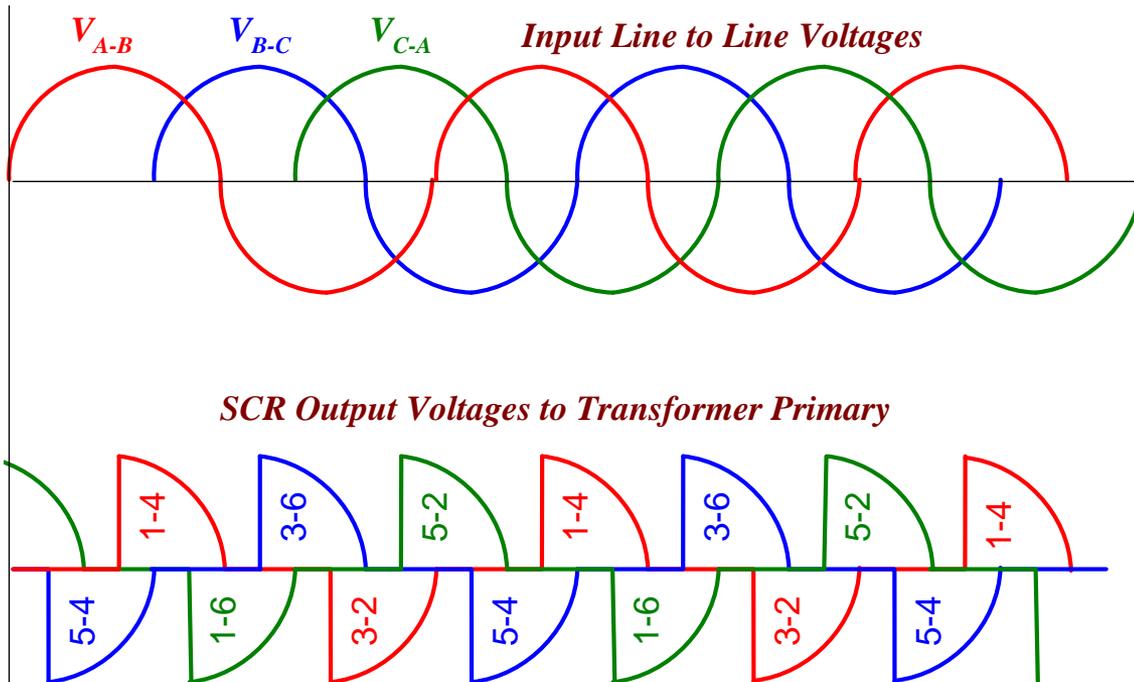
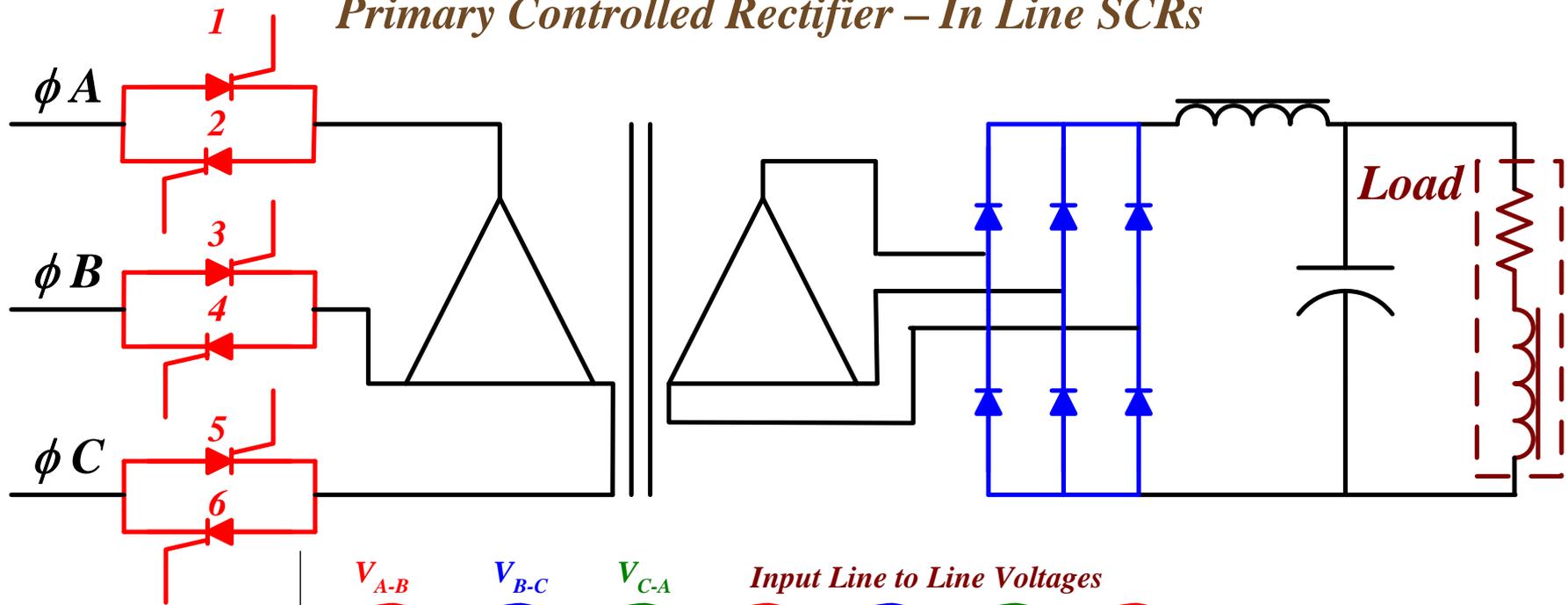




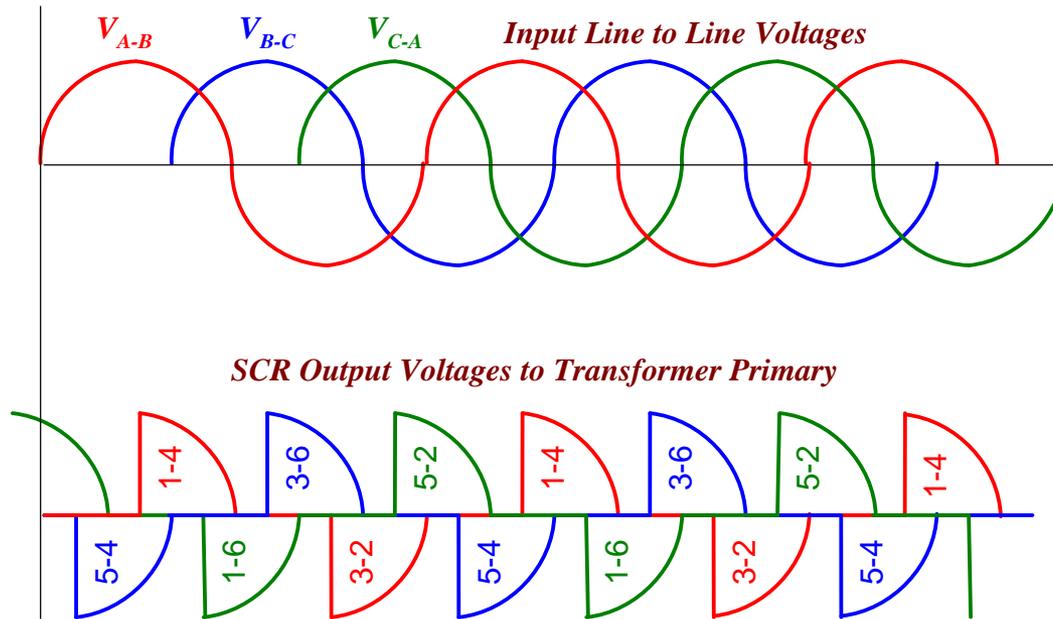
## *12 $\phi$ , $q = 24$ Pulse Rectifier Summary*

- Input transformer polygon primary to  $+ 7.5^\circ \Delta - Y$  secondaries for  $-30^\circ$  shift*
- Input transformer polygon primary to  $- 7.5^\circ \Delta - Y$  secondaries for  $+30^\circ$  shift*
- $15^\circ$  shift between the 4 sets of bridges*
- For loads  $\geq 1$  MW DC or Pulsed*

# Primary Controlled Rectifier – In Line SCRs



## Primary Controlled Rectifier – In Line SCRs

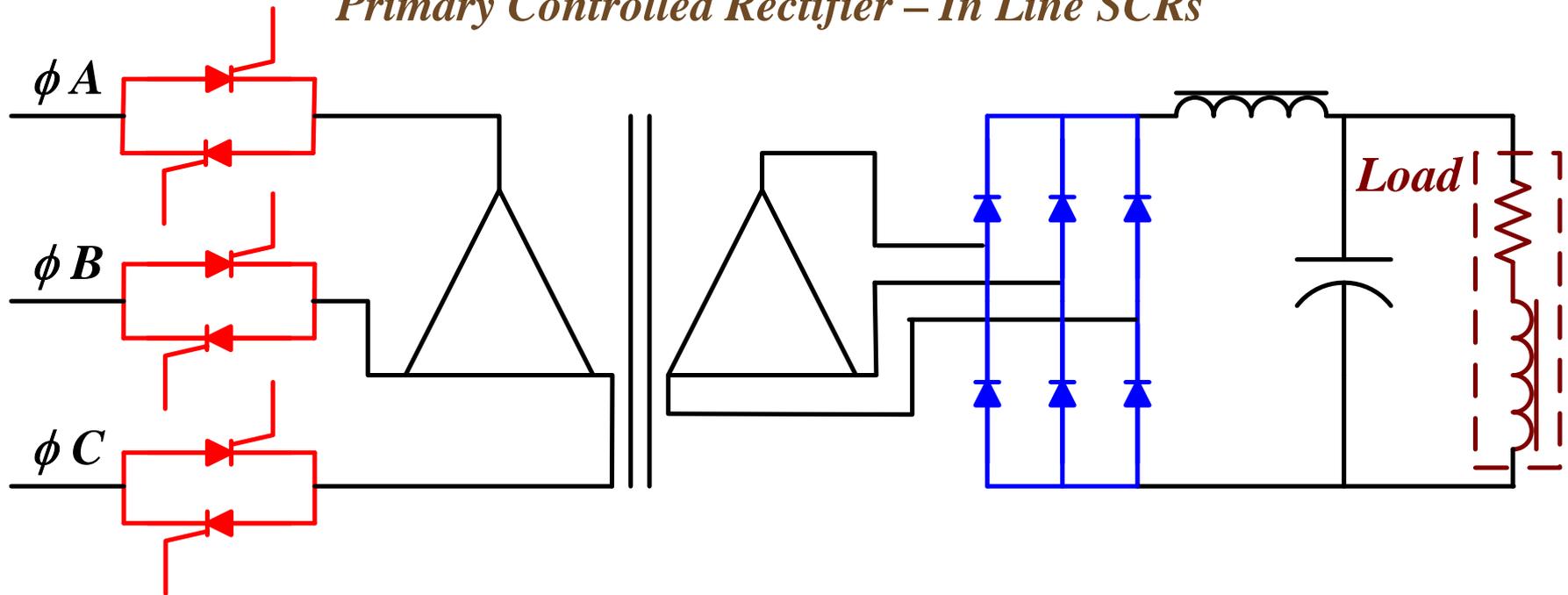


$$V_{RMS} = \sqrt{\frac{1}{\omega T} \int_{\alpha}^{\omega T} \sqrt{2} V_{LL} \sin^2 \omega t \, d\omega t}$$

$$V_{do} = \frac{3\sqrt{2} V_{RMS}}{\pi} * N \quad \text{where } N \text{ is the transformer}$$

*secondary to primary voltage ratio*

## *Primary Controlled Rectifier – In Line SCRs*



### *Advantage Compared To Secondary Control*

- *Keep SCR controls out of the HV and HV oil*

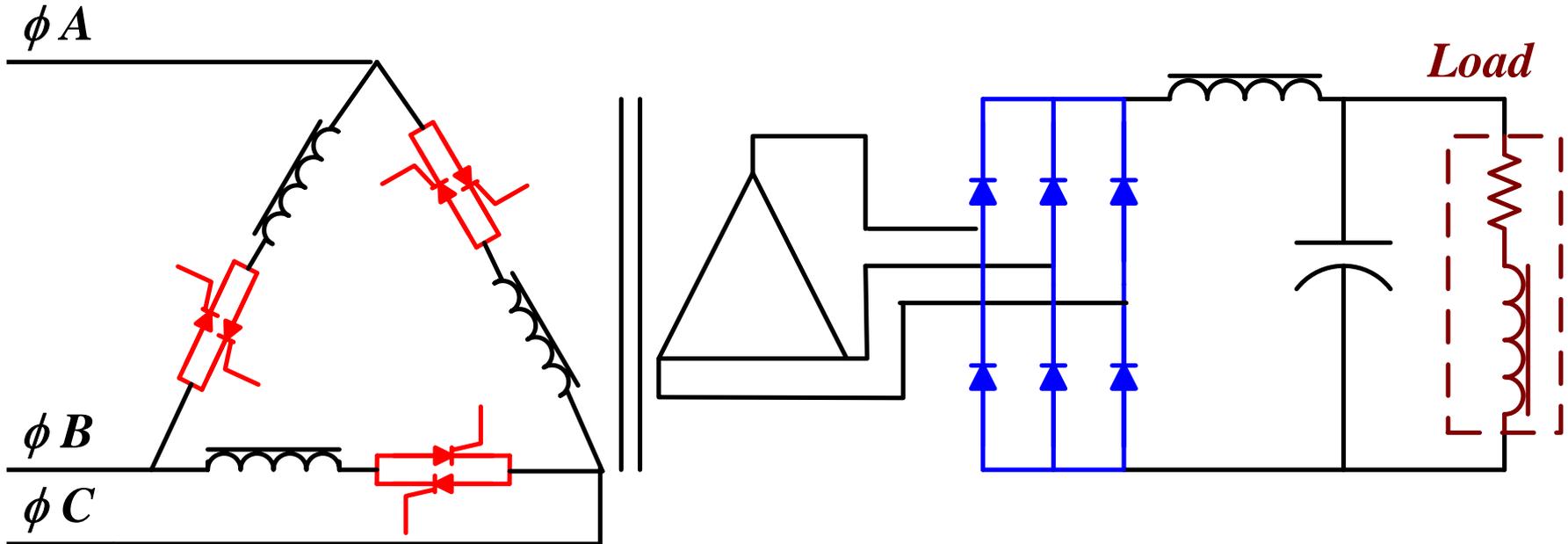
### *Disadvantage Compared To secondary Control*

- *Twice the semiconductors mean higher losses and lower efficiency*

### *Similarities*

- *PF*
- *Input / output harmonics*
- *Output ripple frequency*

## Primary Controlled Rectifier – In Delta SCRs



### *Advantage Compared To In Line SCRs*

- $\frac{1}{\sqrt{3}}$  lower SCR current and power (SCR on-voltage is constant)

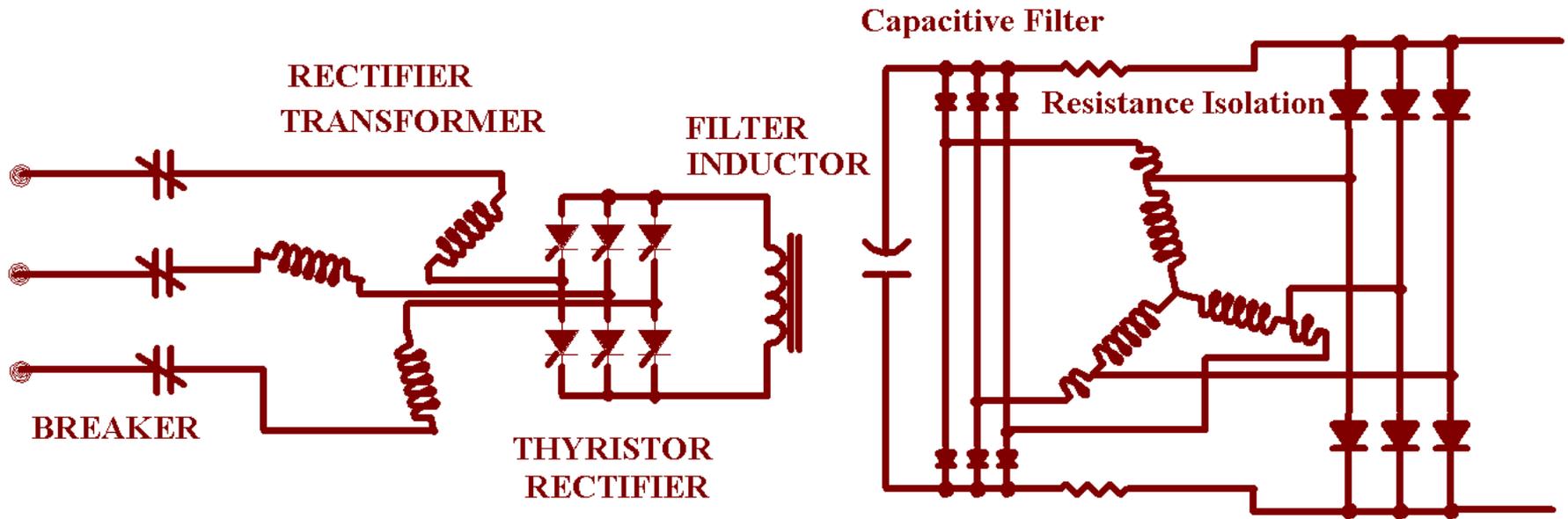
### *Disadvantage*

- Transformer wiring more complex

### *Similarities*

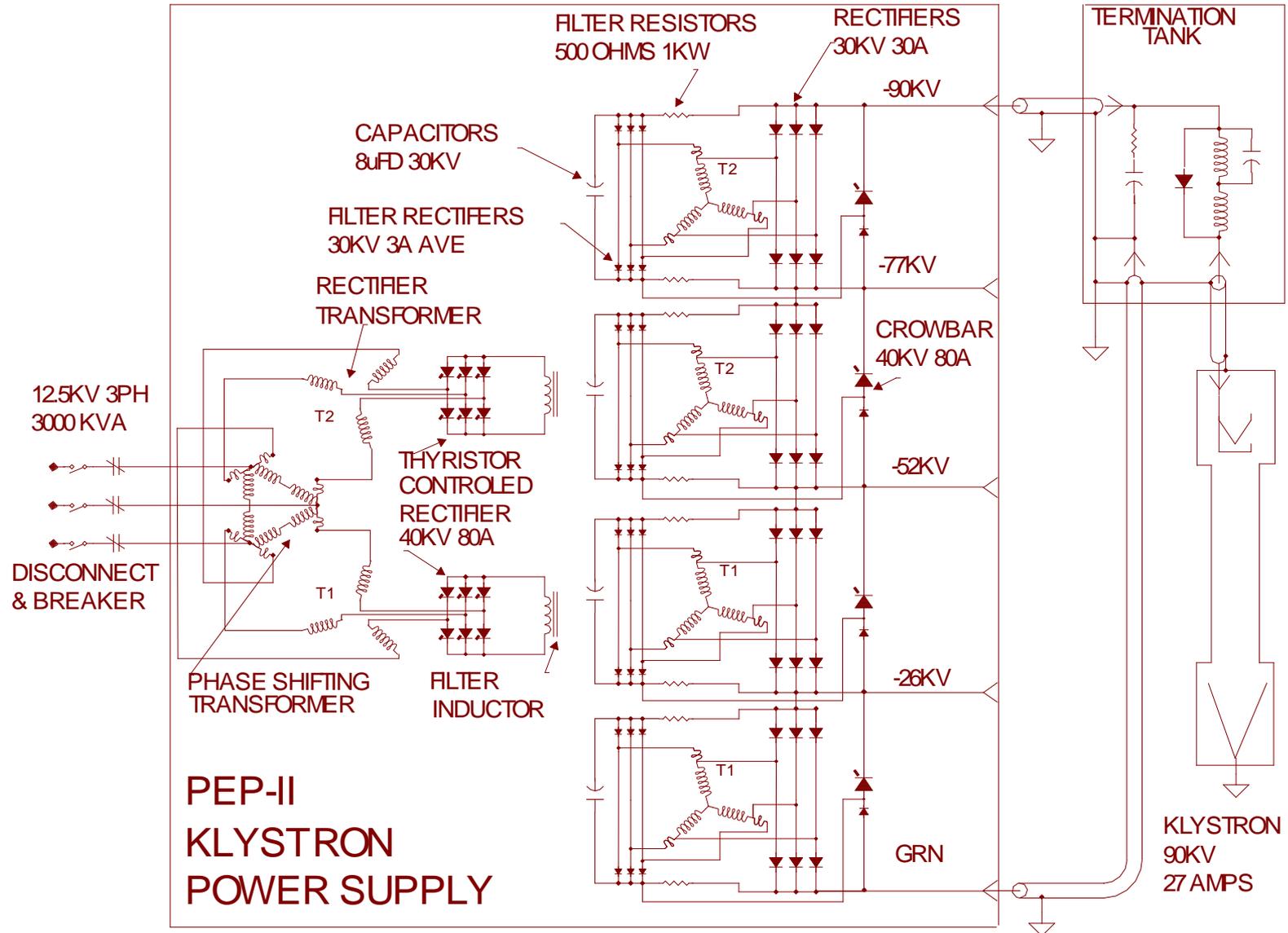
- Other characteristics similar to In Line SCR controller

## 6 Pulse SCR Star Point Rectifier



- *Primary SCR in open wye with filter inductor in lower voltage primary*
- *High voltage secondary with diodes and filter capacitor isolated from main load*
- *Protected against secondary faults. High output impedance, capacitor bank isolated from load*
- *Secondary uses diodes only.*

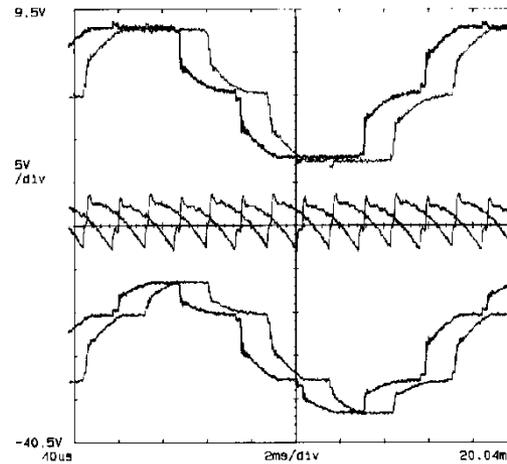
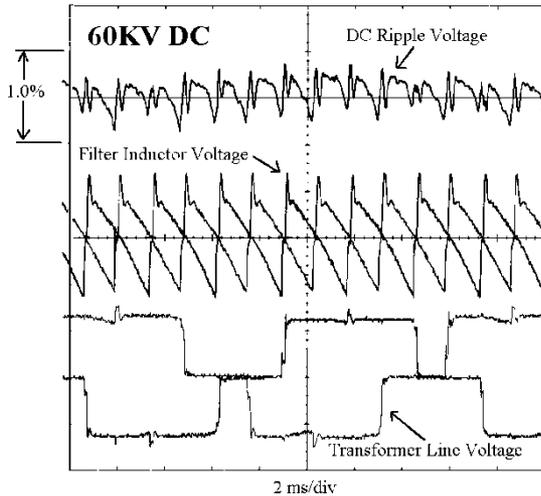
# Multi-Phase SCR Star Point Rectifier with Isolated filter



# 6 Phase SCR Star Point Rectifier

• *Large Joules under Load with Fault from filter Capacitor and Inductor*

KLYSTRON POWER SUPPLY

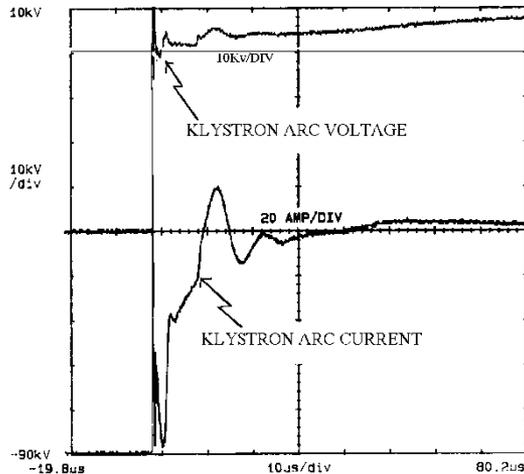


• *Large Joules under Load Fault from filter Capacitor*

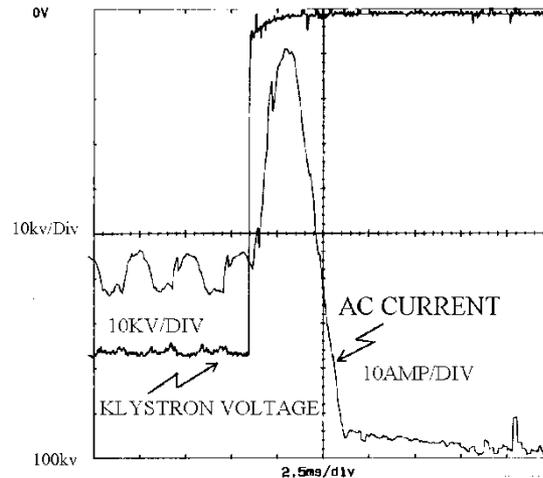
• *Low Joules under Fault*

• *Filter Loss  $V_{max} * I_{ripple}$  or ~ 5% of Load*

KLYSTRON ARC VOLTAGE/CURRENT



AC CURRENT WITH KLYSTRON ARC



• *Low Joules under Fault*

• *Filter Loss  $5% V_{max} * I_{ripple}$  or ~0.03% of Load*

## *Rectifiers - SCR Gate Firing Boards*



### *Enerpro FCOP-1200*

- 12 pulse operation*
- 900 VAC L-L*
- Soft start and stop*
- Phase loss detection*
- Instant gate inhibit*
- Phase reference sense*

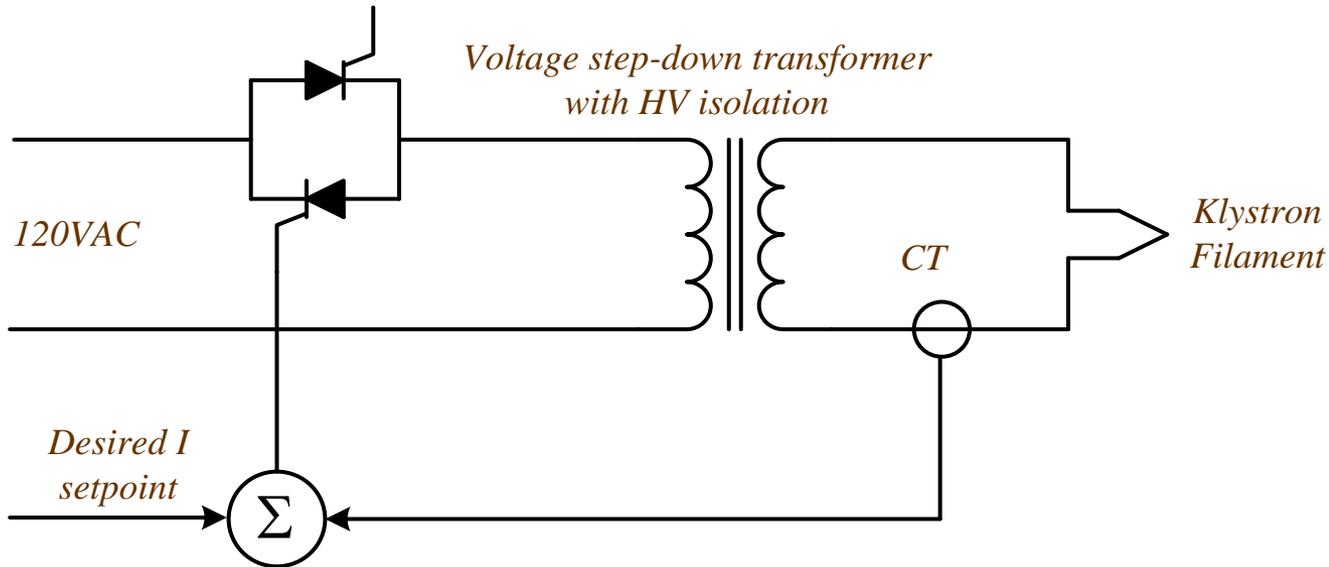
<http://www.enerpro-inc.com>



## *AC Controllers for Filament Power*

- *Klystron filaments need power. In some situations, DC power is undesirable. SLAC experience is that DC power can cause certain electrolysis effects that erode the filaments. Hence we sometimes avoid DC and use AC controllers*
- *So we also briefly discuss AC controllers (Variacs and electronic types), their waveforms, and their suitability to power klystron filaments*
- *We must also be aware that in certain situations AC powered filaments surrounded by a DC magnetic field (such as in an electron beam gun) can cause filament flexing and early filament failure from mechanical stress. We need to use DC power for these filaments.*

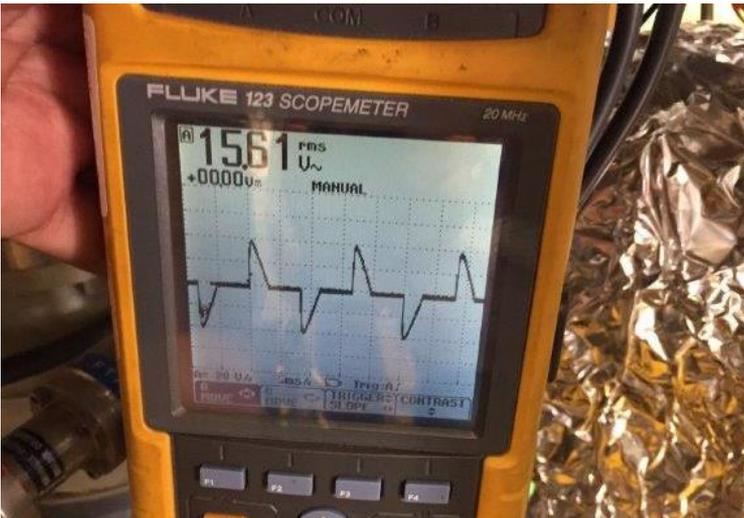
# Fixed Amplitude AC Controllers – Phase Angle Control



$$I(\omega t) = I_{pk} \sin \omega t$$

$$I_{RMS} = \sqrt{\frac{1}{\omega T} \int_{\alpha}^{\pi} (I_{pk} \sin \omega t)^2 d\omega t}$$

$$I_{RMS} = \frac{I_{pk}}{\sqrt{\pi}} \sqrt{\frac{\pi}{2} - \frac{\alpha}{2} + \frac{1}{4} \sin 2\alpha}$$



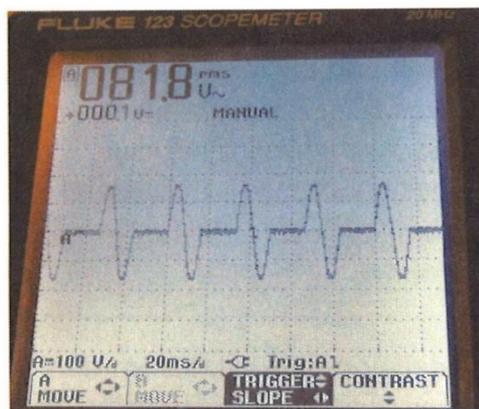
## Fixed Amplitude AC Controllers- Intelligent Half Cycle

- For duty cycles < 50% firing time is two half cycles
- 50% firing and non-firing time are equal at 2 halves on, 2 halves off
- > 50% non-firing time is one-half cycle

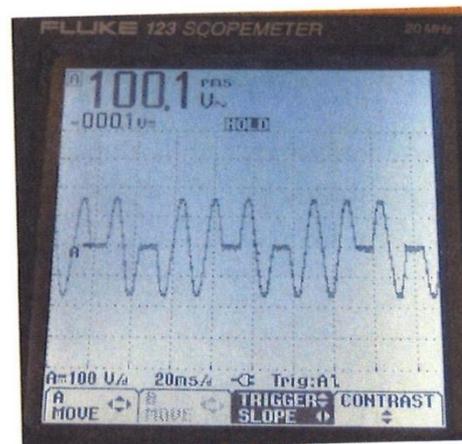
$$I_{RMS} = \frac{\sqrt{\frac{1}{\omega T} \int_0^{\omega T} (I_{pk} \sin \omega t)^2 d\omega t}}{T_{on}/T_{cycle}}$$



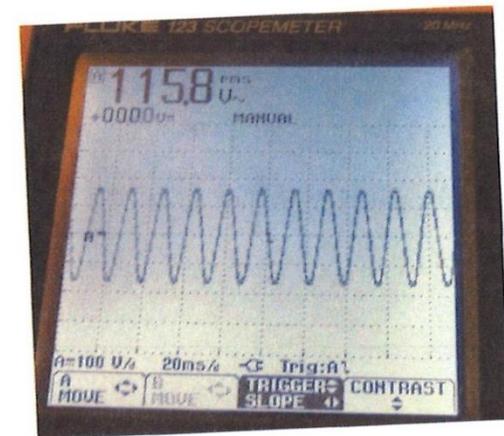
2 on, 8 off, = 20% duty cycle  $8 * 8.3ms = 66.4ms$  off



50%



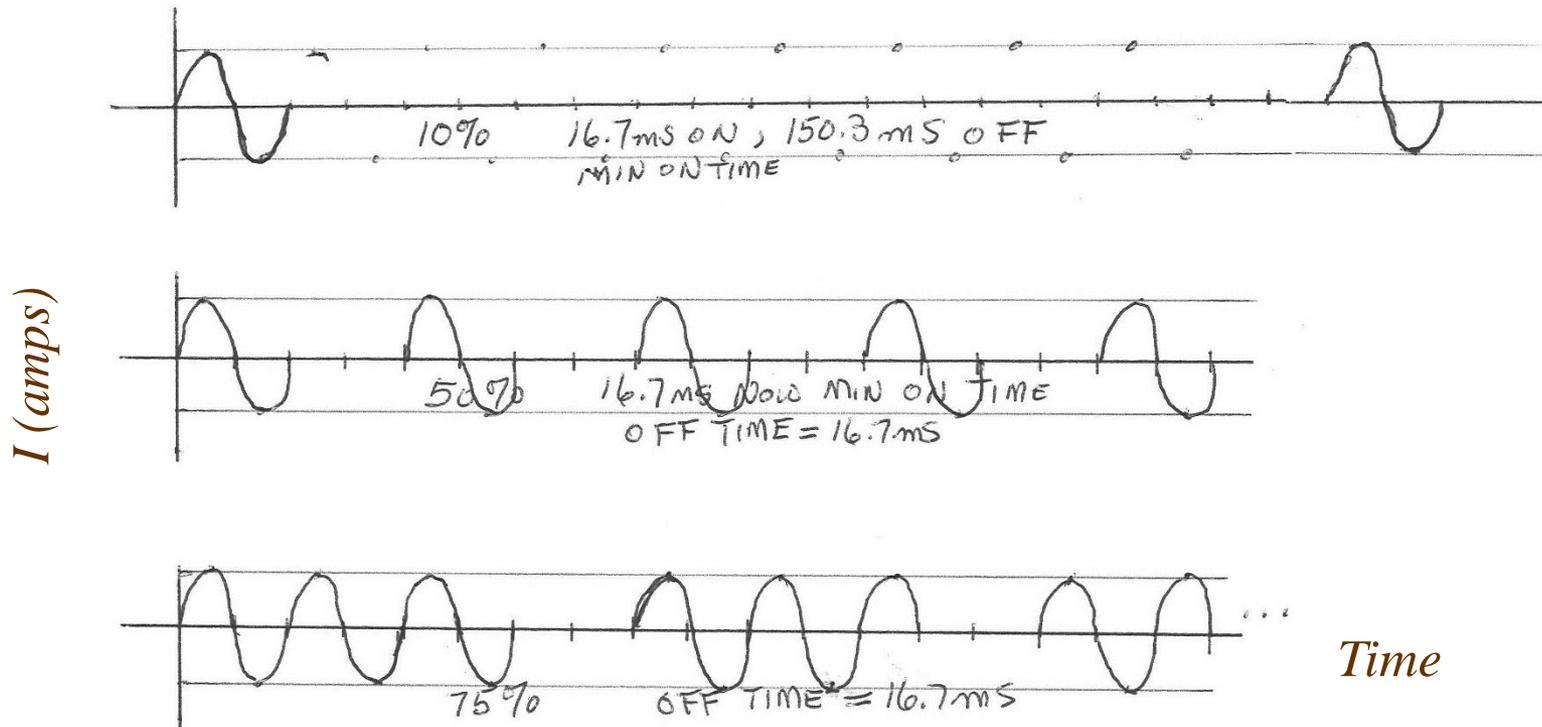
80%



100%

## Fixed Amplitude AC Controllers – Variable Burst Firing

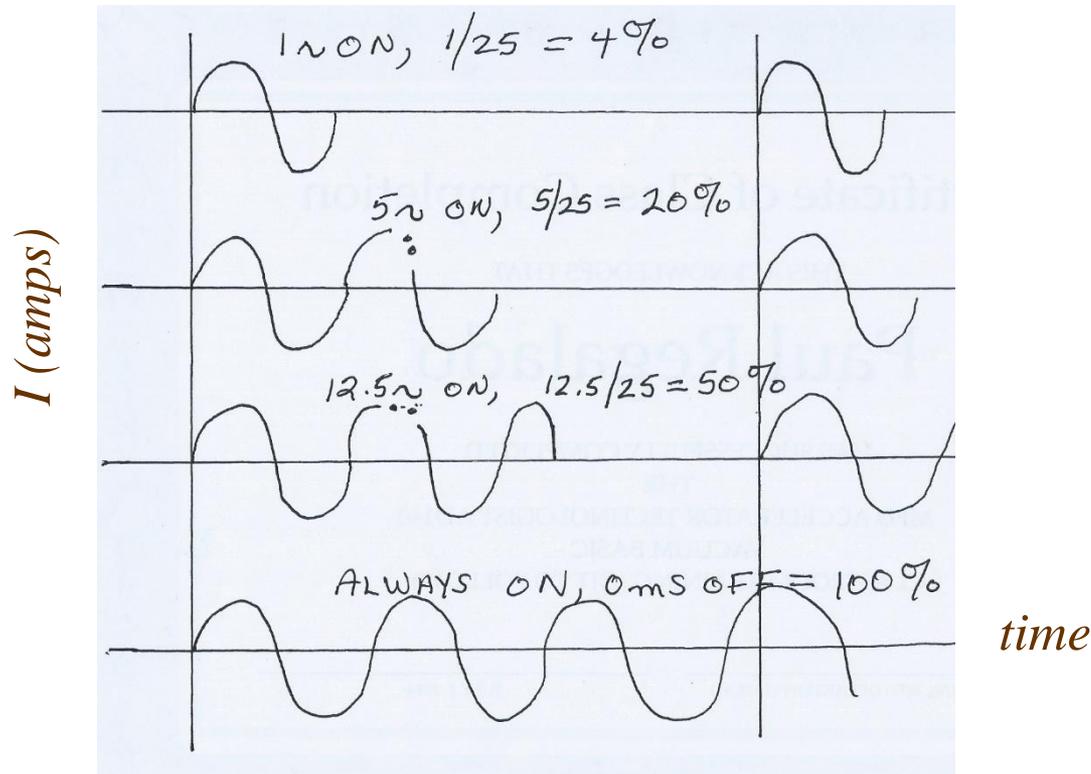
- 0 to 50% of set-point, on time is 16.7ms. Off time is varied to achieve control
- 51% to 100%, off time is 16.7ms. Power is controlled by varying the on cycles



$$I_{RMS} = \frac{\sqrt{\frac{1}{\omega T} \int_0^{\omega T} (I_{pk} \sin \omega t)^2 d\omega t}}{T_{on} / T_{cycle}}$$

## Fixed Amplitude AC Controllers - Burst Fixed Firing

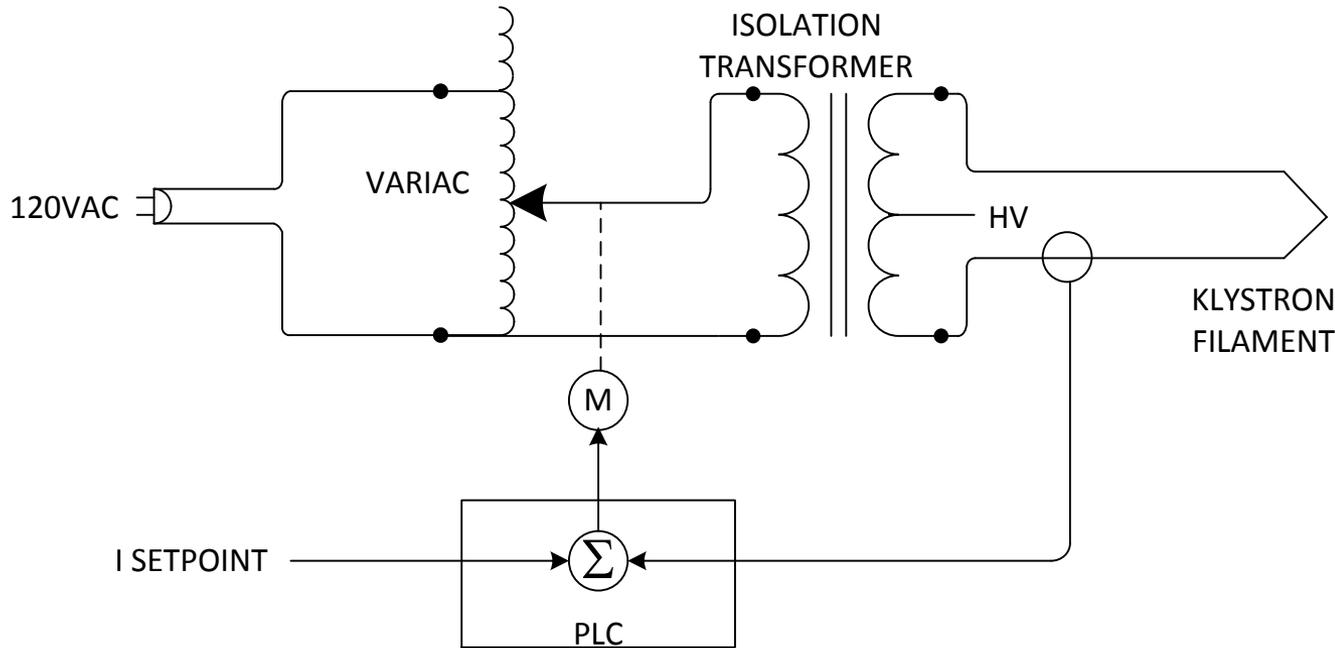
Fixed Cycle Time 25 periods to 1000 periods – Use 25 periods here



$$I_{RMS} = \frac{\sqrt{\frac{1}{\omega T} \int_0^{\omega T} (I_{pk} \sin \omega t)^2 d\omega t}}{T_{on}/T_{cycle}}$$

$$T_{cycle} = 25 \text{ periods} = 417.5 \text{ms}$$

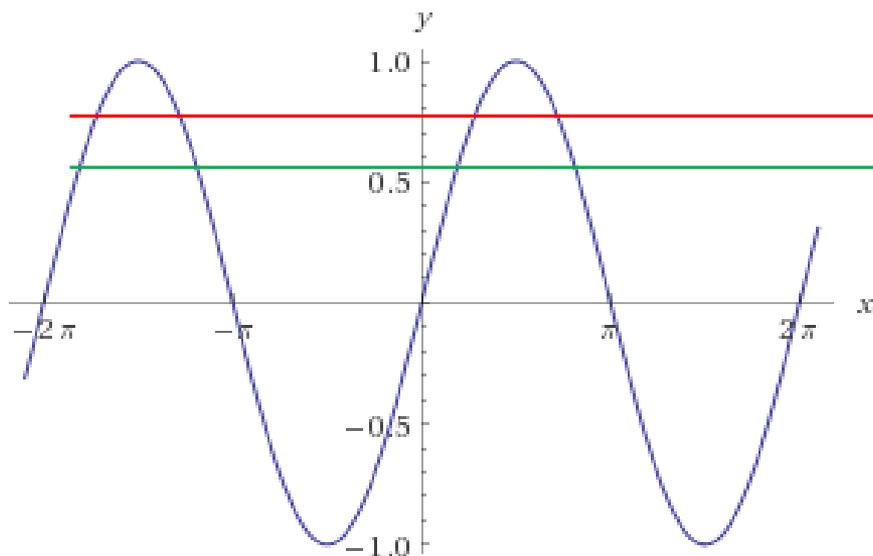
## Variable Amplitude AC – Variac Controller



*Requires motor driven Variac. More maintenance than solid-state, few manufacturers, difficult to obtain spare parts in future*



## Variable Amplitude AC Waveform



$$I_{AVG}(DC) = \frac{1}{\omega T} \int_0^{\omega T} I_{pk} \sin \omega t \, d\omega t$$

$$I_{AVG} = 0.636 * I_{pk}$$

$$I_{pk} = 1.57 * I_{AVG}$$

$$I_{RMS} = \sqrt{\frac{1}{\omega T} \int_0^{\omega T} (I_{pk} \sin \omega t)^2 \, d\omega t}$$

$$I_{RMS} = \frac{I_{pk}}{\sqrt{2}}$$

$$I_{pk} = 1.41 * I_{RMS}$$

- *Sinusoidal varying current –mechanical and thermal stress on filament*
- *I and V peaks only as large as needed*



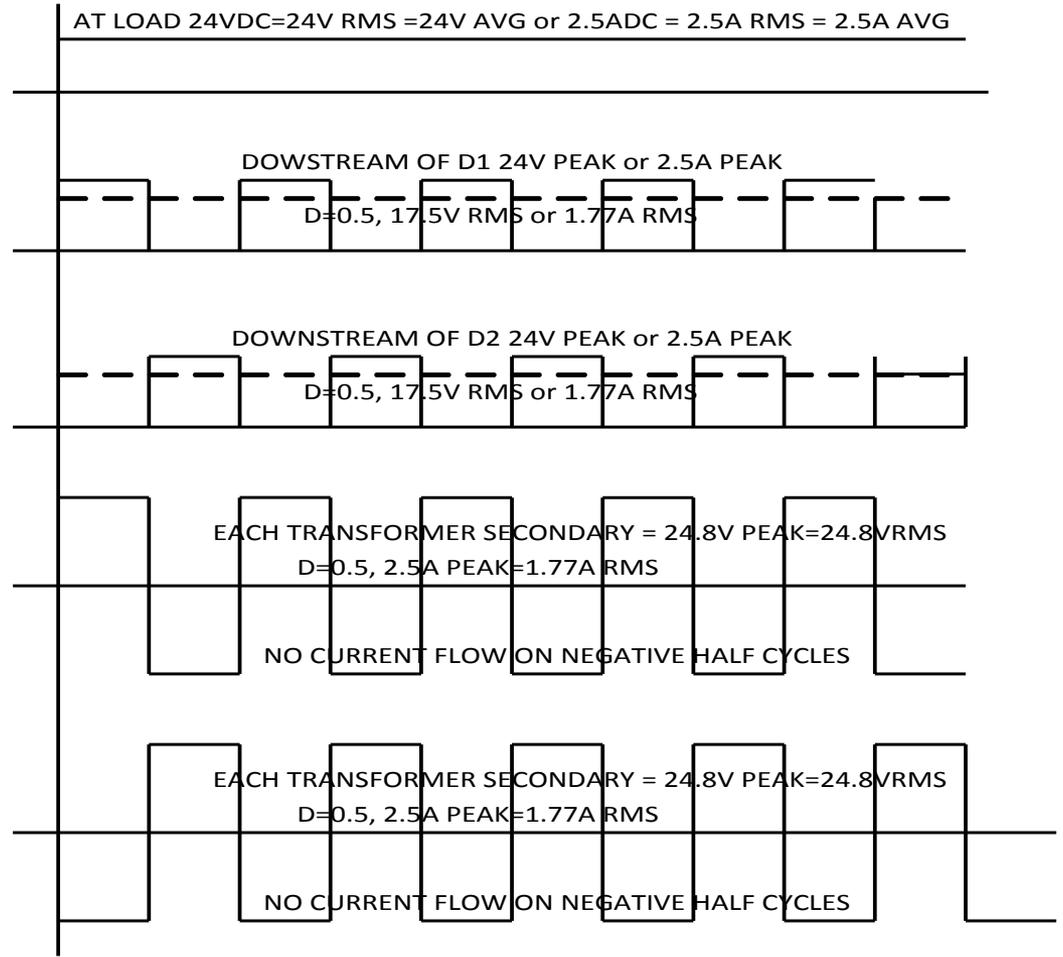
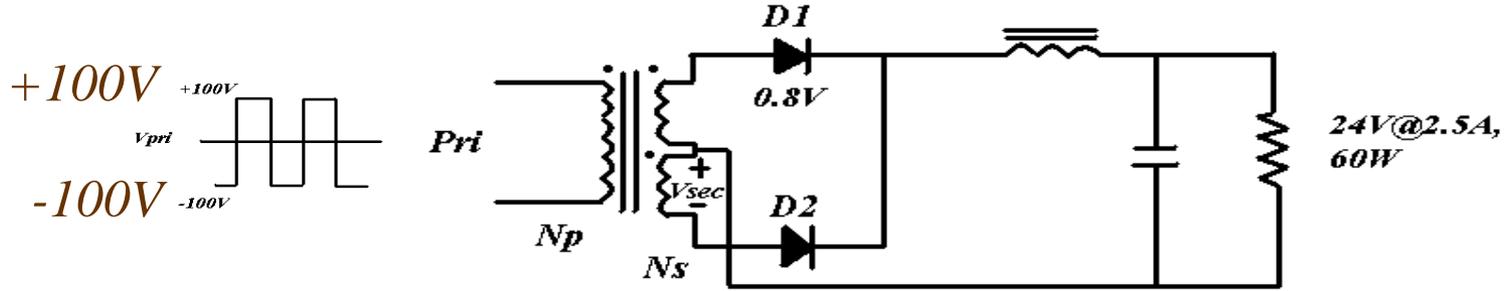


## *AC Controllers for Filaments*

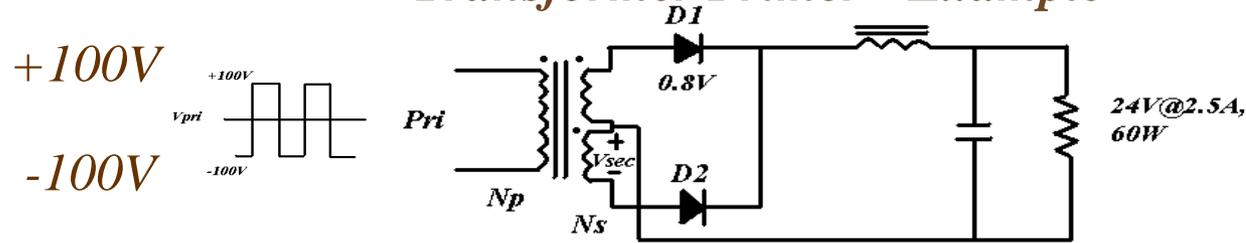
<i>Controller Type</i>	<i>Type</i>	<i>Stress Types</i>
<i>Variac</i>	<i>Variable Amplitude AC</i>	<i>Least thermal stress from AC current – no off time</i>
<i>Intelligent half-cycle</i>	<i>Fixed Amplitude AC</i>	<i>Thermal stress from AC current – short off time</i>
<i>Burst Variable</i>	<i>Fixed Amplitude AC</i>	<i>Thermal stress from AC current – long off time</i>
<i>Burst Fixed</i>	<i>Fixed Amplitude AC</i>	<i>Thermal stress from AC current – longest off time</i>
<i>Phase Angle Triggered</i>	<i>Fixed Amplitude AC</i>	<i>Thermal and mechanical stresses from chopped AC current</i>



# Transformer Primer - Example



## Transformer Primer - Example



$$V_{load} = 24VDC = V_{peak} = V_{rms} \quad I_{load} = 2.5A = I_{peak} = I_{rms}$$

$$P_{load} = 24V * 2.5A = 60W$$

$$V_{secrms} = V_{secpeak} * \sqrt{D} = 24.8V * \sqrt{0.5} = 17.5V \text{ each winding}$$

$$V_{secrms} = \sqrt{17.5V^2 + 17.5V^2} = 24.8V \text{ both windings}$$

$$I_{secrms} = \sqrt{1.77A^2 + 1.77A^2} = 2.5A \text{ total from both windings}$$

$$P_{sec} = V_{secrms} * I_{secrms} = 24.8V * 2.5A = 62W \text{ both secondaries}$$

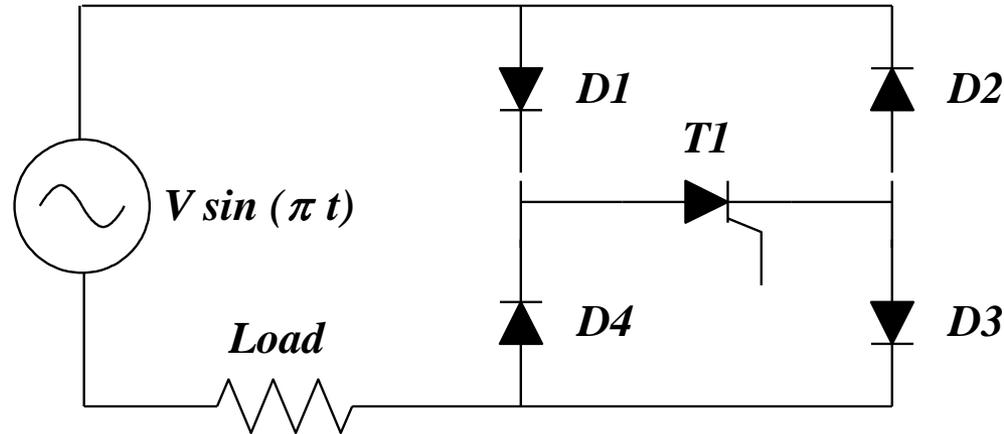
$$V_{pri rms} = V_{pri peak} * \sqrt{D} = 100V * \sqrt{1} = 100V$$

$$I_{pri rms} = \frac{V_{rms sec}}{V_{rms pri}} * I_{sec rms} = \frac{24.8V}{100V} * 2.5A = 0.62A$$

$$P_{pri} = 100V * 0.62A = 62W$$

$$Eff = \frac{P_{load}}{P_{sec}} * 100\% = \frac{60W}{62W} * 100\% = 96.8\%$$

## Rectifiers - Homework Problem # 8



Assume ideal components in the phase-controlled circuit above. For a purely resistive load:

A. Explain how the circuit operates

B. Draw the load voltage waveform and determine the boundary conditions of the delay angle  $\alpha$

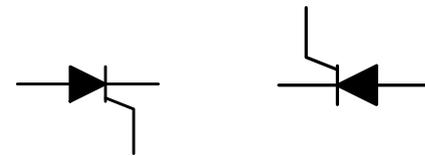
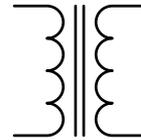
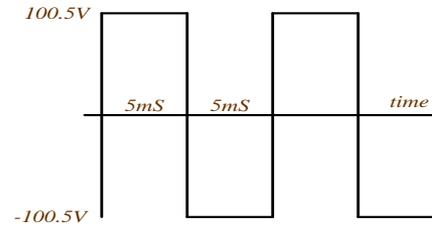
C. Calculate the average load voltage and average load current as a function of  $\alpha$

D. Find the RMS value of the load current. Help:  $\int \sin^2 ax dx = \frac{x}{2} - \frac{\sin 2ax}{4a}$

## Rectifiers - Homework Problem # 9

Given the following:

- *Input voltage waveform*
- *Lossless transformer*
- *Two SCRs, each with conducting voltage drop of 1V.*
- *Inductor, lossless, with very large inductance. Capacitor, large and lossless*
- *Resistor, 10 ohms, capable of very large power dissipation*
- *Circuit operating under steady-state conditions (i.e. all transients have subsided)*





## *Rectifiers - Homework Problem # 9 Continued*

### *Problem*

*A. With the SCRs triggering retard angle at zero degrees, arrange the circuit to provide a full-wave, rectified, and properly low-pass filtered DC output of 200V into the 10ohm load resistor.*

*B. Calculate the load current and power*

*C. Determine the needed transformer turns ratio.*

*D. Calculate the circuit efficiency*

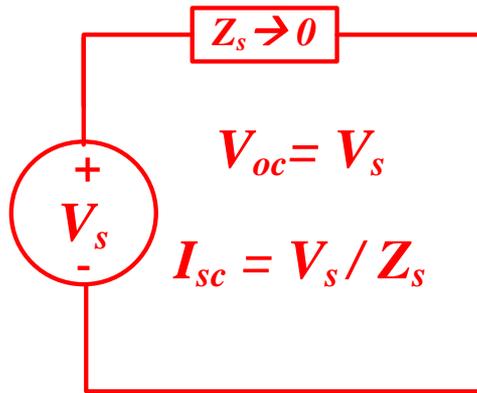
*Increase the SCRs trigger retard angle to 90 degrees and*

*F. Calculate the new output voltage, current, and power*

*G. Determine the new circuit efficiency*

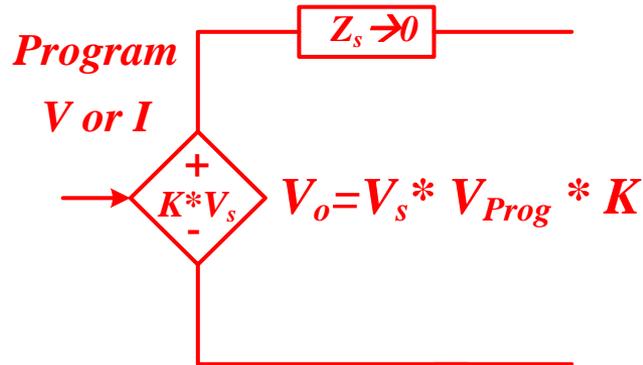
# Thevenin Voltage Sources

## Independent



- A way to analyze any complex source and load network
- Provides a constant output voltage regardless of the output current
- Fixed DC output voltage

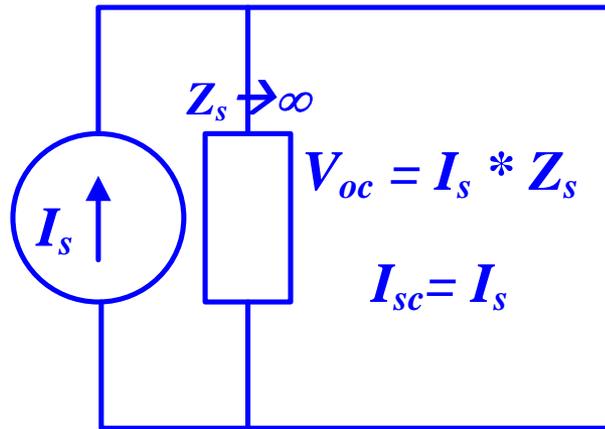
## Dependent



- Provides a constant output voltage regardless of the output current
- Continuously adjustable
- $V_o$  dependent on  $V_{Prog}$  ( $V_{Ref}$ )

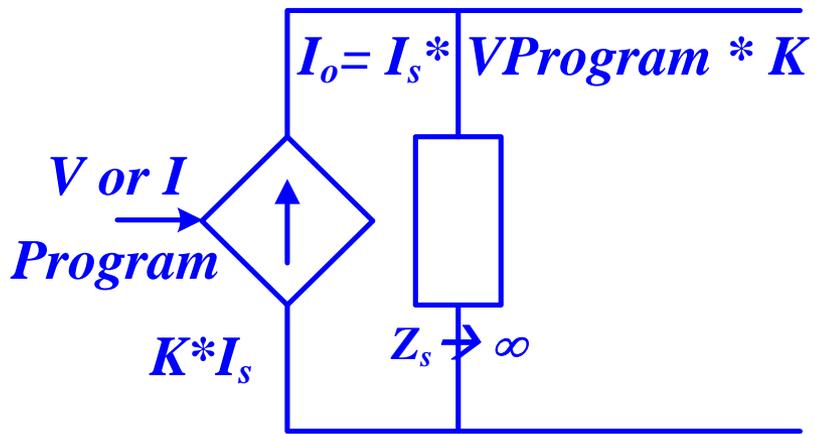
# Norton Current Sources

## Independent



- Provides a constant output current regardless of the output voltage
- Fixed DC output current

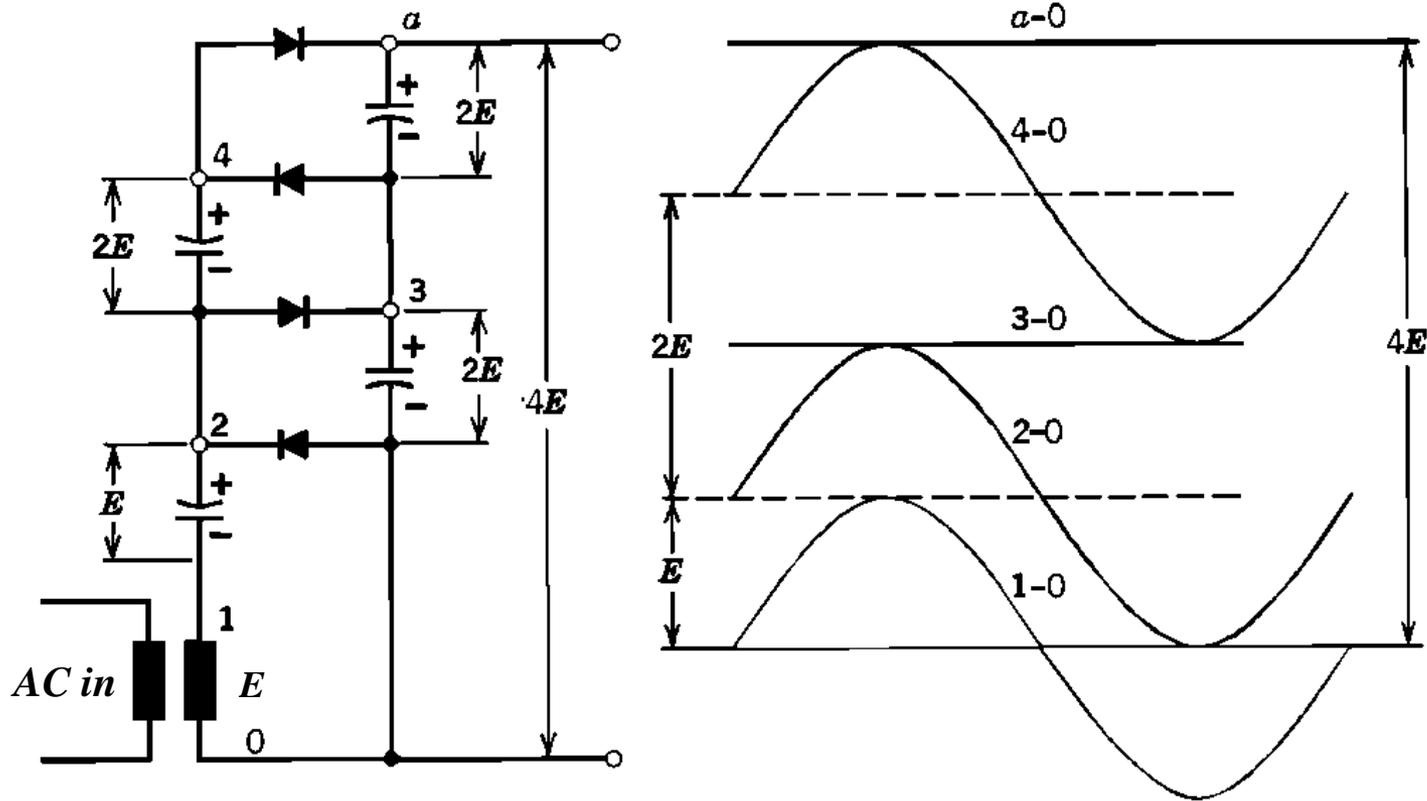
## Dependent



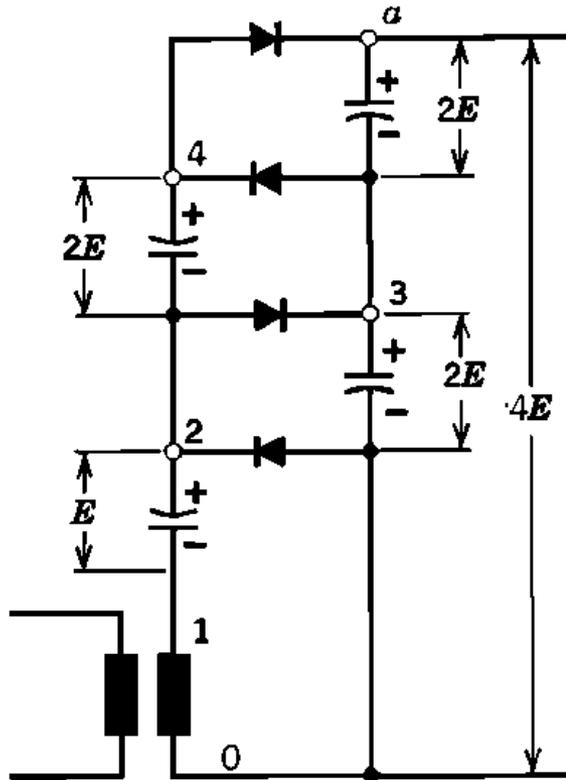
- Provides a constant output current regardless of the output voltage
- Continuously adjustable
- $I_o$  dependent on  $V_{Prog}$  ( $V_{Ref}$ )

# High Voltage Low Current DC supplies

## Voltage Multipliers, Cockcroft Walton or Cascade Supplies

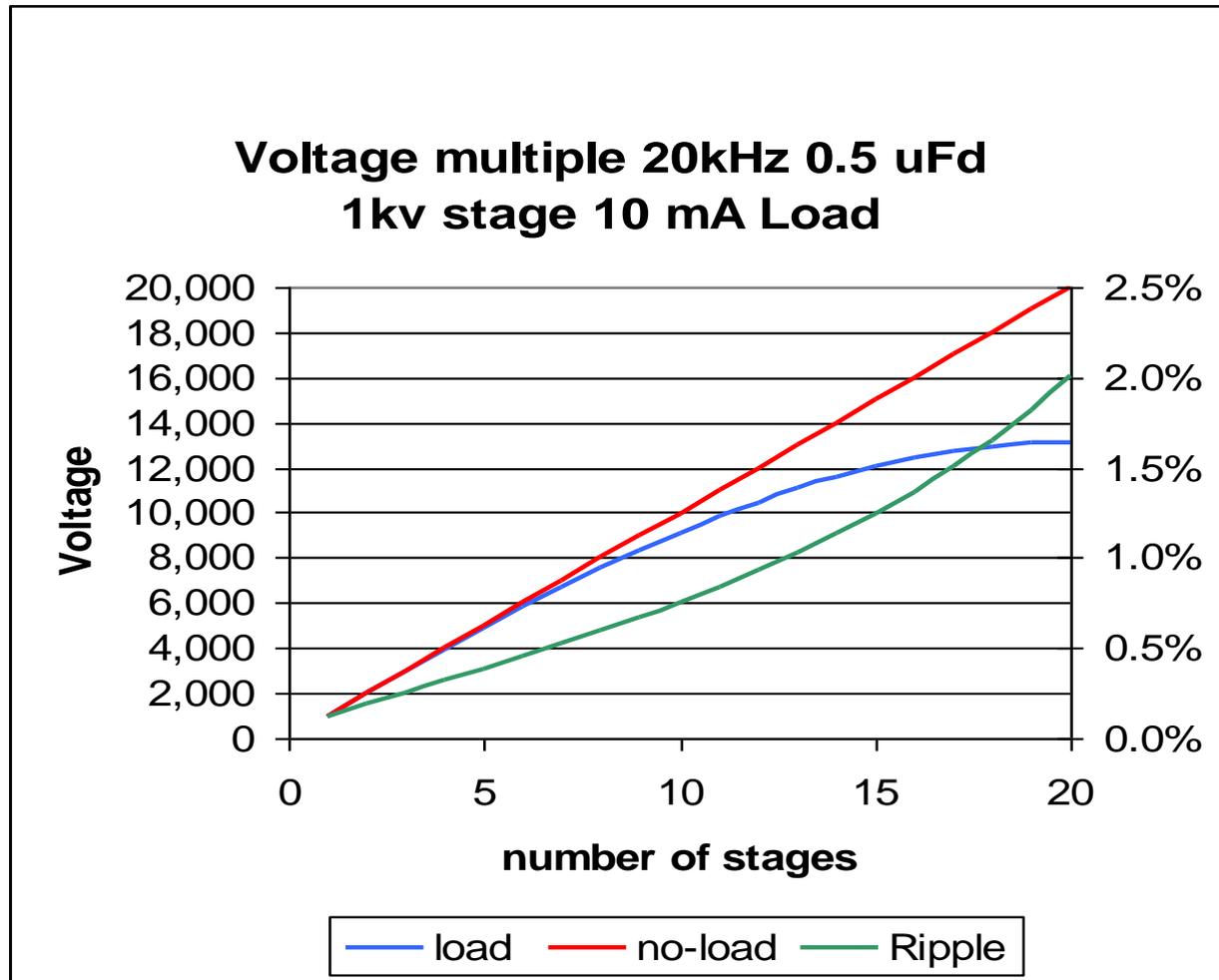


## High Voltage Low Current DC supplies



- *Voltage multipliers or cascaded supplies*
- *Electron beam gun supplies and deflector supplies*
- *Half-wave, full-wave, three-phase, or six phase*
- *20kV to 1,000 kV, 0 to 10 mA DC*
- *Requires high frequency input drive ~ 5 kHz to 50 kHz, but at low instantaneous power*
- *Provides low frequency, but high instantaneous power output*
- *Advantages – simple, reliable, inexpensive*
- *Disadvantages- low output power, poor regulation high output ripple, high output Z, 1st stage draws high current*

## High Voltage Multiplier DC supplies



### •Disadvantages:

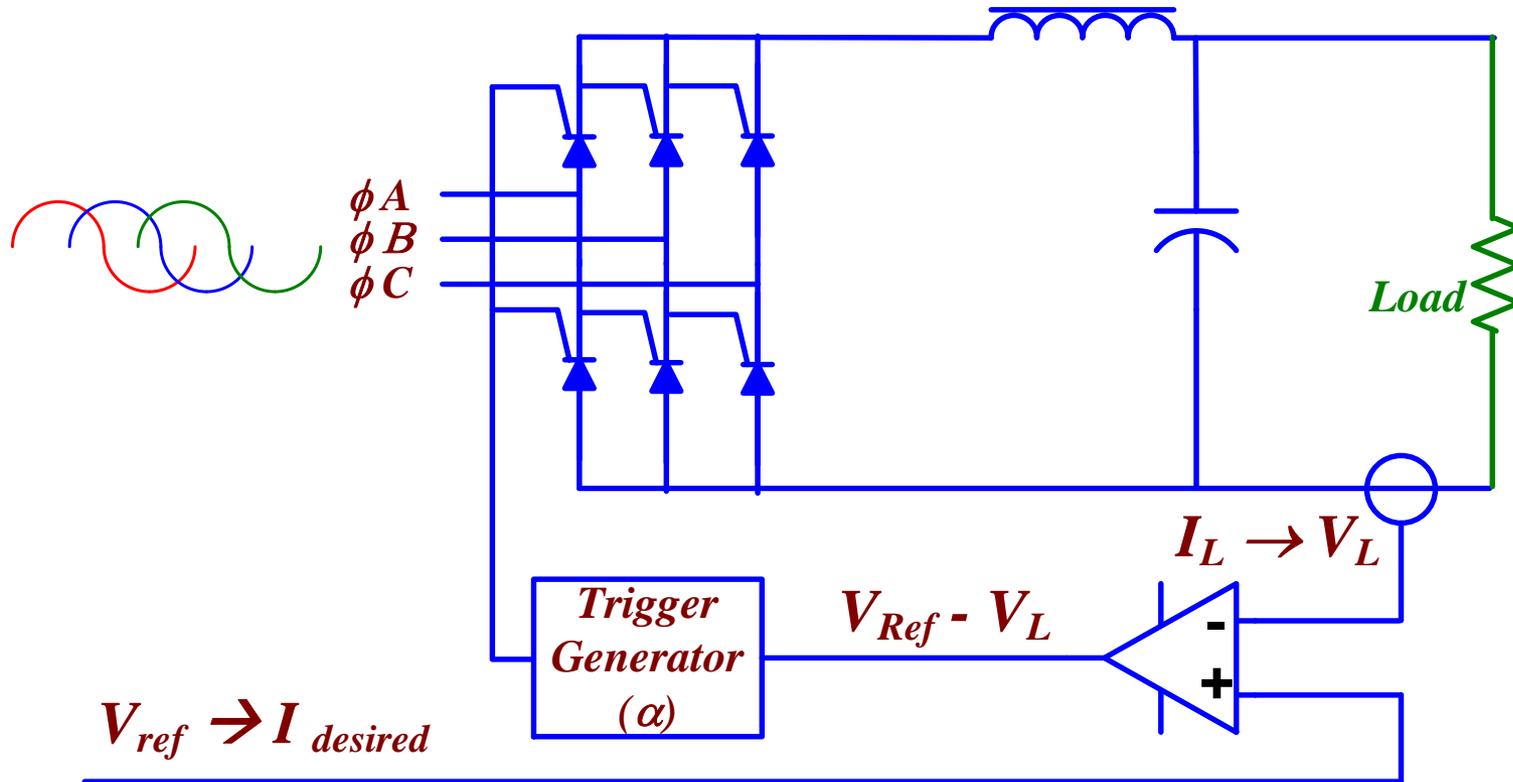
Poor regulation

$$E_{drop} = (I_{load} / (f * C)) * (2 / 3 * n^3 + n^2 / 2 - n / 6)$$

Large ripple

$$E_{ripple} = (I_{load} / (f * C)) * n * (n + 1) / 2$$

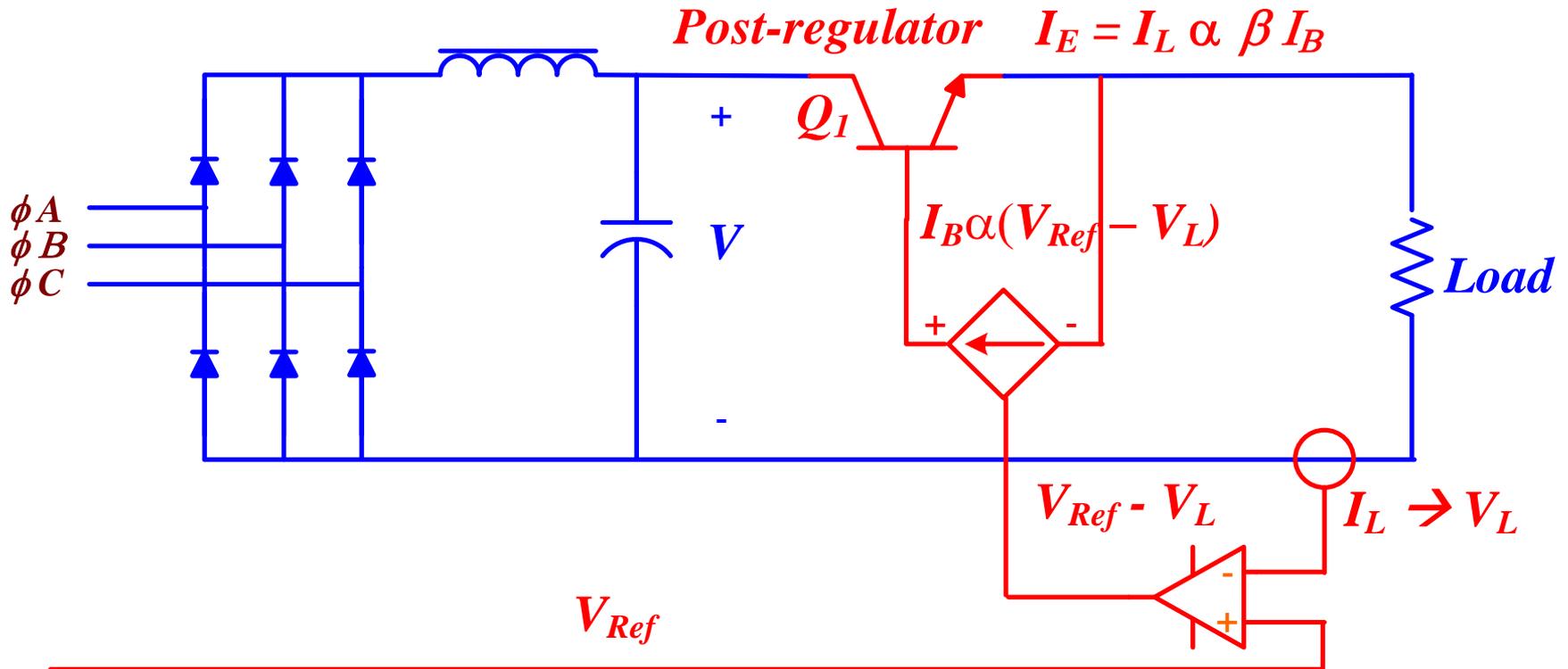
## SCR Rectifier / Regulator Current Source



Reference Change	$V_{Ref} \uparrow$	$V_{Ref} - V_L \uparrow$	$\alpha \downarrow, I_L \uparrow$
Reference Change	$V_{Ref} \downarrow$	$V_{Ref} - V_L \downarrow$	$\alpha \uparrow, I_L \downarrow$
Load I Correction	$I_L \uparrow$	$V_{Ref} - V_L \downarrow$	$\alpha \uparrow, I_L \downarrow$
Load I Correction	$I_L \downarrow$	$V_{Ref} - V_L \uparrow$	$\alpha \downarrow, I_L \uparrow$

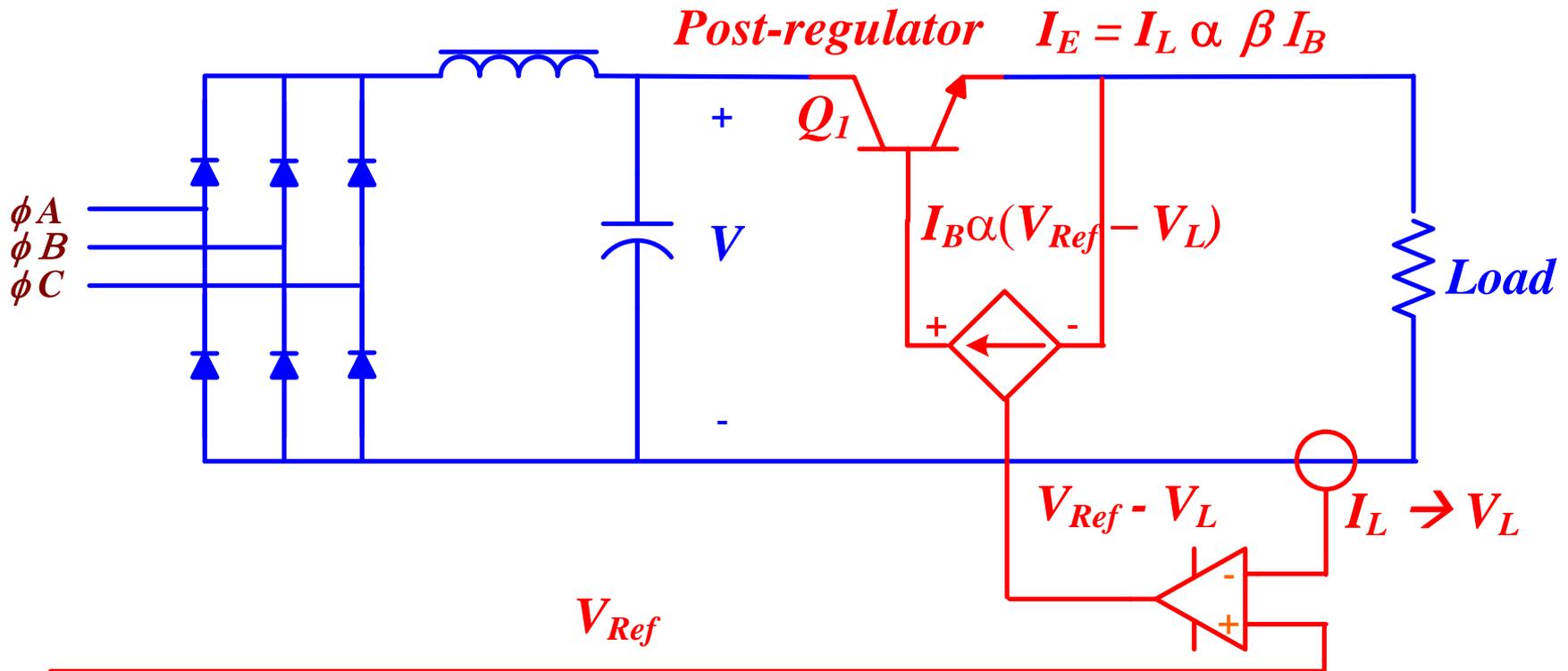
**Disadvantage:** Line commutated, low bandwidth, some fast changes not regulated

# Diode Rectifier With Linear Post-Regulator To Improve Response



<i>Reference Change</i>	$V_{Ref} \uparrow$	$I_B \alpha V_{Ref} - V_L \uparrow$	$I_E = I_L \uparrow$
<i>Reference Change</i>	$V_{Ref} \downarrow$	$I_B \alpha V_{Ref} - V_L \downarrow$	$I_E = I_L \downarrow$
<i>Load I Correction</i>	$I_L \uparrow$	$I_B \alpha V_{Ref} - V_L \downarrow$	$I_E = I_L \downarrow$
<i>Load I Correction</i>	$I_L \downarrow$	$I_B \alpha V_{Ref} - V_L \uparrow$	$I_E = I_L \uparrow$

## Diode Rectifier With Linear Post-Regulator To Improve Response



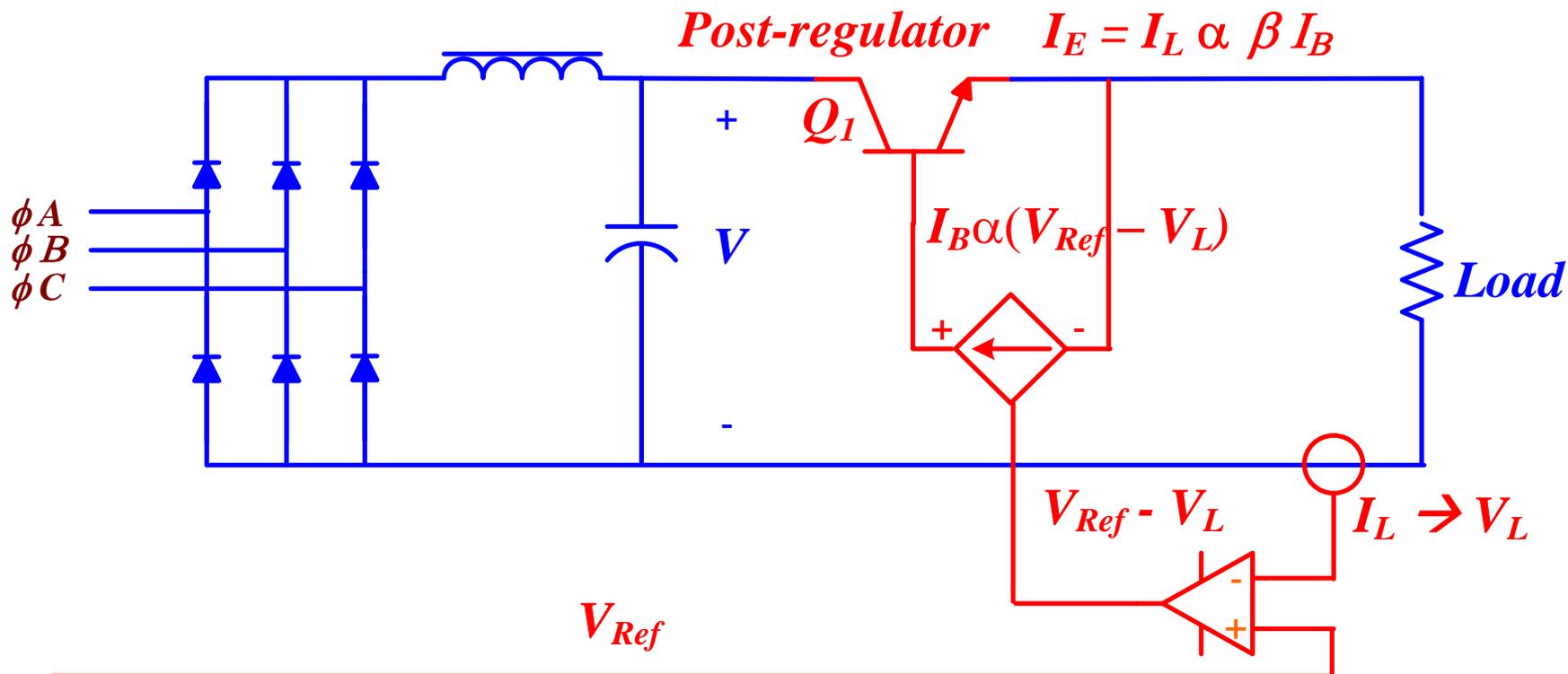
*Regulation occurs by changing the transistor  $Q1$  resistance*

$$R_{Q1} = \frac{V_{CE}}{I_E} = \frac{V - V_L}{I_L}$$

*$V$  is constant, so if  $I_L \uparrow, V_L \uparrow, V - V_L \downarrow, R_{Q1} \downarrow$*

*if  $I_L \downarrow, V_L \downarrow, V - V_L \uparrow, R_{Q1} \uparrow$*

## Diode Rectifier With Linear Post-Regulator To Improve Response

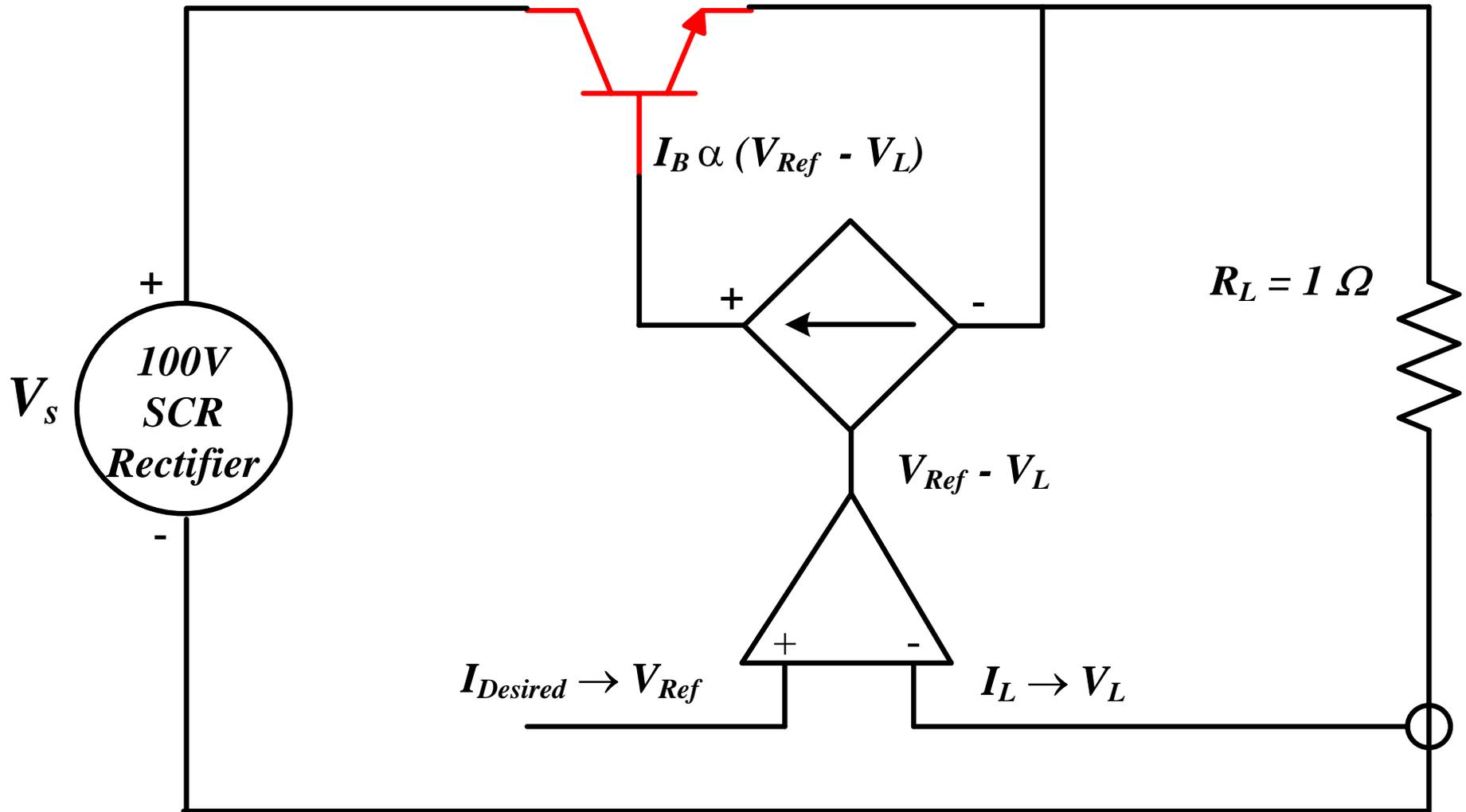


- *Output  $I$  sensed and deviations due to programming, load or other changes are corrected by changing the resistance of the post-regulator.*
- *Broader bandwidth than line-commutated type*
- *Very inefficient topology, except when full output is required*



# Linear Regulator Disadvantage

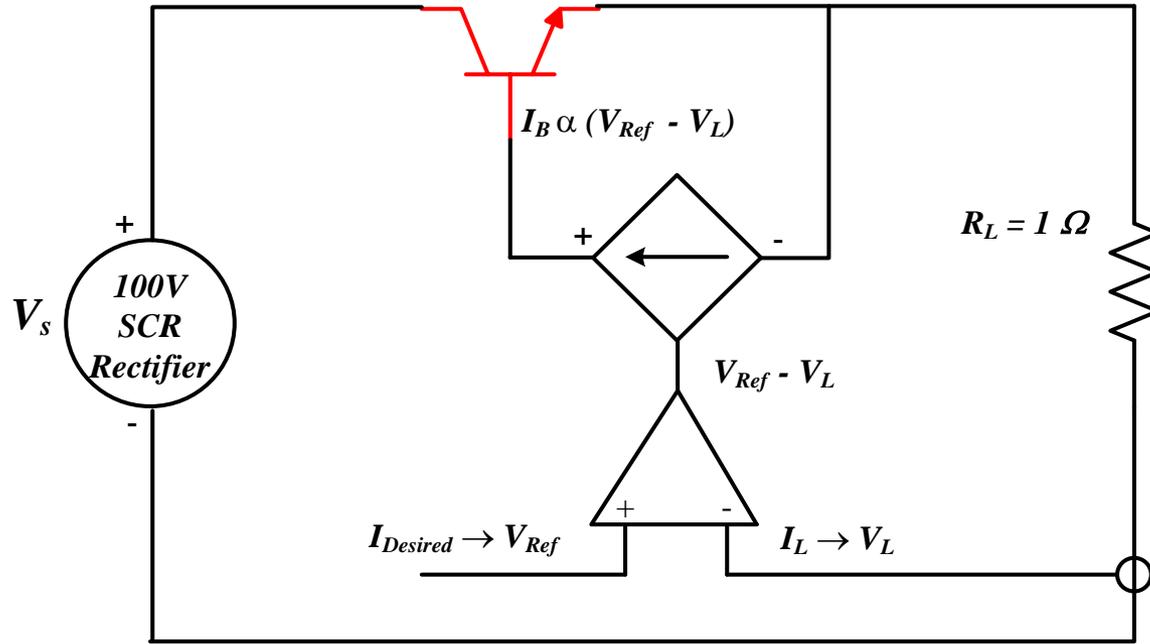
Linear pass transistor,  $Q1$   $V_{CESat} = 1V$ ,  $I_E = I_L \propto \beta I_B$





## Linear Regulator Disadvantage

Linear pass transistor, Q1  $V_{CEsat} = 1V$ ,  $I_E = I_L \propto \beta I_B$



$$V_S = 100V$$

$$I_L = 0 \rightarrow 99A$$

$$V_{Q1} = V_S - V_L$$

$$I_S = I_L$$

$$V_L = I_L * R_L$$

$$P_{Q1} = V_{Q1} * I_{Q1}$$

$$P_S = V_S * I_S$$

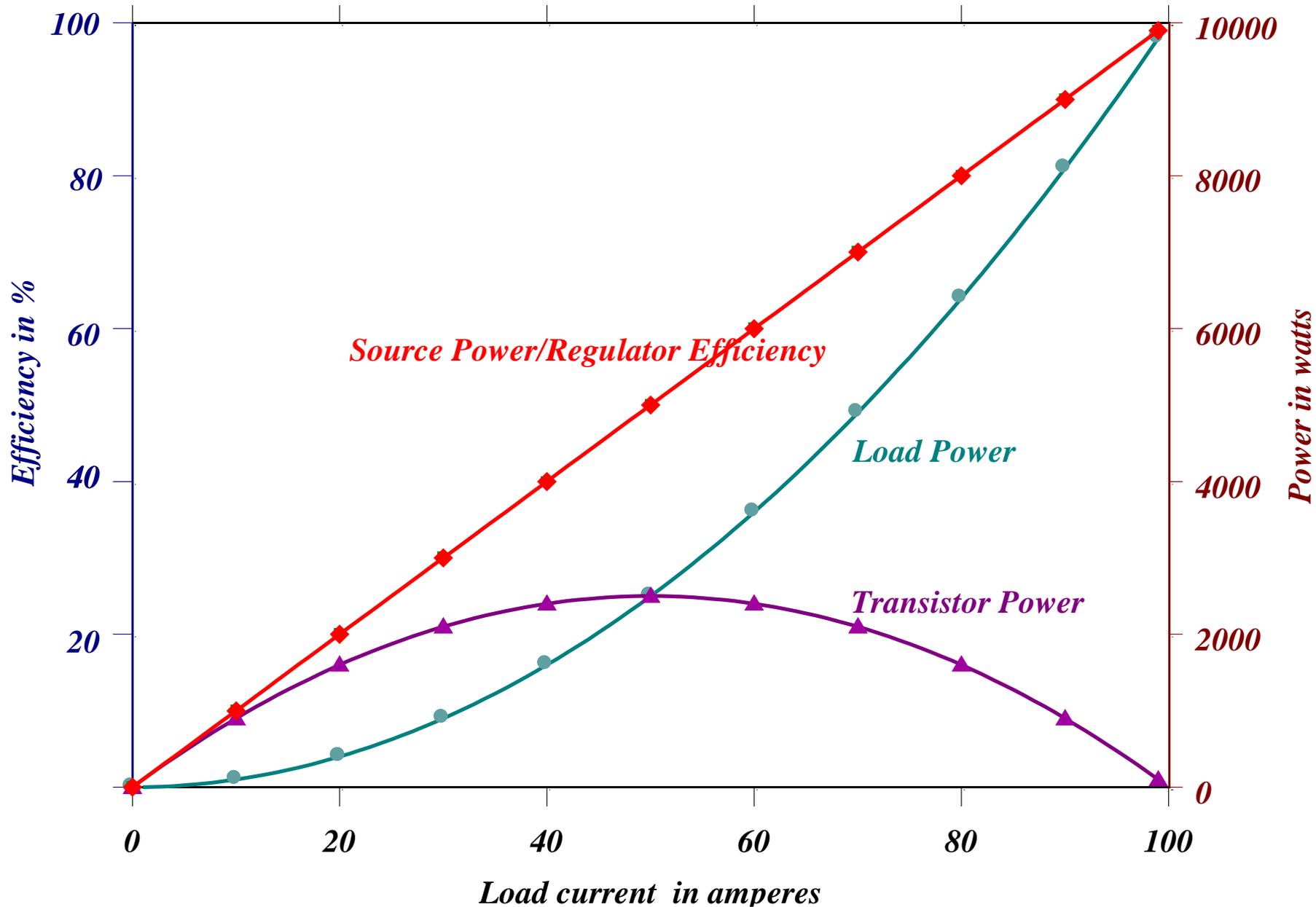
$$P_L = V_L * I_L$$

$$Eff = \frac{P_L}{P_S}$$

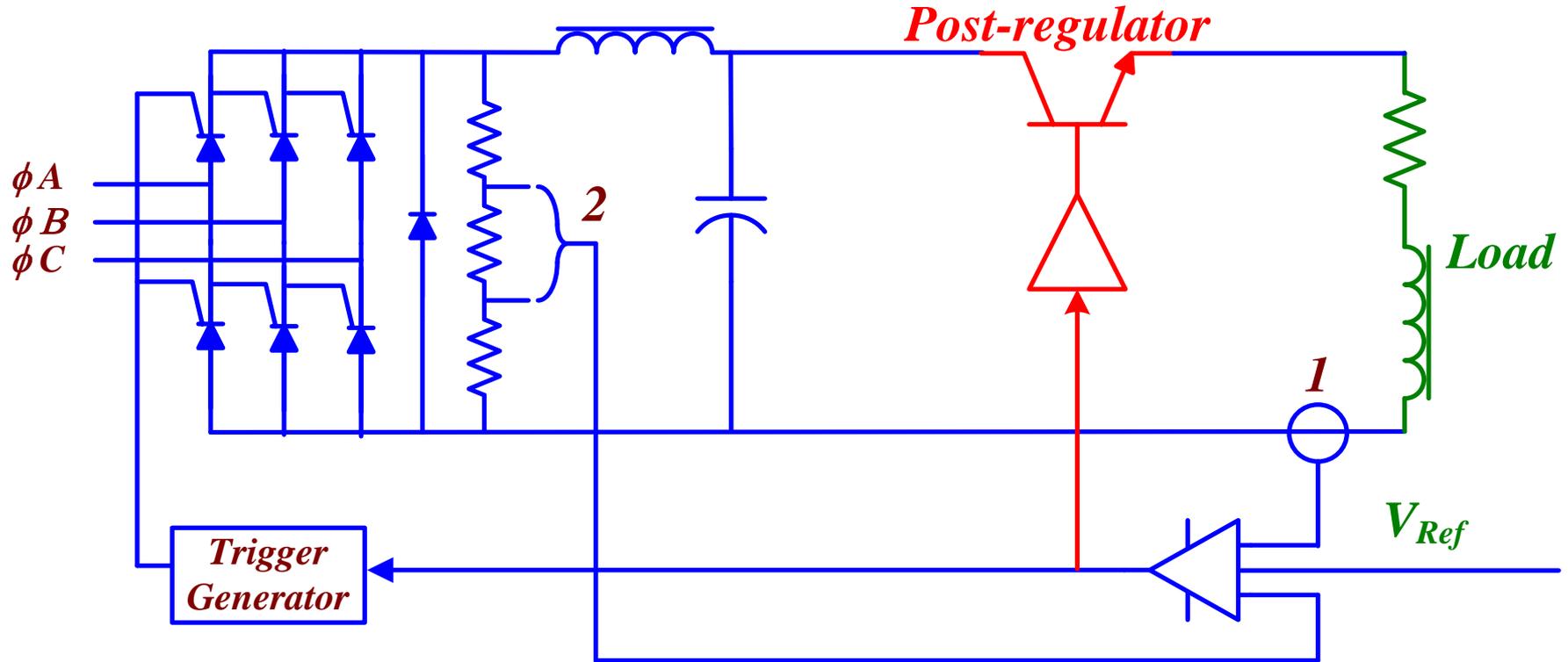
## *Linear Regulator Disadvantage*

<i>Load Amperes <math>I_L = I_{\text{Desired}}</math></i>	<i>Load Volts <math>V_L = I_L * R_L</math></i>	<i>Load Watts <math>P_L = V_L * I_L</math></i>	<i>Q1 Volts <math>V_{Q1} = V_S - V_L</math></i>	<i>Q1 Amperes <math>I_{Q1} = I_L</math></i>	<i>Q1 Watts <math>P_{Q1} = V_{Q1} * I_{Q1}</math></i>	<i>Source Volts <math>V_S = 100</math></i>	<i>Source Amperes <math>I_S = I_L</math></i>	<i>Source Watts <math>P_S = V_S * I_S</math></i>	<i>% Efficiency <math>Eff = P_L / P_S</math></i>
0	0	0	100	0	0	100	0	0	0
10	10	100	90	10	900	100	10	1000	10
20	20	400	80	20	1600	100	20	2000	20
30	30	900	70	30	2100	100	30	3000	30
40	40	1600	60	40	2400	100	40	4000	40
50	50	2500	50	50	2500	100	50	5000	50
60	60	3600	40	60	2400	100	60	6000	60
70	70	4900	30	70	2100	100	70	7000	70
80	80	6400	20	80	1600	100	80	8000	80
90	90	8100	10	90	900	100	90	9000	90
99	99	9801	1	99	99	100	99	9900	99

# Linear Regulator Disadvantage

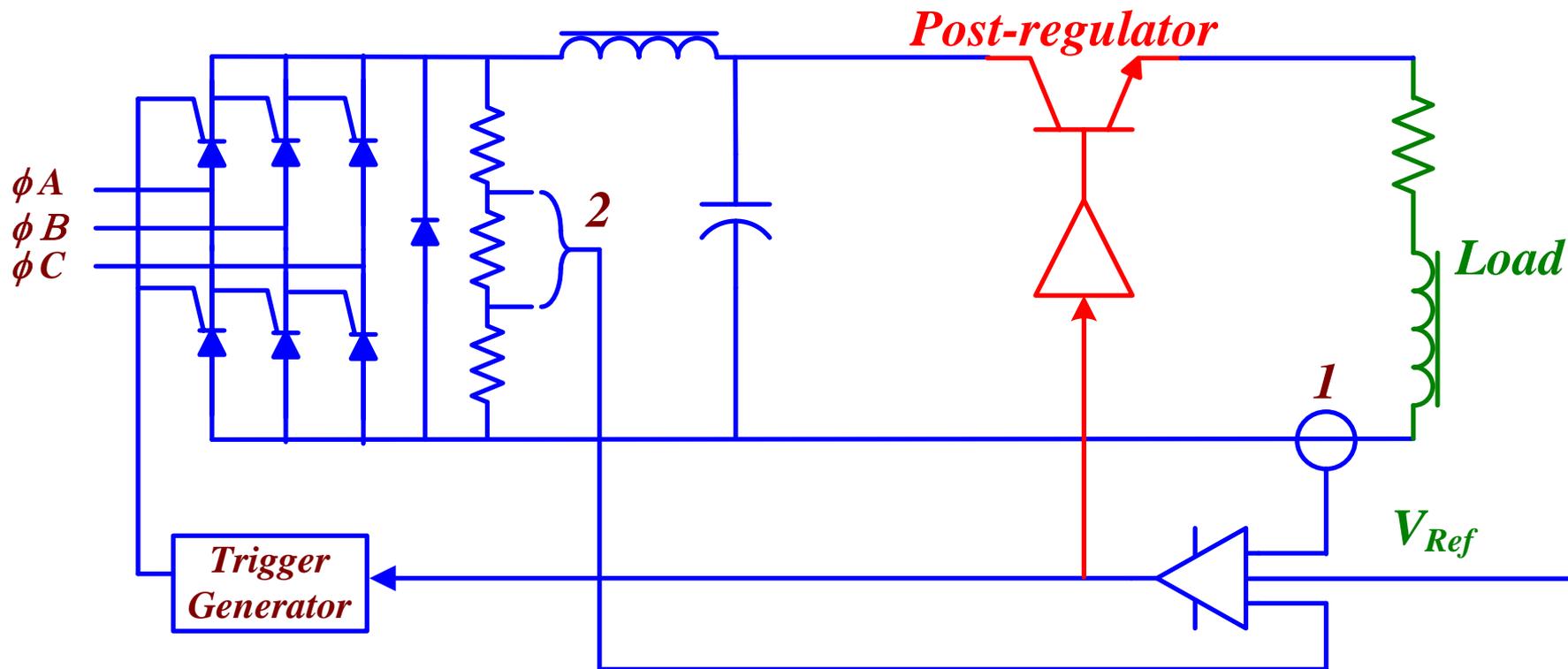


## SCR Rectifier With Linear Post-Regulator To Improve Efficiency / Response



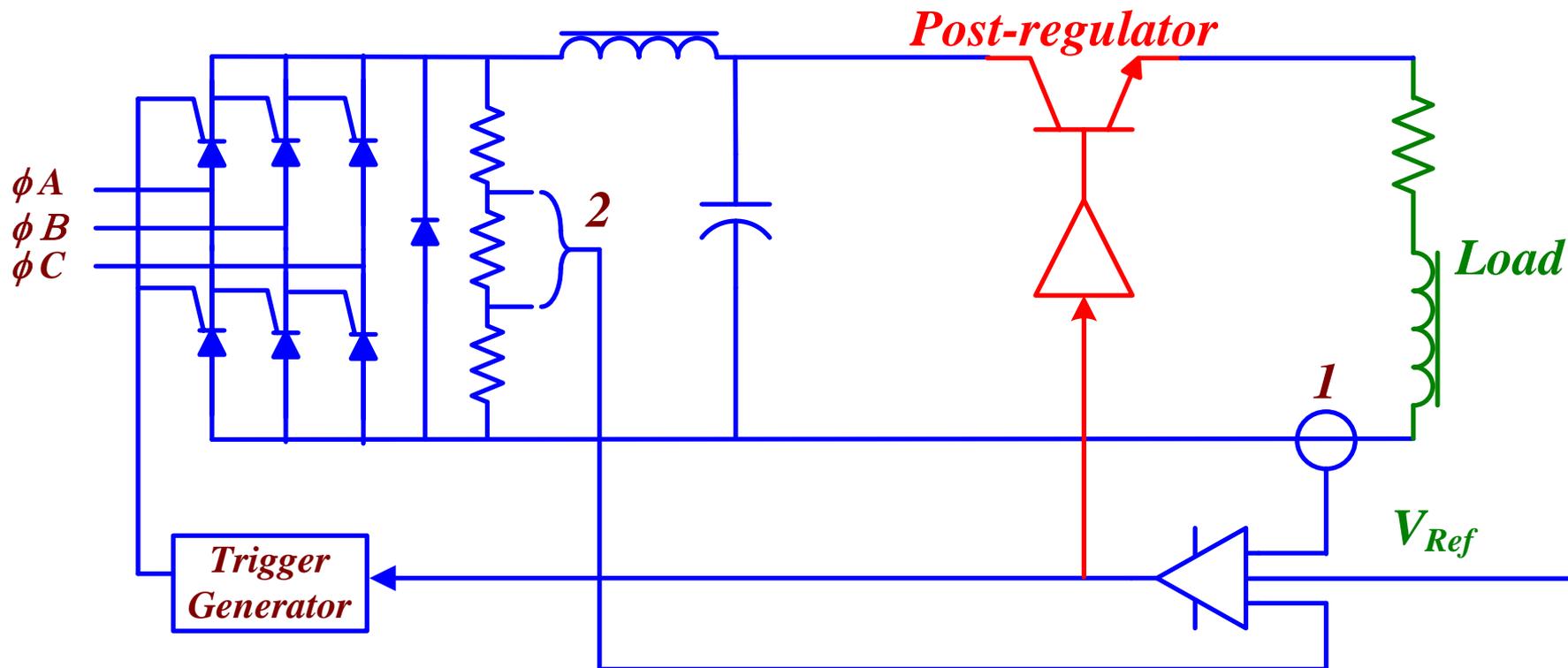
- *SCRs full on for full output*
- *SCRs phased back for lower outputs to improve efficiency.*
- *Limited range regulation is done by the post-regulator*

## SCR Rectifier With Linear Post-Regulator To Improve Efficiency / Response



1. Output  $I$  sensed. Deviations due to load or other changes are corrected by SCR rectifier and post-regulator.
2. Rectifier  $V_O$  is sensed. Slow line changes corrected by BW-limited SCRs. Fast transients corrected by high BW post-regulator
3. Bipolar transistor  $V_{CE}$  is monitored. If  $V_{CE}$  and/or  $V_{CE} * I_E$  exceeds a safe value, SCR firing is advanced and rectifier  $V_O$  is increased accordingly

## SCR Rectifier With Linear Post-Regulator To Improve Efficiency / Response



### Disadvantages

- Large output changes cannot be accommodated by post-regulator. Requires retardation of SCR rectifier pulses to improve efficiency
- Low power factor when SCR gate firing is retarded ( $V_{load} \ll V_{line}$ )
- Implementation of 2 control loops is complex



*The Present – Switchmode Power Supplies  
Circa 1990 - Present*

## *Recalling The Recent Past*

<i>Topology</i>	<i>Disadvantages</i>
<ul style="list-style-type: none"><li>• <i>SCRs for rectification and regulation</i></li></ul>	<ul style="list-style-type: none"><li>• <i>Low power factor</i></li><li>• <i>High AC line harmonic distortion</i></li><li>• <i>Narrow bandwidth</i></li><li>• <i>Slow transient response</i></li></ul>
<ul style="list-style-type: none"><li>• <i>SCRs for rectification and gross regulation</i></li><li>• <i>Fine regulation by post linear transistors</i></li></ul>	<ul style="list-style-type: none"><li>• <i>Low power factor when line <math>V \neq</math> load <math>V</math></i></li><li>• <i>High AC line harmonic distortion</i></li><li>• <i>Complex control loops</i></li></ul>

## *The Present Popular Solution*

<i>Topology</i>	<i>Advantages</i>
<ul style="list-style-type: none"><li>• <i>SCRs (or diodes) for rectification</i></li><li>• <i>High speed switches (switch-mode inverters) for regulation</i></li></ul>	<ul style="list-style-type: none"><li>• <i>Rectifier SCRs or diodes are full on – hence high power factor (<math>&gt; 0.9</math>) possible</i></li><li>• <i>High PF means low AC line harmonic distortion (<math>&lt; 5\% V, &lt; 25\% I</math>)</i></li><li>• <i>Fast (10 kHz to 100 kHz) switching means wide bandwidth (<math>&gt; 100</math> s of Hz), fast transient response (microseconds)</i></li><li>• <i>Fast switching means more corrections per unit time – better output stability</i></li><li>• <i>Simple control loops compared to SCR rectifier/post-regulator combination</i></li><li>• <i>Fast switching, high frequency operation for electrically and physically smaller transformers and filter components</i></li></ul>

## *The Present Popular Solution (Continued)*

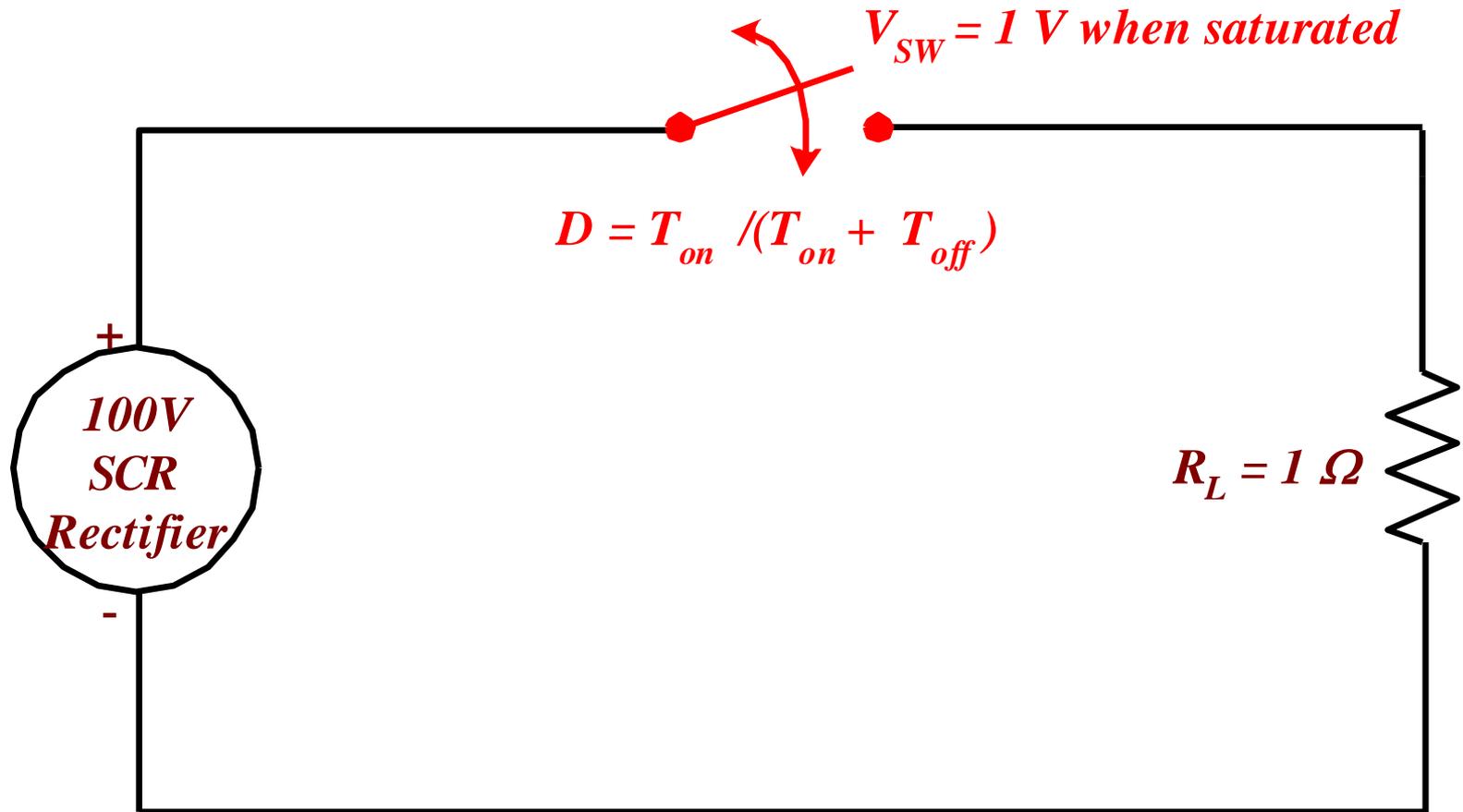
### *Topology*

- *SCRs (or diodes) for rectification*
- *High speed switches (switch-mode inverters) for regulation*

### *Disadvantages*

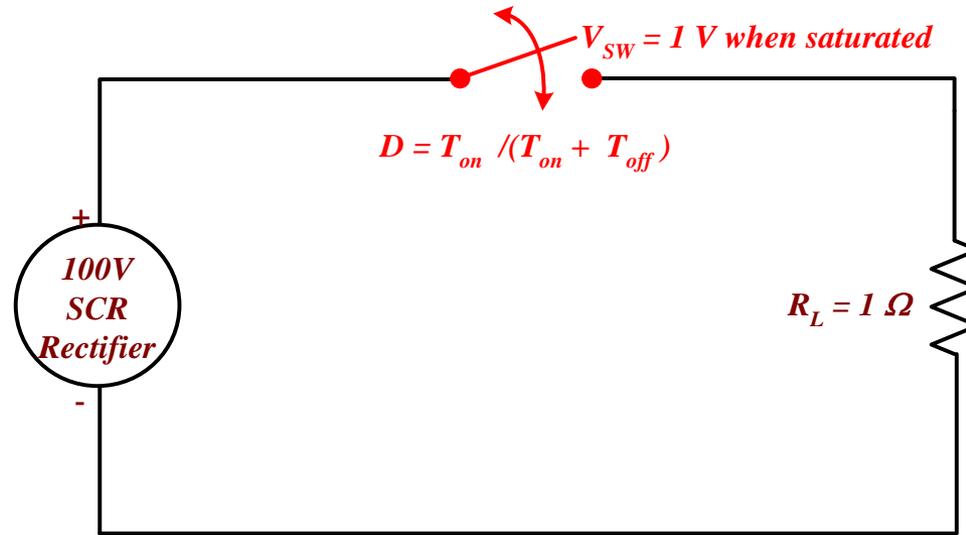
- *High speed, fast-edge switching can generate conducted and radiated electromagnetic interference (EMI)*

# Introduction To The Switchmode Advantage





# The Switchmode Advantage



$$D = \frac{I_{Lavg}}{I_{peak}} = \frac{I_{Lavg}}{99A}$$

$$V_S = 100V$$

$$V_{SWRMS} = 1V * D^{1/2}$$

$$V_{Lavg} = I_{Lavg} * R_L$$

$$I_S = I_{SW} = I_L$$

$$I_{SWRMS} = 99 A * D^{1/2}$$

$$V_{LRMS} = 99 V * D^{1/2}$$

$$Eff = \frac{P_L}{P_S} * 100\%$$

$$P_S = P_{SW} + P_L$$

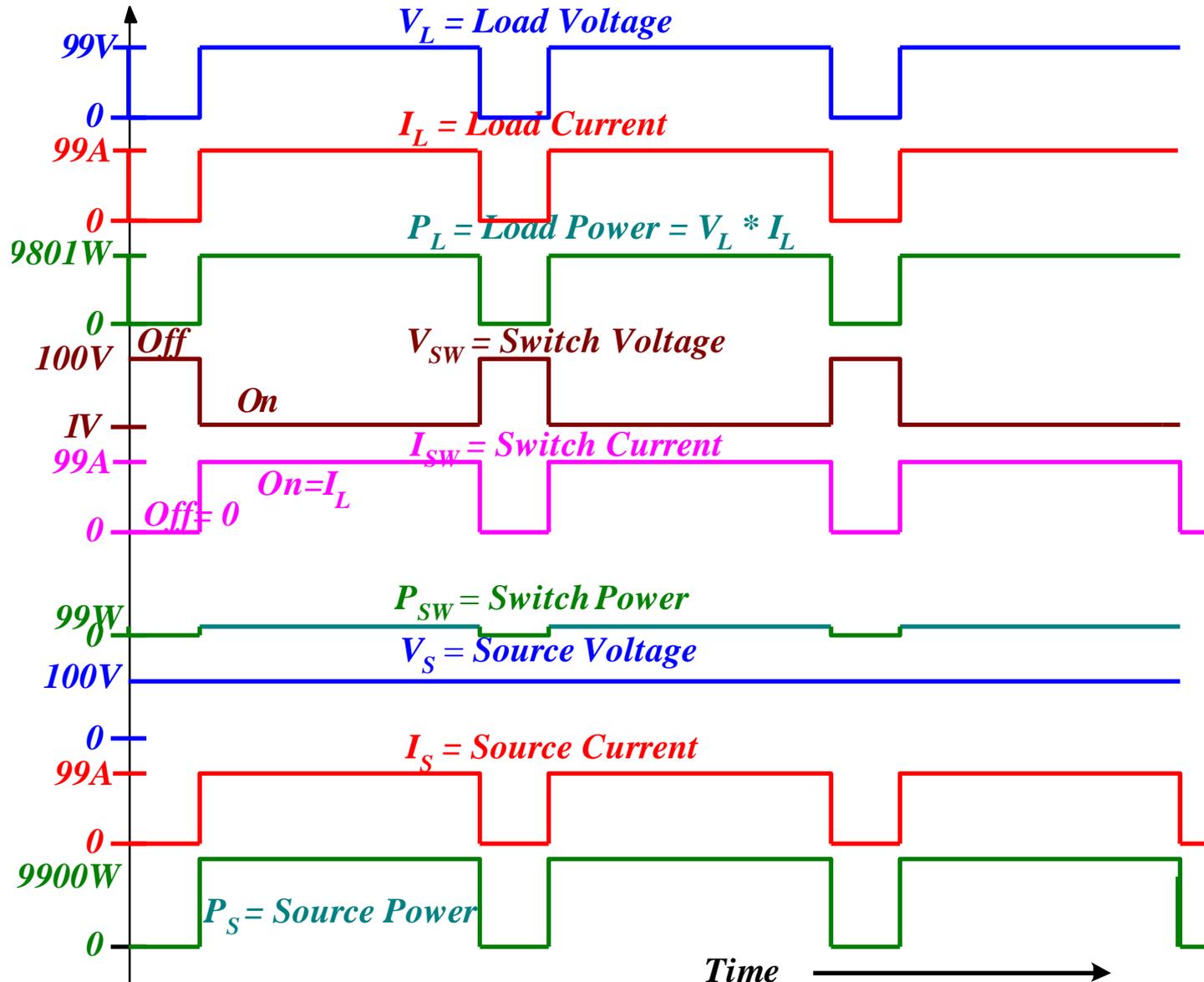
$$P_{SW} = V_{SWRMS} * I_{SWRMS}$$

$$I_{Lavg} = 0 \rightarrow 99A$$

$$I_{LRMS} = 99 A * D^{1/2}$$

$$P_L = V_{LRMS} * I_{LRMS}$$

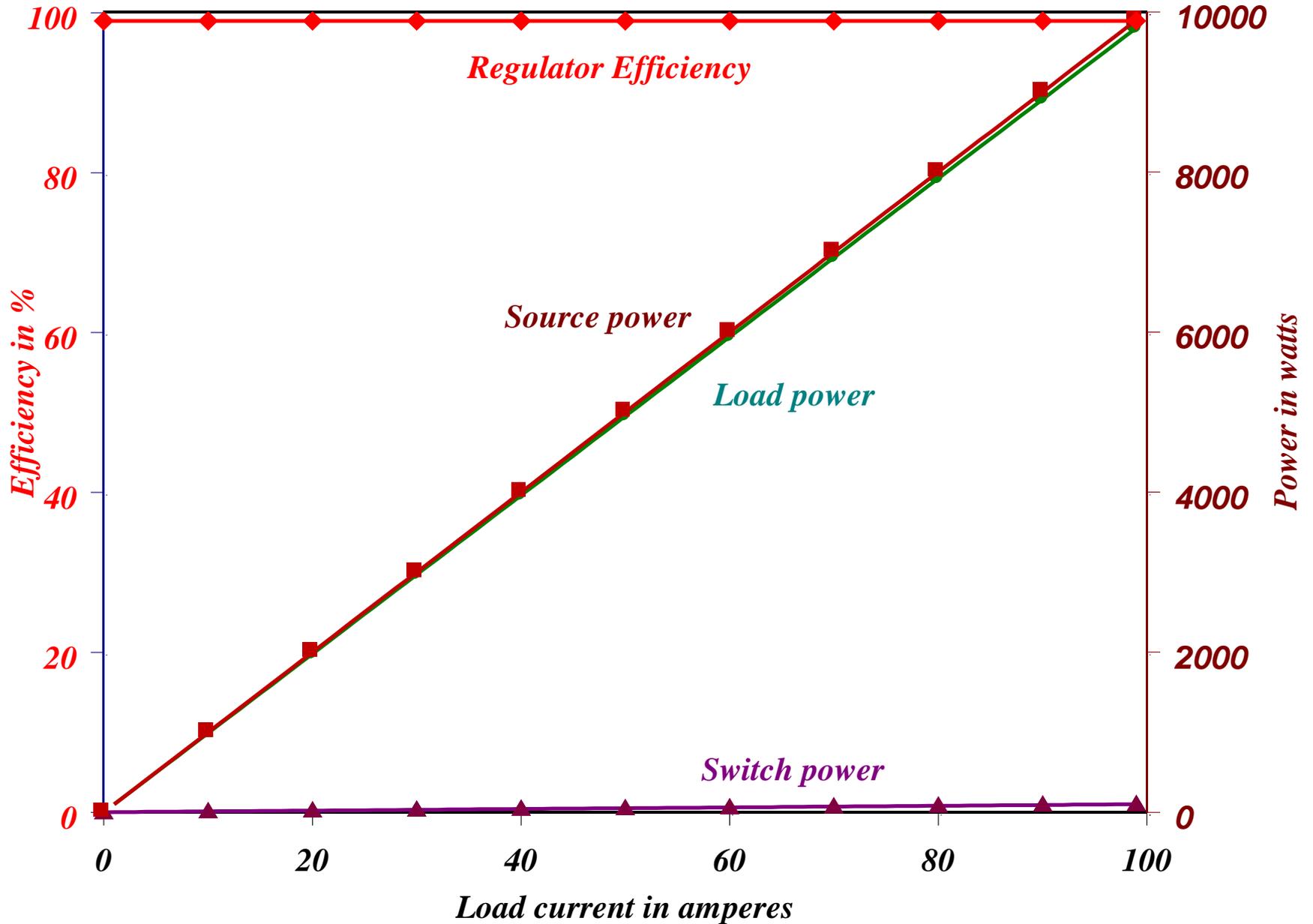
# The Switchmode Advantage - Waveshapes



## The Switchmode Advantage - Calculations

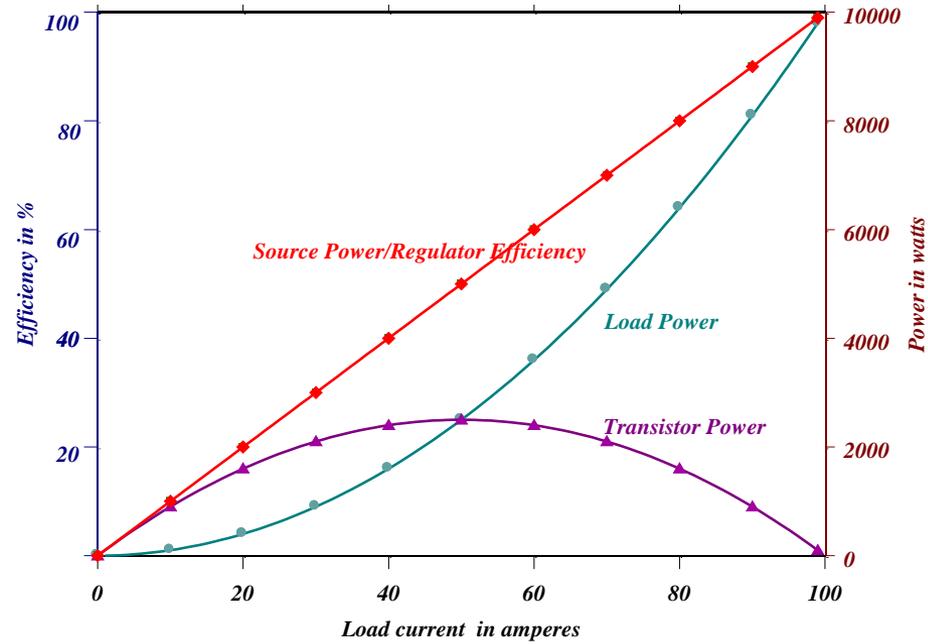
<b>Avg Load Amps <math>I_{Lavg}</math></b> <b>= <math>I_{Desired}</math></b>	<b>Duty Factor = <math>I_{Lavg} / I_{peak}</math></b>	<b>Average Load V</b> <b><math>V_{Lavg} = I_{Lavg} * R_L</math></b>	<b>Load Volts RMS</b> <b><math>V_{Lrms} = 99V * D^{0.5}</math></b>	<b>Load Amps RMS</b> <b><math>I_{Lrms} = 99A * D^{0.5}</math></b>	<b>Load Power</b> <b><math>P_{Lavg} = V_{Lrms} * I_{lrms}</math></b>	<b>Switch Volts RMS</b> <b><math>V_{SWrms} = IV * D^{0.5}</math></b>	<b>Switch Amps RMS</b> <b><math>I_{SWrms} = 99 * D^{0.5}</math></b>	<b>Switch Power</b> <b><math>P_{SWavg} = V_{SWrms} * I_{SWrms}</math></b>	<b>Source Power</b> <b><math>P_S = P_{Lrms} + P_{SWrms}</math></b>	<b>% Efficiency</b> <b><math>Eff = P_L / P_S * 100\%</math></b>
0	0	0	0	0	0	0.00	0.0	0	0	NA
10	0.101	10	31	31	990	0.32	31.5	10	1000	99
20	0.202	20	44	44	1980	0.45	44.5	20	2000	99
30	0.303	30	54	54	2970	0.55	54.5	30	3000	99
40	0.404	40	63	63	3960	0.64	62.9	40	4000	99
50	0.505	50	70	70	4950	0.71	70.4	50	5000	99
60	0.606	60	77	77	5940	0.78	77.1	60	6000	99
70	0.707	70	83	83	6930	0.84	83.2	70	7000	99
80	0.808	80	89	89	7920	0.90	89.0	80	8000	99
90	0.909	90	94	94	8910	0.95	94.4	90	9000	99
99	1	99	99	99	9801	1.00	99.0	99	9900	99

# The Switchmode Advantage - Plots

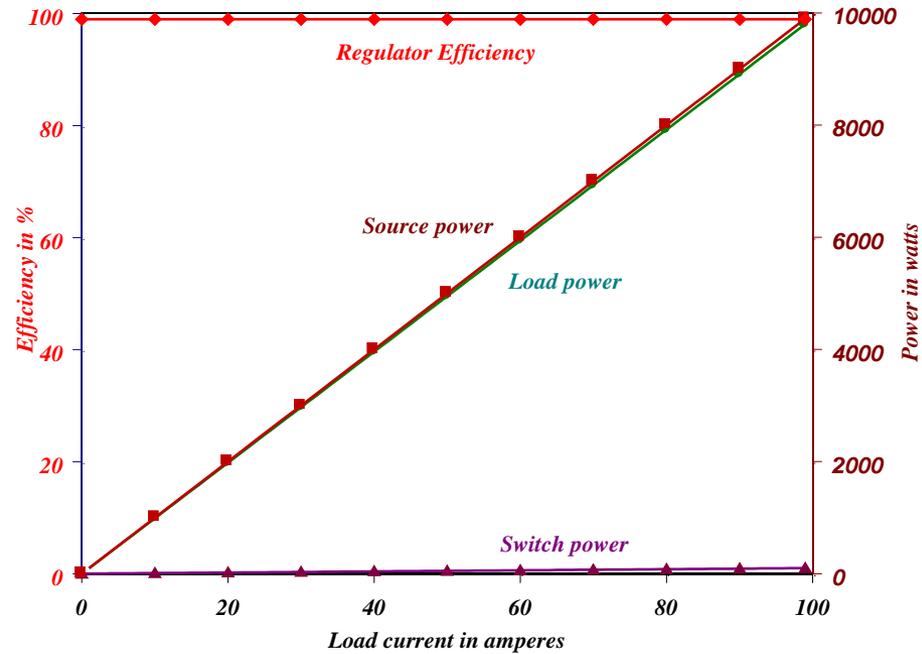




## Linear regulator



## Switchmode regulator



## *SCR Regulation Vs Switchmode Regulation*

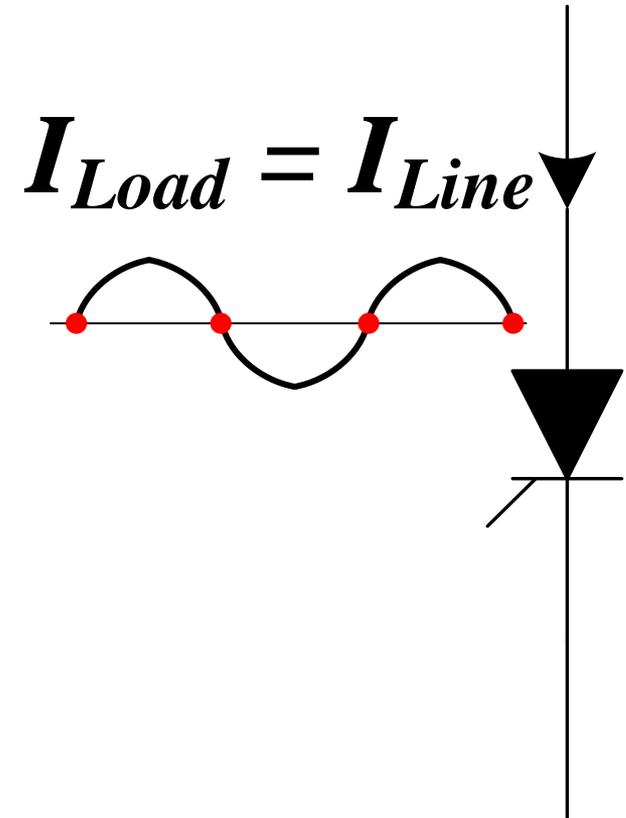
	<i>SCR</i>	<i>Switchmode</i>
<i>Efficiency</i>	<i>Low at low load, high at full load</i>	<i>High, whether low or high load</i>
<i>Operating frequency</i>	<i>60 Hz</i>	<i>10 kHz to 1,000 kHz</i>
<i>Transient Response</i>	<i>Tens of milliseconds</i>	<i>Tens of microseconds</i>
<i>Short-term-stability</i>	<i>100s of ppm</i>	<i>10s of ppm</i>
<i>Input filter</i>	<i>Large</i>	<i>Smaller, HF regulator provides supplemental filtering</i>
<i>Isolation/Line-matching transformer</i>	<i>Large and upstream of the rectifiers</i>	<i>Smaller because of high frequency. Downstream of the regulator</i>
<i>Output filter</i>	<i>None</i>	<i>High frequency ripple = smaller size</i>
<i>Power factor</i>	<i>Low when output is low</i>	<i>Always high</i>
<i>Line distortion</i>	<i>High when output is low</i>	<i>Always low</i>
<i>EMI</i>	<i>High when output is low</i>	<i>High, but higher frequency, easier to filter</i>

## *Linear Vs. Switchmode– Advantage Summary*

<i>Linear</i>	<i>Switchmode</i>
<i>Output current/voltage is adjusted by varying pass transistor resistance</i>	<i>Output current/voltage is adjusted by varying switch duty factor</i>
<i>Transistor voltage and current are in phase so transistor power loss is high</i>	<i>Switch voltage and current are out of phase so switch power is low</i>
<i>Efficiency is dependent upon the output operating point and is maximum at 100 % load</i>	<i>Efficiency is high and relatively constant</i>

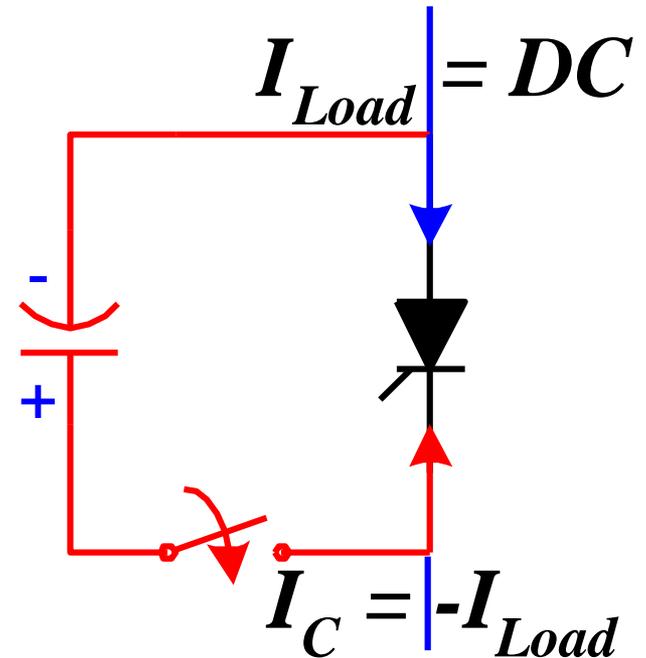
### Line Commutated Switches

- Typically thyristor (4 - element) family devices  
SCRs, Triacs
- Employ natural current zero occurs each 1/2 cycle for turnoff
- Slow, tied to 60Hz line and no turnoff control
- Not suitable as fast switch



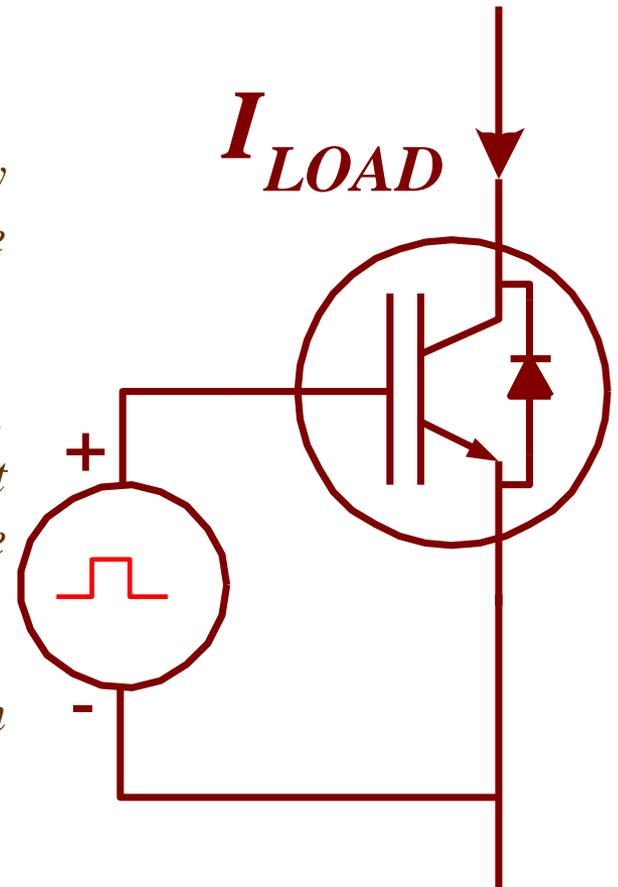
### Force Commutated

- Typically SCRs, Triacs
- Artificial current zero is manufactured by pre-charged capacitor  $I_C = -I_{Load}$
- Complex and power-consuming charging and discharging circuits for capacitor
- Not suitable approach for fast switches

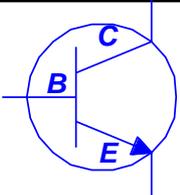
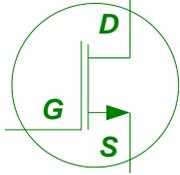
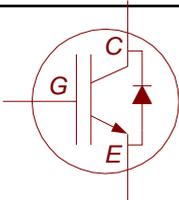


### Self Commutated

- *Devices have the ability to turn on or turn off by the application of a forward or reverse bias to the control elements (gate – emitter)*
- *Typically Bipolar Junction Transistors (BJTs), Metal Oxide Semiconductor Field Effect Transistors (MOSFETs) or Insulated Gate Bipolar Junction Transistors (IGBTs)*
- *Only self-commutated switches used in modern switchmode power supplies*

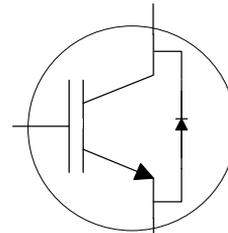




<i>Self-Commutated Device</i>	<i>Bipolar Junction Transistor (BJT)</i>	<i>Metal Oxide Field Effect Transistor (MOSFET)</i>	<i>Insulated Gate Bipolar Transistor (IGBT)</i>
<i>Symbol</i>			
<i>Available Ratings</i>	600 V, 10 → 100 A 1000V, 10 → 100A	150 V, 10 → 600 A 600 V, 10 → 100 A 1200V, 10 → 100A	600 V, 10 → 800 A 1200V, 10 → 2400A 1700V, 50 → 2400A 3300V, 200 → 1500A 6500V, 200 → 800A
<i>Switching Speed</i>	$DC \leq f_s \leq 2 \text{ kHz}$	$DC \leq f_s \leq 1,000 \text{ kHz}$	$DC \leq f_s \leq 20 \text{ kHz}$
<i>Vce or Vds</i> <i>f(Vge/Vgs, Ic/Id)</i>	0.5 V → 1.5 V	1.5 V → 6 V	1.0 → 3.0V
<i>Conduction Loss</i> <i>(Vce*Ic) or</i> <i>(Vds*Id)</i>	<i>Lowest</i>	<i>Highest</i>	<i>Reasonable</i>
<i>Control Mode</i>	<i>Current</i>	<i>Voltage</i>	<i>Voltage</i>

## Insulated Gate Bipolar Transistor (IGBT) Technology

- Used in vast majority of switchmode power supplies, except MOSFETs for corrector / trim bipolars
- Voltage controlled device - faster than BJT
- MOSFET faster, but  $V_{DS}$  too large
- 20 kHz for PWM
- Robust, failure rate < 50 FITs
- Commercially available since 1990



IGBT

Single IGBT



Gate Driver



IGBT Availability

600V	10 → 800A
1200V	10 → 2400A
1600 / 1700V	50 → 2400A
2500 / 3300V	200 → 1500A
4500 / 6500V	200 → 800A
Available as 6-pack, half-bridge, single switch	

## *Manufacturers of IGBTs and IGBT Gate Drivers*

<i>ABB</i>	<a href="http://www.abbsem.com/english/igbt.htm"><u>http://www.abbsem.com/english/igbt.htm</u></a>
<i>Concept Technology</i>	<a href="http://www.igbt-driver.com/"><u>http://www.igbt-driver.com/</u></a>
<i>Collmer Semiconductor</i>	<a href="http://www.collmer.com/"><u>http://www.collmer.com/</u></a>
<i>Eupec</i>	<a href="http://www.eupec.com"><u>http://www.eupec.com</u></a>
<i>International Rectifier</i>	<a href="http://www.irf.com"><u>http://www.irf.com</u></a>
<i>Intersil</i>	<a href="http://www.intersil.com/"><u>http://www.intersil.com/</u></a>
<i>IXYS</i>	<a href="http://www.ixys.net"><u>http://www.ixys.net</u></a>
<i>Mitsubishi</i>	<a href="http://www.mitsubishichips.com/"><u>http://www.mitsubishichips.com/</u></a>
<i>Powerex</i>	<a href="http://www.pwr.com"><u>http://www.pwr.com</u></a>
<i>Semikron</i>	<a href="http://www.semikron.com"><u>http://www.semikron.com</u></a>
<i>Toshiba</i>	<a href="http://www.toshiba.com/"><u>http://www.toshiba.com/</u></a>
<i>Westcode</i>	<a href="http://www.westcode.com/"><u>http://www.westcode.com/</u></a>



## *Topologies - Switchmode Power Supplies*

- *There are many topologies, but most are combinations of the types that will be discussed here.*

- *Each topology contains a unique set of design trade-offs*

*Voltage stresses on the switches*

*Chopped versus smooth input and output currents*

*Utilization of the transformer windings*

- *Choosing the best topology requires a study of*

*Input and output voltage ranges*

*Current ranges*

*Cost versus performance, size and weight*



# *Topologies - Switchmode Power Supplies*

## *Two Broad Categories*

### *Flyback Converters*

- The line-to-load matching/isolation transformer doubles as the output filter choke*
- Advantage – reduction of one major component*
- Disadvantage – constrained to low power applications. Not employed in accelerator power supplies*

### *Forward Converters*

- The line-to-load matching/isolation transformer is separate from the output filter choke*
- May be used in low and high power systems. Used in the vast majority of accelerator power supplies*
- Disadvantage – the increased cost and space associated with a separate transformer and choke*



## *Topologies - Switchmode Topologies*

### *Typical Forward Converters Listed In Order Of Increasing Use*

- *Half-bridge Converter*
- *Boost Regulator*
- *Buck Regulator*
- *Full-bridge Converter*

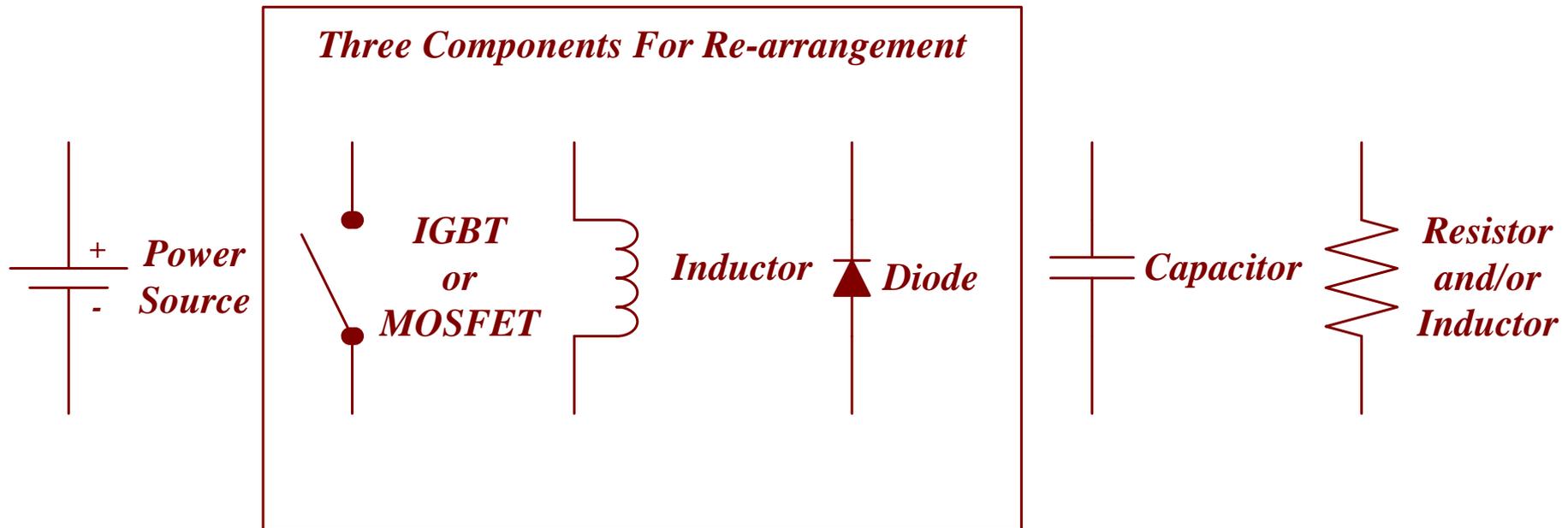
### *Typical Forward Converters Listed in Order of Increasing Complexity*

- *Buck Regulator*
- *Boost Regulator*
- *Half-bridge Converter*
- *Full-bridge Converter*



# Switchmode Topologies

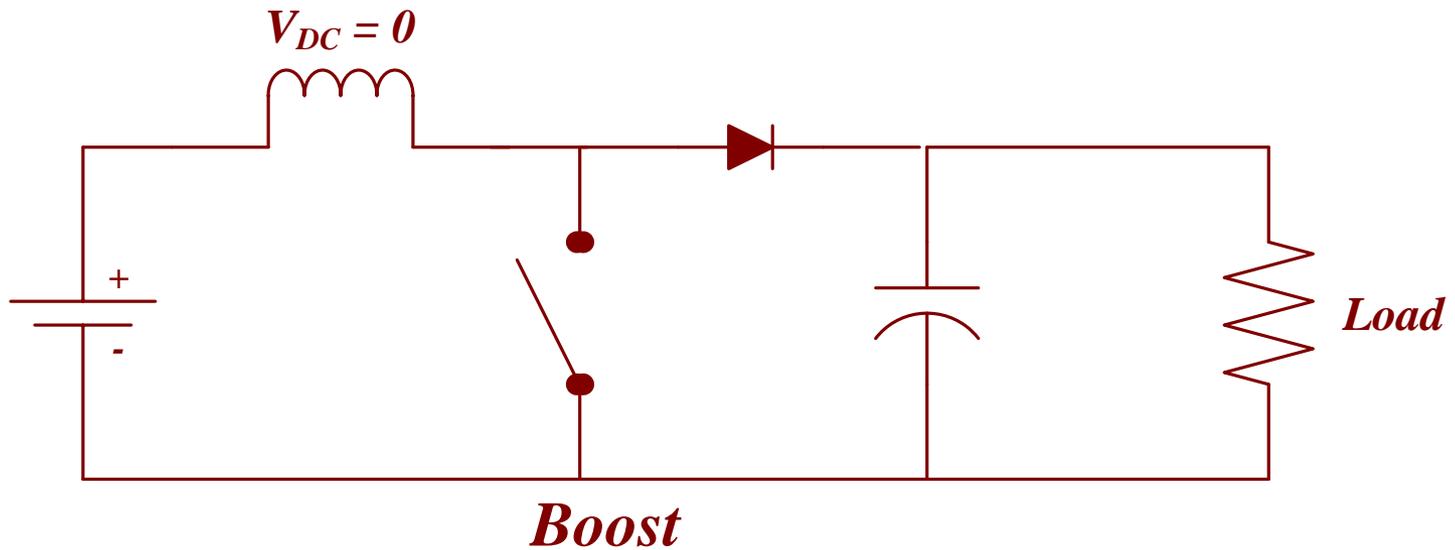
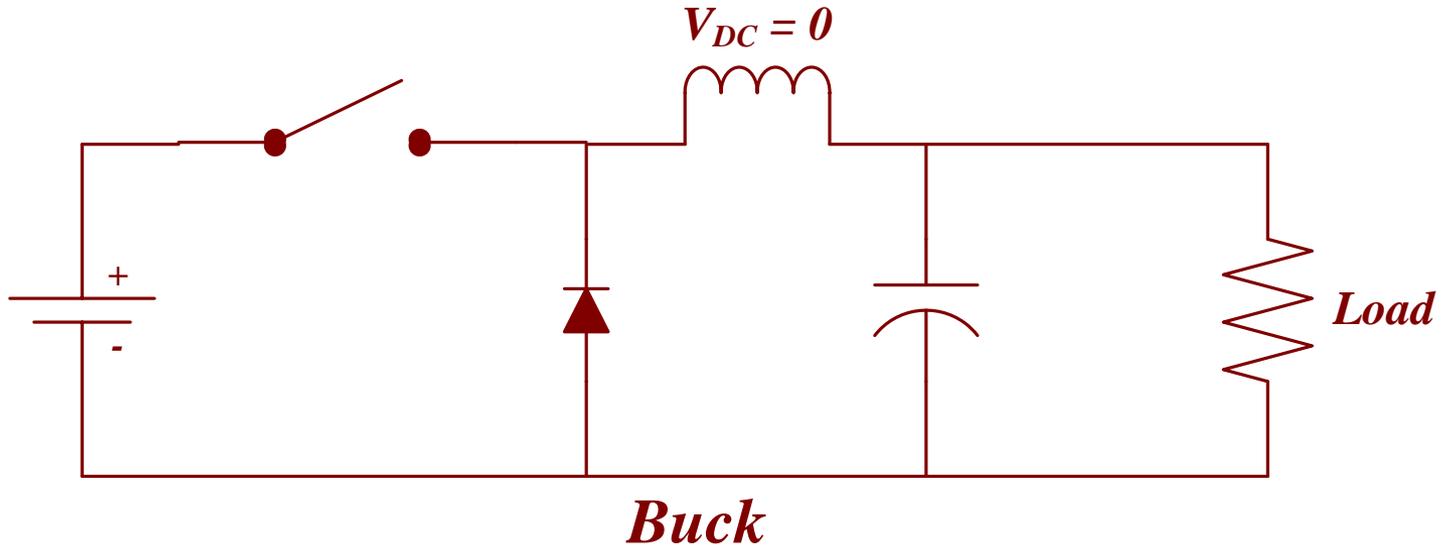
## Basic switchmode tool kit



*Most fundamental switchmode converter topologies are constructed by rearranging the three components*



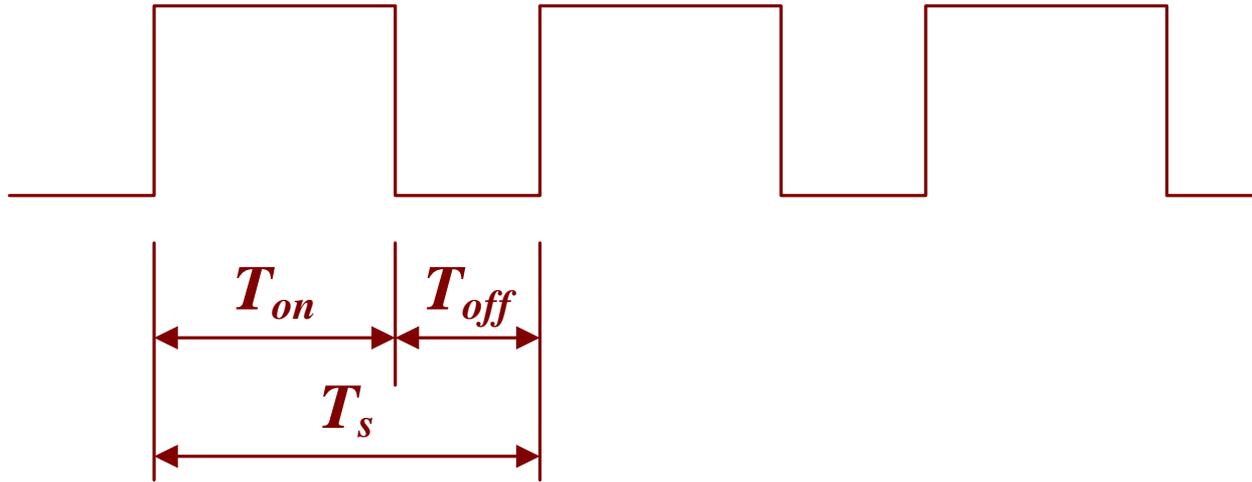
# Switchmode Topologies





## Switchmode Topologies

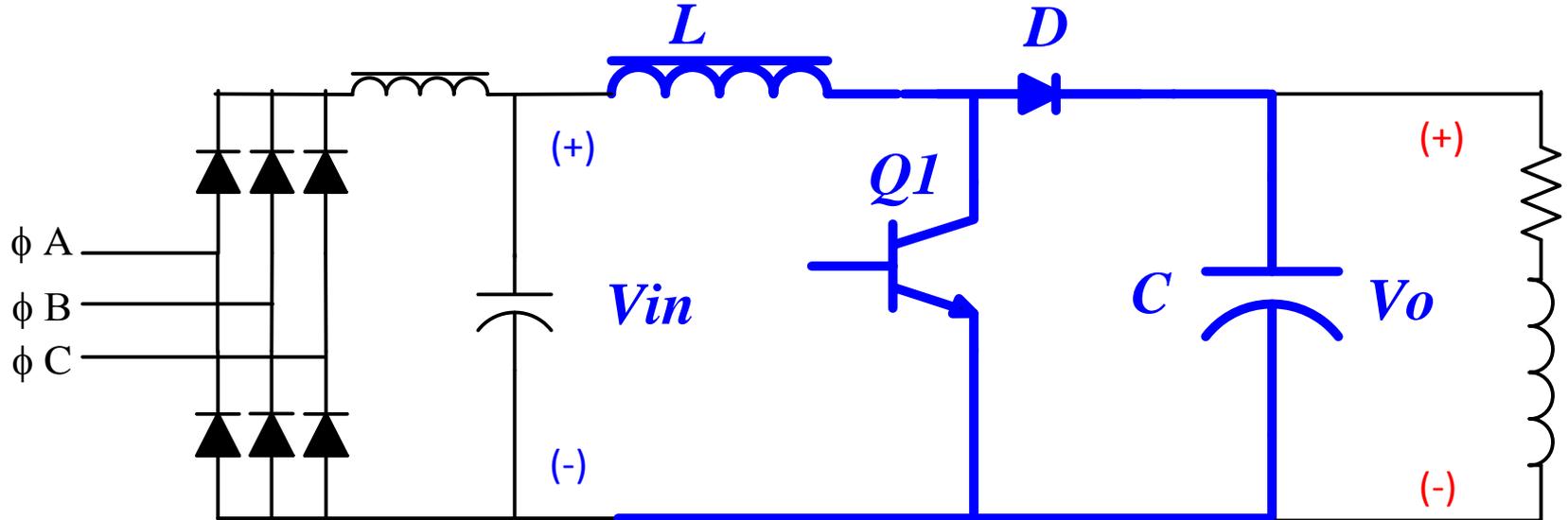
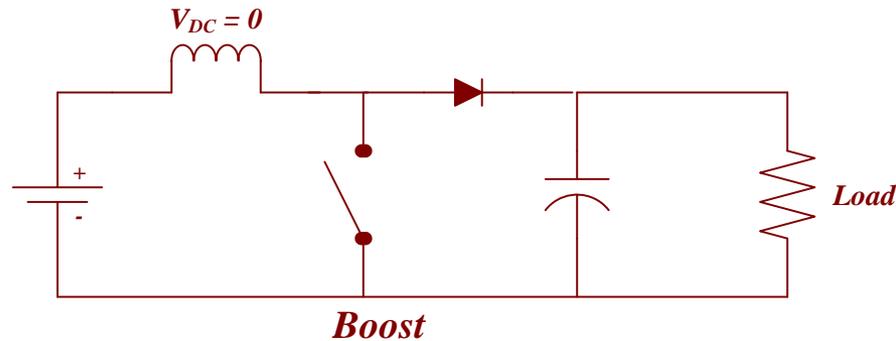
### Definition of the Pulse Width Modulated (PWM) Waveform



$$\text{Duty Cycle} = \text{Duty Ratio} = D = \frac{T_{on}}{T_{on} + T_{off}} = \frac{T_{on}}{T_s}$$

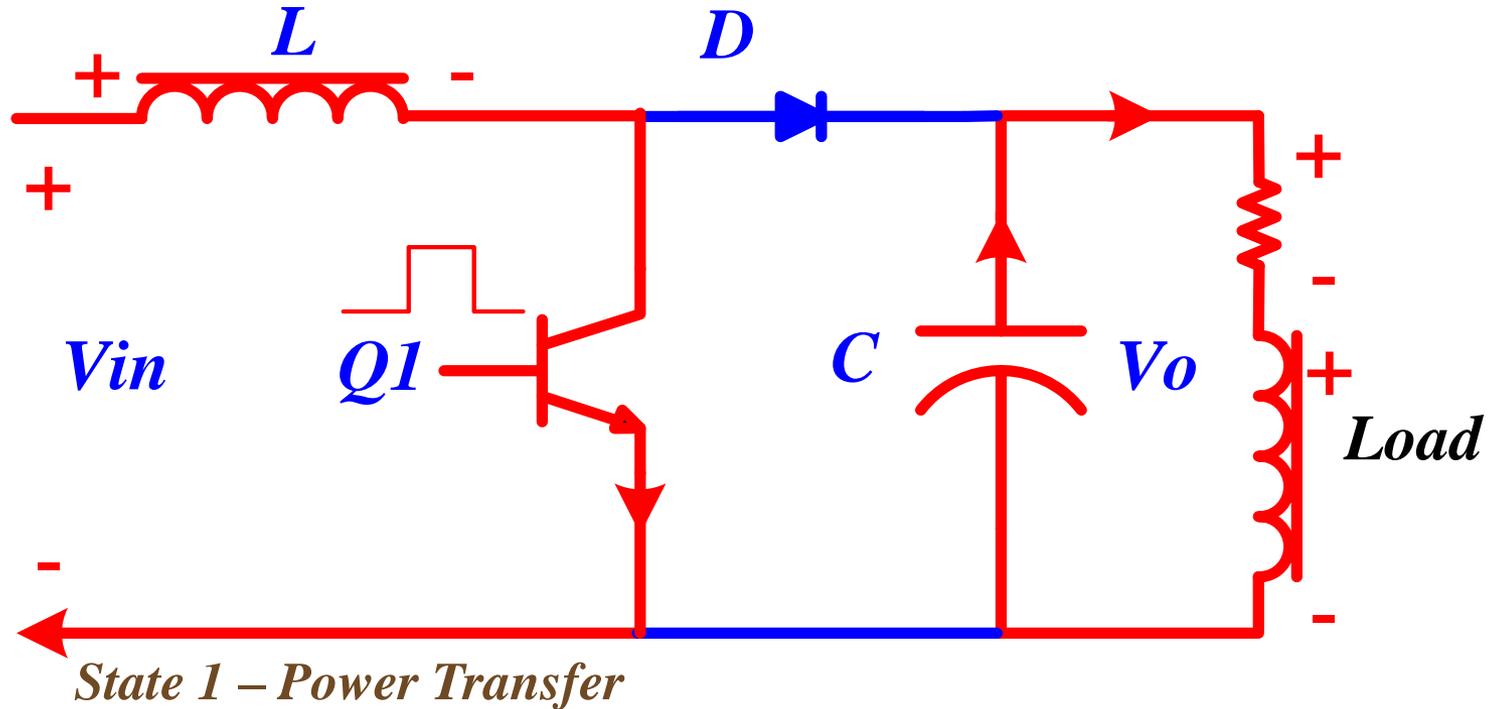
$$D' = 1 - D = \frac{T_{off}}{T_{on} + T_{off}} = \frac{T_{off}}{T_s}$$

## Topologies - Boost Converter



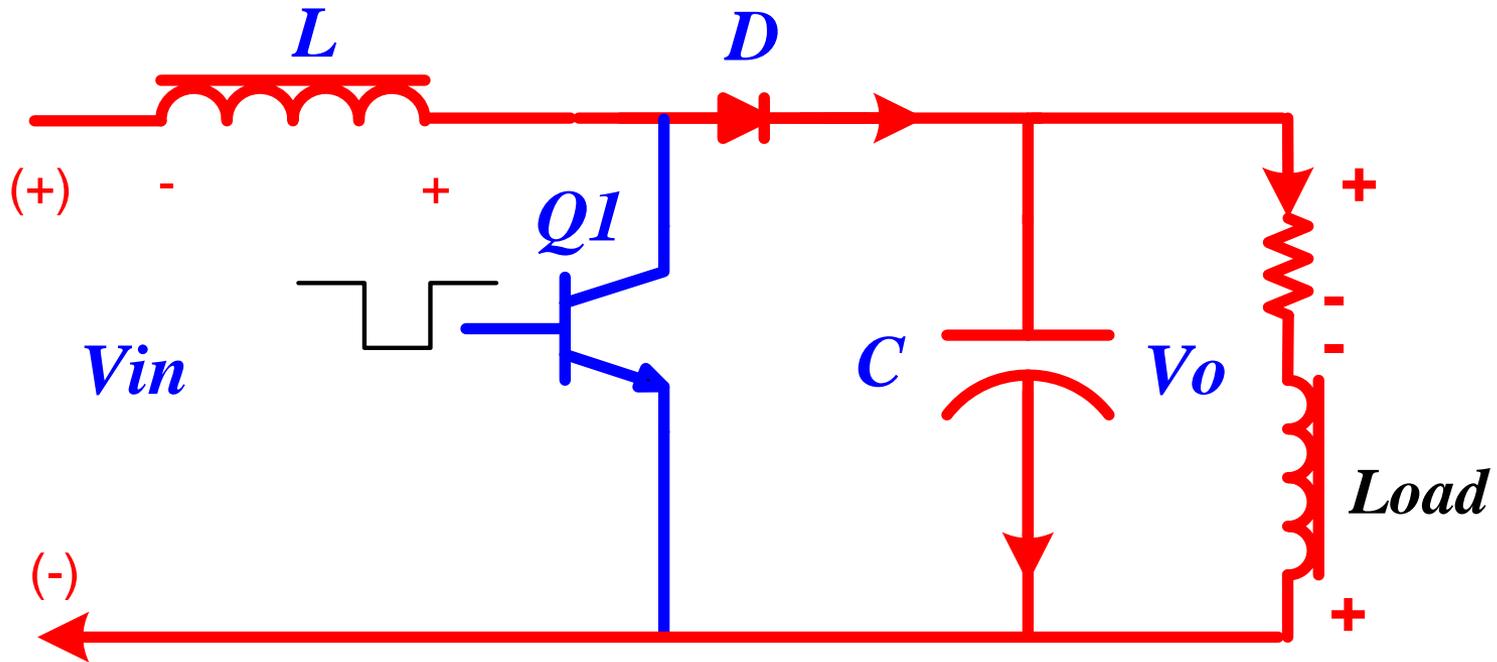
- Boosts the input voltage to a higher output voltage  $V_o = V_{in}/(1 - D)$
- Input current is smooth (continuous) – output current is discontinuous (chopped)

## Topologies - Boost Converter



- *Switching device  $Q1$  turned on by square wave drive circuit with controlled on-to-off ratio (duty factor,  $D$ )*
- *$V_{in}$  impressed across  $L$*
- *Current in  $L$  increases linearly in forward direction*
- *Diode  $D$  is reversed biased (open)*
- *Capacitor  $C$  discharges into the load*

## Topologies - Boost Converter

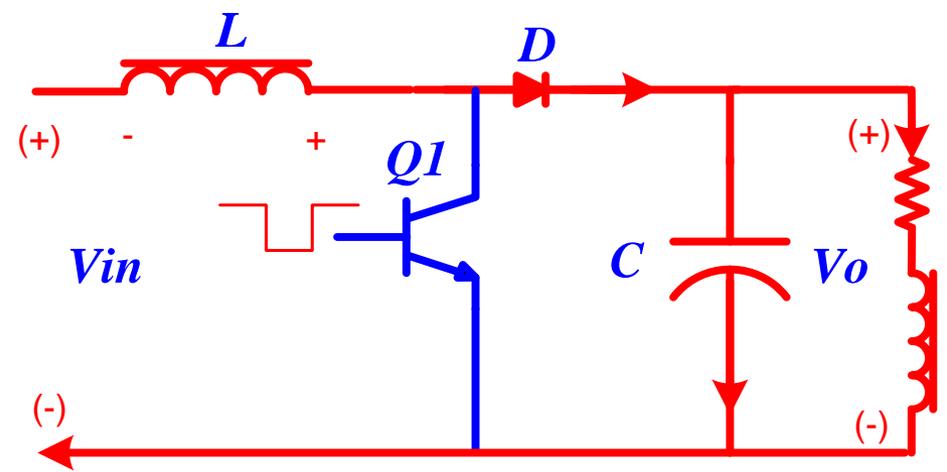
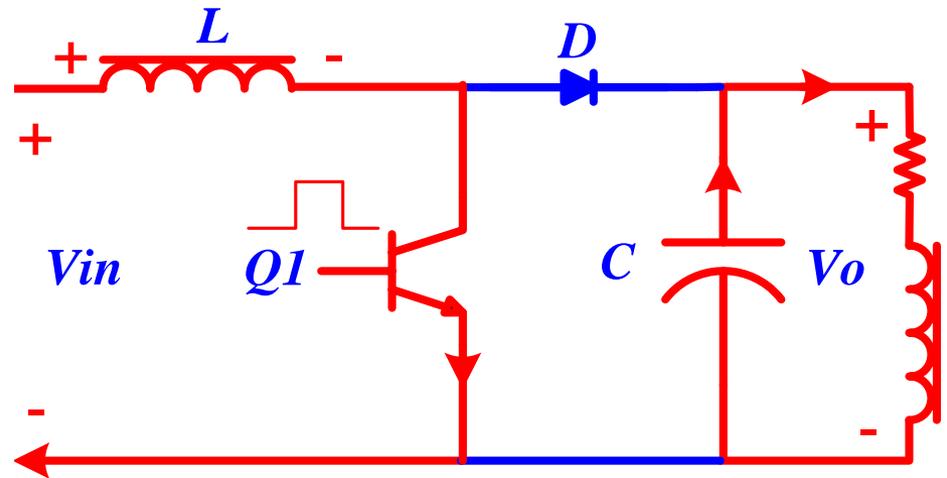
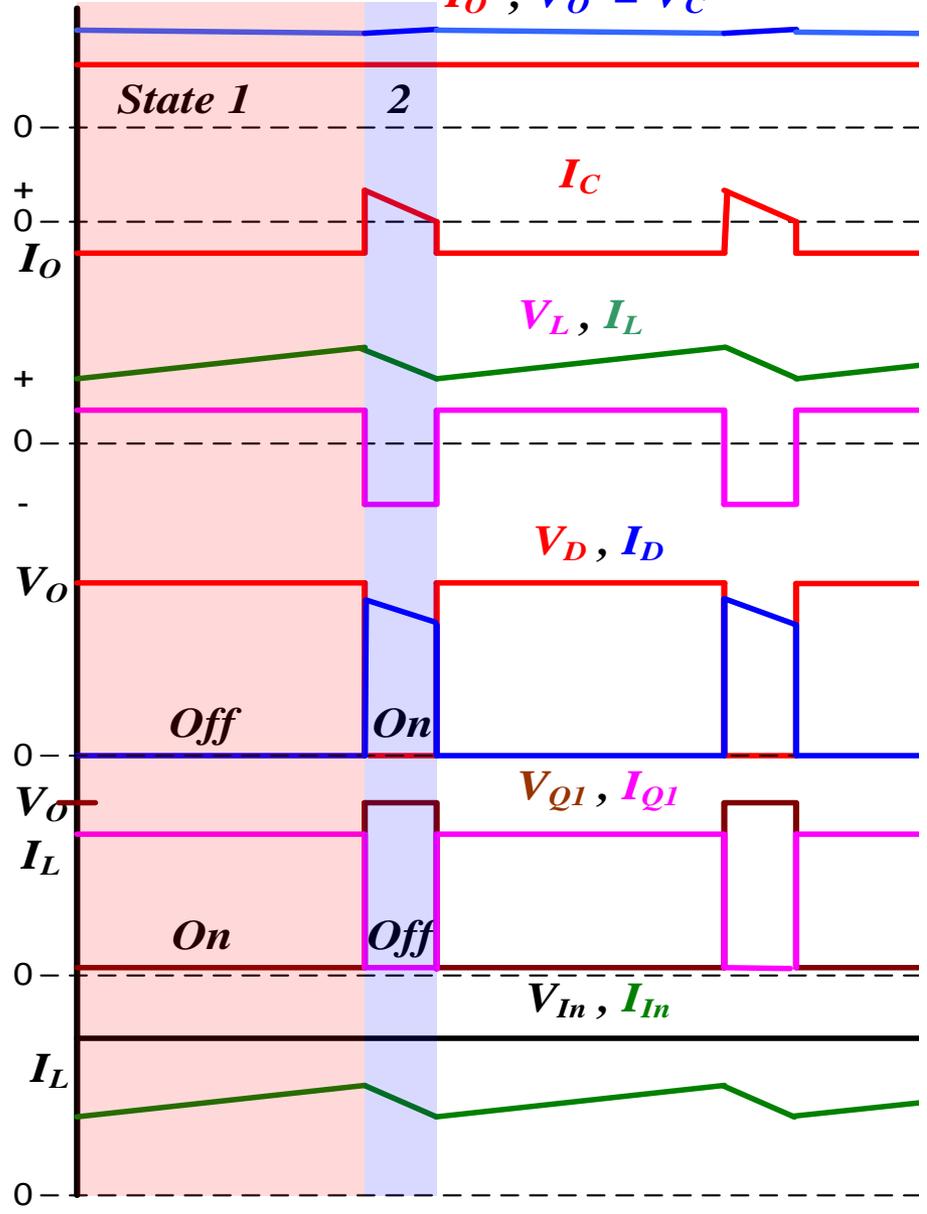


### State 2 - Regulation

- $Q1$  turned off.  $L$  polarity reverses.
- $V_O = V_{In} + V_L$ ,  $V_L = V_O - V_{In}$
- $V_O > V_{In}$ ,  $L$  current decreases linearly
- Diode  $D$  is forward biased (closed)
- Capacitor  $C$  is recharged

# Topologies - Boost Converter Waveforms

$$I_o, V_o = V_c$$





## Topologies - Boost Converter

### Summary

- *Output polarity is the same as the input polarity*
- *In steady-state,  $L$  volt-seconds with  $Q1$  on = volt-seconds with  $Q1$  off*

$$V_{In} * t_{on} = (V_O - V_{In}) * t_{off}$$

$$V_O = V_{In} * (t_{on} + t_{off}) / t_{off}$$

$$V_O = V_{In} / (1 - D)$$

- *Output voltage is always greater than the input voltage because  $D \leq 1$*
- *IGBT duty factor ( $D$ ) range 0 to 0.95*
- *Limitation of  $D$  yielding greater output voltage is the limitation on the input current through the inductor and diode*
- *Output voltage is not related to load current so output impedance is very low (approximates a true voltage source).*



## *Topologies - Boost Converter Vs Other Topologies*

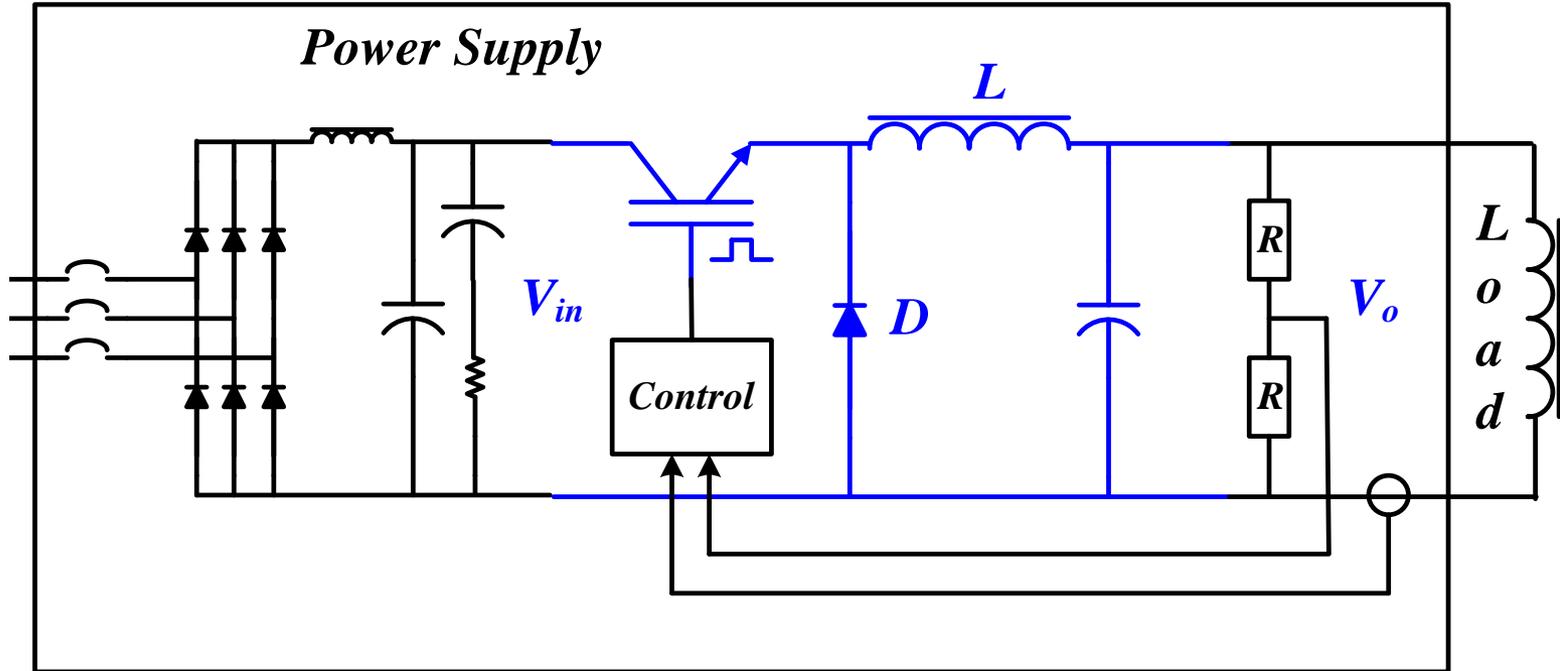
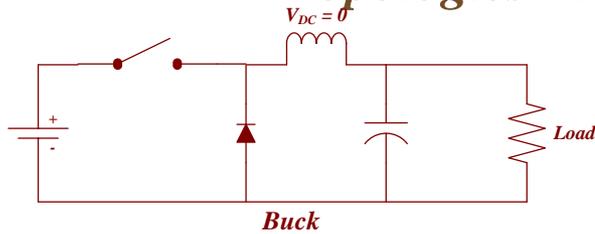
### *Some Advantages*

- *Few components, 1 switch – simple circuit, high reliability if not overstressed*
- *Input current is always continuous, so smaller input filter capacitor needed*

### *Some Disadvantages*

- *Capacitor C current is always discontinuous so a much larger output capacitor is needed for same output ripple voltage*
- *Output is DC and unipolar so no chance of high-frequency transformer or bipolar output*
- *Low frequency transformer must be used in front of the Boost for isolation and to match the line voltage to the load voltage*
- *Minimum output voltage equal input voltage*

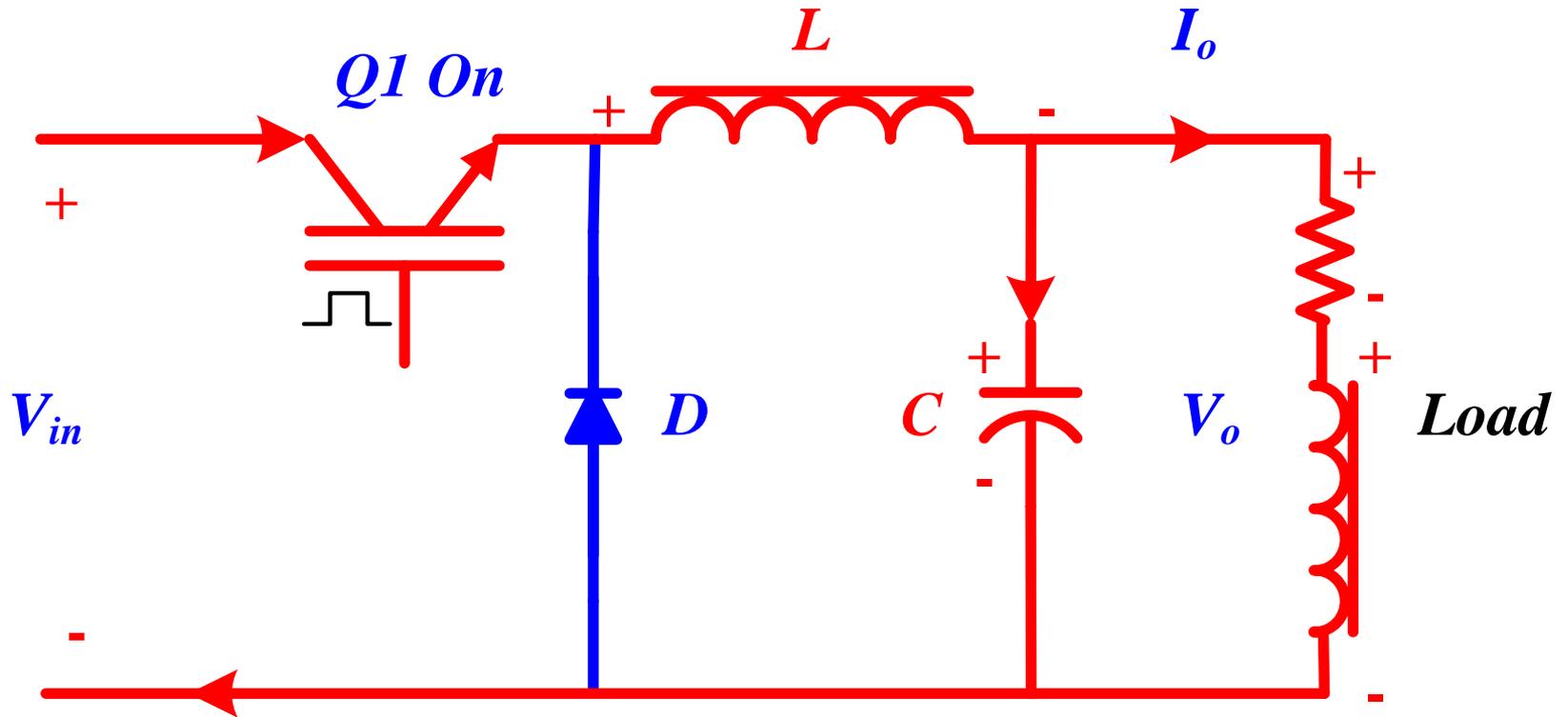
## Topologies - Buck Converter (Regulator)



- *Used in the majority of switchmode power supplies*
- *Bucks the input voltage down to a lower voltage*
- *Perhaps the simplest of all*
- *Input current discontinuous (chopped) – output current smooth*



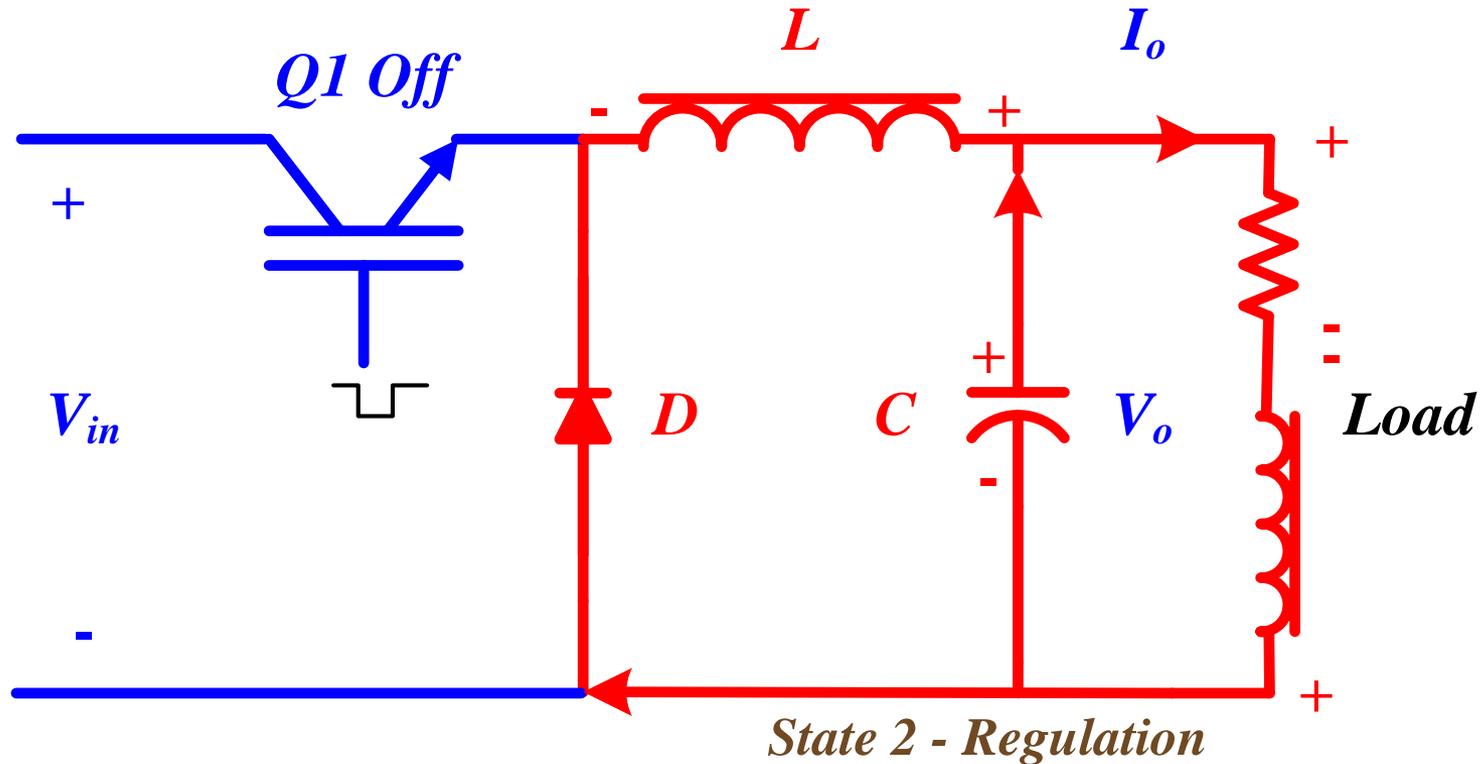
## Topologies - Buck Converter



### State 1 – Power Transfer

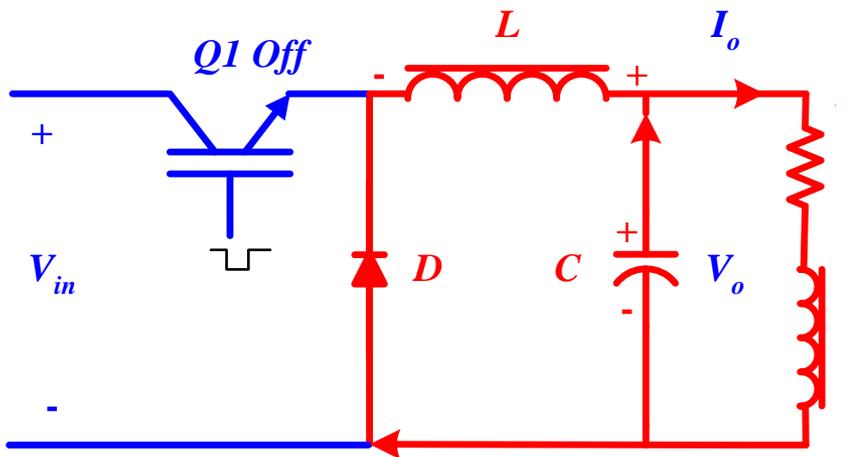
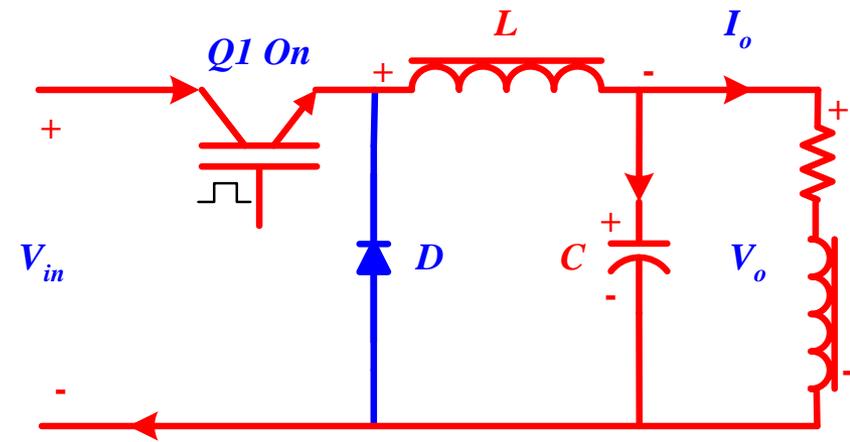
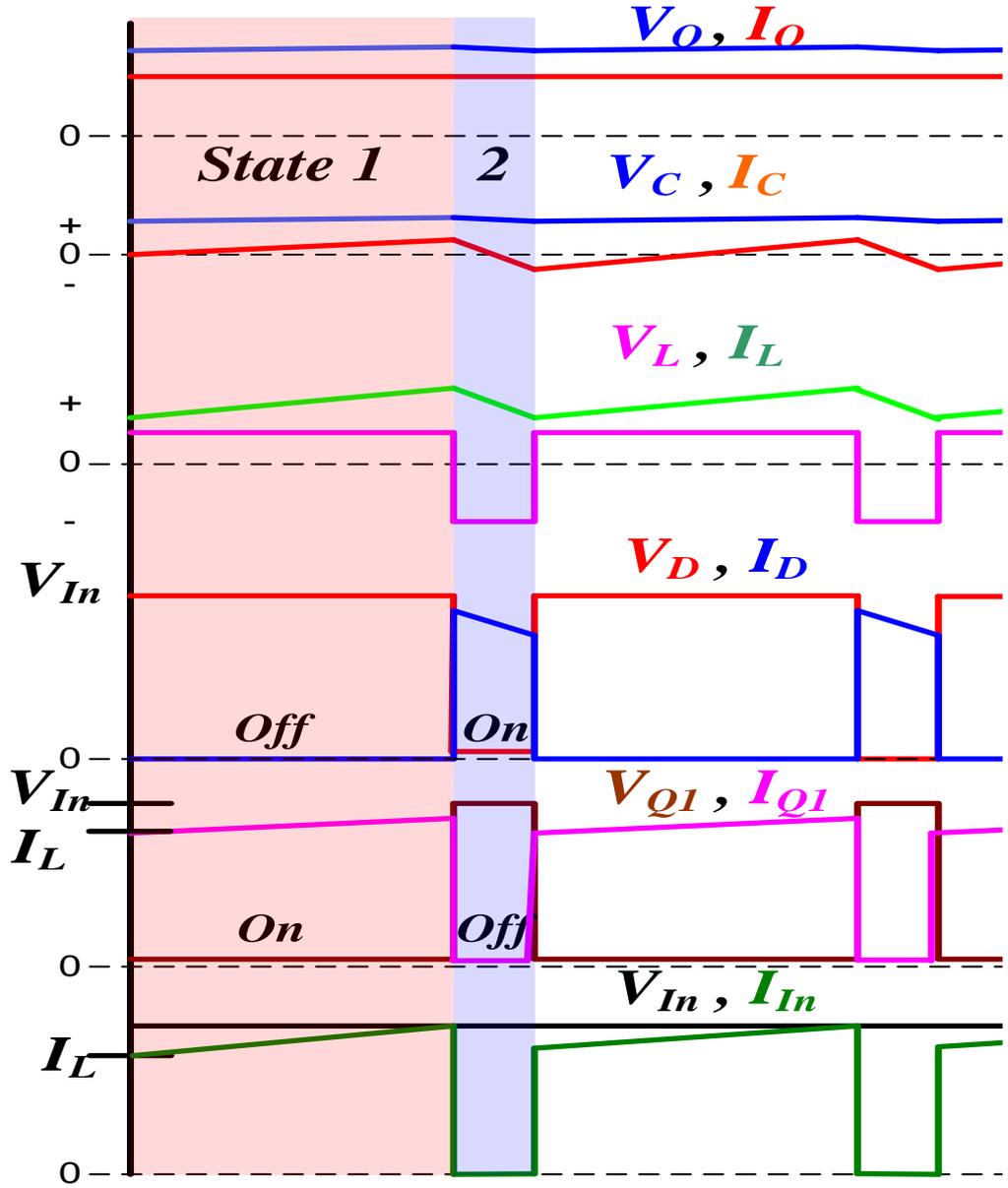
- Switching device  $Q1$  turned on by square wave drive circuit with controlled on-to-off ratio (duty factor,  $D$ )
- $V_{in} - V_o$  impressed across  $L$
- Current in  $L$  increases linearly
- Capacitor  $C$  charges to  $V_o$

## Topologies - Buck Converter



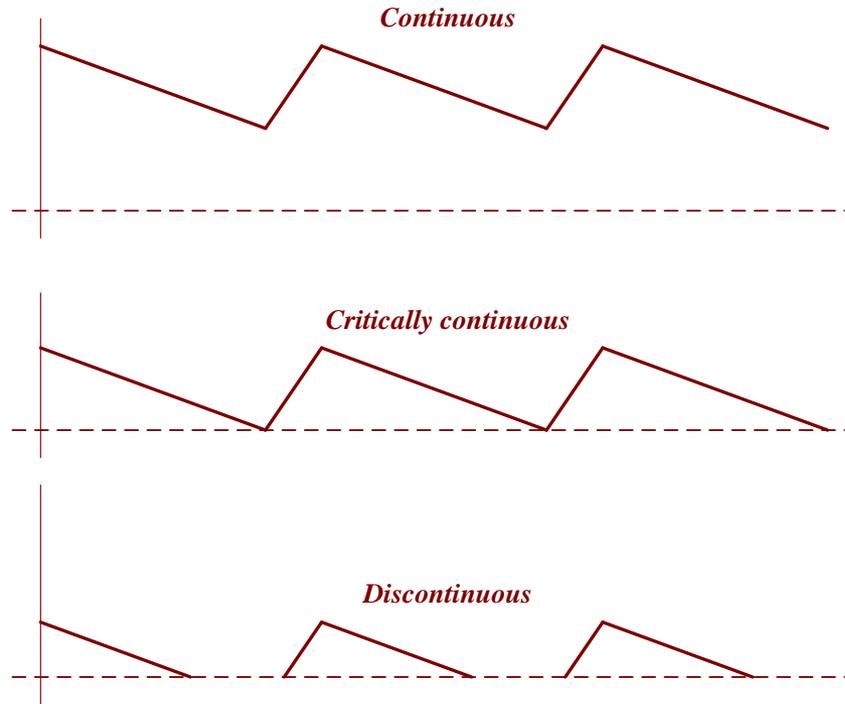
- *Switching device  $Q1$  turns off*
- *Voltage across  $L$  reverses:  $-V_o$  impressed across  $L$*
- *Diode  $D$  turns on*
- *Current in  $L$  decreases linearly*
- *$C$  discharges into the Load*

# Topologies - Buck Converter Waveforms



## Topologies - Buck Converter Conduction

*Buck converter inductor current can be continuous, critically continuous or discontinuous*



*Discontinuous current is caused by:*

- *Too light a load*
- *Too small an inductor*
- *Too small filter capacitor*
- *Discontinuous difficult to control output and output  $\neq D * V_{in}$*



## Topologies - Buck Converter

### Summary

- *Output polarity is the same as the input polarity*
- *In steady-state  $L$  volt-seconds with  $Q1$  on = volt-seconds with  $Q1$  off*

$$(V_{In} - V_O) * t_{on} = (V_O * t_{off})$$

$$V_O = V_{In} * t_{on} / (t_{on} + t_{off}) = V_{In} * D$$

- *Output voltage is always less than the input voltage because  $D \leq 1$*
- *Switch duty factor ( $D$ ) range 0 to 0.95*
- *Output voltage is not related to load current so output impedance is very low (approximates a true voltage source)*



## *Topologies - Buck Converter Vs Other Topologies*

### *An Advantage*

- *Few components, 1 switch – simple circuit, high reliability if not overstressed*

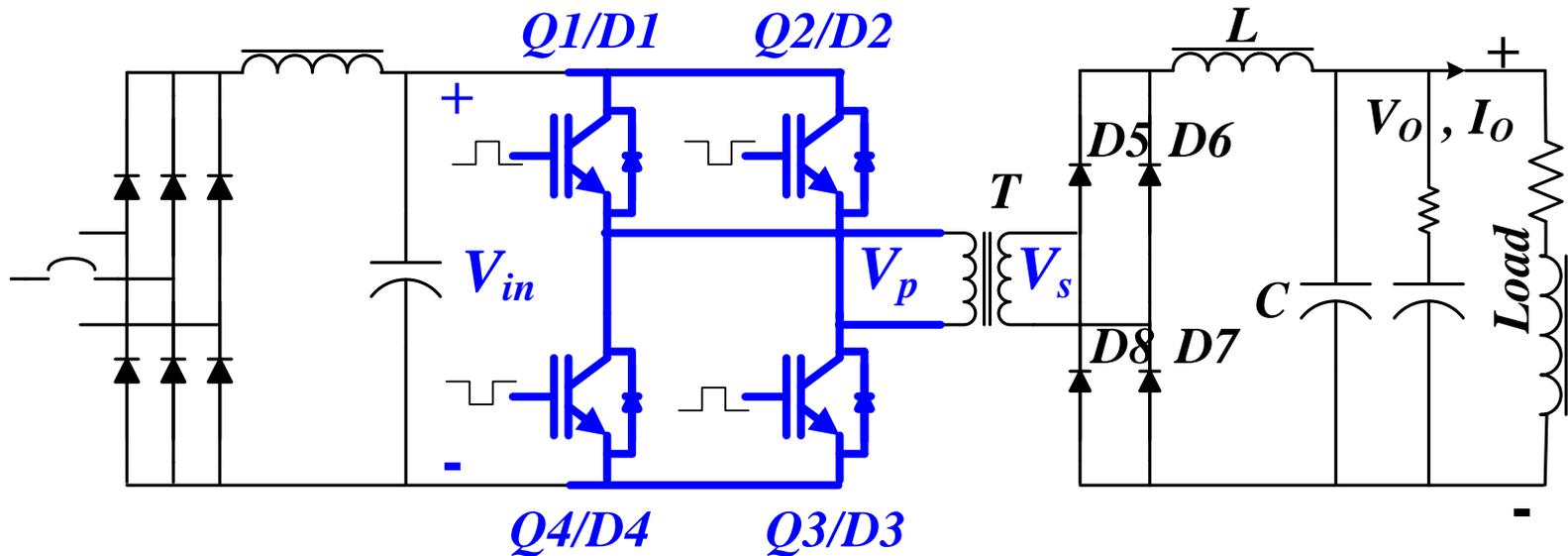
### *Disadvantages*

- *Output is DC and unipolar so no chance of high-frequency transformer or bipolar output*
- *Low frequency transformer must be used in front of the Buck for isolation and to match the line voltage to the load voltage*

### *Application*

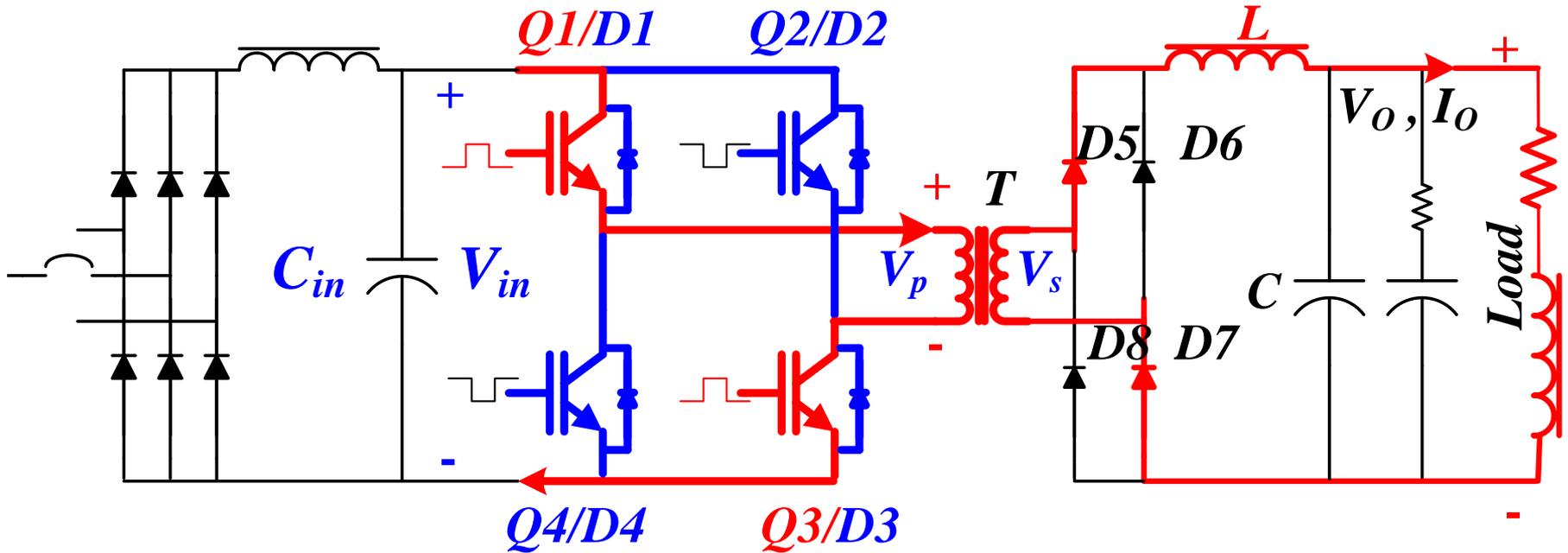
- *Used very widely in accelerator power systems, typically for large power supplies (perhaps  $\geq 350$  kW and used in conjunction with a 12-pulse rectifier with 6-phase transformer)*

## Topologies - Full-Bridge Converter



- Full wave rectifier, output ripple is multiples of the input frequency
- Equal in popularity to buck topology for high-power converters
- Used when line and load voltages are not matched
- Voltage stress on switches = input voltage
- Good transformer utilization, power is transmitted on both half-cycles

## Topologies - Full-Bridge Converter Switching – Q1 and Q3 On , Q2 and Q4 Off

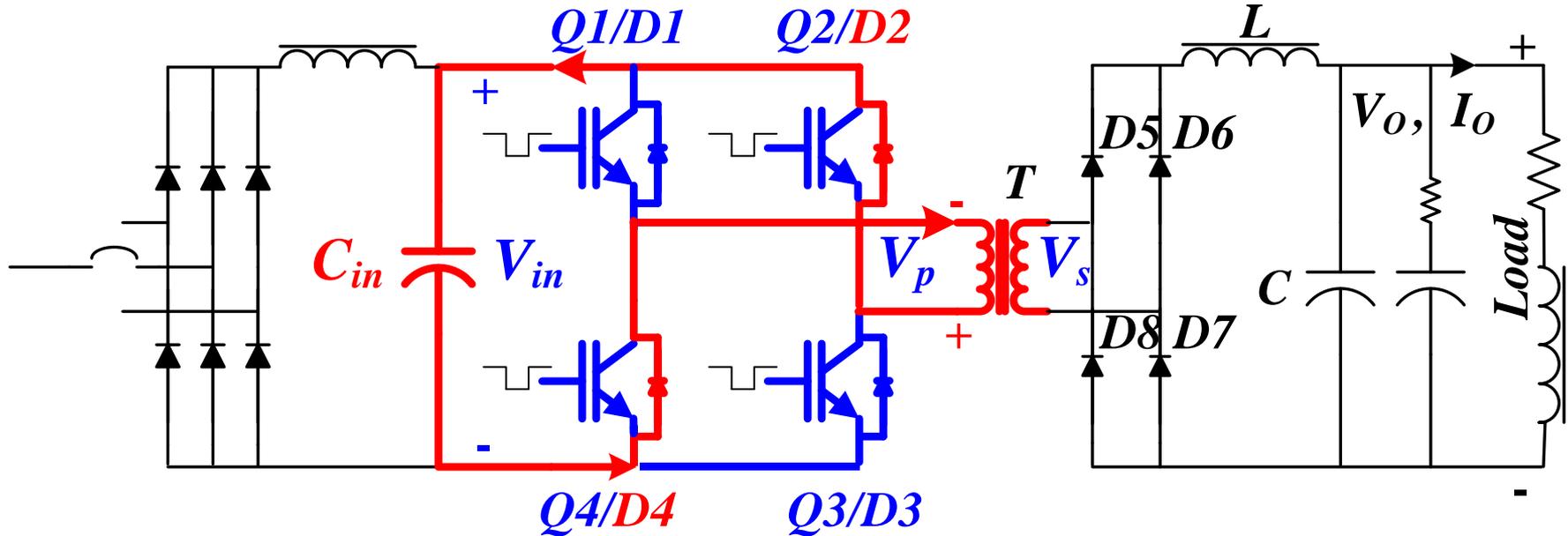


### State 1 - Power

- Power is derived from the input rectifier and slugs of energy from  $C_{in}$
- $Q1$  and  $Q3$  are closed. Current flows through  $Q1$  and the primary winding of  $T$  and  $Q3$
- A voltage ( $V_{in}$ ) is developed across the primary winding of  $T$ . A similar voltage is ( $V_{in} * N$ ) is developed across the secondary winding of  $T$
- The secondary voltage causes rectifiers  $D5$  and  $D7$  to conduct current



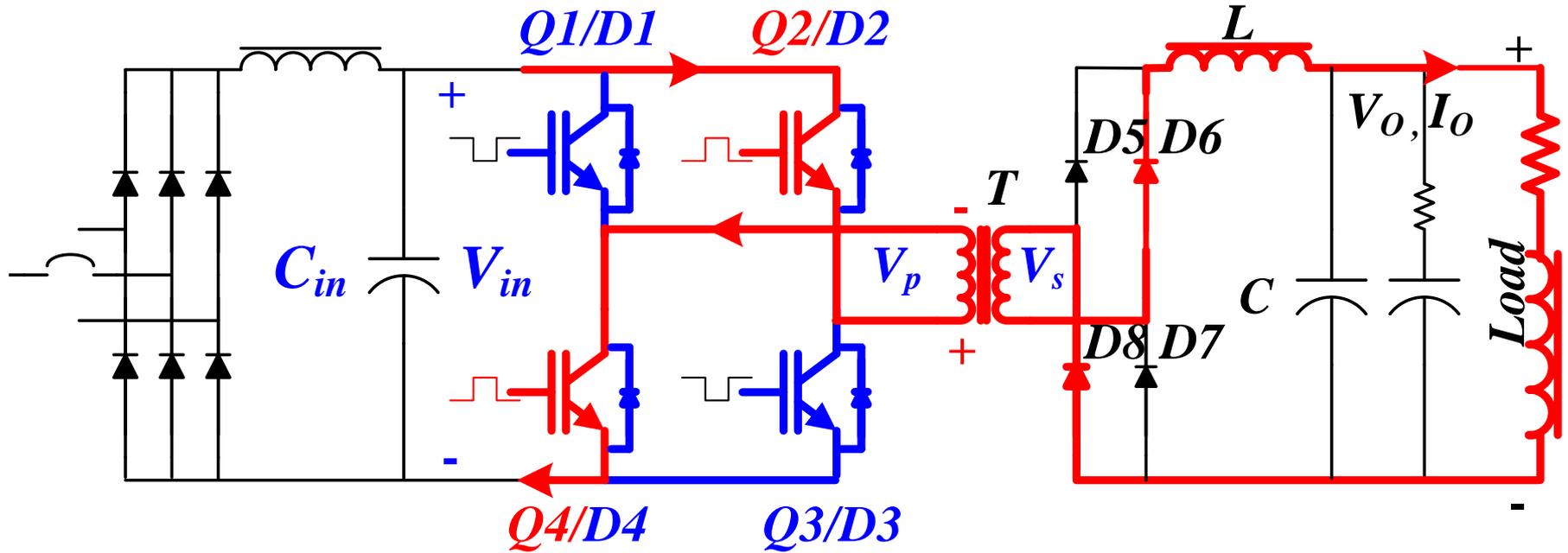
## Topologies - Full-Bridge Converter Switching – Q1, Q2, Q3 and Q4 Off



### State 2 - Power Off

- Q1 and Q3 are turned off. All switches are off
- $C_{in}$  recharges
- The transformer primary current flows in the same direction but the voltage reverses polarity. This causes D2 and D4 to conduct. Stored leakage inductance energy is returned to the input filter capacitor. The transformer current decays to zero.
- The secondary rectifiers D5, D6, D7 and D8 are all off

## Topologies - Full-Bridge Converter Switching – Q2 and Q4 On, Q1 and Q3 Off

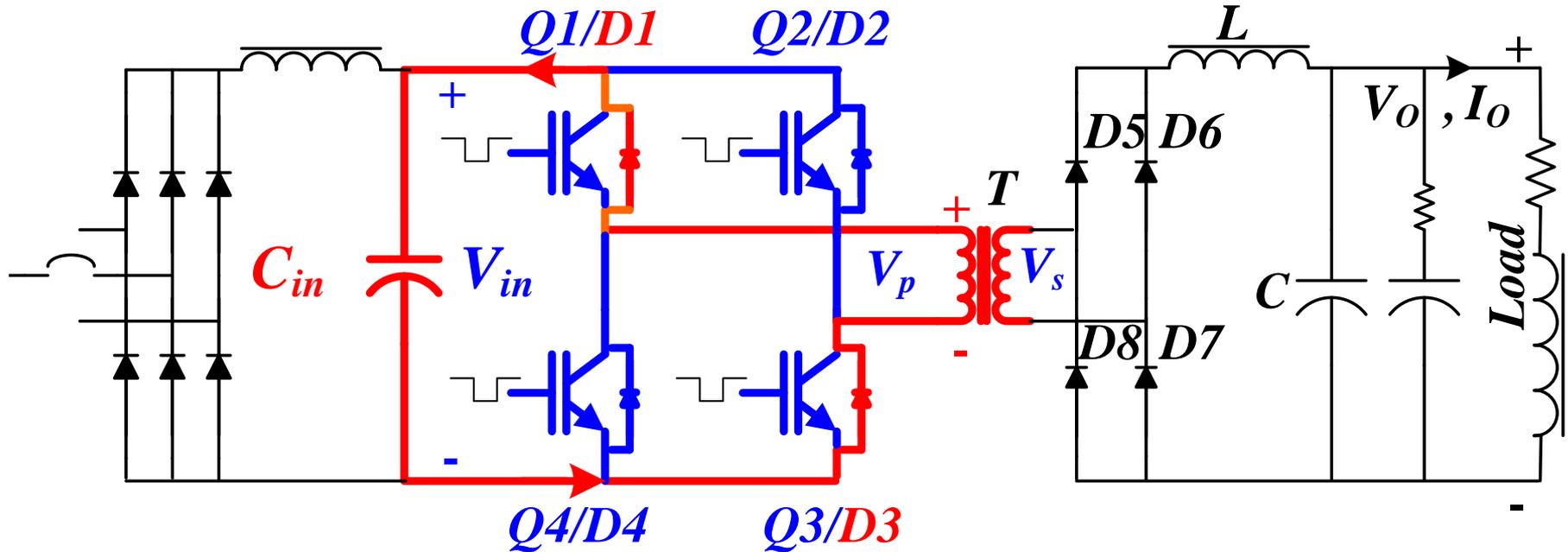


### State 3 - Power

- Power is derived from the input rectifier and slugs of energy from  $C_{in}$
- Q2 and Q4 are closed and current flows through Q2, the primary winding of T and Q4
- A voltage ( $V_{in}$ ) is developed across the primary winding of T. A similar voltage ( $V_{in} * N$ ) is developed across the secondary winding of T
- The secondary voltage causes rectifiers D6 and D8 to conduct current



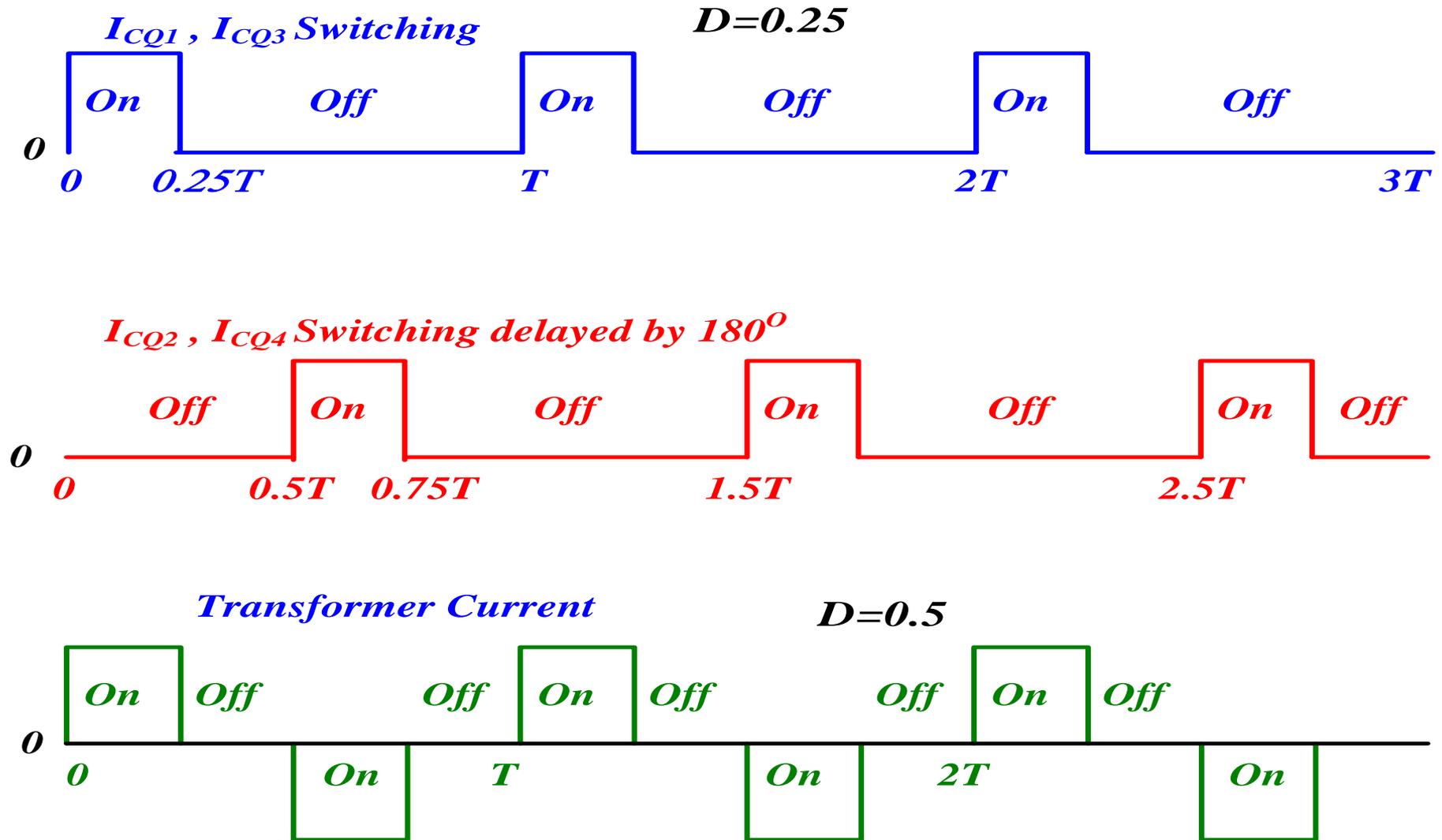
## Topologies - Full-Bridge Converter Switching – Q1, Q2, Q3 and Q4 Off



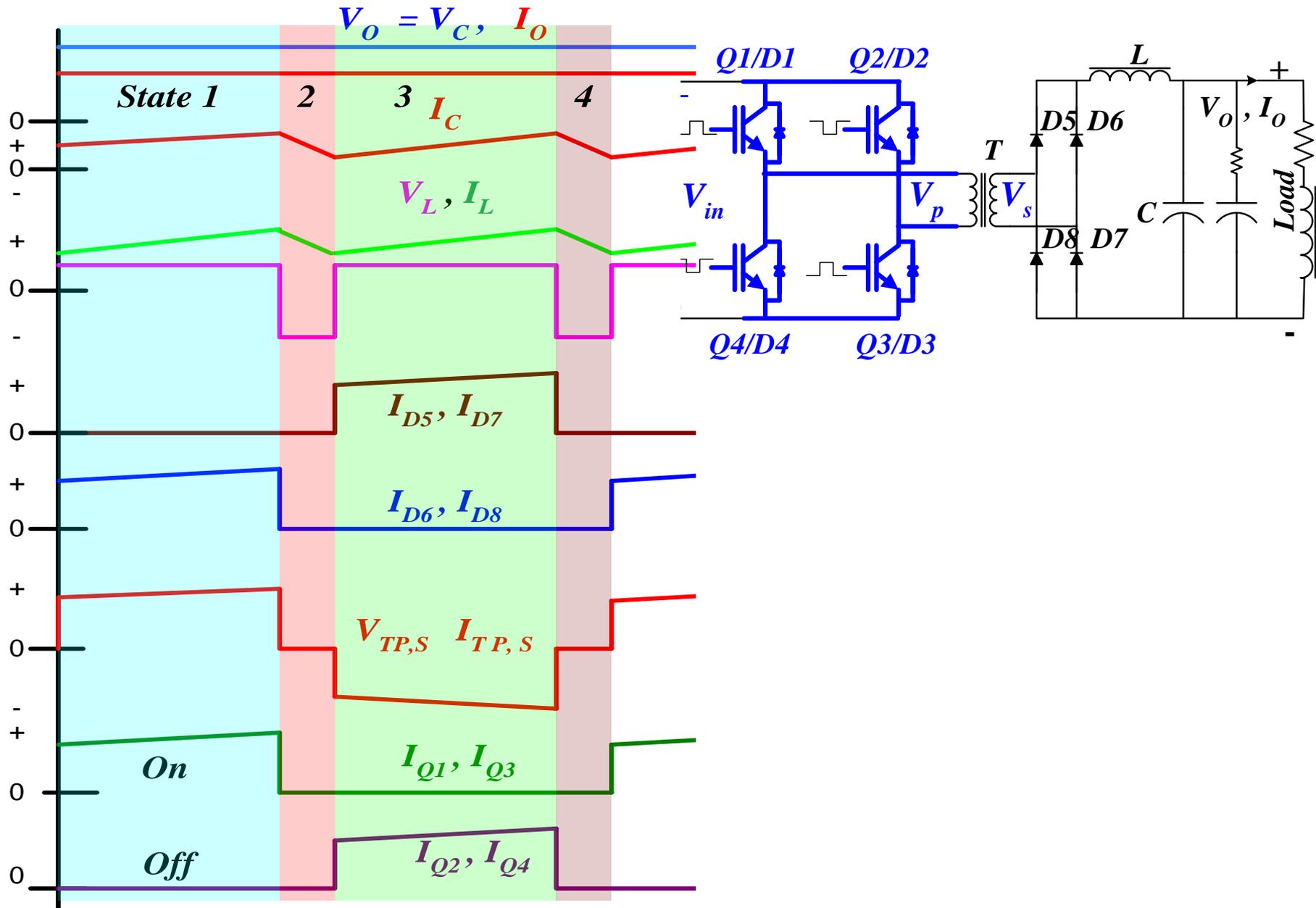
### State 4 – Power Off

- Q2 and Q4 are turned off. All switches are off
- $C_{in}$  recharges
- The current in the transformer primary flows in the same direction but the voltage reverses polarity. This causes D1 and D3 to conduct. Stored leakage inductance energy is returned to the input filter capacitor. The transformer current goes to zero.
- The secondary rectifiers D5, D6, D7 and D8 all turn off

# Topologies - Full Bridge Converter – IGBT Switching



# Topologies - Full Bridge Waveforms





## *Topologies - Full Bridge Waveforms*

- *Some inductive energy can be recovered to recharge input filter  $C_{in}$*
- *Same pulses applied to Q1 & Q3 and the same, but  $180^\circ$  delayed, pulses are applied to Q2 & Q4*
- *Switching sequence is Q1 & Q3 are turned on, then turned off after providing the required ON time*
- *After delay (to account for finite switch turn off and turn on), Q2 & Q4 are turned on. After providing the required ON time, Q2 & Q4 are turned off.*
- *Sequence repeats*
- *Q1 and Q4 or Q2 and Q3 are never turned on together*
- *Only the leading edge (or trailing) edge of the gating and current pulse move*
- *Symmetrical +/- pulse obtained. Must be rectified to provide a DC output*
- *The output ripple is twice the switching frequency*



## Topologies - Full Bridge Converter

### Advantages

- *Simple primary winding needed for the main transformer, driven to the full supply voltage in both directions*
- *Power switches operate under extremely well-defined conditions. The maximum stress voltage will not exceed the supply line voltage under any conditions.*
- *Positive clamping by 4 energy recovery diodes suppresses voltage transients that normally would have been generated by the leakage inductances.*
- *The input filter capacitor  $C_{in}$  is relatively small*
- *Modest part count for high reliability.*
- *Can be used with or without line-to-load matching transformer*
- *Transformer matches the load to the input line.*
- *With transformer unipolar output, without transformer, used for bipolar operation*
- *Capable of high power output (500 kW)*



## *Topologies - Full Bridge Converter*

### *Disadvantage*

- *Four (4) switches are required, and since 2 switches operate in series, the effective saturated on-state power loss is somewhat greater than in the 2 switch, half-bridge case. In high voltage, off-line switching systems, these losses are acceptably small.*



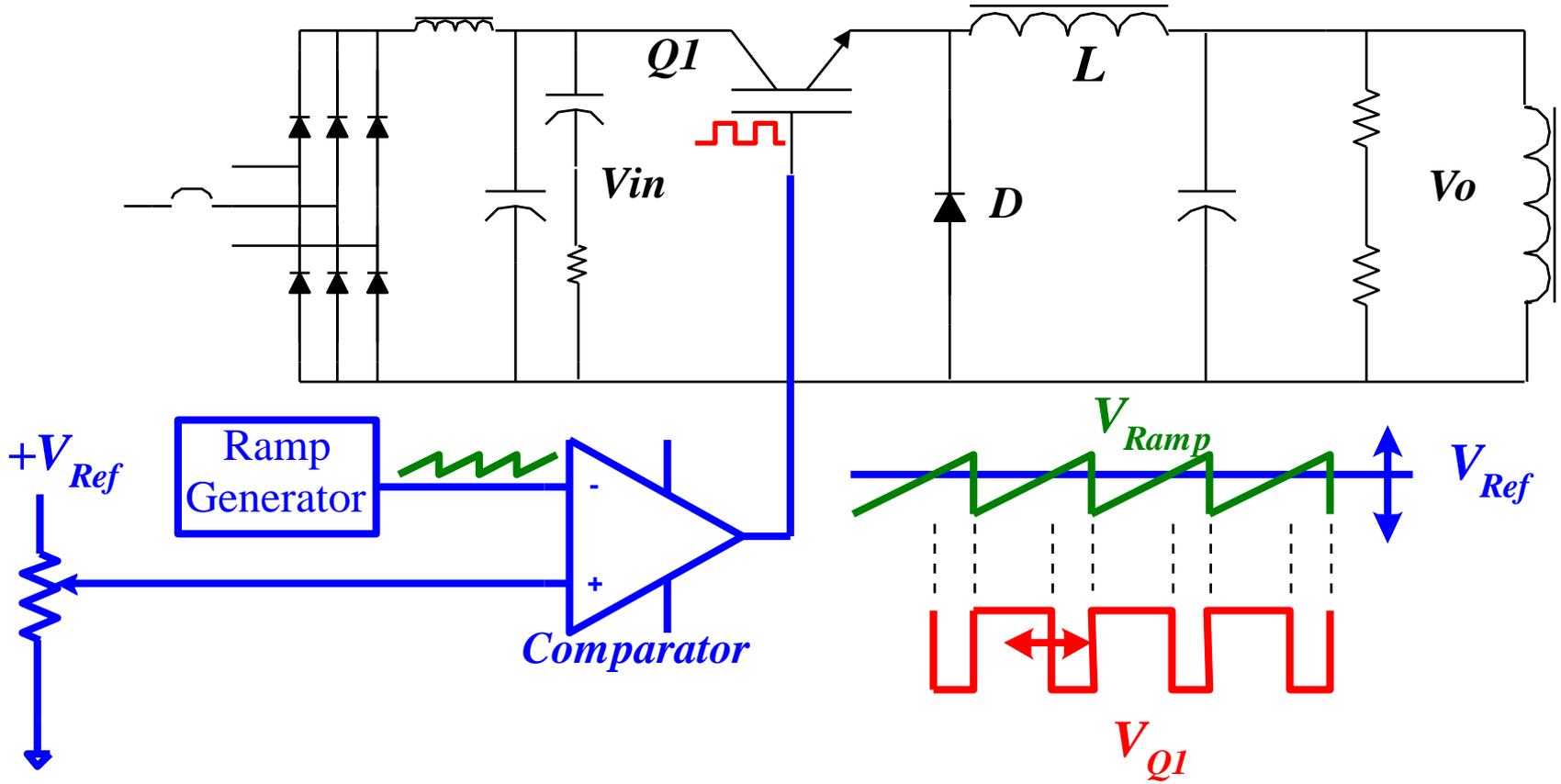
## Topologies - Summary of 3 Forward Converters

<i>Converter Type</i>	<i>Topology</i>	$V_o$	$P_o$	<i>Transformer</i>	<i>Output Type</i>
<i>Buck</i>	<i>1 switch</i>	$V_o = V_{in} * D$	<i>Any</i>	<i>Not possible</i>	<i>Unipolar</i>
<i>Boost</i>	<i>1 switch</i>	$V_o = V_{in} / (1-D)$	<i>I<sub>in</sub> limits P<sub>o</sub></i>	<i>Not possible</i>	<i>Unipolar</i>
<i>Full Bridge</i>	<i>4 switches Minor switch losses</i>	$V_o = V_{in} * D * n$	<i>Any</i>	<i>Possible</i>	<i>Unipolar/ bipolar</i>



## *Pulse Width Modulation (PWM) Techniques*

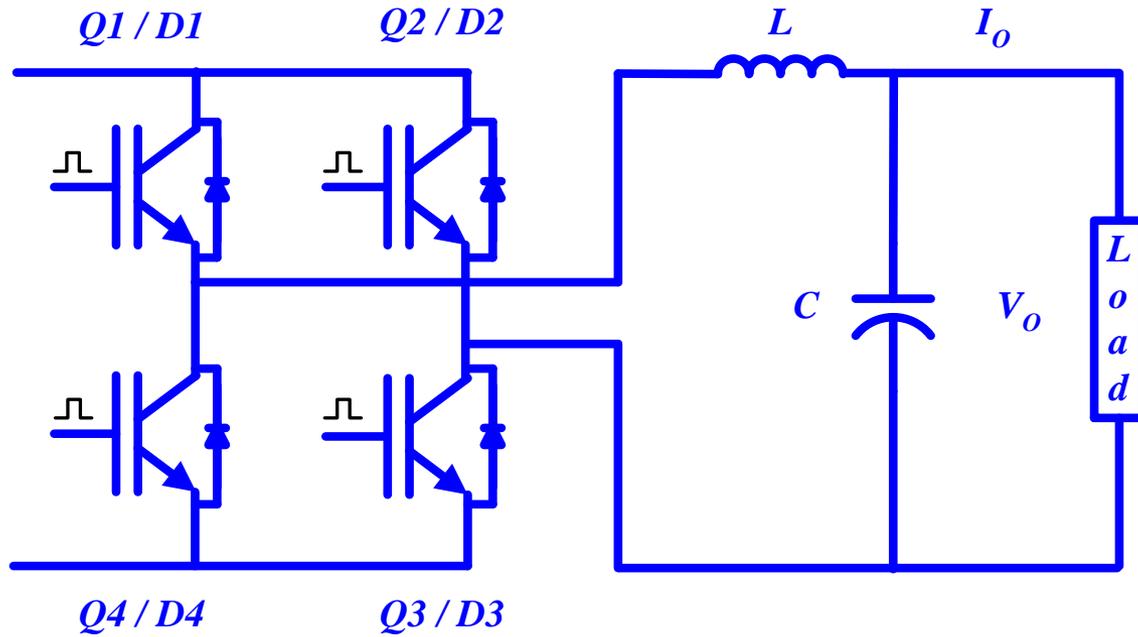
# Pulse Width Modulation



$V_{Ref} \uparrow$	$V_{Ref} - V_{Ramp} = V_{Q1} \text{ pulse width } \uparrow$	$V_o \uparrow$
$V_{Ref} \downarrow$	$V_{Ref} - V_{Ramp} = V_{Q1} \text{ pulse width } \downarrow$	$V_o \downarrow$



## PWM - Bipolar Bridge



# PWM - Bipolar Bridge

<http://www.bira.com>

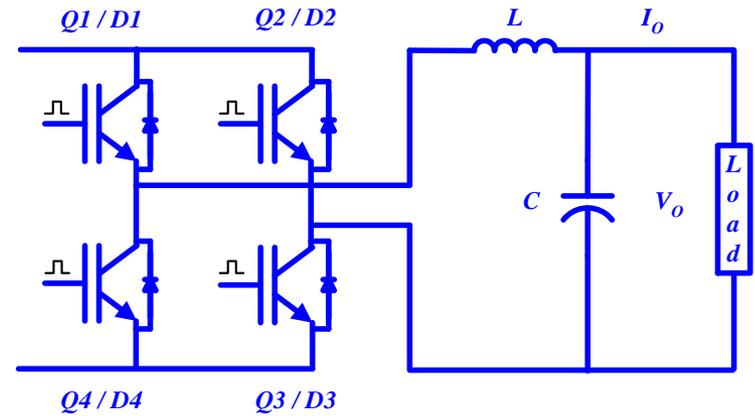


The screenshot shows a web browser window displaying the BIRA Systems Inc. website. The page title is "BiPolar Corrector Magnet Power Supplies". The navigation menu includes "HOME", "OUR PRODUCTS", "OUR PROJECTS", "CUSTOMIZE", "SUPPORT", and "CONTACT". The "OUR PRODUCTS" menu is active, showing a list of product categories: "MCOR Modules", "12 Amp Module", "30 Amp Module", and "Our Products". The "Our Products" section lists several categories: "CompactRIO Accessories", "BiGEN Power Supplies", "Bipolar Power Supplies", "Compact FieldPoint Accessories", "PXL Modules", "CAMAC/NIM", and "Cooling Units". The main content area features the heading "BiPolar Corrector Magnet Power Supplies" and a sub-heading "Up to 16 channels @ 12amps and up to 8 channels @ 30amps in 6U". Below this, there are three bullet points: "Over 1,000 Units In Operation Globally", "Modular Design For Reliable Operation", and "Lab Standard Analog Control & Monitoring". An image of the MCOR system is shown. The text describes the MCOR 12 system as a 16-channel precision magnet driver and the MCOR 30 system as an 8-channel precision magnet driver. It also mentions that the MCOR system employs a modular architecture. The "MCOR Modules" section lists "MCOR 2/6/9/12" and "MCOR 30". The "System Crate" section states that all MCOR modules are housed in a 6U VME style crate. A link to "View MCOR Crate Manual" is provided at the bottom.

## PWM - Bipolar Bridge

### Generalities

- *Diagonal switching*
- *Two PWMs are usually employed*
- *Switches  $Q1$  and  $Q3$  are the + output leg*
- *Switches  $Q2$  and  $Q4$  are the – output leg*
- *An output rectifier is not required*
- *Since the output desired DC, but contains + and – components, a non-polarized output filter capacitor must be used*
- *2 and 4 quadrant operation is possible*





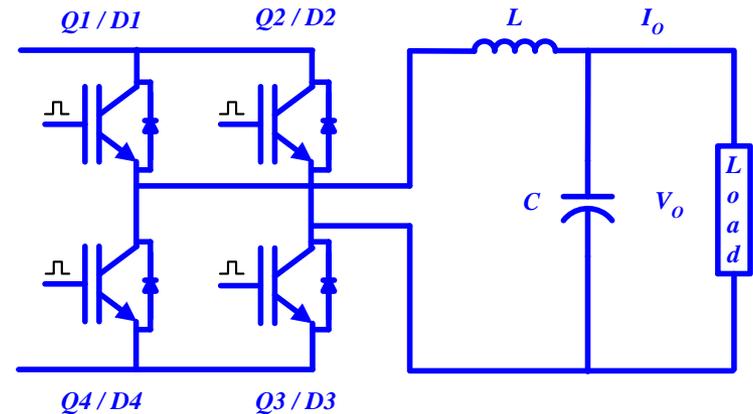
## *PWM - Bipolar Bridge*

### *Two types of PWM*

- *Sign/magnitude in which the sign of the reference signal determines which pair of switches to turn on and the magnitude determines the pulse duration/duty factor*
- *“50/50” scheme in which there are 2 separate, complimentary PWM signals*

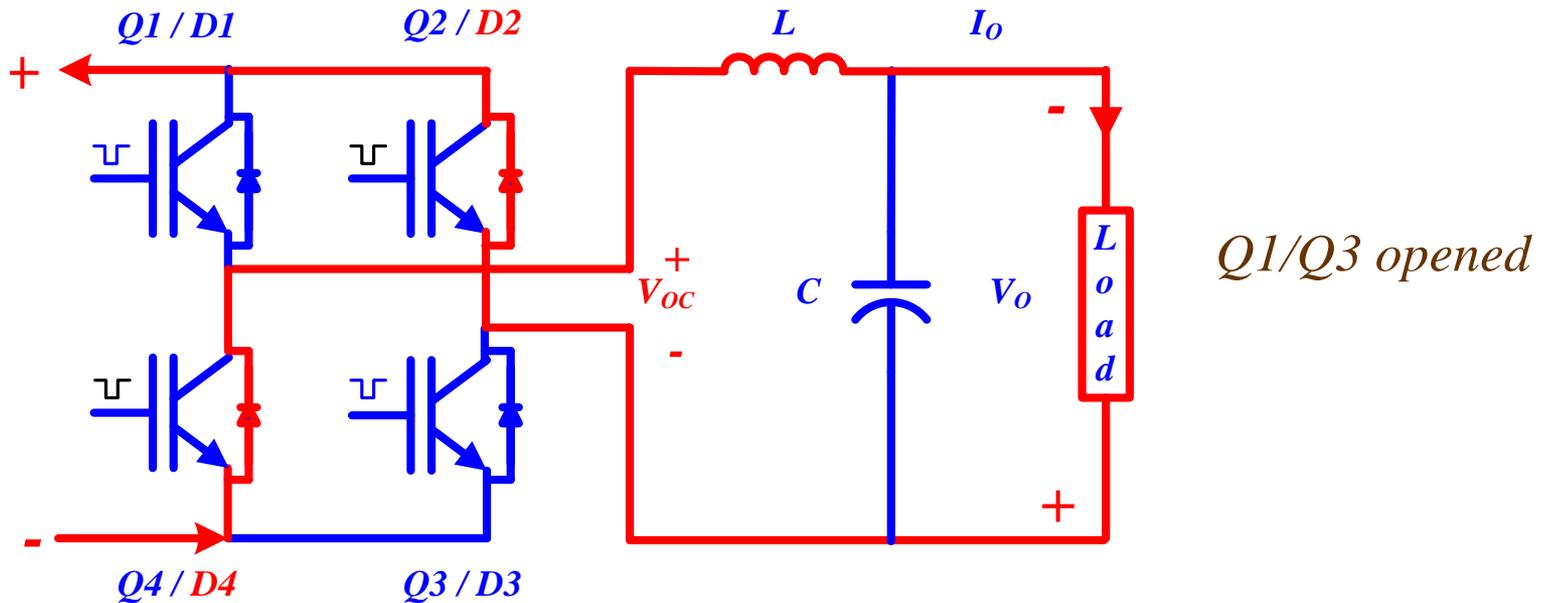
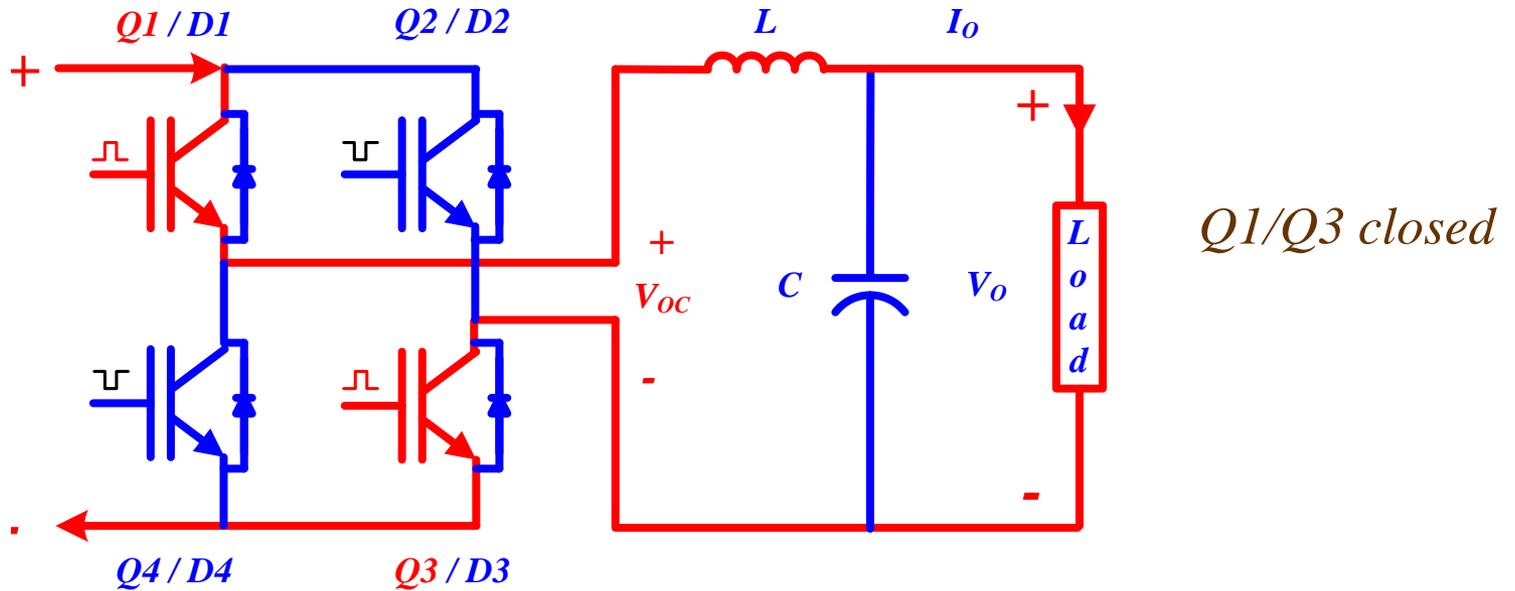
## PWM - Bipolar Bridge – Sign / Magnitude PWM

<i>Reference Signal</i>	<i>Q1/Q3 D</i>	<i>Q2/Q4 D</i>
<i>0</i>	<i>Off</i>	<i>Off</i>
<i>+25%</i>	<i>0.25</i>	<i>Off</i>
<i>+50%</i>	<i>0.50</i>	<i>Off</i>
<i>+75%</i>	<i>0.75</i>	<i>Off</i>
<i>+100%</i>	<i>1.00</i>	<i>Off</i>
<i>-25%</i>	<i>Off</i>	<i>0.25</i>
<i>-50%</i>	<i>Off</i>	<i>0.50</i>
<i>-75%</i>	<i>Off</i>	<i>0.75</i>
<i>-100%</i>	<i>Off</i>	<i>1.00</i>

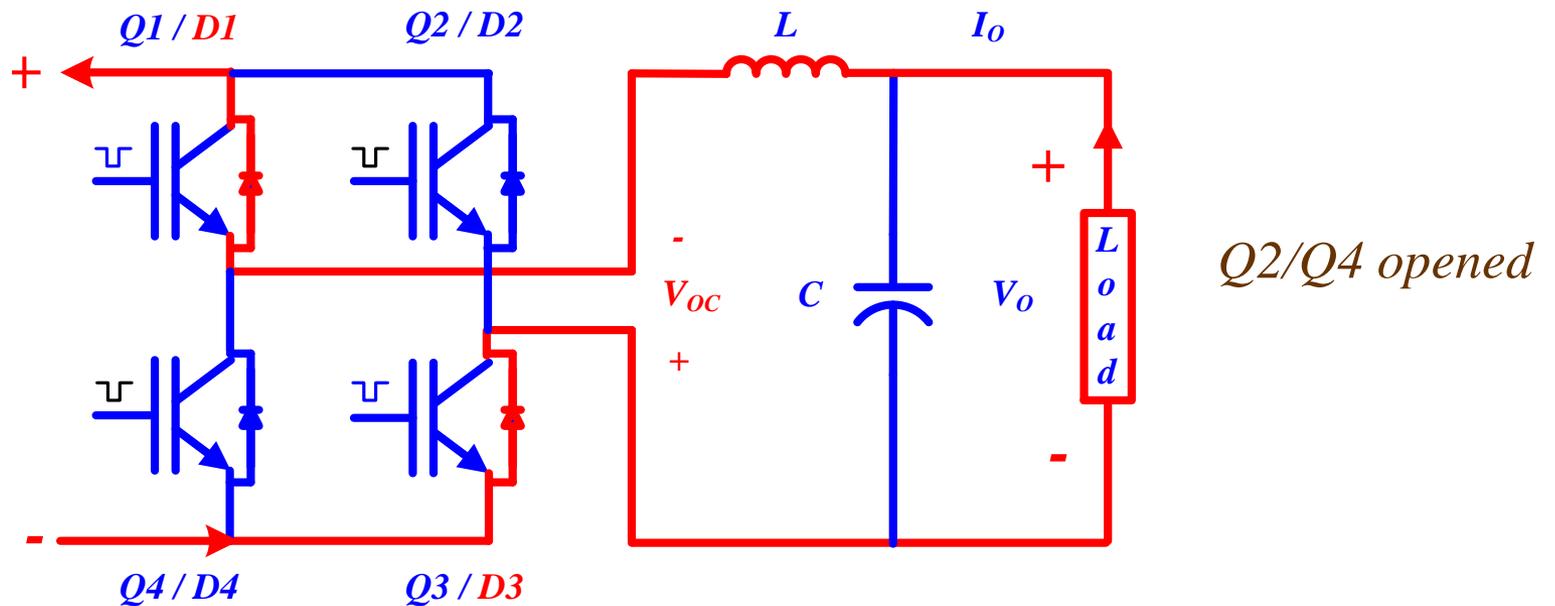
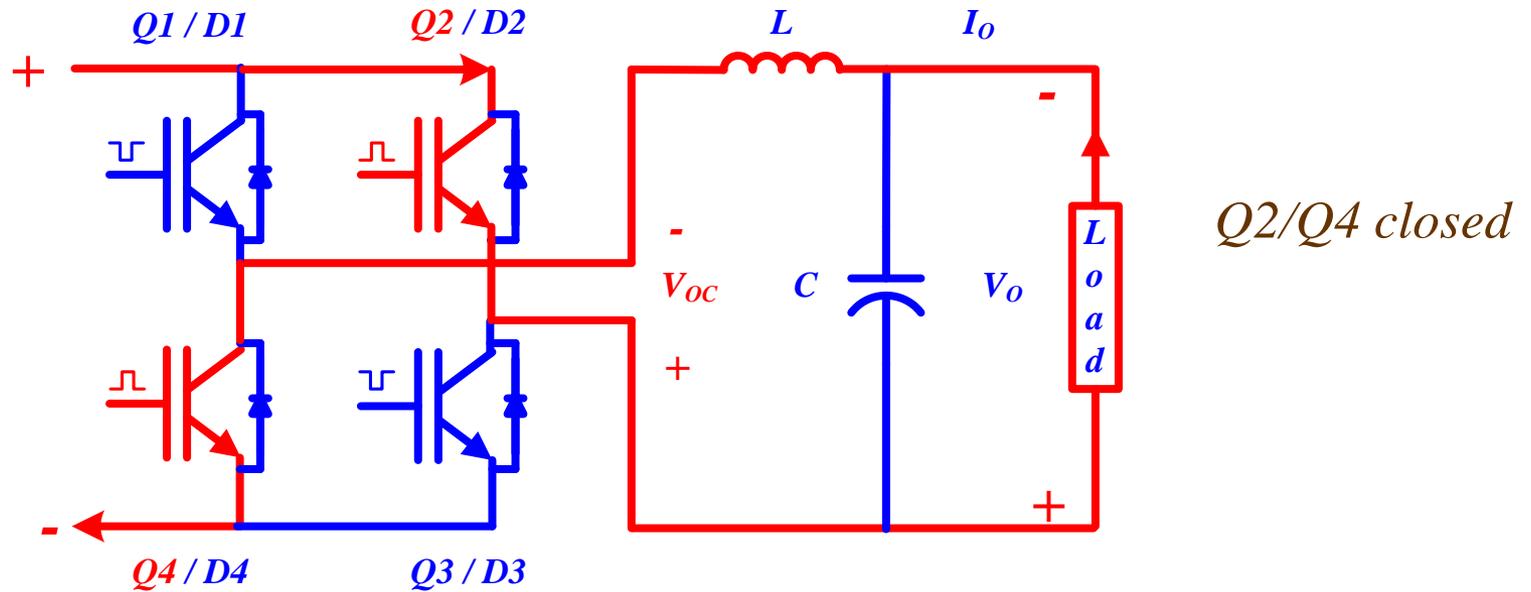


- *Switch only one leg at a time*
- *The 2 switches in the active leg switch on and off together*

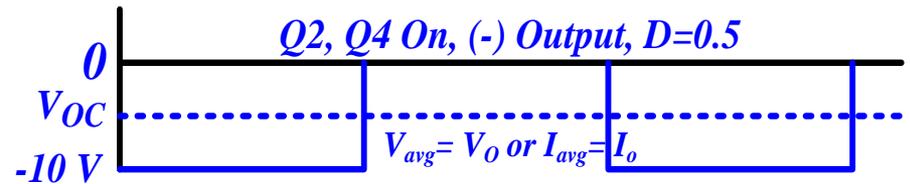
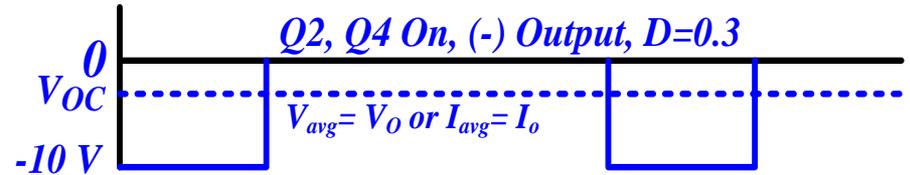
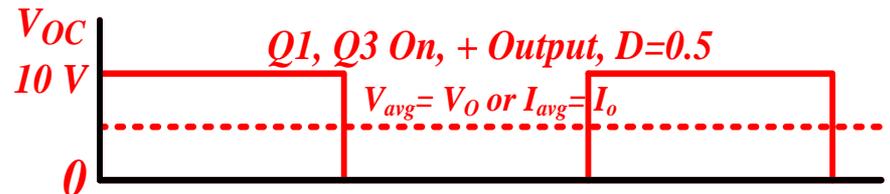
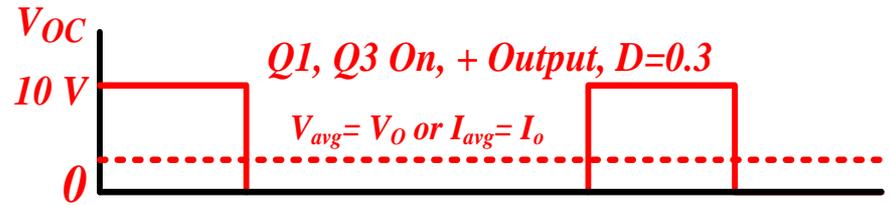
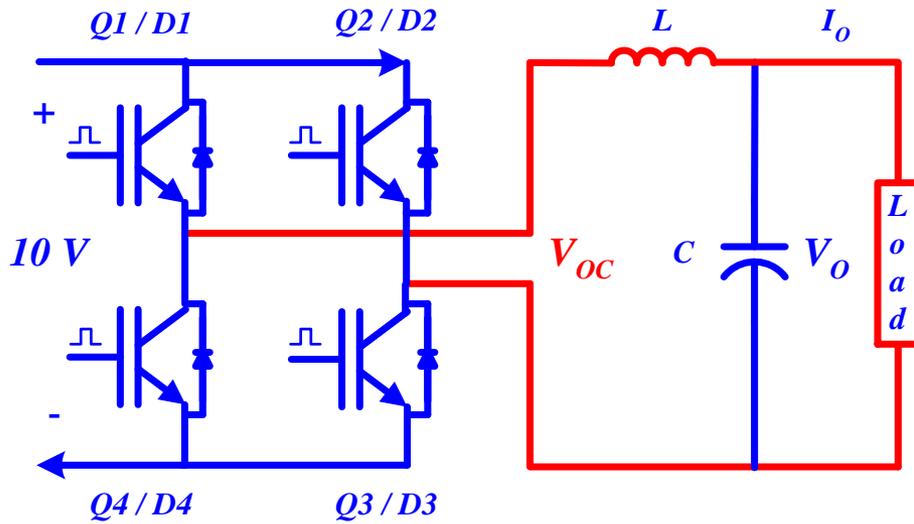
# Bipolar Bridge – Sign / Magnitude PWM – (+) Output



# Bipolar Bridge – Sign / Magnitude PWM – (-) Output

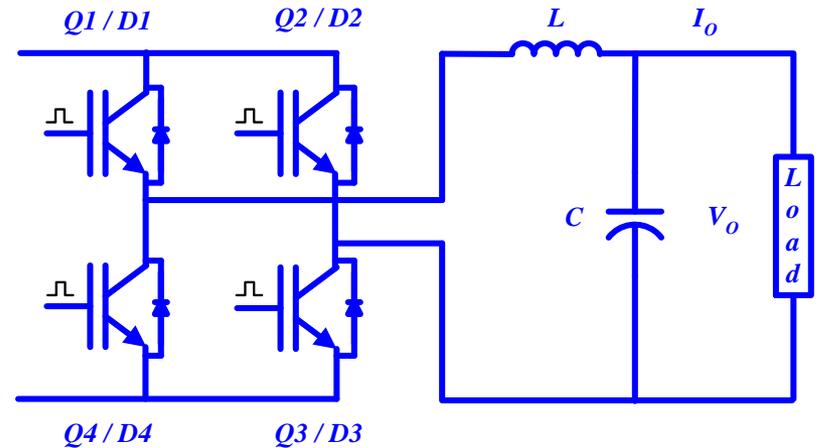


# Bipolar Bridge – Sign / Magnitude PWM - Waveforms



## “50/50” Bipolar PWM

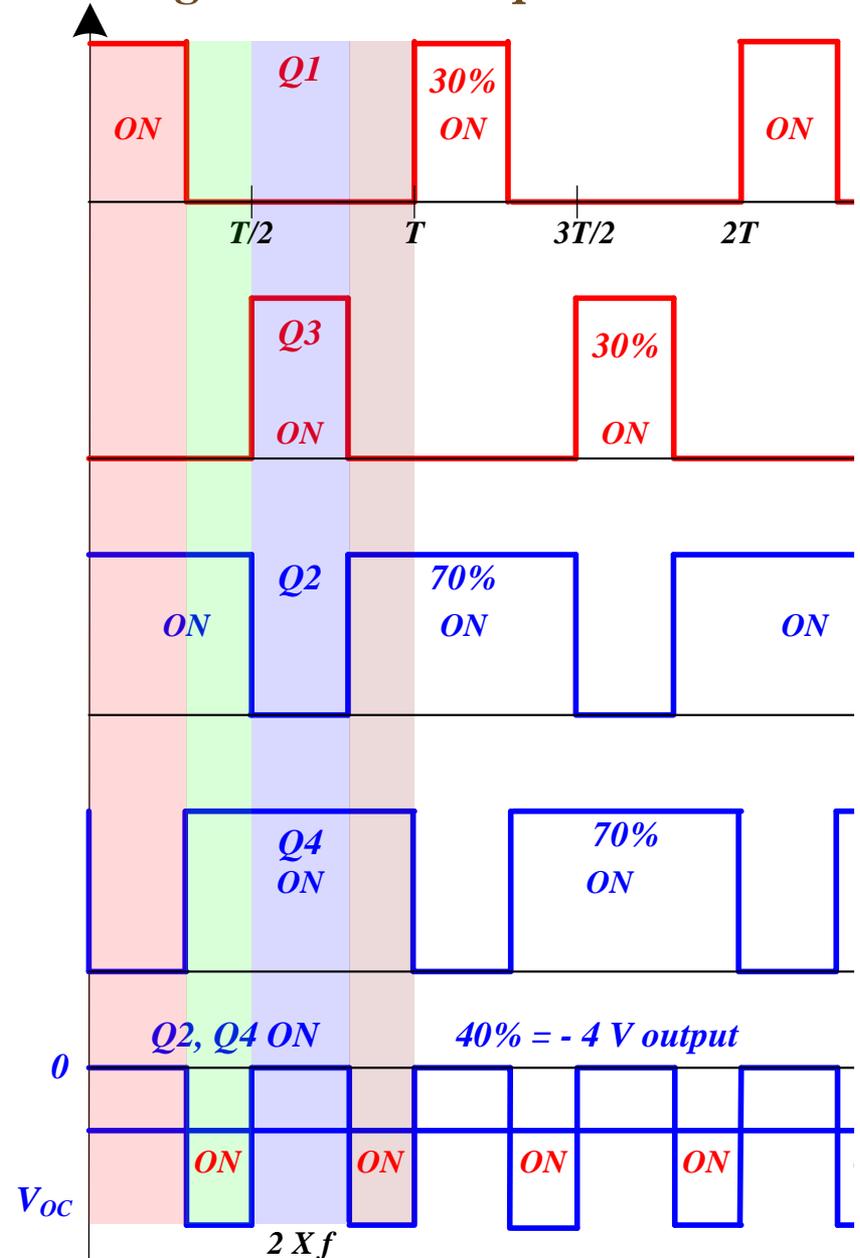
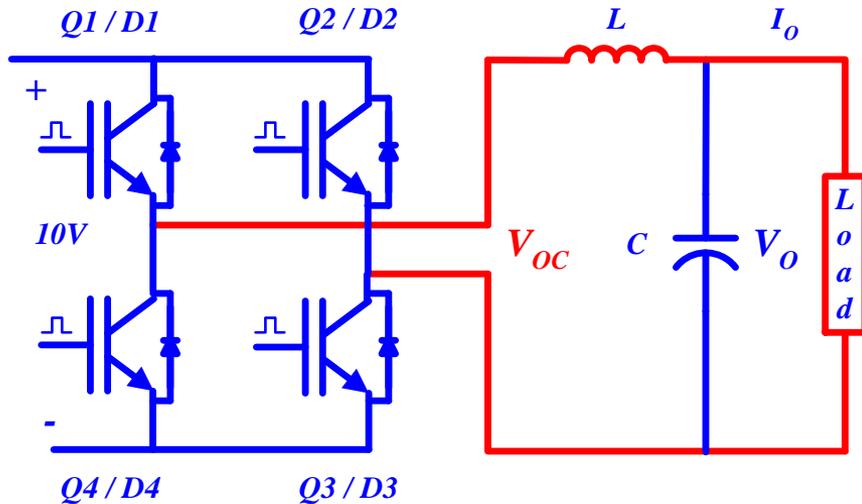
<i>Desired Output Reference Signal</i>	<i>Q1/Q3D</i>	<i>Q2/Q4 D</i>
-100%	0.0%	100.0%
-75%	12.5%	87.5%
-50%	25.0%	75.0%
-25%	37.5%	62.5%
0%	50.0%	50.0%
25%	62.5%	37.5%
50%	75.0%	25.0%
75%	87.5%	12.5%
100%	100.0%	0.0%



- *Both bridge legs are always active*
- *Q1/Q3 (+) bridge*
- *Q2/Q4 (-) bridge*
- *Q1/Q3 180° phase shifted*
- *Q2/Q4 180° phase shifted*
- *Q1 is complement of Q4*
- *Q2 is complement of Q3*

# PWM - "50/50" Bipolar Switching For - 4 V Output

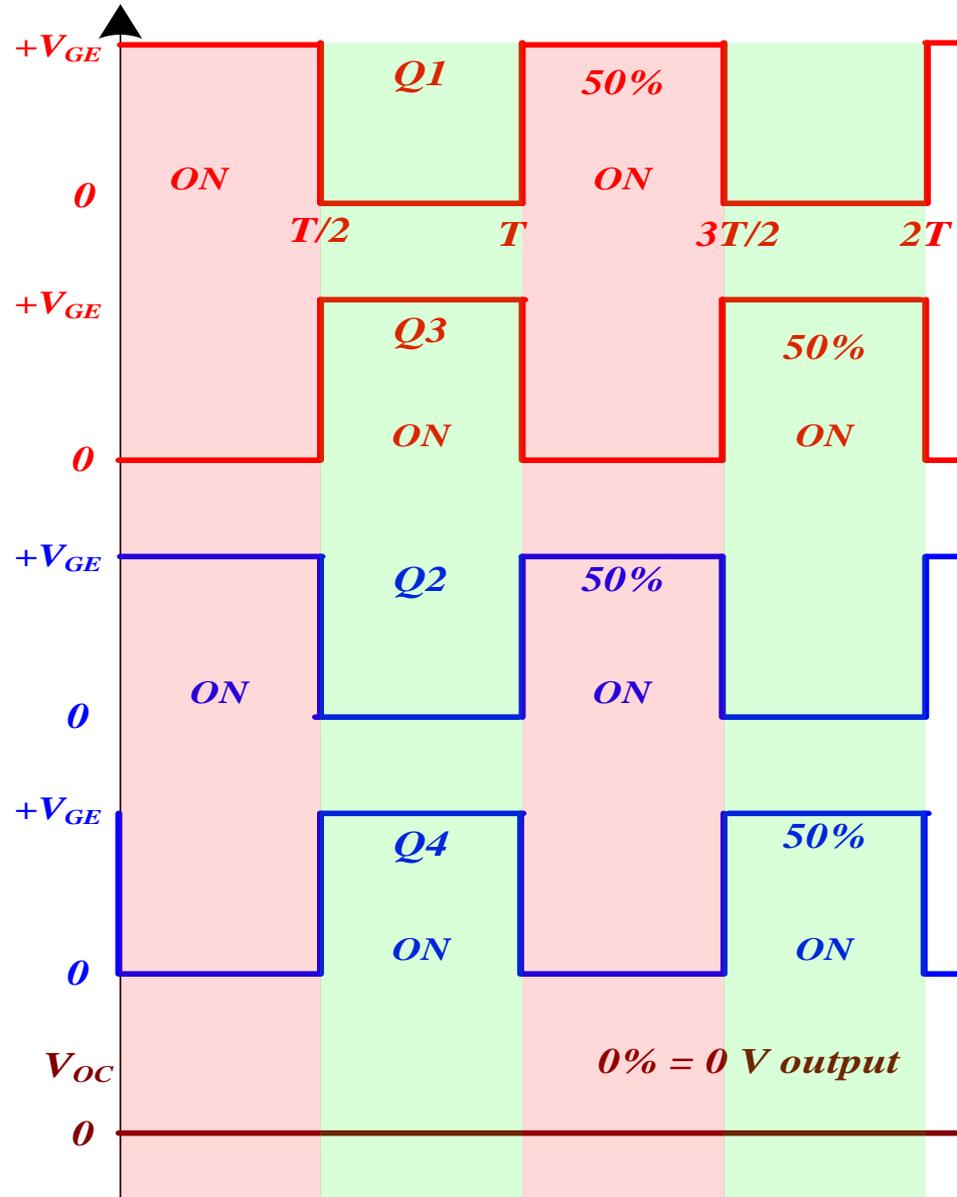
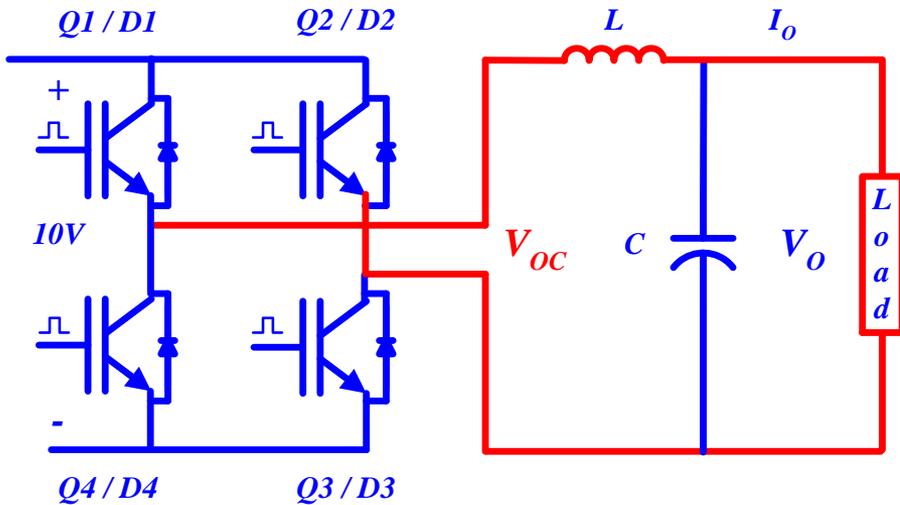
*Q1/Q3 on 30% Q2/Q4 on 70%*



	<i>Q1</i>	<i>Q3</i>	<i>Q2</i>	<i>Q4</i>	$V_{oc}$
<i>1</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>0 V</i>
<i>2</i>	<i>Off</i>	<i>Off</i>	<i>On</i>	<i>On</i>	<i>-10 V</i>
<i>3</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>0 V</i>
<i>4</i>	<i>Off</i>	<i>Off</i>	<i>On</i>	<i>On</i>	<i>-10 V</i>

# PWM - "50/50" Bipolar Switching For 0 V Output

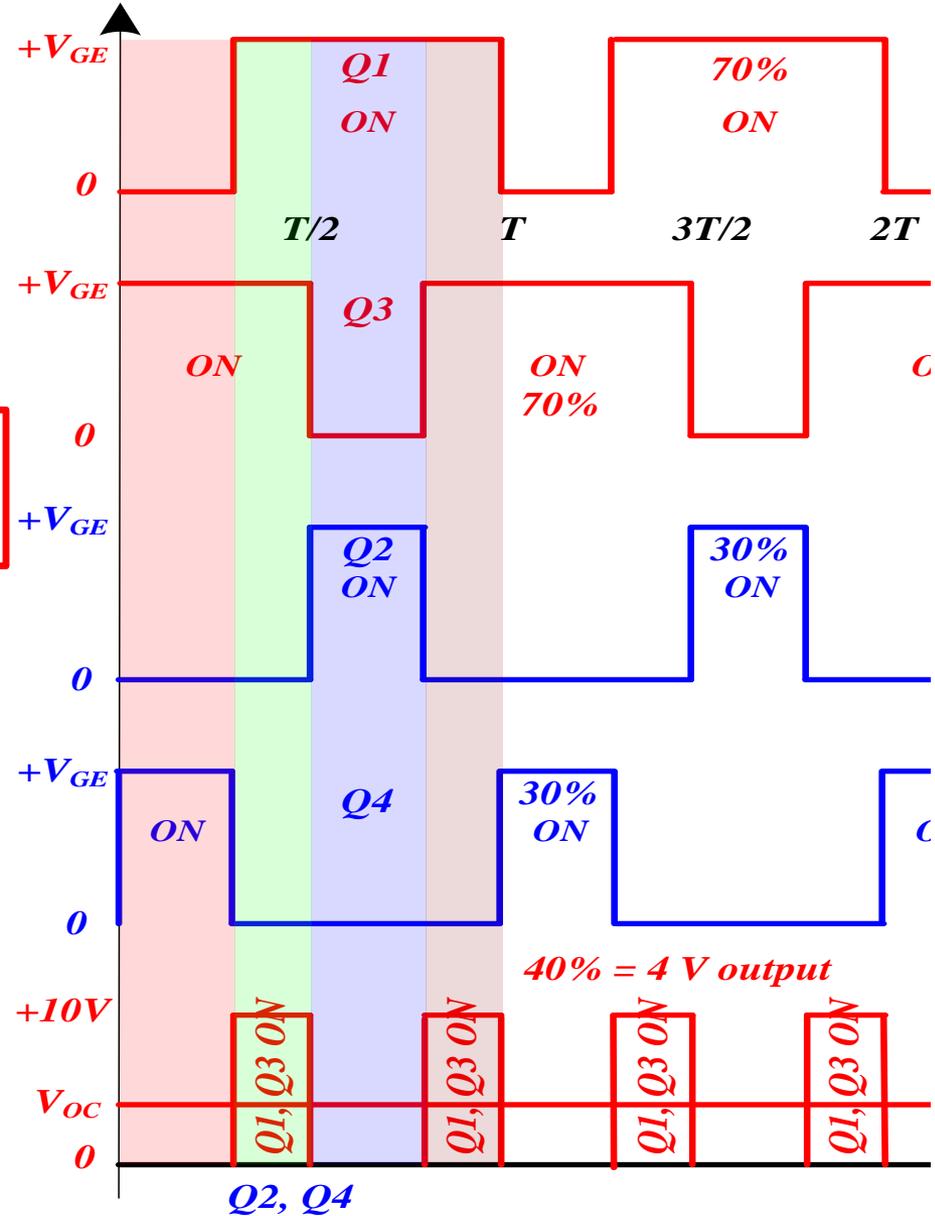
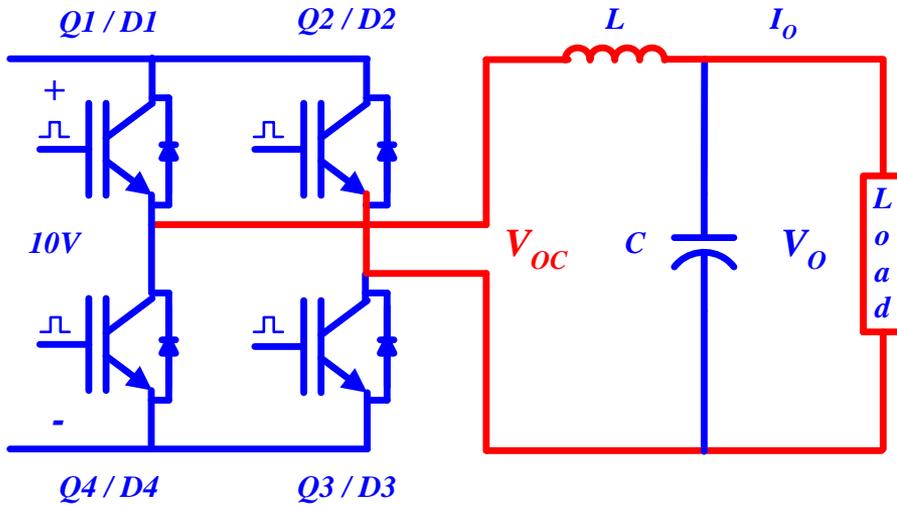
*Q1/Q3 on 50% Q2/Q4 on 50%*



	<i>Q1</i>	<i>Q3</i>	<i>Q2</i>	<i>Q4</i>	<i>V<sub>OC</sub></i>
<i>1</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>0 V</i>
<i>2</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>0 V</i>
<i>3</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>0 V</i>
<i>4</i>	<i>Off</i>	<i>On</i>	<i>Off</i>	<i>On</i>	<i>0 V</i>

# PWM - "50/50" Bipolar Switching For +4 V Output

*Q1/Q3 on 70% Q2/Q4 on 30%*



	<i>Q1</i>	<i>Q3</i>	<i>Q2</i>	<i>Q4</i>	$V_{OC}$
1	Off	On	Off	On	0 V
2	On	On	Off	Off	+10 V
3	On	Off	On	Off	0 V
4	On	On	Off	Off	+10 V

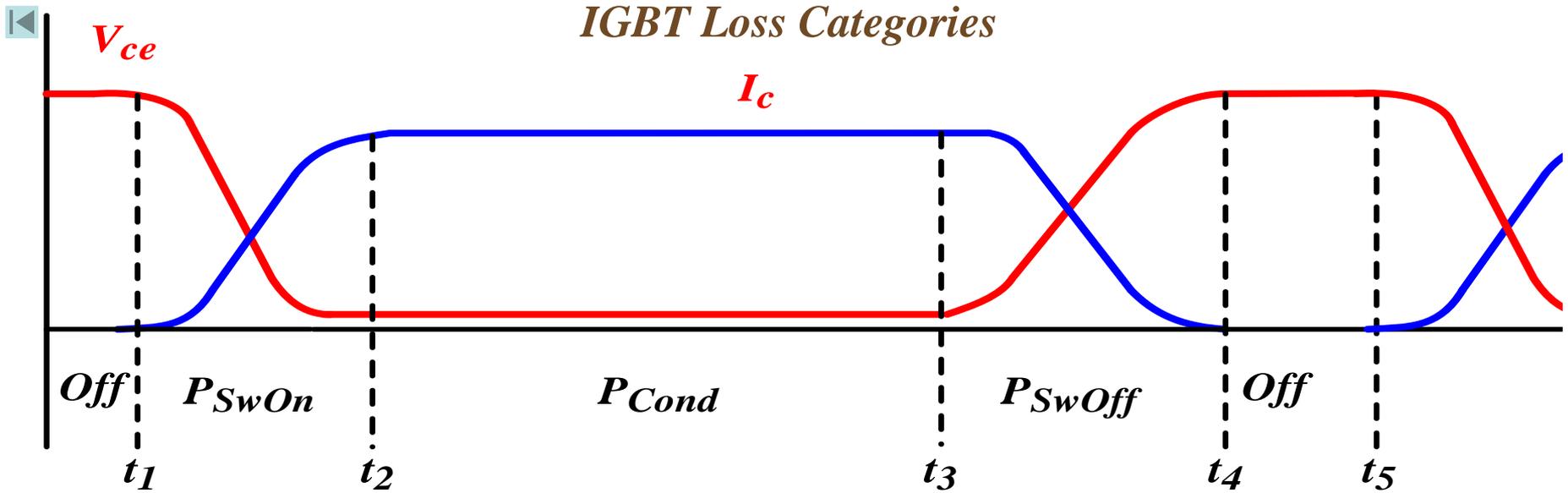
## *PWM - Bipolar PS PWM Strategies Compared*

<i>PWM Type</i>	<i>Advantages</i>	<i>Disadvantages</i>
<i>Sign/Magnitude</i>		<i>Output voltage is 1X the switching frequency – difficult to filter</i>  <i>Zero crossing transitions are discontinuous</i>
<i>“50/50”</i>	<i>Output voltage pulse 2X the switching frequency. Easier to filter</i> <i>Smoothest transitions through zero.</i>	



## *Conducting and Switching Losses*

## IGBT Loss Categories



Turn-on losses

$$P_{SwOn} = \frac{1}{t_5 - t_1} * \int_{t_1}^{t_2} v_{CE}(t) * i_C(t) * dt$$

Conduction losses

$$P_{Cond} = \frac{1}{t_5 - t_1} * \int_{t_2}^{t_3} V_{CE} * I_C * dt$$

Turnoff losses

$$P_{SwOff} = \frac{1}{t_5 - t_1} * \int_{t_3}^{t_4} v_{CE}(t) * i_C(t) * dt$$

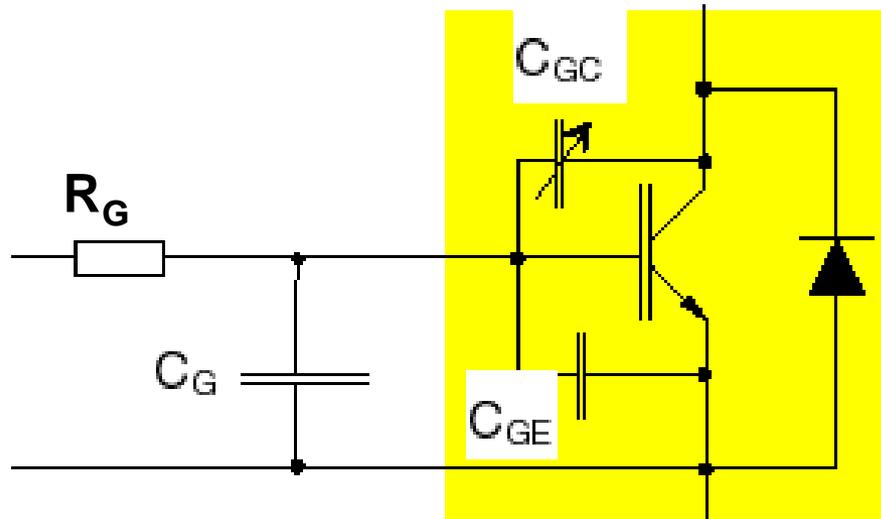


## *Reducing Conducting and Switching Losses*

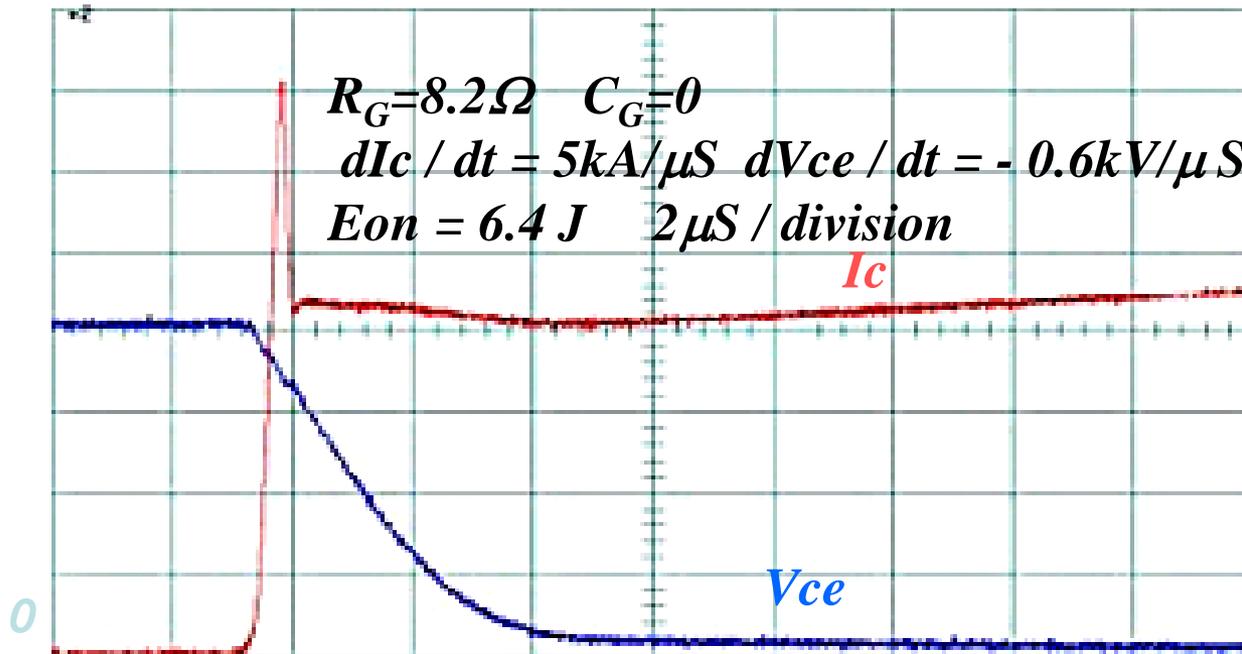
*Reduce losses for greater efficiency and:*

- *Smaller AC distribution system*
- *Less heat load into cooling water system*
- *Less heat into buildings and building HVAC*
- *Reduce IGBT dissipation*

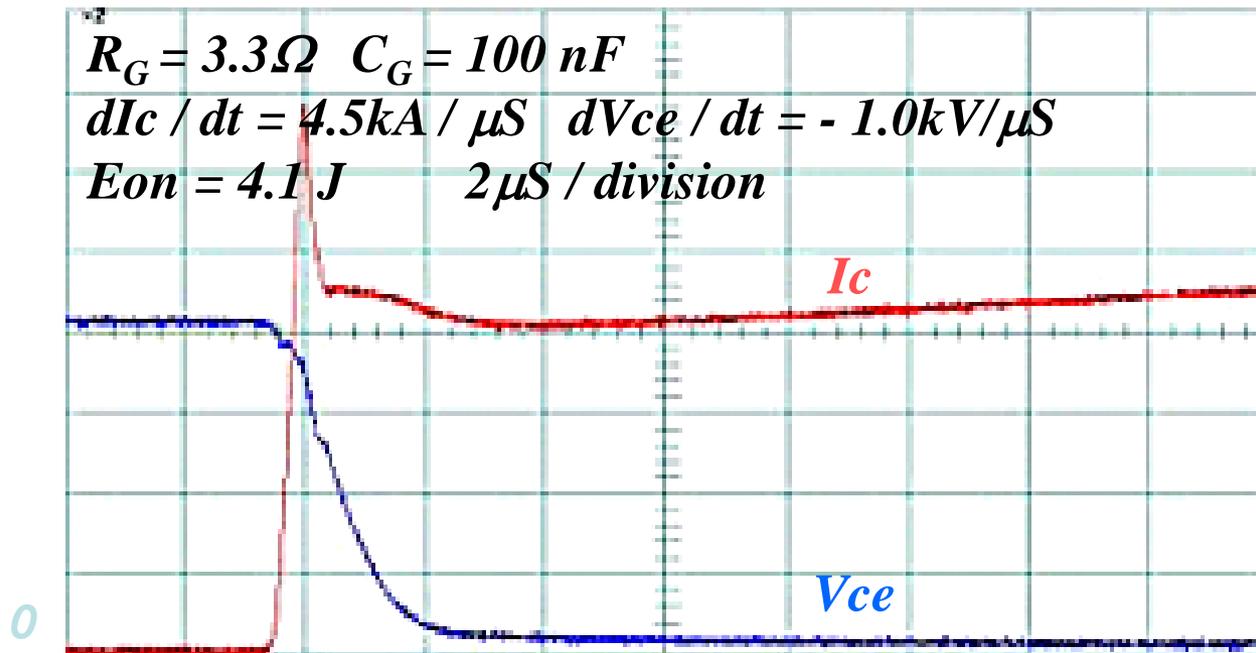
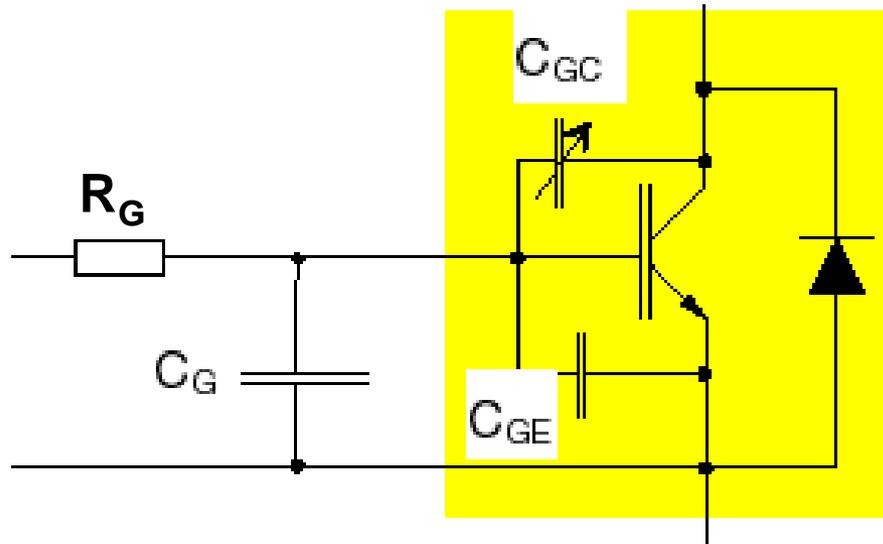
## Reducing Turn On Losses By Varying $R_G$



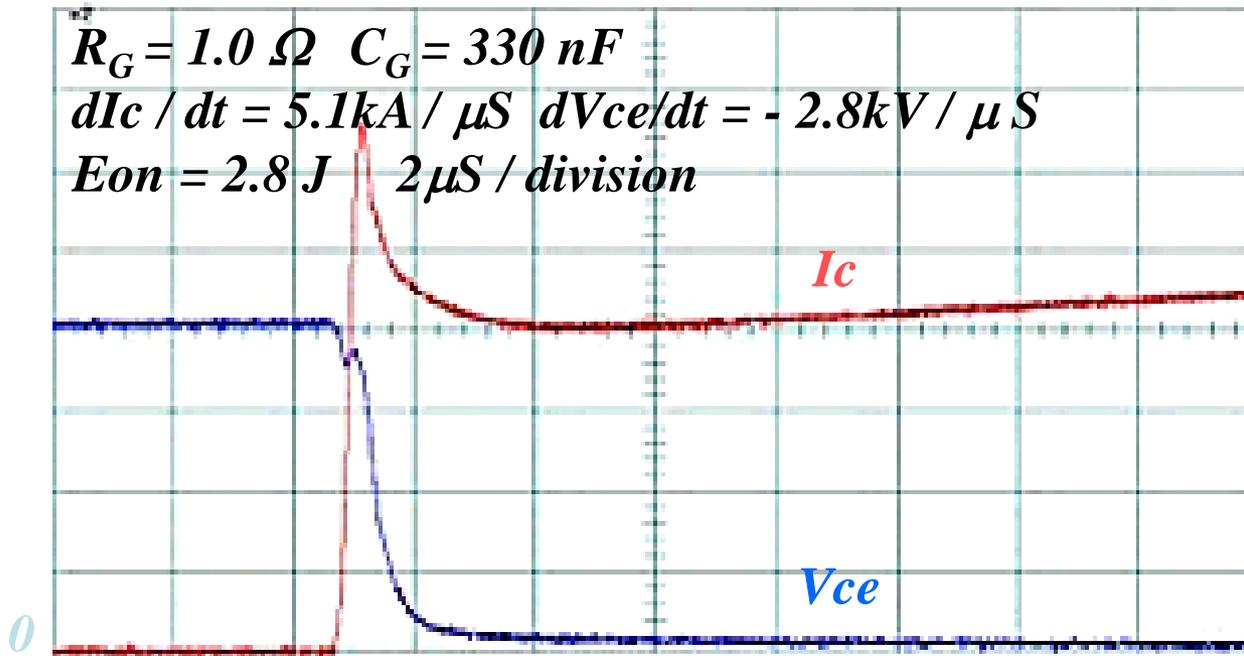
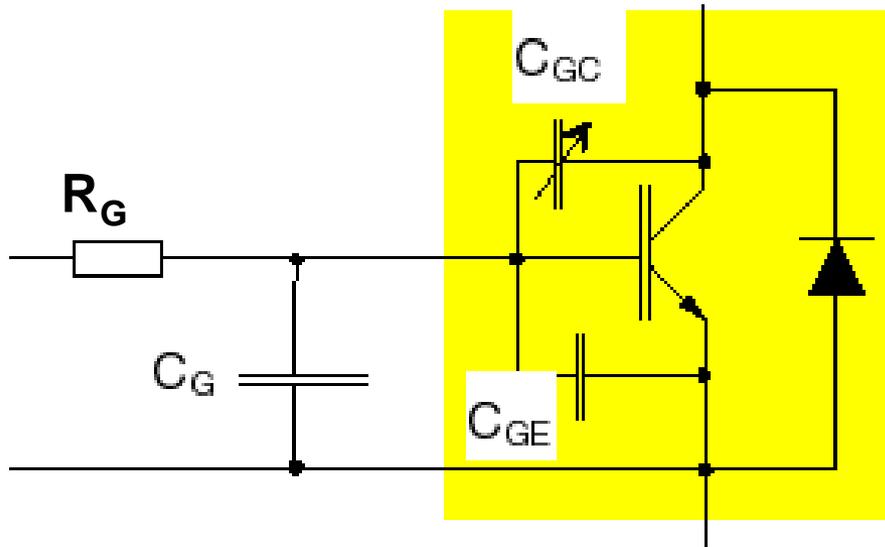
[http://www.eupec.com/editorials/effect\\_cge.htm](http://www.eupec.com/editorials/effect_cge.htm)



## Reducing Turn On Losses By Varying $R_G$

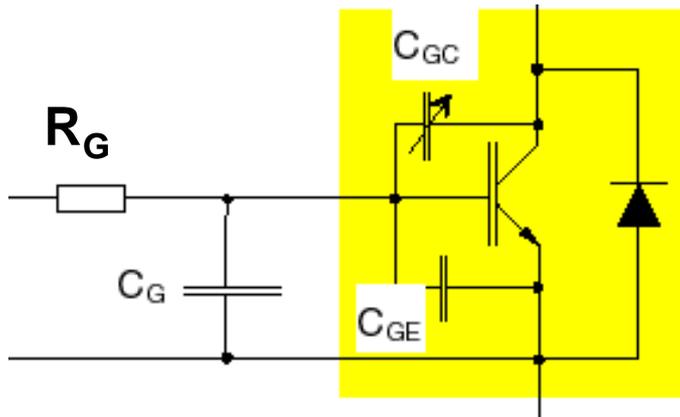


## Reducing Turn On Losses By Varying $R_G$



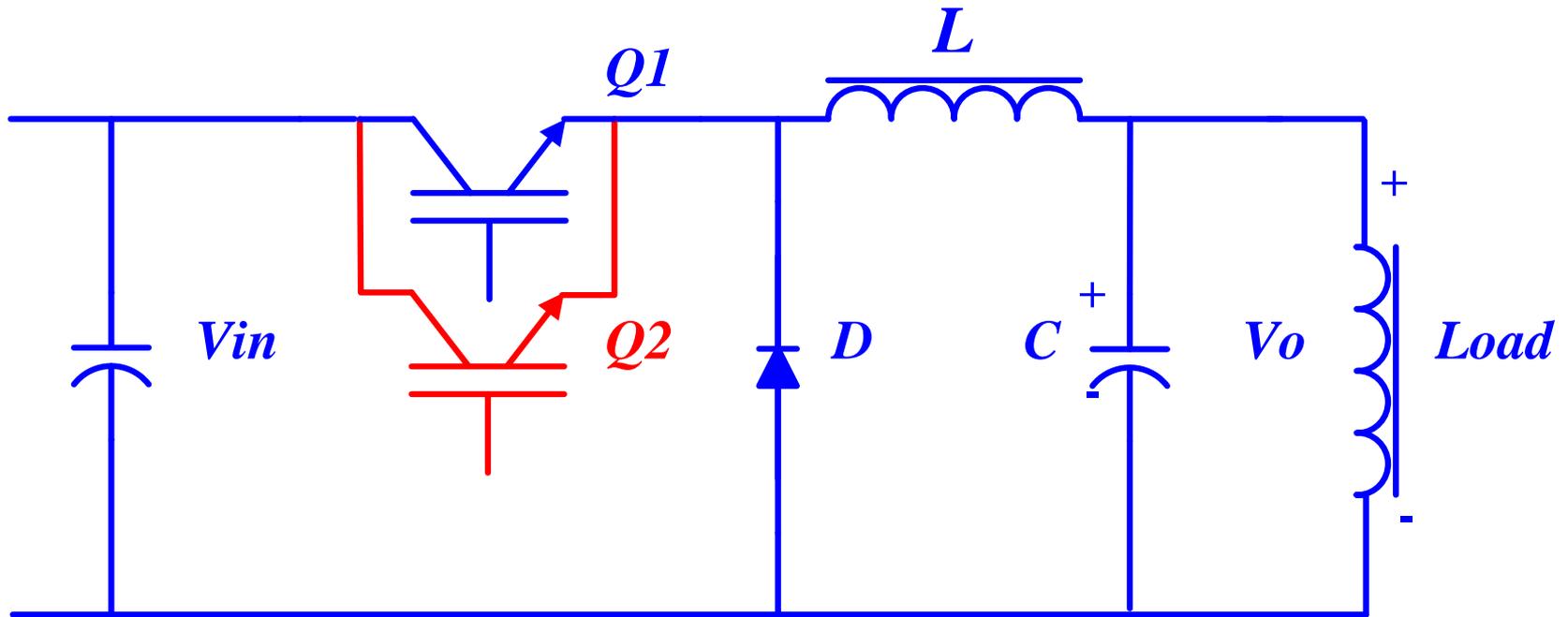
## Reducing Turn On Losses By Varying $R_G$

<i>Case</i>	$R_G$	$dV_{CE} / dt$	$E_{On}$
1	8.2 $\Omega$	- 0.6 kV / $\mu$ S	6.4 J
2	3.3 $\Omega$	- 1.0 kV / $\mu$ S	4.1 J
3	1.0 $\Omega$	- 2.8 kV / $\mu$ S	2.8 J



- $P_{Diss} \propto -1 - dV_{CE} / dt$
- $dV_{CE} / dt$  is controlled via  $R_G$
- Lower losses but possibly increased EMI because of faster  $dV_{CE} / dt$

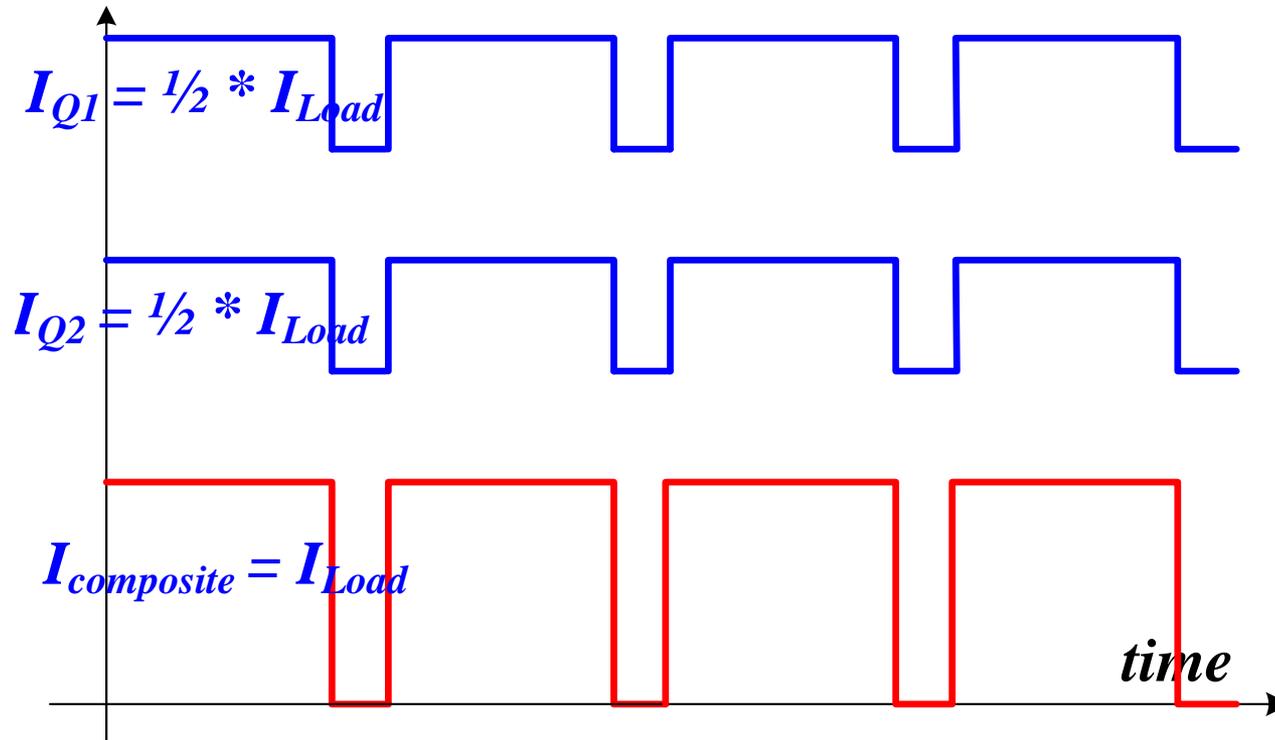
## Reducing Conduction Losses



- *If the current rating of a single switch is insufficient (conduction loss is too great), add another switch in parallel.*
- *There are then 2 ways to switch  $Q1$  and  $Q2$ , switch them ON and OFF together or stagger their On and OFF times*



## Conduction Loss Reduction By Simultaneous Switching of Q1 and Q2



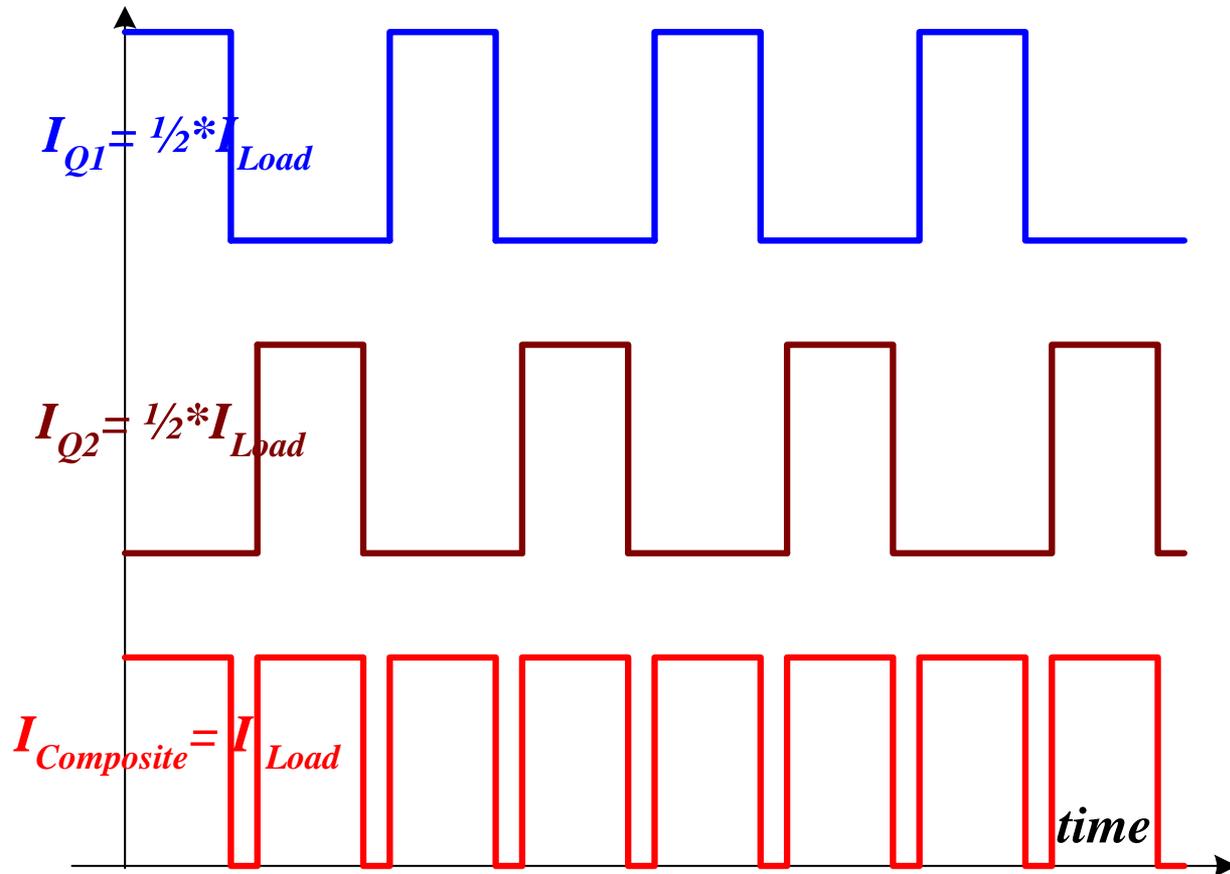
$$V_{RMS2Sw-EaSw} = V_{RMS-1Sw} \qquad I_{RMS2Sw-EaSw} = \frac{1}{2} * I_{RMS-1Sw}$$

$$P_{Ave1Sw} = V_{RMS1Sw} * I_{RMS1Sw}$$

$$P_{Ave2Sw-EaSw} = V_{RMS1Sw} * \frac{1}{2} I_{RMS1Sw} = \frac{1}{2} * P_{Ave1Sw}$$

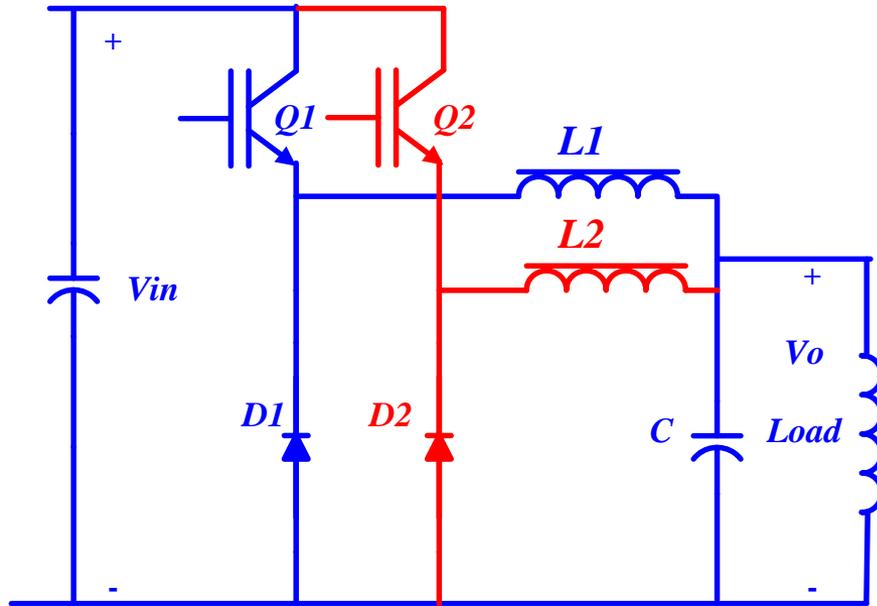
*The composite frequency is the same as in Q1 and Q2*

## Conducted Loss Reduction By Staggered Switching of Q1 and Q2



- *Duty factor is each switch is halved*
- *$P_{ave}$  in each switch is 1/2 that of the single switch case*
- *The composite frequency is twice that of Q1 and Q2*

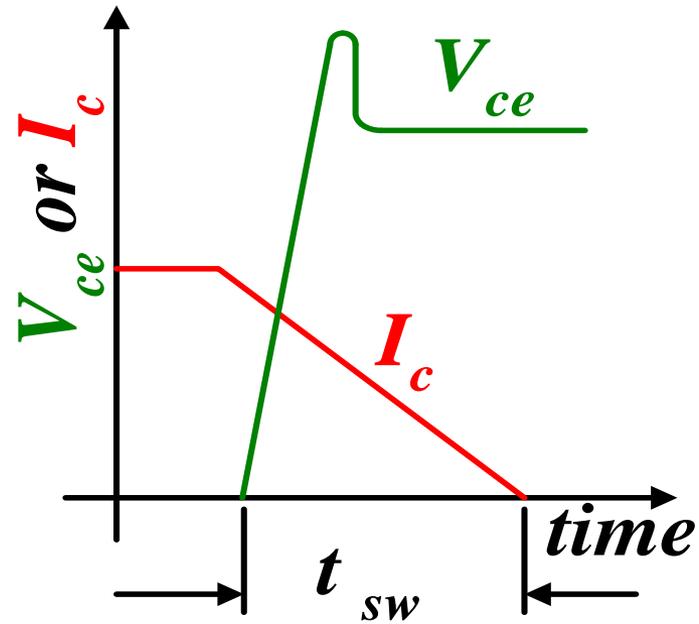
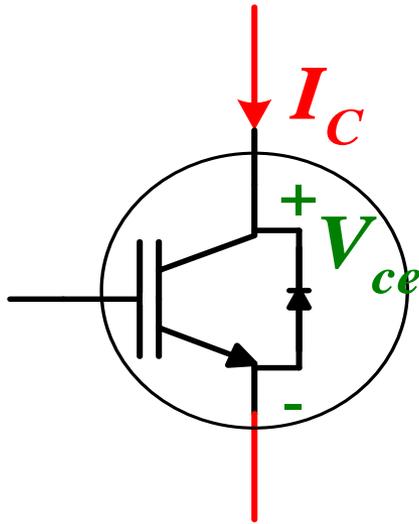
## Conducted Loss Reduction By Paralleled Buck Regulators



### Features:

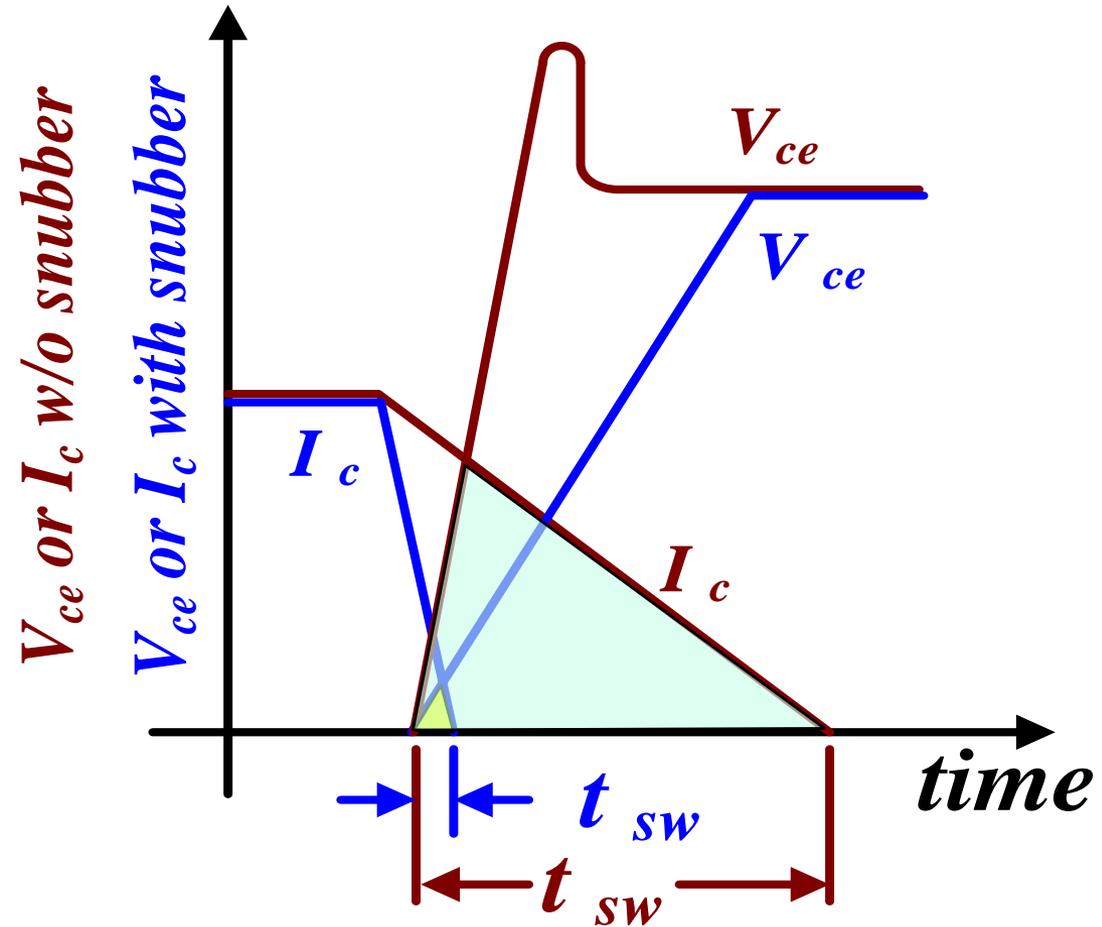
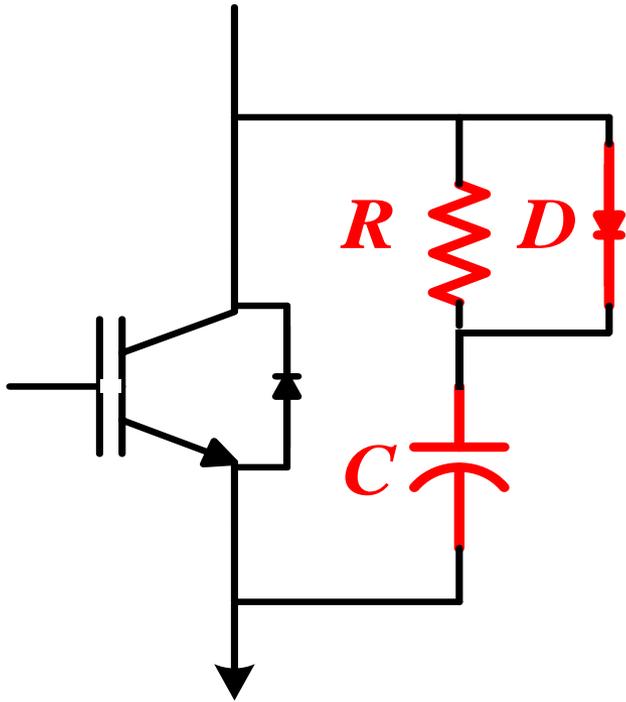
- A second switch  $Q1$  is added.
- $Q1$  and  $Q2$  are staggered switched
- $D2$  is added,  $L2$  is added
- Current in  $D1$ ,  $D2$  is  $1/2$  the load current
- Current in  $L1$ ,  $L2$  is  $1/2$  the load current
- $L1$ ,  $L2$  energy  $1/4$  that of single inductor since  $E = 1/2 * L * I^2$

## Switch Turnoff Loss Reduction By RCD Snubber



$$P_{SwOff} = \frac{1}{T} \int_0^{t_{Sw}} v_{CE}(t) i_C(t) dt$$

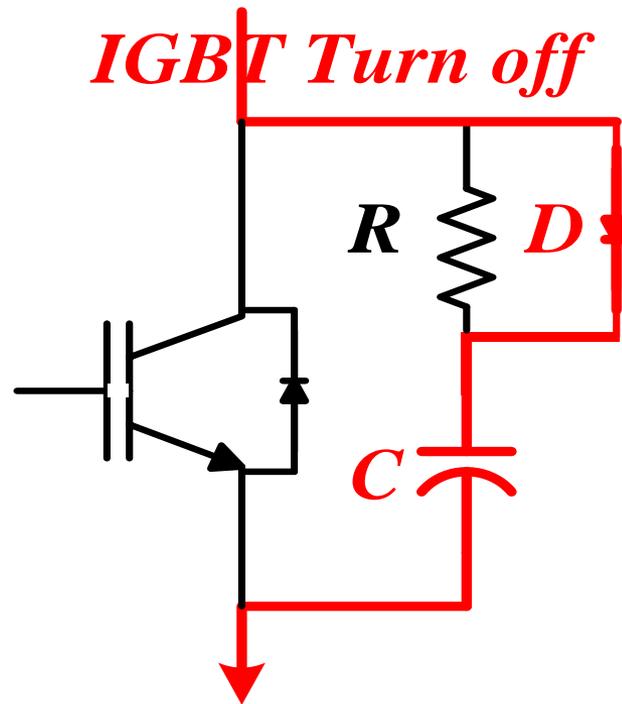
## Switch Turnoff Loss Reduction By RCD Snubber



Goal:

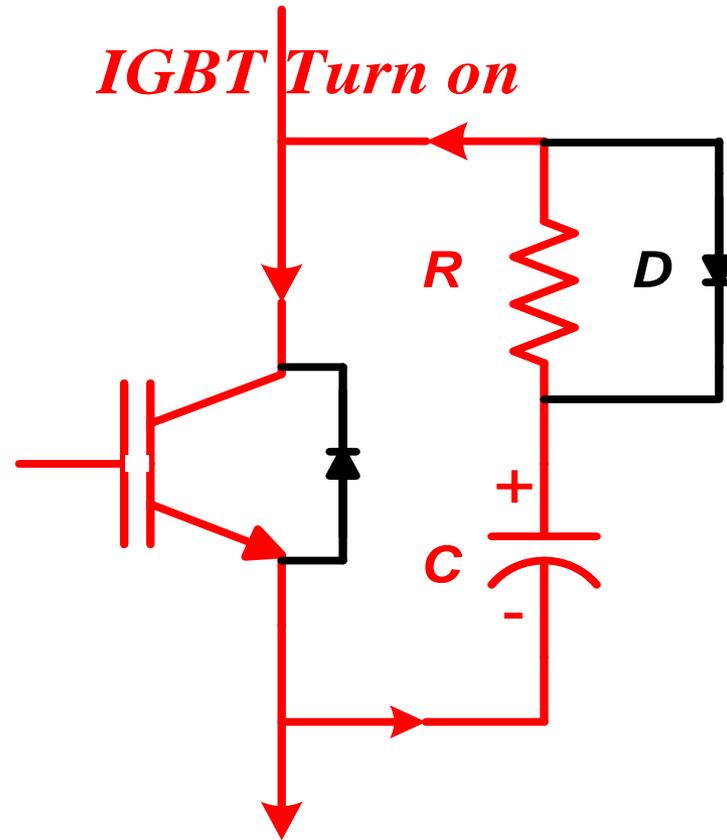
- To increase the rate of decay of  $I_c$  during turnoff
- To decrease the rate of  $V_{CE}$  build up during turnoff
- To realize goal, add a resistor  $R$ , capacitor  $C$ , diode  $D$  snubber network

## Switch Turnoff Loss Reduction By RCD Snubber



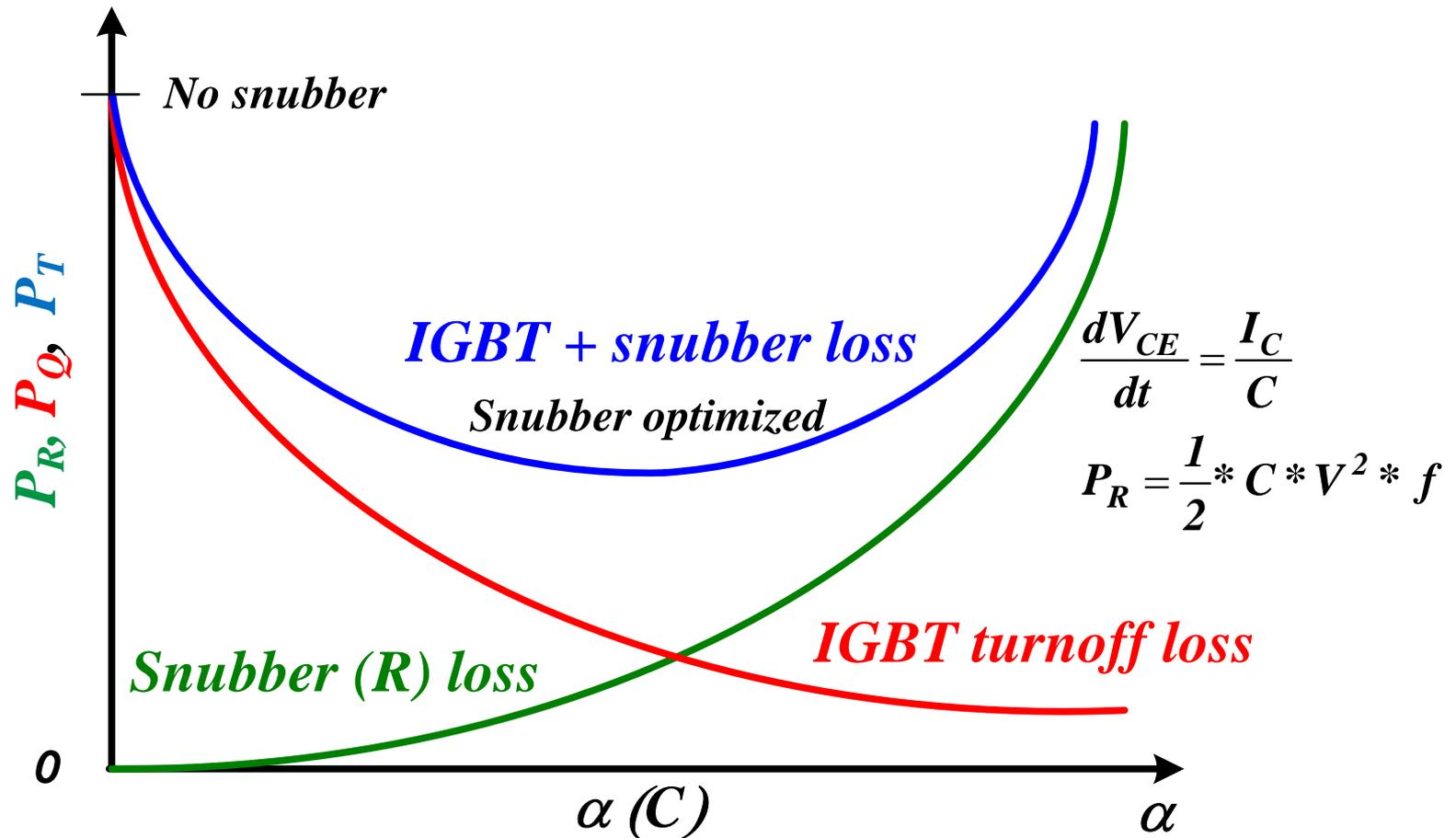
- *When the IGBT turns off, current commutates out of the IGBT into the capacitor,  $C$  via the diode  $D$*
- *This aids fast  $I_C$  current decay*
- *$C$  becomes linearly charged to the bus voltage*
- *$dV_{CE} / dt$  inversely proportional to  $C$  – this slows  $V_{CE}$  recovery*

## Switch Turnoff Loss Reduction By RCD Snubber



- *When the IGBT turns on, the capacitor  $C$ , discharges through  $R$  and the IGBT*

## Switch Turnoff Loss Reduction By RCD Snubber



- Small  $C$  = fast  $dV_{CE}/dt$ ,  $V$  appears with current still in the IGBT, have IGBT loss
- Large  $C$  means slow  $dV_{CE}/dt$ , current gone before voltage buildup but the resistor losses are high
- When the snubber circuit is optimized, the IGBT turnoff loss with snubber + snubber loss < IGBT loss w/o snubber !



## *Switch Turnoff Loss Reduction By RCD Snubber*

### *Design criteria*

- *R must limit discharge I through IGBT to < IGBT rating*
- $P_R \geq E_C / T = 1/2 C V^2 f$
- *C ripple current rating  $\geq \Sigma$  (ave charge + ave discharge currents)*
- *C must appreciably discharge each cycle, so  $R C <$  minimum expected IGBT on time*
- *D has to be rated to hold off the bus voltage and carry peak capacitor charging current*

*Note: Turn-on losses in the latest IGBTs have been reduced so that snubber circuits are no longer required in most applications*



## *Reducing Switch Losses By Resonant Switching*

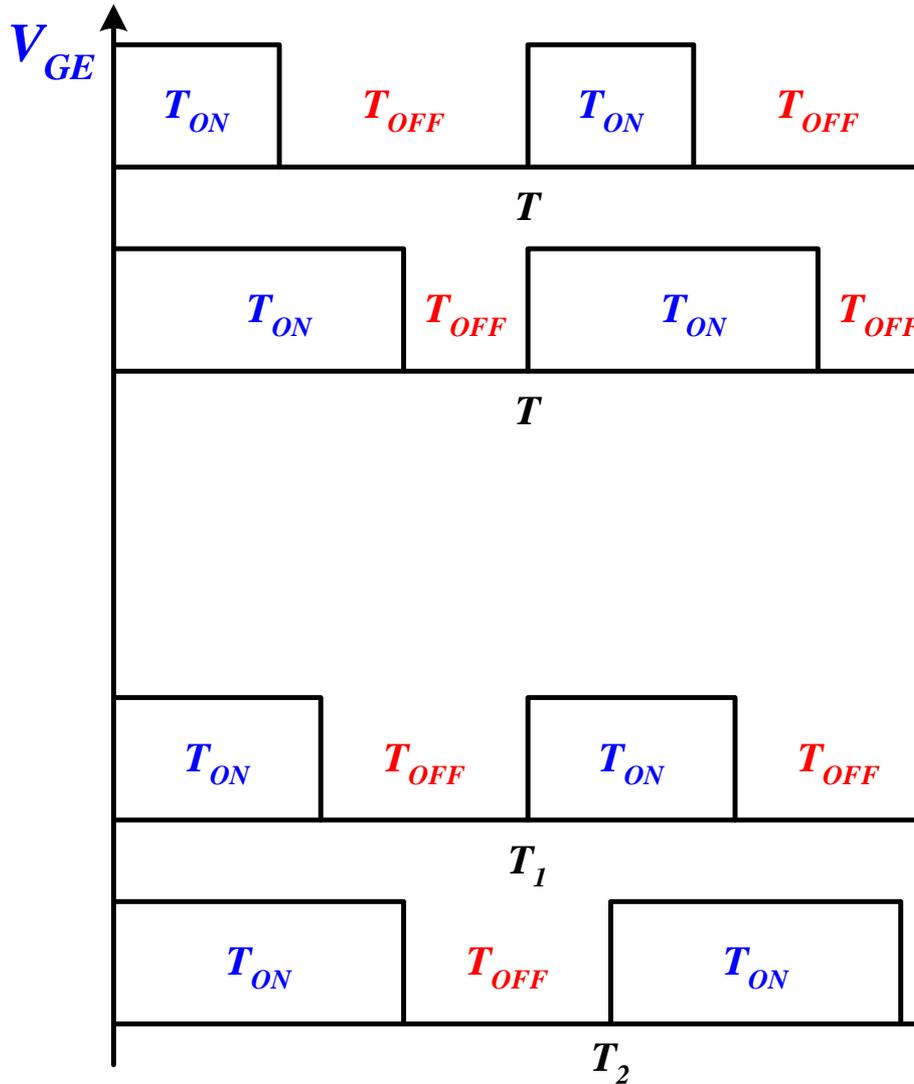
### *Resonant Switching Attractions*

- *Drastically reduce switch turn-on and turn-off losses*
- *Almost loss-less switching allows higher switching frequencies*
- *Reduce the electromagnetic interference (EMI) associated with pulse width modulation (PWM)*

### *Two Resonant Switching Methods*

- *Zero current switching (ZCS)*
- *Zero voltage switching (ZVS)*
- *ZVS prevalent as disadvantages in ZCS*
- *Lets examine ZVS*

# Reducing Switch Losses By Resonant Switching



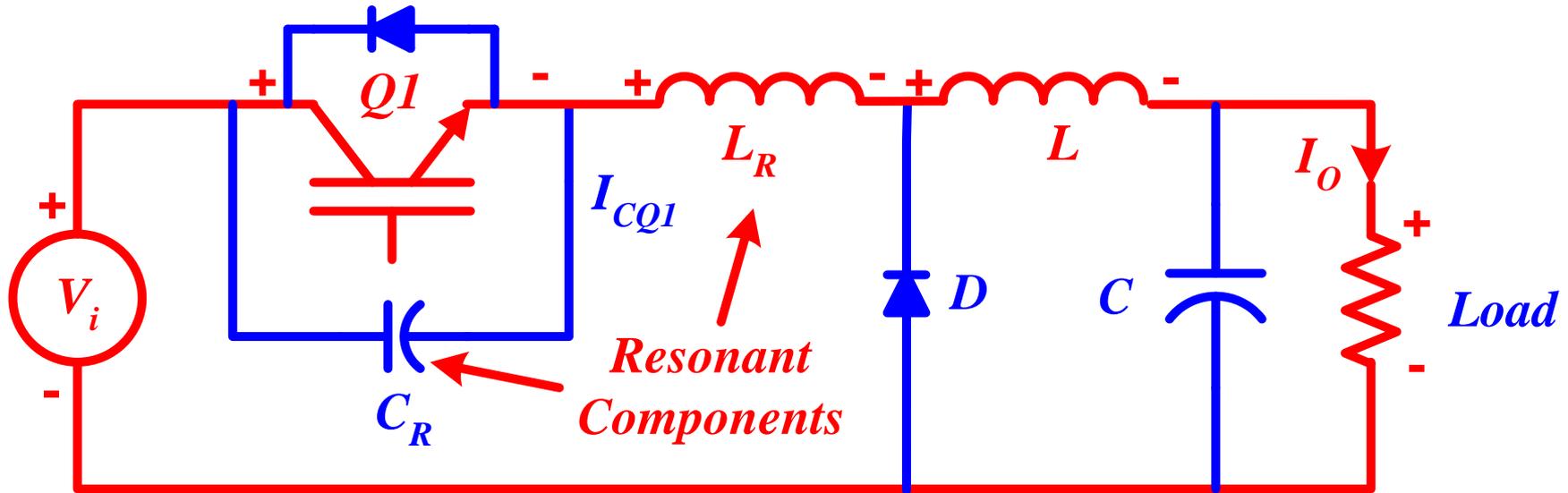
## Fixed Frequency Switching

- $T_{on}$  and  $T_{off}$  vary

## ZVS Resonant Mode Switching

- Frequency varies
- $T_{on}$  varies
- $T_{off}$  fixed to accommodate resonant circuit
- Conversion frequency inversely proportional to load current

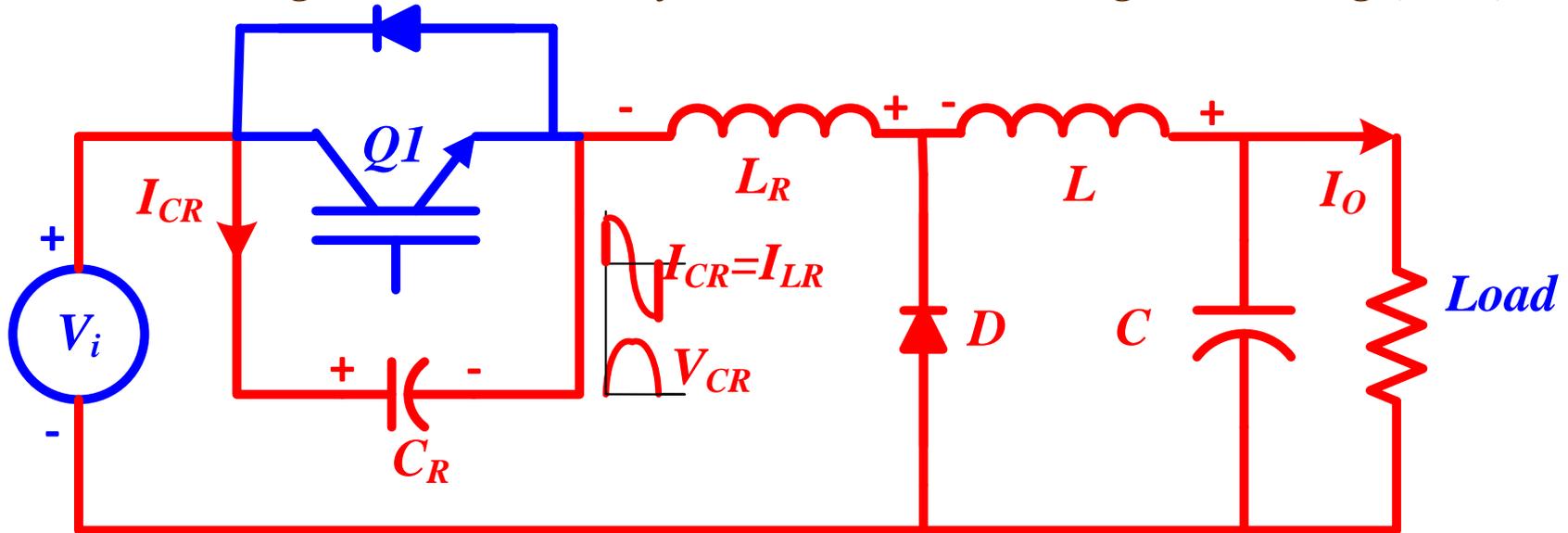
## Reducing Switch Losses By Resonant Zero Voltage Switching (ZVS)



### Time Interval 1

- *Q1 has been closed and is carrying load current. D and C do not have current flow in this steady-state condition.*
- *$V_{CR}=0$  and  $I_{CR}=0$  as it has been sinusoidally discharged*
- *Note that  $V_{CR} = V_{CEQ1}$  and  $I_{CQ1}=I_{LR}$*

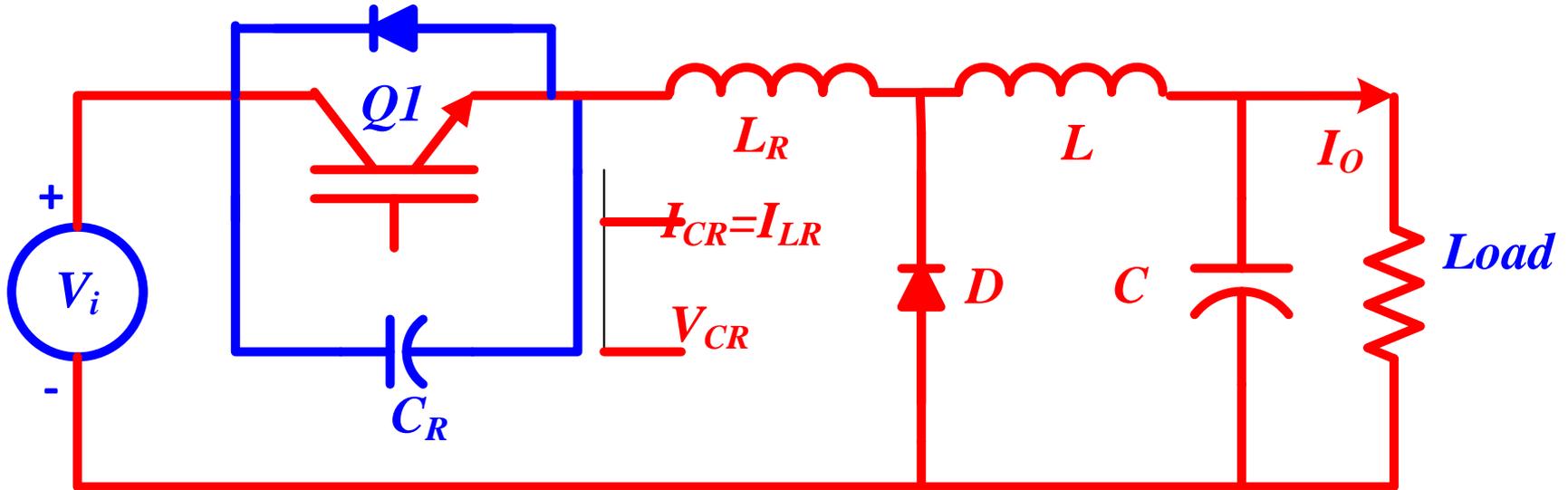
## Reducing Switch Losses By Resonant Zero Voltage Switching (ZVS)



### Time Interval 2

- $Q1$  is opened. Diode  $D$  conducts
- Current commutates (rushes) into  $C_R$
- $C_R$  charges and discharges sinusoidally with frequency determined by  $C_R$  and  $L_R$ . 1/2 sine wave occurs
- $V_{CR}$  is sine wave,  $I_{CR}$  is cosine wave =  $C dV_{CR} / dt$
- $V_{CEQ1} = V_{CR}$
- $I_{CR} = I_{LR}$

## Reducing Switch Losses By Resonant Zero Voltage Switching (ZVS)

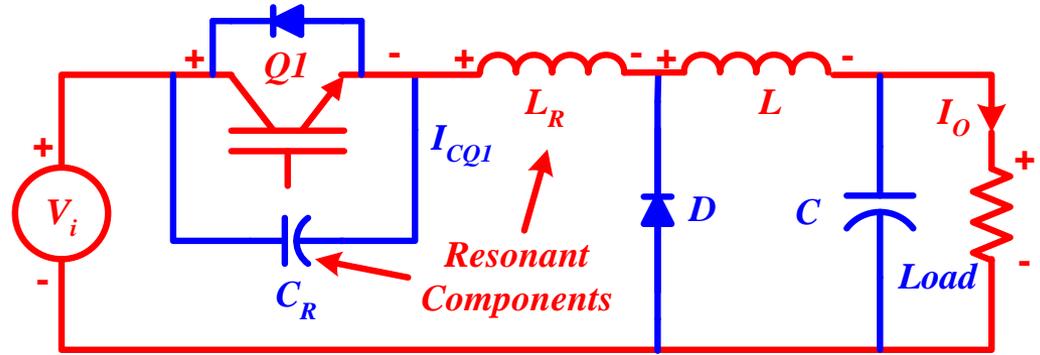
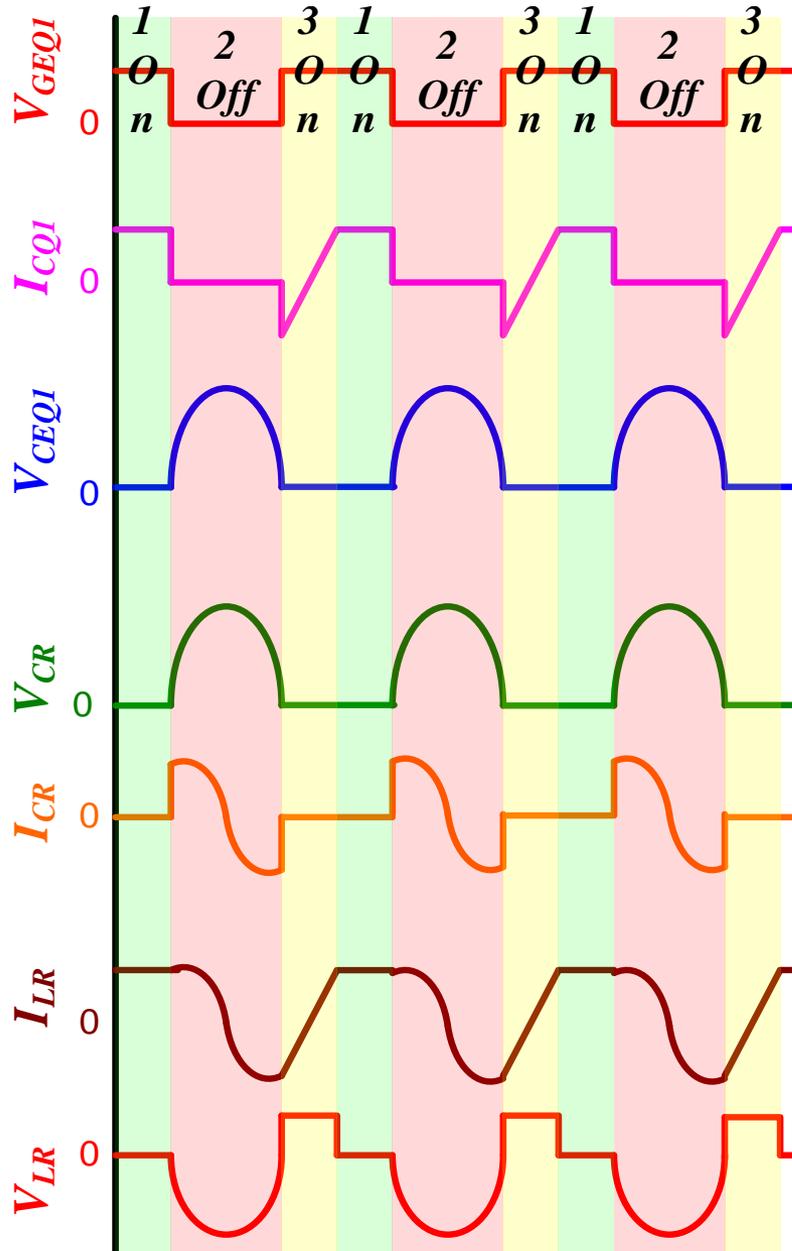


### Time Interval 3

- When  $V_{CR}$  discharges to 0 ( $V_{CEQ1}=0$ ),  $Q1$  is re-closed.
- $I_{CQ1} = I_{LR}$
- There is a linear current buildup in  $Q1$  due to  $L_R$  and  $L$



# ZVS Waveforms



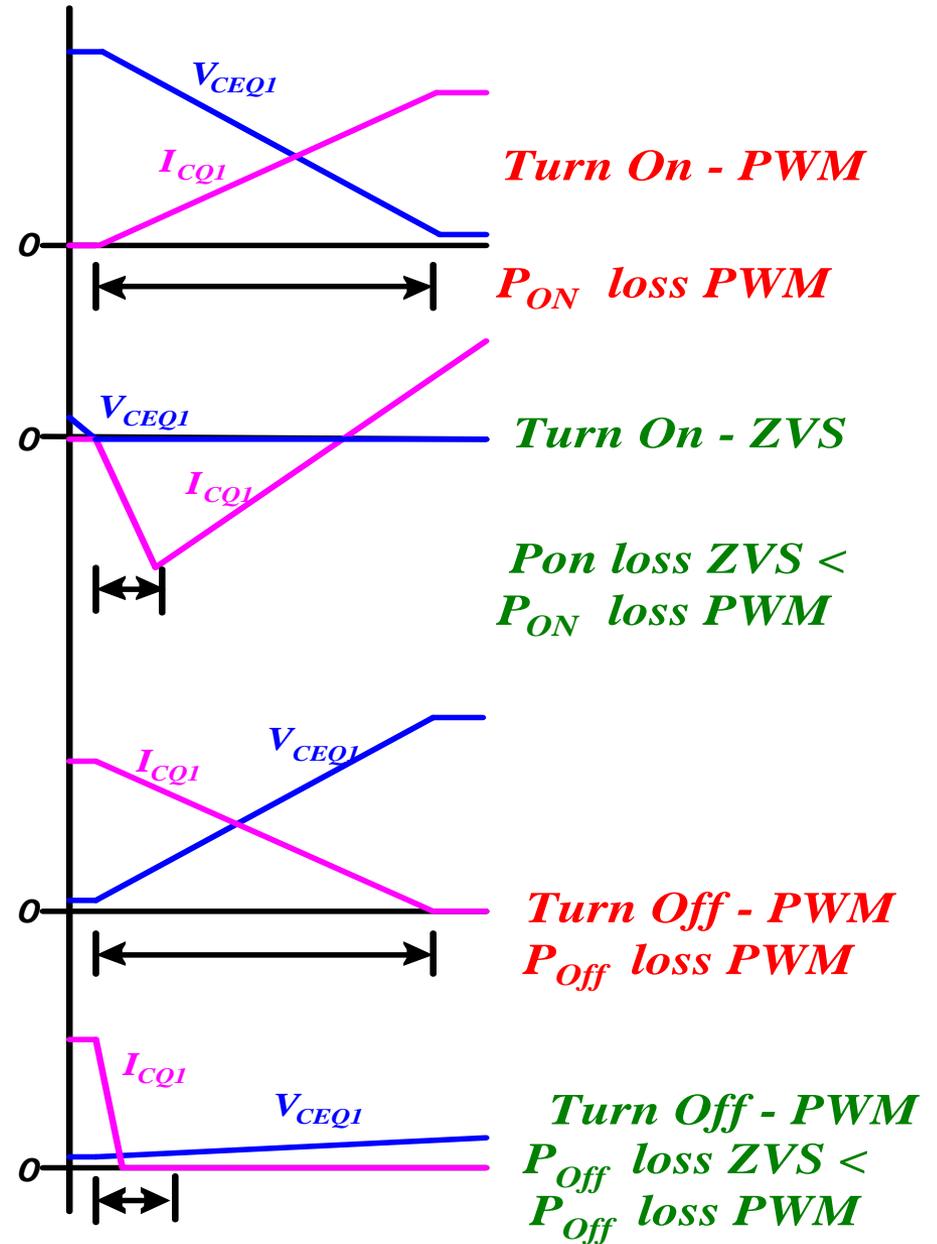
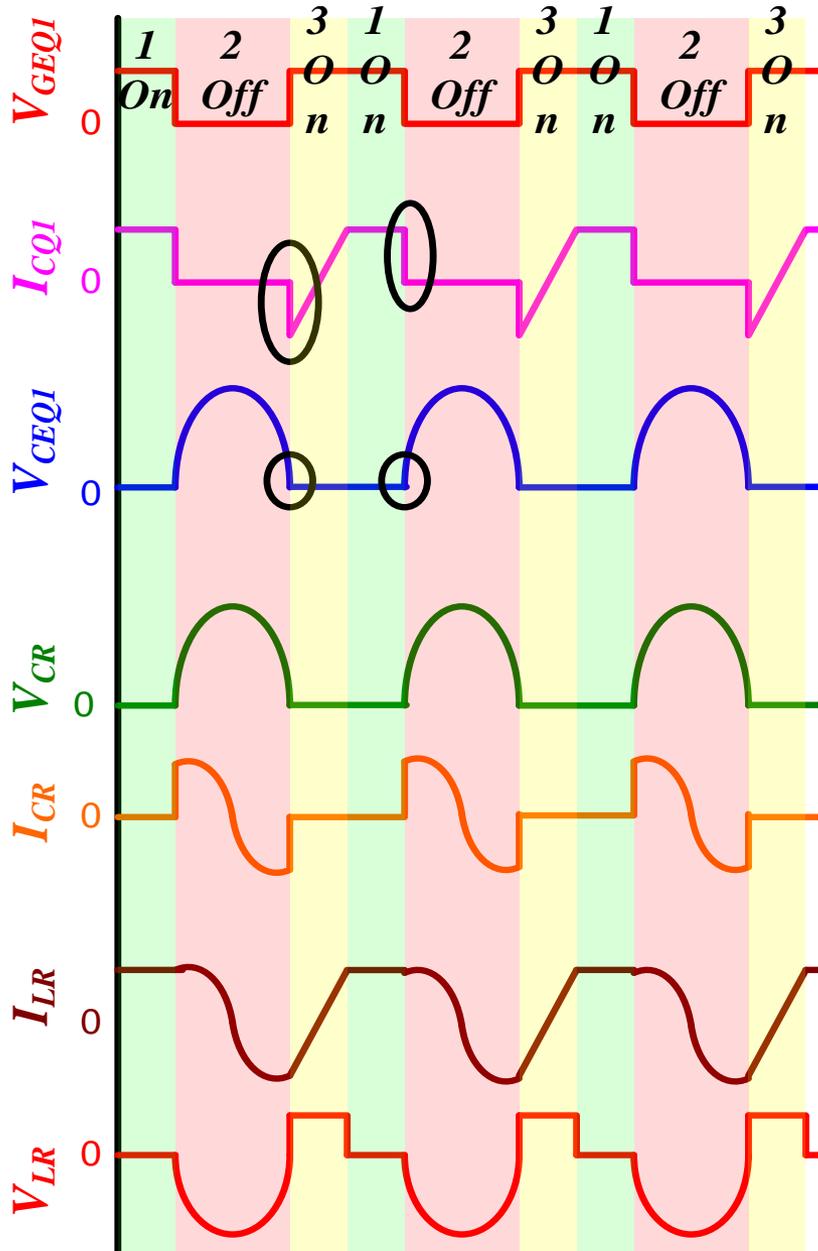
## Q1 On

- $V_{CEQ1} = V_{CR} \approx 0$
- $I_{CQ1} = I_{LR}$
- $I_{CR} = 0$
- $V_{LR} = L * dI_{LR} / dt = 0$

## Q1 Off

- $V_{CEQ1} = V_{CR}$
- $I_{CR} = I_{LR} = C * dV_{CR} / dt$

# ZVS Waveforms





## *High Frequency Inductors and Transformers*



## *Low and High Frequency Transformers Compared*

	<i>Low frequency</i>	<i>High frequency</i>
<i>Standards</i>	<i>Well defined by ANSI, IEEE, NEMA and UL</i>	<i>Not as well defined Insulation standard followed</i>
<i>Operation</i>	<i>60 Hz Sine wave 3 phase</i>	<i>10 kHz to 100 kHz Square wave – transformers Triangular wave – inductors Single phase</i>
<i>Core material</i>	<i>3 to 100 mil laminations of steel or Fe</i>	<i>0.5 to 3 mil laminations of Fe or Si-Fe Powdered Fe Powdered ferrites, Ni-Zn, Mn-Zn</i>
<i>Winding material</i>	<i>Single-strand Cu wire Layer or bobbin-wound</i>	<i>Multi-strand Cu Litz wire Cu foil, layer wound</i>



## *Low and High Frequency Transformers Compared*

*The power rating of a transformer is dependent upon the following factors*

$$V * A = K_1 * K_2 * f * A_C * A_E * J * B_M$$

*where*

$V * A$  = *power rating of the transformer (V\*A)*

$K_1$  = *waveshape factor (sine or square wave)*

$K_2$  = *copper fill factor (0 to 1)*

$f$  = *excitation frequency (Hz)*

$A_C$  = *core area ( m<sup>2</sup> )*

$A_E$  = *winding area ( m<sup>2</sup> )*

$J$  = *conductor current density*  $\left( \frac{A}{m^2} \right)$

$B_M$  = *peak flux density*  $\left( \frac{Wb}{m^2} \right)$  *where a Weber = 1\*volt\*sec*

$$\text{The transformer area product} = A_C * A_E \propto \frac{V * A}{B_M * f * J}$$



## *Low and High Frequency Transformers Compared*

*An example of a 10kVA, 480V : 208V Transformer*

*At 60Hz the volume and weight would be*

<i>f</i>	<i>f ratio to 60Hz</i>	<i>Volume (in<sup>3</sup>)</i>	<i>Volume ratio to 60Hz</i>	<i>Weight (lb)</i>	<i>Weight ratio to 60Hz</i>
<i>60 Hz</i>	<i>1</i>	<i>18 X 18 X 18 = 5832 (in<sup>3</sup>)</i>	<i>1</i>	<i>100</i>	<i>1</i>
<i>20 kHz</i>	<i>333</i>	<i>6H X 5.25W X 3.37D 118 (in<sup>3</sup>)</i>	<i>1 / 50</i>	<i>5</i>	<i>1 / 20</i>



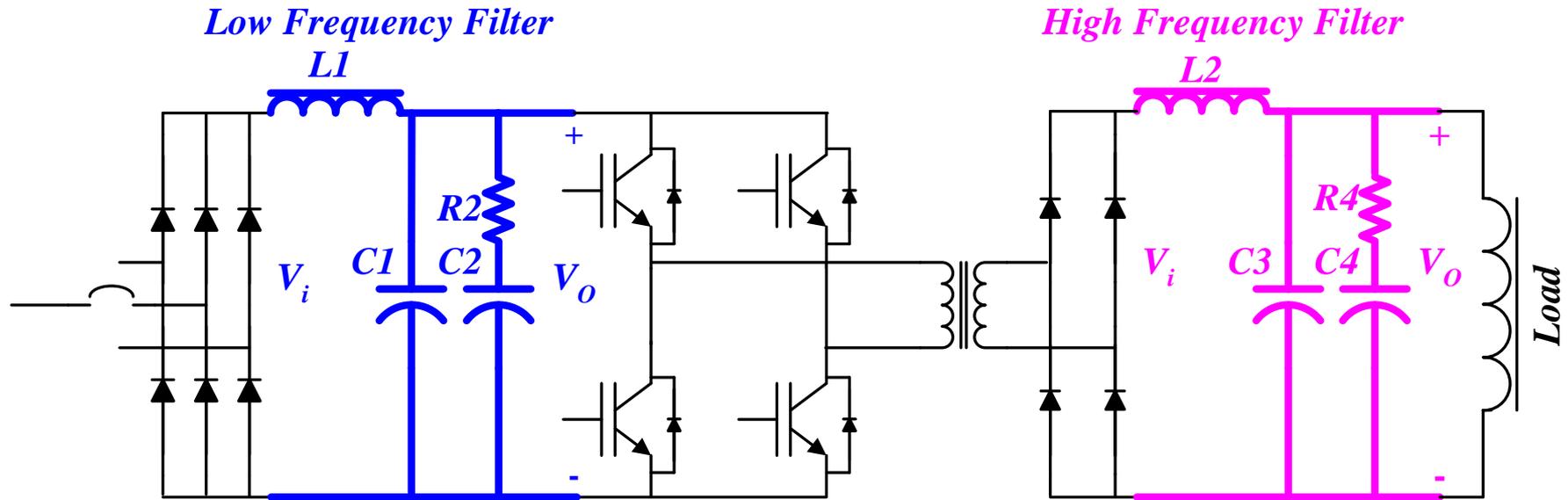
## *Some Parameters For HF Inductor Specification*

- *Inductance*
- *Ripple current frequency*
- *Peak current*
- *RMS value of AC current*
- *DC current*
- *Saturation DC current*
- *Resonant frequency ( an order of magnitude > ripple frequency)*



## *Ripple Filters*

## Ripple Filters



<i>Low Frequency</i>	<i>High Frequency</i>
<i>Pass DC – reject <math>f &gt; 60</math> Hz</i>	<i>Pass DC – reject <math>f &gt; \text{switching frequency}</math></i>
<i>Large <math>L1</math> to reduce On inrush &amp; high PF</i>	<i>Large <math>L2</math> to reduce inrush and prevent discontinuous current</i>
<i><math>R2</math> <math>C2</math> for “critical” damping</i>	<i><math>R4</math> <math>C4</math> for “critical” damping</i>



## *Domains and Transfer Functions*

***Time Domain***  $y(t) = f(t) \otimes x(t)$  where  $\otimes$  implies the convolution operation

- *Difficult computations, particularly transient calculations, requires solution of differential or difference equations*

***Frequency Domain***  $Y(f) = F(f) * X(f)$  where  $*$  implies multiplication

- *Easier computations, all calculations for steady-state or transient conditions that look algebraic in nature.*

### ***Transfer Function***

- *Relates the output response of a circuit/system to the input stimulus*
- *Form is  $T(f) = Y(f) / X(f)$  where  $X(f)$  is the input stimulus and  $Y(f)$  is the output response*       $Y(f) = X(f) * T(f)$



## *“s”, Poles and Zeros*

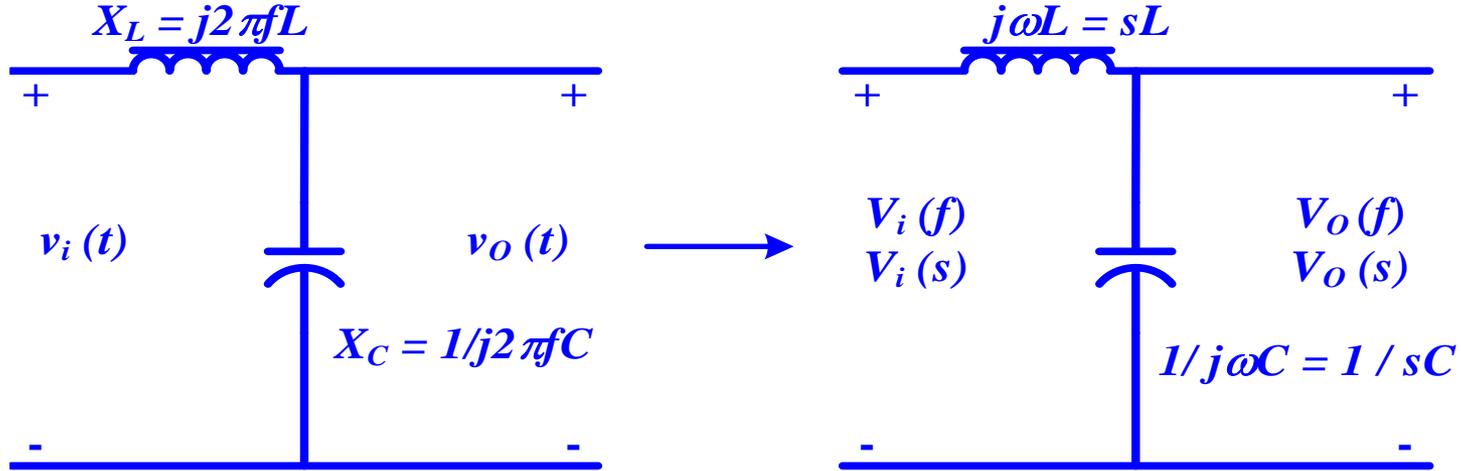
### *The “s” Operator*

- *s is used in the frequency domain and in La Place analysis*
- *$s = j \omega = j 2 \pi f$        $j = \sqrt{-1}$*

### *Poles and Zeros*

- *Zero = 0      Pole =  $\infty$*
- *Zeros occur at frequencies that cause the transfer function to go to zero. Transfer function = 0 is caused by a zero numerator and or an infinite denominator  $T(s)=0 / X(s)=0$  or  $T(s)= Y(s) / \infty =0$*
- *Poles occur at frequencies that cause the transfer function to become infinite. Transfer function =  $\infty$  is caused by an infinite numerator or a zero denominator  $T(s) = \infty / X(s)= \infty$  or  $T(s) = Y(s) / 0 = \infty$*

## A Simple Second Order Low Pass Ripple Filter



By voltage divider law

$$V_o = V_i * \frac{\frac{1}{sC}}{\frac{1}{sC} + sL} \quad T = \frac{1}{s^2 LC + 1}$$

Pole  $s^2 LC + 1 = 0$

$$(j2\pi f_p)^2 LC + 1 = 0$$

Resonant frequency (pole)

$$f_p = \frac{1}{2\pi\sqrt{LC}}$$

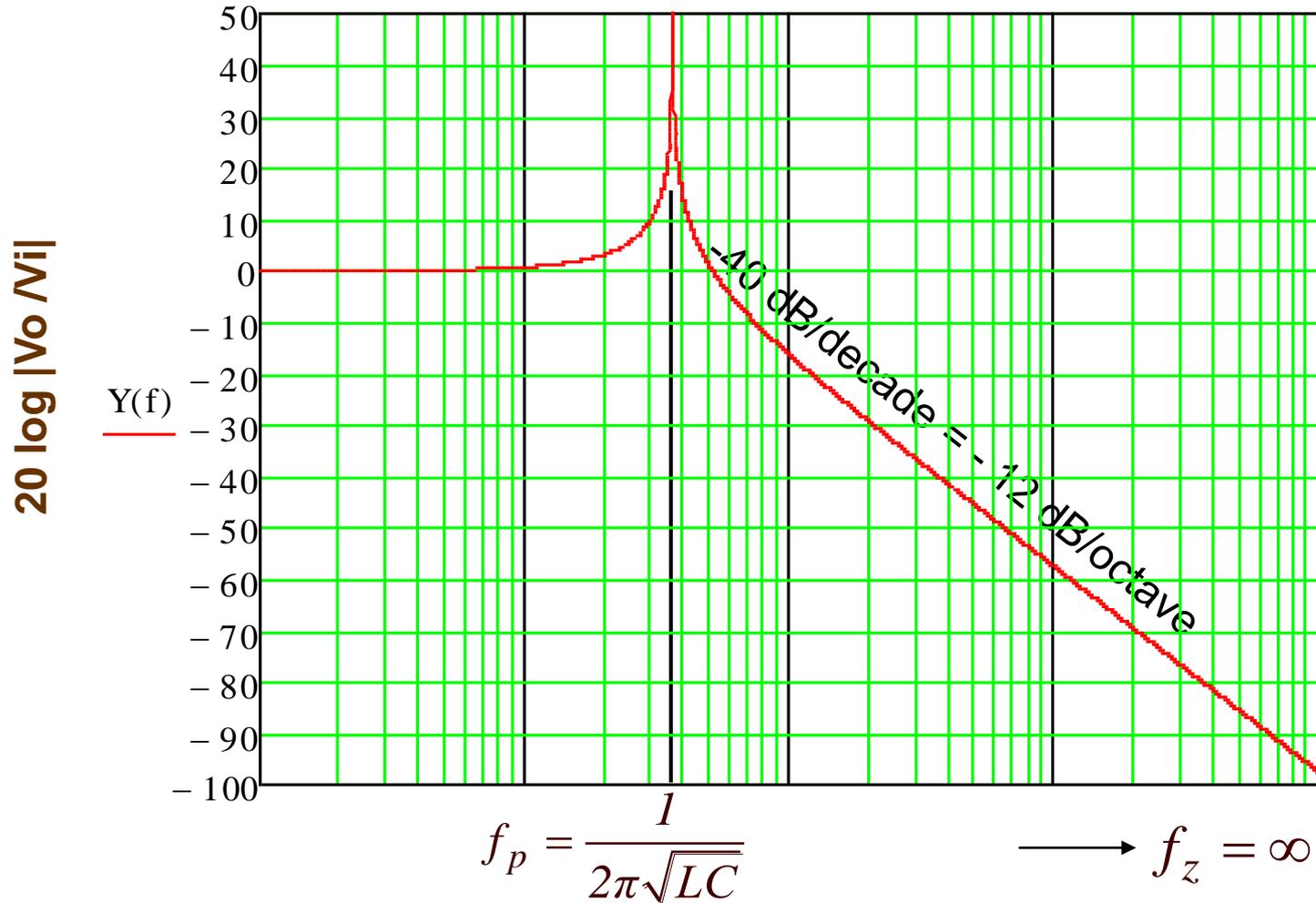
Zero occurs at

$$(j2\pi f_p)^2 LC + 1 = \infty$$

Zero frequency at

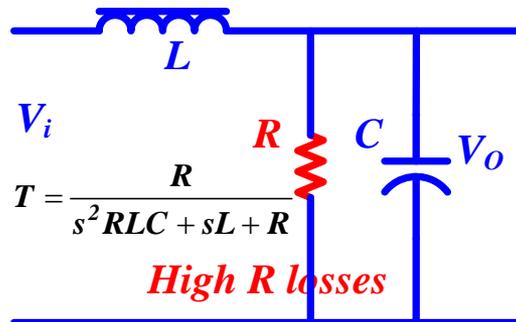
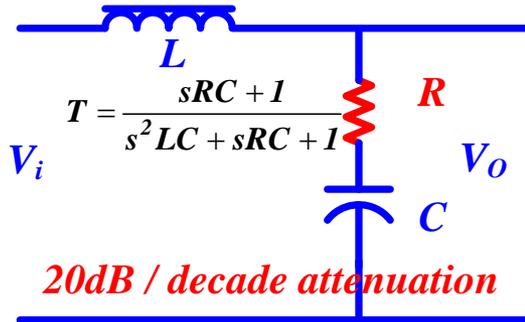
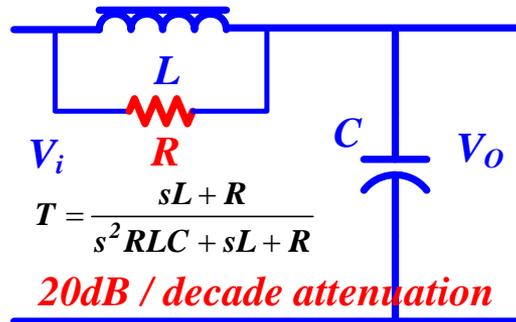
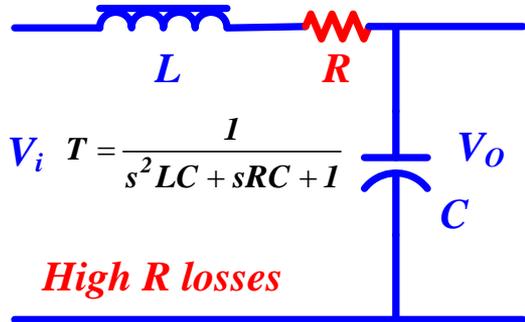
$$f_z = \infty$$

## A Simple Low Pass Filter



- Resonant frequency (pole) at  $f_p$  will cause problems !
- At  $f = \infty$ , the output goes asymptotically to zero

# The Praeg Low Pass Ripple Filter



*Why important:*

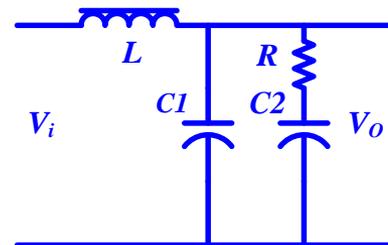
- *Used as low and high frequency filters in virtually every power supply*
- *Provides the filtering of the previous 2<sup>nd</sup> order filter*
- *Essentially critical damped*
- *No DC current in R, C2*



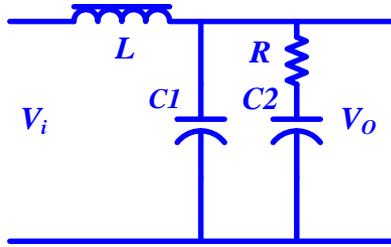
## The Praeg Low Pass Ripple Filter

### Component Selection Criteria

- *L and C1 must be chosen to yield the desired breakpoint frequency (1/10 of the ripple frequency for 40 dB attenuation)*
- *C1 and C2 must be rated for the rectifier working and surge voltages*
- *C1 and C2 must be rated to carry the ripple current at the rectifier output frequency and at the switching frequency*
- *L must be large enough to offset the leading PF introduced by main filter capacitor, C1*
- *L must be large enough to limit the inrush current caused by rapid charge of C1 during power supply turn-on to an acceptable level*
- *L must be rated to carry the DC load current without overheating or saturating*
- $C2 \geq 5 * C1$
- $R = (L / C1)^{1/2}$

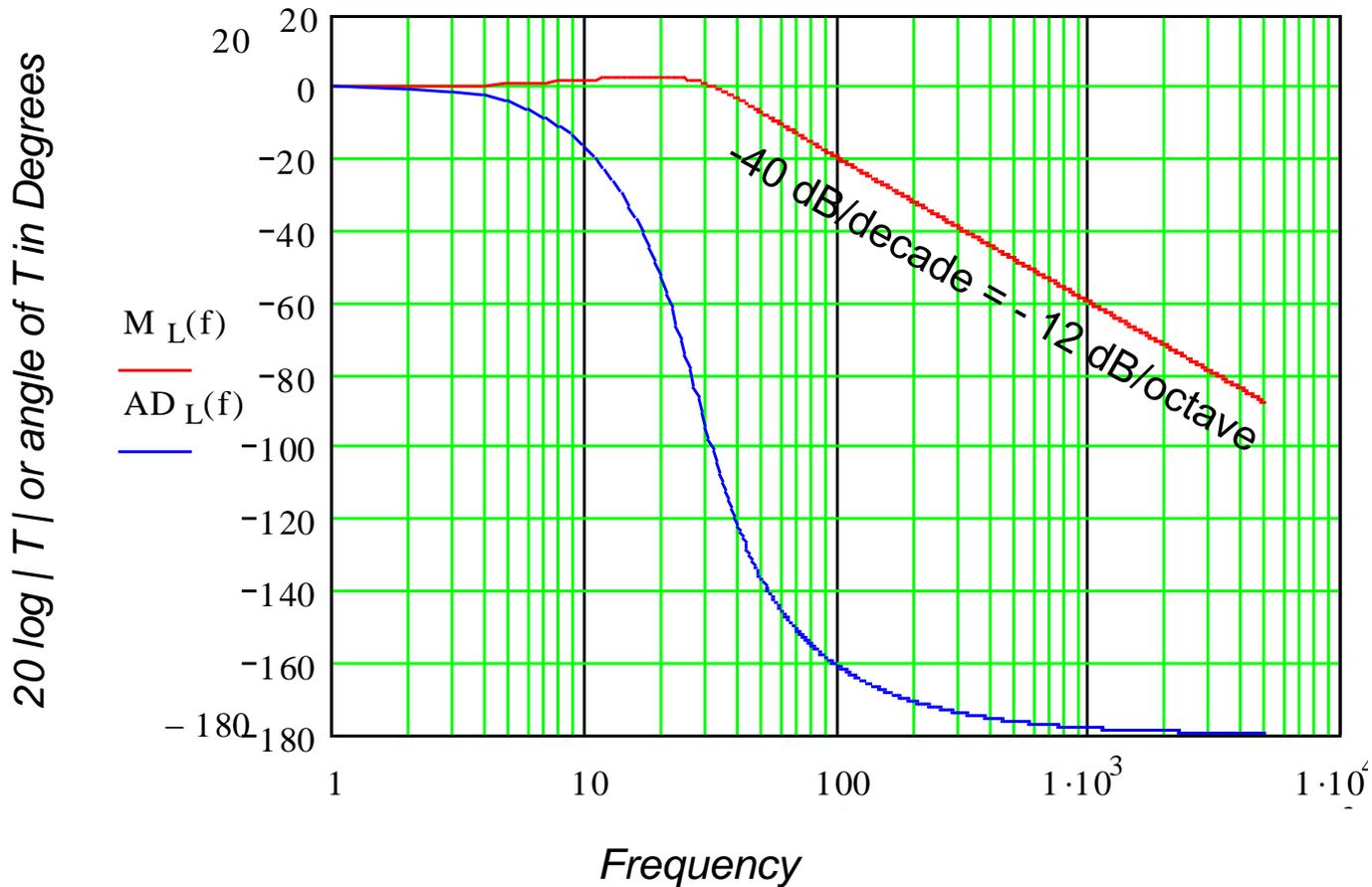


# The Praeg Low Pass Ripple Filter



$$T = \frac{s R C_2 + 1}{s^3 R L C_1 C_2 + s^2 L (C_1 + C_2) + s R C_2 + 1}$$

$$C_2 \geq 5 * C_1 \qquad R = \sqrt{\frac{L}{C_1}}$$



# 360 Hz Praeg Filter

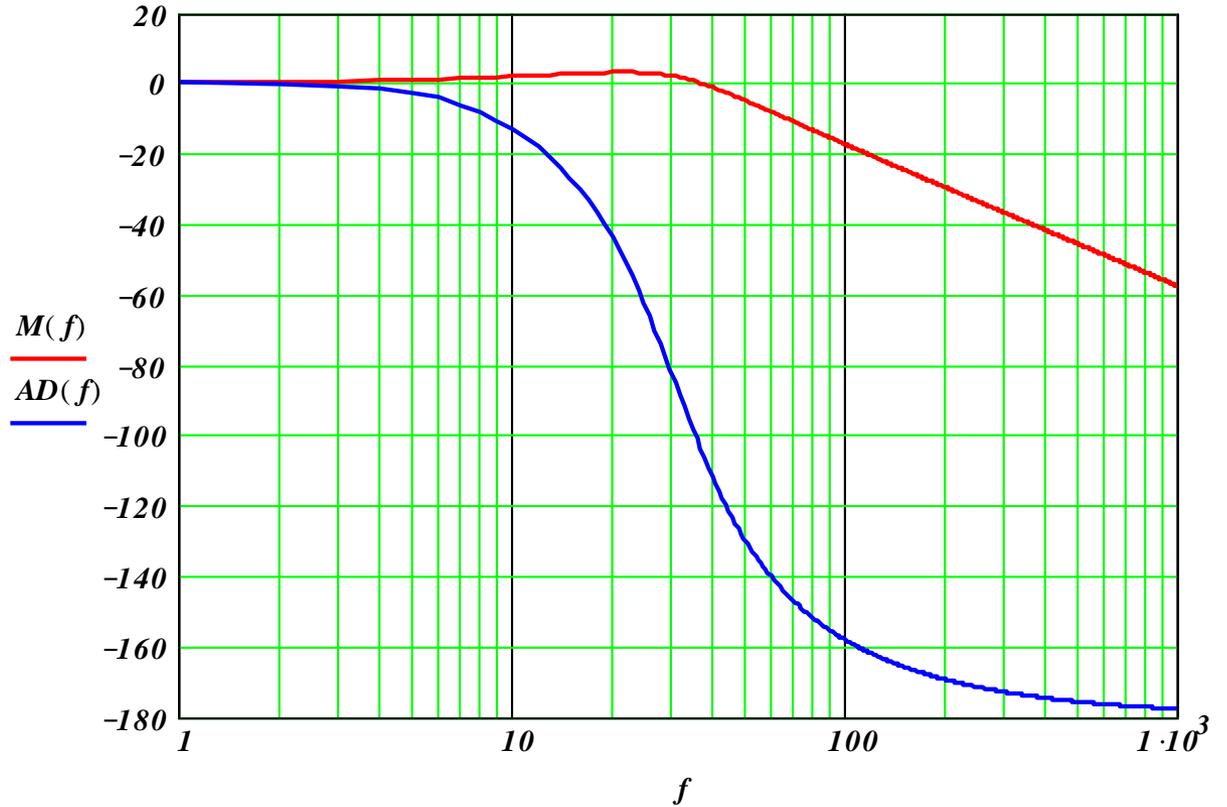
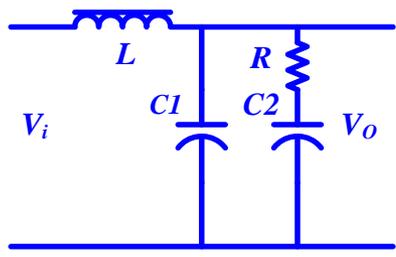
$f := 1 \cdot \text{Hz}, 2 \cdot \text{Hz} \dots 1000 \cdot \text{Hz}$    
  $s(f) := j \cdot 2 \cdot \pi \cdot f$    
  $L := 1.5 \cdot 10^{-3} \cdot \text{H}$    
  $f_r := 36 \cdot \text{Hz}$    
  $C_1 := \frac{1}{4\pi^2 \cdot L \cdot f_r^2}$    
  $C_1 = 0.0130 \text{ F}$

$R := \sqrt{\frac{L}{C_1}}$    
  $R = 0.34 \Omega$    
  $C_2 := 5 \cdot C_1$    
  $C_2 = 0.065 \text{ F}$

$T(f) := \frac{s(f) \cdot R \cdot C_2 + 1}{s(f)^3 \cdot R \cdot L \cdot C_1 \cdot C_2 + s(f)^2 \cdot L \cdot (C_1 + C_2) + s(f) \cdot R \cdot C_2 + 1}$

$M(f) := 20 \cdot \log(|T(f)|)$    
  $AR(f) := \arg(T(f))$

$AD(f) := AR(f) \cdot 57.3$



## Higher Frequency Operation Means a Smaller Filter

$$f_{r1} = \frac{1}{2\pi\sqrt{LC}}$$

$$\text{Let } f_{r2} = nf_{r1} = \frac{n}{2\pi\sqrt{LC}}$$

$$nf_{r1} = \frac{1}{2\pi\sqrt{\frac{L}{n} \frac{C}{n}}}$$

*L is smaller by the factor n*

*C is smaller by the factor n*

# 36 kHz Praeg Filter

$$f := 10 \cdot \text{Hz}, 20 \cdot \text{Hz} \dots 100000 \cdot \text{Hz} \quad s(f) := j \cdot 2 \cdot \pi \cdot f$$

$$L := 1.5 \cdot 10^{-5} \cdot \text{H}$$

$$C_1 := 0.00013 \cdot \text{F}$$

$$f_r := \frac{1}{2 \cdot \pi \cdot \sqrt{L \cdot C_1}}$$

$$R := \sqrt{\frac{L}{C_1}}$$

$$R = 0.34 \Omega$$

$$C_2 := 5 \cdot C_1$$

$$C_2 = 6.5 \times 10^{-4} \text{ F}$$

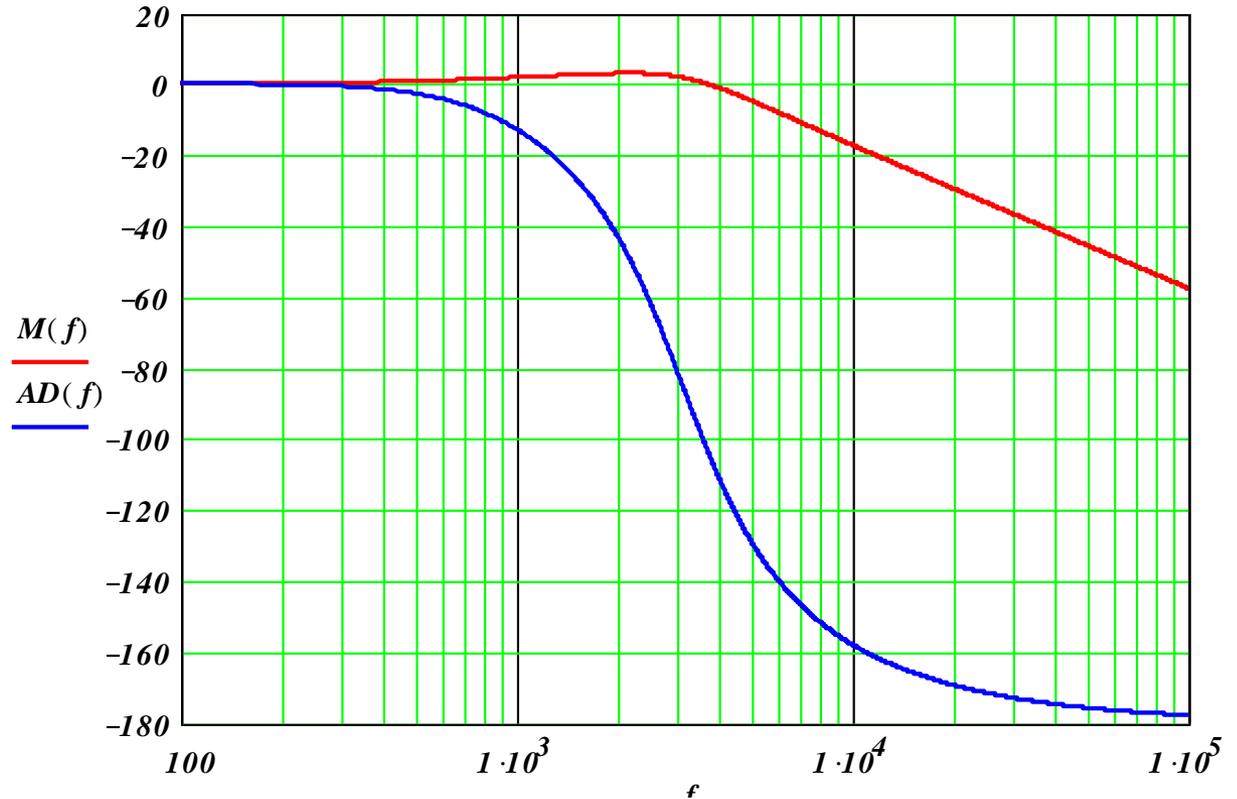
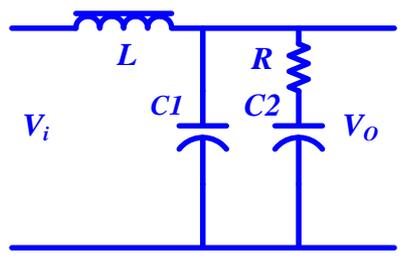
$$f_r = 3604 \text{ Hz}$$

$$T(f) := \frac{s(f) \cdot R \cdot C_2 + 1}{s(f)^3 \cdot R \cdot L \cdot C_1 \cdot C_2 + s(f)^2 \cdot L \cdot (C_1 + C_2) + s(f) \cdot R \cdot C_2 + 1}$$

$$M(f) := 20 \cdot \log(|T(f)|)$$

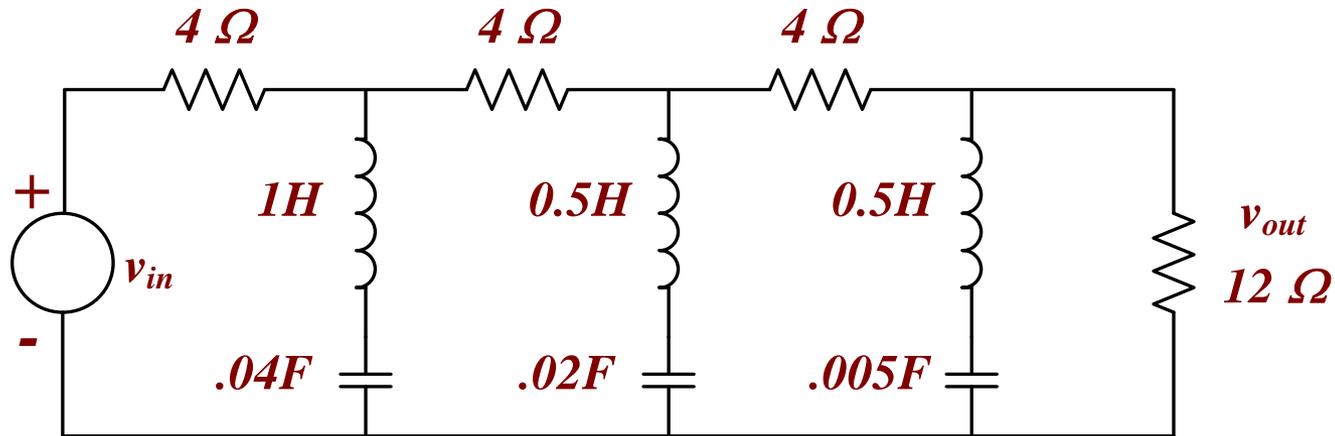
$$AR(f) := \arg(T(f))$$

$$AD(f) := AR(f) \cdot 57.3$$



## Homework Problem # 10

Given the circuit below:



$$H(j\omega) = \frac{V_{out}(j\omega)}{V_{in}(j\omega)}$$

•Remember that  $s=j\omega$

Sketch  $|H(j\omega)|$  versus  $\omega$



*Other Design Considerations  
And Power Supply Costs*

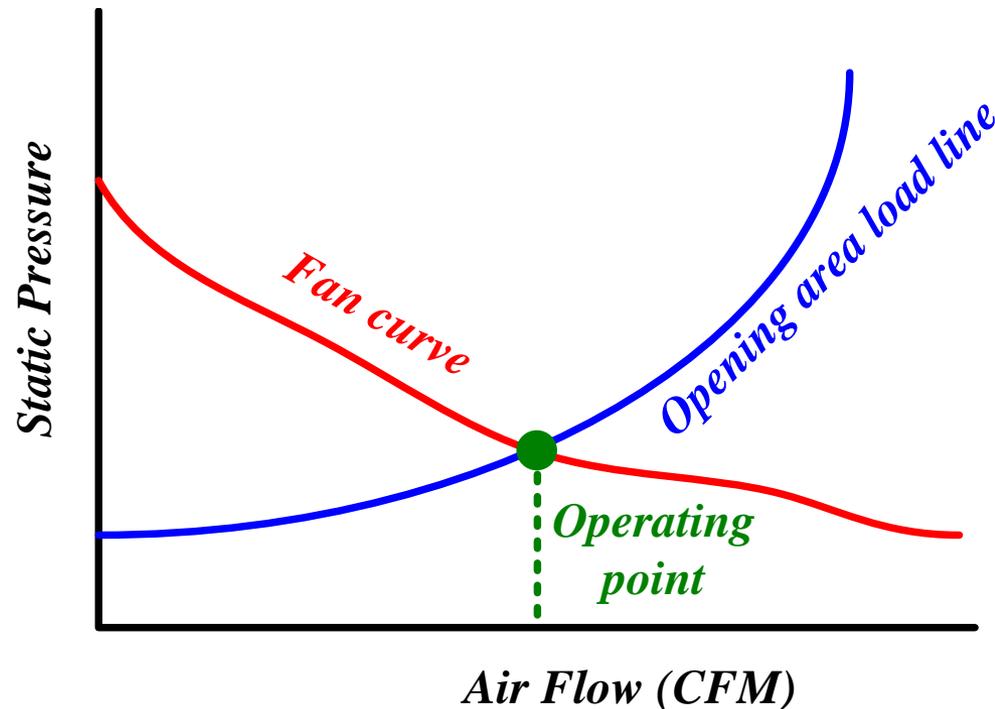
## Other Design Considerations - Heat Loading Into Building Air

$$\text{All equipment} = \sum ( P_{\text{switchgear}} + P_{\text{transformer}} + P_{\text{AC cables}} + P_{\text{PS}} + P_{\text{DC cables}} )$$

- Switchgear efficiency  $\geq 98\%$     Switchgear losses =  $P_o * \left( \frac{1 - \text{Eff}}{\text{Eff}} \right)$
- Transformer efficiency  $\geq 97\%$     Transformer losses =  $P_o * \left( \frac{1 - \text{Eff}}{\text{Eff}} \right)$
- $P_{\text{AC cables}} = \sum_j i_{j \text{ RMS}}^2 * \frac{R_j}{\text{ft}} * \text{Length}_j$
- Power supply losses =  $\sum_j ( P_{\text{in } j} - P_{\text{out } j} )$
- $P_{\text{DC output cable}} = \sum_j i_{j \text{ DC}}^2 * \frac{R_j}{\text{ft}} * \text{Length}_j$

## Other Design Considerations - Rack Cooling

- Thermal radiation from rack surface
- Electronics – maximum 50C inside rack
- Max rise in rack =  $50C - T_{ambient\ max}$
- Size openings, back pressure drops  $Bp = (CFM / (k * Opening\ Area))^2$
- Fan vs load curve – junction is operating flow point



## Other Design Considerations - Heat Loading Into Building Water

*Power supply heat loss to water =  $\sum$  electrical losses of all water-cooled components*

*Heat lost (dissipated) by PS water cooled components = Heat gained by cooling water system*

$$Q = M * c * \Delta T \quad \text{cal} = \text{gm} * \frac{\text{cal}}{\text{gm} * ^\circ\text{C}} * (^\circ\text{C}_{\text{Outlet}} - ^\circ\text{C}_{\text{Inlet}})$$

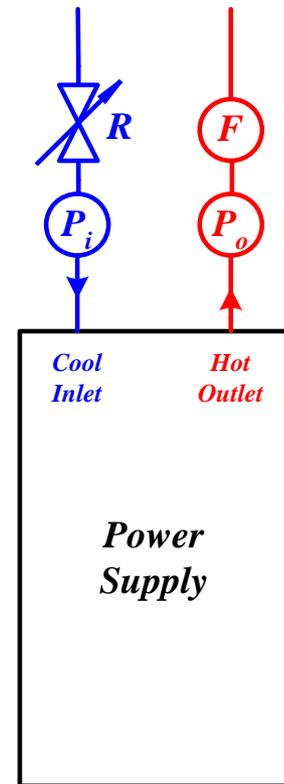
$$q = m * c * \Delta T \quad \text{watt} = \text{gpm} * \frac{264 \text{ watt}}{\text{gpm} * ^\circ\text{C}} * (^\circ\text{C}_{\text{Outlet}} - ^\circ\text{C}_{\text{Inlet}})$$

*Usually the power loss and the inlet and maximum allowable outlet temperatures are known. The mechanical group will usually ask for an estimate of the water flow requirements.*

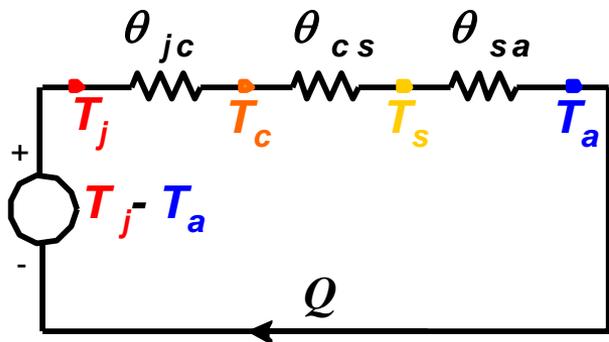
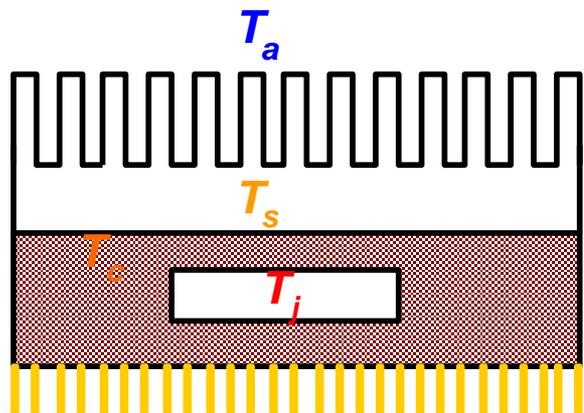
*So solving for the flow yields*

$$m = \frac{q}{c * \Delta T} = \frac{\text{watt}}{\frac{264 \text{ watt}}{\text{gpm} * ^\circ\text{C}} * (^\circ\text{C}_{\text{Outlet}} - ^\circ\text{C}_{\text{Inlet}})}$$

*The system pressure drop is  $\Delta P = \sum_i P_i$*



# Electrical -Thermal Equivalence – Device Cooling Calculations



$Q =$  Power that can be removed by the air or cooling water (W)

$T_j =$  Device junction temperature ( $^{\circ}\text{C}$ )

$T_c =$  Device case temperature ( $^{\circ}\text{C}$ )

$T_s =$  Heatsink temperature ( $^{\circ}\text{C}$ )

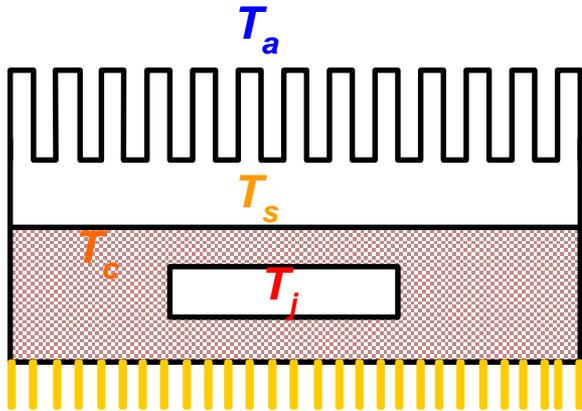
$T_a =$  Ambient air or cooling water inlet temperature ( $^{\circ}\text{C}$ )

$\theta_{jc} =$  junction to case thermal resistance ( $^{\circ}\text{C} / \text{W}$ )

$\theta_{cs} =$  case to heatsink thermal resistance ( $^{\circ}\text{C} / \text{W}$ )

$\theta_{sa} =$  Heatsink to ambient air or cooling water thermal resistance ( $^{\circ}\text{C} / \text{W}$ )

# Electrical -Thermal Equivalence – Device Cooling Calculations



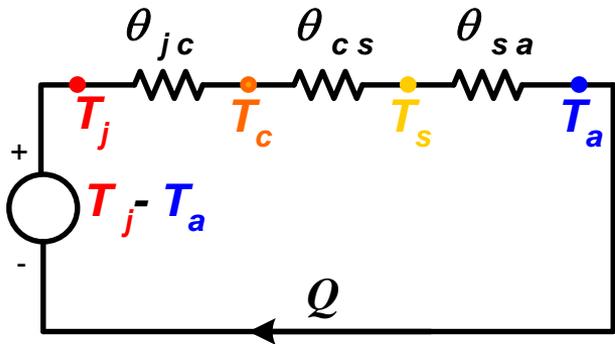
Calculate  $Q = \frac{T_j - T_a}{\theta_{jc} + \theta_{cs} + \theta_{sa}}$

$Q$  is heat that can be pulled out of the ambient air or cooling water

If calculated  $Q > q$

$q$  is the power dissipated by the device

then all of the device dissipation will be removed by the air or water



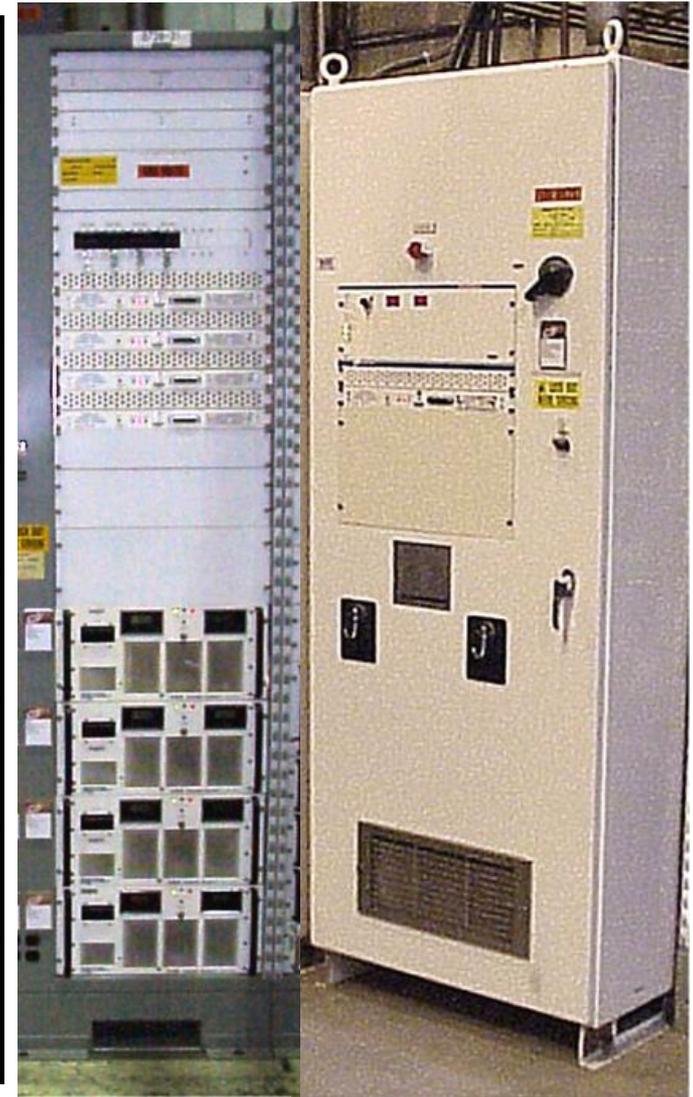
Calculate the actual air or water temperature rise from  $q = m * c * \Delta T$

$$\Delta T = \frac{q}{m * c} = \frac{\text{watts}}{\text{gpm} * \frac{264 \text{ watt}}{\text{gpm} * ^\circ \text{C}}}$$

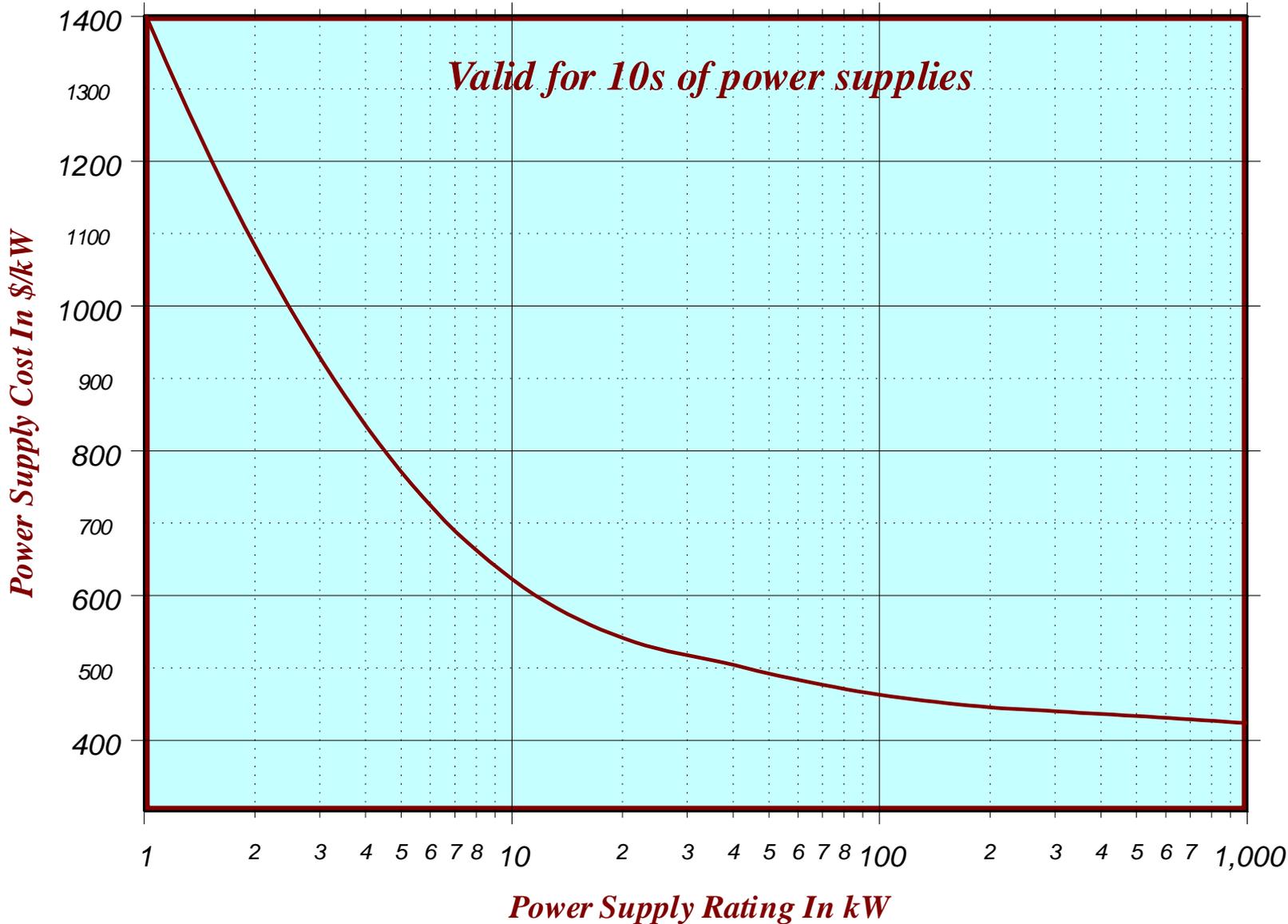
$\Delta T \leq$  the maximum allowable temperature rise

# Power Output Vs Mounting / Input Voltage / Cooling Considerations

Power Output	Input AC (V)				Cabinet		Cooling	
	1 $\phi$ 120	3 $\phi$ 208	3 $\phi$ 480	3 $\phi$ 4160	RM	FS	AC	WC
< 2 kW	X				X		X	
2 kW → 5 kW		X			X		X	
> 5 kW → 40 kW			X		X		X	
> 40 kW → 100 kW			X			X	X	
> 100 kW → 1 MW			X			X	X	X
> 1 MW				X		X	X	X
<i>RM = Rack mounted</i> <i>AC = Air-cooled</i>					<i>FS = Freestanding</i> <i>WC = Water-cooled</i>			



# Other Design Considerations - Cost Of Switchmode Power Supplies

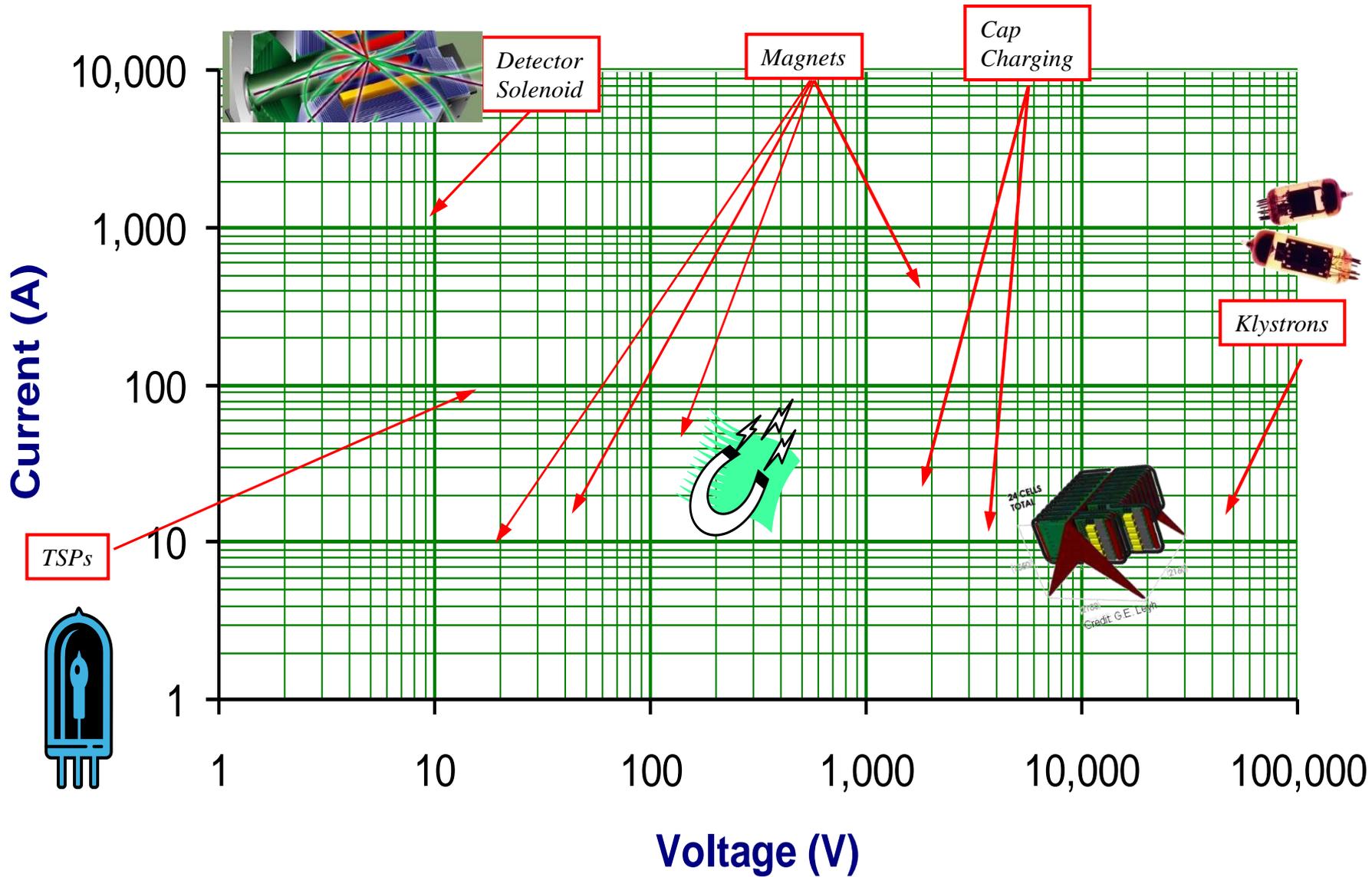


## *Other Design Considerations - Homework Problem # 11*

*A 100kW power supply is 80% efficient. Approximately 50% of the power supply heat loss is removed by cooling water.*

- How much heat is dissipated to building air and how much heat is removed by the water system.*
- Calculate the water flow rate needed to limit the water temperature rise to 8°C maximum.*

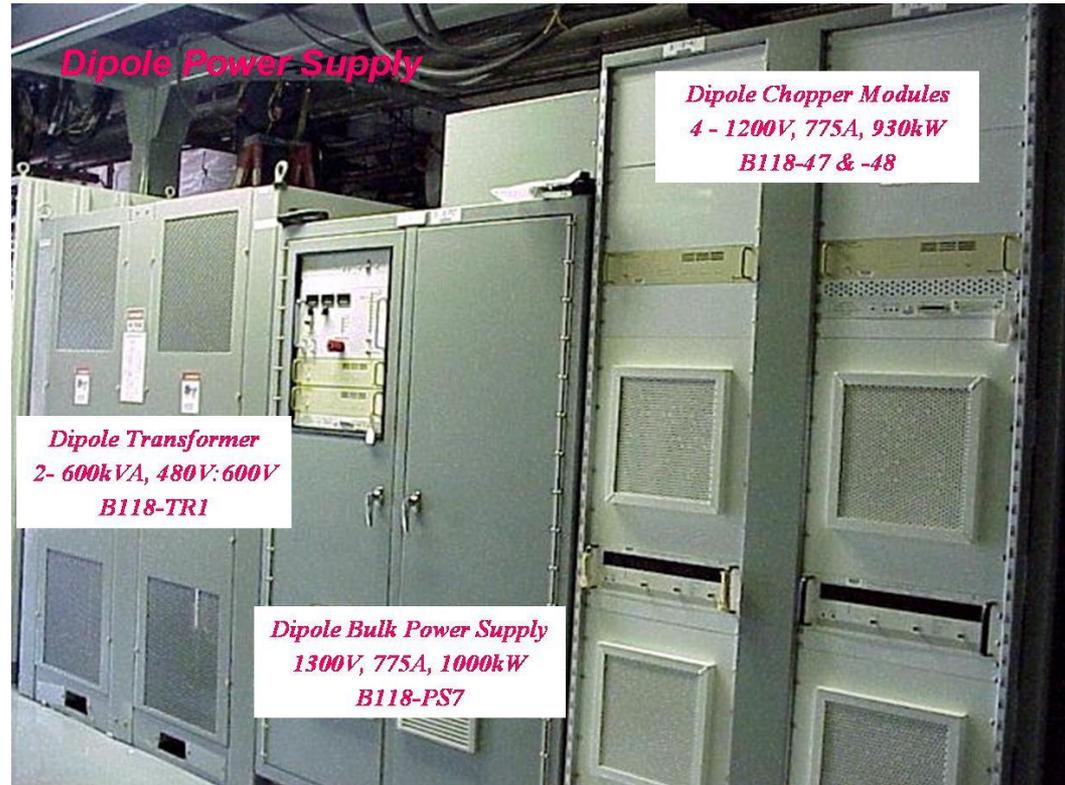
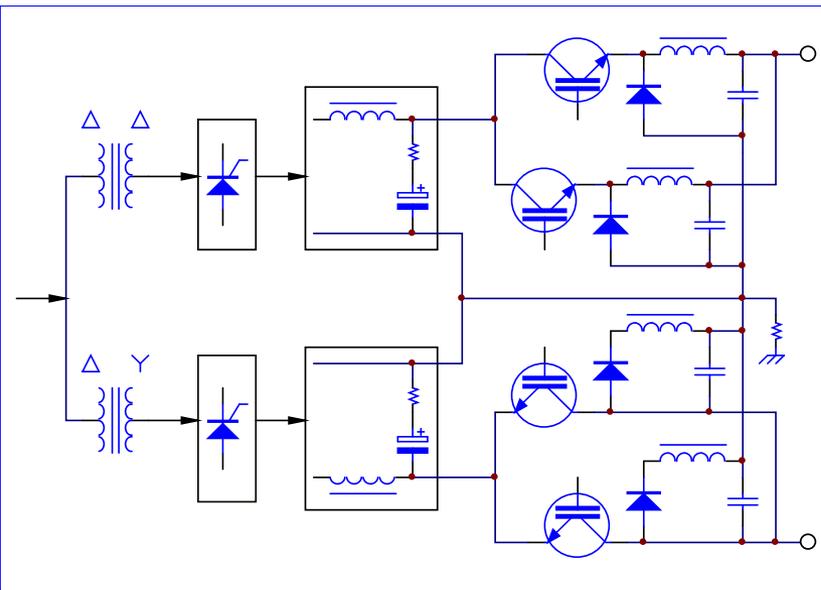
# Typical DC Power Supply Ratings for Accelerators



# DC Power Supplies in Particle Accelerators

## PEP-II and SPEAR3 Dipole Power Supplies

- 1200 VDC, 800 Amperes, 960 KW
- Powers largest magnet string at Spear3, 36 ring bend magnets in series
- Requires 50 PPM (full scale) current regulation, 0.1% voltage regulation
- Requires 600 VAC, 6-Phase AC Input



# DC Power Supplies in Particle Accelerators

## Storage Ring of the Diamond Project

- The power converter comprises of 8 paralleled modules
- Each module is a non-isolated step down PWM switching regulator operating at a fixed frequency of 2 kHz
- IGBT devices are used as the switching element
- The 8 PWM drives are phase shifted by  $360/8^\circ$  to achieve a 16 kHz output ripple frequency
- 1 quadrant operation

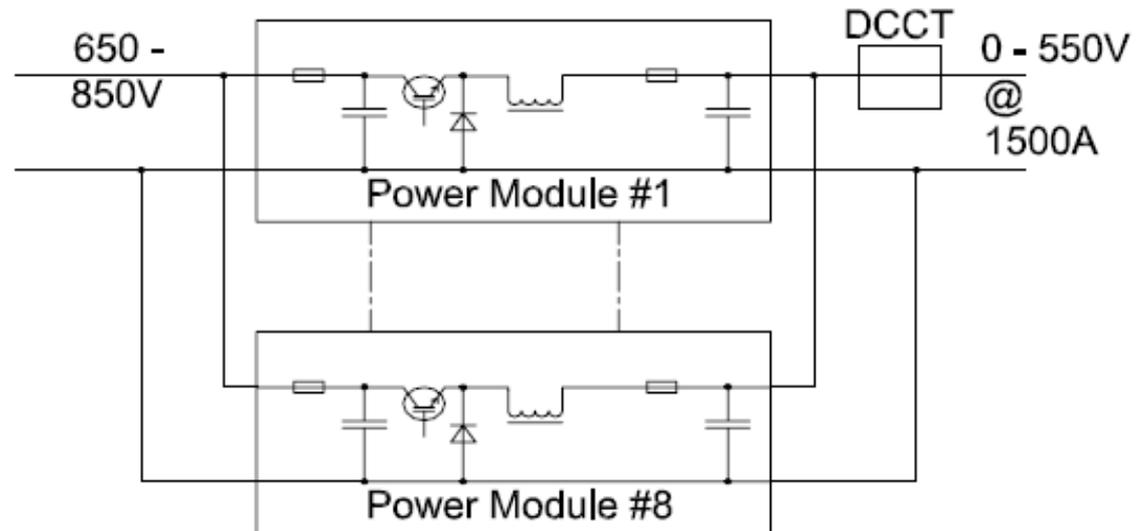


Figure 1: Dipole Converter Topology.



## *DC Power Supplies in Particle Accelerators*

### *Diamond Booster Magnet Power Converters*

- *Booster operates at 5 Hz to accelerate the electrons: 100 MeV to 3 GeV.*
- *Power converters produce an off-set sine wave current with high repeatability at 5 Hz*
- *To avoid disturbance on the ac distribution network, the dipole and quadrupole power converters were designed to present a constant load despite having high circulating energy: 2 MVA in the case of the dipole*
- *Redundancy was introduced wherever this was economically feasible.*
- *Plug-in modules are used to simplify and speed up repairs.*
- *Component standardization and de-rating across all power converters was an additional design goal*

## Diamond Booster Dipole Power Converter

- *Booster dipole PC is rated at peaks of 1000A and 2000V*
- *Three units are sufficient to produce the required output. The fourth is redundant*
- *Each unit is made up of a boost circuit and a 2-quadrant output regulator that produces the required offset sine wave current.*
- *The boost circuit regulates the voltage on the main energy storage capacitor and is controlled to draw constant power from the ac network.*
- *Displaced 4 kHz switching frequency*

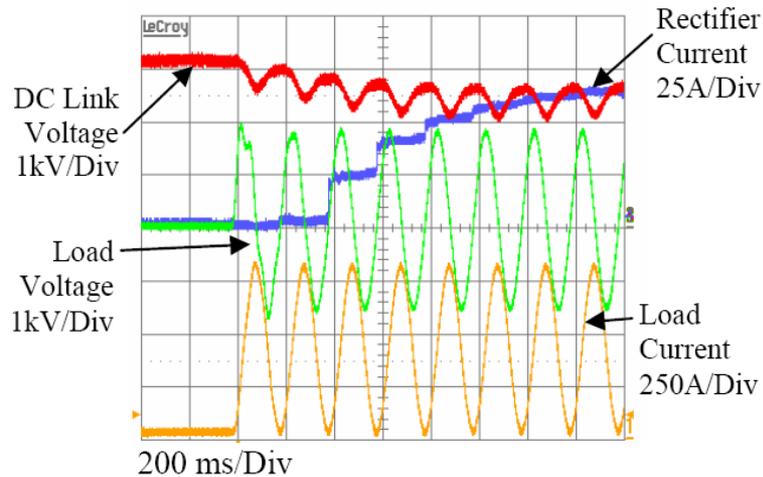


Figure 4: First few cycles after turn on.

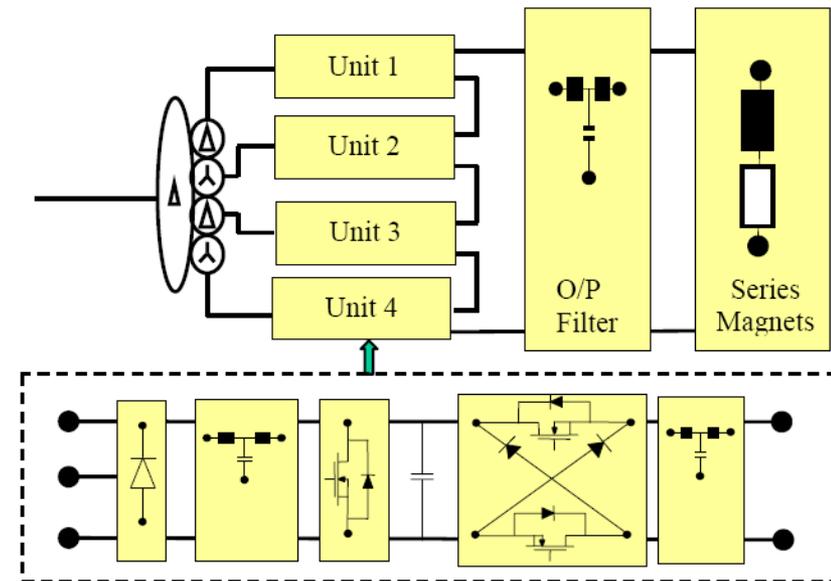


Figure 1: Booster dipole power circuit.

# DC Power Supplies in Particle Accelerators

## THE 3HZ POWER SUPPLIES OF THE SOLEIL BOOSTER

Table 1: Major booster parameters

Injection energy	110	MeV
Extraction energy	2.75	GeV
Number of dipoles	36	
Dipole magnetic length	2.16	m
Dipole gap	22	mm
Dipole field @2.75GeV	0.74	T
Dipoles inj. current	19.7	A
Dipoles ext.current	541	A
Dipoles load resistance	400	mΩ
Dipoles load inductance	156	mH

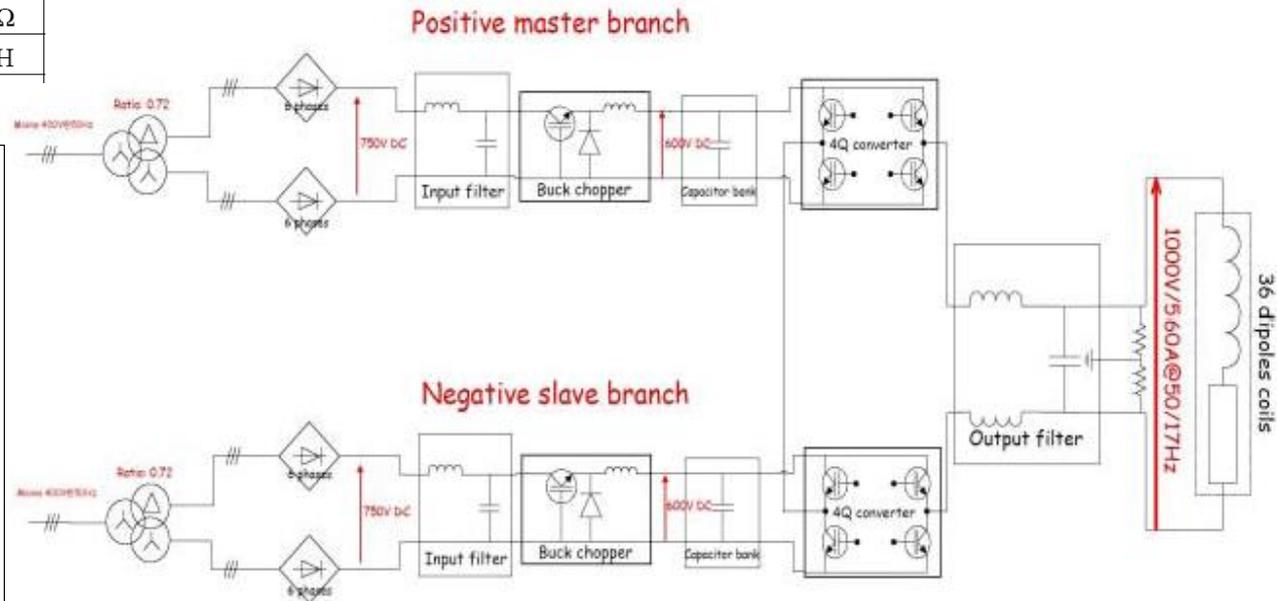
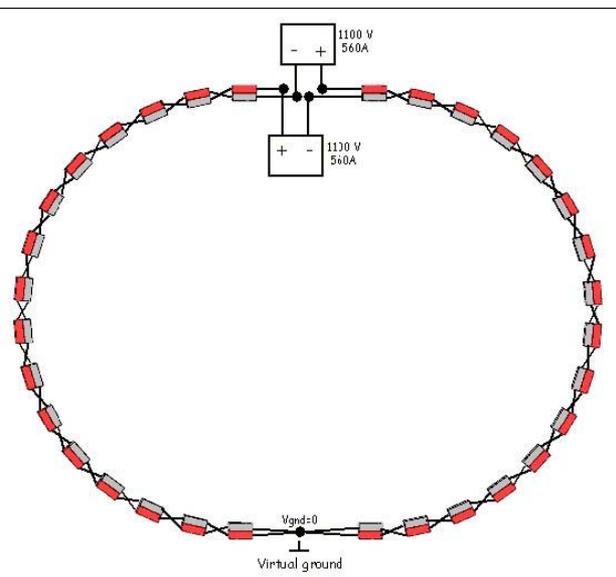
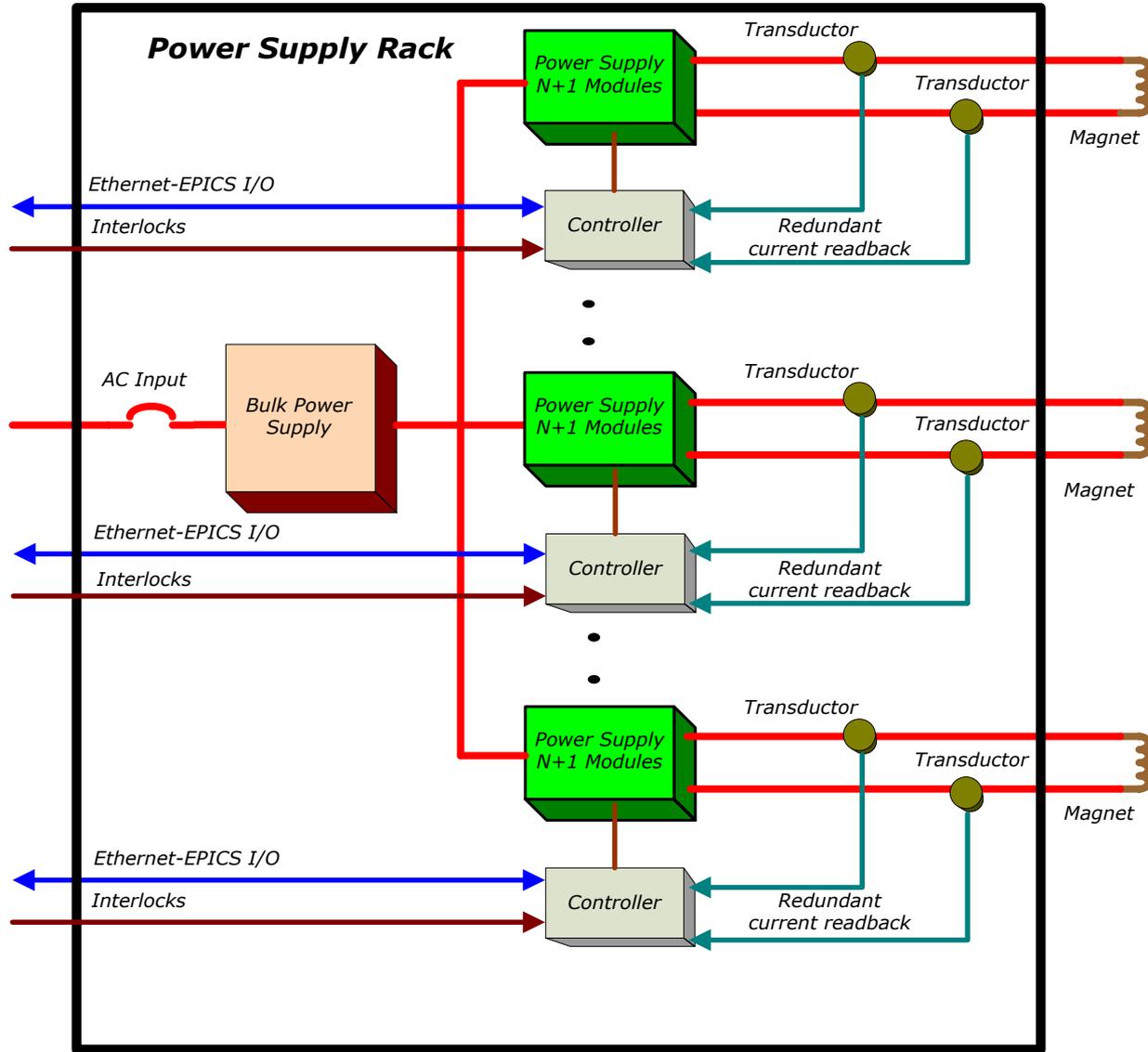


Figure 4: dipoles PS main schematics

# DC Power Supplies in Particle Accelerators

## Power Supplies for the ATF2





# DC Power Supplies in Particle Accelerators

*Bipolar Power Supplies at SPEAR3 and LCLS (480W,  $\pm 40V$ ,  $\pm 12A$ )*

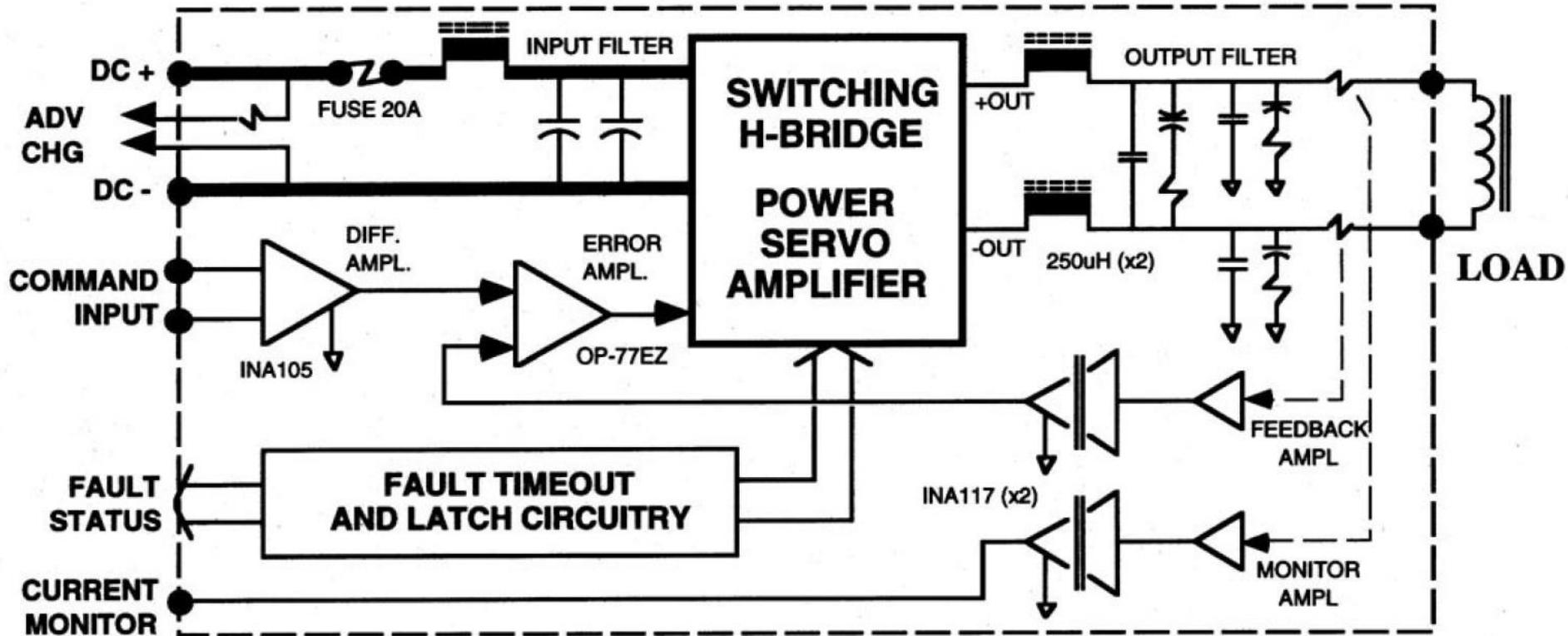


Figure 1.3. MCOR12 Block Diagram.

# DC Power Supplies in Particle Accelerators

## Bipolar Power Supplies at SPEAR3 and LCLS

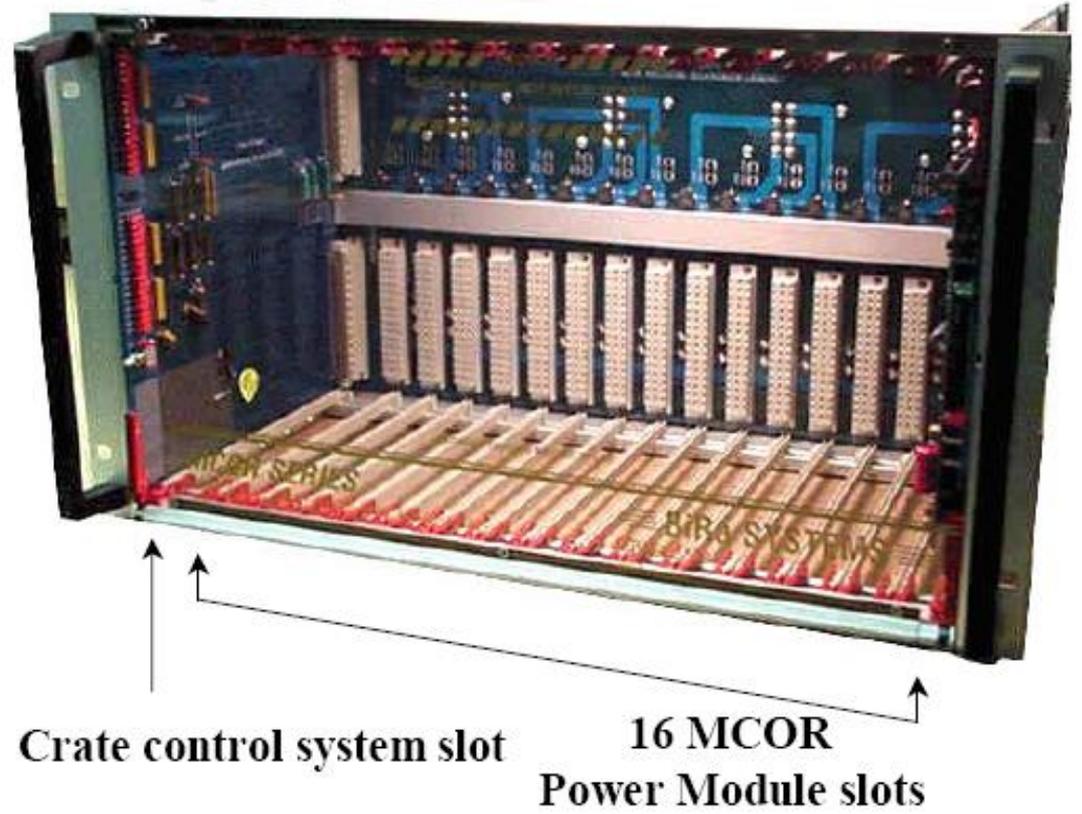
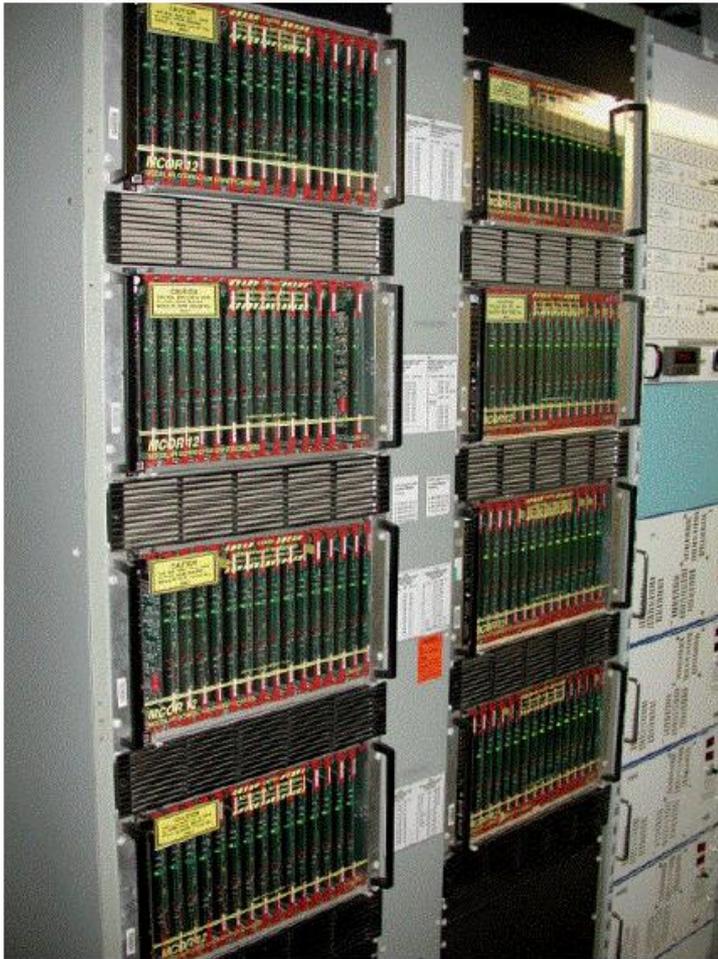


Figure 1.1. A typical MCOR installation

# DC Power Supplies in Particle Accelerators

## NEW MAGNET POWER SUPPLY FOR PAL LINAC

Table 1: Development specifications of MPS

	Bipolar	Unipolar	
Size (W x H x D)	435x135x450	435x178x450	mm
Input	1 $\phi$ 220V	3 $\phi$ 30V	V
Output	$\pm$ 10/20	50/50	A/V
Output stability	$\pm$ 50ppm	$\pm$ 20ppm	< 1 hour
	$\pm$ 100ppm	$\pm$ 50ppm	> 10 hours
Output resolution	16		bit
Topology	Full-Bridge 4-Q DC/DC converter		
Switch freq.	50		kHz
Output Filter Cut-off freq.	< 5		kHz

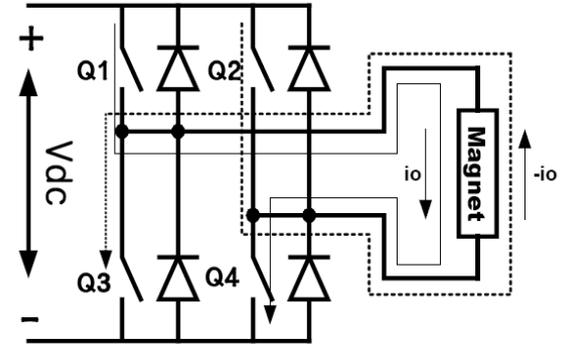


Figure 1: Bipolar MPS operation of full-bridge four-quadrant DC/DC converter.

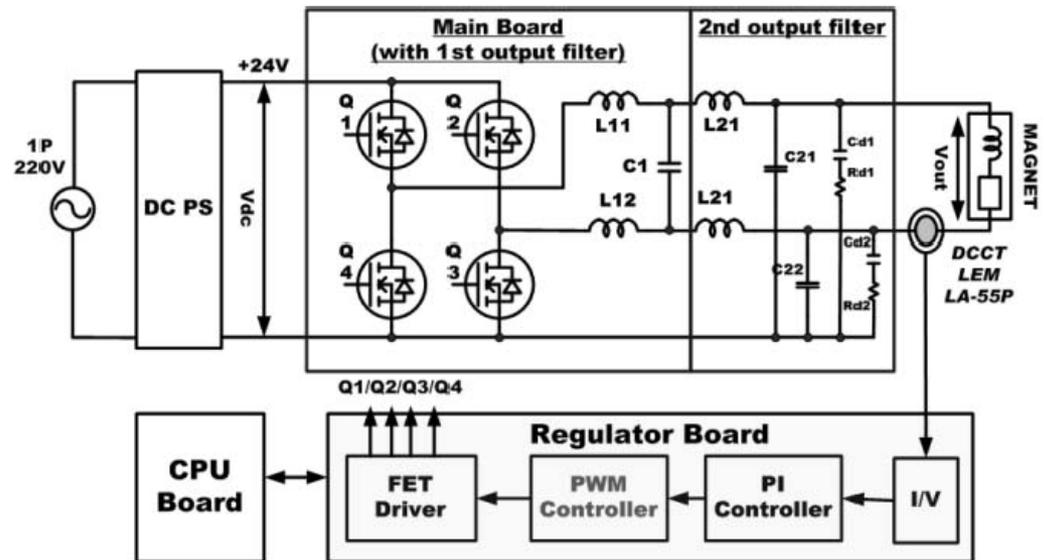
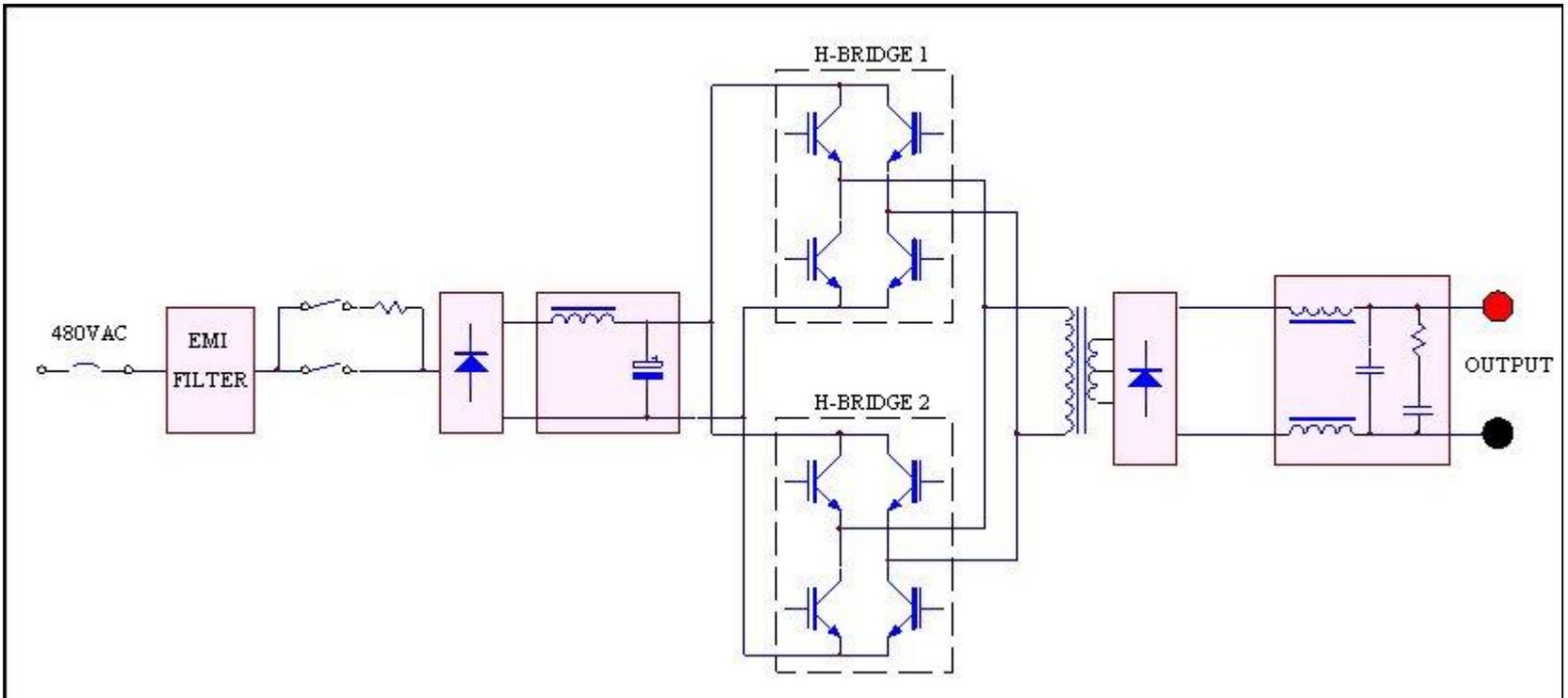


Figure 4: Circuit diagram of bipolar MPS.

# PEP-II Large Power Supplies

Table 1: LGPS ratings.

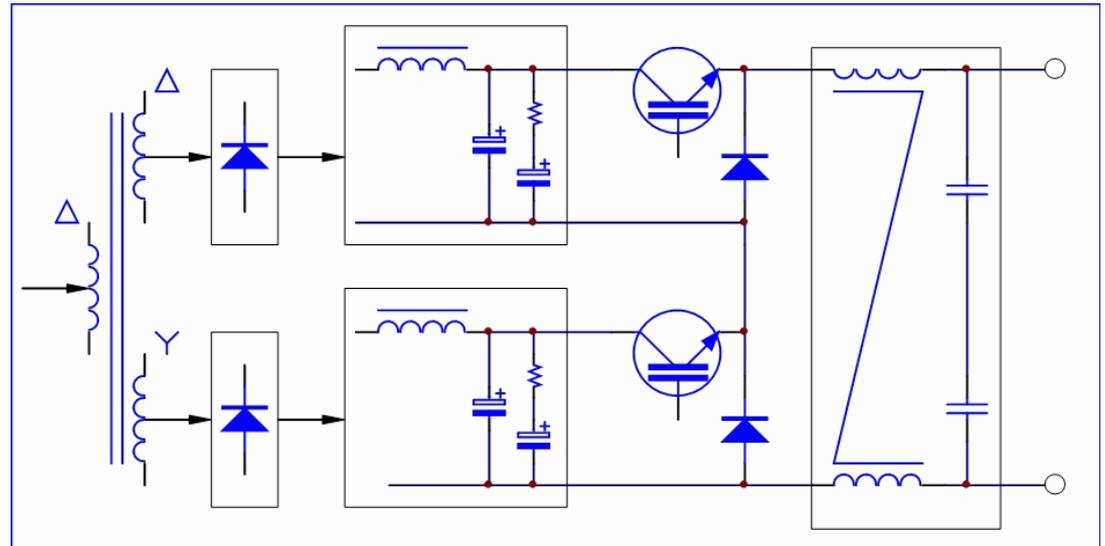
LGPS	V	I	P (kW)	Qty
BV1/2	80	900	72	1
QF2L/R	80	1250	100	2
QF5L/R	253	750	190	2
QD4L/R	200	1350	270	2



# DC Power Supplies in Particle Accelerators

## SPEAR3 Large Power Supplies

- Line-isolated
- 32 kHz Switch-output ripple
- High efficiency
- Fast output response
- Stability better than  $\pm 10$  ppm
- 100A to 225A
- 70kW to 135kW
- Low cost: US\$ 0.26 – 0.39/W





## *Section 7 – Superconducting Magnet Power Systems*

- *Rationale for Using Superconducting Magnets*
- *Superconducting Metals and Critical Surface Diagrams*
- *Dipole Magnet*
- *Quadrupole Magnet*
- *Winding Construction*
- *Operating Modes*
- *Quenches*
- *Superconducting Magnet Power System Schematic*



## *Rationale For Using Superconducting Magnets*

- ***Problem***
  - *Contemporary high energy physics questions require much higher beam energies*
    - *Higher energies mean larger magnets, larger facilities (size goes like  $B^{-1}$ ). Conventional magnets consume lots of electrical power, iron cores saturate at about 2T*
  - *Synchrotron light sources require high field insertion devices (undulators, wigglers)*
    - *Permanent magnet pole pieces also have limited magnetic fields*
- ***Superconducting Magnets***
  - *Are smaller (possess high current density  $\Rightarrow$  compact windings, high gradients)*
  - *Consume much less power (primarily refrigeration power), consequently lower power bills*
  - *Can generate greater magnetic fields (typically to 10T and more). Greater magnetic fields mean smaller bend radius, smaller accelerator and rings, reduced capital expense. Furthermore, no expensive iron core*

## Rationale For Using Superconducting Magnets

Example – Superconducting solenoid

From Ampere's Law

$$\oint H \cdot dl = NI_0 \quad B = \mu_o H$$

$$\mu_o \oint H \cdot dl = \mu_o NI_0$$

$$BL = \mu_o NI_0 \quad \text{or}$$

$$B = \mu_o NI_0 / L$$

$B = \text{Tesla, } T$

$$\mu_o = 4\pi * 10^{-7} T*m/A$$

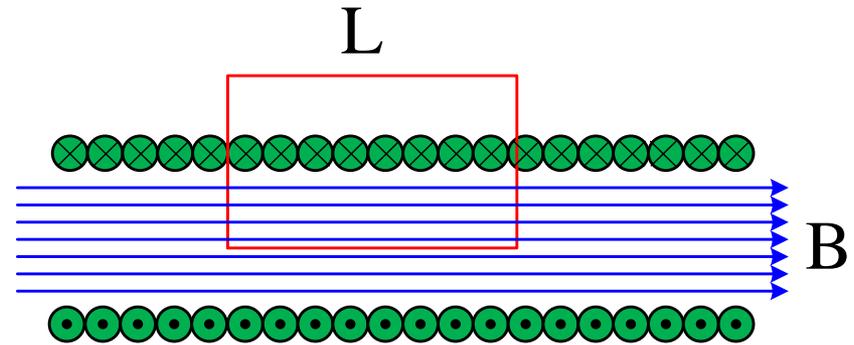
$N = \text{number of solenoid turns, } t$

$I_0 = \text{amperes carried per turn, } A/t$

$L \text{ or } dl = \text{solenoid length, } m$

Assume a solenoid 3m long with 2,500 turns and carrying 5,000A

$$B = (\mu_o NI_0) / L = (4\pi * 10^{-7} T*m/A * 2500t * 5,000A/t) / 3 * m = 5.2T$$





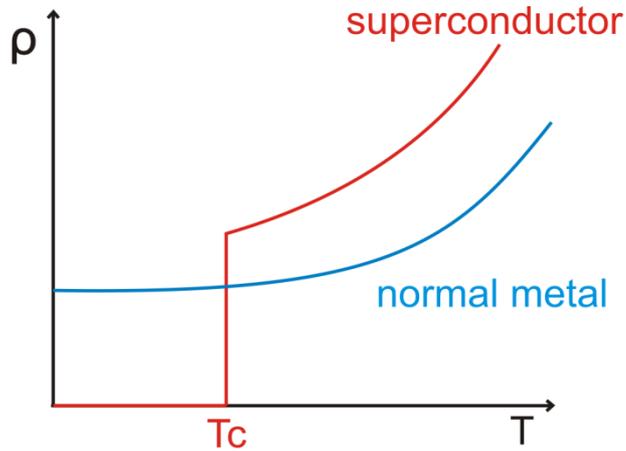
## Normal Conductors

*Normal conductors follow Drude's model*

- *Electrons move freely in metal, accelerated by external  $\vec{E}$  field*
- *After a time  $\tau$  the electron interacts with the lattice of the solid and gives up its energy*
- *Steady state average value of velocity  $\vec{v} = -e\vec{E}\tau/m$*
- *Steady state value of current,  $\vec{j} = -ne\vec{v} = (ne^2\tau/m)\vec{E} = \sigma\vec{E}$*
- *This defines the conductivity  $\sigma$*
- *Better conductors have longer times between interactions*
- *“Perfect” conductor has  $\sigma \rightarrow \infty$*
- *Resistance of normal metal decreases to finite non-zero value as temperature decreases*



## Superconducting Metals



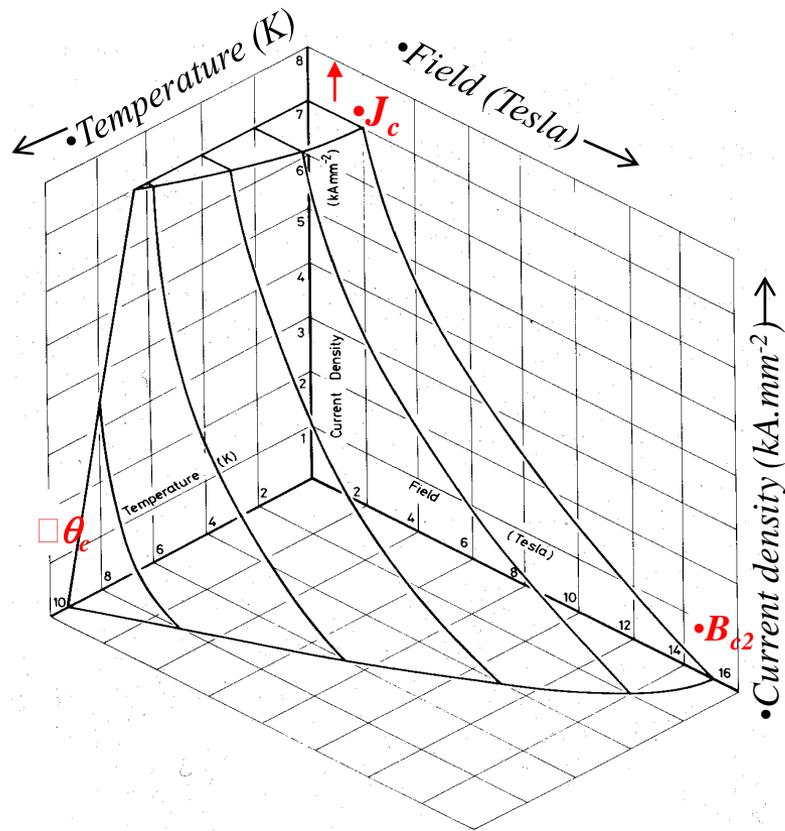
- *Superconducting metal resistance drops to zero at  $T_c$*
- *Superconductors also exhibit Meissner effect*
- *Excludes  $\vec{H}$  from the center of the SC*

*BCS theory (Bardeen, Cooper, Schrieffer, 1957) explains SC*

- *In presence of lattice, conduction electrons can form “Cooper pairs” that lower the energy of the system*
- *Two phase system – normal and SC phases*
- *Band gap forms and Cooper pairs can carry current with no lattice interaction*

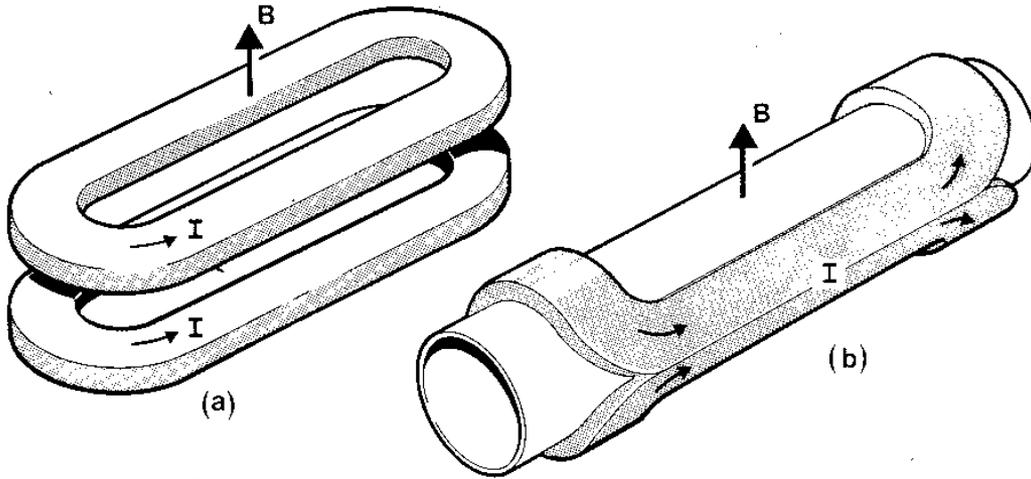
*SC current capacity dependent on number of SC pairs*

## Superconductor Critical Surface Diagrams



- Exclusion of  $\vec{H}$  (Meissner effect) increases system free energy.
  - Sufficiently large  $\vec{H}$  raises free energy of SC state above that of normal conductor and “quenches” SC state
  - Many, but not all metals and alloys can exhibit SC behavior
  - Different materials have different values of  $T_C$ ,  $H_C$ , and  $J_C$ .
  - Niobium or one of its alloys is most common commercially used SC material
- Picture shows the 3 dimensional space **critical surface**, which is the boundary between superconducting and normal conducting phases
    - Superconducting phase below surface
    - Normal conducting above

## Dipole Magnet

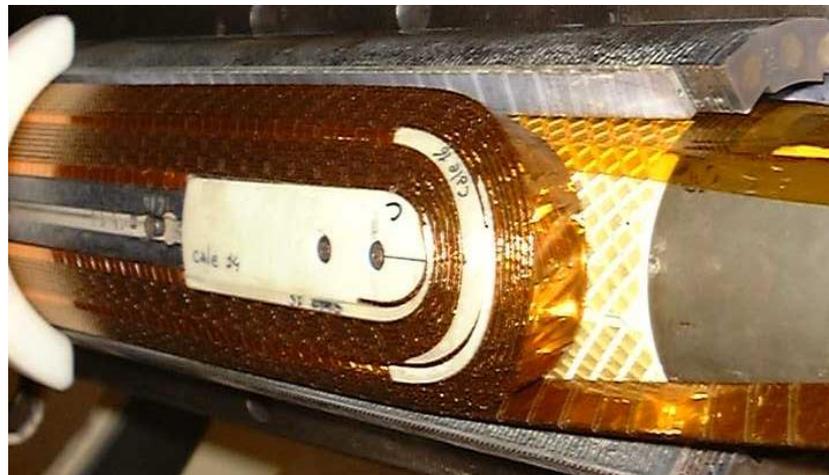
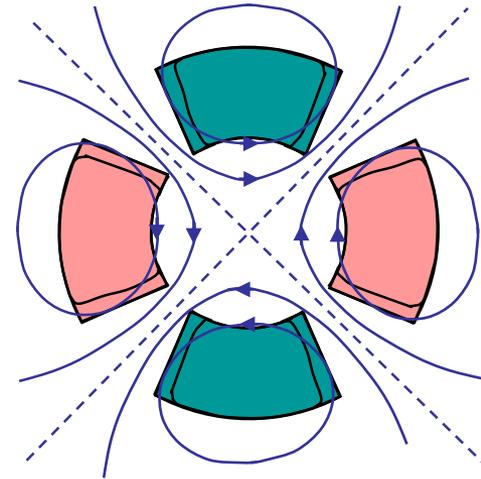
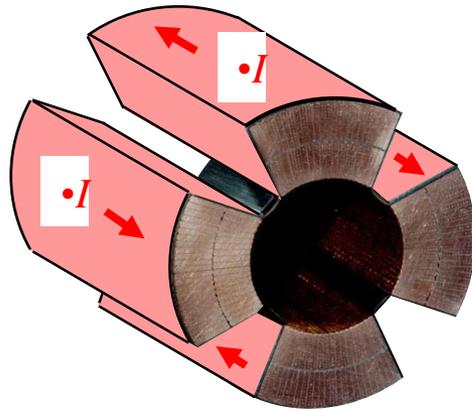


- *Conventional magnet typically “iron-dominated”*
- *Iron pole pieces shape the field*
- *SC magnets are made from superconducting cable*
- *Winding location shapes the field according to Ampere’s Law*
- *Windings must have the correct cross section*
- *Also need to shape the end turns*

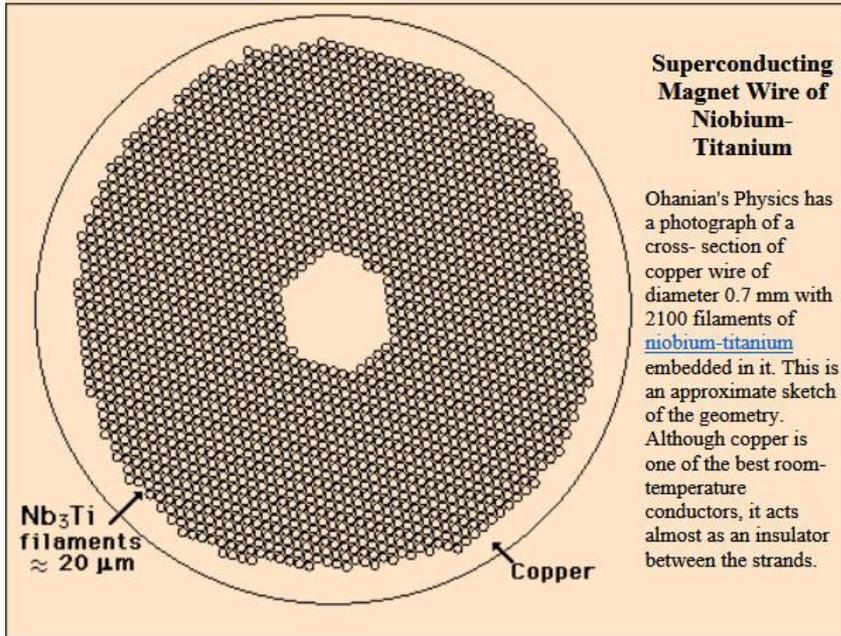


## Quadrupole Magnet

- *Quadrupole windings, gradient fields produce focusing*



## Winding Construction



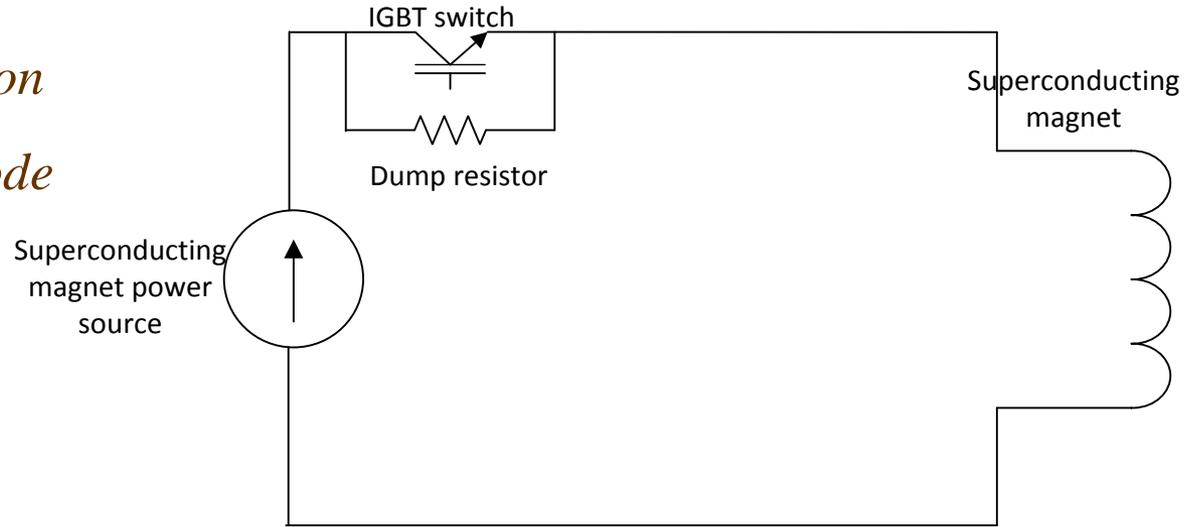
- *The superconductor is made in the form of fine filaments embedded in a matrix of copper. Filament diameter = 10 - 60  $\mu\text{m}$ . These form a wire of diameter = 0.3 - 1.0mm. A typical wire is at left.*
- *The composite wires are twisted like a rope as below left.*
- *The choice of the filament material is a trade-off between  $T_C$ ,  $B_{Crit}$  and ductility*
- *Other filament materials have higher critical temperatures and yield higher fields, but only NbTi ( $T_C=10^\circ\text{K}$ ) is ductile*



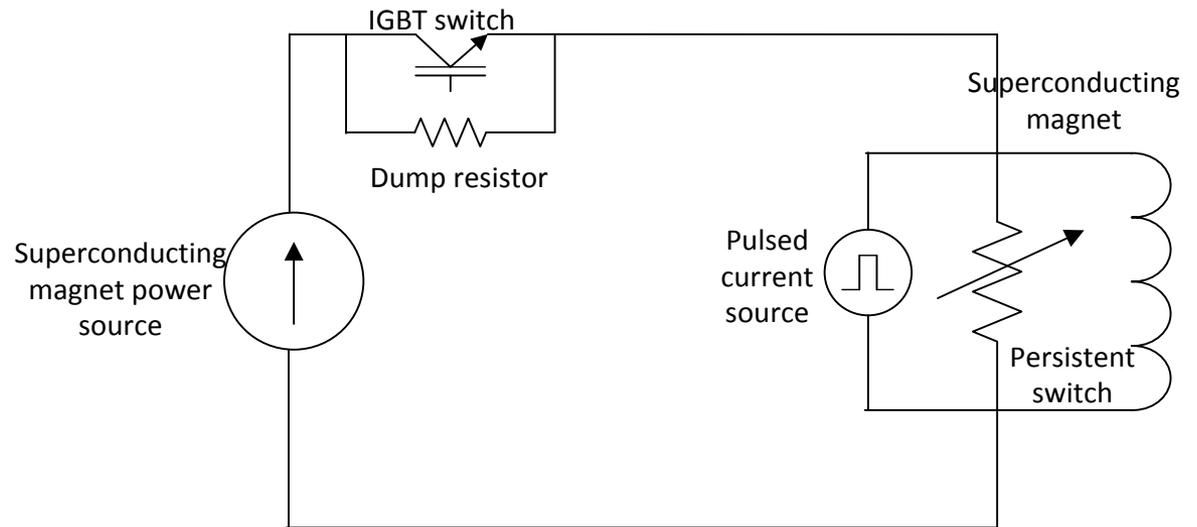
# Operating Modes

*Two Modes of operation*

*1. Power Supply Mode*



*2. Persistent mode*

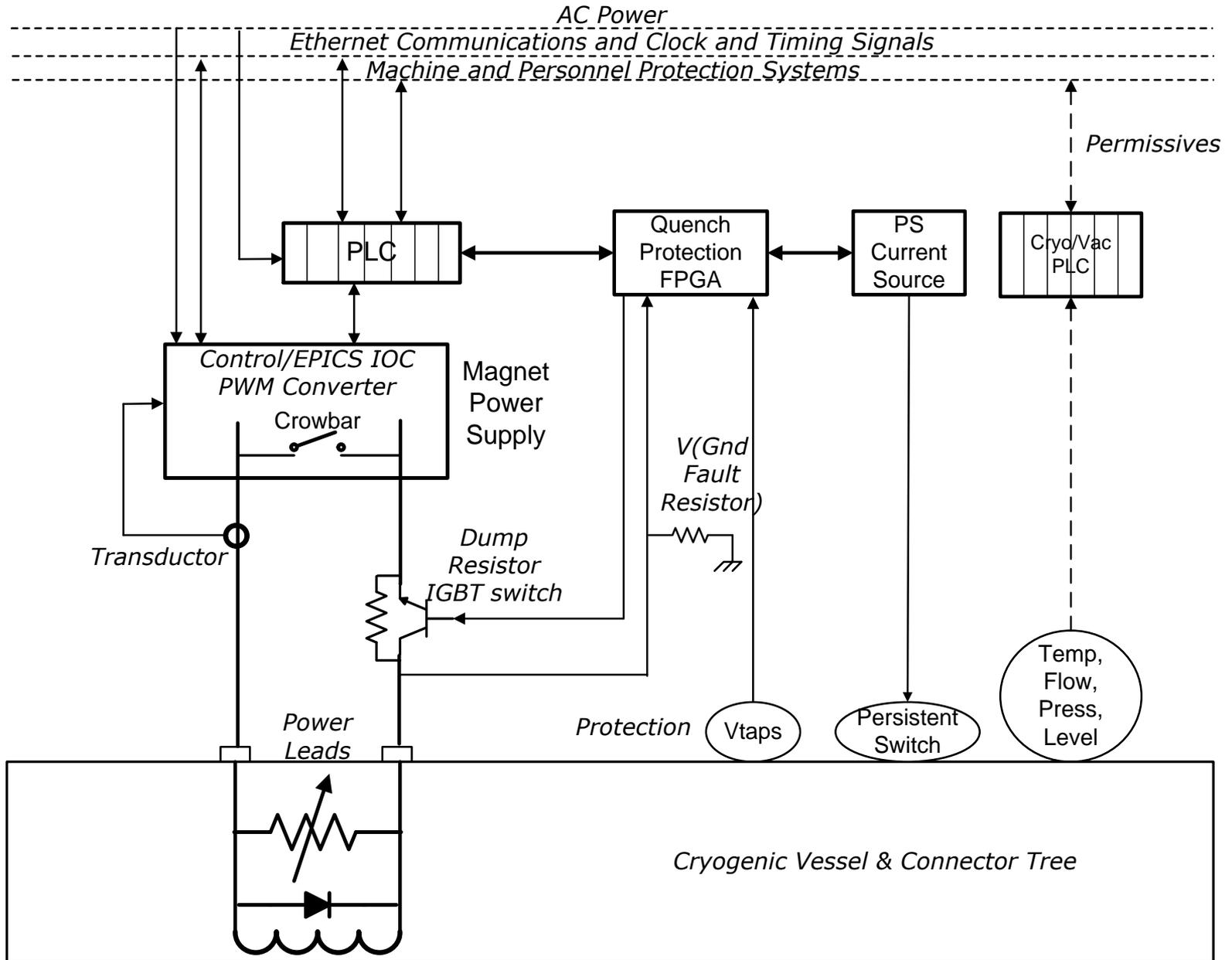




## Quenches

- *Quench - occurs if the limits of the critical surface are exceeded. The affected magnet coil changes from a superconducting to a normal conducting state.*
- *The resulting drastic increase in electrical resistivity causes Joule heating, further increasing the temperature and spreading the normal conducting zone through the magnet.*
- *High temperatures can destroy the insulation material or even result in a meltdown of superconducting cable*
- *The excessive voltages can cause electric discharges that could destroy the magnet*
- *In addition, high Lorentz forces and temperature gradients can cause large variations in stress and irreversible degradation of the superconducting material, resulting in a permanent reduction of its current-carrying capability.*

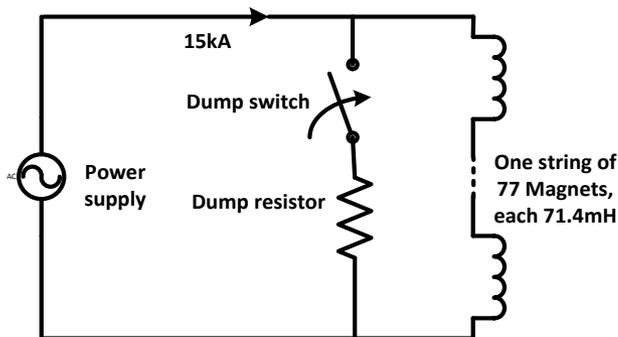
# Superconducting Magnet Power System Schematic



## Superconducting Magnet Power System Homework Problem #12

A collider has several equal strings of 77 superconducting magnets, each with 71.4mH inductance, carrying 15kA of current. If one, or more quenches, all the energy from the other magnets will dissipate their energies into the quenched magnet, thus destroying it. Design a switched dump resistor to discharge the current at a maximum rate,  $dI/dt$ , less than the 300A/s damage threshold, to prevent damage to the superconducting magnet in the event of a quench. Refer to the circuit diagram below.

1. What is the energy stored in each magnet and in the string when running at its design value?
2. What is the total inductance of the string?
3. Write the equation that describes the resistor current after closing the switch.
4. Find the resistor value to limit the maximum rate of decrease of current in the magnets to 150A/s
5. What is the maximum voltage generated across the resistor?
6. What is the time constant of this circuit?
7. Design a steel dump resistor that has little thermal conductance to the outside world (adiabatic system). Calculate how much steel mass (weight) will limit the temperature increase of the resistor to 500°K. (Steel gets structurally soft at 538°C and melts at 1510°C.)



Help

$$Q = M C_p \Delta T$$

$Q$  = heat (energy) into the system expressed in joules

$M$  = mass or weight of the resistor

$$C_p = \text{specific heat of material} = 0.466 \frac{\text{J}}{\text{gm} \cdot ^\circ\text{K}} \text{ for steel}$$

$\Delta T$  = Temperature rise of the resistor

From information in "CERN LHC Magnet Quench Protection System, L. Coull, et. al, 13th International Conference on Magnet Technology, Victoria, Canada, 1993



## *Section 8 – Pulsed Power Supplies*

- *Transmission Lines*
- *Conventional Pulsers*
- *Solid-State Pulsers*
  - *Turn-on Pulser*
  - *Marx Modulator*
  - *Induction Modulator*



## Outline

- *For the study of pulsed power systems*
  - *Need to understand basics of transmission lines*
  - *Once we know the basics, we can follow simple rules to apply them*
- *If we just state the rules*
  - *It may sound like black magic and take away the intuition*
- *Therefore we derive the rules to help in understanding the basics of transmission lines*



## *Impedance Matching*

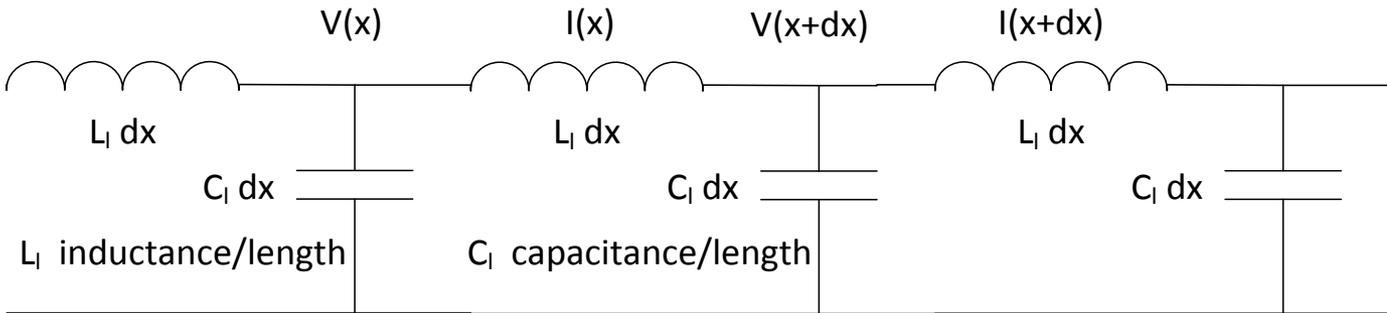
- *Power systems differ from low power electronics; it is expensive to produce high power signals*
  - *High voltages*
    - *Semiconductors (and other devices) must be able to withstand voltages across their terminals*
    - *Circuits must be rated to prevent breakdown*
  - *High currents*
    - *Circuit elements must be able to handle current*
  - *High power*
    - *Generated heat must be dissipated*
- *The system requirements give us the minimum power required at the load*
- *By properly designing our circuits, matching impedances, we can minimize the required system power, and therefore the cost and complexity of our systems*



## *Transmission Line Basics*

- *A transmission line is a “controlled impedance” device, usually consisting of two conductors.*
- *Its geometry and material properties determine the electric and magnetic field distributions between the conductors.*
  - *The voltage between the conductors is determined by the integral of the electric field between them.*
  - *The current along the conductors determines the integral of the magnetic field on the conductor surfaces (Ampere’s law).*
- *Transmission lines support the propagation of fixed velocity waves in both directions (forward and backward) along the line.*
- *Transmission lines guide transverse electro magnetic (TEM) waves, TE or TM waves are guided by waveguides*

# Transmission Line Equations



$$V(x + dx, t) - V(x, t) = -L_l dx \frac{\partial I(x, t)}{\partial t}$$

$$I(x + dx, t) - I(x, t) = -C_l dx \frac{\partial V(x, t)}{\partial t}$$

$$\frac{\partial V(x, t)}{\partial x} = -L_l \frac{\partial I(x, t)}{\partial t}; \quad \frac{\partial I(x, t)}{\partial x} = -C_l \frac{\partial V(x, t)}{\partial t}$$

$$\frac{\partial^2 V(x, t)}{\partial x^2} - L_l C_l \frac{\partial^2 V(x, t)}{\partial t^2} = 0$$

$$\frac{\partial^2 I(x, t)}{\partial x^2} - L_l C_l \frac{\partial^2 I(x, t)}{\partial t^2} = 0$$

## Transmission Line Equation

From the previous wave equations, one defines a velocity  $v = 1/\sqrt{L_l C_l}$  where  $L_l$  and  $C_l$  are the inductance per unit length and capacitance per unit length, respectively.

For a given length,  $l$ , of transmission line, the total inductances and capacitances are  $L = L_l l$  and  $C = C_l l$ , respectively, so that  $v = l/\sqrt{LC}$

The propagation time for the wave down the length  $l$  line is  $\tau = l/v = \sqrt{LC}$

Both of the wave equations solve the “Telegrapher’s Equation”

- General solution of the second order wave equation is a combination of two terms, both with velocity  $v = 1/\sqrt{L_l C_l}$

$$V(x, t) = V_+(x - vt) + V_-(x + vt)$$

- $V_+$  is a forward traveling wave
- $V_-$  is a backward traveling wave
- $V_+$  and  $V_-$  are determined by initial conditions

## Transmission Line Equation: Relation between $V$ and $I$

Change variables to  $\phi = x - vt$ ;  $\psi = x + vt$ .

Then for any function  $f(x - vt)$  and  $g(x + vt)$

Use the chain rule, recognizing that  $\frac{\partial \phi}{\partial x} = \frac{\partial \psi}{\partial x} = 1$ ;  $\frac{\partial \phi}{\partial t} = -\frac{\partial \psi}{\partial t} = -v$

$$\frac{\partial f(x - vt)}{\partial x} = \frac{df(\phi)}{d\phi}; \quad \frac{\partial g(x + vt)}{\partial x} = \frac{dg(\psi)}{d\psi}$$

$$\frac{\partial f(x - vt)}{\partial t} = -v \frac{df(\phi)}{d\phi}; \quad \frac{\partial g(x + vt)}{\partial t} = v \frac{dg(\psi)}{d\psi}$$

We can rewrite the two terms in the circuit equations

$$\frac{\partial V}{\partial x} = \frac{\partial V_+(x - vt)}{\partial x} + \frac{\partial V_-(x + vt)}{\partial x} = \frac{dV_+(\phi)}{d\phi} + \frac{dV_-(\psi)}{d\psi}$$

$$\frac{\partial I}{\partial t} = \frac{\partial I_+(x - vt)}{\partial t} + \frac{\partial I_-(x + vt)}{\partial t} = -v \frac{dI_+(\phi)}{d\phi} + v \frac{dI_-(\psi)}{d\psi}$$

## Transmission Line Equation

Therefore separating the circuit equation  $\frac{\partial V}{\partial x} = -L_l \frac{\partial I}{\partial t}$  into its two components means

$$\frac{dV_+(\phi)}{d\phi} = L_l v \frac{dI_+(\phi)}{d\phi}; \quad \frac{dV_-(\phi)}{d\phi} = -L_l v \frac{dI_-(\phi)}{d\phi}$$

Recalling that  $v = 1/\sqrt{L_l C_l}$ ,  $L_l v = \sqrt{L_l/C_l}$ , integrate to obtain

$$V_+(x - vt) = \sqrt{L_l/C_l} I_+(x - vt) = Z I_+(x - vt)$$

$$V_-(x + vt) = -\sqrt{L_l/C_l} I_-(x + vt) = -Z I_-(x + vt)$$

where we have defined  $Z \stackrel{\text{def}}{=} \sqrt{L_l/C_l}$

(The integration constant is zero for waves.)

This gives the definition of the transmission line impedance  $Z$  as the ratio of the voltage wave to the current wave (taking direction of travel into account)

## Coaxial Transmission Line

Capacitance/length (voltage between conductors)

$$C = \frac{Q}{Vl} = \frac{\lambda}{V} = \frac{\lambda}{-\int_b^a \vec{E} \cdot d\vec{x}} = \frac{\lambda}{\int_a^b \frac{\lambda}{2\pi r \epsilon} dr} = \frac{2\pi\epsilon}{\log(b/a)}$$

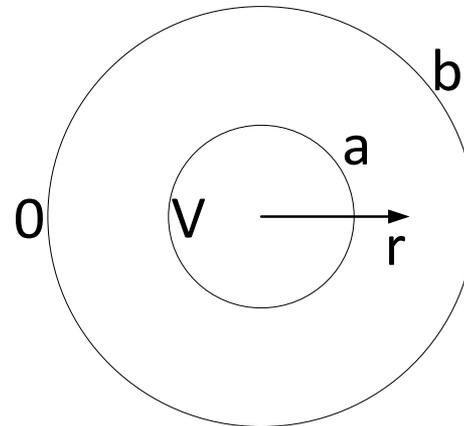
Inductance/length (flux between conductors)

$$L = \frac{1}{Il} \iint \vec{B} \cdot d\vec{s} = \frac{1}{Il} \int_0^l \int_a^b \frac{\mu I}{2\pi r} dr dl = \frac{\mu}{2\pi} \log(b/a)$$

$$\bullet Z = \sqrt{\frac{L}{C}} = \sqrt{\frac{Ll}{Cl}} = \sqrt{\frac{\mu}{\epsilon} \frac{1}{2\pi} \log\left(\frac{b}{a}\right)}$$

$$\bullet v = \frac{1}{\sqrt{LlCl}} = \frac{1}{\sqrt{\mu\epsilon}} = \frac{1}{\sqrt{LC}}$$

$$\bullet \tau = \sqrt{LC}$$



## Wave Equation from Fields

$$\vec{\nabla} \times \vec{E} = -\partial \vec{B} / \partial t; \quad \vec{\nabla} \times \vec{H} = \partial \vec{D} / \partial t$$

$$\vec{E} = \frac{\lambda e(z, t)}{2\pi\epsilon r} \hat{r}; \quad \vec{H} = \frac{I h(z, t)}{2\pi r} \hat{\theta}$$

$$\vec{\nabla} \times \vec{E} = \begin{vmatrix} \vec{r} & \vec{\theta} & \vec{z} \\ \partial/\partial r & 1/r \partial/\partial \theta & \partial/\partial z \\ E_r & rE_\theta & E_z \end{vmatrix} = \frac{\lambda}{2\pi\epsilon r} \frac{\partial e(z, t)}{\partial z} \hat{\theta}$$

$$\vec{\nabla} \times \vec{H} = -\frac{I}{2\pi r} \frac{\partial h(z, t)}{\partial z} \hat{r}$$

$$\frac{\partial e(z, t)}{\partial z} = -\frac{\epsilon\mu I}{\lambda} \frac{\partial h(z, t)}{\partial t}; \quad \frac{\partial h(z, t)}{\partial z} = -\frac{\lambda}{I} \frac{\partial e(z, t)}{\partial t}$$



## *Wave Equation from Fields*

*Differentiate w.r.t z and use second equation to get*

$$\frac{\partial^2 e(z, t)}{\partial z^2} - \mu\epsilon \frac{\partial^2 e(z, t)}{\partial t^2} = 0$$

$$\frac{\partial^2 h(z, t)}{\partial z^2} - \mu\epsilon \frac{\partial^2 h(z, t)}{\partial t^2} = 0$$

*This is the telegrapher's equation with*

$$v = 1/\sqrt{\mu\epsilon} = 1/\sqrt{\mu_r\mu_0\epsilon_r\epsilon_0} = c/\sqrt{\mu_r\epsilon_r}$$

## Transmission Line Boundary Conditions

- *Our wave equation has two solutions,  $V_+$ ,  $V_-$*
- *We are working with circuit equations, but with the proper identification with EM sources and fields we can use common conservation laws of physics to determine  $V_+$  and  $V_-$* 
  - $V \sim \vec{E}$
  - $I \sim \vec{H}$

## Energy in Transmission Line

The energy of the electromagnetic fields in a volume is

$$\begin{aligned}\mathcal{E} &= \frac{1}{2} \iiint (\vec{E} \cdot \vec{D} + \vec{B} \cdot \vec{H}) d^3x \\ &= \frac{1}{2} \iiint \left( \frac{\lambda}{2\pi\epsilon r} \frac{\lambda}{2\pi r} + \frac{\mu I}{2\pi r} \frac{I}{2\pi r} \right) r dr d\theta dz \\ &= \frac{1}{2} \frac{2\pi}{(2\pi)^2} l (\lambda^2 / \epsilon + \mu I^2) \int_a^b \frac{r}{r^2} dr \\ &= \frac{1}{2} \left[ (\lambda l)^2 \frac{1}{2\pi\epsilon l} \log(b/a) + \frac{\mu l}{2\pi} \log(b/a) I^2 \right]\end{aligned}$$

$$\mathcal{E} = 1/2 Q^2 / C + 1/2 LI^2 = 1/2 CV^2 + 1/2 LI^2$$



## *Energy in Transmission Line*

$$\begin{aligned}\mathcal{E} &= \frac{1}{2}CV^2 + \frac{1}{2}LI^2 = \frac{1}{2}\left[CV^2 + L\left(\frac{V}{Z}\right)^2\right] \\ &= \frac{1}{2}\left(CV^2 + \frac{L}{Z^2}V^2\right) = \frac{1}{2}\left(CV^2 + \frac{L}{L/C}V^2\right) = CV^2 = LI^2\end{aligned}$$

*In a wave, the EM energy is equally distributed.*

- Half of the energy is in the electric field.*
- Half is in the magnetic field.*

## Power and Momentum Flow

The power flow of fields is determined by the Poynting vector  $\vec{\mathbf{P}} = \vec{\mathbf{E}} \times \vec{\mathbf{H}}$ . For the coaxial line

$$\vec{\mathbf{P}} = \frac{\lambda}{2\pi\epsilon r} \hat{\mathbf{r}} \times \frac{I}{2\pi r} \hat{\boldsymbol{\theta}} = \frac{V}{r \log(b/a)} \frac{I}{2\pi r} \hat{\mathbf{z}}$$

Power flow along the line is

$$P = \int_S \vec{\mathbf{P}} \cdot d\vec{\mathbf{s}} = \frac{VI}{2\pi \log(b/a)} \int_0^{2\pi} d\theta \int_a^b \frac{dr}{r} = VI$$

The momentum of an EM field is  $\vec{\mathbf{p}} = \vec{\mathbf{P}}/c^2$  so the momentum flow is  $VI/c^2$  (with direction  $\pm$ )

## *Energy Stored in Charged Line*

*Two models of a charged transmission line*

1. *Transmission line statically charged to voltage  $V$*

$$\mathcal{E} = \frac{1}{2} (C_l d) V^2 \quad (C_l \text{ capacitance/length})$$

2. *Two co-moving waves on the transmission line*

$$(V = V_+ + V_-); \quad V_+ = V_- = V/2$$

$$\mathcal{E} = \frac{1}{2} [(C_l d) V_+^2 + (L_l d) I_+^2] + \frac{1}{2} [(C_l d) V_-^2 + (L_l d) I_-^2]$$

$$= [(C_l d) V_+^2 + (C_l d) V_-^2] = 2(C_l d) V_+^2$$

$$= 2(C_l d) \left(\frac{V}{2}\right)^2 = \frac{1}{2} (C_l d) V^2$$

*Calculated energy the same in both cases*



## *Momentum in Charged Line*

1. *Momentum of EM field on line of length  $d$  statically charged to voltage  $V$*

–  $(I = 0) \Rightarrow (P = 0) \Rightarrow (\vec{p} = 0)$

2. *Momentum of two co-moving waves*

– *Power  $V_+I_+$  propagating in positive direction*

– *Power  $V_-I_-$  propagating in negative direction*

– *Total momentum*

$$\vec{p}_T = \vec{p}_+ + \vec{p}_- = V_+I_+ - V_-I_-$$

$$= 1/Z[(V/2)^2 - (V/2)^2] = 0$$

• *Calculated momentum the same in both cases*

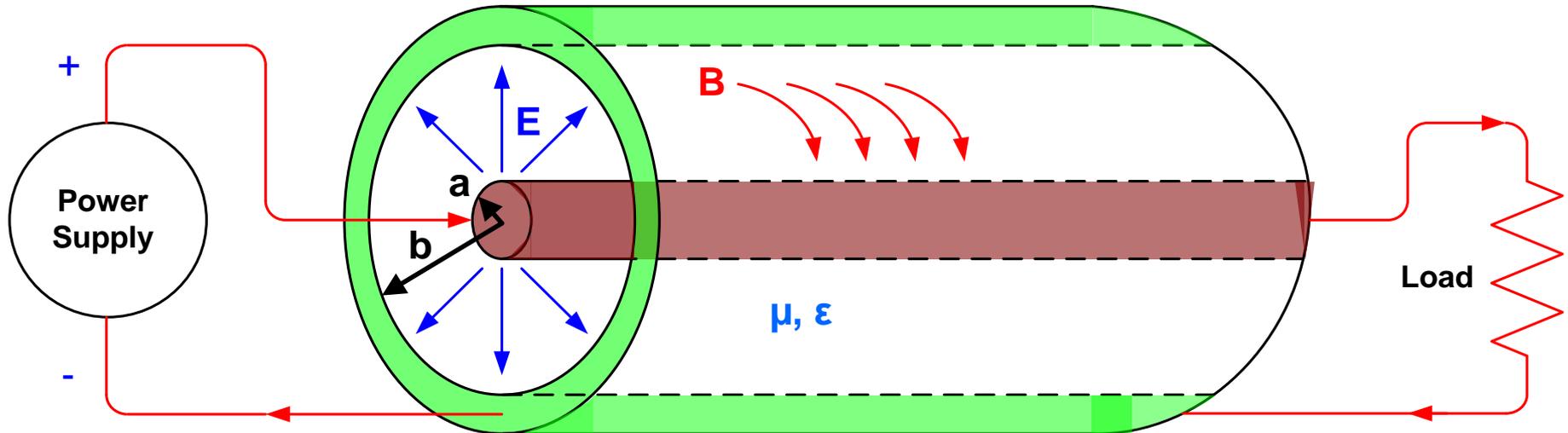


## *Transmission Line Types*

- *Coaxial transmission lines*
  - *Voltage between two coaxial conductors*
  - *Currents of equal magnitude and opposite sign are carried on the conductors*
  - *Conductors separated by air or dielectric*
  - *Transverse electromagnetic (TEM) transmission line media*
  - *Ideally non-dispersive (propagates all frequency components equally), with no cutoff frequency*
  - *No external electric or magnetic fields*

# Transmission Line Types

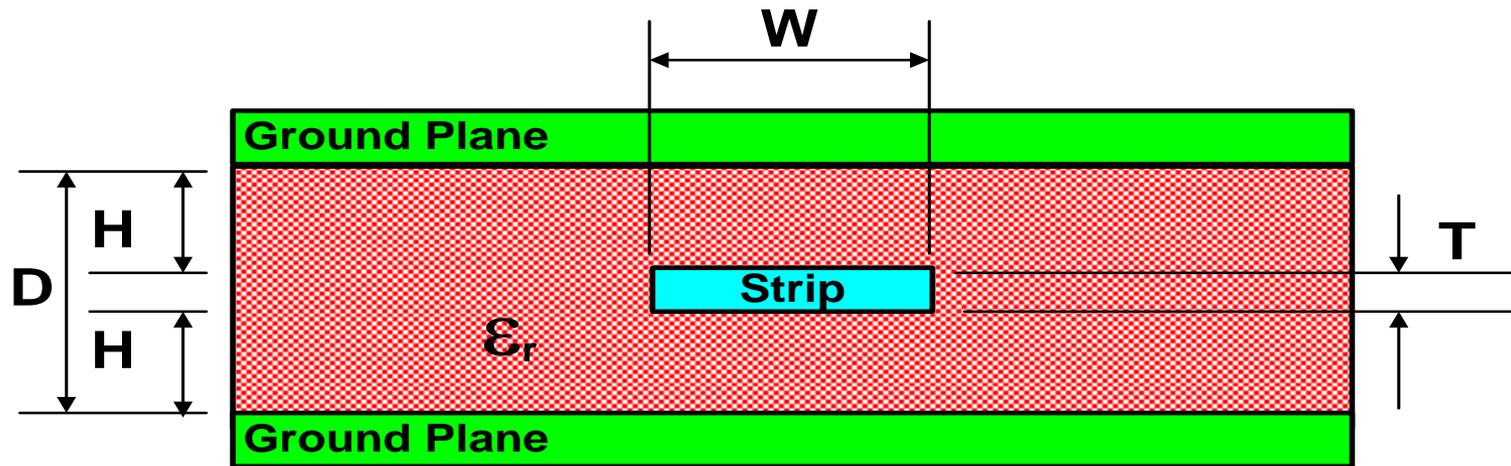
- *Coaxial transmission lines and cables*



$$Z_0 = \frac{\ln b/a}{2\pi} \sqrt{\frac{\mu}{\epsilon}}$$

## Transmission Line Types

- Planar transmission line - Stripline consists of a single strip buried in a dielectric separated from two or more ground planes

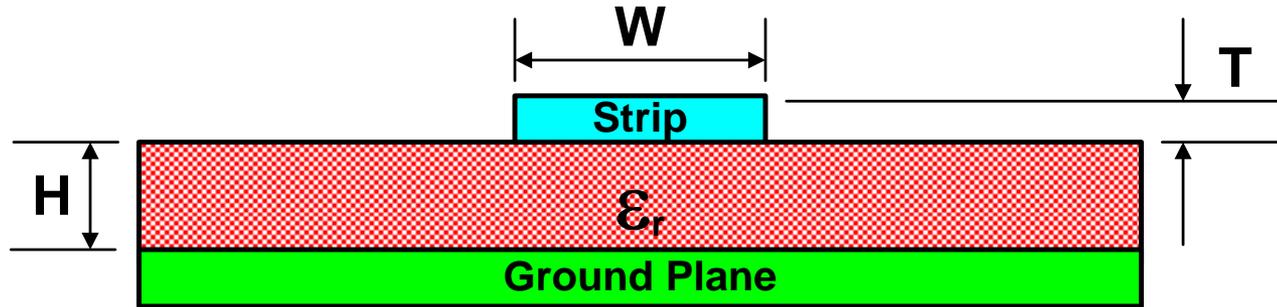


Characteristic Impedance

$$Z_0 = \frac{60}{\sqrt{\epsilon_r}} \ln \left[ \frac{4H}{0.67\pi W \left( 0.8 + \frac{T}{D} \right)} \right] \text{ ohms}$$

## Transmission Line Types

- Planar transmission line - Microstrip line consists of a single strip on dielectric separated from a ground plane



when  $\left(\frac{W}{H}\right) < 1$

Effective Dielectric Constant  $\epsilon_e = \frac{\epsilon_r + 1}{2} + \frac{\epsilon_r - 1}{2} \left[ \left(1 + 12 \left(\frac{H}{W}\right)\right)^{-1/2} + 0.04 \left(1 - \left(\frac{W}{H}\right)\right)^2 \right]$

Characteristic Impedance  $Z_o = \frac{60}{\sqrt{\epsilon_e}} \ln \left( 8 \frac{H}{W} + 0.25 \frac{W}{H} \right)$  ohms

when  $\left(\frac{W}{H}\right) \geq 1$

Effective Dielectric Constant  $\epsilon_e = \frac{\epsilon_r + 1}{2} + \frac{\epsilon_r - 1}{2} \left[ \left(1 + 12 \left(\frac{H}{W}\right)\right)^{-1/2} \right]$

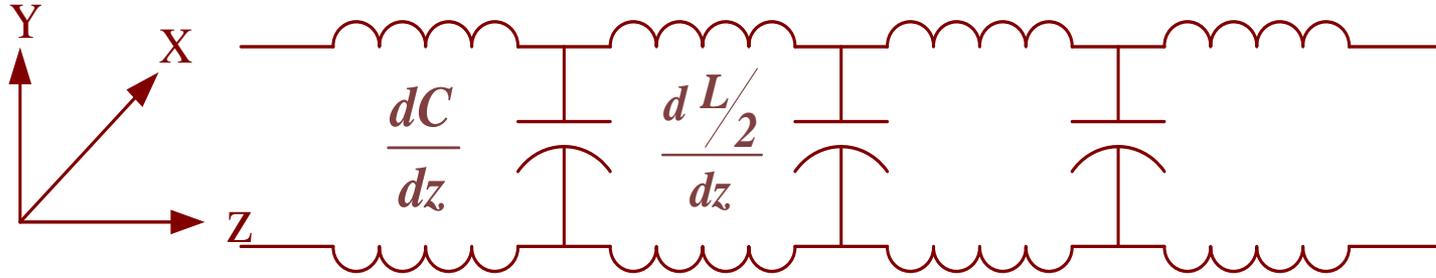
Characteristic Impedance  $Z_o = \frac{120\pi}{\sqrt{\epsilon_e} \left[ \frac{W}{H} + 1.393 + \frac{2}{3} \ln \left( \frac{W}{H} + 1.444 \right) \right]}$  ohms



## *Transmission Line Types*

- *Lumped element transmission lines*
  - *Combination of series inductors, shunt capacitors*
  - *Single inductor-capacitor combination is a resonant circuit*
  - *Series of an infinite combination of series  $L$ , shunt  $C$  turns into an ideal transmission line*
  - *Electric fields of lines stored in capacitors*
  - *Magnetic fields of lines stored in series inductors*

# Lumped Element Transmission Lines

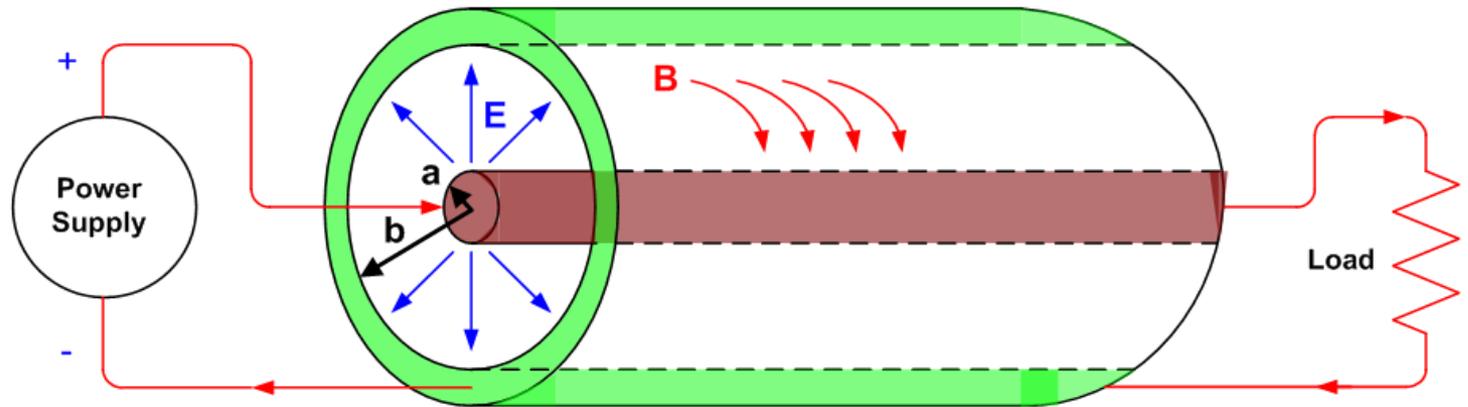


$E = \hat{y}E_y \quad H = \hat{x}H_x \quad Z_0 = \sqrt{\frac{L}{C}}$  Characteristic impedance - 377 ohms for air (free space)

for air (and most dielectrics)  $\mu_r = 1$ , for air  $\epsilon_r = 1$  (most other dielectrics  $\epsilon_r > 1$ ,  $n =$  number of sections

$Z_0 = \frac{\ln b/a}{2\pi} \sqrt{\frac{\mu}{\epsilon}}$  For coaxial line,  $50\Omega \leq Z_0 \leq 80\Omega$

$v = \frac{1}{\sqrt{\mu_0\mu_r\epsilon_0\epsilon_r}} =$  wave velocity wavelength  $\lambda = \frac{v}{f}$  time delay  $= t_d = n * \sqrt{LC}$





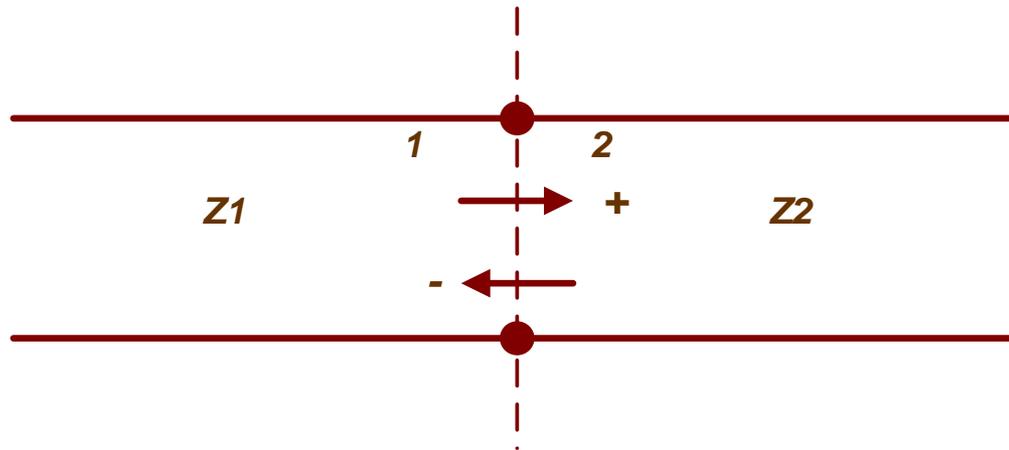
## *Transmission Line Boundary Conditions*

- *Join two transmission lines together*
  - *If the impedances of both lines are the same, the electric and magnetic fields (voltage and current) can propagate without interruption.*
  - *If not, the boundary conditions on the fields force a reflection of part of the signal*

## Transmission Line Equations at an Interface

The general situation at an interface between two transmission lines of impedance  $Z_1$  and  $Z_2$  is

- A source generates an incident voltage and current,  $(V_1^+, I_1^+)$  moving forward on Line 1, with  $V_1^+ = Z_1 I_1^+$
- $(V_1^+, I_1^+)$  at the interface causes a transmitted voltage and current,  $(V_2^+, I_2^+)$ , moving forward on Line 2, with  $V_2^+ = Z_2 I_2^+$
- $(V_1^+, I_1^+)$  at the interface might also cause a reflected voltage and current,  $(V_1^-, I_1^-)$ , moving backward on Line 1, with  $V_1^- = Z_1 I_1^-$



## Transmission Line Equations at an Interface

The voltages on each side of the interface must be equal

$$V_1^+ + V_1^- = V_2^+$$

The current must be conserved at the interface

$$I_1^+ = I_1^- + I_2^+$$

Express this in terms of the voltages and impedances

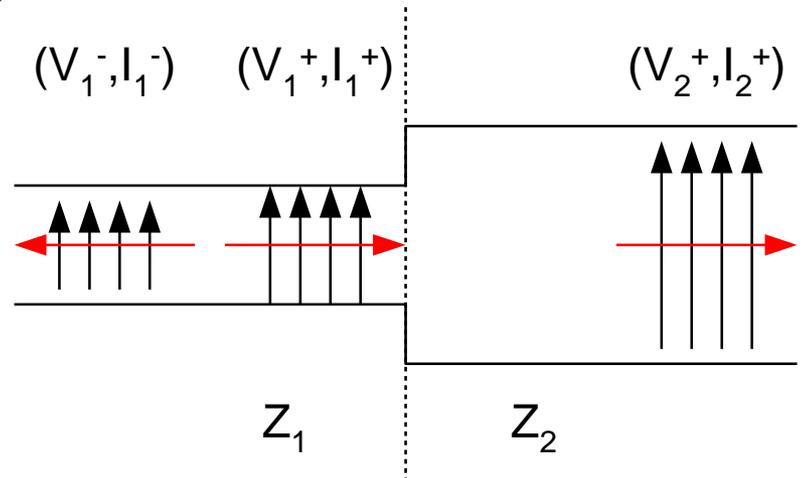
$$\frac{V_1^+}{Z_1} = \frac{V_1^-}{Z_1} + \frac{V_2^+}{Z_2} = \frac{V_1^-}{Z_1} + \frac{V_1^+ + V_1^-}{Z_2}$$

Solve for the Reflection Coefficient,  $\Gamma = V_1^- / V_1^+$

$$\Gamma = \frac{V_1^-}{V_1^+} = \frac{1/Z_1 - 1/Z_2}{1/Z_1 + 1/Z_2} = \frac{Z_2 - Z_1}{Z_2 + Z_1}$$

The Transmission Coefficient,  $T$  is defined as

$$\begin{aligned} T &= \frac{V_2^+}{V_1^+} = \frac{V_1^+ + V_1^-}{V_1^+} = 1 + \Gamma \\ &= \frac{Z_2^+ + Z_1^+ + Z_2^+ - Z_1^+}{Z_2^+ + Z_1^+} \\ &= \frac{2Z_2^+}{Z_2^+ + Z_1^+} \end{aligned}$$



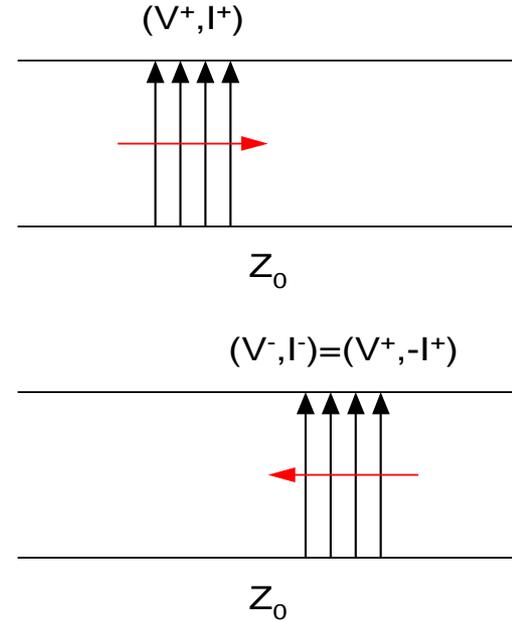
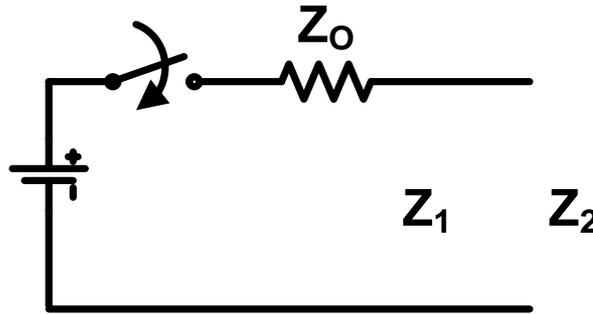
*The flow of energy, power, is conserved at the interface  
(assume all voltages and impedances are real)*

$$P_{IN} = V_1^+ I_1^+ = \frac{(V_1^+)^2}{Z_1}$$
$$P_{REV} = \frac{(\Gamma V_1^+)^2}{Z_1} = \frac{(Z_2 - Z_1)^2 (V_1^+)^2}{(Z_2 + Z_1)^2 Z_1}$$
$$P_T = \frac{(TV_1^+)^2}{Z_2} = \frac{1}{Z_2} \frac{4Z_2^2}{(Z_2 + Z_1)^2} \frac{Z_1}{Z_1} (V_1^+)^2$$

# Transmission Line Simple Examples

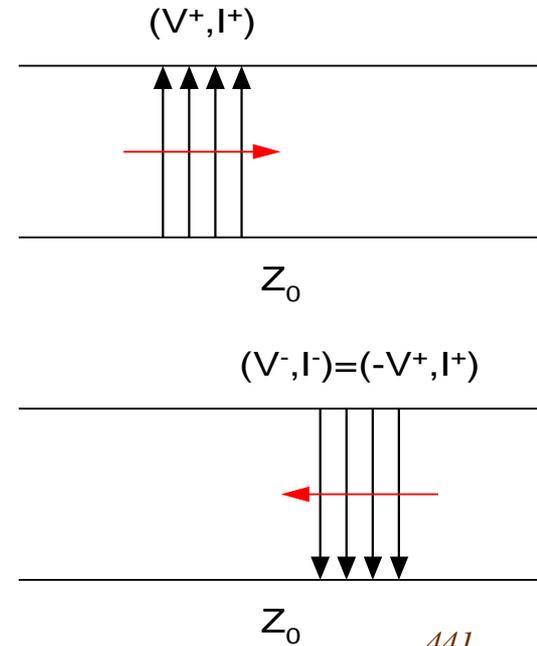
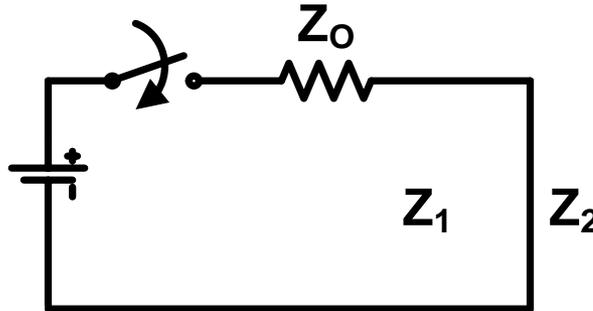
## Open Line

- $Z_1 = Z_0; Z_2 = \infty$
- $\Gamma = 1$
- $I_2 = 0$
- *Voltage totally reflected without inversion*



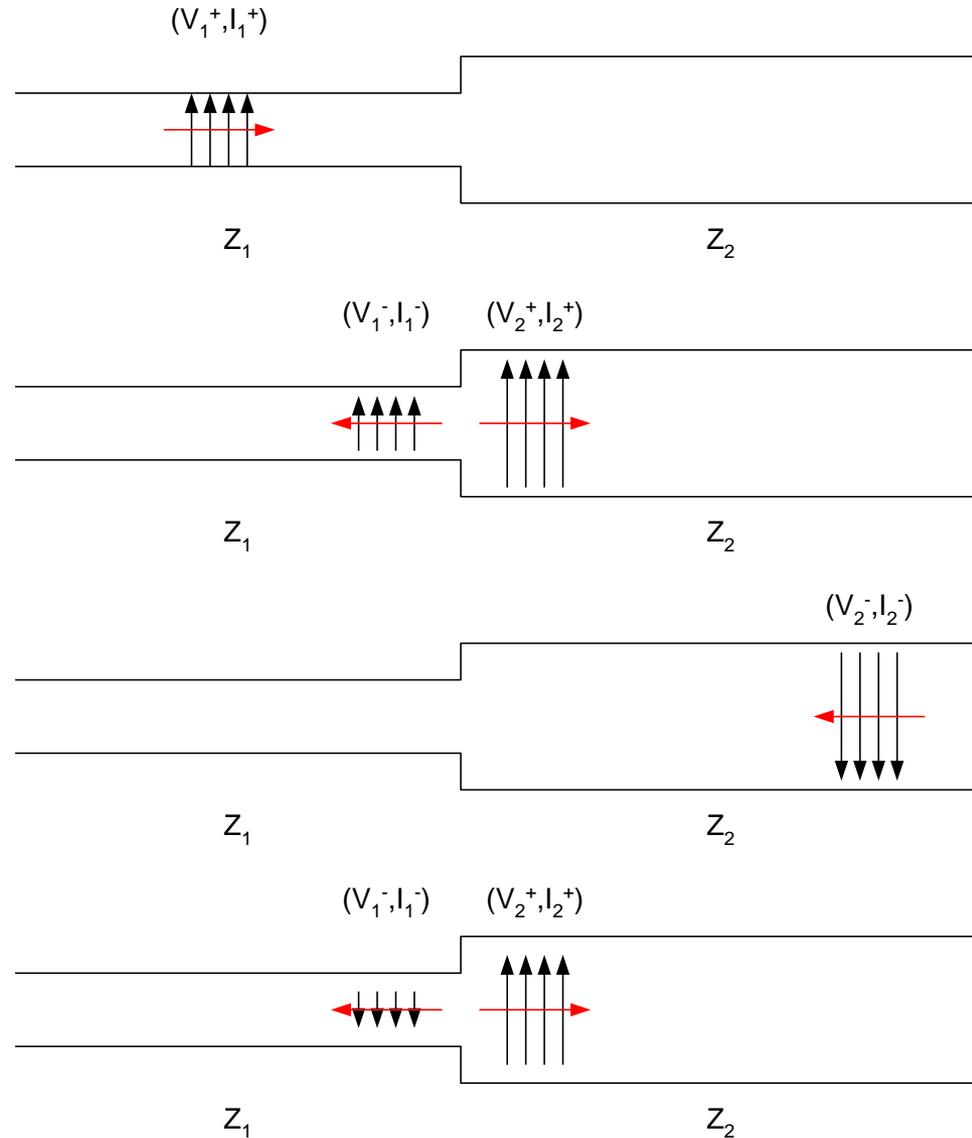
## Shorted Line

- $Z_1 = Z_0; Z_2 = 0$
- $\Gamma = -1$
- $V_2 = 0$
- *Voltage totally reflected with inversion*



## Transmission Line More Complicated Example

- *Pulse sent down line on controlled impedance*
- *First interface is with higher impedance device ( $Z_2 > Z_1$ )*
  - *Transmitted pulse*
  - *Reflected pulse*
- *Transmitted pulse reflects off short*
- *Reflected transmitted pulse reaches first interface*
  - *Transmitted pulse down original line*
  - *Reflected pulse on second line*

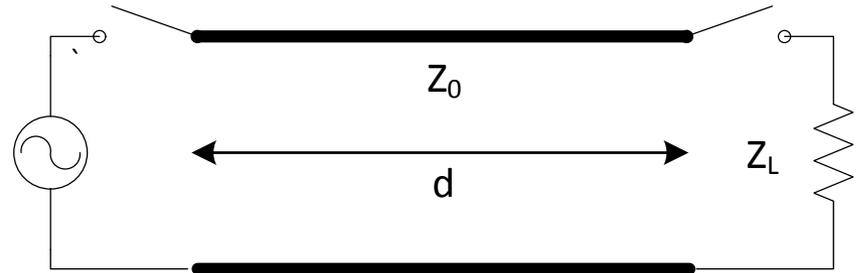


## Discharging a Pulse Forming Network

*Now apply this to a PFN*

- *Charge the PFN to  $V$*
- *Open charging switch*
- *Close discharge switch*
  - *Energy, momentum conserved*
  - *$V_+, V_-$  waves with  $V_+ = V_- = V/2$*
  - *Duration of pulse is time for a full round trip*

$$\tau = \frac{2d}{v} = 2d\sqrt{LC}$$



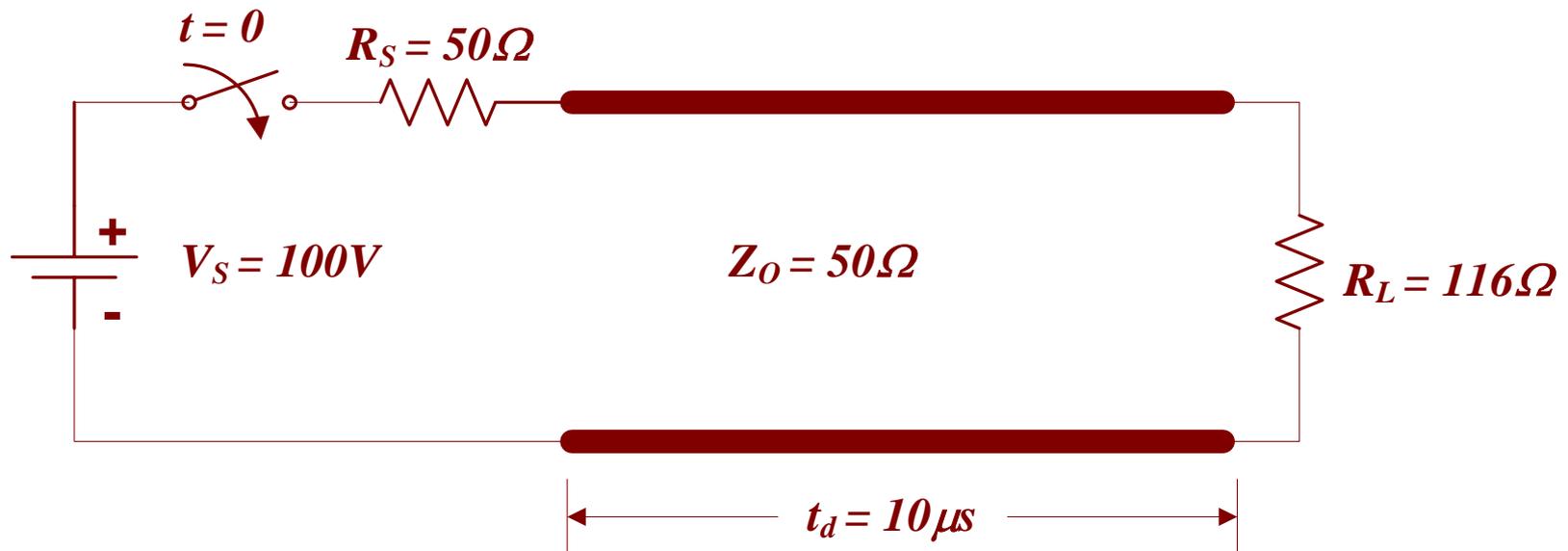


## *Transmission Line Homework Problem #13*

- A. A transmission line can be formed using lumped  $L$ s and  $C$ s. Calculate the delay of a line composed of 8 sections of inductances  $L=4\text{mH}$  per section and capacitance  $C=40\text{pF}$  per section.*
- B. The frequency of a signal applied to a two-wire transmission cable is  $3\text{GHz}$ . What is the signal wavelength if the cable dielectric is air? Hint – relative permittivity of air is 1*
- C. What is the signal wavelength if the cable dielectric has a relative permittivity of 3.6?*

## Transmission Line Homework Problem #14

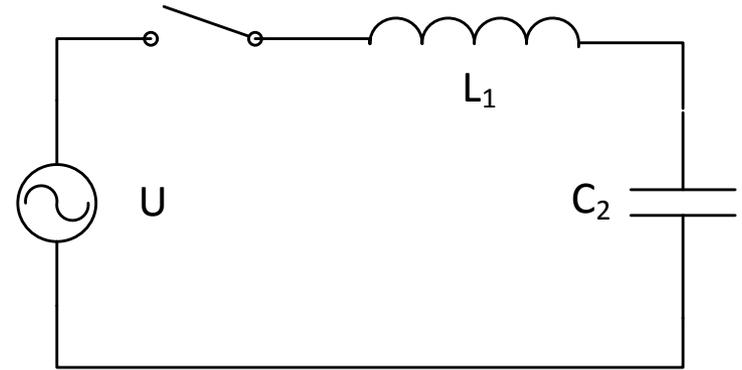
For the transmission line shown below, calculate the Reflection Coefficient  $\Gamma$ , the reflected voltage and the voltage and current along the line versus time.



## Resonant Charging

$$\text{KVL: } U = L_1 \frac{di_1}{dt} + v_2$$

$$\text{KCL: } i_1 = C_2 \frac{dv_2}{dt}$$



$$\begin{pmatrix} \dot{i}_1 \\ \dot{v}_2 \end{pmatrix} = \begin{pmatrix} 0 & -1/L_1 \\ 1/C_2 & 0 \end{pmatrix} \begin{pmatrix} i_1 \\ v_2 \end{pmatrix} + \begin{pmatrix} 1/L_1 \\ 0 \end{pmatrix} u$$

$$s \begin{pmatrix} I_1 \\ V_2 \end{pmatrix} - \begin{pmatrix} i_1(0) \\ v_2(0) \end{pmatrix} = A \begin{pmatrix} I_1 \\ V_2 \end{pmatrix} + BU$$

$$\text{where } A = \begin{pmatrix} 0 & -1/L_1 \\ 1/C_2 & 0 \end{pmatrix} \text{ and } B = \begin{pmatrix} 1/L_1 \\ 0 \end{pmatrix}$$

## Resonant Charging

*In matrix notation*

$$(sI - A)X = x(0) + BU$$

$$X = (sI - A)^{-1}x(0) + (sI - A)^{-1}BU$$

$$(sI - A)^{-1} = \begin{pmatrix} s & 1/L_1 \\ -1/C_2 & s \end{pmatrix}^{-1} = \frac{1}{s^2 + 1/L_1 C_2} \begin{pmatrix} s & -1/L_1 \\ 1/C_2 & s \end{pmatrix}$$

$$\begin{pmatrix} I_1 \\ V_2 \end{pmatrix} = \frac{1}{s^2 + \omega^2} \begin{pmatrix} s & -1/L_1 \\ 1/C_2 & s \end{pmatrix} \left[ \begin{pmatrix} i_{10} \\ v_{20} \end{pmatrix} + \begin{pmatrix} U_0/L_1 s \\ 0 \end{pmatrix} \right]$$

where  $U(s) = U_0/s$  and  $\omega^2 = 1/L_1 C_2$ .

## Resonant Charging

Assume initial values of  $(i_{10}, v_{20}) = (0,0)$ , then

$$\begin{pmatrix} I_1 \\ V_2 \end{pmatrix} = \frac{1}{s^2 + \omega_0^2} \begin{pmatrix} 1/L_1 \\ \omega_0^2/s \end{pmatrix} U_0$$

$$I_1 = \frac{1}{\omega_0 L_1} \frac{U_0 \omega_0}{s^2 + \omega_0^2} \Rightarrow i_1(t) = U_0 \sqrt{\frac{C_2}{L_1}} \sin(\omega_0 t)$$

$$V_2 = \frac{1}{s} \frac{U_0 \omega_0^2}{s^2 + \omega_0^2} = \left( \frac{1}{s} - \frac{s}{s^2 + \omega_0^2} \right) U_0$$

$$\Rightarrow v_2(t) = (1 - \cos \omega_0 t) U_0$$

At time  $t = \pi/\omega_0$ ,  $\cos(\omega_0 \pi/\omega_0) = -1$

Voltage doubles,  $v_2(\pi/\omega_0) = 2U_0$

– Use diode to prevent circuit ringing down

## Resonant Charging Intuition

- *Second order undamped system implies oscillation*
  - *Resonant frequency  $\omega_0 = 1/\sqrt{LC}$*
  - *Voltage and current across each reactive element  $\pi/2$  out of phase  $\Rightarrow$   $\sin \omega_0 t$ ,  $\cos \omega_0 t$*
  - *Step change of current across inductor requires infinite voltage  $\Rightarrow$   $i(t) = I_0 \sin \omega_0 t$ ;  $v_C(t) = V_0 \cos \omega_0 t$*
  - *Energy oscillates between inductor and capacitor  $\Rightarrow 1/2 LI_0^2 = 1/2 CV_0^2 \Rightarrow V_0 = \sqrt{L/C}I_0$*
- *Output oscillates about “steady state” value ( $U_0$ )*
  - *Starts at  $v_C(0) = 0$*
  - *Maximum value  $v_C(\pi/\omega_0) = 2U_0$*

## Resonant Charging from Capacitor

*Two capacitors now in series*

$$C_S = C_2 C_3 / (C_2 + C_3)$$

$$\omega_0 = 1 / \sqrt{L_1 C_S}$$

$$Z = \sqrt{L_1 / C_S}$$

*Initial conditions*

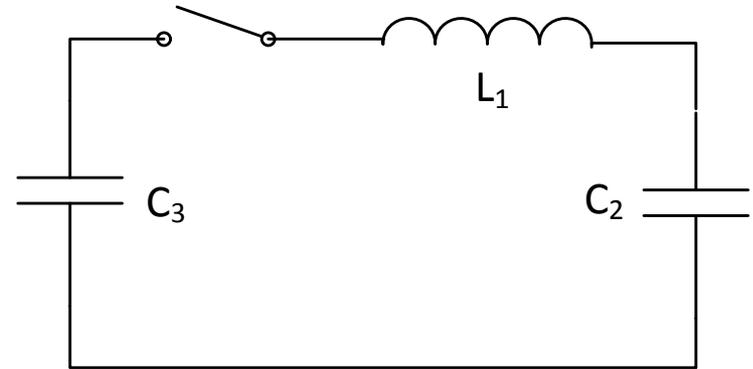
$$v_3(0) = U_0, i_1(0) = v_2(0) = 0$$

*There are several ways to calculate the final voltage on  $C_2$ .*

*1) Integrate the current through  $L_1$  for the time  $(0, \pi / \omega_0)$*

$$Q_2 = \int_0^{\pi / \omega_0} i_1(t) dt = U_0 \sqrt{\frac{C_S}{L_1}} \int_0^{\pi / \omega_0} \sin \omega_0 t dt = U_0 \sqrt{\frac{C_S}{L_1}} \sqrt{L_1 C_S} 2$$

$$= 2 C_S U_0 \Rightarrow v_2 \left( \frac{\pi}{\omega_0} \right) = 2 \frac{C_S}{C_2} U_0 = 2 \frac{C_3}{C_3 + C_2} U_0$$



## Resonant Charging from Capacitor

2) Find the charge transfer necessary to change the voltage across the series capacitors from  $U_0$  to  $-U_0$ .

$$q_{30} = C_3 U_0$$

$$q_{3f}/C_3 - q_{2f}/C_2 = (q_{30} - q_{2f})/C_3 - q_{2f}/C_2 = -q_{30}/C_3 = -U_0$$

$$\left(\frac{1}{C_2} + \frac{1}{C_3}\right) q_{2f} = \frac{C_3 + C_2}{C_3 C_2} q_{2f} = \frac{2}{C_3} q_{30} \Rightarrow q_{2f} = \frac{2C_2}{C_3 + C_2} q_{30}$$

$$\Rightarrow v_{2f} = [2C_3/(C_3 + C_2)] \cdot U_0; \quad v_{3f} = [(C_3 - C_2)/(C_3 + C_2)] \cdot U_0$$

3) Use conservation of energy and charge to find circuit equations

$$\mathcal{E}_T = \mathcal{E}_0 = q_{30}^2/(2C_3); \quad q_T = q_2 + q_3 = q_{30} = q_{2f} + q_{3f}$$

$$q_{3f}^2/(2C_3) + q_{2f}^2/(2C_2) = q_{30}^2/(2C_3)$$

$$(q_{30}^2 - q_{3f}^2)/(2C_3) = (q_{30} + q_{3f}) \cdot (q_{30} - q_{3f})/(2C_3)$$

$$= (q_{30} + q_{3f}) \cdot q_{2f}/(2C_3) = q_{2f}^2/(2C_2)$$

$$q_{2f} = (q_{30} + q_{3f})(C_2/C_3) = (2q_{30} - q_{2f})(C_2/C_3)$$

$$q_{2f} = [2C_2/(C_3 + C_2)] \cdot q_{30} \Rightarrow v_{2f} = [2C_3/(C_3 + C_2)] \cdot U_0;$$

## Conventional Pulsers - The Pulse Forming Network (PFN)

*Flatness is directly proportional to the number of LC meshes*

*Rise-time is determined by the LC of the mesh closest to the load*

*Pulse width  $T$  is twice the one way transit time  $t$  of the wave in the PFN*

*The one-way transit time is*

$$t = n * \sqrt{L * C}$$

*and the pulse width  $T$  is*

$$T = 2 * n * \sqrt{L * C}$$

*The load impedance and pulse width are usually specified. From these two parameters the PFN LC can be specified. The nominal  $L$  and  $C$  in each mesh is the total  $L$  and  $C$  divided by the number of meshes.*

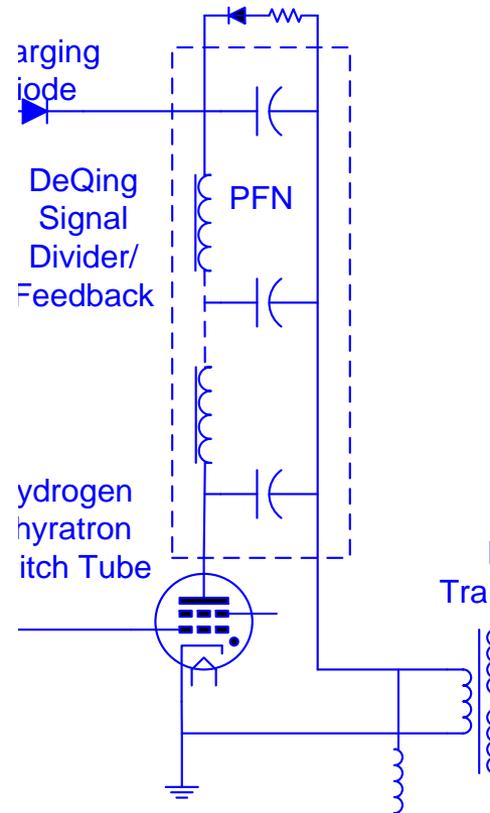
$$Z = \sqrt{\frac{L}{C}}$$

$$T = 2 * Z * C$$

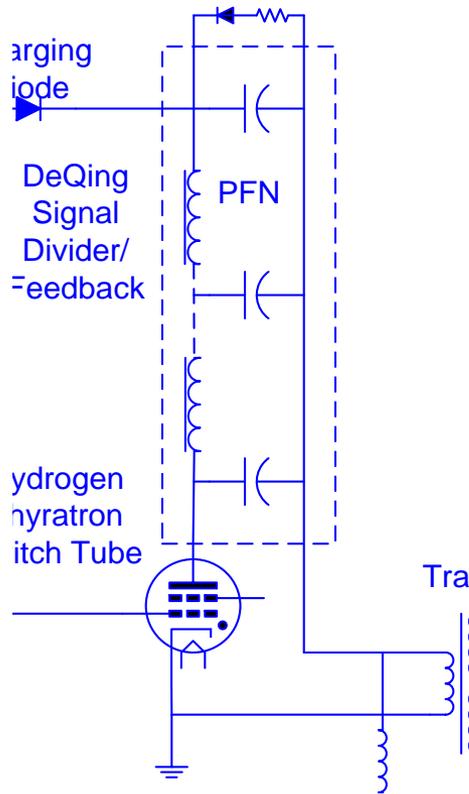
$$C = \frac{T}{2 * Z}$$

$$L = \frac{T * Z}{2}$$

*Since the PFN impedance is matched to the load impedance, all the PFN stored energy is dissipated in the load*



## Conventional Pulsers - The Pulse Forming Network (PFN)



The PFN is typically tuned to the impedance of the load in order to reduce voltage and current reflections. The effective output voltage at the load obeys the voltage divider law and is effectively

$$V_{load} = V_{pfn} * \frac{Z_{load}}{Z_{load} + Z_{pfn}}$$

$$V_{pfn} = V_{load} * \frac{Z_{load} + Z_{pfn}}{Z_{load}}$$

Because typically the PFN has the same impedance as the load,

$$V_{pfn} = 2 * V_{load}$$

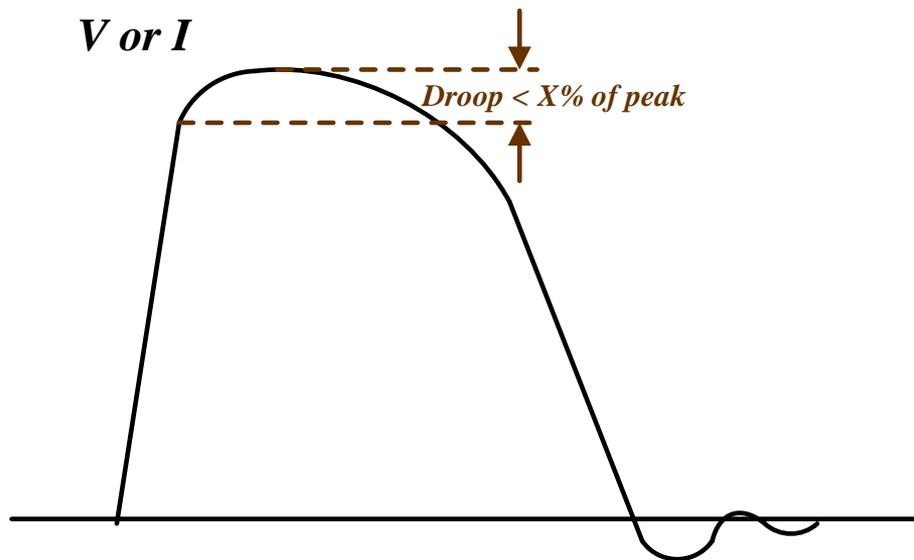
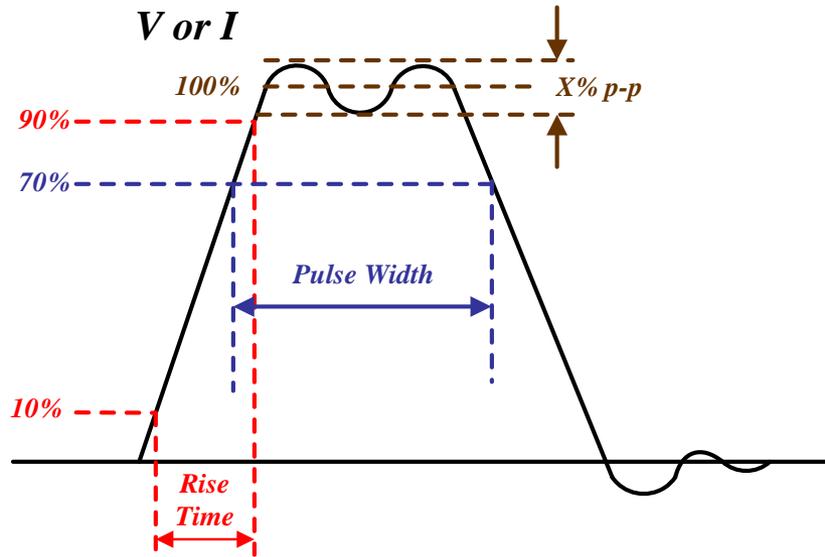
Therefore the PFN must be charged to twice the desired load voltage.

## *Conventional Pulsers - Transmission Line PFN*

- *Open transmission lines are often used for Pulse Forming Networks (PFNs)*
  - *They are typically charged up from a high impedance source*
  - *Their open end is connected to a normally open switch that closes to connect the PFN to the load*
- *This situation can be viewed as a traveling wave reflecting back and forth off of two open ends*
  - *Total voltage on the line is the sum of the incident and reflected waves ( $V_{PFN} = 2V_{LOAD}$ )*
  - *Pulse has length  $2l/v$ , since the tail of the pulse must reflect off of the other open end before it reaches the load*

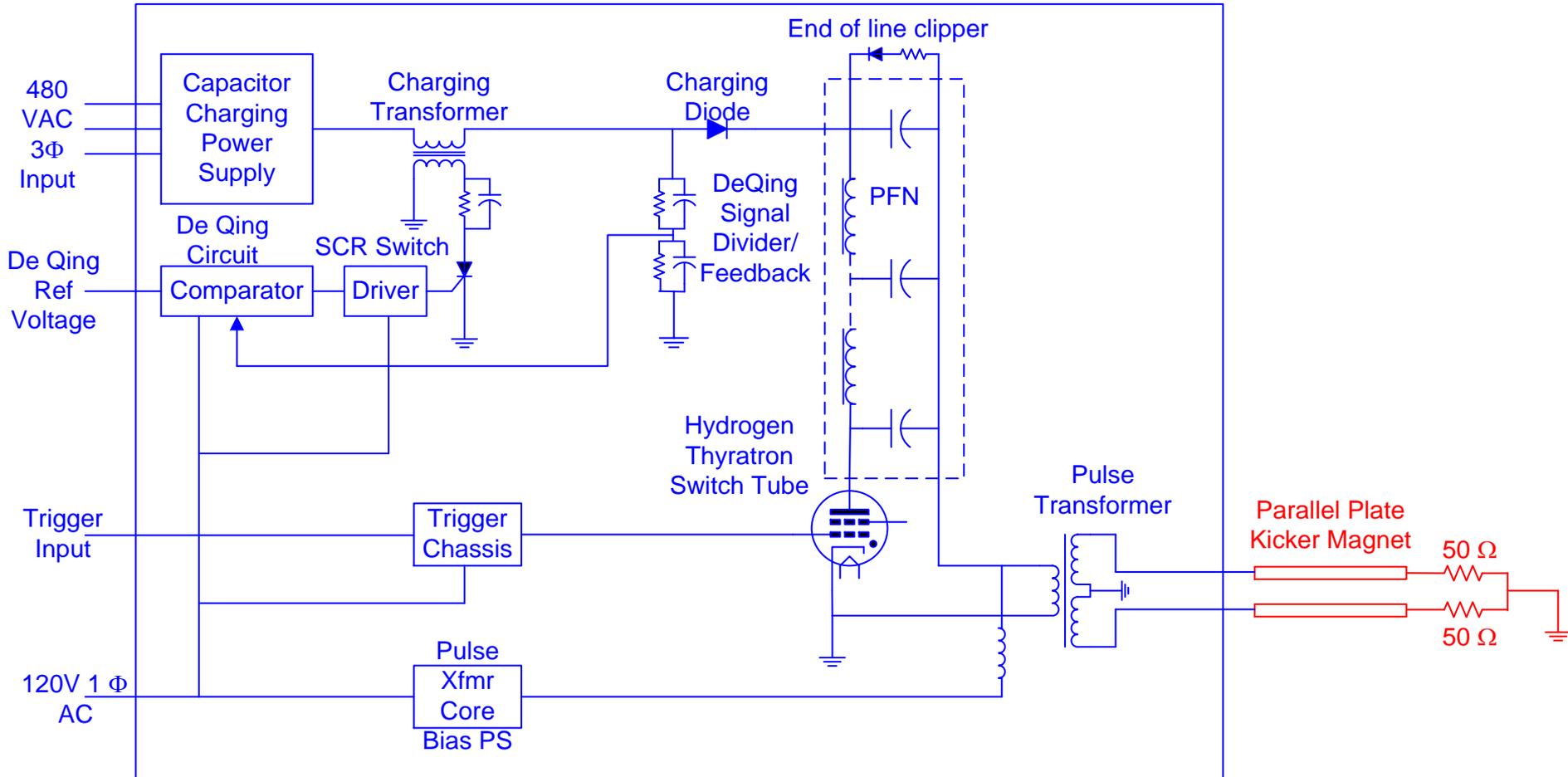
*Note:  $l$  = the length of the open transmission line and  $v$  = wave velocity*

# Conventional Pulsers - The Pulse Forming Network (PFN)



# Conventional Thyatron Pulsar - PFN

## Kicker Pulsar



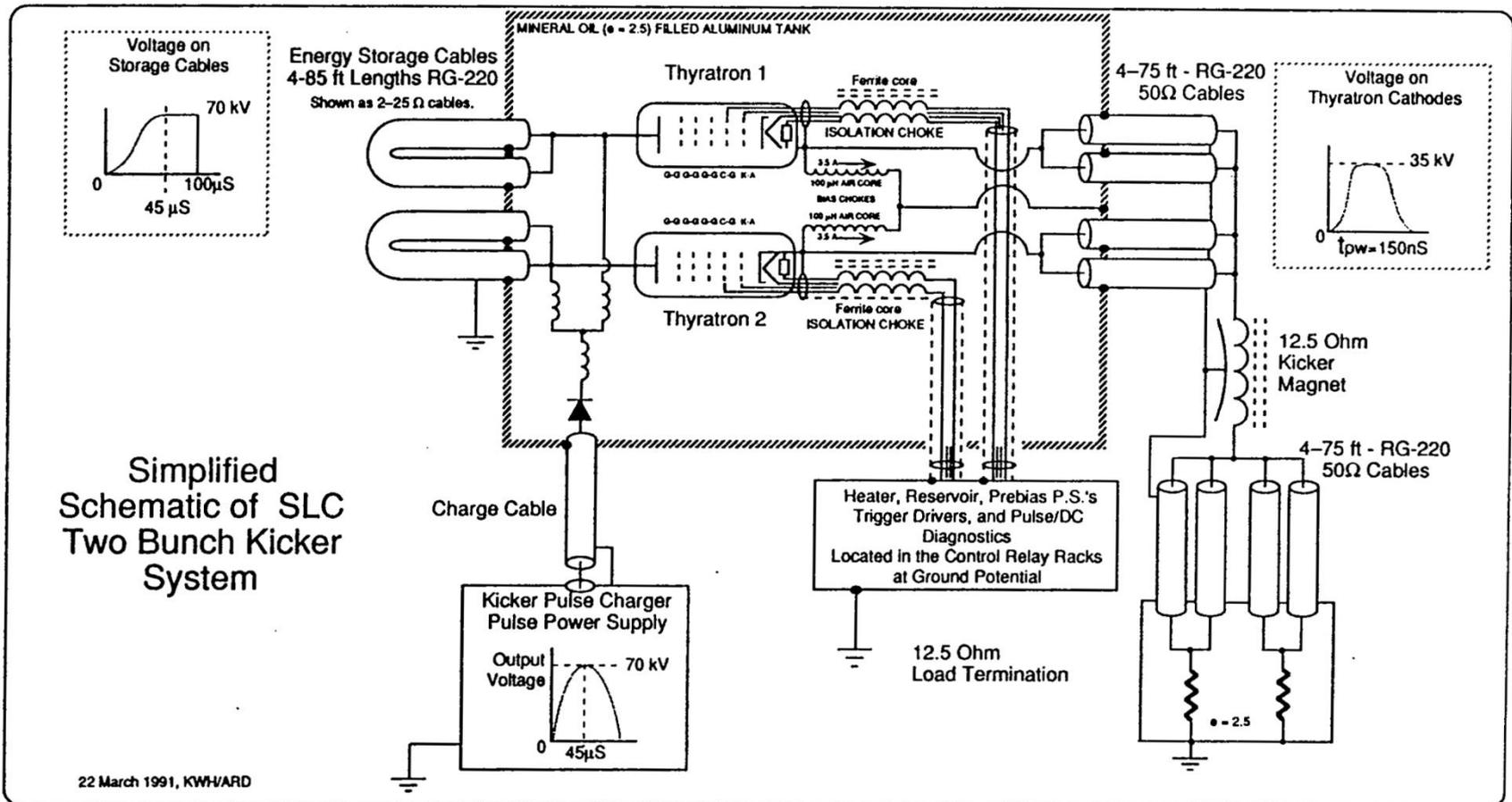


## *Conventional Pulsers - Kicker or Fast Modulator*

- *Improve the rise time of modulator pulse using Cable PFN*
- *In line Switch with PFN*
- *Blumline with Shunt Switch*

# Conventional Pulsers - Kicker Modulator

- *Conventional Inline Kicker Modulator*
- *Thyratron for switches*
- *Improve the rise time of modulator pulse using Cable PFN*



## ◀ *Conventional Pulsers - Why We Use a Pulsed Modulator to Drive a Klystron*

$$\text{Klystron perveance} = P = \frac{I_{\text{klystron}}}{(V_{\text{beam voltage}})^{3/2}}$$

*The perveance of 5045 klystron is 2 micropervs*

*The peak RF power from a 5045 is 65MW, the beam voltage is 350kV*

$$I_{\text{klystron}} = P * (V_{\text{beam voltage}})^{3/2} = 2 * 10^{-6} * (350\text{kV})^{3/2} = 414\text{A}$$

$$\begin{aligned} \text{The power needed to achieve 65MW of RF} &= V_{\text{beam voltage}} * I_{\text{klystron}} \\ &= 350\text{kV} * 414\text{A} = 144.0\text{MW}! \end{aligned}$$

*Pulsed power is the right approach*

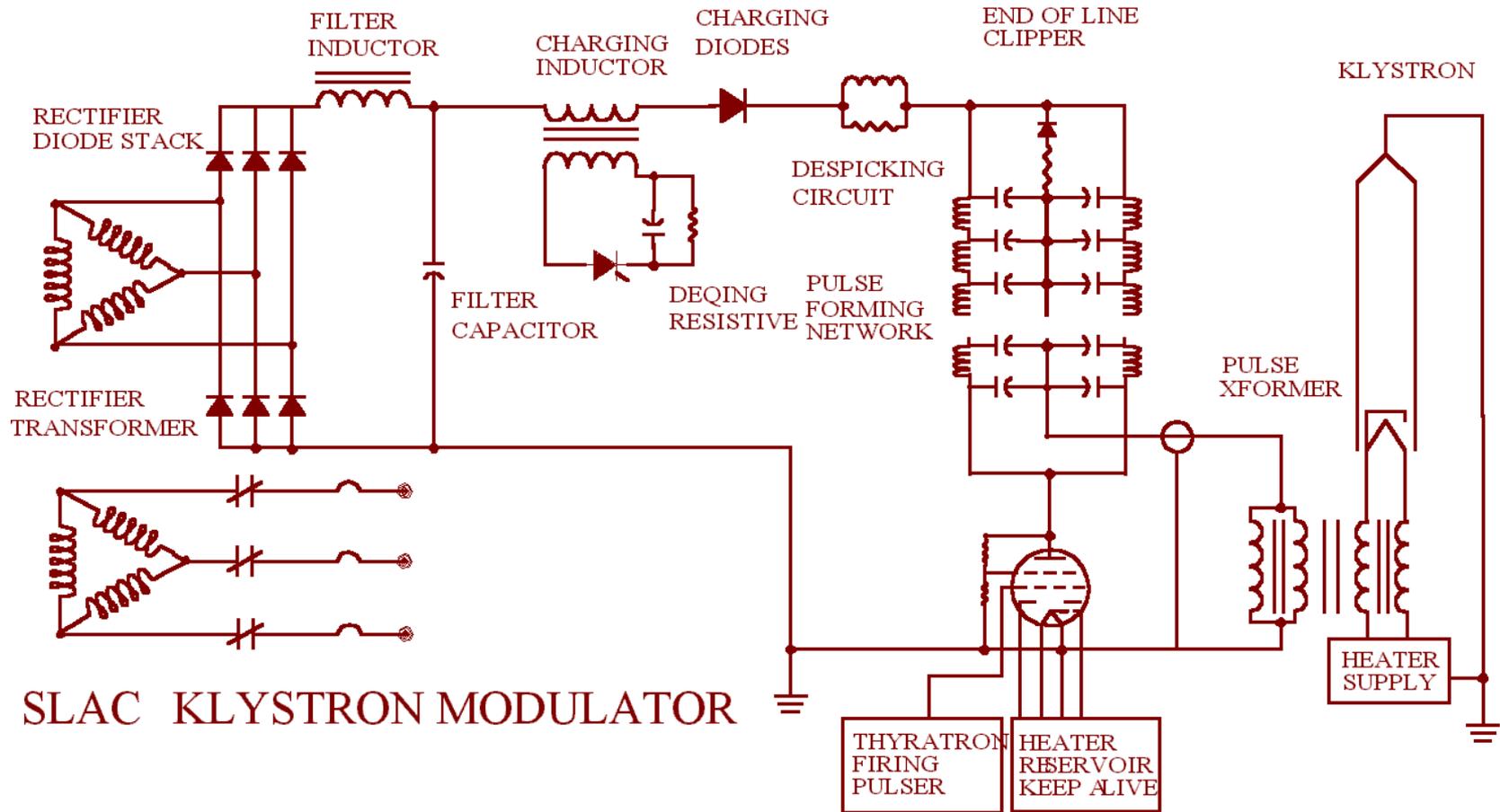
*Smaller power source*

*Less cooling required (klystron efficiency is 45%)*

*Average power = peak power \* duty cycle (on-time \* PRR)*

*Average power = 144.9MW \* 5μs \* 60Hz = 42.4kW much lower power*

# Conventional Pulsers - Present Klystron Modulator Power Supply

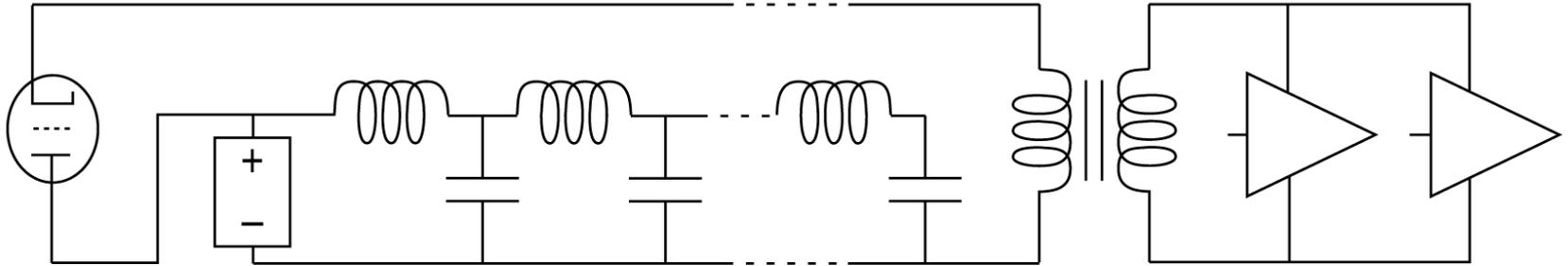


- *Primary VVT, with diode rectifier*
- *High voltage secondary with diodes and filter capacitor*
- *Protected against secondary faults*

# Conventional Pulsers - Klystron Modulator with PFN

Thyratron

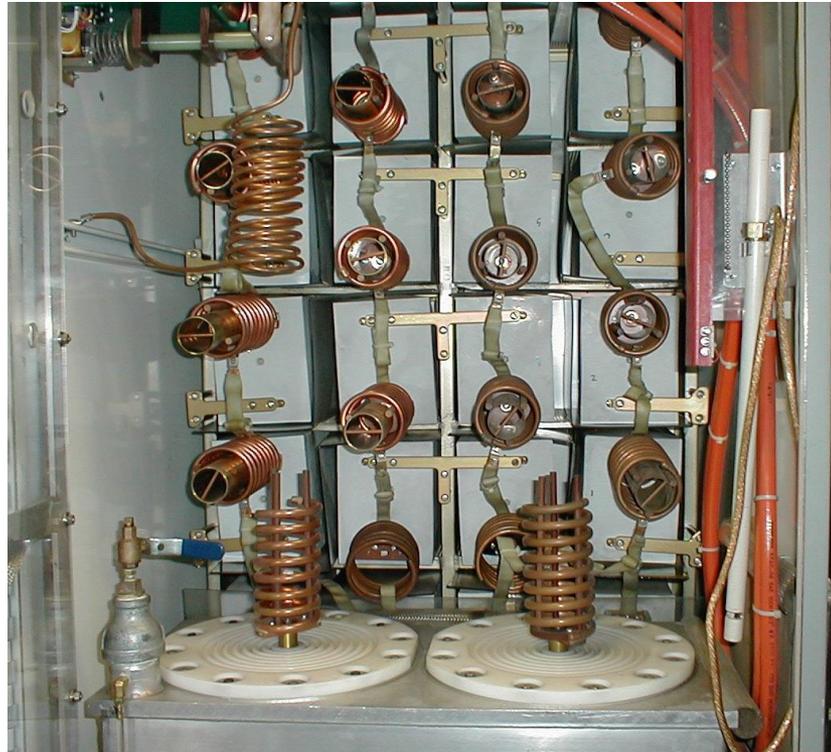
1:14 Transformer



Charging  
Supply

Pulse Forming Network

75 MW Klystrons



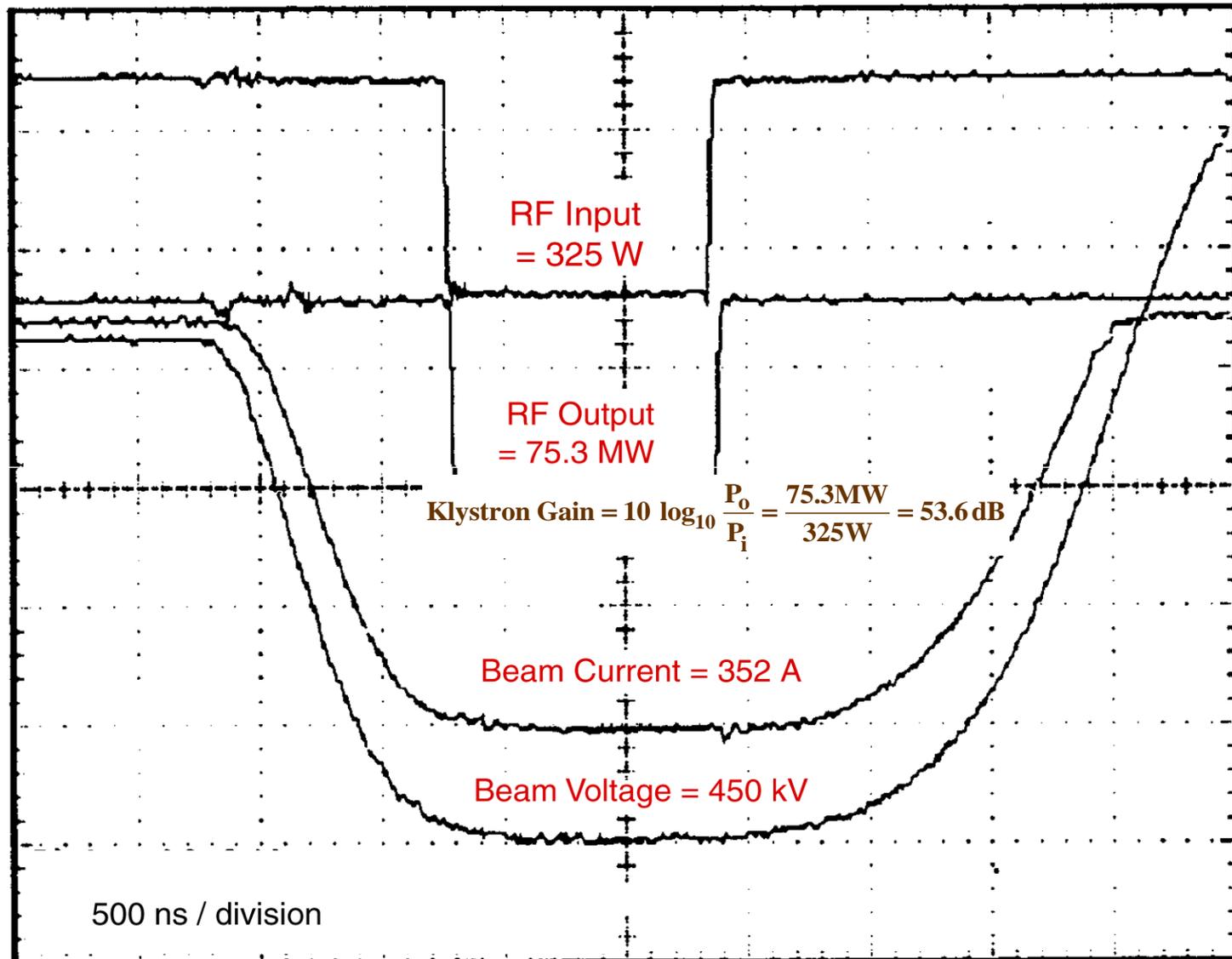
# Conventional Pulsers - Klystron Modulator PS – Cabinet Details

- Energy Recovery Circuit
- Capacitor Discharge Switch
- De-spiking Coil
- Charging Diode
- Pulse Forming Network
- Anode Reactor
- Thyratron
- Keep Alive Power Supply
- Charging Transformer



- Step Start Resistors
- 600VAC Circuit Breaker
- Filter Capacitors
- Contactors
- Full Wave Bridge Rectifier
- De-Qing Chassis
- Power Supply
- AC Line Filter Networks
- Power Transformer (T20)

## Conventional Pulsers - Conventional Klystron Modulator



## Kicker Current Equations

*Equations of Motion:*

$$\frac{d\vec{p}}{dt} = \vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$
$$\frac{dE}{dt} = q\vec{v} \cdot \vec{F}$$

where  $\vec{p} = \gamma m \vec{v}$  is the relativistic momentum,  $\gamma = 1/\sqrt{1 - \beta^2}$ ,

and  $\vec{\beta} = \vec{v}/c$ .

For a system with only magnetic fields,  $\vec{E} = 0$ , the energy  $E$  is constant

$$\frac{dE}{dt} = q\vec{v} \cdot (\vec{v} \times \vec{B}) = 0$$

so we need to solve the differential equation

$$\frac{d\vec{v}}{dt} = \frac{q}{\gamma m} \vec{v} \times \vec{B}$$

since  $\gamma$  is constant.

We will choose our coordinate system so that the beam travels in the  $\hat{z}$  direction and we want to deflect the beam in the  $\hat{x}$  direction. Therefore  $\vec{B} = B\hat{y}$ .

## Kicker Current Equations

Our coupled differential equations are

$$\begin{aligned}\frac{dv_x}{dt} &= -\frac{qB}{\gamma m} v_z \\ \frac{dv_z}{dt} &= \frac{qB}{\gamma m} v_x\end{aligned}$$

Differentiating the second equation and substituting in the first equation we get

$$\frac{d^2 v_z}{dt^2} = -\left(\frac{qB}{\gamma m}\right)^2 v_z = -\omega_B^2 v_z, \quad \omega_B = \frac{qB}{\gamma m}$$

This is the familiar harmonic oscillator equation with solutions

$$v_z(t) = v_{z0} \cos \omega_B t + \frac{v_{z1}}{\omega_B} \sin \omega_B t$$

We set our initial conditions such that  $v_{z0} = \omega_B \rho$ ,  $v_{z1} = 0$ . Then

$$\begin{aligned}v_z(t) &= \omega_B \rho \cos \omega_B t \\ v_x(t) &= -\omega_B \rho \sin \omega_B t\end{aligned}$$

$\rho$  is the radius of curvature of the particle trajectory through the magnet.

## Kicker Current Equations

*Integrating again, we get the equations for the particle coordinates*

$$z(t) = \rho \sin \omega_B t + z_0$$

$$x(t) = \rho \cos \omega_B t + x_0$$

*$\rho$  is the radius of curvature of the particle trajectory through the magnet.*

*Now we relate the desired curvature of the beam to its properties and the strength of the magnetic induction.*

$$|p| = \gamma m v = \gamma m \omega_B \rho = q B \rho$$
$$\rho = \frac{p}{qB} = \frac{cp}{cqB} = \frac{c\gamma m \beta c}{cqB} = \frac{\beta \gamma m c^2}{cqB} = \frac{\beta E}{cqB}$$

*All of these equations have been written in MKS units. Accelerators use a mix of units. The unit of magnetic induction,  $B$  is Tesla, but the unit of energy is GeV. The unit of  $E/q$  is the volt, which is also the ratio of an electron-Volt to the electron charge. Therefore this equation is unchanged if we measure  $q$  in units of electric charge and  $E$  in units of eV.*

$$1 \text{ eV} = 1.602 \times 10^{-19} \text{ J}$$

$$1 \text{ e}^- = 1.602 \times 10^{-19} \text{ C}$$

## Kicker Current Equations

Inserting the units for a particle with a fundamental charge, the equation for the curvature in a dipole magnetic field is

$$\rho = \frac{\beta E(\text{eV})}{c(\text{m/s})B(\text{T})} = \frac{\beta E(\text{eV})}{2.998 \times 10^8 B(\text{T})} = \frac{10^9 \beta E(\text{GeV})}{2.998 \times 10^8 B(\text{T})}$$
$$\rho = \frac{\beta E(\text{GeV})}{0.2998 B(\text{T})}$$

For ultra-relativistic beams,  $\beta \approx 1$

$$E = 3 \text{ GeV (electrons)}$$

$$\gamma = \frac{3000}{0.511} = 5870.8$$

$$\beta = 0.9999999855$$

Kicker is usually designed to deflect the beam a certain angle  $\theta$ . If the B field is constant over a length L,

$$\rho \sin \theta = L$$
$$BL = \frac{\beta E}{0.2998} \sin \theta$$

## Kicker Current Equations

*Example:*

*A 1 meter long kicker is required to deflect a 3 GeV electron beam by 2 mrad. Assuming a uniform field in the kicker, calculate the magnetic induction required for this deflection.*

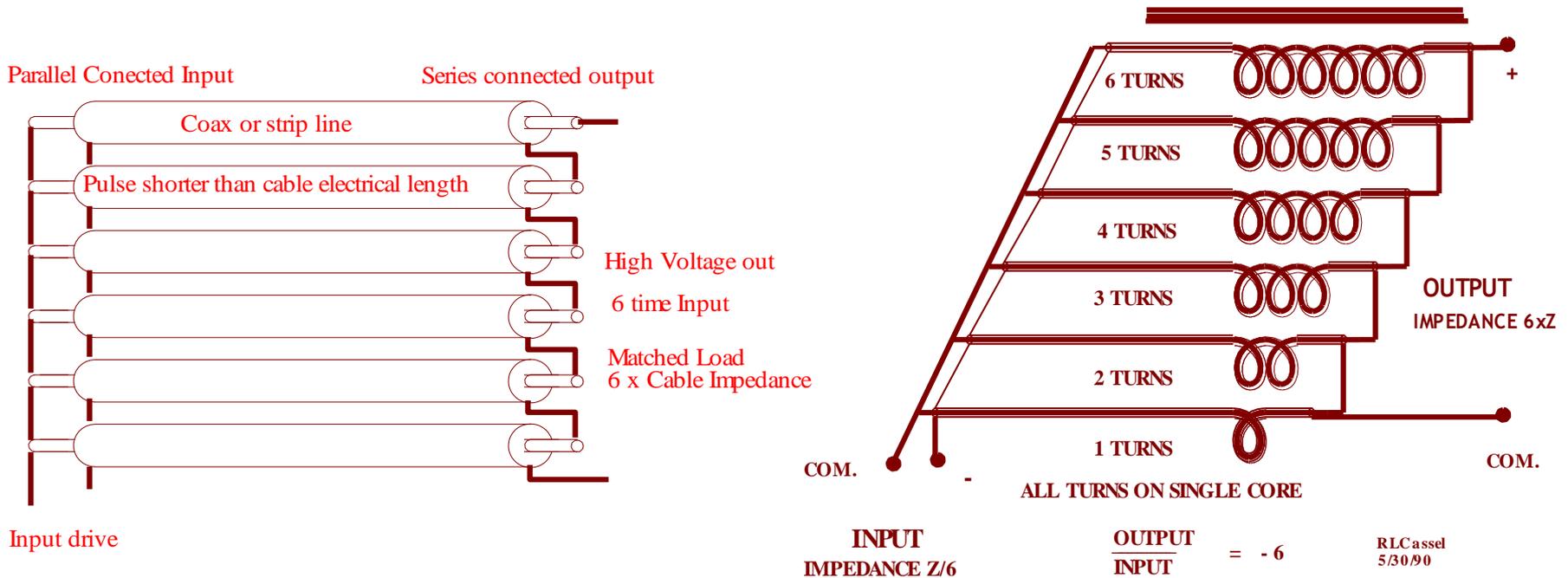
$$BL = \frac{\beta E}{0.2998} \sin \theta$$
$$B = \frac{3}{0.2998} 2 \times 10^{-3} = 0.020 \text{ T}$$

*Assuming that the magnet has two conductors and the circumference of the loop of the magnetic field from each conductor passing through the beam trajectory is 0.150 meters, calculate the current that flows through each conductor.*

$$\oint \vec{H} \cdot \vec{dl} = 2I = 0.150H = \frac{0.150B}{\mu_0}$$
$$I = \frac{0.150B}{2 \cdot 4\pi \times 10^{-7}} = \frac{0.150 \cdot 0.020}{2 \cdot 4\pi \times 10^{-7}} = 1194 \text{ A}$$

## Conventional Pulsers - Cable Pulse Transformer

- Cable Pulse Transformer parallels multiple cable inputs and series connects the outputs. The pulse length must be  $< 2X$  the electrical length of the cable and must drive a matched load.
- Fast rise time with simple transformer
- Disadvantage stray capacitance and floating cable return limits transformer usage



## *Comparison of Thyatron and Solid-State Pulser Parameters*

<i>Parameter</i>	<i>Thyatron</i>	<i>Solid-state</i>
<i>Control turn-on</i>	<i>Yes</i>	<i>Yes</i>
<i>Control turn-off</i>	<i>No</i>	<i>Yes</i>
<i>Pulse Shaping</i>	<i>PFN</i>	<i>IGBT</i>
<i>Output Voltage</i>	<i>1/2 PFN voltage</i>	<i>Same as device voltage</i>

## Solid-State Pulsers

- Replace Thyatron with solid-state switch SCR, IGBT, MOSFET, etc
- Having a high enough  $di/dt$  capability is the problem
- For many applications IGBTs without PFNs are being used at the present time

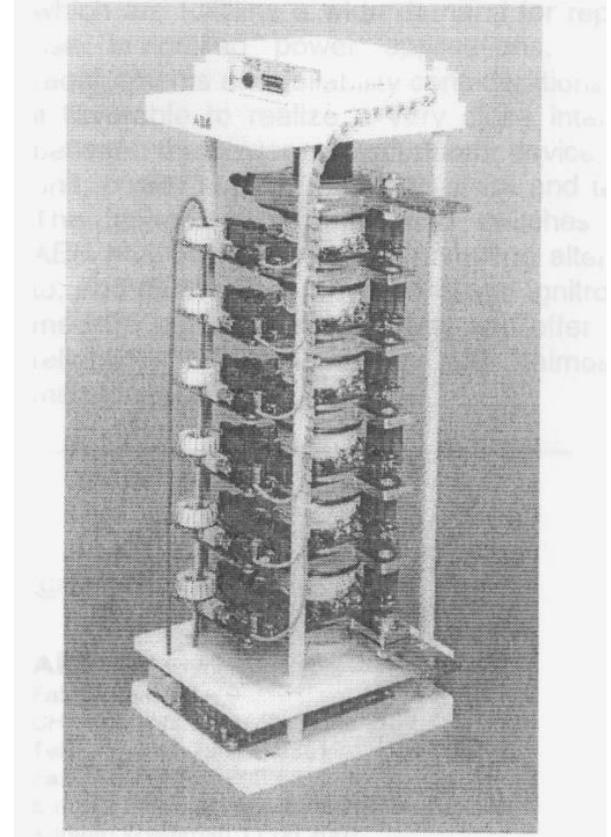
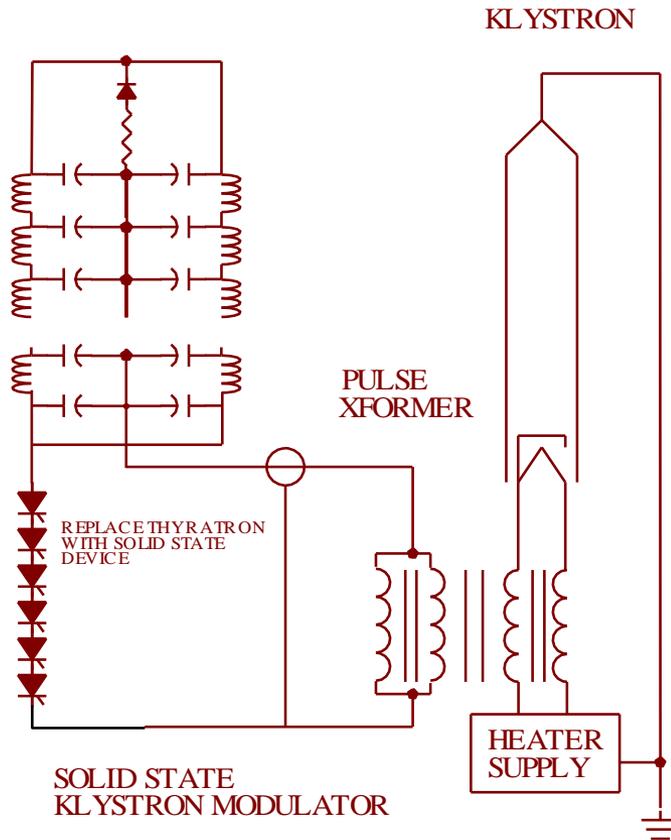
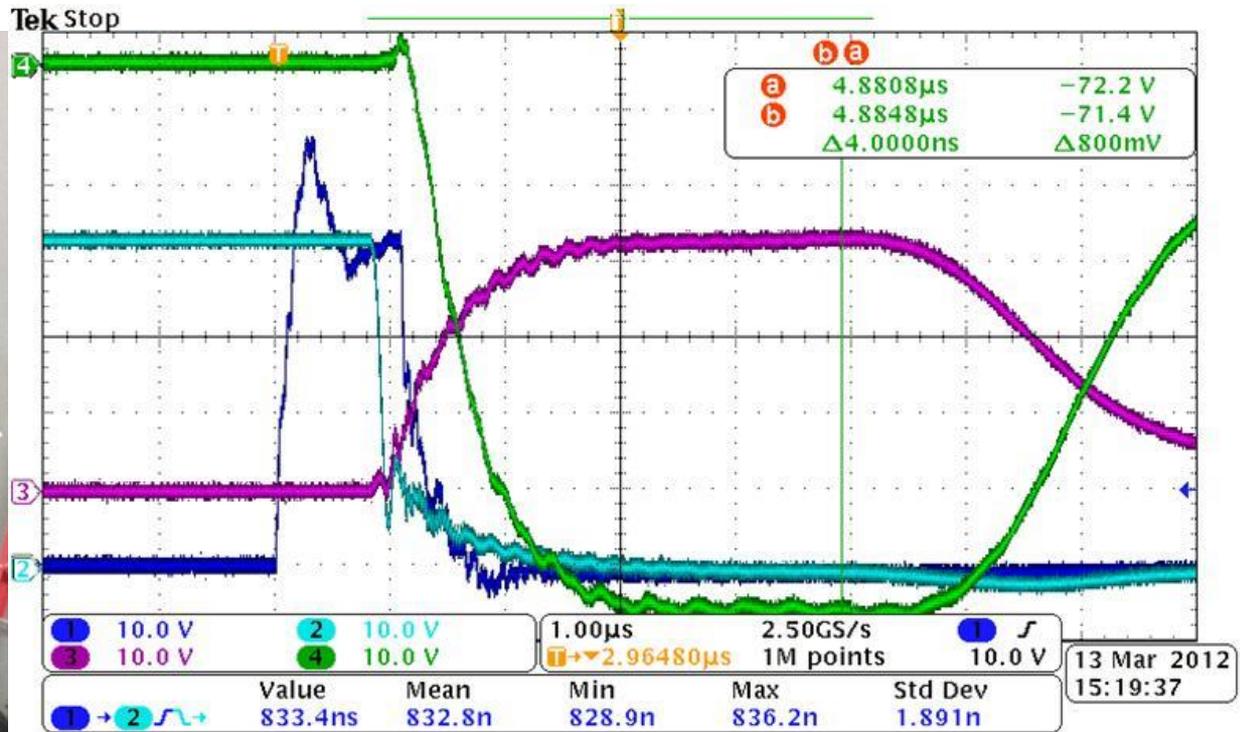


Fig. 11) Switch Assembly SPR-08F45-6-WC

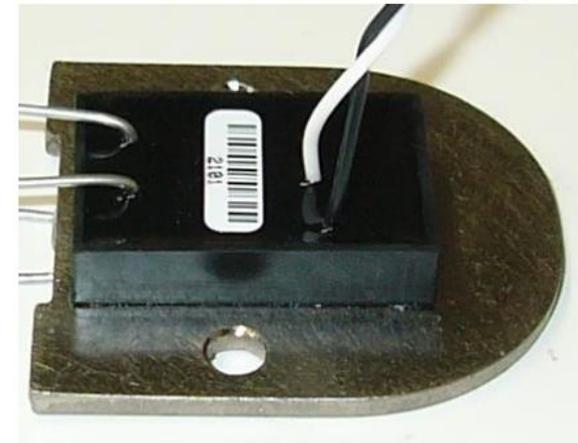
# Solid-State Pulsers – SLAC Implementation of Solid-State Switch



## Model S38 Thyristor Module

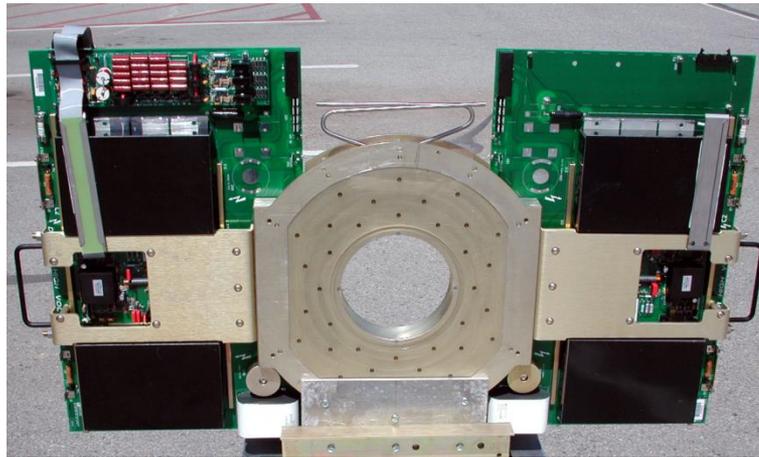
Features:

- 4700V Peak Off-State Voltage
- 14kA Peak Non-Repetitive Current
- 30kA/µS Maximum di/dt
- 100nS turn-on delay time
- Low Inductance

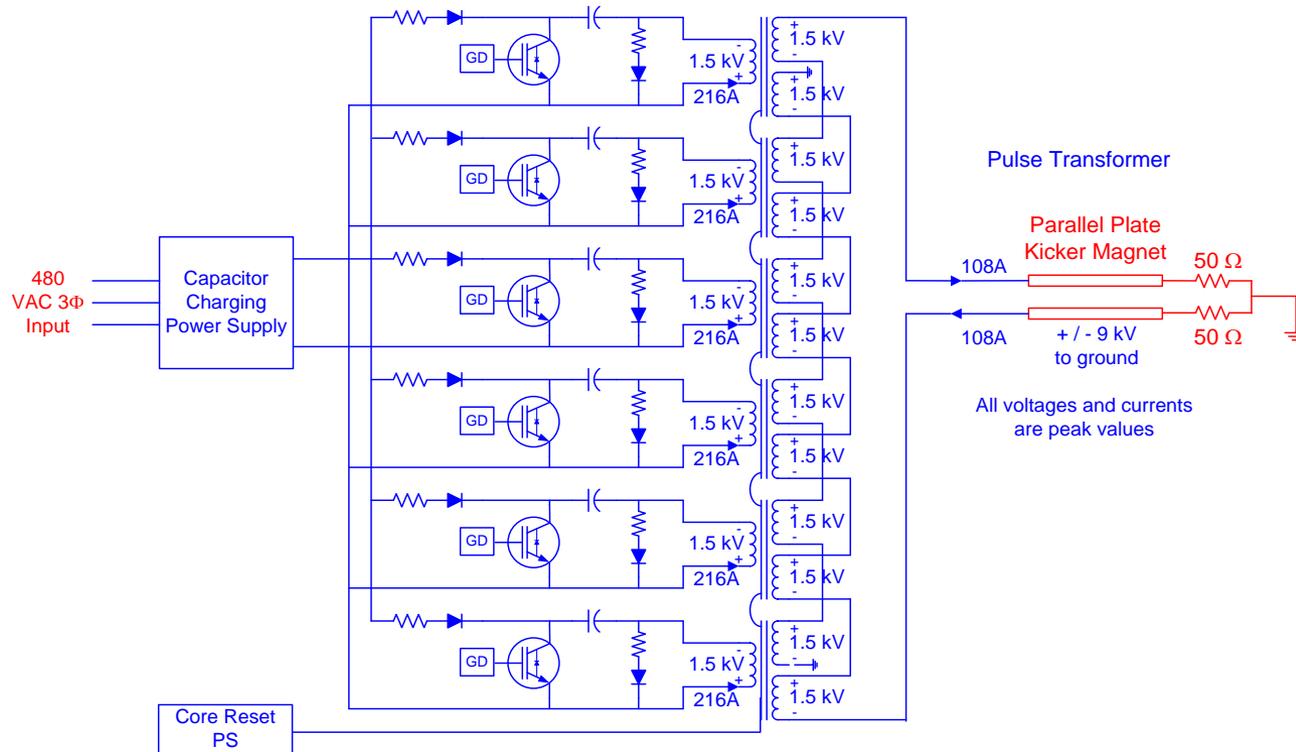


## *Solid-State Induction Modulators*

- *Fractional turn pulse transformer*
  - *Similar to a induction accelerator*
  - *Multiple primaries driven in parallel*
  - *The secondary connected in series*
- *Solid-state driver consists of*
  - *A solid state switch that turns on and off*
  - *DC capacitor per primary winding*

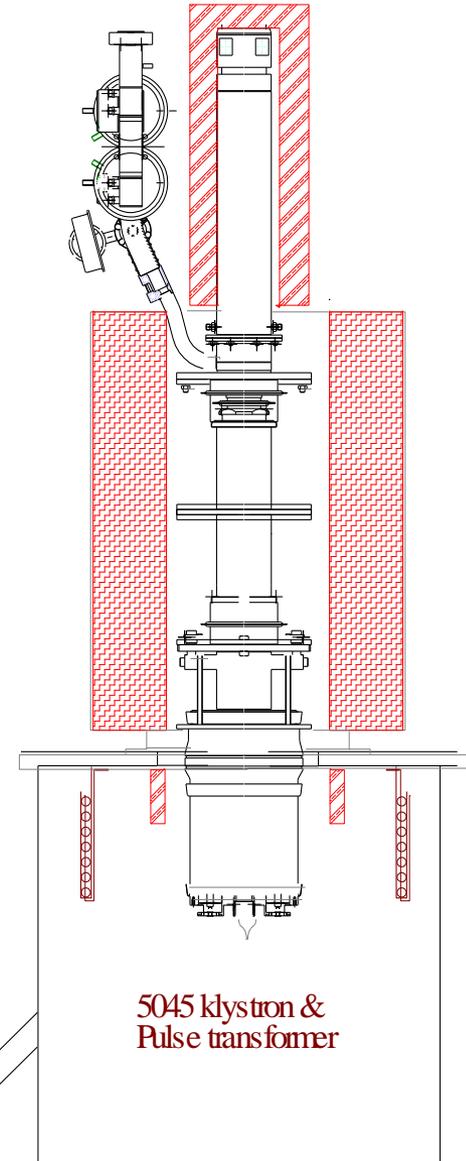
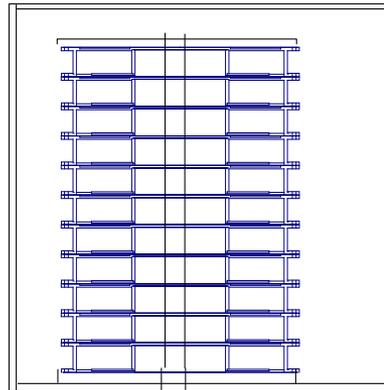
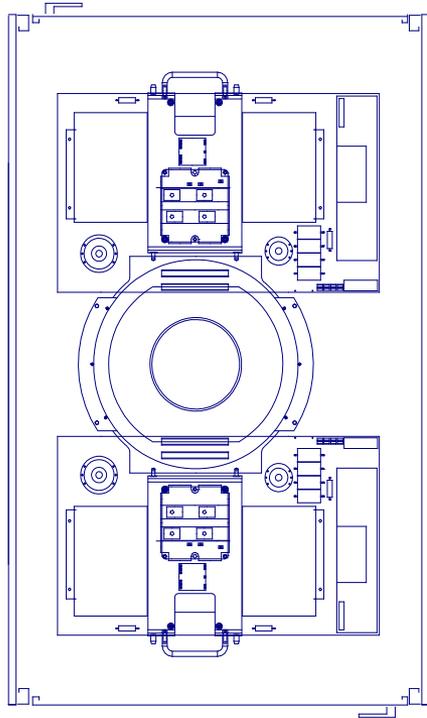


## A Solid-State Turn-On Pulser



- All pulse capacitors are pre-charged simultaneously
- IGBTs are all switched on together
- Capacitors are then simultaneously discharged producing sinusoidal  $V$  and  $I$  pulses in the pulse transformer and magnet. The secondary winding voltages are additive
- At the end of the pulse the IGBT is turned off. The magnet current decay causes a voltage reversal at the free-wheeling diode
- The freewheeling diodes conduct and the magnet current decays exponentially to zero

# Solid-State Induction Klystron Modulator



5045 klystron & Pulse transformer

# *Solid-State Induction Klystron Modulator*



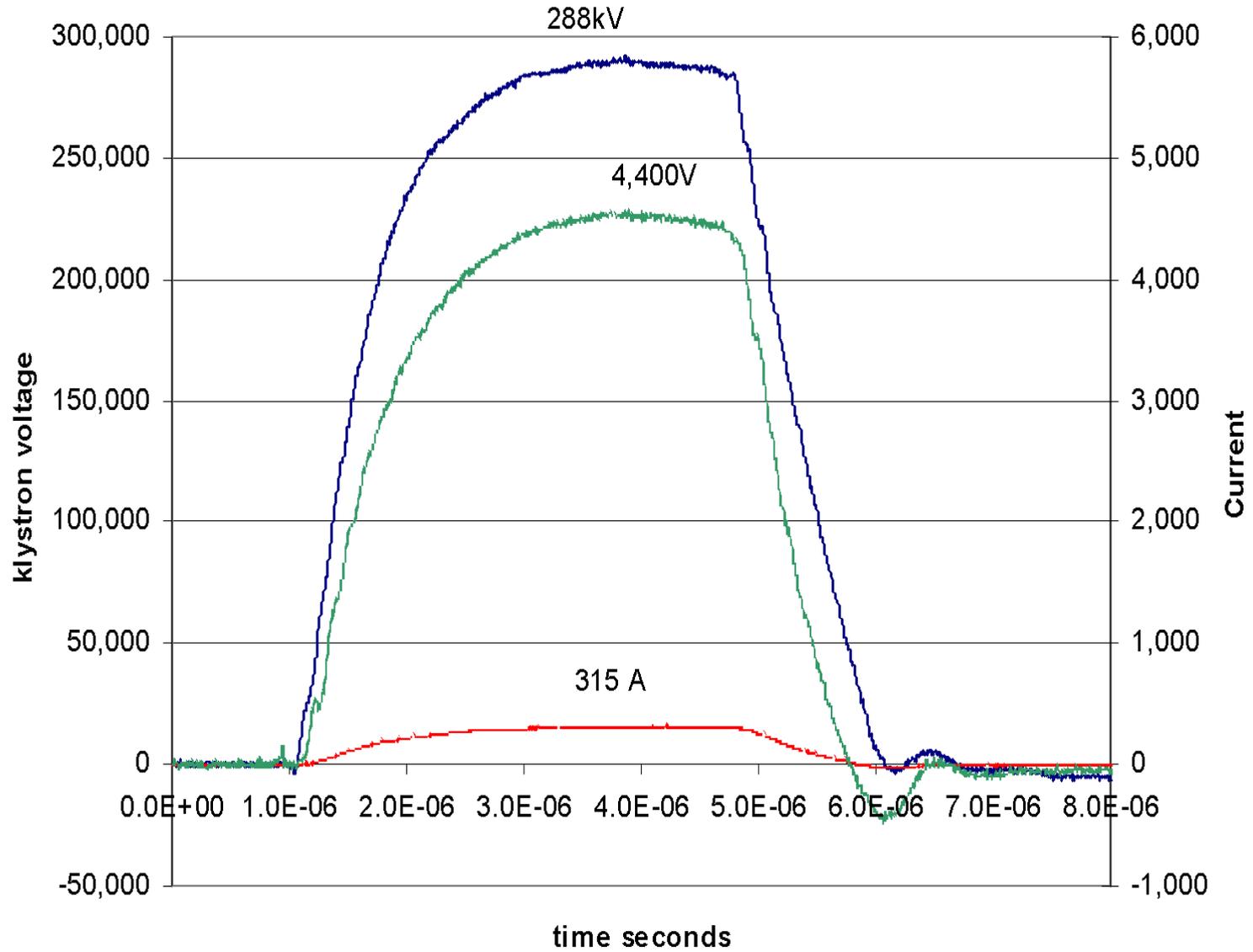
## *Hybrid*

- *Solid-state 10 - stack installed alongside Gallery line-type PFN unit*
- *22 kV => 330 kV via 15:1 xfmr*
- *Prototype currently at 255 kV @ 2.2  $\mu$ sec @ 120 PPS*

# Solid-State Induction Klystron Modulator

*Hybrid*

modulator pulse



# Solid-State Induction Klystron Modulator

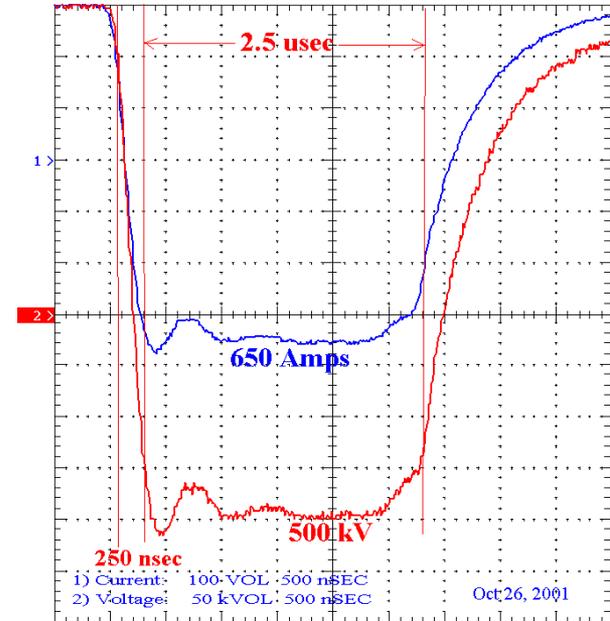


## SOLID STATE DRIVERS

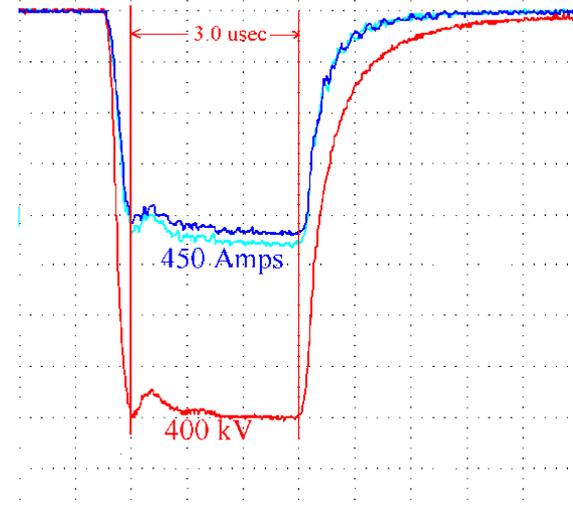
- 152 IGBT Drivers (two per each primary)
- 1800 Volts per IGBT
- 2700 Amps per Driver

## CORES AND SECONDARY

- 76 Primaries @ 5400 A
- 3-Turns Secondary
- 400kV @ 1800A, 725MW for 3.2 $\mu$ s, 350kW Ave.

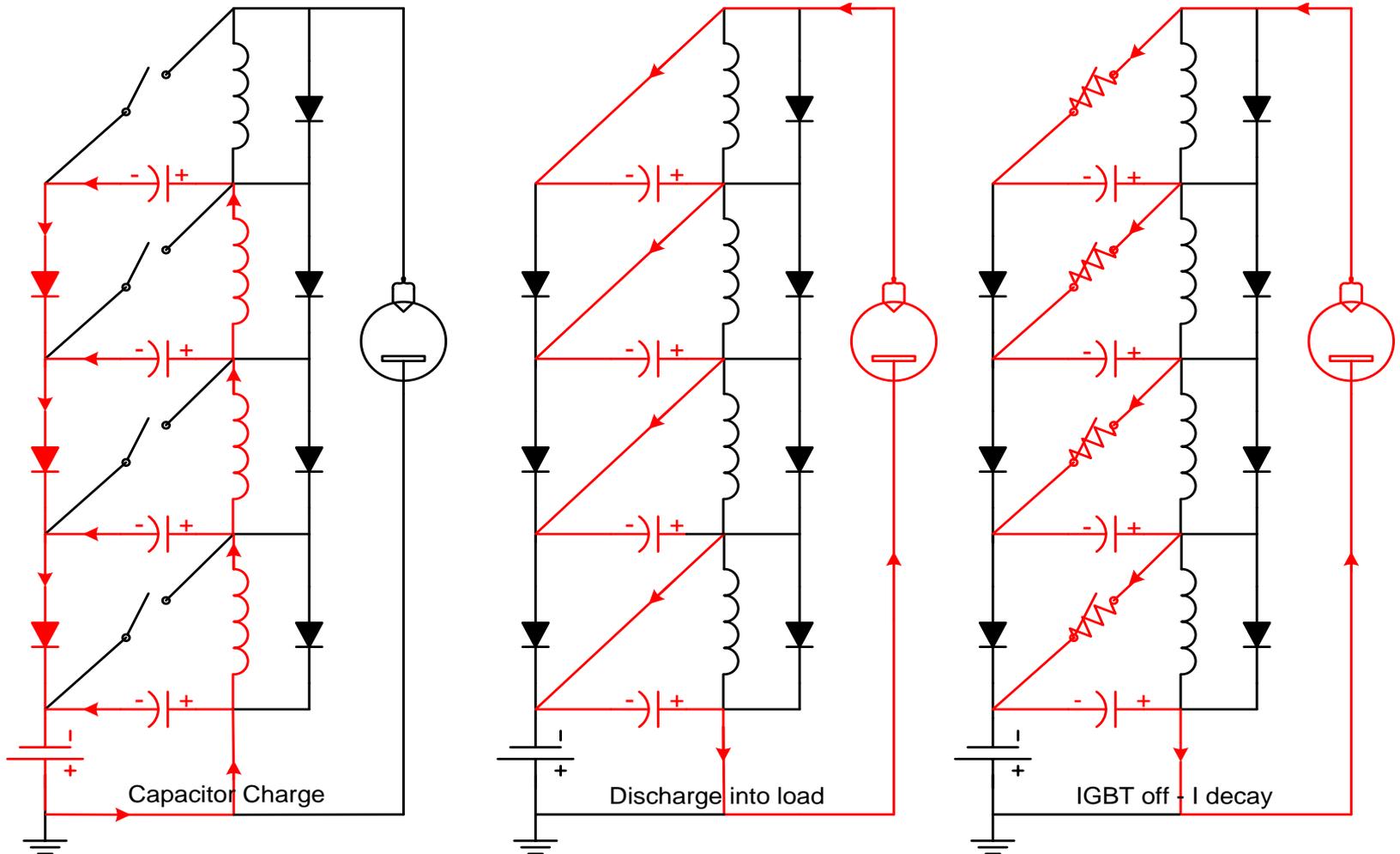


- 1) Klystron #2 Amps: 100 VOL: 1  $\mu$ SEC
- 2) Klystron Voltage: 50 kVOL: 1  $\mu$ SEC
- 3) Klystron #3 Amps: 100 VOL: 1  $\mu$ SEC



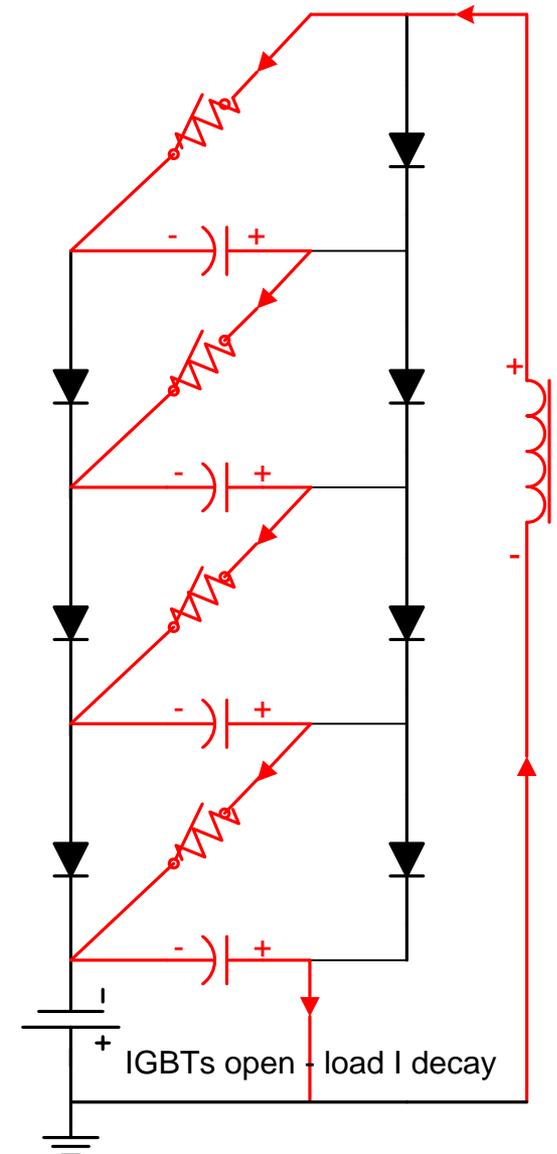
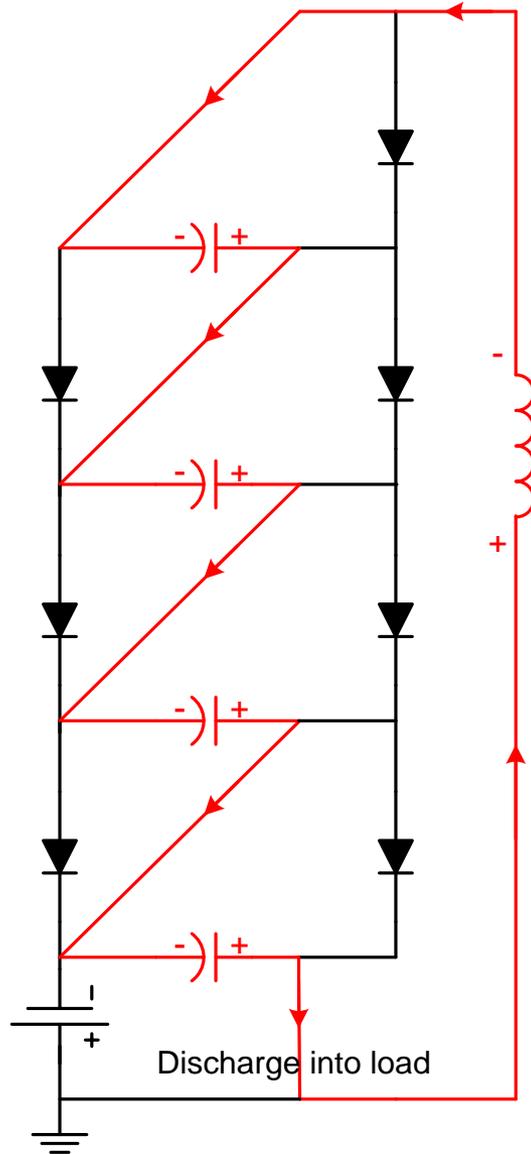
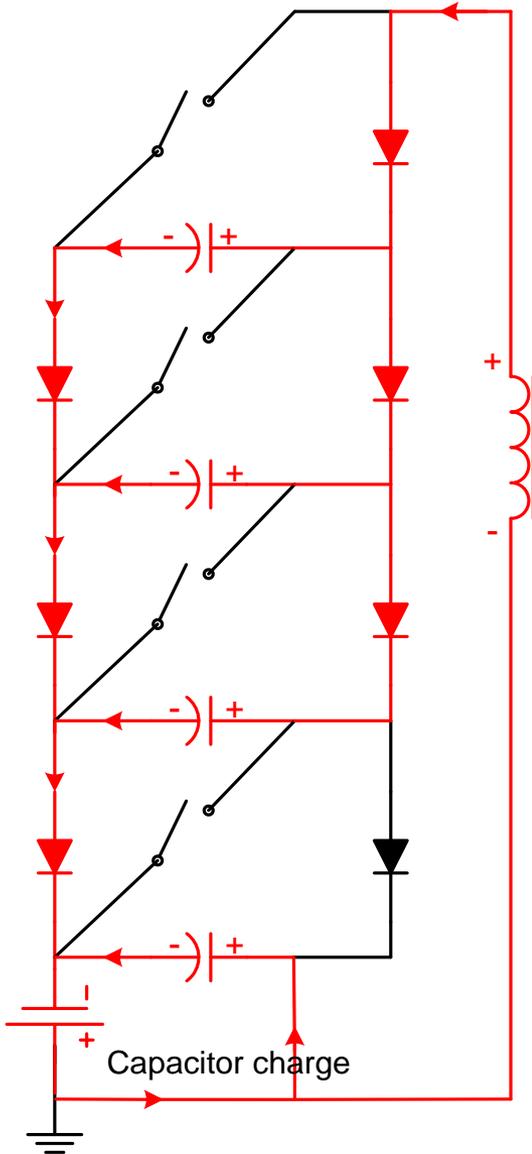
## Solid-State Marx Generator for Modulators or Kickers

- Marx Generator charges capacitors in parallel for quickness, discharges them in series for high output voltage. For long pulses, advantage is to avoid the need for large iron core transformers based on volt-second product



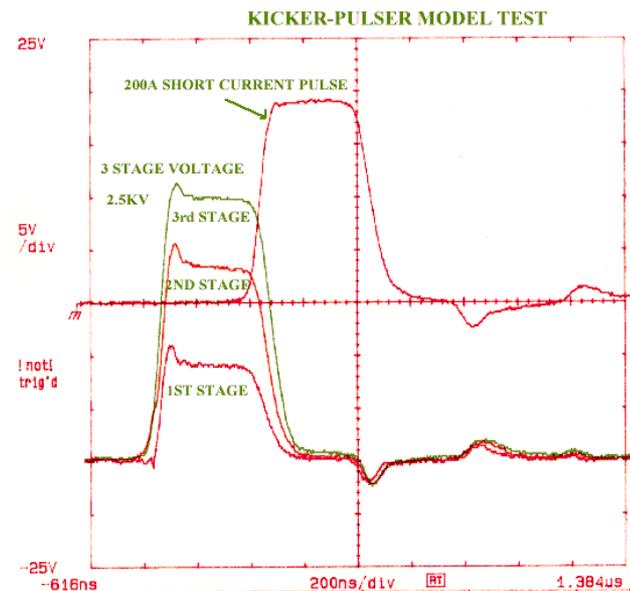
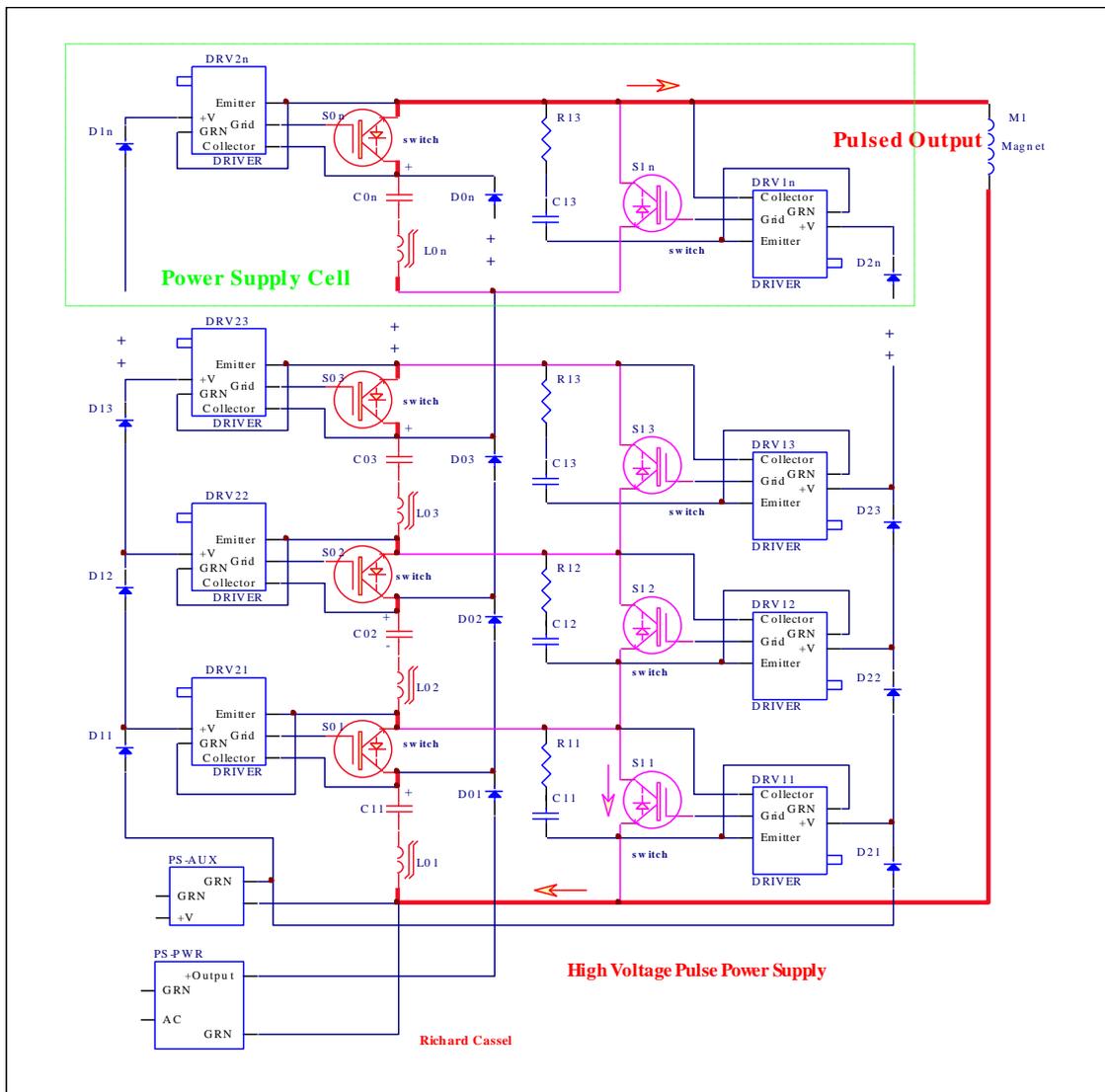
# Solid-State Marx Generator for Modulators or Kickers

- If the load is a magnet, the charging inductors are not required



# Solid-State Marx Generator for Modulators or Kickers

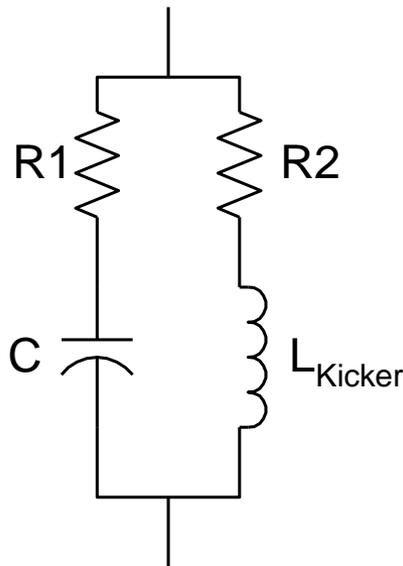
- Another implementation, using solid-state switches in place of the charging inductors for smaller size and less diversion of capacitor current from load



## Solid-State Pulsers - Homework Problem # 15

A controlled impedance transmission line often drives a kicker. The kicker is usually well modeled as an inductor. A matching circuit can be built around the kicker and its inductance so that this circuit, including the kicker magnet, has constant, frequency independent, impedance which is matched to the transmission line.

Assuming that the transmission line impedance is  $Z_0$  and the kicker inductance is  $L_{Kicker}$  derive the values of  $R1$ ,  $R2$ , and  $C$  necessary to make a frequency independent (constant) impedance  $Z_0$ .



## Solid-State Pulsers - Homework Problem # 16

A. What is the significance of the value  $\sqrt{\frac{\mu_0}{\epsilon_0}}$  ?

B. What is the significance of the values  $\frac{1}{\sqrt{\mu_0 \epsilon_0}}$  and  $\sqrt{L^* C}$  ?

C. Calculate the speed of light in mediums with dielectric constants of:  
 $\epsilon_r = 1$     $\epsilon_r = 2$     $\epsilon_r = 4$     $\epsilon_r = 8$     $\epsilon_r = 16$



## *Section 9*

- *Magnetics*

- *The Electric - Magnetic Equivalence*
- *Field Due to a Current*
- *Magnetic Units Including Turns*
- *Cores and Materials*
- *Transformer Design Issues*
- *Inductors*

## *The Electric - Magnetic Equivalence*

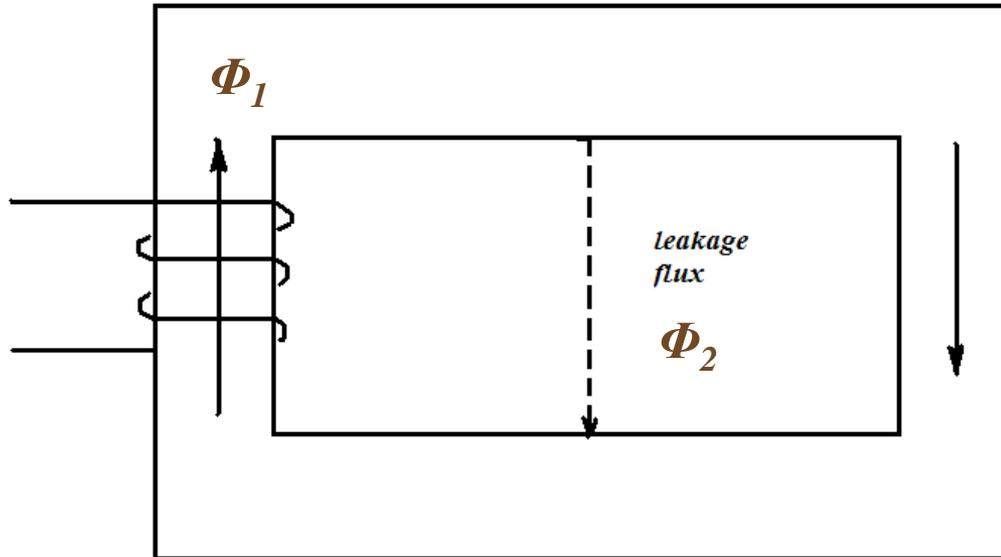
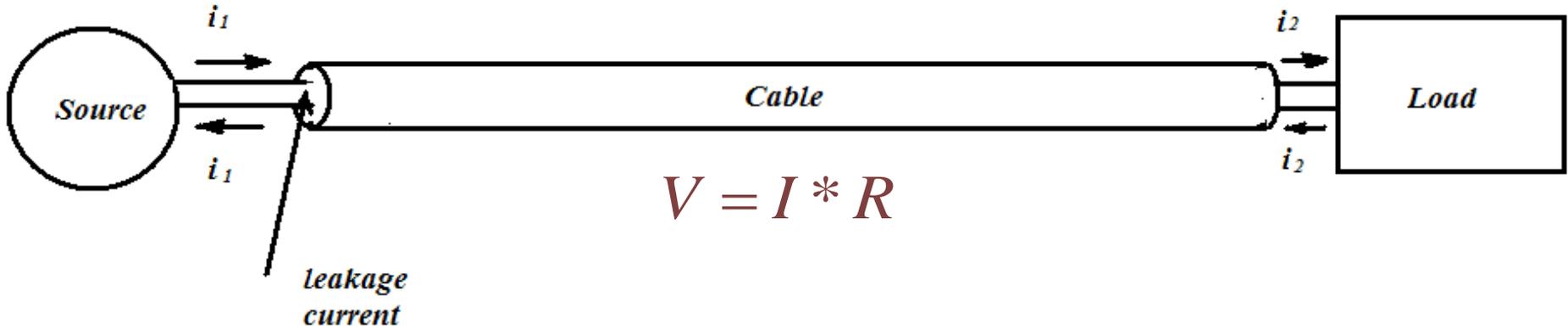
- Various magnetic types, such as transformers and filter inductors, play a key role in many of the components used in power supplies*
- Magnets are also extensively used in accelerators to guide, direct, steer and focus beams*
- Magnetic circuits are analogous to electric circuits and are important for the analysis of magnetic devices. The equations for both electric and magnetic circuits show strong similarities*

<i>Electrical</i>	<i>Closest Magnetic</i>
<i>EMF (Volts)</i>	<i>MMF (A*turn, F)</i>
<i>Current (Amperes)</i>	<i>Flux (Wb / turn, <math>\Phi</math>)</i>
<i>Resistance (ohms, <math>\Omega</math>)</i>	<i>Reluctance (A*turns / Wb, R)</i>
<i>Resistivity (ohm*m, <math>\rho</math>)</i>	
<i>Conductance (mhos, <math>\sigma</math>)</i>	<i>Permeance (Wb / A*turn, P)</i>
<i>Conductivity (Siemens/m)</i>	<i>Permeability (Henries / m, <math>\mu</math>)</i>

## *Magnetic Units Including Turns*

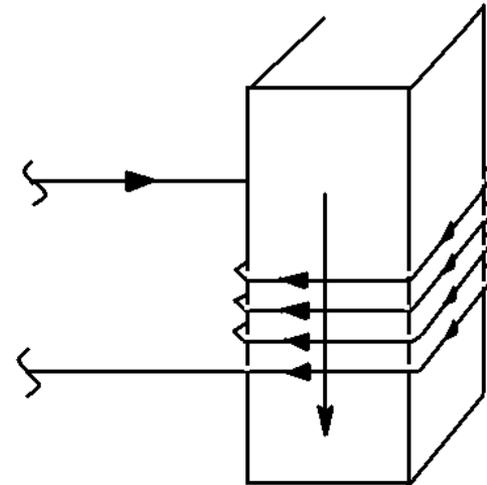
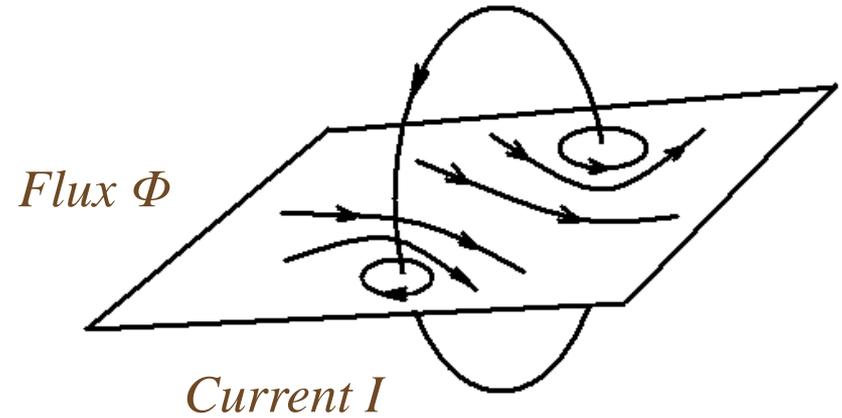
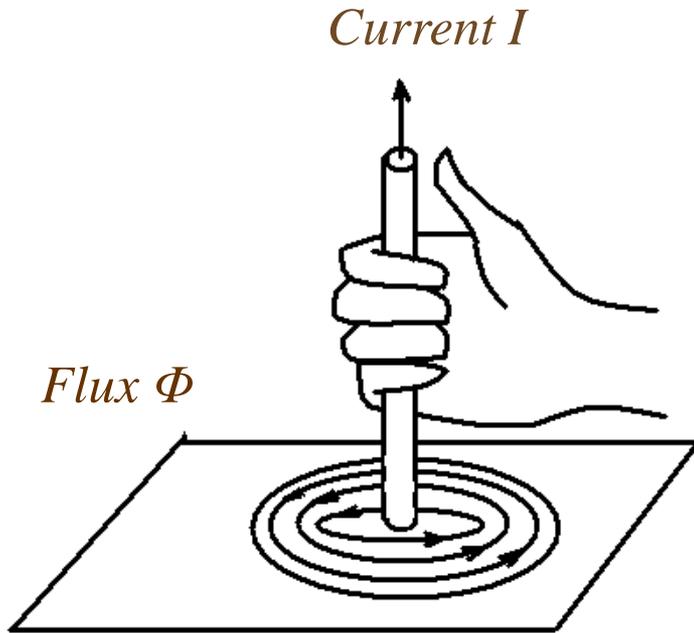
<i>Symbol</i>	<i>Description</i>	<i>SI units</i>	<i>cgs units</i>
<i>N</i>	<i>Winding turns</i>	<i>turn (t)</i>	<i>t</i>
<i>H</i>	<i>Field intensity</i>	<i>(A·t)/m</i>	<i>Oersted (Oe)</i>
<i>B</i>	<i>Flux density</i>	<i>tesla (T)</i>	<i>gauss (G)</i>
$\mu$	<i>Permeability</i>	<i>T·m/A or H/m</i>	<i>G/Oe</i>
<i>F</i>	<i>Magnetomotive force</i>	<i>A·t</i>	<i>gilbert (Gb)</i>
$\Phi$	<i>Flux</i>	<i>weber/t (Wb/t)</i>	<i>maxwell</i>
<i>R</i>	<i>Reluctance</i>	<i>A·t/Wb</i>	
<i>P</i>	<i>Permeance</i>	<i>henry/t or (Wb/A*t)</i>	<i>H/t</i>
<i>I</i>	<i>Current</i>	<i>ampere (A)</i>	<i>A</i>
<i>L</i>	<i>Inductance</i>	<i>henry (H)</i>	<i>H</i>

# Electric-Magnetic Circuit Comparisons



$$F = \Phi * R$$

## Field Due to a Current



### **Right Hand Rule:**

- Thumb = Current
- Fingers Point in Direction of Magnetic Field

# H Field Around A Wire

$$I = \oint H \cdot dl$$

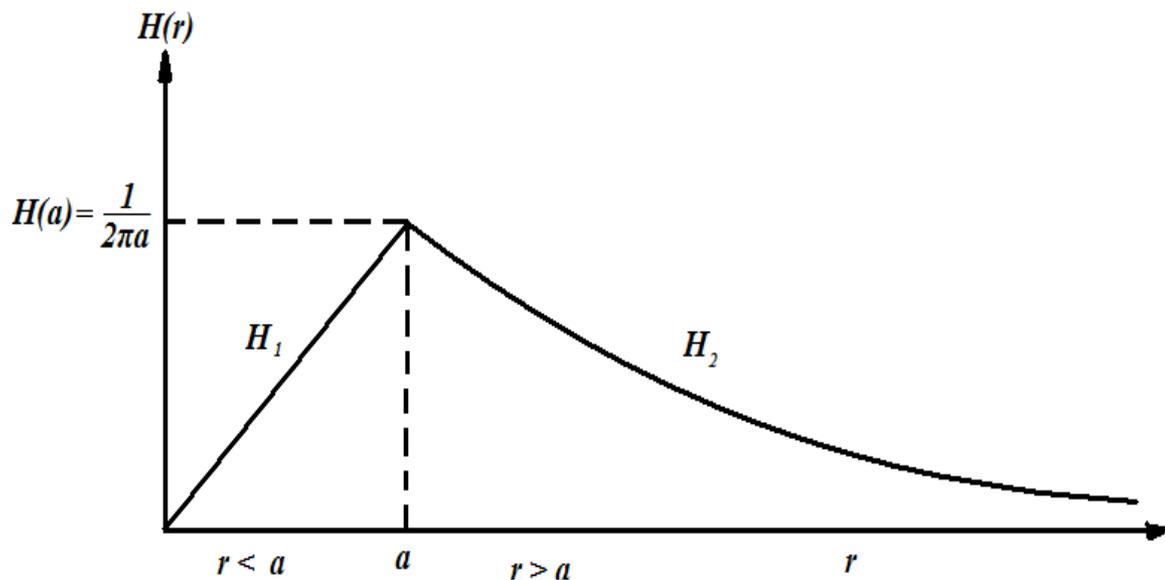
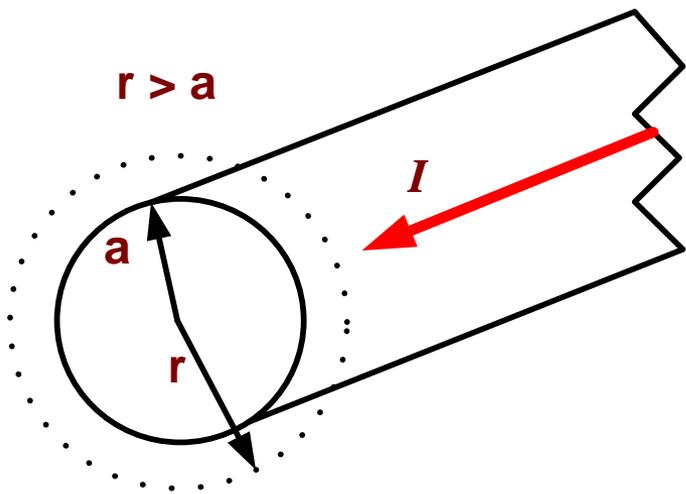
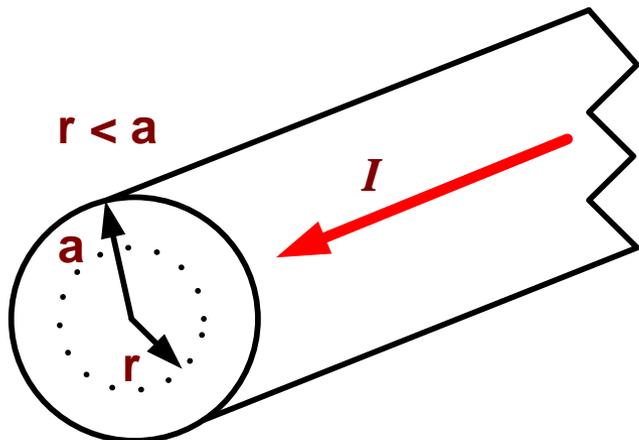
For uniform current density

$$H = \frac{I'}{l} \quad l = 2\pi r$$

$I'$  = The fraction of the total current flow in the wire

$$\text{For } r \leq a \Rightarrow I' = \frac{r^2}{a^2} I \quad H_1 = \left( \frac{I}{2\pi} \right) \frac{r}{a^2}$$

$$\text{For } r > a \Rightarrow I' = I \quad H_2 = \frac{I}{2\pi r}$$





## *Permeability Definitions*

- $\mu_0 = \text{permeability of vacuum} = 4 * \pi * 10^{-7} \text{ H/m}$
- $\mu_r = \text{relative permeability}$
- $\mu_m = \text{material permeability} = B/H \text{ at any given point}$
- $\mu_m = \mu_0 * \mu_r$
- *Permeability is an important core parameter*
- *Ferromagnetic materials used in transformer and inductor cores because of their high permeability*

<i>Core Materials</i>
<i>Air</i>
<i>Alloys</i>
<i>Amorphous</i>
<i>Iron Powder</i>
<i>Manganese-Zinc Ferrite</i>
<i>Molybdenum Permalloy Powder</i>
<i>Nickel-Zinc Ferrite</i>
<i>Sendust</i>
<i>Silicon Steel</i>



## Energy Relationships

*Energy stored in the electric field*

$l_e$  = electric field length

$A_e$  = area enclosing the electric field

$v$  = volume enclosing the electric field

$\epsilon$  = permittivity of material

$E$  = electric field intensity

$$U_e = \frac{1}{2} \int_{vol} \epsilon E^2 dv, \quad E = \frac{V}{l_e}$$

$$U_e = \left( \frac{\epsilon A_e}{l_e} \right) \frac{V^2}{2}$$

$$C = \frac{\epsilon A_e}{l_e}$$

$$U_e = \frac{CV^2}{2}$$

*Energy stored in the magnetic field*

$l_m$  = magnetic field length

$A_m$  = area enclosing the magnetic field

$v$  = volume enclosing the magnetic field

$\mu$  = permeability of the material

$H$  = magnetic field intensity

$$U_m = \frac{1}{2} \int_{vol} \mu H^2 dv, \quad H = \frac{I}{l_m}$$

$$U_m = \left( \frac{\mu A_m}{l_m} \right) \frac{I^2}{2}$$

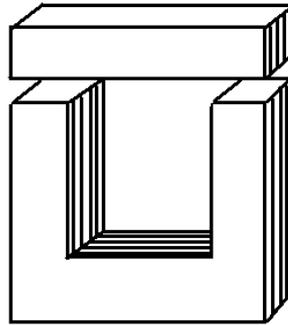
$$L = \frac{\mu A_m}{l_m}$$

$$U_m = \frac{LI^2}{2}$$

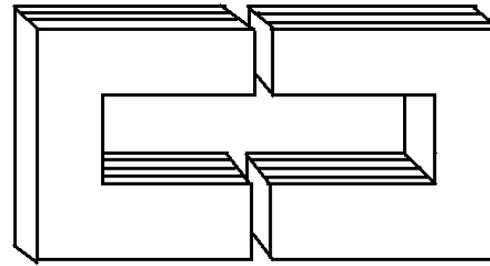


# Core Shapes

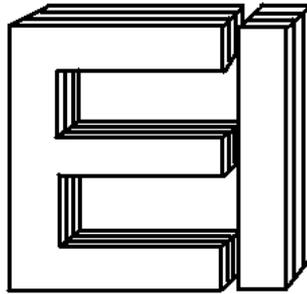
- *U-U, U-I cores*
- *E-E, E-I, ETD cores*
- *POT cores*
- *RM cores*
- *PQ and PM cores*
- *EP, EFD and ER cores*
- *Toroid*



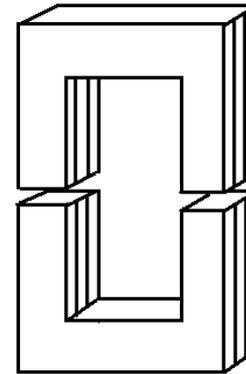
*U-I*



*cut C core*



*E Core*

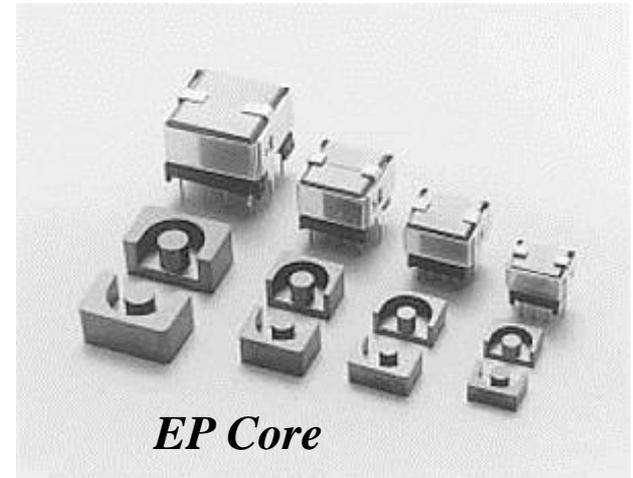
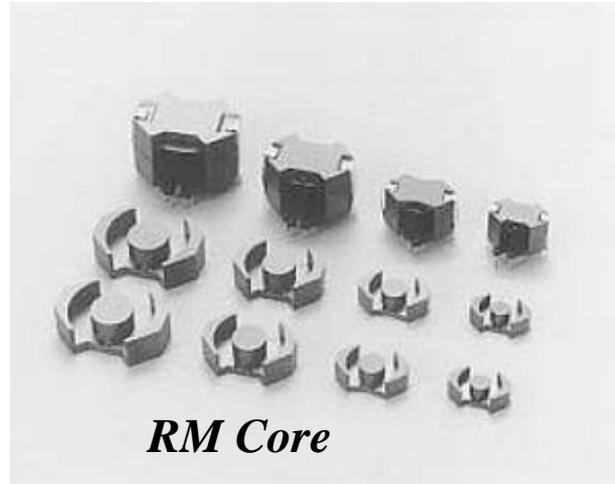
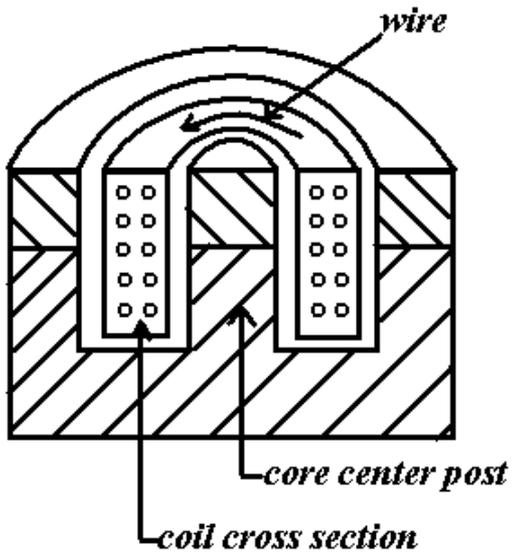


*U-U*

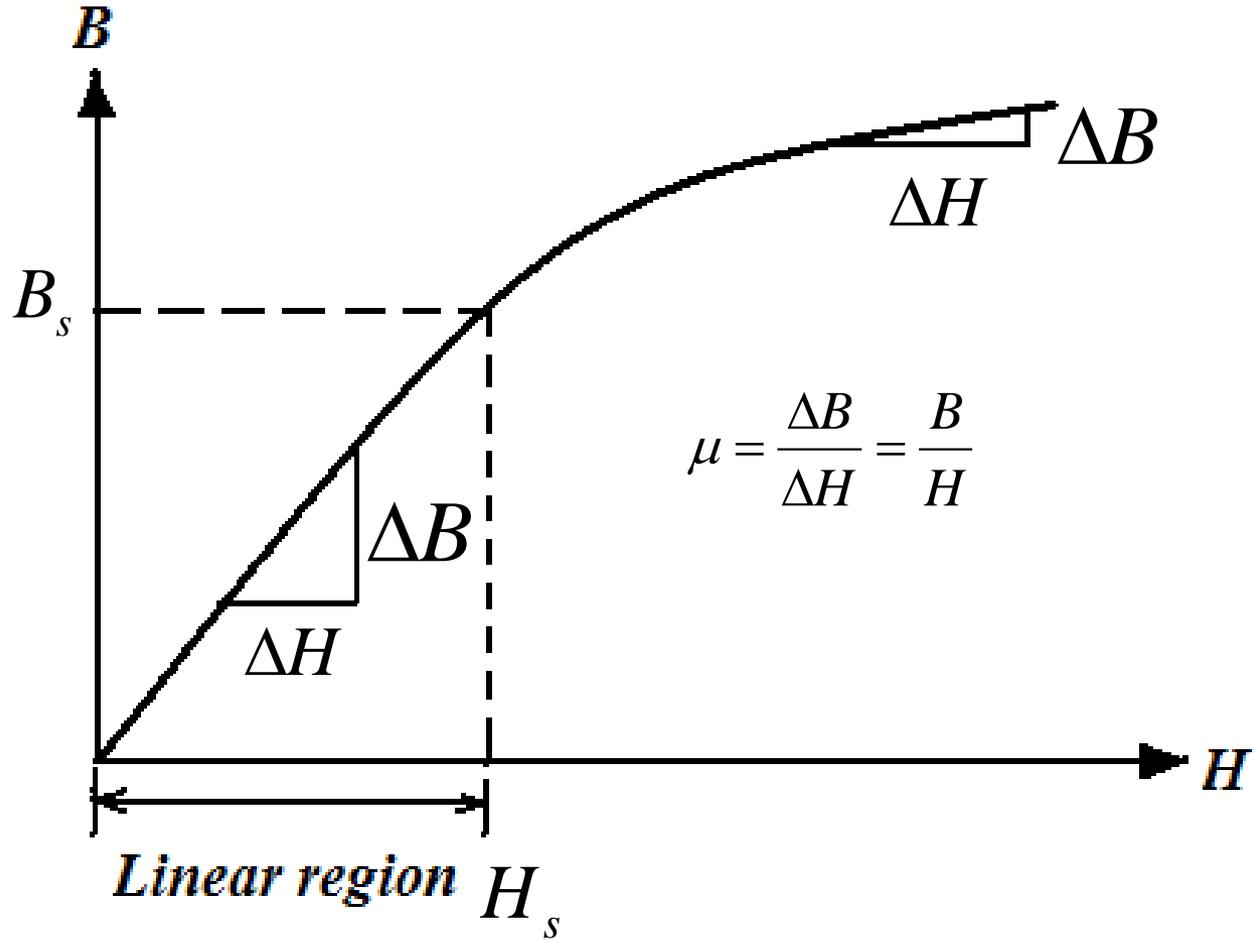


*Toroid*

*Pot cores*

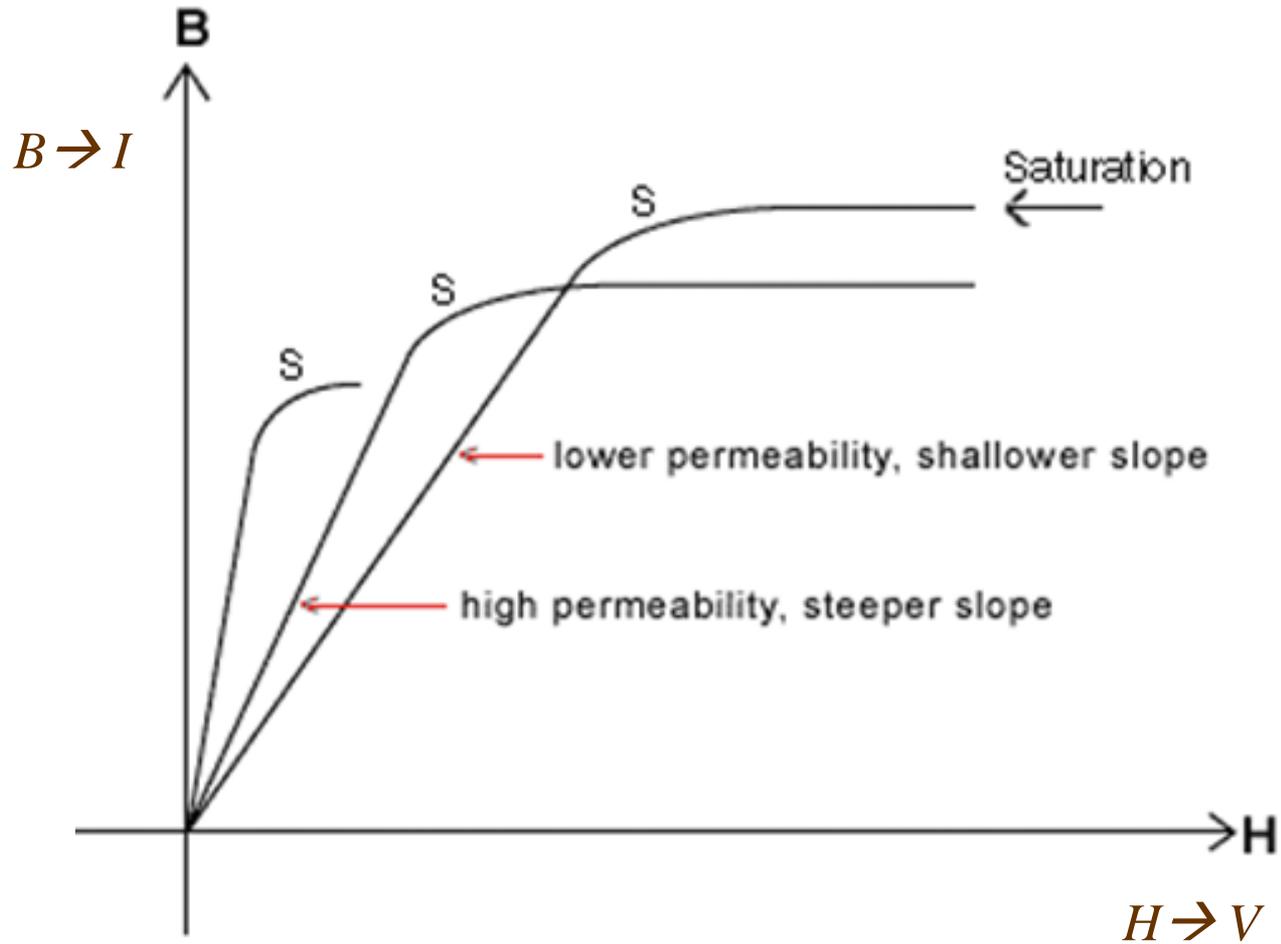


# Material Characterization



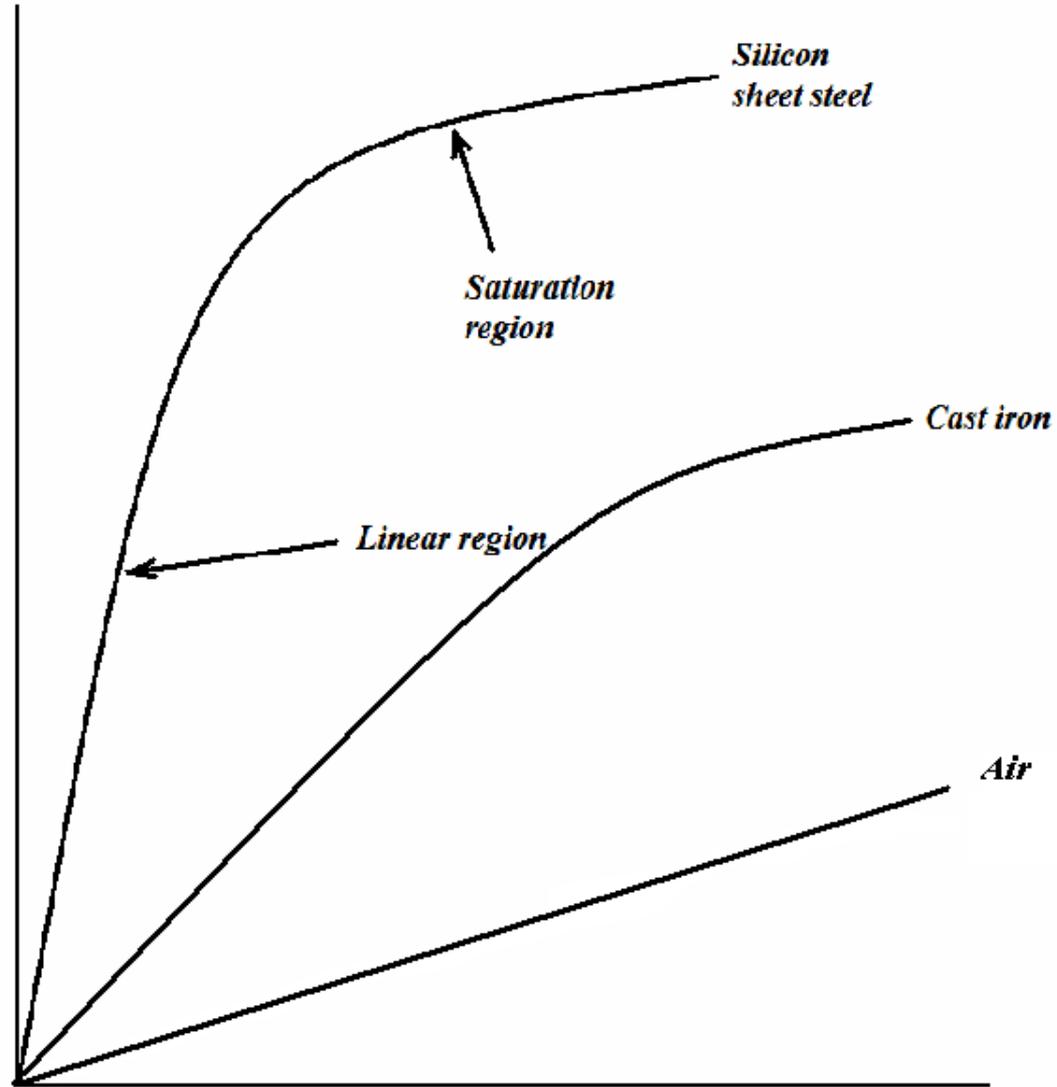


# Important Transformer Concepts





# Material Comparison





## Core Material Guidelines

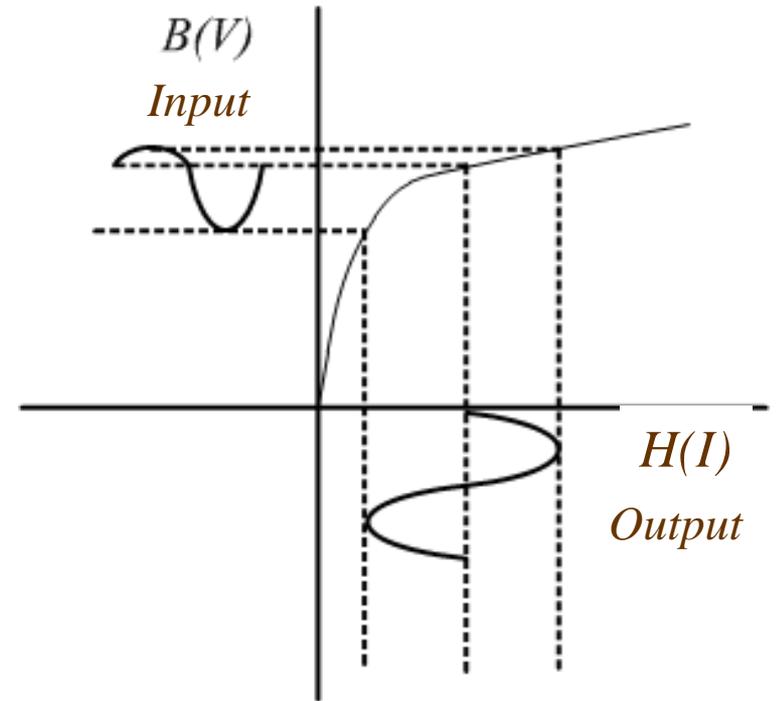
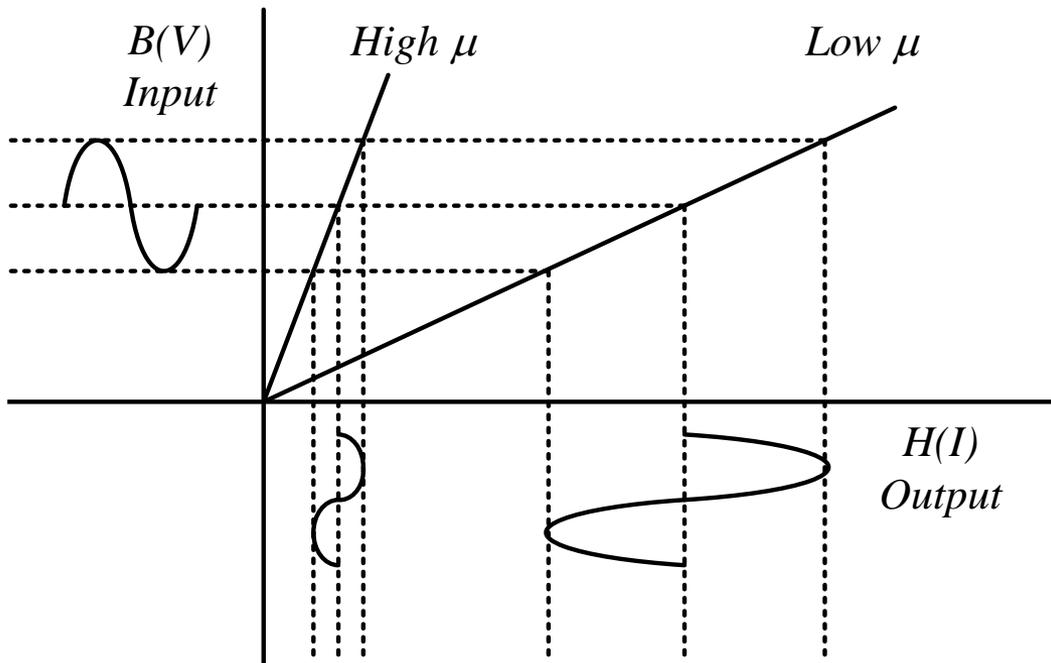
<i>Material</i>	<i>Frequency Range</i>	<i>B<sub>sat</sub></i>	<i>Cost</i>
<i>Ferrites</i>	<i>Good to microwaves</i>	<i>0.2 T</i>	<i>Low</i>
<i>MPP (Moly Permalloy Powder)</i>	<i>200kHz</i>	<i>0.2 to 0.55 T</i>	<i>High</i>
<i>Powdered Fe</i>	<i>1MHz</i>	<i>0.4 to 1 T</i>	<i>Low</i>
<i>Laminated Si-Fe</i>	<i>2kHz</i>	<i>1T</i>	<i>Low</i>
<i>Laminated Electrical Steel</i>	<i>2kHz</i>	<i>0.5 to 1.8 T</i>	<i>Low</i>
<i>Ni-Fe Alloys</i>	<i>100kHz</i>	<i>0.5 to 1.8 T</i>	<i>High</i>



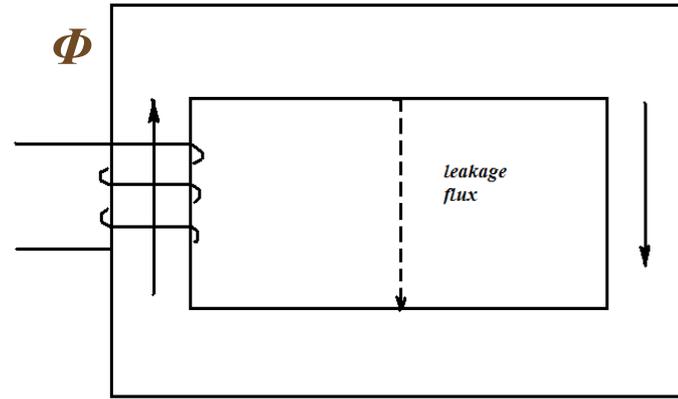
# Transformer Concepts

*Effect of permeability magnitude on transformer operation*

*Effect of permeability nonlinearity on transformer operation*



## Relationship Between $v(t)$ and $B(t)$



$$v(t) = -\frac{d\Phi(t)}{dt} = V_{max} \cos 2\pi f t$$

$$v_t(t) = \frac{v(t)}{N_p} = \frac{V_{max} \cos 2\pi f t}{N_p}$$

$$v_t(t) = \text{volts per primary winding turn}$$

$$\Phi(t) = -\int v_t(t) dt = \int_s B(t) \cdot dA_c$$

$$A_c = \text{core crosssectional area}$$

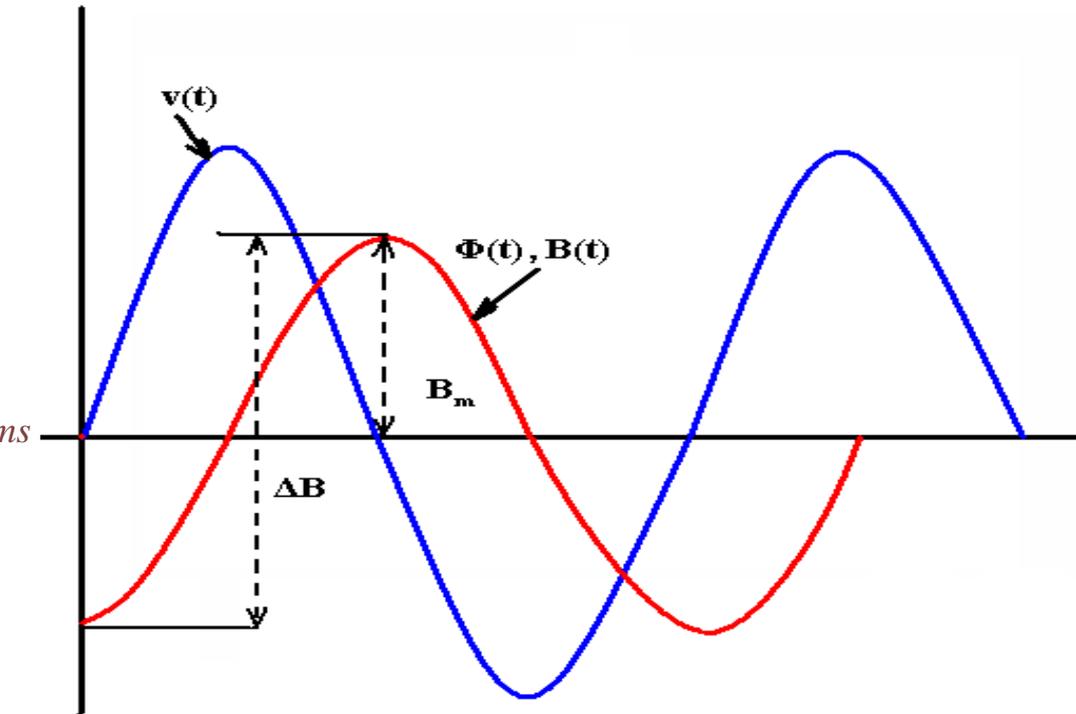
$$\int_s B(t) \cdot dA_c = -\int v_t(t) dt = -\int \frac{V_{max} \cos 2\pi f t}{N_p} dt$$

$$B(t) A_c = \frac{V_{max} \sin 2\pi f t}{2\pi f N_p}$$

$$B(t) = \frac{V_{max} \sin 2\pi f t}{2\pi f N_p A_c}$$

$$B_{max} \text{ occurs when } \sin 2\pi f t = 1 \text{ and } V_{max} = \sqrt{2} V_{rms}$$

$$B_{max} = \frac{\sqrt{2} V_{rms}}{2\pi f N_p A_c} = \frac{V_{rms}}{4.44 f N_p A_c}$$



## Transformer Design – Ensure Sufficient Core Crosssection

$$B_{max} = \frac{V_{rms}}{4.44 * f * A_c * N_p * 10^{-8}}$$

where

$B_{max}$  = maximum allowable fluxdensity in gauss

$V_{rms}$  = voltage applied to the primary in volts

4.44 =  $\frac{\sqrt{2}}{2\pi}$  converts peak AC to rms and  $\omega$  to  $f$  (Hz)

$f$  = frequency of the applied voltage in hertz

$A_c$  = Core crosssectional area in  $cm^2$

$N_p$  = Number of primary winding turns

$10^{-8}$  = conversion from engineering to SI units

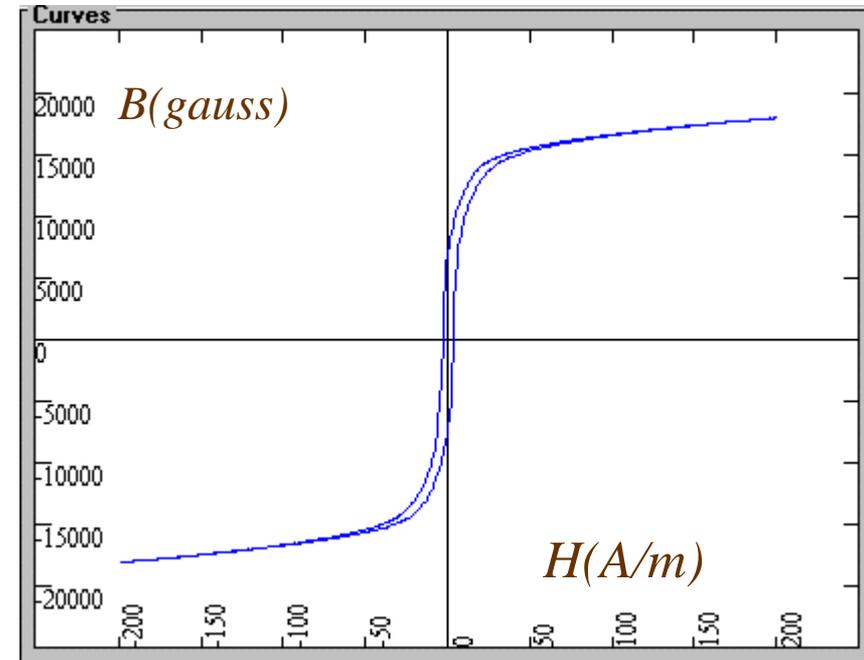
Example for a 480V, 600kVA, laminated electrical steel core

$$B_{max} = \frac{480V * 1.05(\text{voltage safety factor})}{4.44 * 60Hz * 300cm^2 * 60\text{turns} * 10^{-8}} = 10,510 \text{ gauss}$$

For square wave or rectangular wave excitation

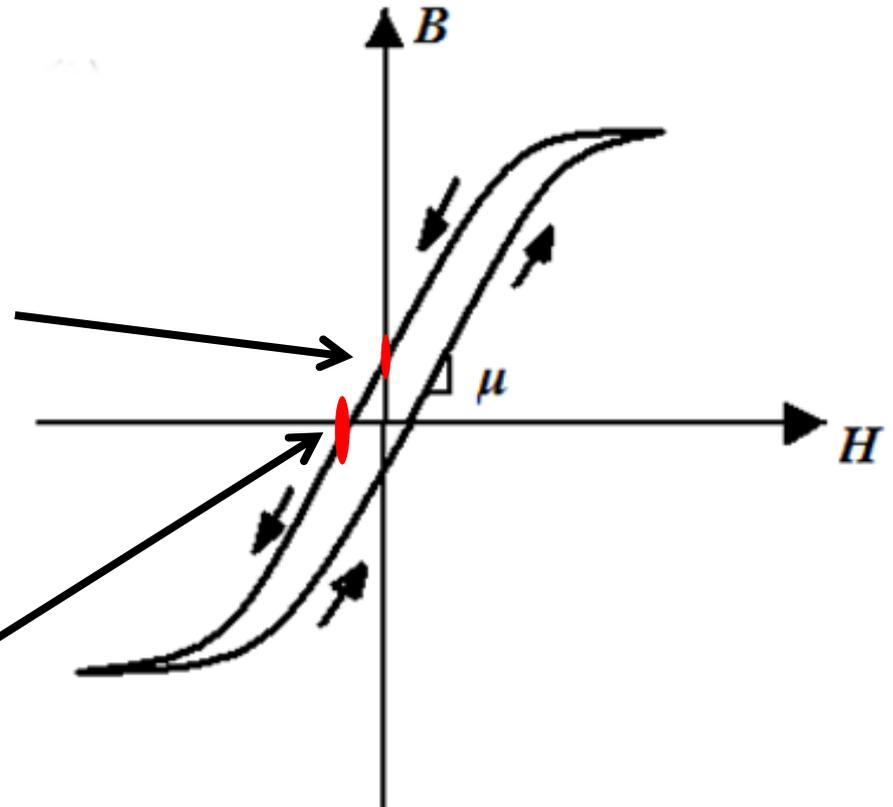
$$B_{max} = \frac{V_{peak}}{2\pi * f * A_c * N_p * 10^{-8}}$$

$V_{peak}$  = peak applied voltage



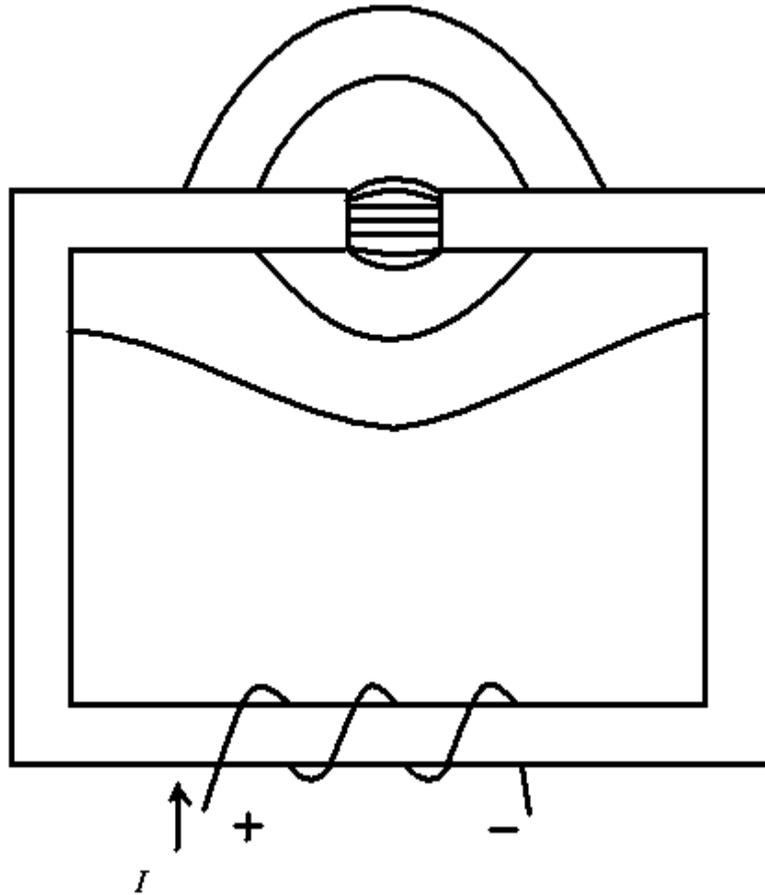
## Transformer Design Issues

- *Four quadrant B-H curves are known as hysteresis curves. Note that the curve is open in the middle. This is a consequence of the magnetic microstructure.*
- **Remanence** is defined as the absolute value of the magnetic field when the applied voltage is removed. The remnant field can cause inrush current problems when the transformer is re-energized
- **Coercive Force** - The amount of reverse magnetic field which must be applied to a magnetic material to make the magnetic flux return to zero.

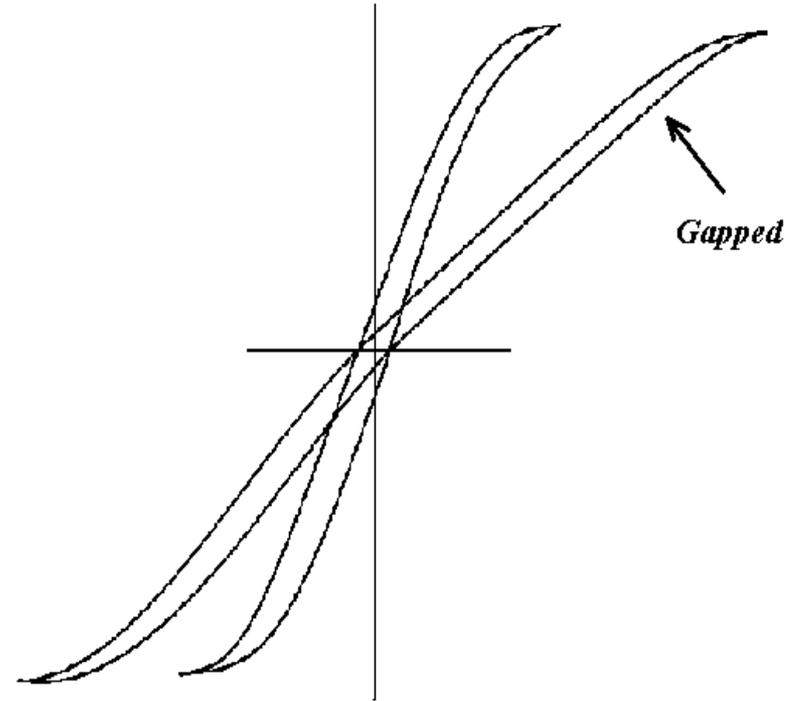




# Effect of Air Gap



*Inductor With An Air Gap*



B-H Loop



## *Why We Use Air Gaps*

- *They are unavoidable in many cores*
- *In an inductor they permit increased energy storage for a given  $B$  by reducing the effective permeability*
- *Air gaps also stabilize the inductance value for both bias and manufacturing variations*
- *In general gaps are undesired in transformers but very useful in inductors*
- *An air gap may be discrete or distributed*

## Transformer Design Issues – Inrush Current

For the 480V, 600kVA transformer

$$i_{max} = \frac{10^3 * h * A_c * ((B_r + 2 * B_{max}) - 130)}{3.2 * N_p * A_s}$$

$i_{max}$  = maximum instantaneous current in amperes

$h$  = the length of the coil in inches=40

$A_c$  = the cross-sectional area of the core in sq inches=46.5

$B_{max}$  = Maximum flux density=10,500G=1.05T=68 kilolines per square inch

$B_r$  = residual flux density in kilolines (Maxwells) per square inch  
= 60% of 1.05T, expressed as 41 kilolines per square inch

$N_p$  = number of primary turns=60

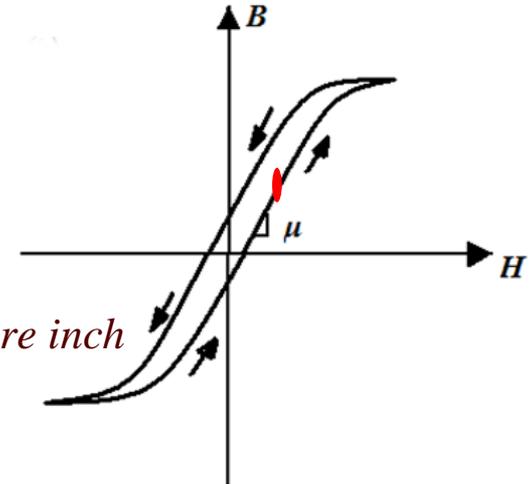
$A_s$  = effective square inches of the air-core magnetic field=69.4

Example  $I_{fl} = \frac{600kVA}{\sqrt{3} * 480V} = 722A$ , the inrush current is

$$i_{inrush} = \frac{10^3 * 40 * 46.5 * ((41 + 2 * 71) - 130)}{3.2 * 60 * 69.4} = 6.56kA$$

This is about 9X the transformer full load (operating) current

Reduce the inrush current by increasing the number of primary turns and/or increasing the effective area of the air-core magnetic field



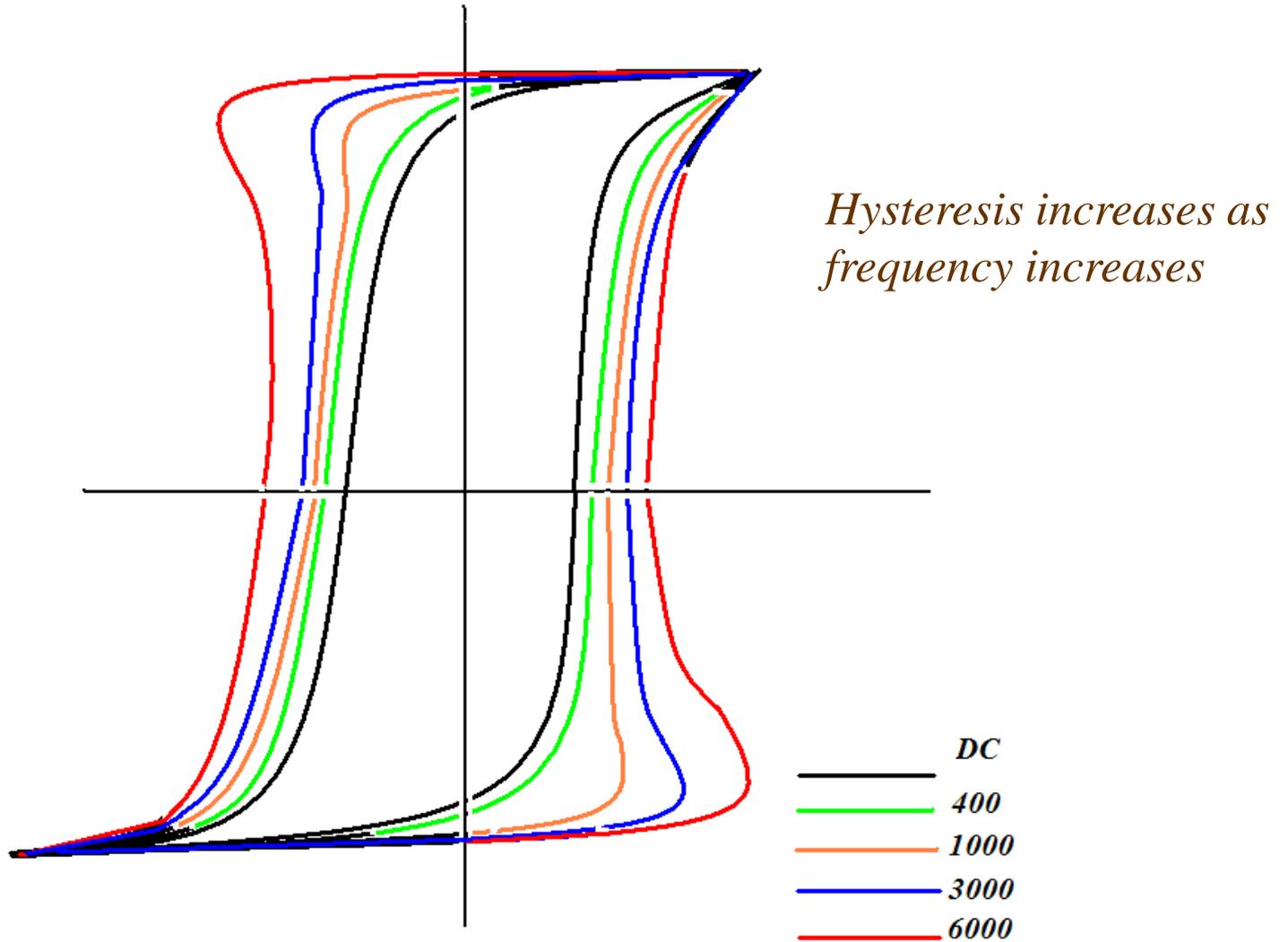


## *Transformer Losses*

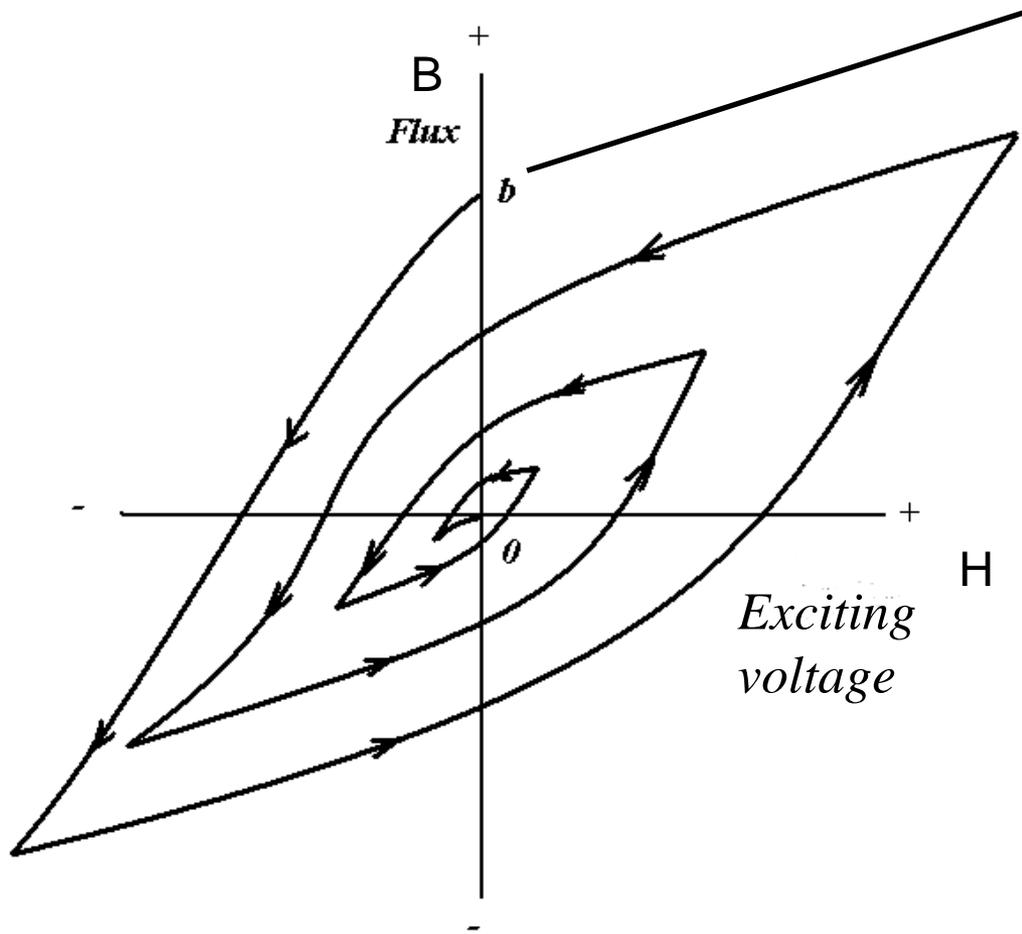
*There are always energy losses in transformers. These energy losses generate heat in the form of core losses and winding losses. The losses are from the following sources:*

- 1. Hysteresis loss from sweeping of flux from positive to negative and the area enclosed by the loop is the loss. Hysteresis loss is due to the energy used to align and re-align the magnetic domains. The smaller the loop area, the smaller the energy loss per cycle*
- 2. Eddy current loss from the circulating currents within the cores due to flux – generated voltages.*
- 3. Copper or winding loss. This is also dependent on the wire size, switching frequency, etc. Skin effect and proximity effect will contribute to this loss.*

# Effect of Frequency on B-H Characteristics



## Demagnetization Or Degaussing



*Removing residual magnetism from a ferromagnetic circuit by using decreasing excitation*



## *Skin Effect*

- *As the frequency of a given ac current in a conductor is increased, the power dissipation increases*
- *We ascribe this to an increase in ac resistance of the conductor but in actuality it is due to a rearrangement of the current distribution within the conductor*
- *The increase in loss is due to a tendency for the current to concentrate on the perimeter of the conductor rather than being uniform over the conductor area as it would be at dc*
- *This effect becomes more severe as frequency is increased*
- *This is called “skin effect”*

$$\delta = \frac{1}{\sqrt{\pi f \mu \sigma}} \quad \text{meters}$$

*63% of the current is carried in this depth.*

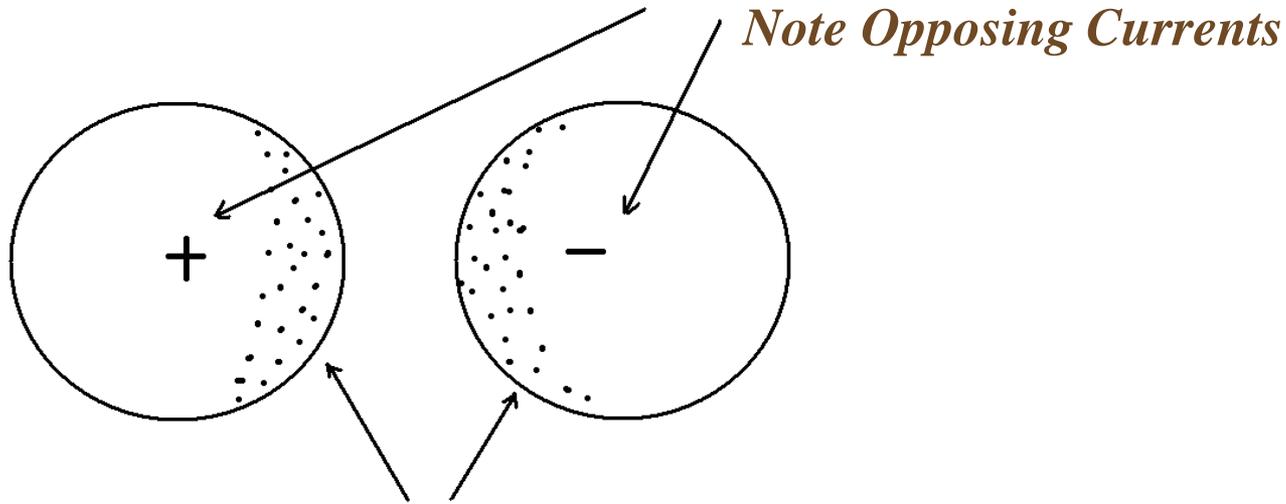


## *Proximity Effect*

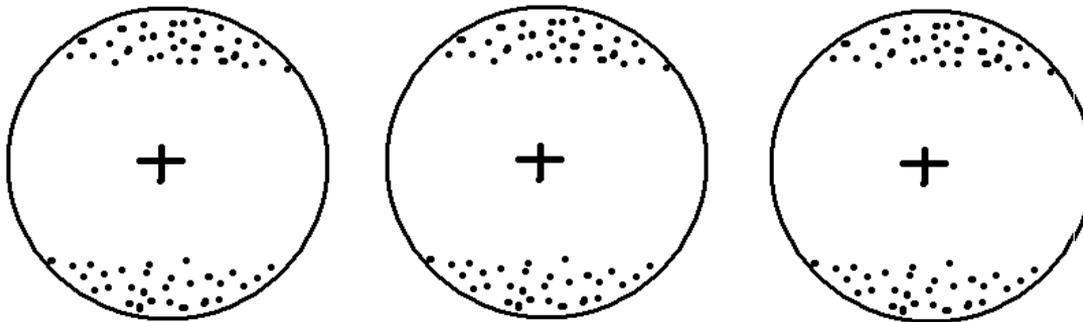
- *A current carrying conductor will generate a magnetic field*
- *This field can induce eddy currents in nearby conductors, increasing losses in addition to any skin effect. The eddy currents obey Lenz's Law. They flow in a direction that reduces the flux in the conductor*
- *This is referred to as "proximity effect"*
- *In a transformer or inductor, the inner windings operate in a field created by the outer windings*
- *This can also limit the conductor size*
- *As a general rule the wire diameter or the layer thickness is usually less than twice the skin depth at the operating frequency. For multi-layer windings wire diameters of less than 0.5 skin depth may be required.*



## *Proximity Effect*



*Current Concentrates At One Side*



↑ *Proximity Effect - Multiple Parallel Wires*



## *Inductors*

### *Purposes*

- *Used as filters for smoothing power supply ripple*
- *Used as fault current limiting reactors in AC power currents*
- *Used to limit  $di/dt$  in certain pulsed circuits*

### *Requirements*

- *Must carry high DC current*
- *Must select core size that is able to store the required magnetic energy (volt-seconds)*
- *An air gap is sometimes employed to extend DC current capability without saturating. Iron and Ferrites are manufactured with distributed air gaps.*



## *Basic Equation for An Inductor*

$$L = \frac{\mu_0 \mu_r N^2 A_c}{\mu_r l_g + l_c}$$

*where*

$N$  = *the number of winding turns (dimensionless)*

$A_c$  = *the core cross sectional area in m<sup>2</sup>*

$l_c$  = *the length of the magnetic path in the core in m*

$l_g$  = *the effective length of the air gap in m*

$\mu_r$  = *core material permeability under the operating conditions (dimensionless)*

$$\mu_0 = \frac{4\pi * 10^{-7} H}{m}$$



## *Section 10 - Controls*

- *Electric Circuit Theory*
- *Stability*
  - *Zero Flux Current Transducers*
  - *Shunt Resistors*
- *Feedback Loops*
- *Power Supply Controllers*

*Kirchoff's current law - sum of all current into a node is 0*

*Kirchoff's voltage law - sum of all voltages around a loop is 0*

*Voltage-current relations across passive elements*

$$V = R * I \quad V = L * \frac{di}{dt} \quad I = C \frac{dv}{dt}$$

$$v(t) = Ri(t) + L \frac{di(t)}{dt} \quad \text{Real magnet with R and L components}$$

*Represent the current  $i(t)$  as a complex exponential*

$i(t) = I e^{j\omega t}$  then the equation for  $v$  becomes

$$V e^{j\omega t} = R I e^{j\omega t} + L j \omega I e^{j\omega t} = (R + j\omega L) I e^{j\omega t}$$

$I e^{j\omega t}$  is the eigenfunction

$(R + j\omega L)$  is the eigenvalue, which, is the impedance,  $Z(\omega)$

## Electrical Circuit Theory - Circuit Analysis Using Calculus

$$\text{KVL} \quad -A(t) + Ri(t) + v_c(t) = 0 \quad \text{But } i(t) = C \frac{dv_c(t)}{dt}$$

System equation

$$RC \frac{dv_c(t)}{dt} + v_c(t) = A(t) \quad \text{Let } RC = \tau$$

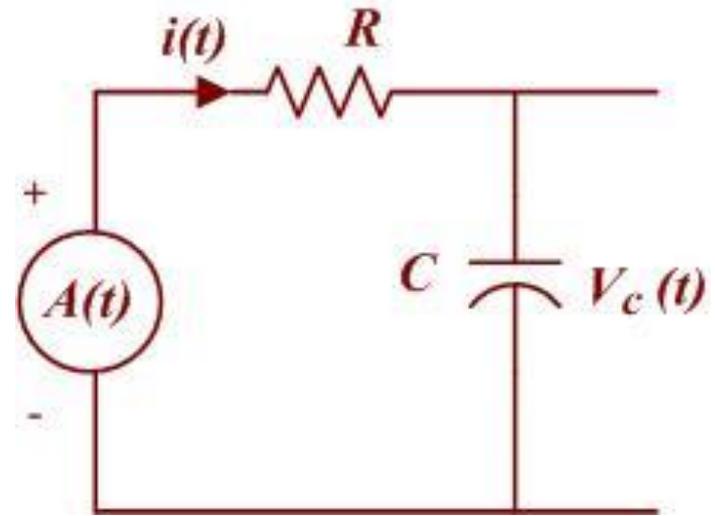
Solution

$$v_c(t) = v_c(0)e^{-\frac{t}{\tau}} + \tau^{-1}e^{-\frac{t}{\tau}} \int_0^t A(u)e^{\frac{u}{\tau}} du$$

For the case when  $A$  is constant

$$v_c(t) = \left[ v_c(0)e^{-\frac{t}{\tau}} + A(1 - e^{-\frac{t}{\tau}}) \right]$$

This is now in the form of an initial value multiplied by an eigenfunction and an input multiplied by the same eigenfunction



## Electrical Circuit Theory - Circuit Analysis Using Transforms

Repeat the same problem using Laplace transforms

$$C \frac{dv_c(t)}{dt} = \frac{[-v_c(t) + A(t)]}{R}$$

Transform both sides

$$C[sV_c(s) - v_c(0)] = -\frac{1}{R}V_c(s) + \frac{1}{R}A(s)$$

$$\left(sC + \frac{1}{R}\right)V_c(s) = Cv_c(0) + \frac{1}{R}A(s)$$

$$V_c(s) = \frac{1}{s + \tau^{-1}}v_c(0) + \frac{\tau^{-1}}{s + \tau^{-1}}A(s) \quad \text{let } \tau^{-1} = \alpha$$

For the case when  $A$  is constant

$$= \frac{1}{s + \alpha}v_c(0) + A \frac{1}{s} \frac{\alpha}{s + \alpha}$$

Take the inverse transform

$$v_c(t) = v_c(0)e^{-\alpha t} + A(1 - e^{-\alpha t})$$

$$v_c(t) = v_c(0)e^{\frac{-t}{\tau}} + A(1 - e^{\frac{-t}{\tau}}) \quad \text{Same result as on the previous page}$$

Take the inverse transform to obtain

$$v_c(t) = v_c(0)e^{-\frac{t}{\tau}} + A \left( 1 - e^{-\frac{t}{\tau}} \right), \text{ as before}$$

From the transform equation

$$V_c(s) = \frac{1}{s + \tau^{-1}} v_c(0) + \frac{\tau^{-1}}{s + \tau^{-1}} A(s)$$

we can immediately read off the system transfer function

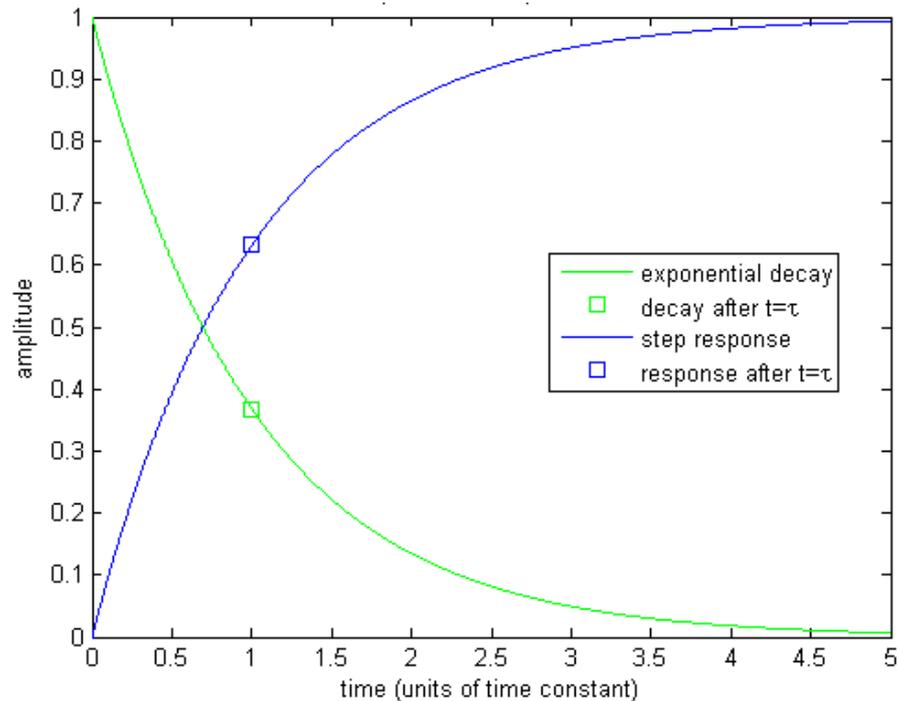
as the ratio of  $\frac{V_c(s)}{A(s)} = \frac{\tau^{-1}}{s + \tau^{-1}}$  when the initial conditions are zero.

We also see that both the transfer function and the response to the initial conditions have the same poles and therefore similar frequency characteristics

## Electrical Circuit Theory - One Pole Low-Pass Systems

- Dynamics are determined by the numerator and denominator of transfer function
- The values of  $s$  for which the numerator or denominator vanishes are called “zeroes” and “poles”, respectively
- One pole circuits all have the same shape response and depend only on the time constant,  $\tau = RC$  or  $L/R$
- A one pole circuit rises to 63% or decays to 37% of its final value at  $t = \tau$

$$H(s) = \frac{\tau^{-1}}{s + \tau^{-1}}$$



## Electrical Circuit Theory - One Pole Low Pass Frequency Response

- *Since we will analyze our systems primarily in the frequency domain, it is important to understand the properties of a one pole system as a function of frequency.*
- *We can calculate the transfer function using algebra on the system impedances*

$$\begin{aligned} H(j\omega) &= \frac{\frac{1}{j\omega C}}{R + \frac{1}{j\omega C}} \\ &= \frac{\tau^{-1}}{j\omega + \tau^{-1}} \\ &= \frac{1}{1 + j\omega\tau} \end{aligned}$$

## Electrical Circuit Theory - One Pole LP Frequency Response

$$\text{Magnitude} \quad |H(j\omega)| = \frac{1}{\sqrt{1+(\omega\tau)^2}}$$

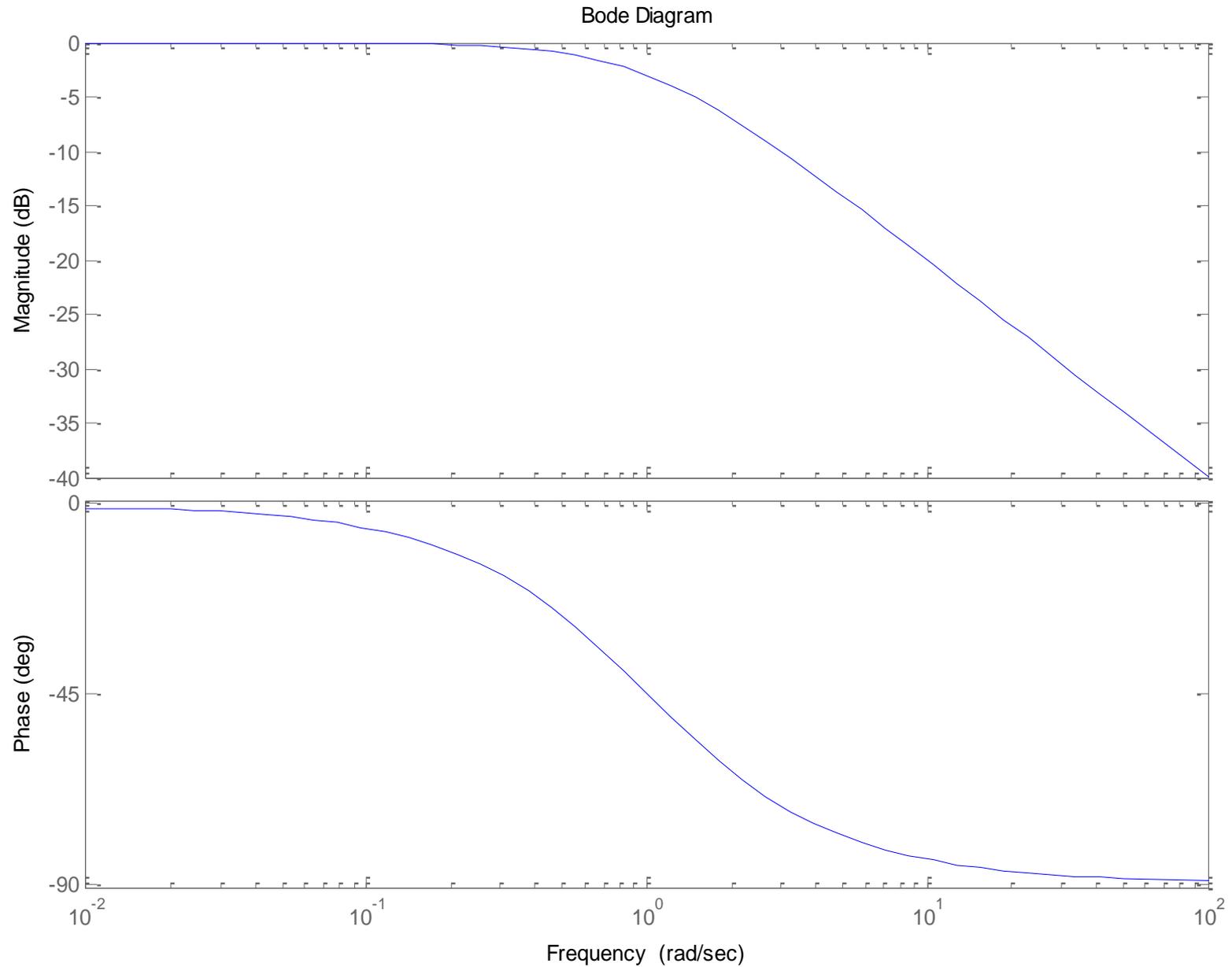
$$\begin{aligned} |H(j\omega)|_{dB} &= 20 \log_{10} |H(j\omega)| \\ &= -10 \log_{10} [1+(\omega\tau)^2] \\ &\cong 0 \quad \text{for } \omega\tau \ll 1 \end{aligned}$$

$$3 \text{ dB (half-power) point} = -10 \log_{10} 2 \quad \text{for } \omega\tau = 1$$

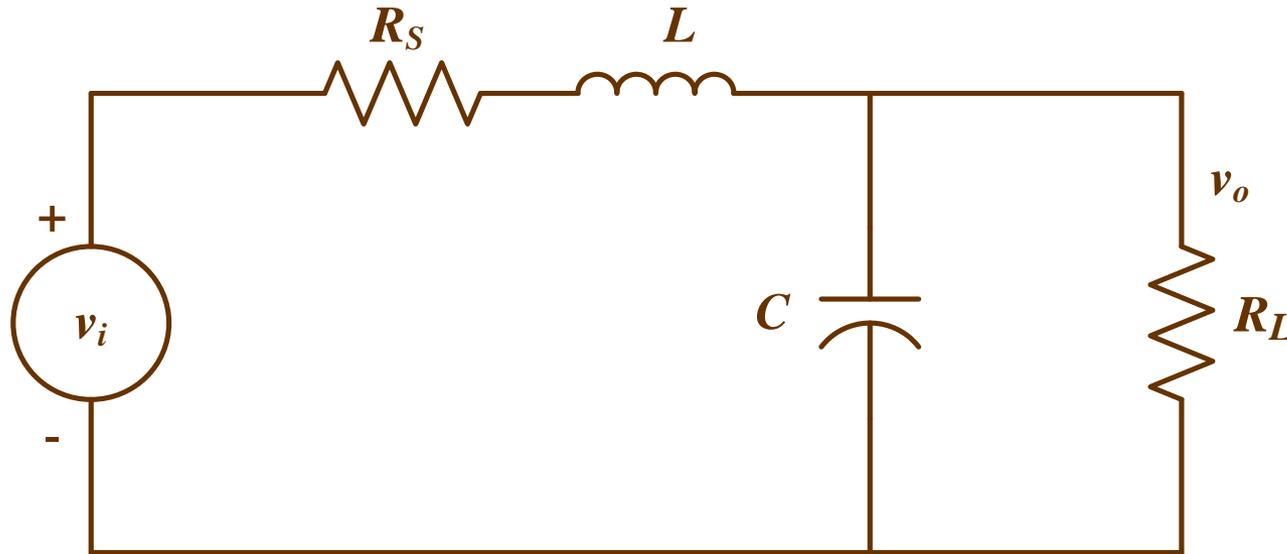
$$20 \text{ dB per decade attenuation} \cong -20 \log_{10} \omega - 20 \log_{10} \tau \quad \text{for } \omega\tau \gg 1$$

$$\begin{aligned} \text{Phase} \quad \angle H(j\omega) &= -\arctan(\omega\tau) \\ &\cong 0 \quad \omega\tau \ll 1 \\ &= -45^\circ \quad \omega\tau = 1 \\ &\cong -90^\circ \quad \omega\tau \gg 1 \end{aligned}$$

# Electrical Circuit Theory - One Pole LP Frequency Response



## Electrical Circuit Theory - Two Pole Low Pass Frequency Response



$$Z_S = R_S + j\omega L = R_S + sL$$

$$\begin{aligned} Z_L &= \frac{\frac{R_L}{j\omega C}}{R_L + \frac{1}{j\omega C}} \\ &= \frac{\frac{R_L}{sC}}{R_L + \frac{1}{sC}} \end{aligned}$$

## Electrical Circuit Theory - Two Pole Systems

Find transfer function of voltage divider

$$\begin{aligned} H(j\omega) &= \frac{\frac{R_L / j\omega C}{R_L + 1/j\omega C}}{R_S + j\omega L + \frac{R_L / j\omega C}{R_L + 1/j\omega C}} = \frac{R_L}{-R_L LC \omega^2 + j(R_L R_S C + L)\omega + (R_S + R_L)} \\ &= \frac{1}{LC} \frac{1}{-\omega^2 + j(R_S/L + 1/R_L C)\omega + (1 + R_S/R_L)(1/LC)} \quad \text{let } \omega_0^2 = 1/LC \\ &= \frac{\omega_0^2}{-\omega^2 + j(R_S/L + 1/R_L C)\omega + (1 + R_S/R_L)\omega_0^2} \end{aligned}$$

This has the form

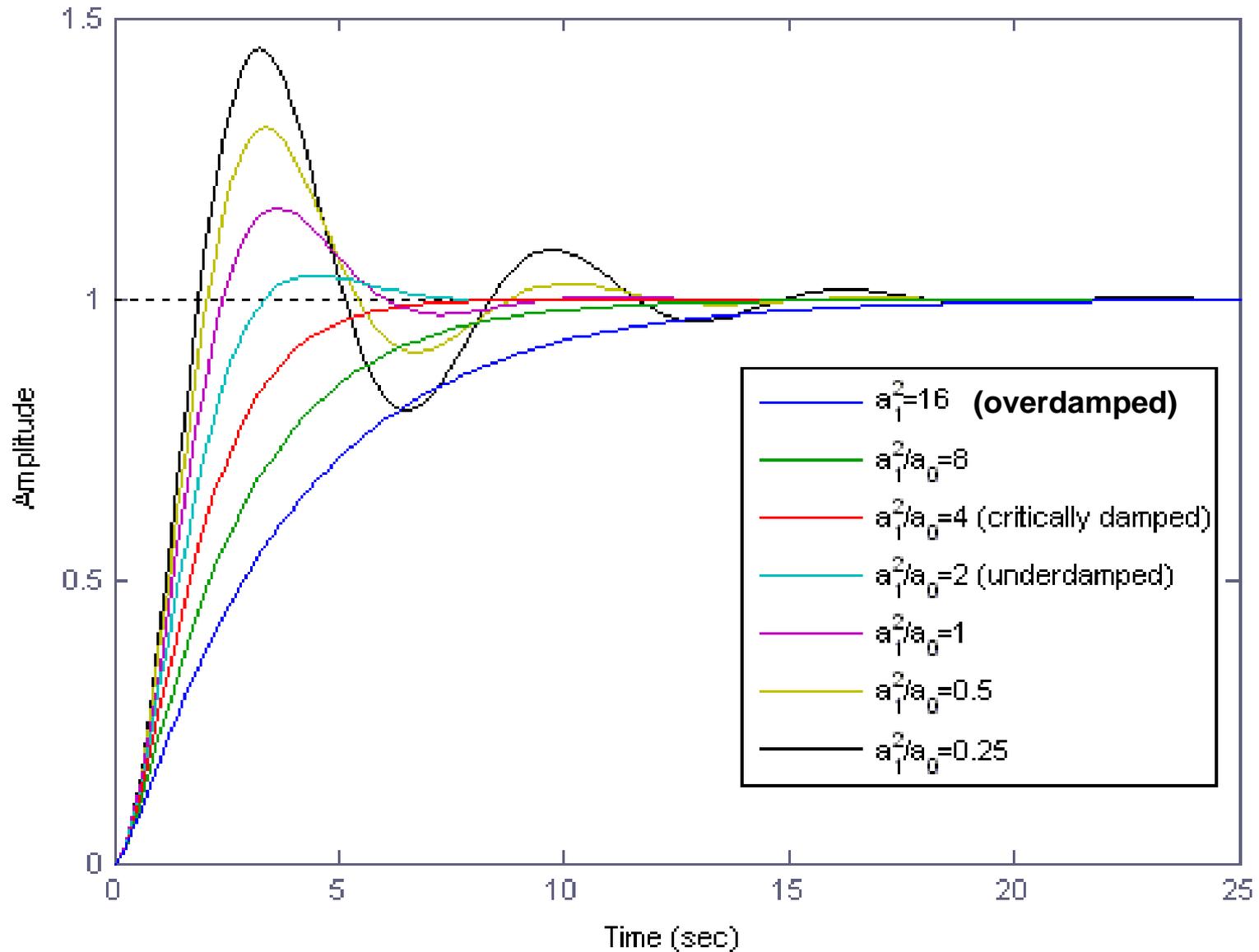
$$\begin{aligned} H(s) &= \frac{a_0}{s^2 + a_1 s + a_0} = \frac{a_0}{(s - s_1)(s - s_2)} \\ s_1 &= -\frac{a_1}{2} + \sqrt{\left(\frac{a_1}{2}\right)^2 - a_0} \quad s_2 = -\frac{a_1}{2} - \sqrt{\left(\frac{a_1}{2}\right)^2 - a_0} \end{aligned}$$



## *Electrical Circuit Theory - Two Pole Systems*

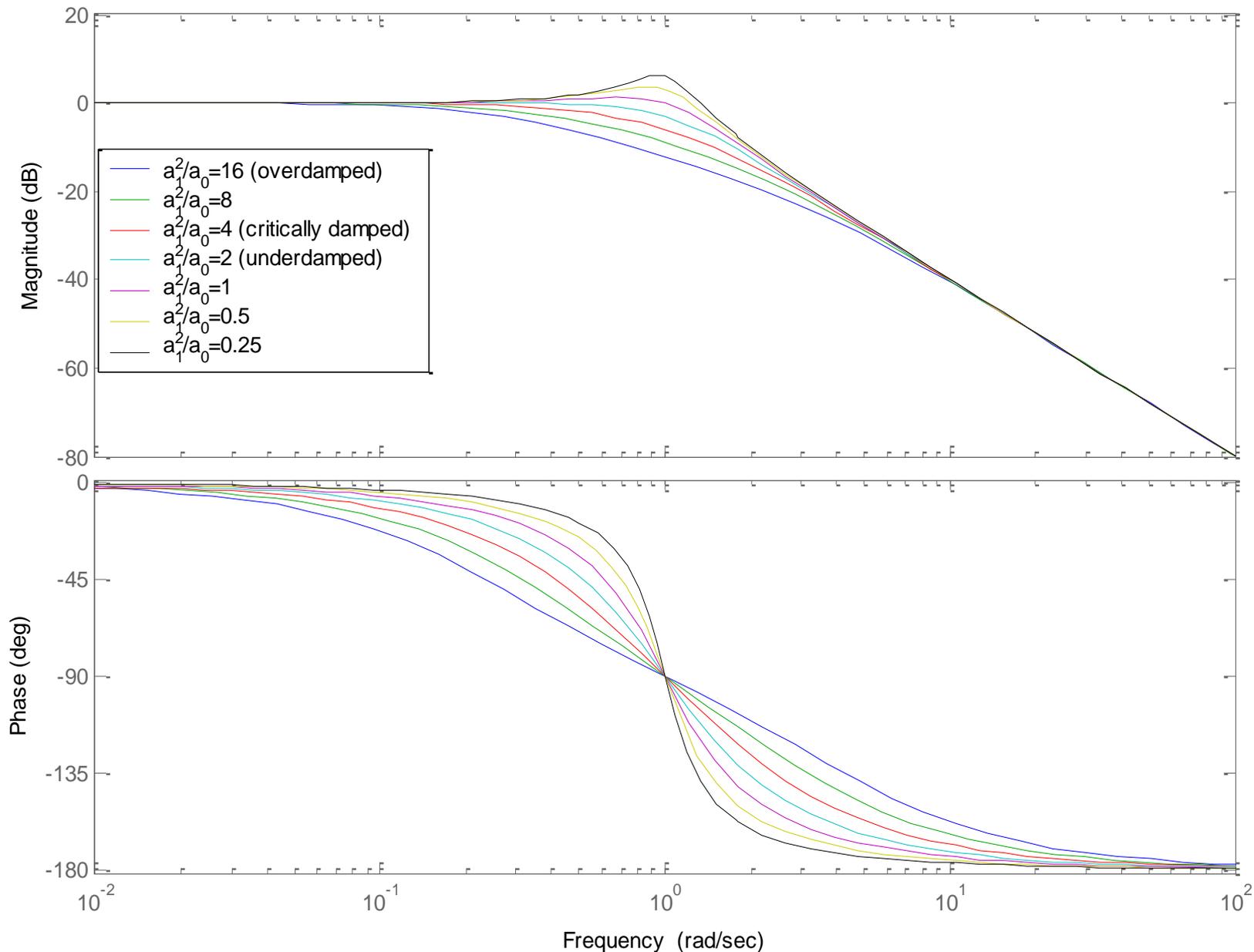
- *Two pole circuits have two degrees of freedom. One degree sets the system time scale. One degree sets the stability parameter*
- *For a given time scale, the more stable the system, the slower its response. Two pole systems can be separated into three categories*
- *Over-damped system radical is positive, roots are real  $a_1^2 / a_0 > 4$* 
  - *Both poles are real*
  - *No oscillation in step response*
- *Critically damped system radical is zero, roots are real  $a_1^2 / a_0 = 4$* 
  - *Both poles are real and identical*
  - *Fastest step response with no oscillation*
- *Under-damped system radical is negative, roots are complex  $a_1^2 / a_0 < 4$* 
  - *Poles are complex conjugates of each other*
  - *Step response is faster than the other two, but has overshoot*

# Electrical Circuit Theory - Two Pole System Step Response



# Electrical Circuit Theory - Two Pole System Frequency Response

Bode Diagram



## Electrical Circuit Theory - Two Pole System Frequency Response

### Summarizing

- *Low and high frequency behavior is almost independent of  $a_1$*
- *At low frequencies the magnitude is constant and the phase approaches  $0^\circ$*
- *At high frequencies the magnitude decreases 40 dB/decade (20 dB/pole) and the Phase approaches  $-180^\circ$  ( $-90^\circ$ /pole)*
- *At  $\omega_0$   $a_1$  determines attenuation and phase slope*
- *Increased rise time and overshoot are the result of additional response near  $\omega_0$*
- *A resonant circuit is a lossless ( $R_S = 0$  and  $R_L = \infty$  in diagram) second order circuit often encountered in pulsed-power systems. Real systems have loss (and damping), but can be well approximated by resonant circuits*
- *The resonant frequency is  $f = \frac{1}{2\pi\sqrt{LC}}$*



## *Electrical Circuit Theory - Bode Plots*

- *Bode plots are a standard way to present properties of feedback systems*
- *Each pole*
  - *Corresponds to a 6 dB/octave (20 dB/decade) roll-off in amplitude above the pole*
    - *Represent magnitude on log-log plot with a straight line that has a 6 dB/octave kink at the pole*
  - *Corresponds to a 90 degree phase shift at high frequencies*
    - *0 angle shift at  $f_c/10$*
    - *-45 degree shift at  $f_c$*
    - *-90 degree shift at  $10 * f_c$*



## *Electrical Circuit Theory - Bode Plots*

- *Complex conjugate poles are slightly more complex*

*Far from the poles they have the same behavior as two real poles*

- *12 dB/octave*
- *180 degree phase shift*

*Near the pole frequency, their behavior depends on the damping factor of the complex pole pair*

- *Similar rules exist for zeros*

*6 dB/octave increase in gain above zero*

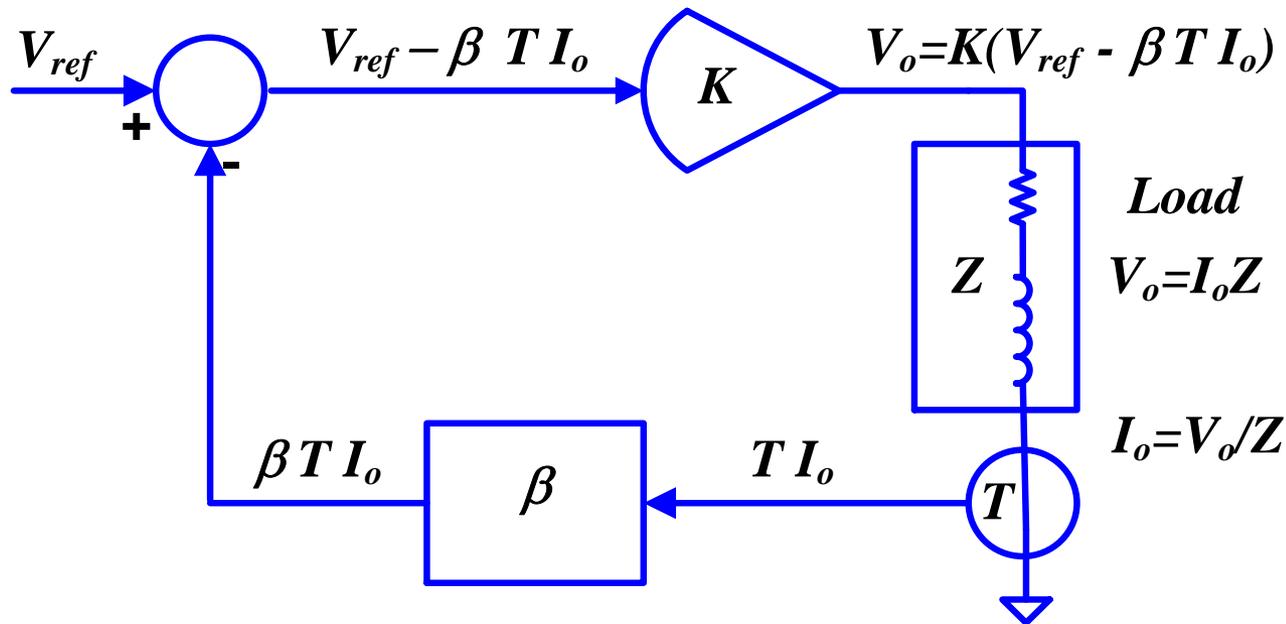
*+45 degree phase shift at the zero*



## *Electrical Circuit Theory - Feedback*

- *Purpose of a power supply is to provide stable power*
- *Use feedback circuits to*
  - *Regulate a system, that is, keep the output fixed at a desired constant value*
  - *Control a system, that is, force the output to follow a variable control input*

## Stability - Introduction

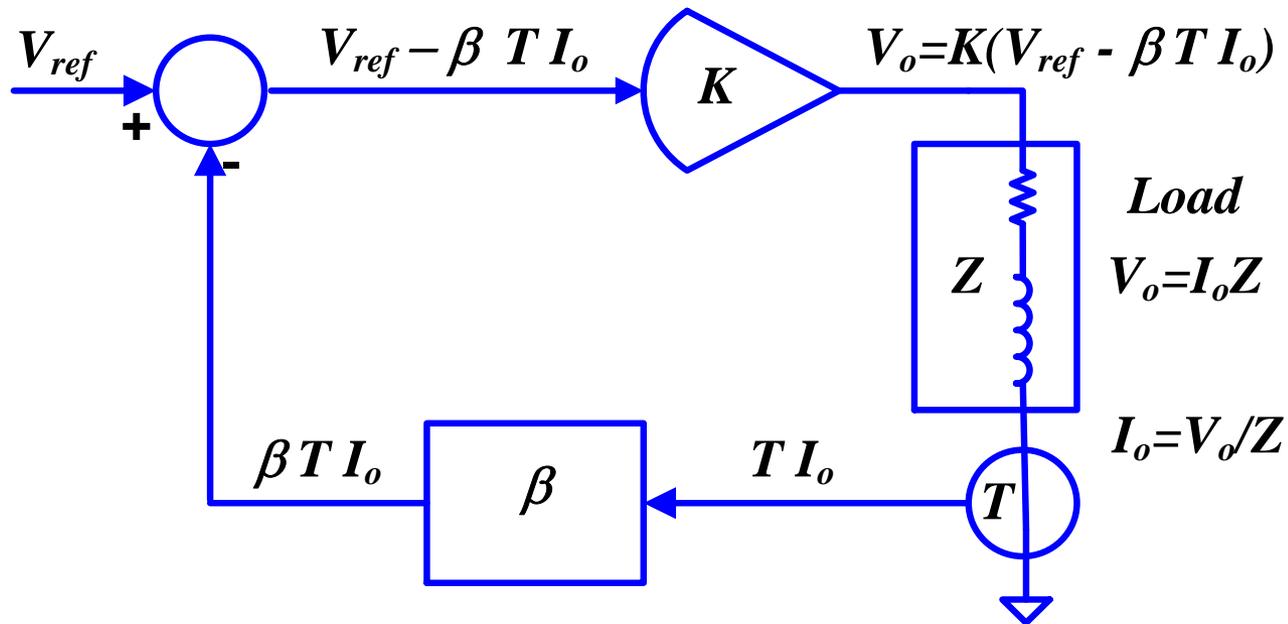


$$V_o = K(V_{ref} - \beta T I_o)$$

$$I_o Z = K(V_{ref} - \beta T I_o) \text{ rearranging gives } \frac{I_o}{V_{ref}} = A_{CL} = \frac{K / Z}{1 + \beta T K / Z}$$

- $A_{CL}$  is called the closed loop gain
- For  $\beta T K / Z \gg 1$  
$$A_{CL} = \frac{1}{\beta T}$$
- Power amplifier and load characteristics ( $K, Z$ ) relatively unimportant, gain and stability dependent upon feedback loop  $\beta T$

## Stability - Introduction



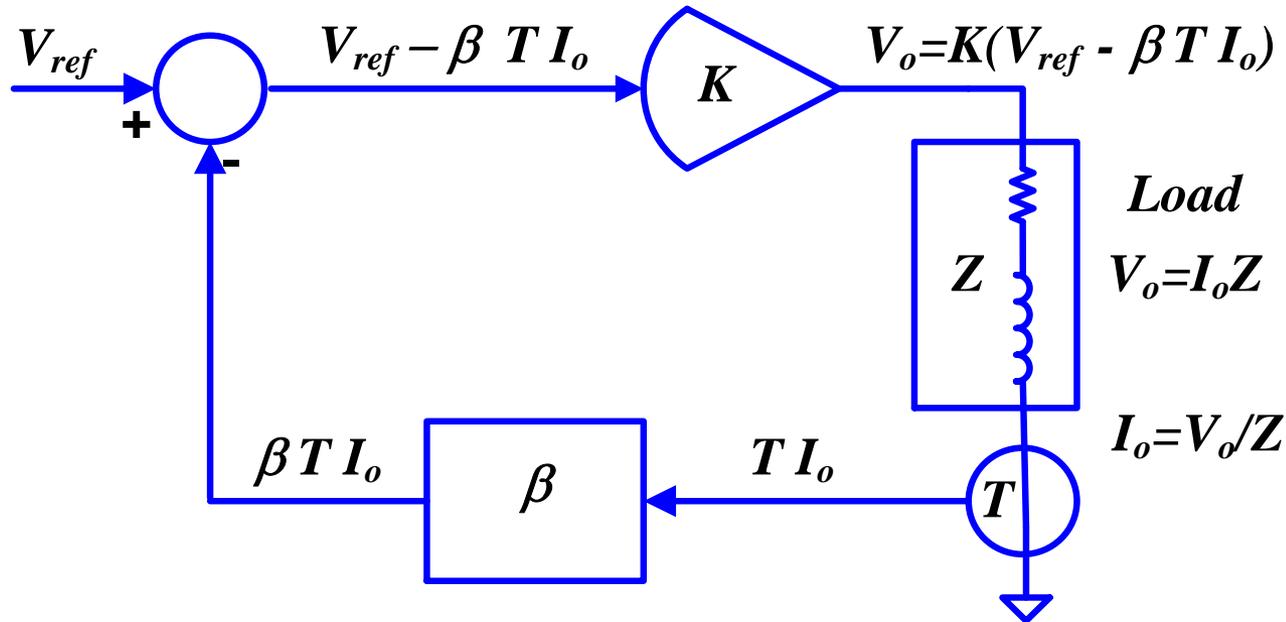
*The feedback loop ensures the output always follows the input*

$I_o = K/Z (V_{ref} - \beta T I_o)$		
$V_{ref}$	$V_{ref} - \beta T I_o$	$I_o$
$V_{ref} \downarrow$	$V_{ref} - \beta T I_o \downarrow$	$I_o \downarrow$
$V_{ref} \uparrow$	$V_{ref} - \beta T I_o \uparrow$	$I_o \uparrow$
$I_o \downarrow$	$V_{ref} - \beta T I_o \uparrow$	$I_o \uparrow$
$I_o \uparrow$	$V_{ref} - \beta T I_o \downarrow$	$I_o \downarrow$

### *Three Types of Stability*

- *Stability against oscillation*
- *Stability against short and long-term output voltage or current drift*
- *Stability (Regulation) against rapid, short changes in line voltage or load characteristics*

## Stability Against Oscillation

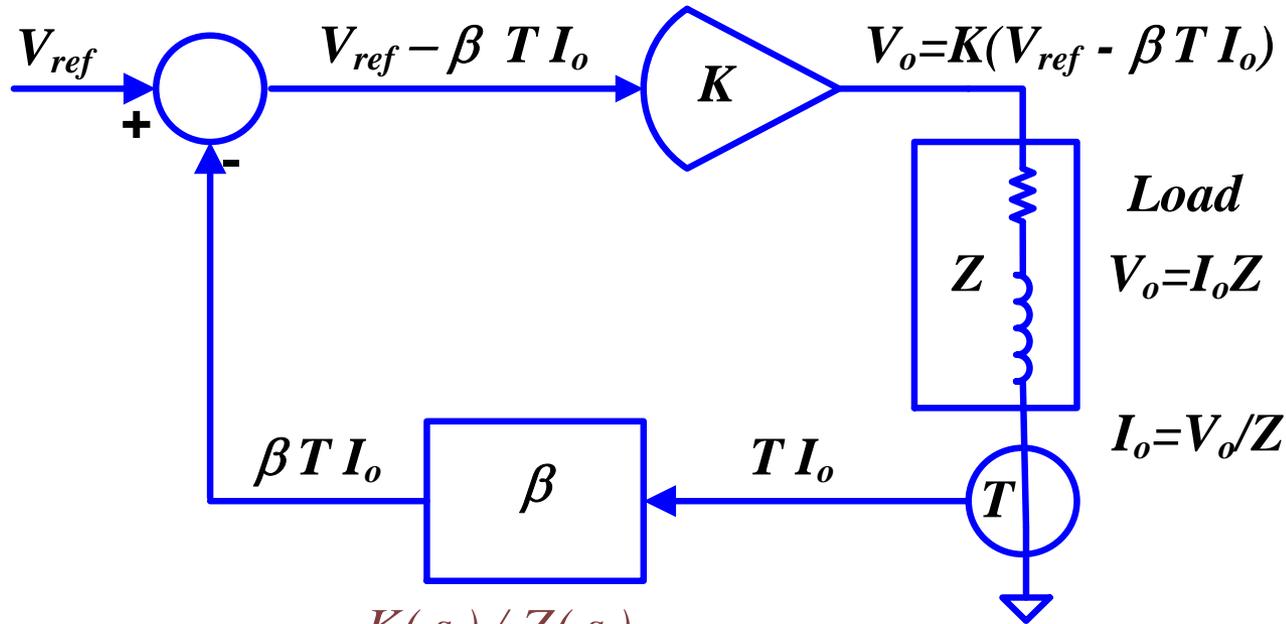


$$\frac{I_o}{V_{ref}} = A = \frac{K / Z}{1 + \beta T Z / K}$$

$$\frac{I_o(s)}{V_{ref}(s)} = A(s) = \frac{K(s) / Z(s)}{1 + \beta(s) T(s) Z(s) / K(s)}$$

*All the elements of the transfer function, gain, or in this case, the transconductance, are all functions of frequency  $s = j\omega = j2\pi f$*

## Stability Against Oscillation



$$\frac{I_o(s)}{V_{ref}(s)} = A(s) = \frac{K(s)/Z(s)}{1 + \beta(s)T(s)K(s)/Z(s)}$$

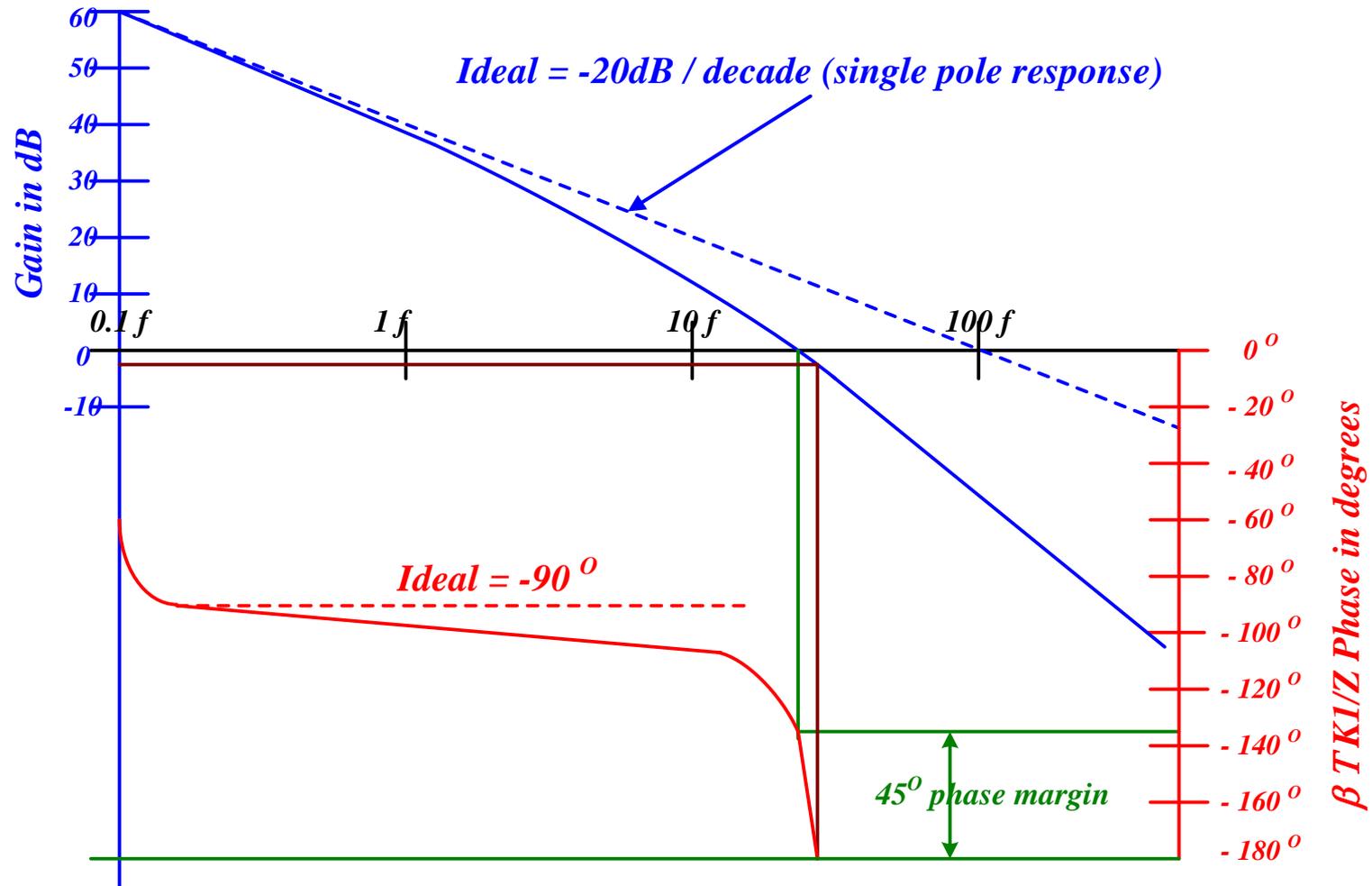
Very simply :  $1 + \beta(s) T(s)K(s)/Z(s)$  must not = 0 or approach 0 (avoid singularity)

$\beta(s) T(s) K(s)/ Z(s)$  must not = -1 in order to avoid oscillations

$$|\beta| e^{j\alpha} |T| e^{j\beta} |K| e^{j\chi} / |Z| e^{j\phi} = |\beta| |T| |K| |1/Z| e^{j(\alpha+\beta+\chi-\phi)}$$

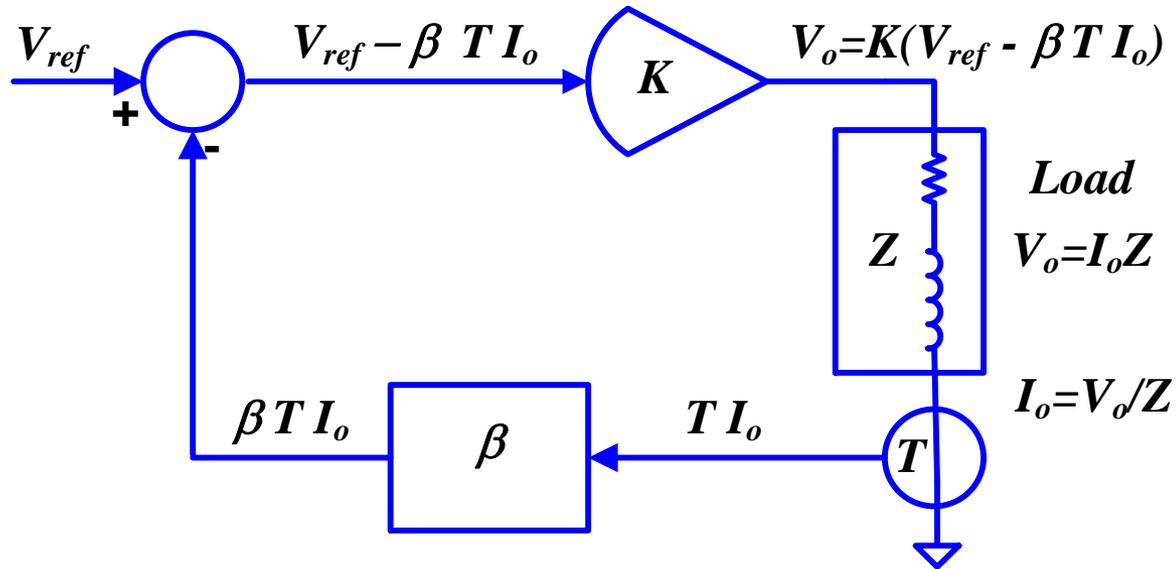
$$|\beta| |T| |Z| |1/K| \neq 1 \quad \text{when} \quad \alpha + \beta + \chi - \phi = \pm 180^\circ$$

## Stability Against Oscillation



- For stability, the phase shift must be  $< 180^\circ$  when the  $|gain| = 1$
- For stability, the  $|gain|$  must be  $< 1$  when the phase shift is  $180^\circ$

## Factors Affecting Power Supply Drift Stability



*Short-Term (24 hour) Stability - essentially stability against cyclic or diurnal temperature changes.*

$$\frac{I_o}{V_{ref}} = A_{CL} = \frac{K / Z}{1 + \beta T K / Z}$$

*Since  $\beta T K / Z \gg 1$ ,  $\frac{I_o}{V_{ref}} = A_{CL} = \frac{1}{\beta T}$   $K$  is unimportant,*

*stability primarily dependent on transducer  $T$ , feedback factor  $\beta$ , and upon  $V_{ref}$  stability  
In most instances  $V_{ref}$  and the error amplifier are temperature stabilized*



## *Factors Affecting Short-Term (24 hour) Power Supply Drift Stability*

- The diurnal temperature cycle can be as much as 40 °F (22 °C). This globally affects the internal parts as well as the external setpoint*
- All parts (resistors, capacitors, semiconductors, op-amps, etc) are temperature dependent.*
- The load is also temperature dependent and is subject to the same diurnal changes*
- The input line voltage will change during the course of the day as more premises load is consumed or shed*



## *Ensuring Short-Term Drift Stability*

### *General*

- *Use low-temperature coefficient parts or balance (+) coefficient parts with (-) coefficient parts*
- *Enclose the power supply in a controlled environment where temperature change is held to a minimum*
- *10 to 50 ppm attainable w/o temperature control (5 to 10 ppm) with temperature control*

*For the read-back signal, use:*

- *Precision, low-temperature coefficient current transducers (0.3 ppm / °C) with metal film burden resistor (0.9 ppm / °C)  $\cong$  1.2 ppm / °C*
- *Precision, low-temperature coefficient resistors for current shunt or voltage read-back (10 ppm / °C)*

## Stability - Zero Flux Current Transducers



*LEM (was Danfysik)  
Model 866  
0 -  $\pm 600$  A  
 $\pm 400$  mA out  
0.3 ppm /  $^{\circ}$  C  
DC - 100 kHz  
10 kA / mS  
Separate burden resistor*



*LEM (was Danfysik) Model  
860 Series  
0 -  $\pm 1000$  A,  $\pm 2000$  A,  $\pm 3000$   
A  
 $\pm 10$  V out  
0.3 ppm /  $^{\circ}$  C  
DC - 100 kHz  
10 kA / mS*



## *Stability - Isotek Model A-H, Manganin < 10 ppm/°C Shunt Resistor*



<http://www.isotekcorp.com>



## *Factors That Affect Long-Term Stability*

### *Long-Term Stability*

- *All parts are subject to aging.*
- *Resistors increase or decrease in value*
- *Capacitor dielectrics breakdown*
- *Capacitor electrolytes dry out or evaporate and leak*
- *Semiconductor bias points change*
- *Op-amp scale, linearity, monotonicity, gain and offsets change with time*



## *Factors That Affect Long-Term Stability*

### *Stability Enhancement*

- *Accelerate initial aging components prior to intended use by baking at elevated temperatures*
- *Accelerate aging by exposure to electron beam*



## *Factors that Affect Transient Stability (Regulation)*

- *Two types of Regulation – Load and Line*
- *Classic definition of Load Regulation ( 0% is best)*

$$\%V_R = \frac{V_{NL} - V_{FL}}{V_{FL}} * 100\% \qquad \%I_R = \frac{I_{NL} - I_{FL}}{I_{FL}} * 100\%$$

- *Classic definition employing  $V_{NL}$  is usually not applicable. A limited version uses “decreased load or increased load” instead of a no-load condition*

$$\%V_R = \frac{V_{DL} - V_{FL}}{V_{FL}} * 100\% \qquad \%I_R = \frac{I_{DL} - I_{FL}}{I_{FL}} * 100\%$$

- *In addition, the recovery time for the power supply output voltage or current to return the original condition is also specified*

*“The power supply shall have a voltage regulation of 0.5% for load changes of  $\pm 5\%$  from nominal with voltage recovery in  $\leq 2$  milliseconds”*

## *Factors that Affect Stability (Regulation) Against Transient Effects*

- Line Regulation – Definition (HL= output voltage under high line, NL= output voltage under nominal line, LL = output voltage under low line)*

$$\%V_R = \frac{V_{HL} - V_{NL}}{V_{NL}} * 100\%$$

$$\%I_R = \frac{I_{HL} - I_{NL}}{I_{NL}} * 100\%$$

$$\%V_R = \frac{V_{NL} - V_{LL}}{V_{NL}} * 100\%$$

$$\%I_R = \frac{I_{NL} - I_{LL}}{I_{NL}} * 100\%$$

- In addition, the recovery time for the power supply output voltage or current to return the original condition is also specified*

*“The power supply shall have a voltage/current regulation of 0.5% for line changes of  $\pm 5\%$  from nominal with voltage/current recovery in  $\leq 2 \text{ mS}$ ”*



## *Factors that Affect Stability (Regulation) Against Transient Effects*

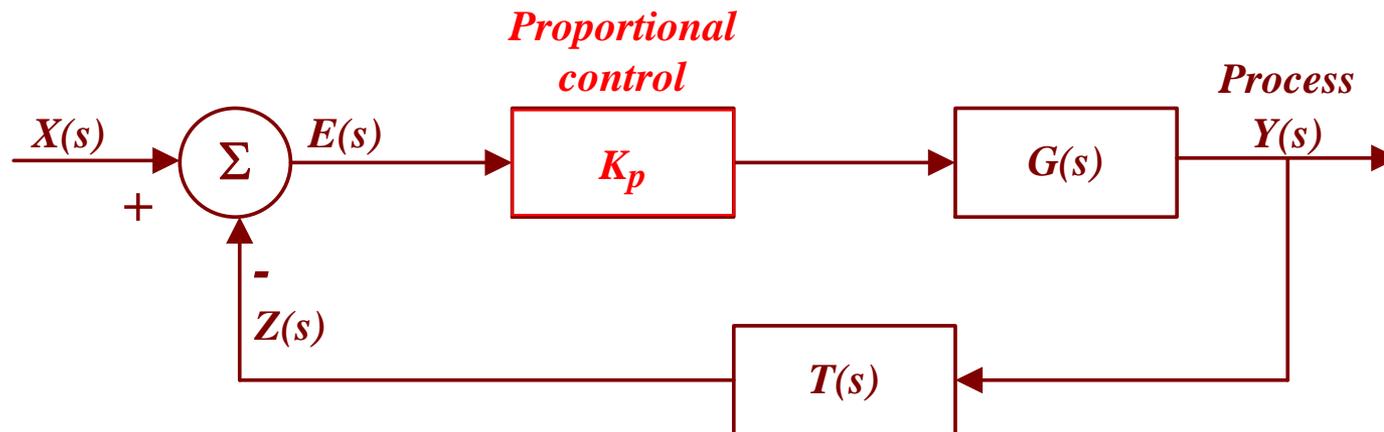
*The ability of a power supply to respond to a transient condition depends upon the speed, depth and duration of the transient. The transient can be mitigated by the use of:*

- Large filter capacitors and inductors in the input and output filters to maintain the input and output load voltage and current against line voltage changes and load changes..*
- Employ fast regulating circuits. Regulating speed should be at least as fast as the fastest expected transient.*



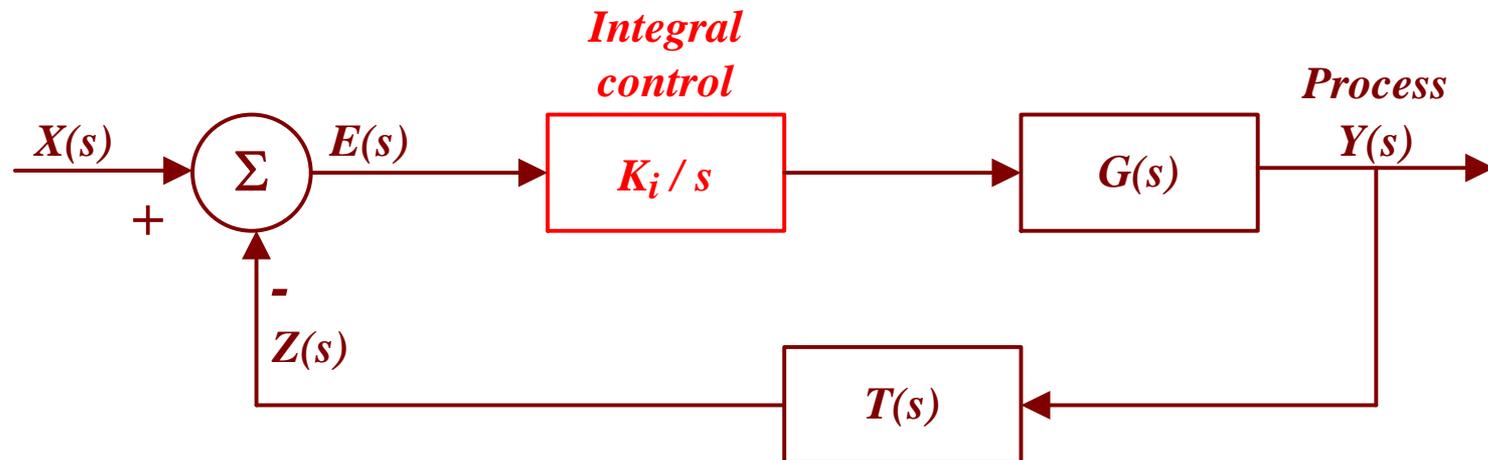
## *PID Loops - Proportional Control*

- *Earliest controllers proportional only*
- *Proportional control consists of just a gain*
- *It has good response to instantaneous changes in the process or other cause of error*
- *Control effort is the product of the error and a finite gain  $K_p$*
- *Eventually effort is too small to reduce error to zero*
- *There is always an error - it can never be eliminated*



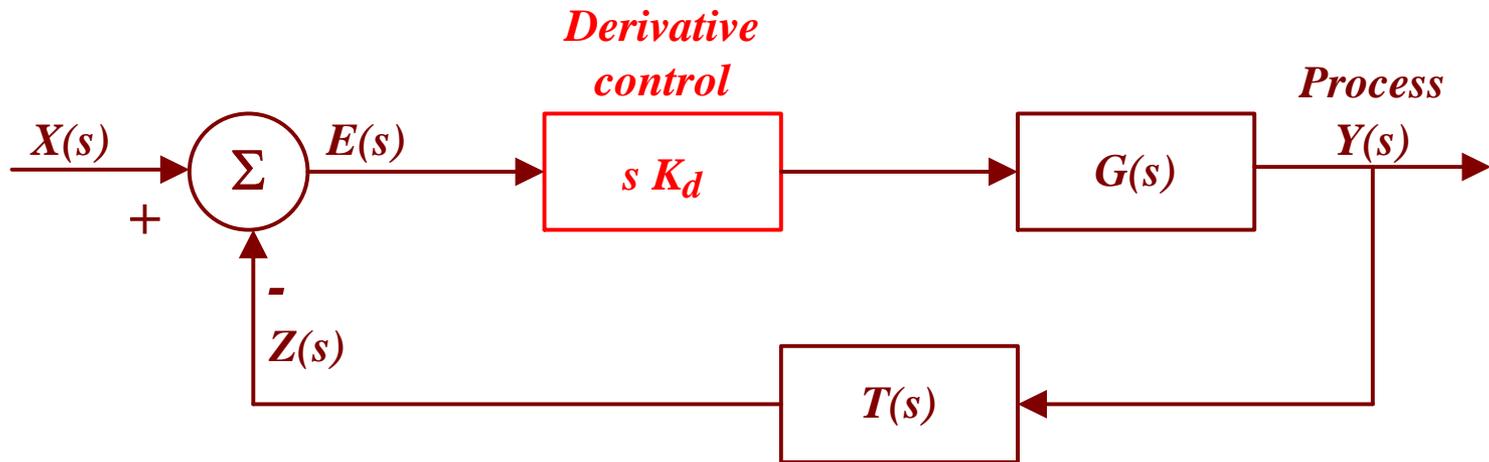
## PID Loops - Integral Control

- *Integral control consists of a pure integrator*
- *The control effort is now  $\int e(t) dt$*
- *Eliminates DC errors*
- *Limits high frequency response*
- *Introduces a phase delay that can cause sluggishness or oscillation*



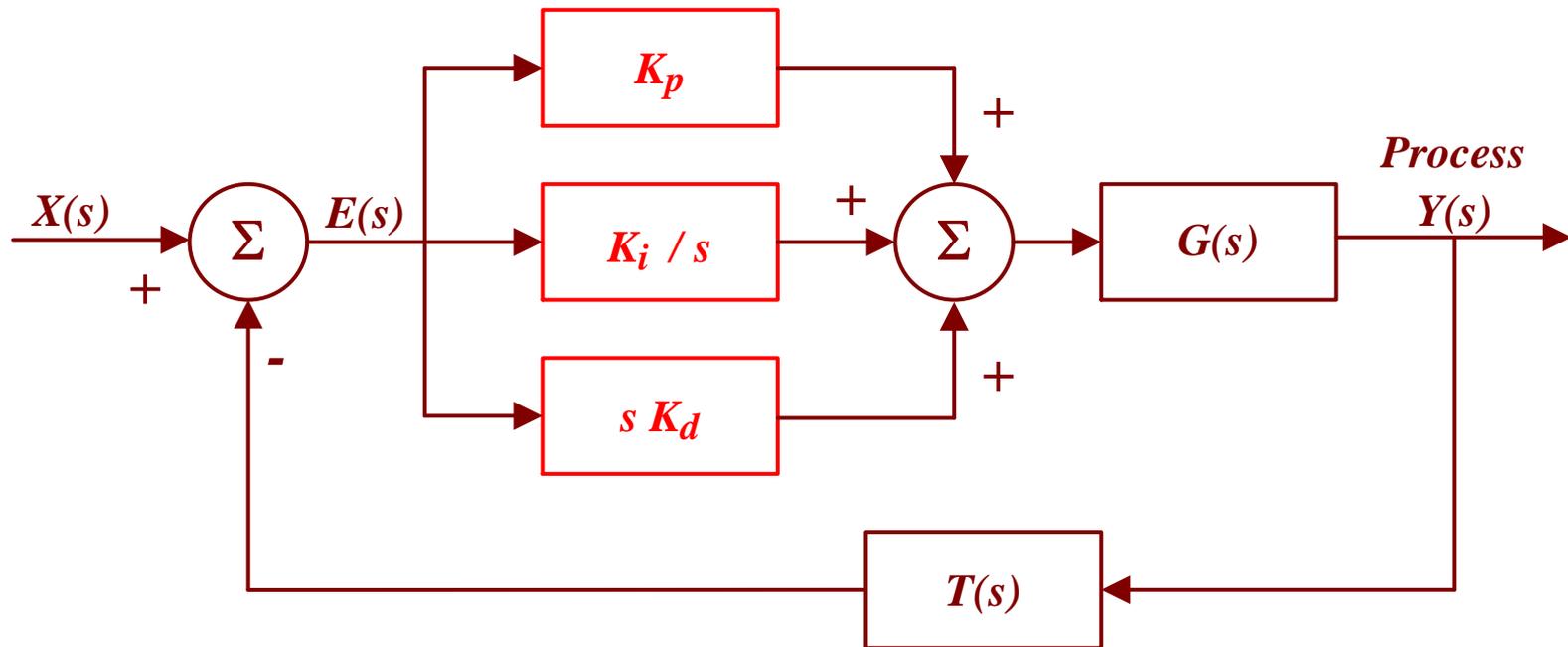
## PID Loops - Derivative Control

- Responds to the change of the error signal
- Control effort increases with frequency of error signal  $s K_d$
- Useful either to cancel a pole or to predict periodic behavior
- Can emphasize high frequency noise



## PID Loops - Summary

- *PID stands for Proportional, Integral, and Derivative control*
- *Standard, general purpose classical control element*
- *$K_p$  general cancelling of error signals*
- *$K_i$  eliminates DC error*
- *$K_d$  provides nimble circuit for fast changes in the error signal or process*





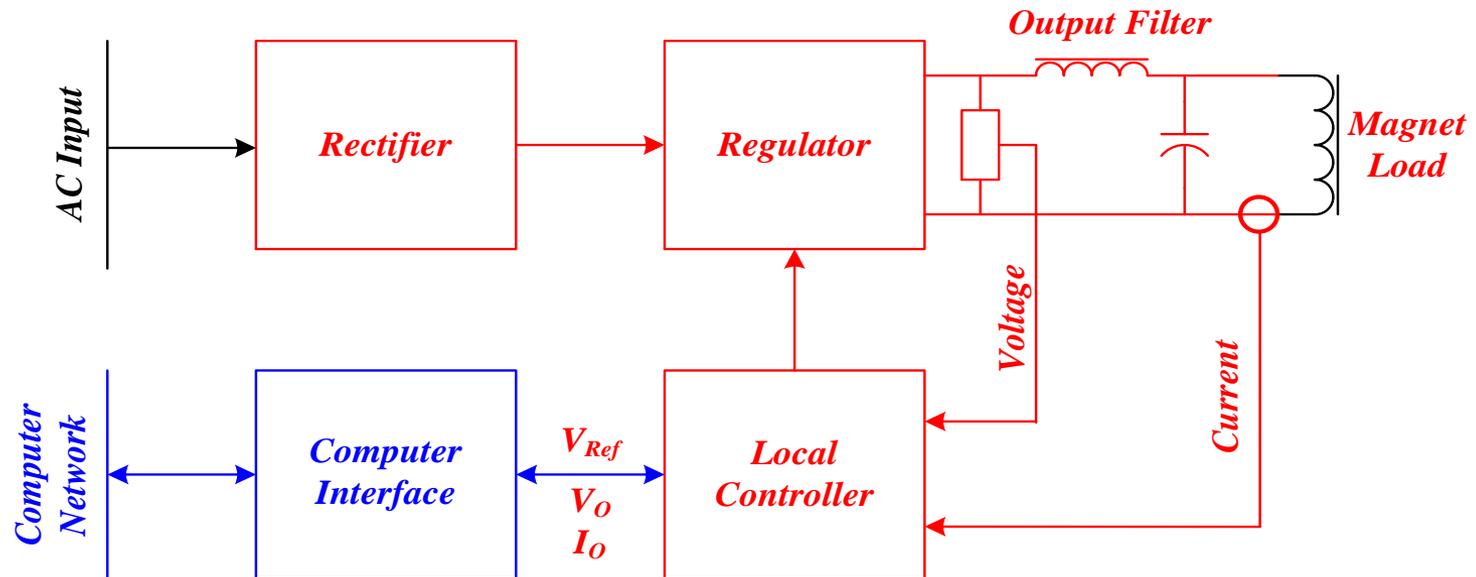
## *Feedback and Stability Summary*

- *The transfer function is the relation between the input,  $x$ , and the output,  $y$*
- *By increasing feedback gain,  $y$  more closely approaches the desired output*
- *The efficiency of feedback for a dynamic (time-varying) system involves not only the gains, but also the speed of the system response. Some common terms that characterize the dynamics are*
  - *Bandwidth is the frequency range over which the feedback achieves (close) to its nominal gain (3 dB point)*
  - *DC Response is a measure of how closely the system tracks a constant input. Improve the DC Response by increasing the loop gain*
  - *Step Response is the action of the system in response to an input step*
  - *Settling Time is how long it takes to settle to within a certain fraction of its final value*
  - *Overshoot is any ringing occurs as the system achieves its final value*
  - *Ramp response is a measure of how well the system follows an input ramp command*

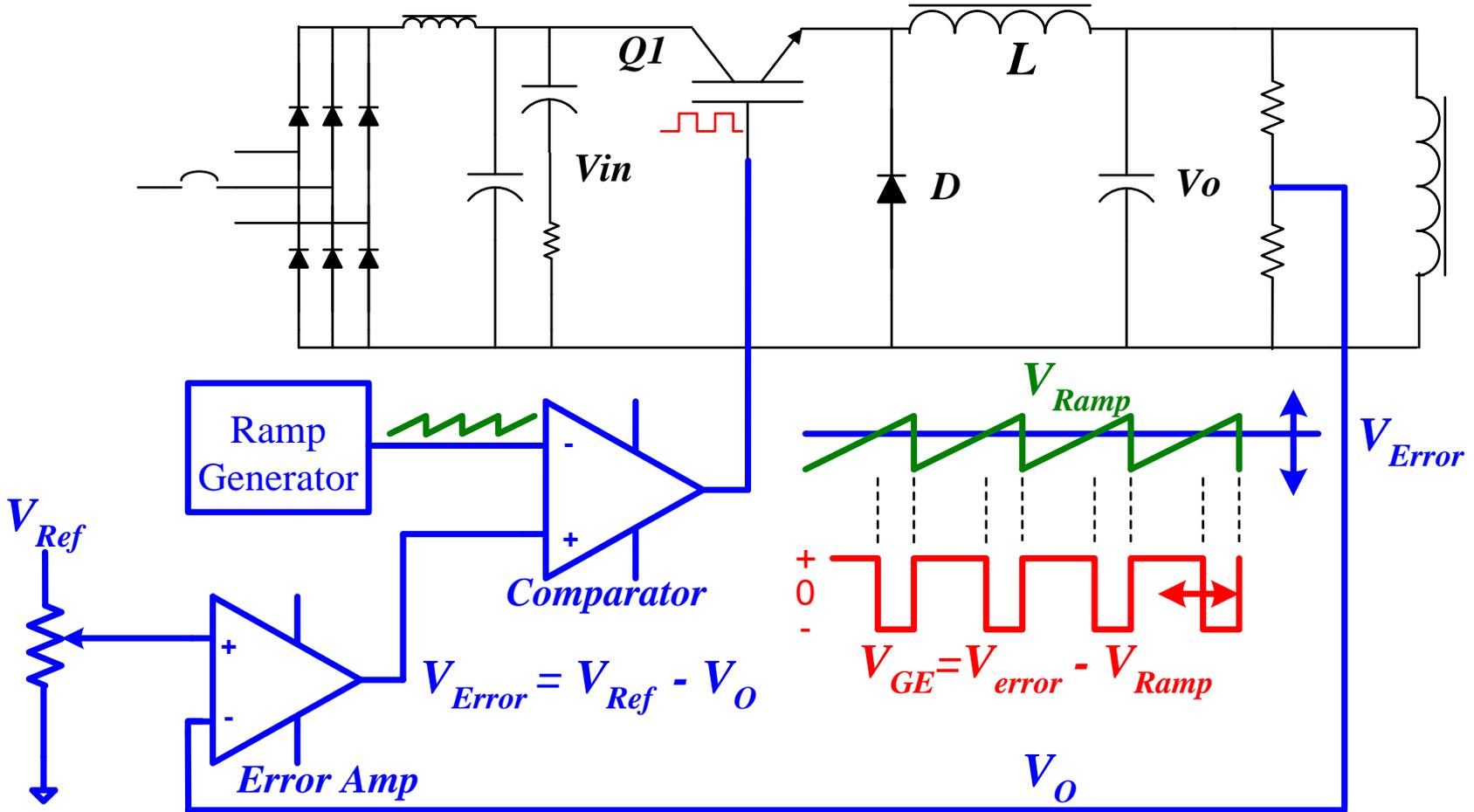
# Power Supply Controllers

## Purposes

- Sets the output voltage or current to a desired value
- Regulates the output voltage or current to the desired value in the presence of line, load and temperature changes
- Monitors load and power supply actual versus desired performance



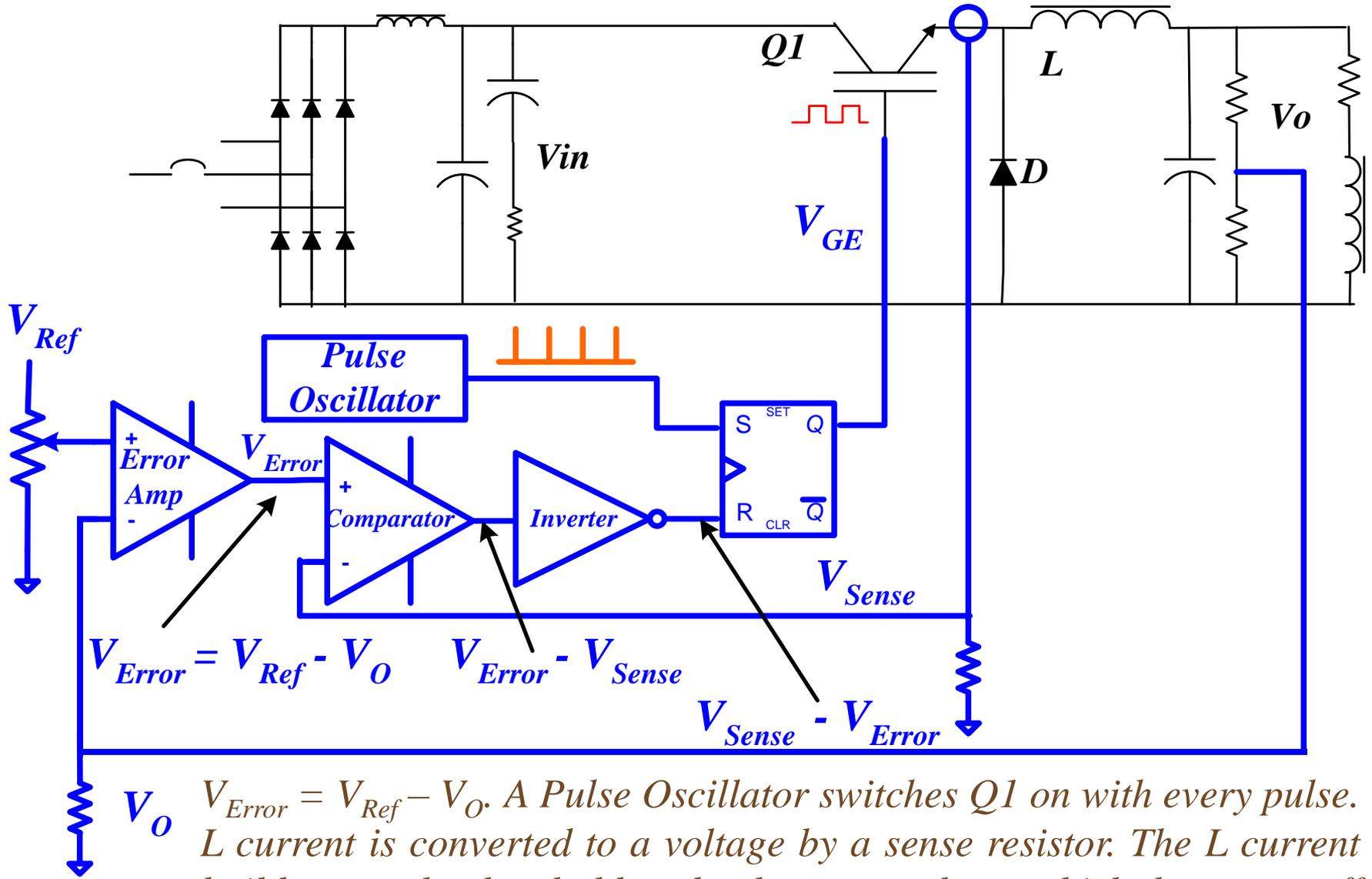
# Power Supply Controllers - Voltage Mode Control



For a fixed  $V_{Ref}$  if  $V_O$  increases,  $V_{Error} = V_{Ref} - V_O$  decreases accordingly. The pulse width will decrease to make  $V_O = V_{Ref}$

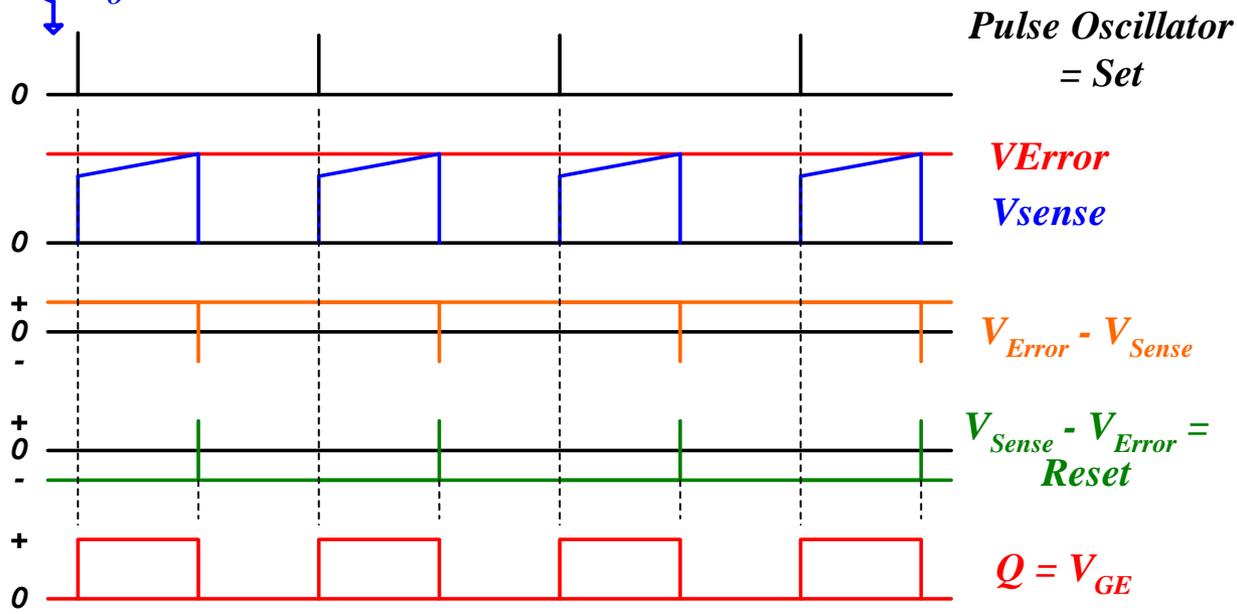
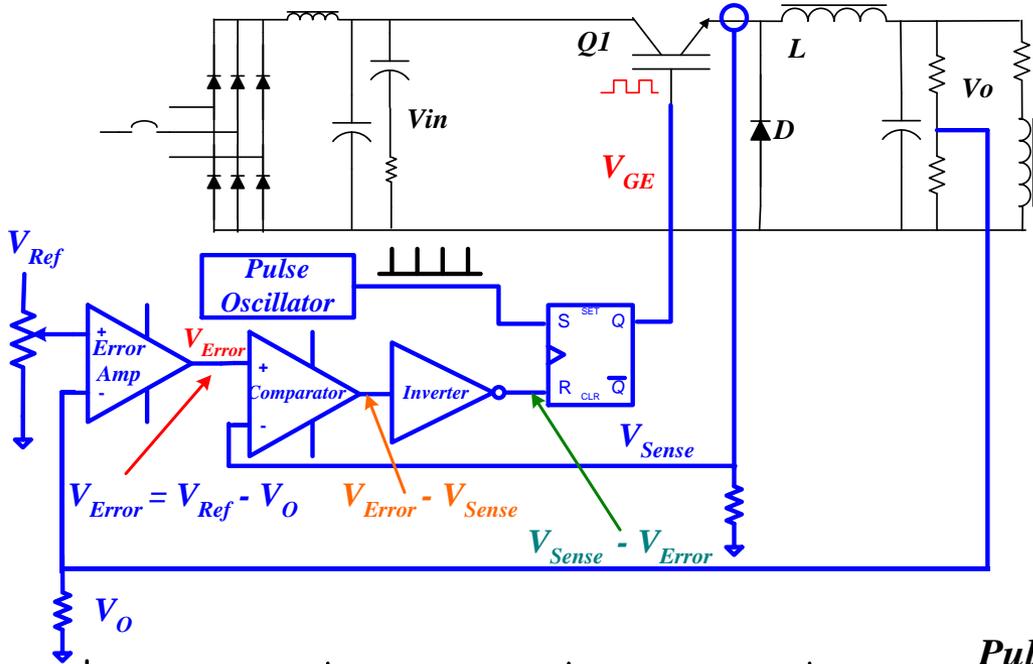
If  $V_O$  decreases,  $V_{Error}$  increases accordingly. The pulse width will increase to keep  $V_O = V_{Ref}$

# Power Supply Controllers - Current Mode Control



$V_{Error} = V_{Ref} - V_O$ . A Pulse Oscillator switches  $Q1$  on with every pulse.  $L$  current is converted to a voltage by a sense resistor. The  $L$  current builds up to the threshold set by the error voltage which then turns off  $Q1$  in order to keep the output voltage or current constant.

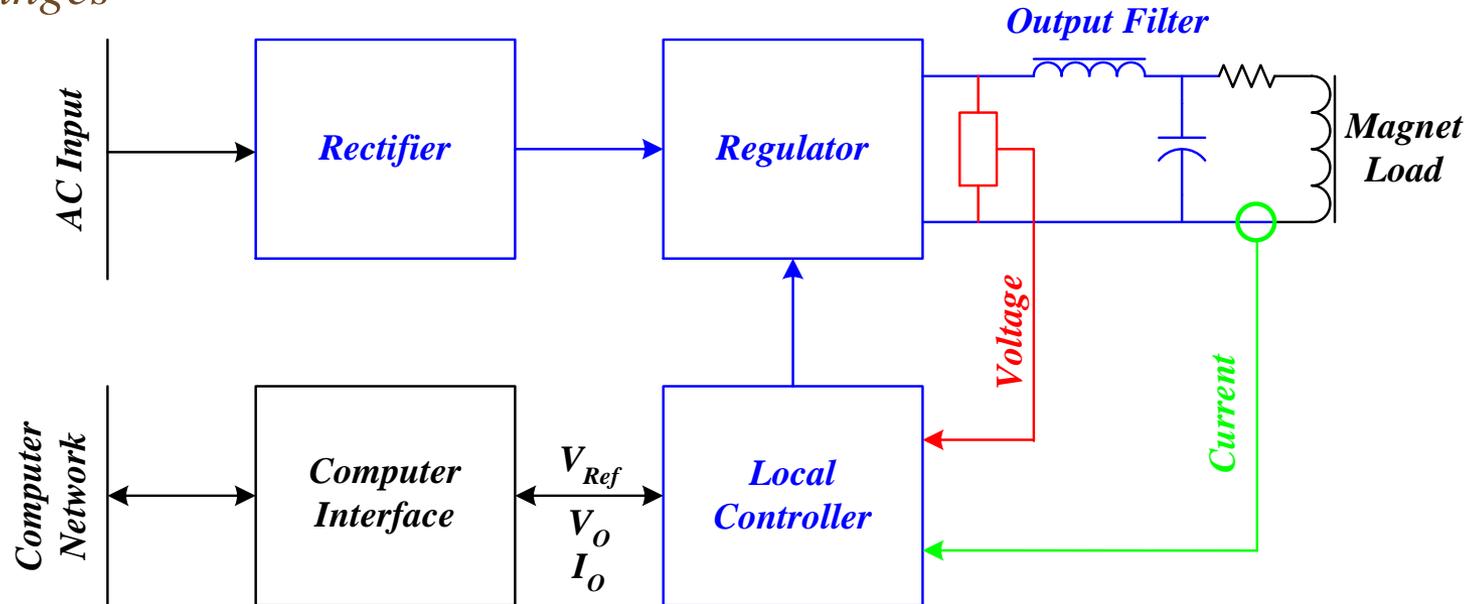
# Power Supply Controllers - Current Mode Control



# Power Supply Controllers

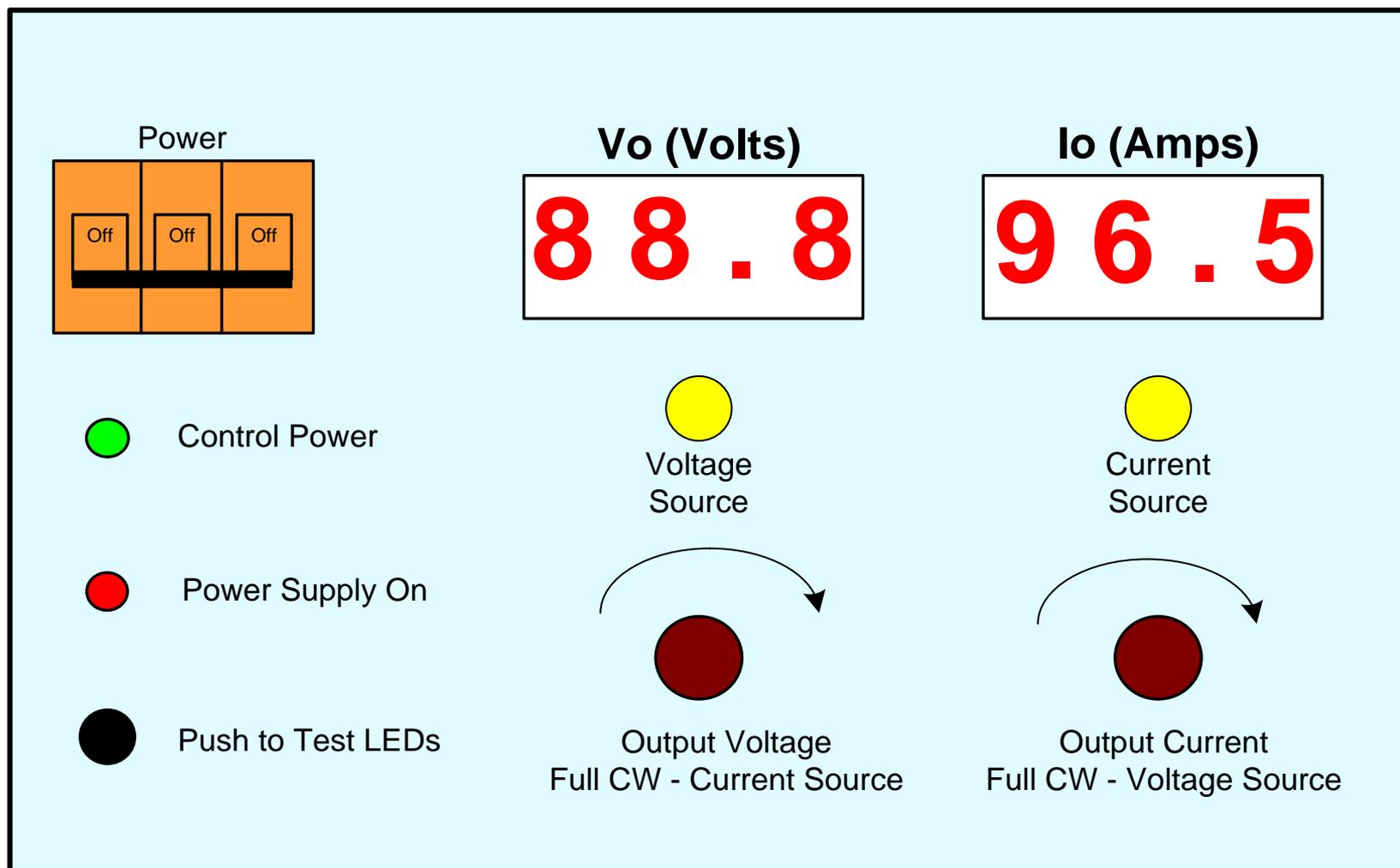
## Summary

- Typically 2 control loops – voltage and current
- The outer loop defines the source type – voltage or current stabilized
- The outer loop has lower BW and corrects for drift due to slow temperature changes and aging effects
- The inner loop has higher BW and compensates for fast transients, AC line changes



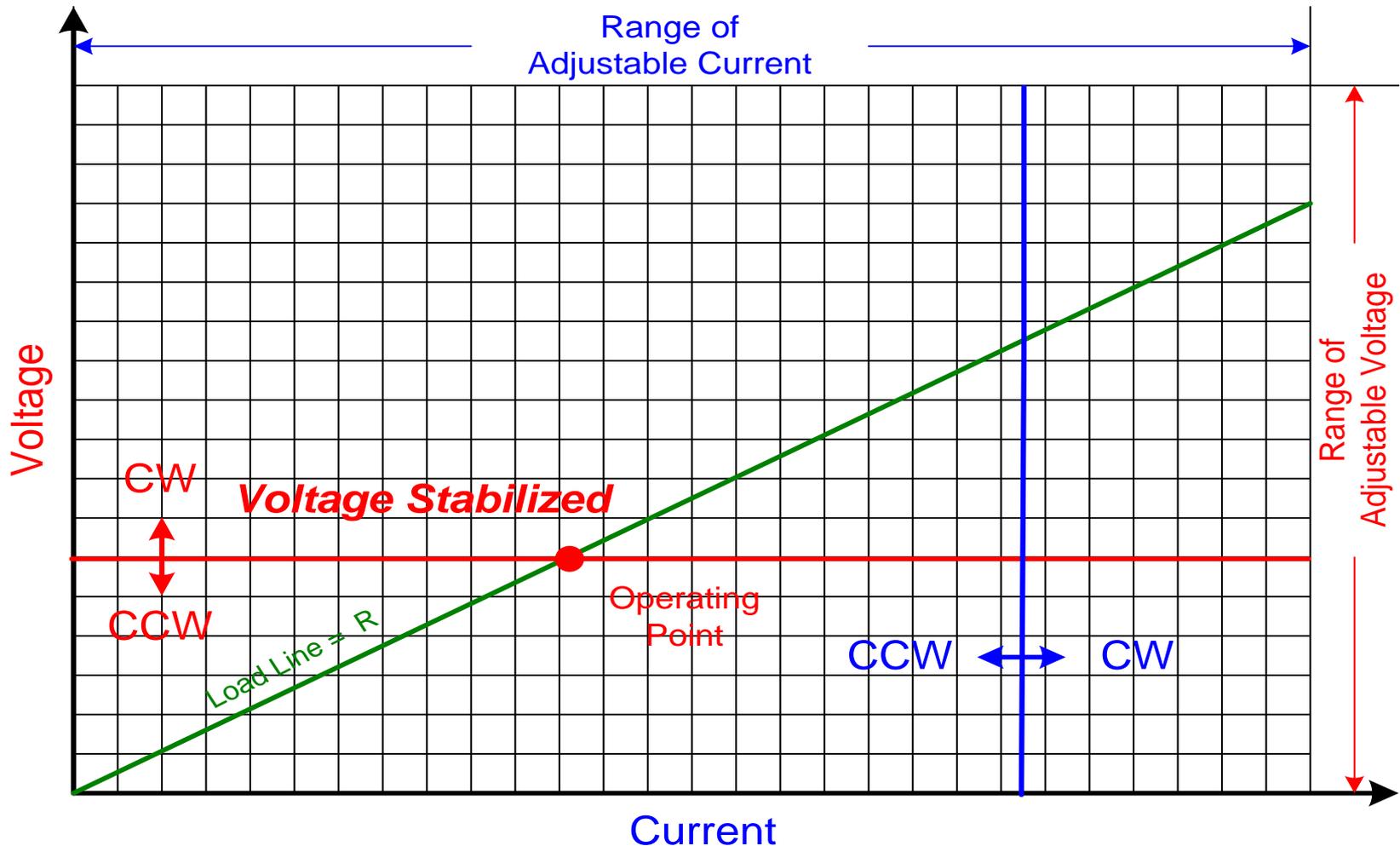


# Power Supply Controllers - Automatic Voltage – Current Mode Crossover



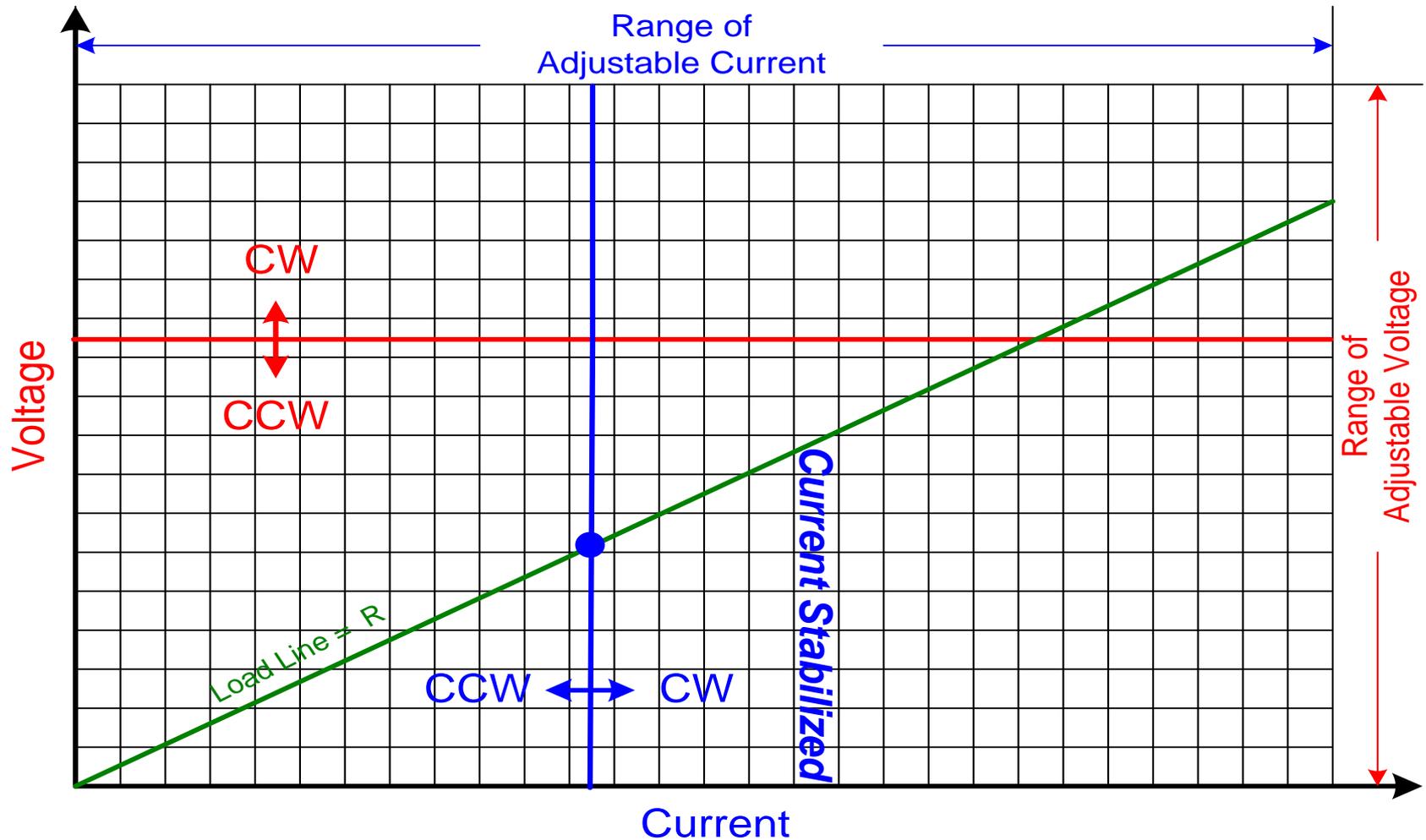
*Power Supply Front Panel*

## Power Supply Controllers - Automatic Voltage/Current Crossover – Example 1



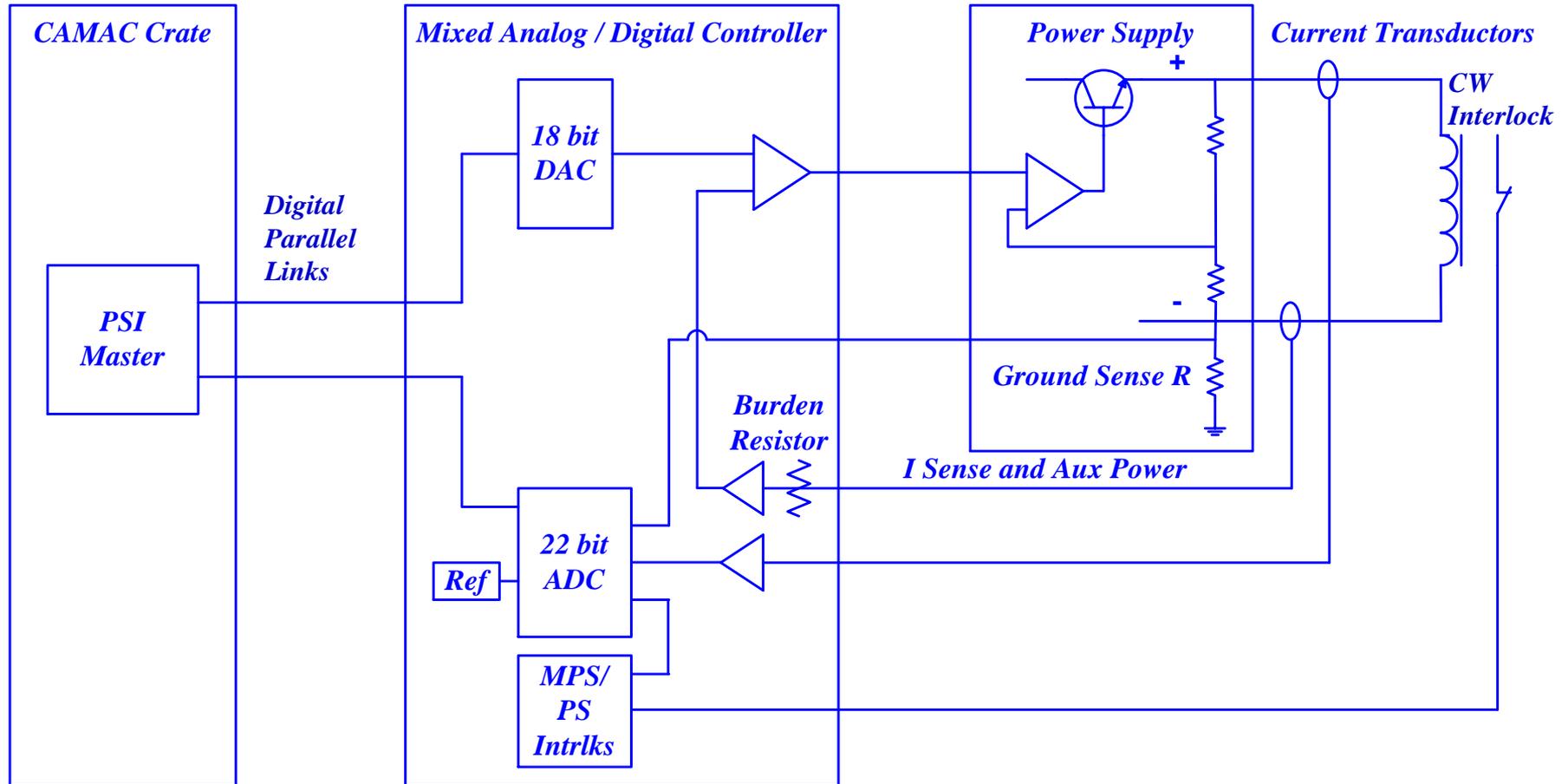
**Constant Voltage Mode.** The power supply will operate in this mode whenever the current demanded by the load is less than that defined by the front panel current control. The output voltage is set by the front panel voltage control. The output current is set by the load resistance and the  $V_{set}$ .

## Power Supply Controllers - Automatic Voltage/Current Crossover – Example 2

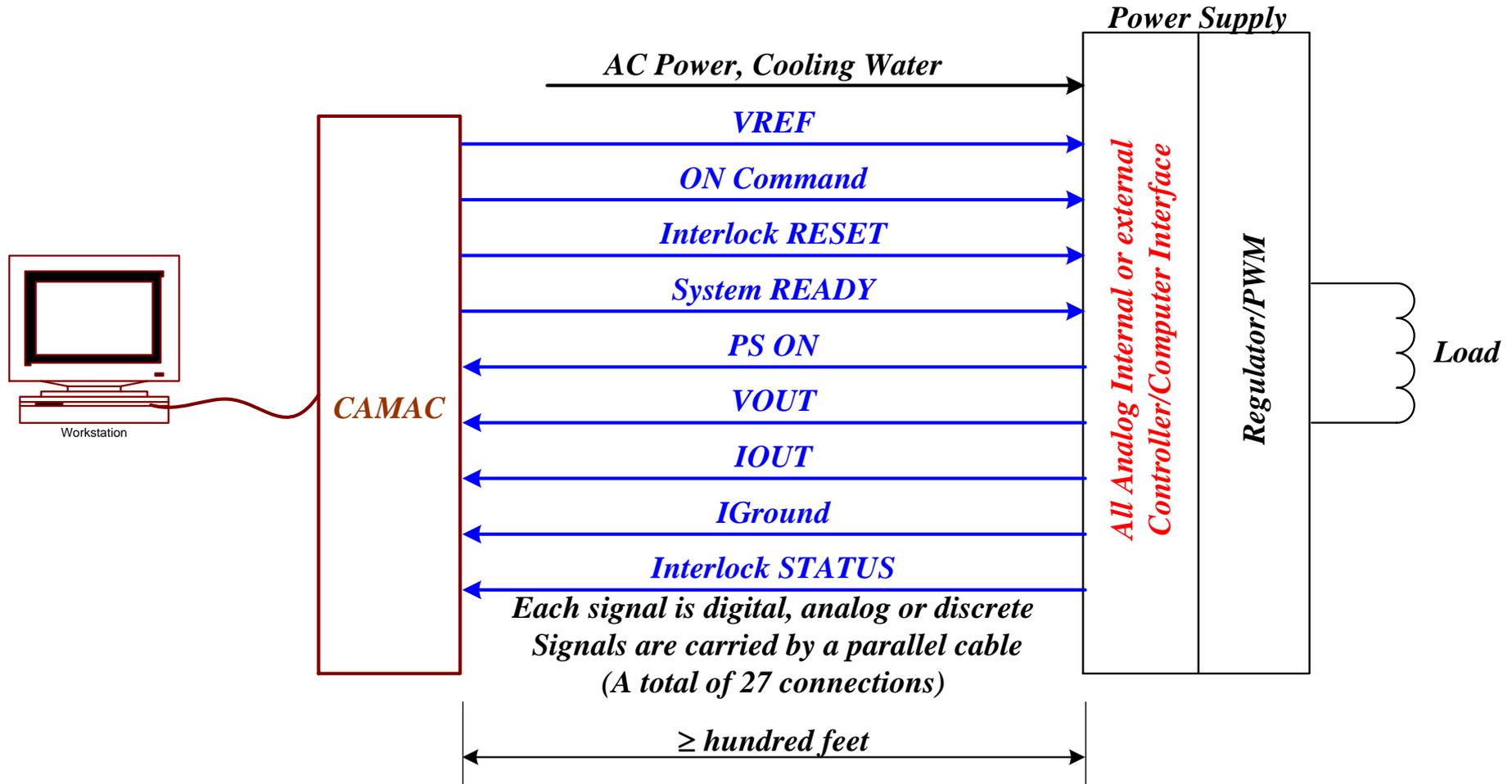


**Constant Current Mode.** The power supply will operate in this mode whenever the voltage demanded by the load is less than that defined by the front panel voltage control. The output current is set by the front panel current control. The output voltage is set by the load resistance and the  $I$  set.

# All-Analog Power Supply Controllers – Circa 1970s to 1980s

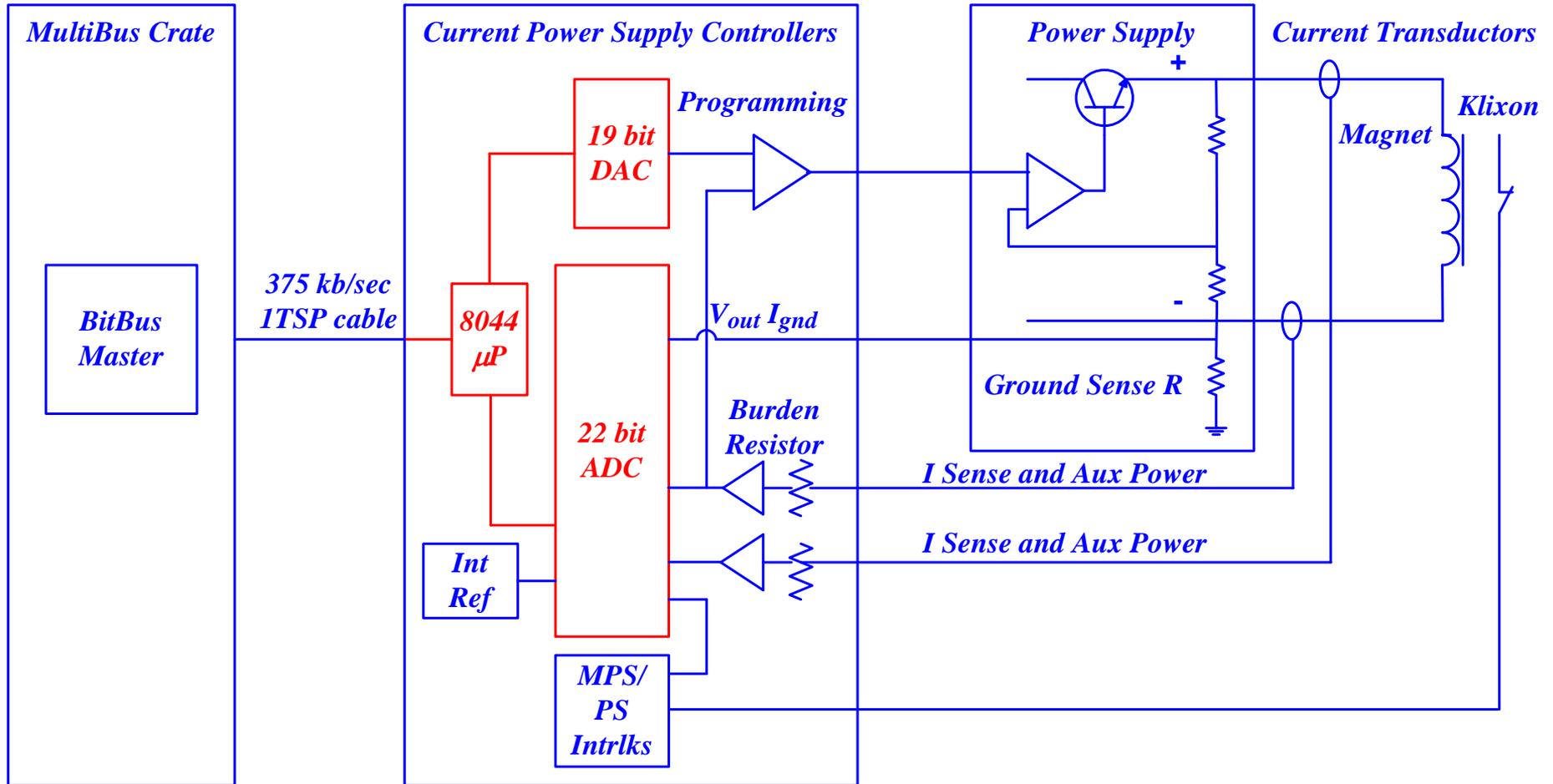


# All-Analog Power Supply Controllers – Circa 1970s to 1980s



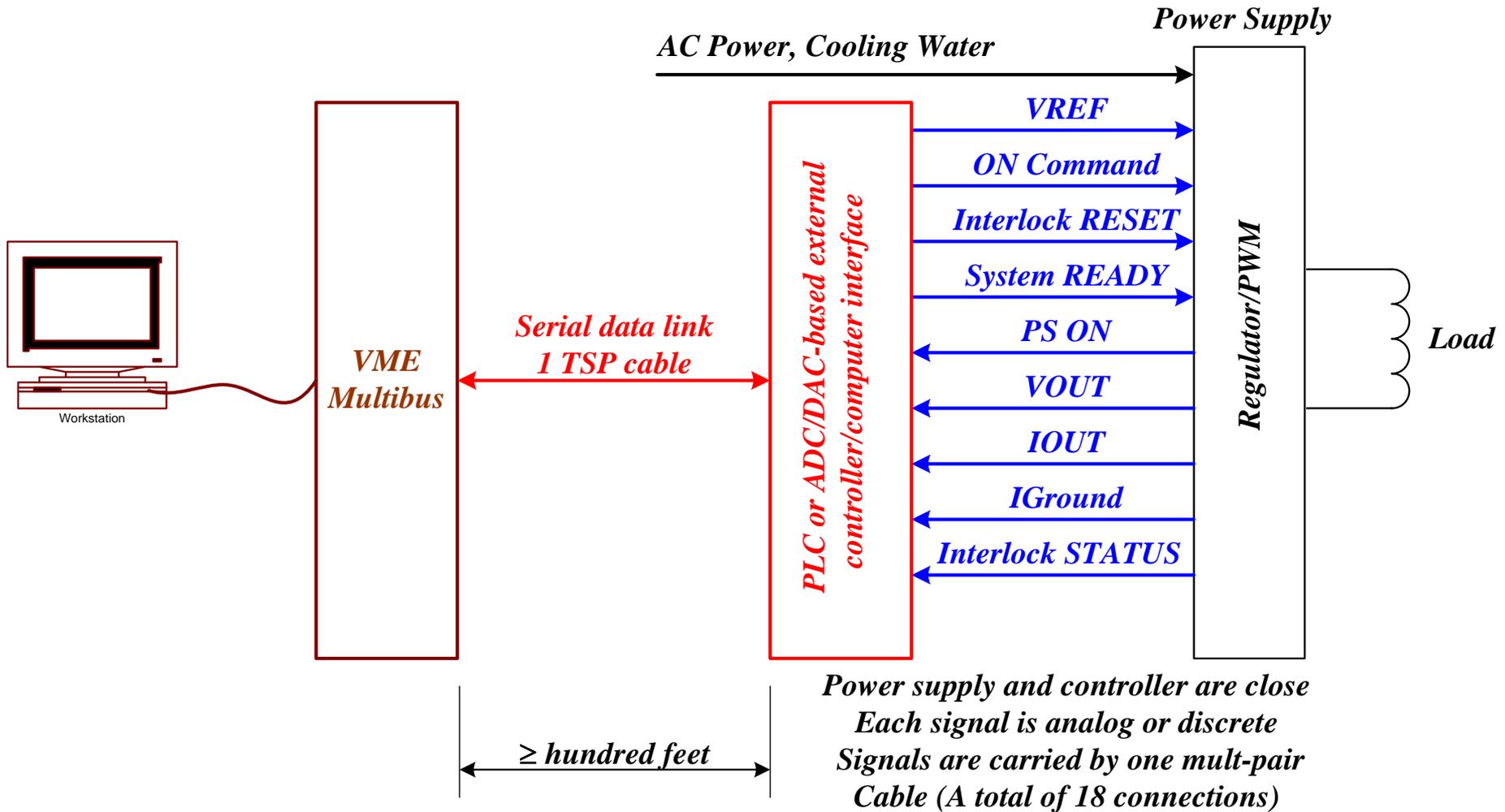


# Hybrid Analog/Digital Power Supply Controllers – Circa 1980s to Present

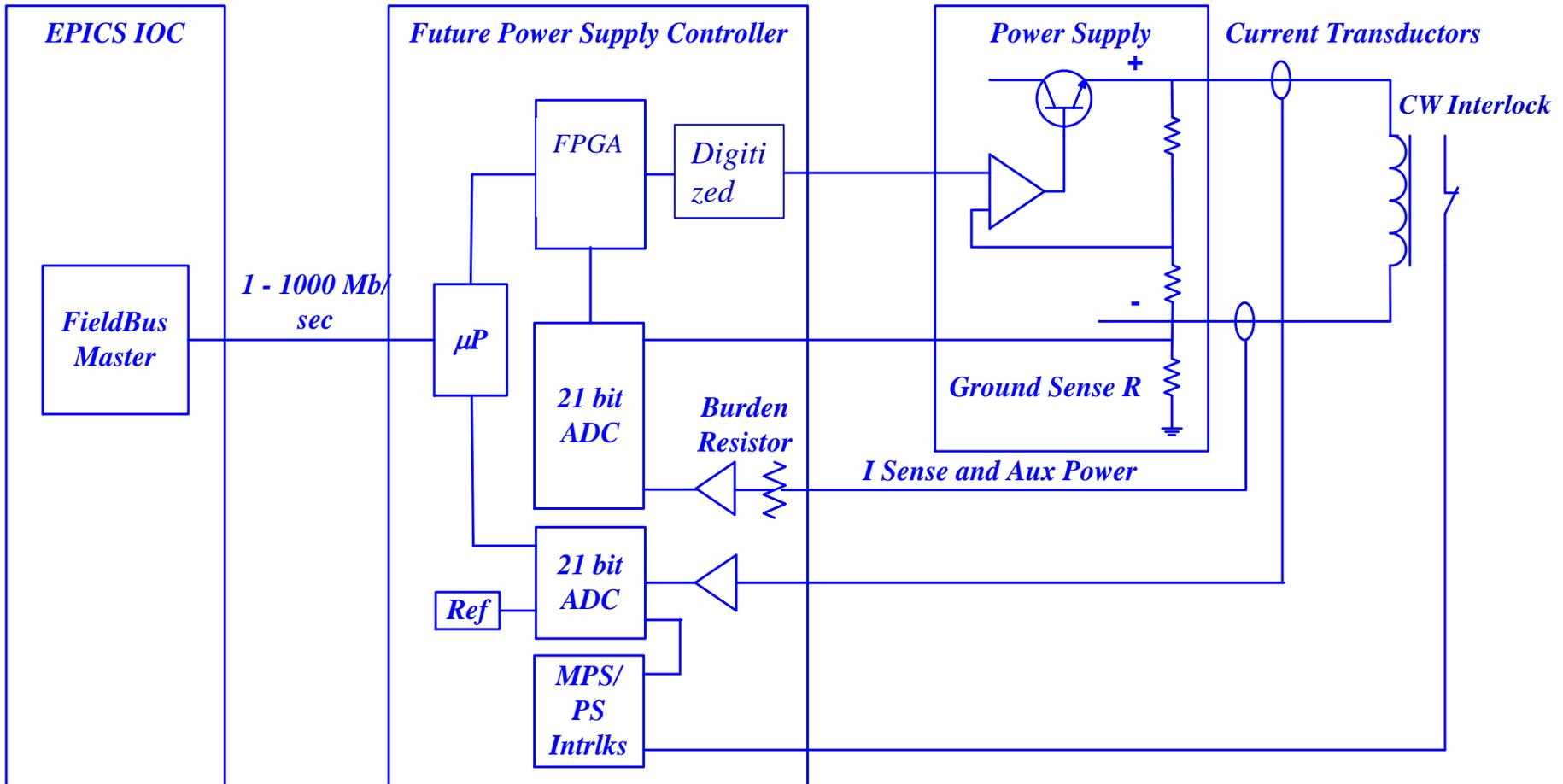




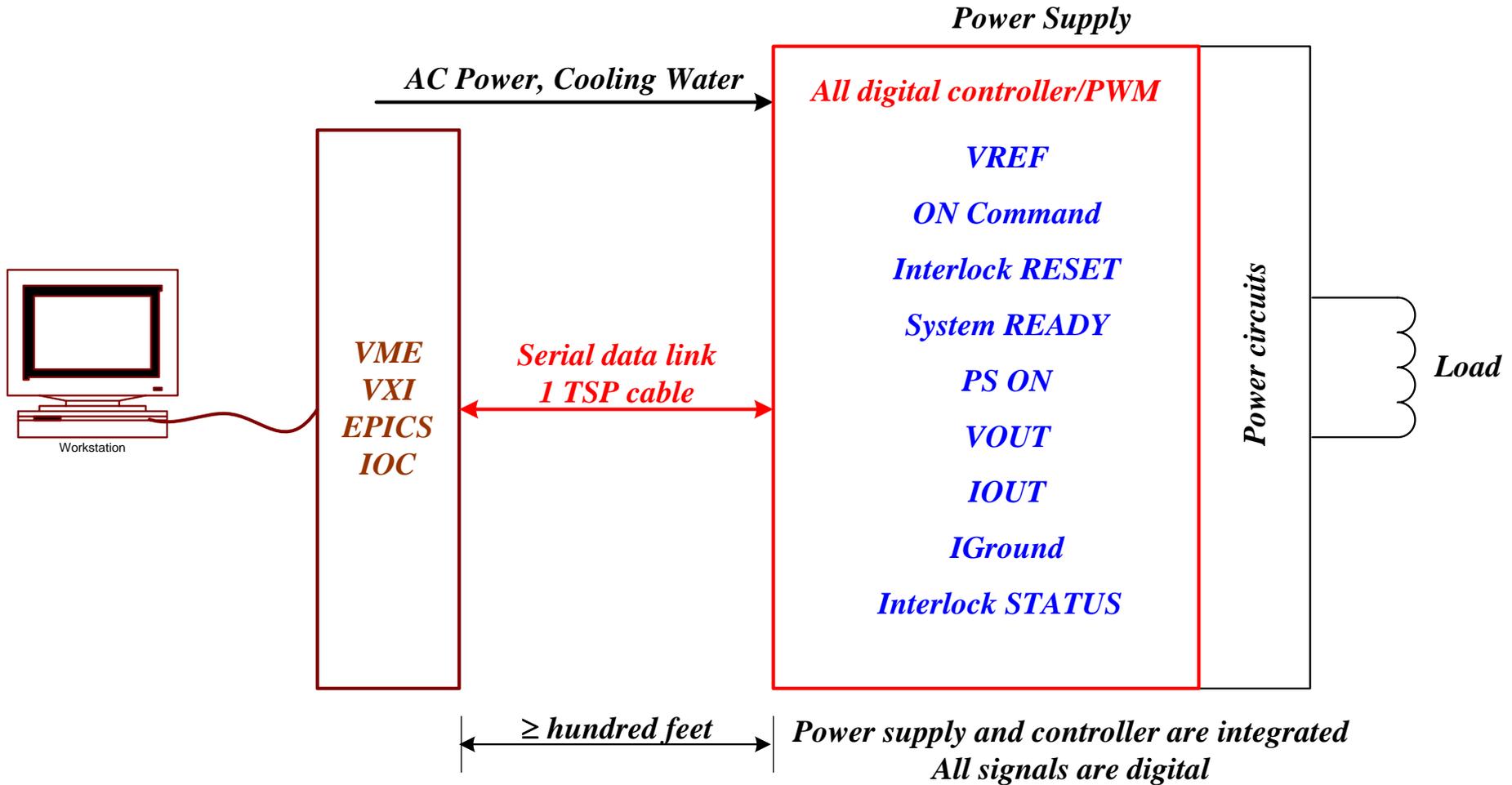
# Hybrid Analog/Digital Power Supply Controllers – Circa 1980s to Present



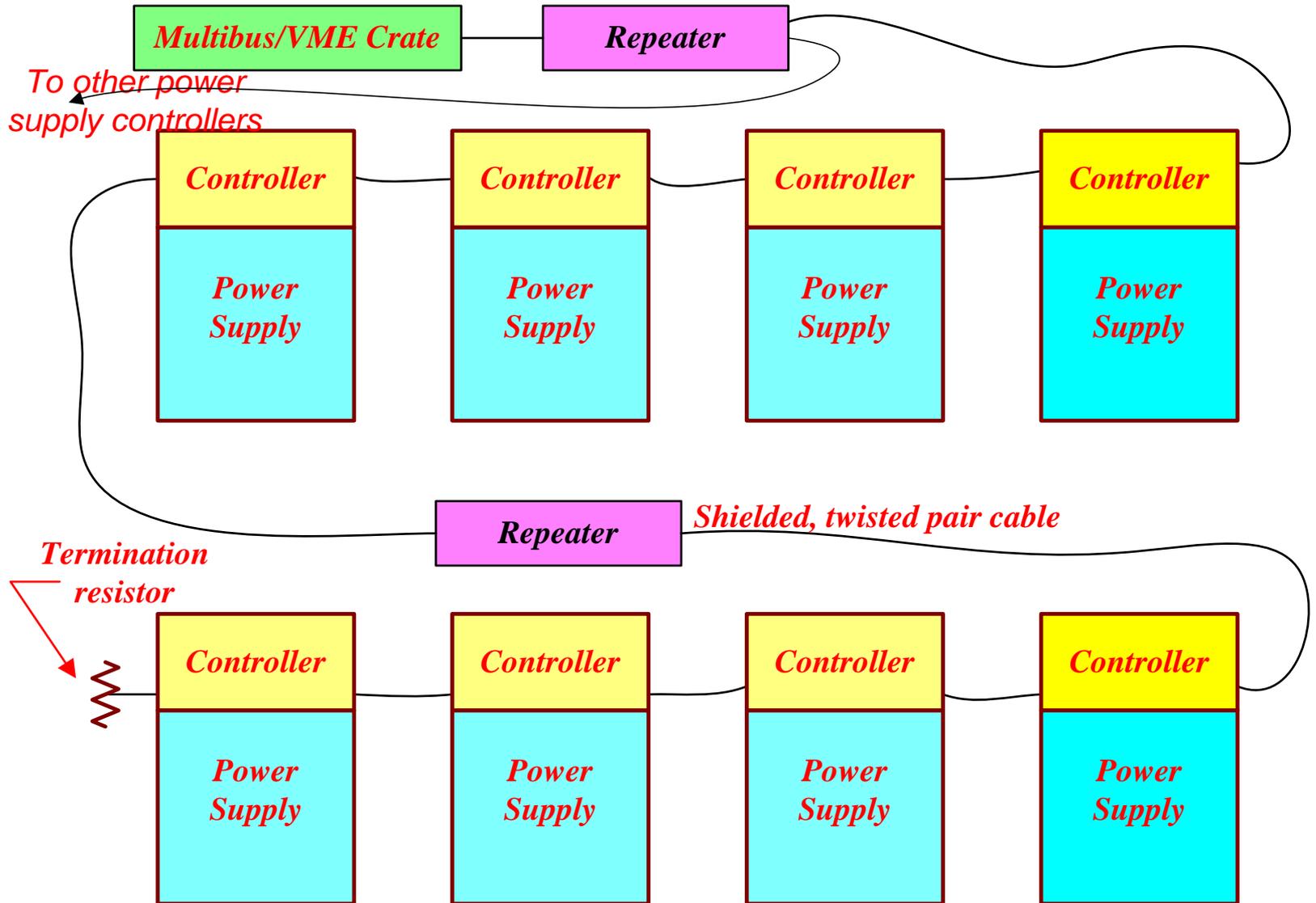
# All Digital Power Supply Controllers – Circa the Future



# All Digital Power Supply Controllers – Circa the Future



# Daisy - Chaining of Power Supply Controllers



## *Controls Type*

## *Characteristics*

*All analog controls*

- *Long, expensive multi-conductor cable*
- *Cables subject to noise pickup, ground loops, losses in signal strength*
- *Installation rigid, difficult to modify*

*Hybrid analog/digital controls*

- *PLCs, ADCs / DACs subject to noise pickup, ground loops, must keep out of power supply*
- *Serial data cable can be daisy-chained*
- *Installation rigid, difficult to modify*

*All digital controls*

- *Integrated high level digital signals exhibit greater immunity to noise pickup, ground loops*
- *Serial data cable can be daisy-chained*
- *Installation flexible, control system can be modified in software or firmware*
- *Will require novel implementation of interlocks, voltage and current transducers*

## *Some Communication Busses*

<i>Bus Type</i>	<i>Single / Differential</i>	<i>Protocol</i>	<i>Data Rate</i>	<i>Length</i>	<i>Connector</i>	<i>Comments</i>
<i>RS232</i>	<i>-12 → +12V SE</i>	<i>Serial</i>	<i>115kb/s</i>	<i>5m</i>	<i>25 /15/9pin sub D</i>	<i>Inexpensive wiring</i>
<i>BitBus IEEE 1118</i>	<i>0-5V Differential</i>	<i>Serial</i>	<i>375kb/s</i>	<i>300m</i>	<i>9 pin sub D</i>	<i>Inexpensive wiring</i>
<i>IEEE488 GPIB</i>		<i>Parallel</i>	<i>8Mb/s</i>	<i>20m</i>	<i>24 pin</i>	<i>Measurement Equipment</i>
<i>Ethernet</i>	<i>Optical/SE Differential</i>	<i>Serial</i>	<i>1Gb/s</i>		<i>RJ8, RJ45 Optical</i>	<i>Move lots of data packets</i>
<i>USB 2.0</i>		<i>Serial</i>	<i>12Mb/s</i>	<i>5m</i>	<i>4 pin USB</i>	<i>Hot-swappable</i>
<i>Firewire IEEE1394</i>	<i>3.3V Differential</i>	<i>Serial</i>	<i>800Mb/s</i>	<i>46m</i>	<i>4 pin / 6 pin Optical</i>	<i>Hot-swappable</i>
<i>SCSI</i>	<i>3.3V Diff/ Optical</i>	<i>Parallel</i>	<i>1.28Gb/s</i>	<i>12m</i>	<i>68 pin 80 pin</i>	
<i>eSATA</i>		<i>Serial</i>	<i>3Gb/s</i>			<i>Hot-swappable</i>



## *Section 11 – Personnel and Equipment Safety*

- *NFPA 70E - Safety in the Workplace*
  - *The Voltage Hazard*
  - *Arc Flash*
- *NFPA 70 – National Electrical Code*
- *Interlocks*
  - *Personnel Protection Systems (PPS)*
  - *Load Protection Systems-Machine Protection Systems (MPS)*
  - *Power Supply Protection*
  - *Programmable Logic Controllers (PLCs)*
- *Lockout/Tagout (LOTO)*



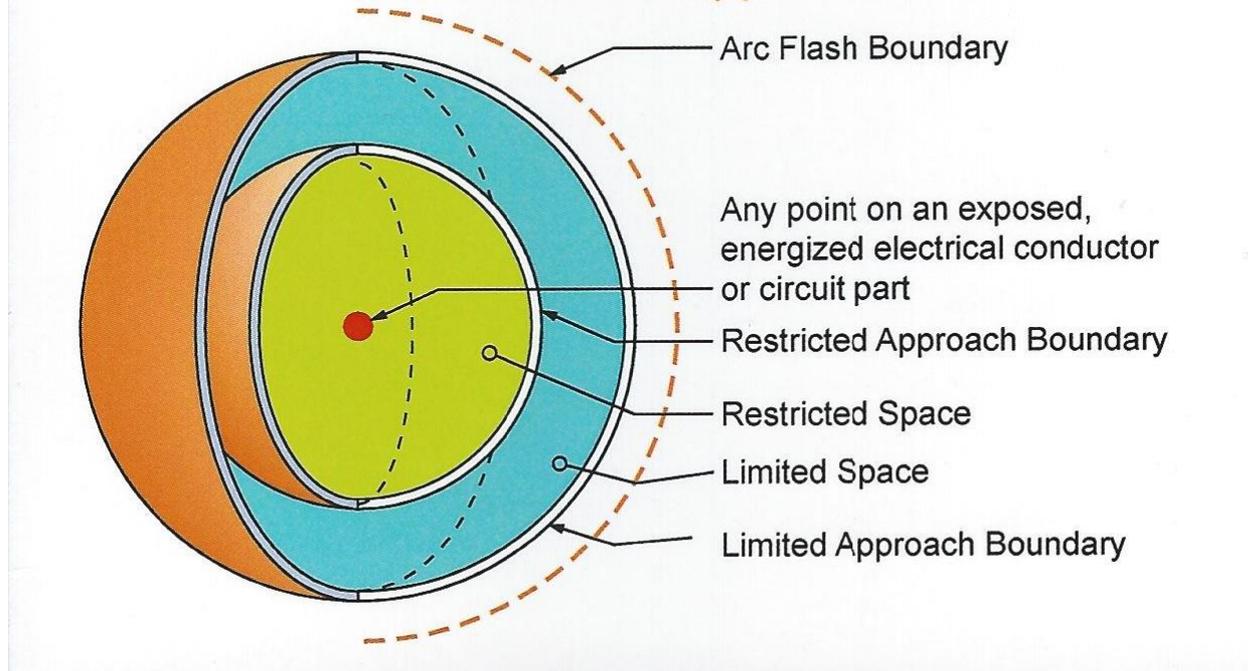
## *NFPA 70E*

### *NFPA 70E - 2004 - Standard for Electrical Safety in the Workplace*

- *Addresses employer and employee safety in the workplace*
- *Focus is on procedures, personnel protective equipment*
- *Attempts to mitigate effects of three major electrical hazard types – shock, arc flash and arc blast*

# NFPA 70E - The Voltage Hazard

## Electrical Shock Hazard Approach Boundaries



- *Limited approach boundary is the distance from an exposed live part within which a shock hazard exists*
- *Restricted approach boundary is the distance from an exposed live part within which there is an increased risk of shock, due to electrical arc over for personnel working in proximity to the live part*

## NFPA 70E

*NFPA 70E - Approach boundaries - deal with the voltage hazard*

Nominal Voltage, Phase to Phase	Limited Approach Boundary		Restricted Approach Boundary
	Exposed Moveable Conductor	Exposed Fixed Circuit Part	
Less than 50	Not Specified	Not Specified	Not Specified
50 to 150	10 ft 0 in.	3 ft 6 in.	Avoid Contact
151 to 750	10 ft 0 in.	3 ft 6 in.	1 ft 0 in.
751 to 15 kV	10 ft 0 in.	5 ft 0 in.	2 ft 2 in.
15.1 kV to 36 kV	10 ft 0 in.	6 ft 0 in.	2 ft 7 in.
36.1 kV to 46 kV	10 ft 0 in.	8 ft 0 in.	2 ft 9 in.
46.1 kV to 72.5 kV	10 ft 0 in.	8 ft 0 in.	3 ft 3 in.
72.6 kV to 121 kV	10 ft 8 in.	8 ft 0 in.	3 ft 4 in.
138 kV to 145 kV	11 ft 0 in.	10 ft 0 in.	3 ft 10 in.
161 kV to 169 kV	11 ft 8 in.	11 ft 8 in.	4 ft 3 in.
230 kV to 242 kV	13 ft 0 in.	13 ft 0 in.	5 ft 8 in.
345 kV to 362 kV	15 ft 4 in.	15 ft 4 in.	9 ft 2 in.
500 kV to 550 kV	19 ft 0 in.	19 ft 0 in.	11 ft 10 in.
765 kV to 800 kV	23 ft 9 in.	23 ft 9 in.	15 ft 11 in.

## NFPA 70E

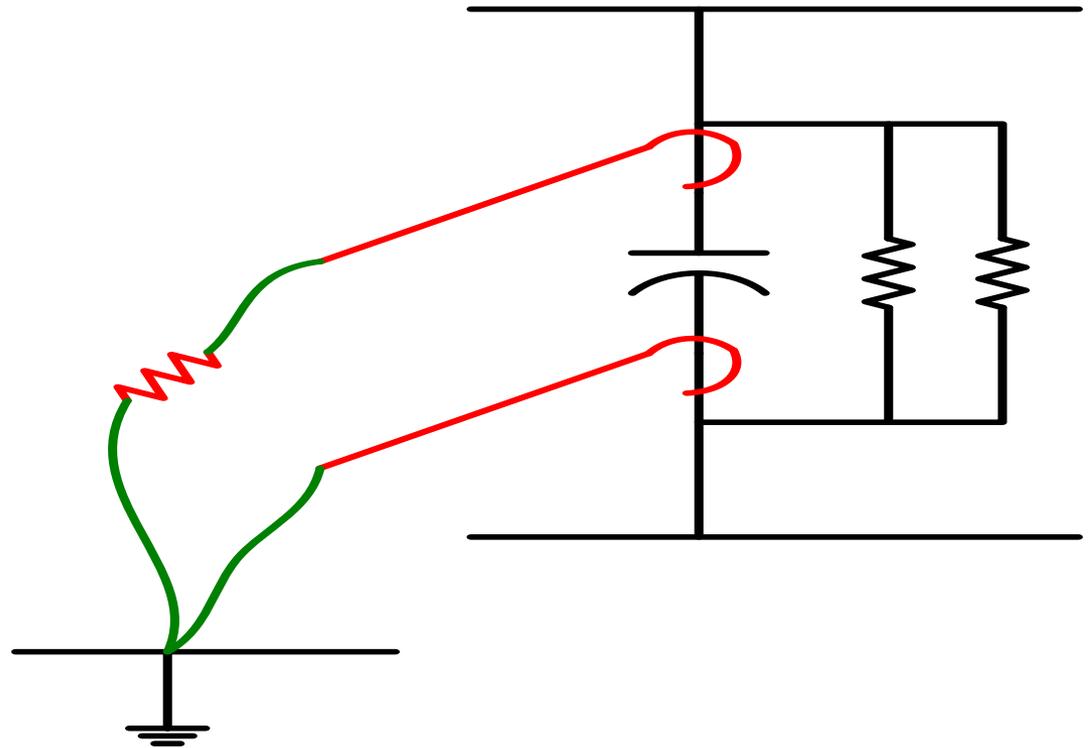
### *Mitigating Voltage Hazard - Rubber Electrical Insulating Gloves*

- *They are marked with the class appropriate for the voltage, and should be subject to periodic electrical tests*
- *Leather protective gloves should be worn outside the rubber gloves to provide protection from cuts, abrasions, or punctures*
- *Before each use, check for signs of damage or color change. Replace if contamination or any physical damage is evident*
- *Gloves should be stored in a closed, dry container*



## NFPA 70E - Mitigating The Voltage Hazard - Ground Hooks

*The possibility of residual voltage on capacitors is high. Use one or more ground stick to remove the voltage (stored energy)*



## *NFPA 70E - What is Arc Flash?*



- *Short circuit through air*
- *Caused when circuit insulation or isolation is compromised*
- *A burn and explosion hazard, not an electrocution hazard*
- *Temperature can greatly exceed 5000 F*
- *Instantaneous, almost too fast for the eye to comprehend*
- *Arc flashes occur 5 – 10 times a day in electric equipment in US alone.*



## ***NFPA 70E - Possible Causes of Arc Flash***

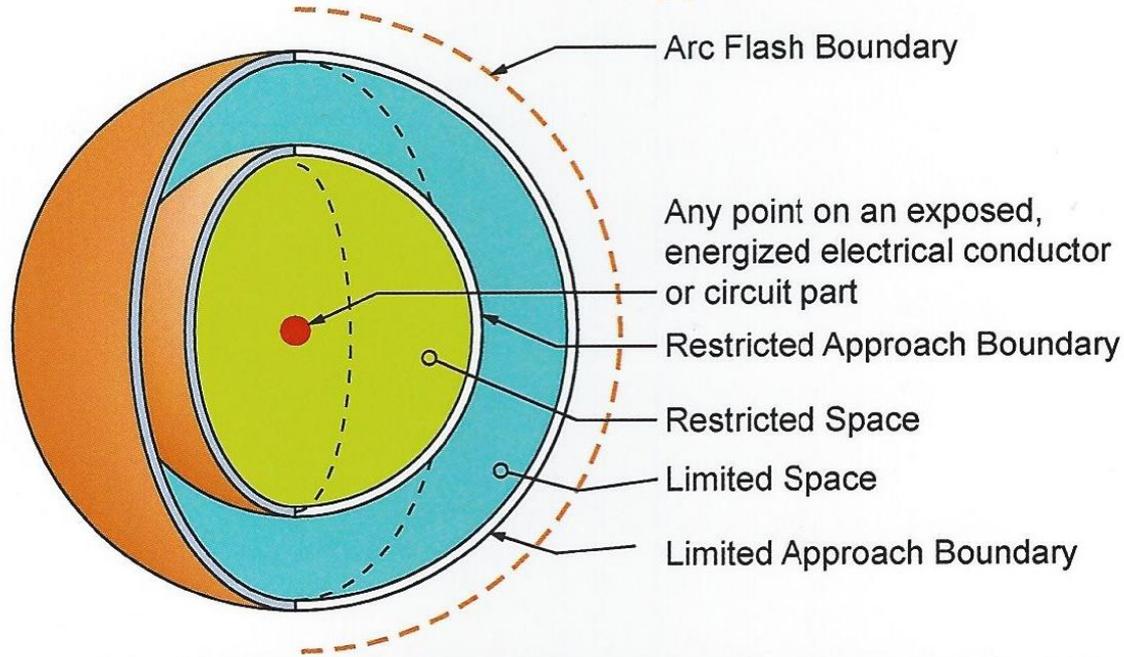
- *Tool inserted or dropped into a breaker or service area*
- *Equipment cover removal causes a short*
- *Loose connections on bus work*
- *Improper bus work fabrication*
- *Insulation breakdown due to environmental factors or equipment aging*
- *Failure to ensure equipment is de-energized before work*
- *Primarily applications above 208 VAC*

### ***Injuries Associated with Arc Flash***

- *Third Degree Burns, Blindness, Hearing Loss, Nerve Damage, Cardiac Arrest, Concussion, Death*

# NFPA 70E - The Arc Flash Hazard

## Electrical Shock Hazard Approach Boundaries



- *Arc flash hazard - a dangerous condition associated with the release of electrical energy caused by an electrical arc. Typically due to the molten plasma formed by the melting of conductors during an electrical short circuit*
- *Arc flash protection boundary - The distance from exposed live parts within which a person could receive a second degree (curable) burn ( $1.2 \text{ cal/cm}^2 = 5 \text{ J/cm}^2$ )*

## NFPA 70E - The Arc Flash Hazard

- *An arc generates power that radiates out from a fault*

$$P_{arc} = V_{arc} * I_{arc}$$

- *The total energy is the product of the arc power and duration of the arc*

$$E_{arc} = P_{arc} * t$$

- *The energy density decreases with distance from the arc*
- *An arc-flash hazard occurs when the energy density on the torso or face exceeds 1.2 cal/cm<sup>2</sup>, the energy density at which a second degree burn occurs. Note: This is comparable to holding the flame from a cigarette lighter on your skin for 1 second*
- *Flash protection boundaries and energies are calculated using NFPA 70E [example Table 130.7(C)(9)(a)] and IEEE1584*
- *The calculations entail knowing the voltage class of the equipment, some details about its manufacture, the available short circuit and the opening times of the protective circuit breaker(s)*

## NFPA 70E - Hazard/Risk Category

- The hazard/risk category is determined by selecting the row for which  $E_{min} \leq E < E_{max}$  at the working distance.

$E_{min}$ (cal/cm <sup>2</sup> )	$E_{max}$ (cal/cm <sup>2</sup> )	Hazard/Risk Category
1.2	4	1
4	8	2
8	25	3
25	40	4

- The appropriate Personal Protective Equipment (PPE) required is then determined from Table 130.7(C)(10) and Table 130.7(C)(11) of NFPA 70E



## *NFPA 70E – Mitigation of Arc Flash*

- *Decrease available energy by using smaller upstream transformer (lower short circuit current)*
- *Decrease clearing time*
  - *Size breaker trip units more aggressively*
  - *Choose breakers for instantaneous trip times (smaller frame sizes generally trip faster than larger frame sizes)*
  - *Choose breakers with adjustable trip units including adjustments for instantaneous trips*
- *Protective devices upstream of transformers need to allow “inrush” current when transformer is energized. Using only upstream sensors, it is difficult to be as aggressive as desirable for arc-flash protection downstream of transformer. Add overcurrent devices on transformer secondary*



## *NFPA 70E – Mitigation of Arc Flash*

- *Insert fast acting breakers or fuses in separate enclosures between the transformer and the equipment that needs to be operated. In general, separate the enclosures contain arc-flash generated in that enclosure*
- *Increase distance between worker and source of arc-flash*
  - *Use remote controls to operate high arc-flash hazard devices*
  - *Use extension handles on breakers to increase working distance of operation*
  - *Install meters to use for verification that system is de-energized if work is required on system*
  - *Install IR view-ports on panels that need to be monitored for over-temperature*
- *Install protective devices that sense arcs and not just overcurrent*



## *NFPA 70E - More Information*

### *More information*

- <http://ieeexplore.ieee.org/servlet/opac?punumber=8088>
- *NFPA 70E 2015 Edition*
- <http://www.mt-online.com/articles/0204arcflash.cfm>
- [http://www.eaton.com/ecm/idcplg?IdcService=GET\\_FILE&dID=12075](http://www.eaton.com/ecm/idcplg?IdcService=GET_FILE&dID=12075)
- [http://www.eaton.com/ecm/idcplg?IdcService=GET\\_FILE&dID=118182](http://www.eaton.com/ecm/idcplg?IdcService=GET_FILE&dID=118182)
- <http://ecatalog.squared.com/pubs/Circuit%20Protection/0100DB0402.pdf>



## *NFPA 70 - National Electrical Code*

### *National Electrical Code NFPA 70*

- *Deals with hardware design, inspection and installation*
- *Most Articles do not pertain directly to power systems, but some examples that do are:*
  1. *Sizing of raceways and conduits to carry power and control cables.*
  2. *Sizing of power cables for ampacity.*
  3. *Discharge of stored energy in capacitors*

### *Example of cable ampacity sizing*

*A power supply provides 375A to a magnet via cables. The ambient temperature is 45C (104F), maximum and the cables are installed in cable tray. The cable tray fill conforms to the requirements of NEC Article 392.*

*Use NEC Table 310-15(B)(17) for single conductor cables in free air at 30C. The derating for the 45C ambient is 0.87. The derating for the single copper conductor with 90C insulation and 600V rating in a cable tray is 0.65 if placed touching other cables in the cable tray. The required ampacity is*

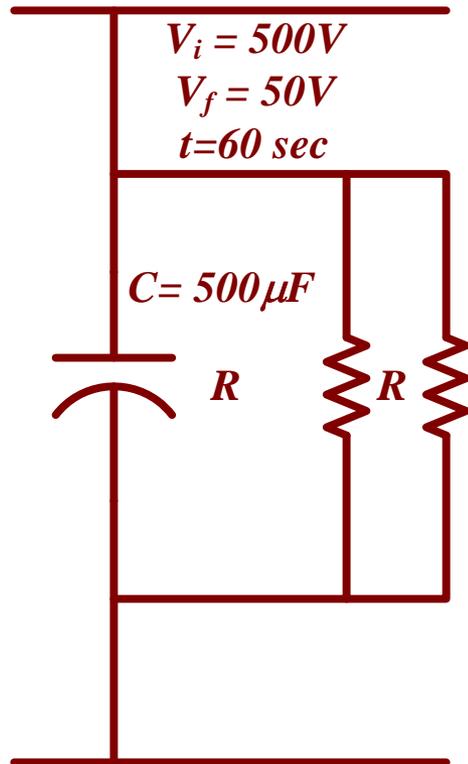
$$\text{Ampacity} = \frac{I_{PS}}{\text{deratings}} = \frac{375 \text{ A}}{0.87 * 0.65} = 663 \text{ A}$$

*From Table 310-15(B)(17) the basic ampacity of 500kcmil cable is 700A > 663A.*

*Use two 1/2 500kcmil cables to connect the PS to the magnet*

## NFPA 70 - National Electrical Code

- *Example of capacitor bleeder resistor sizing per NEC Article 460. Code requires permanent fixed energy discharge devices on capacitors operating at > 50V working voltage*
- *< 600 V , discharge to 50 V or less in 1 minute*
- *> 600 V , discharge to 50 V or less in 5 minutes*
- *Redundant bleeder resistors recommended*



$$V_f = V_i e^{\frac{-t}{RC}}$$

$$R = \frac{-t}{C \ln(V_f / V_i)} = \frac{-60 * \text{sec}}{500\mu F \ln(50V / 500V)}$$

$$R = 50 \text{ kohm}$$

$$P_R = \frac{V_i^2}{R} = \frac{(500V)^2}{50k\Omega} = 5W$$

*Use two 5W, 100k $\Omega$  resistors in parallel*



# *Interlocks*

## *3 Types*

- *Personnel Protection System (PPS)*
- *Load Protection - Machine or Magnet Protection System (MPS)*
- *Power Supply Protection – Power Supply Internal Interlocks*

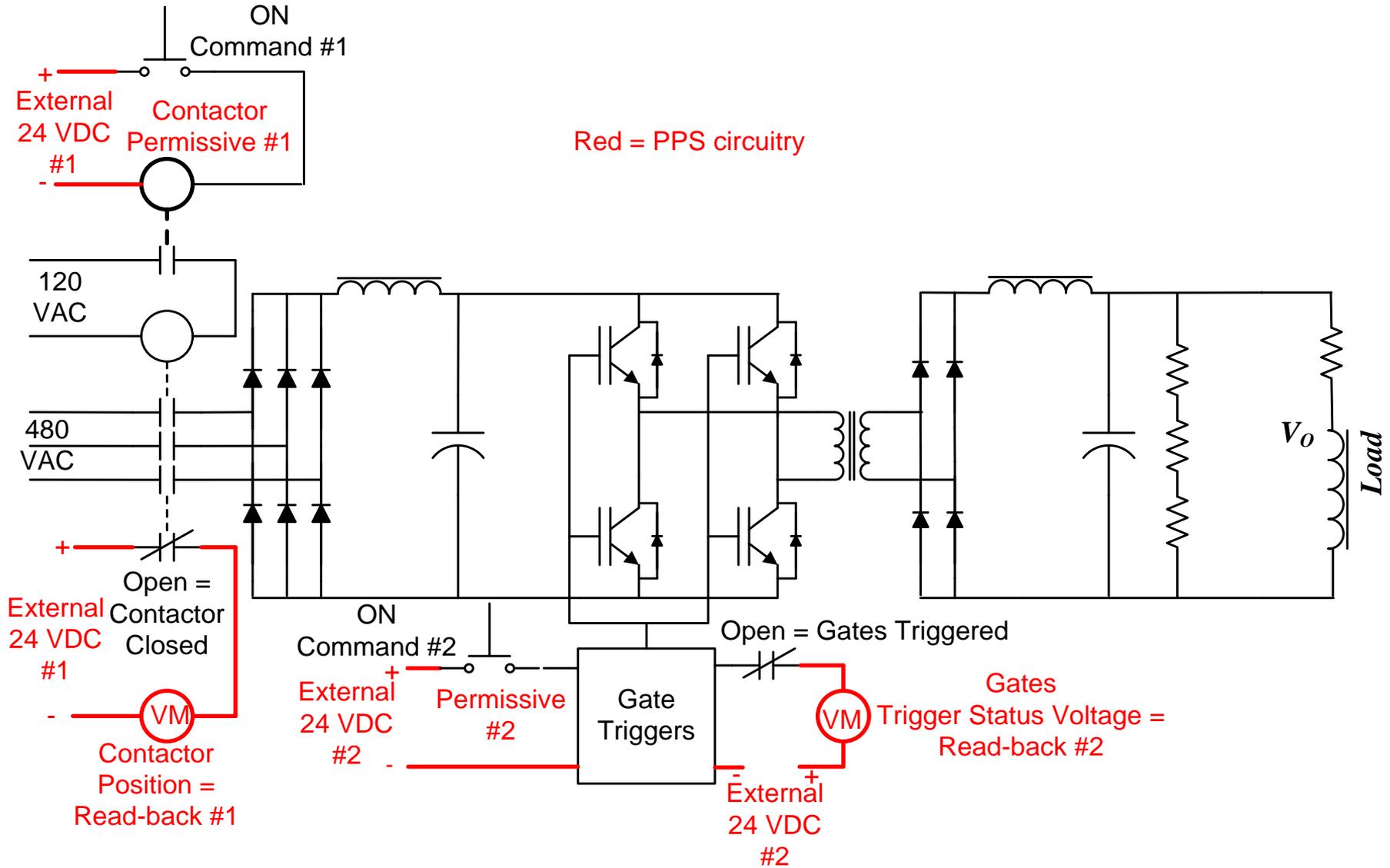


## *Interlocks - Personnel Protection System (PPS)*

### *Personnel Protection System (PPS) at SLAC*

- *Protection from hazards external to power supply (example accelerator housing door opened)*
- *Hazards are defined as voltages  $> 50$  V, currents  $> 5$  m A, energy storage  $> 10$  J.*
- *Must be hardwired (recently SLAC introduced PLC-based PPS)*
- *Two (2) PPS permissives are needed for power supply turn-on*
- *Two (2) separate and different read-backs are required*
- *Permissives and read-backs are usually 24 VDC systems*
- *Permissives and read-backs must be fail-safe*
- *If PPS is not practical, then energized equipment must be enclosed or live terminals covered*

# Interlocks - PPS Example



*Many variations of this example*



## ***Machine Protection Systems (MPS)***

*Machine (or magnet) protection systems protect loads from damage.*

### ***Magnet Cooling Water Temperature / Flow Sensors***

- Usually employ a simple normally closed (NC) contact that opens when a pre-determined temperature has been reached.*
- Water flow monitoring switches open when flow drops below a pre-established safe value*
- Temperature / Flow switches are wired to the source power supply. If the water temperature is too high or if the flow drops the contacts open and turn the power supply off*

### ***Vacuum Interlock System***

- Sensors are similar to that described in the magnet cooling water system*

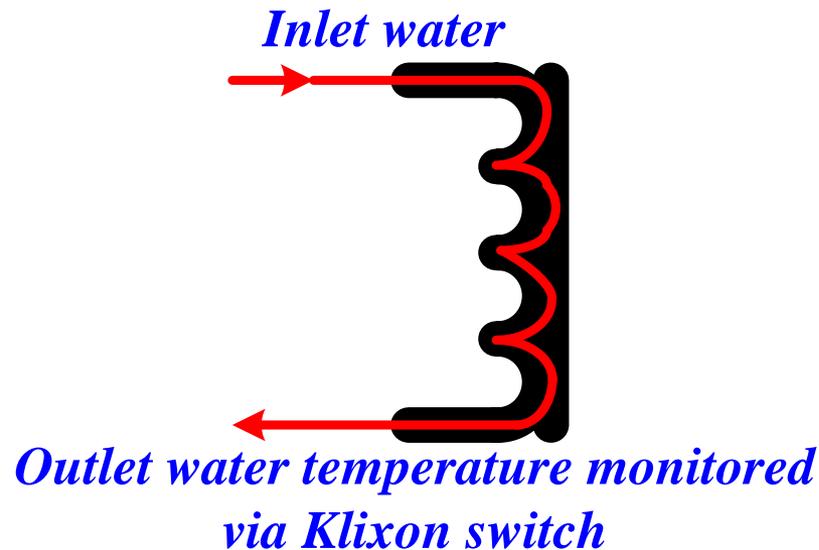
### ***Orbit Interlock System***

- Sensors consist of Beam Position Monitors and switches. Function is essentially the same in the magnet cooling water system*



## *Water Temperature Sensors*

- *Thermal switches - Klixons (a trade name) are NC contact bimetal switches mounted on the load cooling water outlet line. Their contacts open when temperature exceeds a pre-established safe value*
- *Multiple-winding, multiple water path magnets employ simple series connected Klixons.*
- *Klixons are wired to the source power supply. If the load overheats, the contacts open and turn off the power supply*



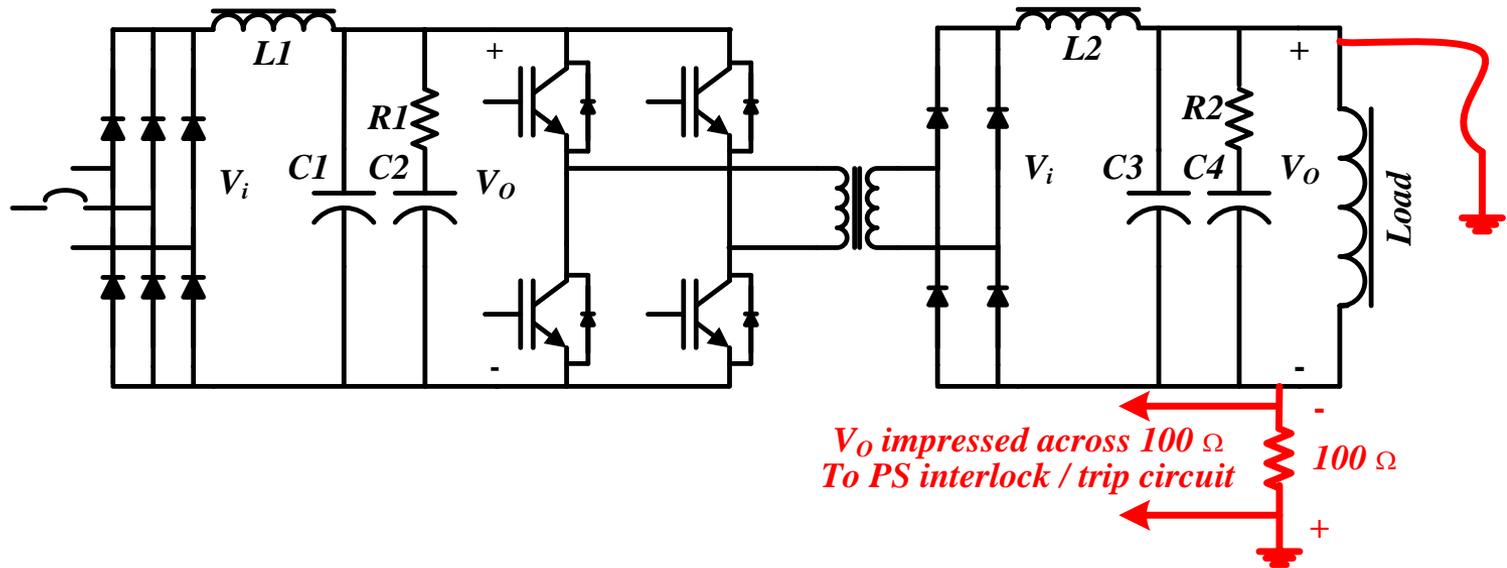
## *Machine Protection Systems (MPS)*



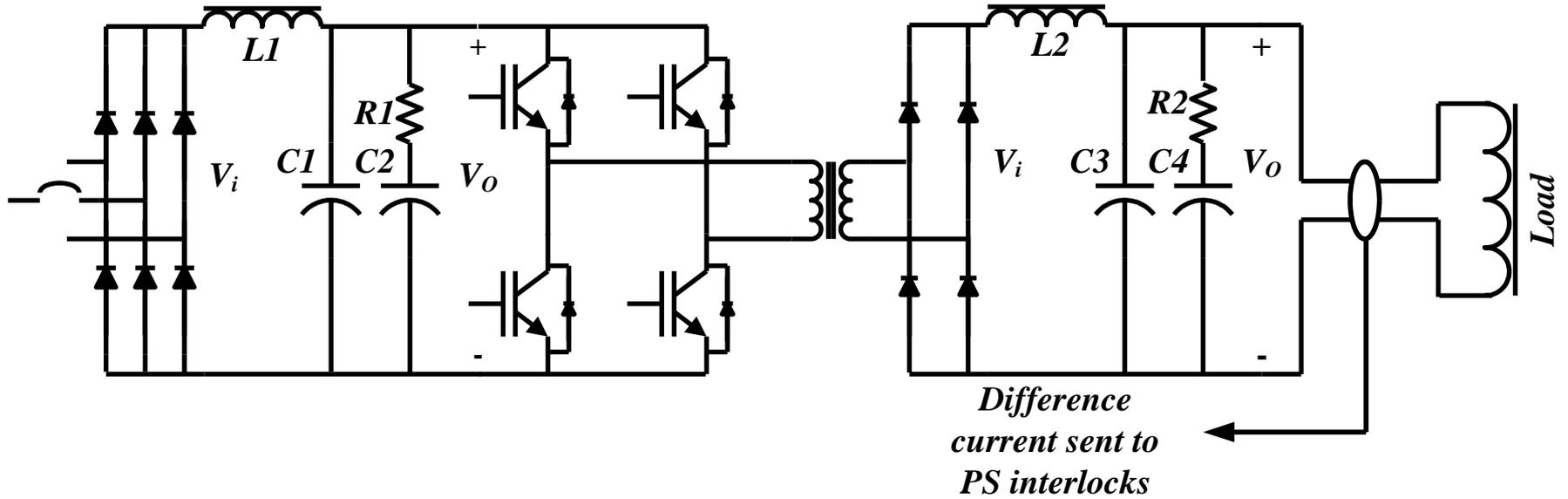
*Klixon switches*

## Ground Fault Detection / Protection Systems

- Loads are usually located in crowded, dense areas with a multitude of other equipment. This makes them vulnerable to ground faults
- Power supplies are usually isolated from ground so that a single ground fault does not cause load-catastrophic ground fault current. Fix first fault before the second fault occurs



# Ground Fault Detection / Protection Systems





## *Some Internal Interlocks*

*Internal interlocks protect the power supply itself*

- *Low input supply voltage*
- *Phase loss detection*
- *Output DC over-current*
- *Low frequency filter inductor temperature*
- *Heat-sink temperature or heat-sink cooling water flow*
- *IGBT temperature*
- *IGBT over-current*
- *Ground Fault current*
- *Output over-voltage*
- *Cabinet or chassis over-temperature*

## *Example of a PLC and its Use*



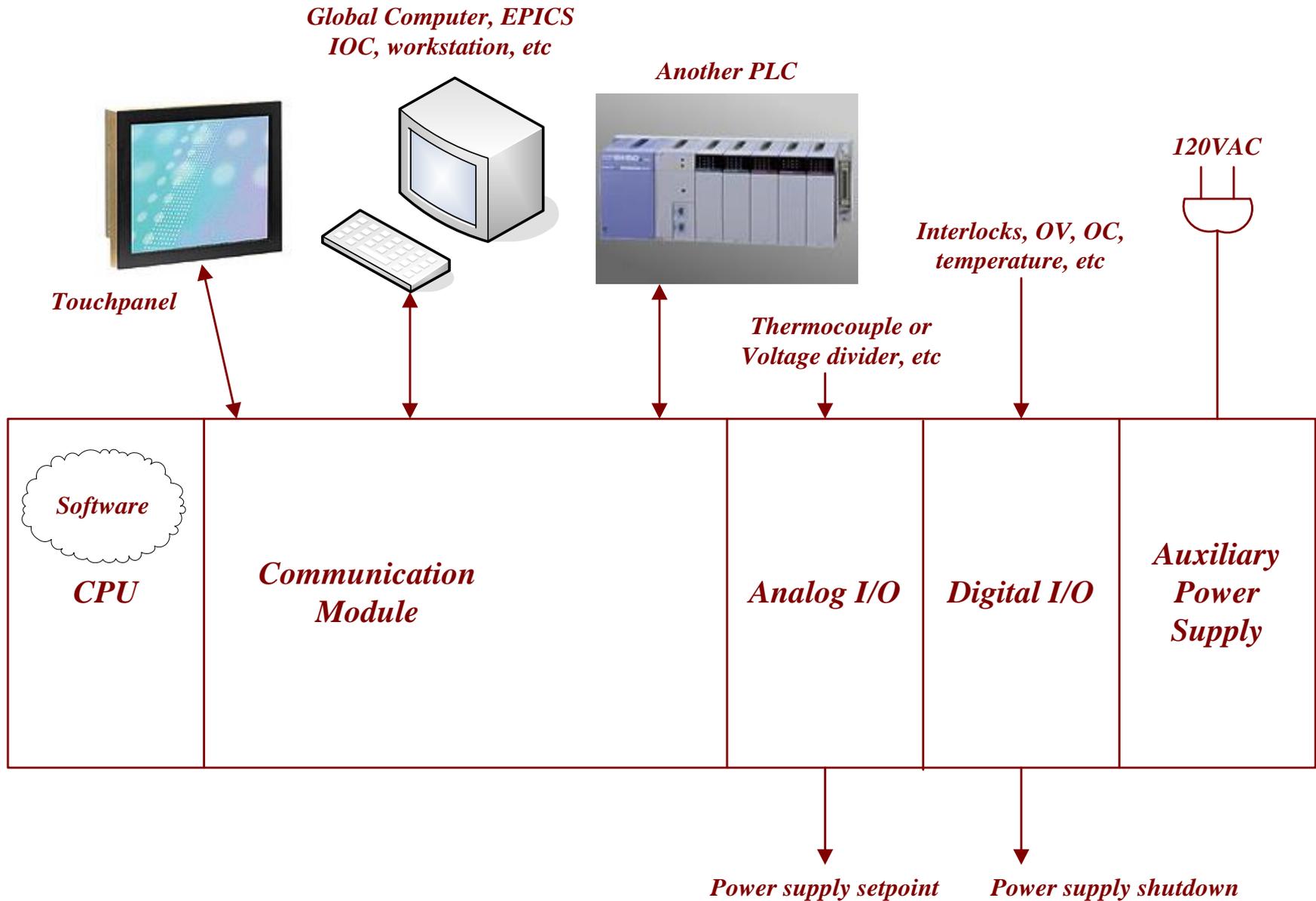
*Manufacturers are many*

- *Allen-Bradley*
- *Rockwell International (AB)*
- *Siemens*
- *General Electric*
- *IDEC*

*Programming logic*

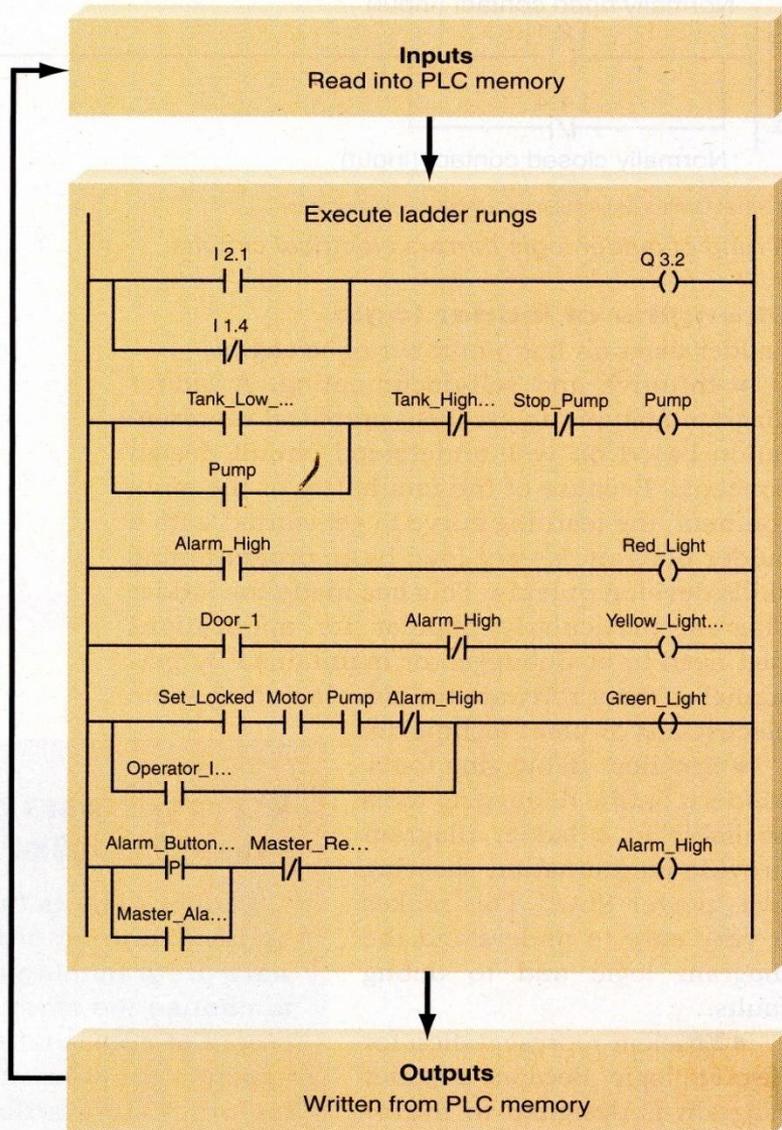
- *Ladder logic*
- *C language*
- *LabView*
- *Functional block diagrams*
- *Structured text*

# PLC Uses and Networks



# Ladder Logic

## PLC execution model



Source: Control Engineering and National Instruments

Ladder diagrams evolved in the 1960s when the automobile industry needed a more flexible and self-documenting alternative to relay and timing cabinets. A microprocessor was added and software designed to mimic the relay panels.

Left rail is the “power bus”. The right rail is the “ground bus”. Power flows through NO or NC contacts to power coils.

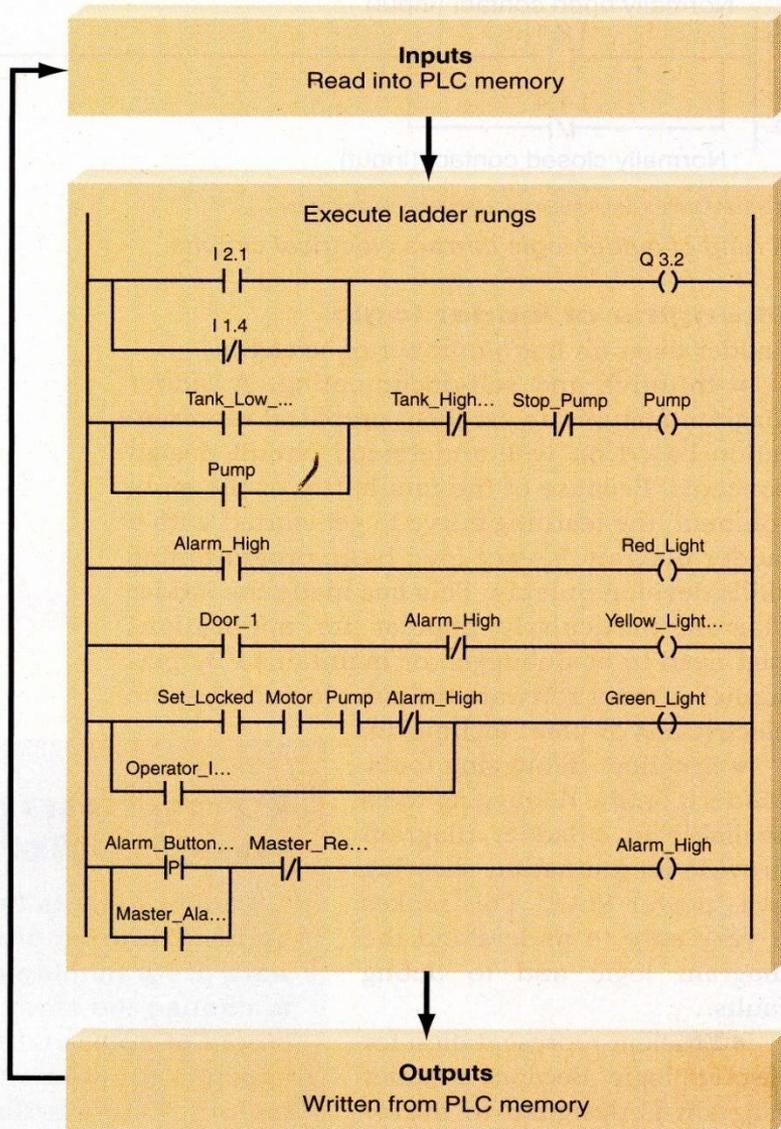
Each contact and coil is linked to a Boolean memory location.

Series contacts look like “AND” and parallel contacts look like “OR”

Execution is left to right and top to bottom

# Ladder Logic

## PLC execution model



Source: Control Engineering and National Instruments

Most widely used to program PLCs

## Strengths

- *Intuitive – can be learned very quickly by with little or no software training*
- *Excellent debugging tools, include animation showing live “power flow”. This makes the logic easy to understand and debug*
- *Efficient representation for discrete logic*

## Weaknesses

- *Hierarchical data and logic flow.*
- *Poor data structure. Rungs are executed in a left-to-right, top-to-bottom order. Timing is limited by the PLC processor speed*
- *Limited execution control*
- *Arithmetic operations are limited*



## *Programmable Logic Controllers*

*PLCs implement specific functions such as:*

<i>I/O control</i>	<i>Timing</i>	<i>Report generation</i>	<i>Arithmetic</i>
<i>Logic</i>	<i>Communication</i>	<i>Data file manipulation</i>	<i>Counting</i>

### *PLC Versus Programmable Automation Controllers (PAC)*

*Consider a PAC upgrade if your application requires:*

- advanced control algorithms*
- extensive database manipulation*
- HMI functionality in one platform*
- Integrated custom control routines*
- complex process simulation*
- very fast CPU processing*
- memory requirements that exceed PLC specifications*



## *Lockout / Tagout (LOTO)*

### *Lock & Tag for Personnel Safety During Maintenance*

- Procedures and requirements for servicing and maintaining machines and equipment*
- Provision for locking off source power, the discharge of stored energy prior and the total de-energization of equipment before working on exposed electrical circuits or other hazardous equipment in which unexpected energization, startup or release of energy could cause injury to personnel*

### *Required by*

- Occupational Safety and Health Administration (OSHA) under 29CFR1910.147*

### *Applicability*

- For working on exposed electrical circuits that would expose personnel to any electrical hazard operating at > 50 V, >50A, >10J. All types of equipment containing electrical, mechanical, hydraulic, pneumatic, chemical and/or thermal active or stored energy*



## *Lockout – Tagout (LOTO)*

### *Items Locked Out (Off) – Tagged Out (Off)*

*The power source or power device*

### *Application by*

*Authorized employee trained in LOTO and qualified to lock-off the equipment*

### *Interlocks As LOTO*

*Interlocks are not used as a substitute for lock and tag*

### *For Locking and Tagging*

- *Padlocks, usually red-colored for personal use. Yellow-colored for administrative lock-out*
- *Tags*
- *Specialty locks (Kirk-Key Locks) for complex systems*
- *Master lock boxes*



## *Section 12 - Reliability, Availability and Maintainability*

- *Definition and Importance*
- *PDF, CDF, MTBF, Exponential Distribution*
- *Reliability, Series, Parallel, and General Systems*
- *Glossary of Terms*
- *Calculation Standards*
- *Calculations - Power Supply/Power System*
- *Improvements by Oversizing and Redundancy - Examples*
- *Fault Modes And Effects Criticality Analysis (FMECA)*
- *The Reliability Process*
- *Maintainability - Cold-Swap, Warm-Swap and Hot-swap*



## *Reliability and Availability Definitions*

### *Reliability*

*According to IEEE Standard 90, reliability is the ability of a system or component to perform its required functions under stated conditions for a specified period of time*

### *Availability*

*The degree to which a system, subsystem, or equipment is operable and in a committable state during a mission (accelerator operation).*

*The ratio of the time a unit is functional during a given interval to the length of the interval.*

### *Importance*

*Reliability is important because accelerators are expected to perform like industrial factories; i.e., to be on-line at all times. In particular, accelerator power supplies are expected to be available when needed, day after day, year after year. Reliability must be considered when subsystems are complex (contain large part count) or when a system is composed of a large number of subsystems or the accelerator simply will not function.*

*Failures lead to annoyance, inconvenience and a lasting user dissatisfaction that can play havoc with the accelerator's reputation. Frequent failure occurrences can have a devastating effect on project performance and funding.*



## PDF, CDF and MTBF

*In this section we attempt to estimate the lifetime of complex systems. Each component of these systems will fail at a random time . Knowing the failure rates of the components, we use probability theory to estimate the system lifetime (probability of success)*

*We begin by introducing the non-negative probability density function (PDF),  $f(t)$ . We then define a cumulative distribution function (CDF),  $F(t)$  which has specific properties*

- There is no probability that the component has failed before being built, so  $F(-\infty) = 0$*
- It is certain that at some point in time the component will fail, so with  $F(t)$  normalized,  $F(\infty) = 1$*
- $F(t)$  is an increasing function of  $t$ .*
- Lastly  $0 \leq F(t) \leq 1$*

*The CDF can be expressed in terms of the PDF,  $F(t) = \int_{-\infty}^t f(t) dt$  or more typically  $F(t) = \int_0^t f(t) dt$*

*$f(t)$  is normalized such that  $F(t) = \int_{-\infty}^{\infty} f(t) dt = 1$*

*The probability that the component (hence system) has failed between  $t_1$  and  $t_2$  is  $\int_{t_1}^{t_2} f(t) dt = F(t_2) - F(t_1)$*

*The average value of time that components of this type will fail is given by  $\langle t \rangle = \int_0^t f(t) dt = MTBF = MTTF$*

*where MTBF and MTTF are the mean time between failure or mean time to fail, respectively*

## Exponential Density (Distribution) Function

*One probability density (distribution) function is the exponential distribution. It accurately predicts the lifetime of a component with an exponential decay, e.g., the lifetime of radioactive particles. Although there are other distributions that might be more appropriate, the exponential works reasonably well for a large class of components and is easy to use.*

*$f(t) = \lambda e^{-\lambda t}$  where  $\lambda =$  failure rate of the component (number of failures / time)*

$$\int_0^{\infty} \lambda e^{-\lambda t} dt = 1$$

$$F(t) = \int_0^t \lambda e^{-\lambda t} dt = 1 - e^{-\lambda t}$$

*where  $1 - e^{-\lambda t} =$  probability of failure*

*lastly  $\langle t \rangle = 1 / \lambda = MTBF =$  time (usually hours)*



## Reliability

We now define the reliability  $R_i(t)$  of the  $i^{\text{th}}$  component as the probability that the component is still functioning after a time  $t$ . We also define a complementary function  $Q_i(t)$  that gives the probability that the component has failed

$Q_i(t) = 1 - e^{-\lambda t}$  and since probability of failure = 1 - reliability we see that

$R_i(t) = e^{-\lambda t}$  = reliability (probability of success)



## Series Systems

*A series system is such that all subsystems or elements must work in order for the entire system to work. For such a system the total system reliability is the product of the individual component reliabilities*

$$R_T = R_1 * R_2 * \dots * R_n = \prod_1^n R_i = \text{probability of system success}$$

*The probability of system failure is*

$$Q_T = 1 - R_T = 1 - \prod_1^n R_i = 1 - \prod_1^n (1 - Q_i)$$

*For a two component system  $R_T = R_1 * R_2$*

$$\text{and } Q_T = 1 - (1 - Q_1)(1 - Q_2) = Q_1 + Q_2 - Q_1 * Q_2$$

*The probability of system failure is less than the sums of the probabilities for each component because of the subtraction of the failure probability products*



## *Parallel Systems*

*A parallel system is such that only one subsystem or element must work in order for the entire system to work. For such a system it is easier to calculate the total system reliability by first calculating the probability of the total system failure, since all elements must fail in order for the entire system to fail. Therefore*

$$R_T = 1 - Q_T = 1 - \prod_1^n Q_i = 1 - \prod_1^n (1 - R_i)$$



## General Systems

*A general system will not be simply series or parallel. It might have some redundancy, meaning that some, but not all, of the subsystems need to work for the entire system to be functional. We break the system into individual components and examine every possible combination of the states, working or failed. These combinations are all mutually exclusive, so we just sum the probabilities of each functioning combination to get the probability of system success.*

*Consider a parallel system of 3 identical units requiring 2 to work for a functioning system*

*There are  $2^n = 8$  mutually exclusive states to examine*

$$Q_1 * Q_2 * Q_3, \quad Q_1 * Q_2 * R_3, \quad Q_1 * R_2 * Q_3, \quad Q_1 * R_2 * R_3, \\ R_1 * Q_2 * Q_3, \quad R_1 * Q_2 * R_3, \quad R_1 * R_2 * Q_3, \quad R_1 * R_2 * R_3$$

*Of these states the fourth, sixth, seventh and eighth describe a functioning system. Therefore the total system reliability is*

$$R_T = Q_1 * R_2 * R_3 + R_1 * Q_2 * R_3 + R_1 * R_2 * Q_3 + R_1 * R_2 * R_3$$

*Recognizing that  $Q_i + R_i = 1$*

$$R_T = Q_1 * R_2 * R_3 + R_1 * Q_2 * R_3 + R_1 * R_2 * Q_3 + R_1 * R_2 * (1 - Q_3)$$

$$R_T = Q_1 * R_2 * R_3 + R_1 * Q_2 * R_3 + R_1 * R_2$$



## General Systems (Continued)

*The counting on the previous page gets complicated very quickly. Fortunately the calculations can be expressed in a combinational formula which gives the system reliability for  $m$  of  $n$  components connected in parallel*

$$R_T = \sum_{k=m}^n \frac{n!}{(n-k)!k!} (R_k)^k (Q_k)^{n-k}$$

*For a system described by an exponential distribution*

$$R_T = \sum_{k=m}^n \frac{n!}{(n-k)!k!} (e^{-\lambda_k t})^k (1 - e^{-\lambda_k t})^{n-k}$$



## Glossary - Math Expressions

<i>Failure rate is constant</i>	$\lambda$	$(hr^{-1})$
<i>Mission time</i>	$t$	$(hr)$
<i>Probability Density Function (PDF)</i>	$f(t) = \lambda e^{-\lambda t}$	$(dimensionless)$
<i>Cumulative Density Function (CDF)</i>	$F(t) = 1 - e^{-\lambda t}$	$(dimensionless)$
<i>Reliability (Success probability)</i>	$R(t) = e^{-\lambda t}$	$(dimensionless)$
<i>Expected time to failure (MTBF)</i>	$E(T) = \int_{-\infty}^{\infty} t f(t) dt = \frac{1}{\lambda}$	$(hr)$



## Glossary - Math Expressions

*Failure rate of N series critical components*       $\lambda_{composite} = \sum_{i=1}^N \lambda_i$        $(hr^{-1})$

*Reliability of N series components*       $R_T(t) = \prod_{i=1}^N e^{-\lambda_i t} = \prod_{i=1}^N R_i(t)$        $(dimensionless)$

*Failure N series components*       $Q_T(t) = 1 - R_T(t) = 1 - \prod_{i=1}^N (1 - Q_i(t))$        $(dimensionless)$

*Reliability of N parallel components*       $R_T(t) = 1 - \prod_{i=1}^N (1 - R_i(t))$        $(dimensionless)$

*The reliability of parallel connected m out of n components*

$$R_{system}(t) = \sum_{k=m}^n \left( \frac{n!}{(n-k)!k!} \right) \left( e^{-\lambda_k t} \right)^k \left( 1 - e^{-\lambda_k t} \right)^{n-k} \quad (dimensionless)$$

$\lambda_k = \text{constant} = \text{failure rate of individual component}$

$k = \text{index counter, } m = \text{minimum number of components needed for operation}$

$n = \text{total number of components in the system}$

*Special cases occurs when  $m = n$  or when  $m=n=1$*

$$R(t) = e^{-n \lambda t} \qquad R(t) = e^{-\lambda t}$$



## Glossary - Math Expressions

*MTBF of series critical components*       $MTBF = 1 / \lambda_{composite}$       ( hr )

*MTBF of N series identical components*       $MTBF_{composite} = MTBF_i / N$       ( hr )

*Mean time to repair or recover is*       $MTTR$       ( hr )

*Availability is*       $A = \frac{MTBF}{MTBF + MTTR}$       ( dimensionless )

*Availabilty of series components*       $A_{composite} = \prod_{i=1}^N A_i$       ( dimensionless )

*Availbilty of identical components*       $A_{composite} = A^N$       ( dimensionless )

## *Glossary of Terms and Definitions*

<i>Availability</i>	<i>Ratio of operating time to operating + downtime A=MTBF/(MTBF+MTTR). This is a dimensionless number</i>
<i>MTBF</i>	<i>Mean time between failures in hours</i>
<i>MTBF<sub>O</sub></i>	<i>The increased MTBF in hours that considers equipment operation at lower than rated power levels</i>
<i>MTBF<sub>R</sub></i>	<i>MTBF with operation at ratings - in hours</i>
<i>MTTR</i>	<i>The mean time to repair and recover beam in hours</i>
<i>R(t)</i>	<i>Reliability or probability of success over the mission time ( Typically 9 months = 6600hours)</i>
<i><math>\lambda, \lambda_O, \lambda_R</math></i>	<i>Failure rates in hr<sup>-1</sup>. These are the reciprocals of the MTBFs</i>
<i>1/1</i>	<i>One full rated power supply. Rated power = delivered power</i>
<i>1/2</i>	<i>One out of two redundant power module configuration</i>
<i>2/3</i>	<i>Two out of three redundant power module configuration</i>
<i>3/4</i>	<i>Three out of four redundant power module configuration</i>
<i>4/5</i>	<i>Four out of five redundant power module configuration</i>

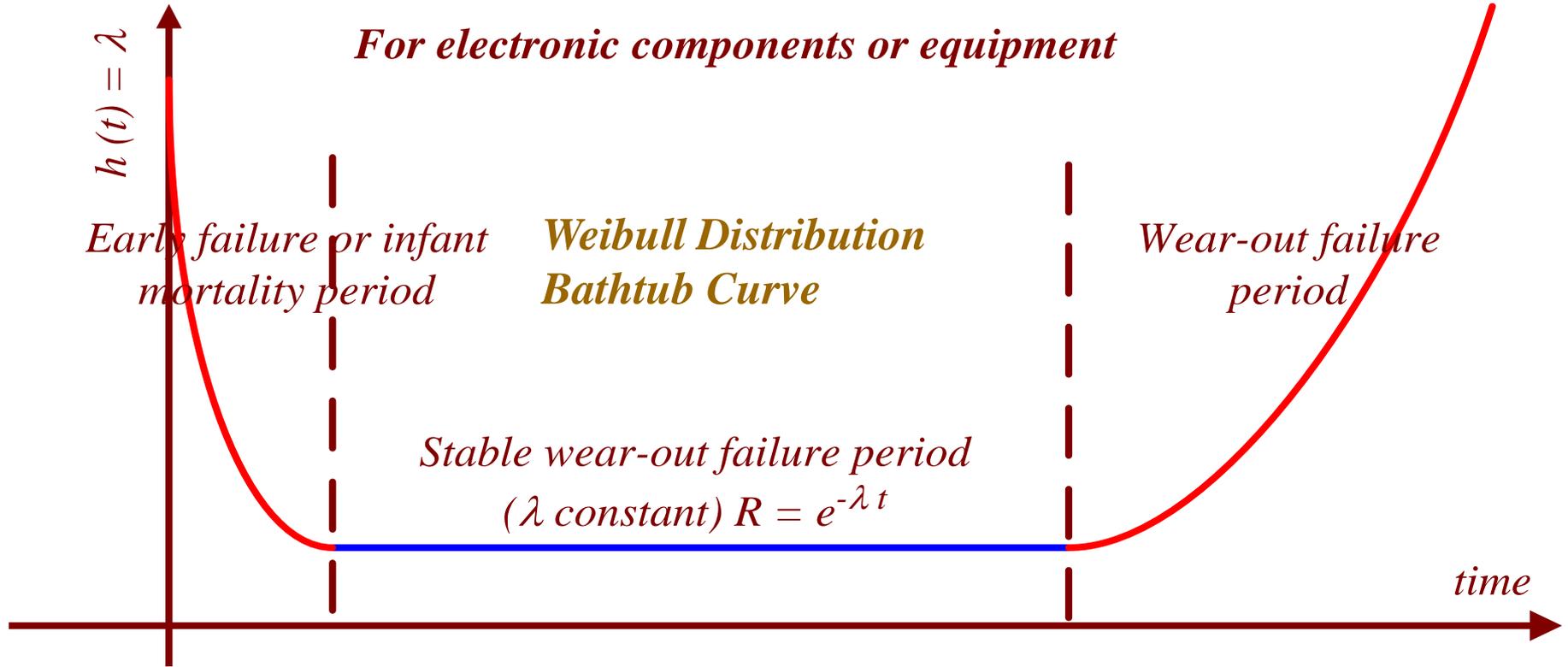


## *Homework Problem # 17*

- A. At least 1 of 4 parallel identical power supplies in an accelerator must continue to operate for the system to be successful. Let  $R_i = 0.9$ . Find the probability of success.*
- B. Repeat for at least 2 out of 4 success*
- C. Repeat for at least 3 out of 4 success*
- D. Repeat for 4 out of 4 success*

*Solution:*

## Glossary - Failure Rate Curve



- **Infant mortality** manufacturing defects, dirt, impurities. Infant mortality reduced for customer by burn-in and stress-screening
- **Stable wear-out** statistics, manufacturing anomalies, out-of-tolerance conditions
- **Wear-out failure** dry electrolytic capacitors, aged and cracked cable insulation



## *Reliability Calculation Standards*

<i>MIL-HDBK-217F (USA)</i>	<ul style="list-style-type: none"><li>• <i>Internationally used</i></li><li>• <i>Parts count</i></li><li>• <i>Parts stress</i></li><li>• <i>Broad in scope</i></li><li>• <i>Pessimistic</i></li></ul>
<i>Telcordia (Bellcore) (USA)</i>	<ul style="list-style-type: none"><li>• <i>National use</i></li><li>• <i>Parts count</i></li><li>• <i>Parts stress</i></li><li>• <i>Narrow scope (telecommunications)</i></li><li>• <i>Optimistic</i></li></ul>
<i>CNET 93 (France)</i>	<ul style="list-style-type: none"><li>• <i>Limited to France</i></li><li>• <i>Parts count</i></li><li>• <i>Parts stress</i></li><li>• <i>Broad in scope</i></li></ul>
<i>HRD5 (UK)</i>	<ul style="list-style-type: none"><li>• <i>Limited to UK</i></li><li>• <i>Parts count</i></li><li>• <i>Parts stress</i></li><li>• <i>Broad in scope</i></li></ul>



### Parts Count

- *Appropriate failure rate is assigned to each part in the subsystem (power supply) that is mission critical*
- *Failure rates are functions of environment (Ground fixed  $\Pi_{GF}$  /Ground benign  $\Pi_{GB}$  /Ground mobile,  $\Pi_{GM}$ ) and ambient temperature ( $\Pi_T$ )*
- *The parts count method is simple and used early in system design when detailed information is unknown*
- *Failure rates are summed and the following information is obtained*

$$MTBF = \frac{1}{\sum \lambda}$$

$$R(t) = e^{-\sum \lambda t}$$



## Parts Count and Parts Stress

**Parts Stress** – Same as the Parts Count method, except it takes into account more detailed information about the components and their operating stresses. The detailed information is implemented via additional  $\Pi$  reliability factors, such as:

$$\Pi_{GB} = \text{ground benign} \quad 0 < \Pi_{GB} < \infty$$

$$\Pi_T = \text{ambient temperature} \quad 0 < \Pi_T < \infty$$

$$\Pi_{MQ} = \text{manufacturing quality} \quad 0 < \Pi_{MQ} < \infty$$

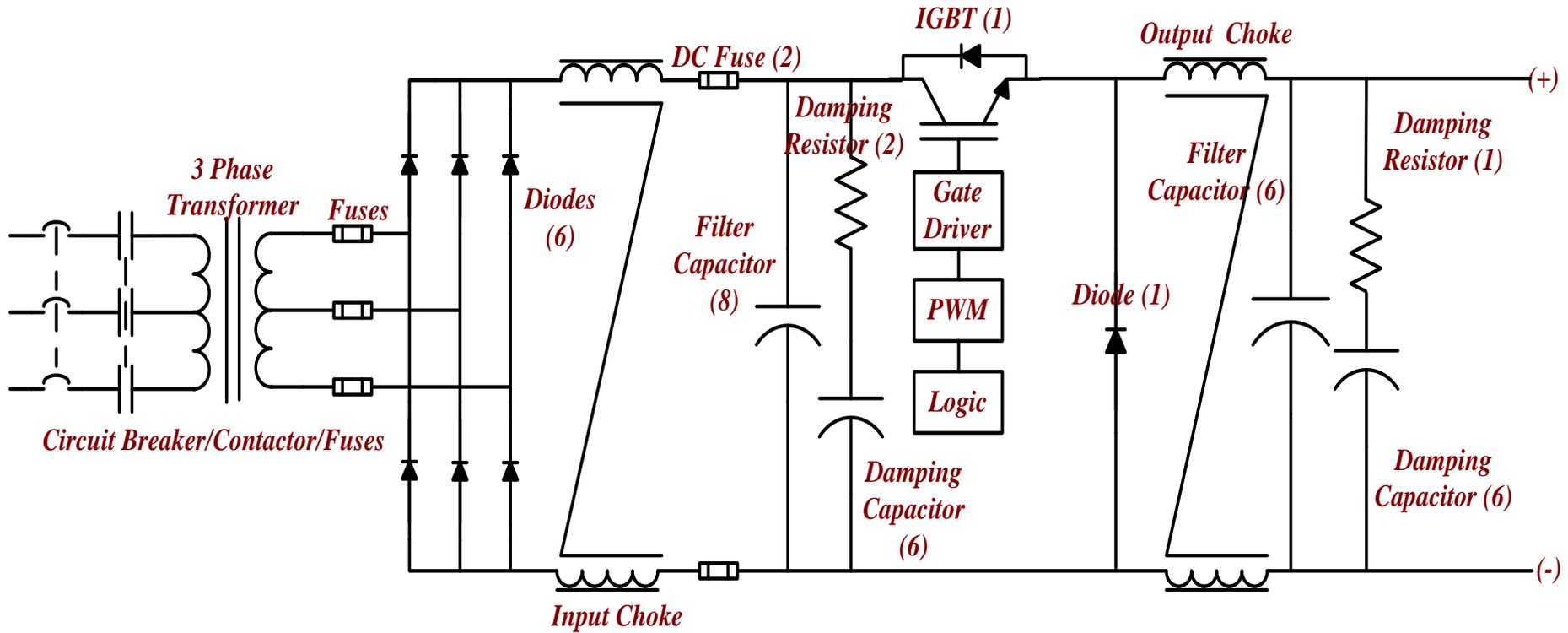
$$\Pi_{VS} = \text{voltage stress factor} \quad 0 < \Pi_{VS} < \infty$$

$$\Pi_{IS} = \text{current stress factor} \quad 0 < \Pi_{IS} < \infty$$

$$\Pi_{PS} = \text{power stress factor} \quad 0 < \Pi_{PS} < \infty$$

$$\lambda_{\text{resultant}} = \lambda_{\text{initial}} * \Pi_{GB} * \Pi_T * \Pi_{MQ} * \Pi_{VS} * \Pi_{IS} * \Pi_{PS}$$

# Example of Reliability Calculation – Power Supply





## Example of Reliability Calculation – Power Supply

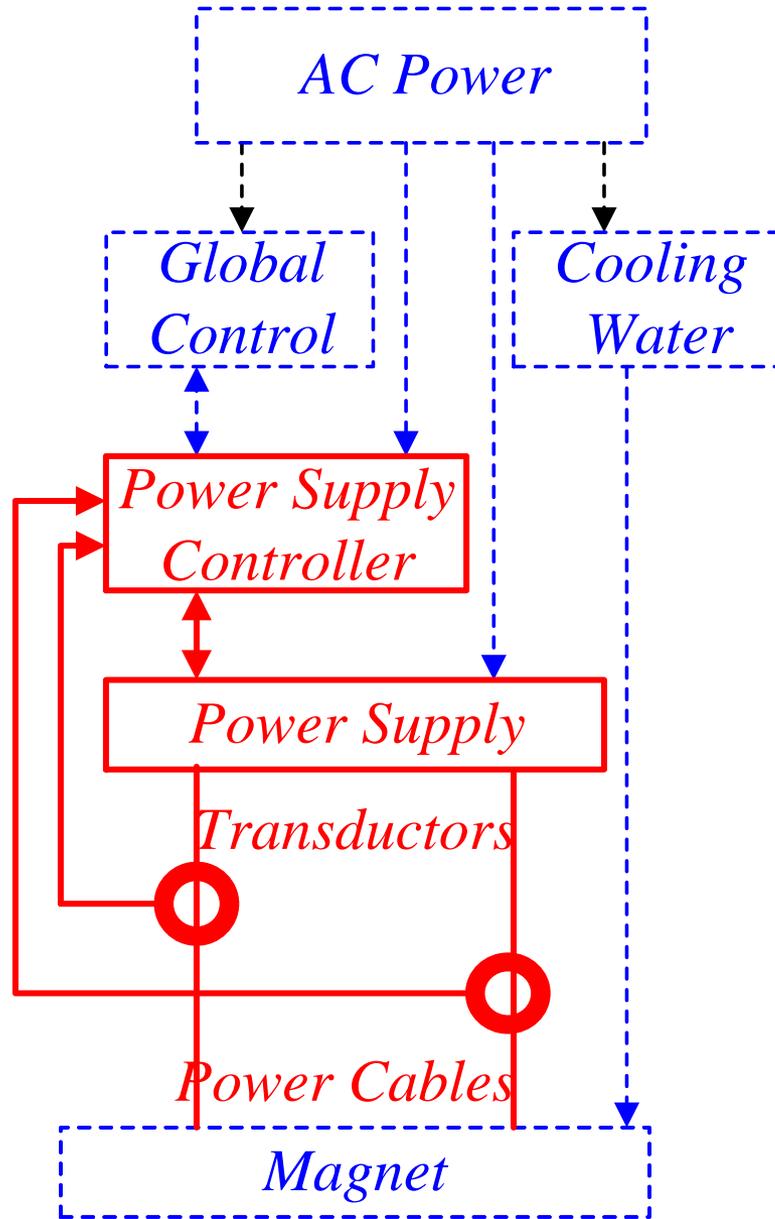
<i>Component Description</i>	<i>Qty</i>	$\lambda$	$\pi_{GB}$	$\pi_T$	$\pi_{MQ}$	$\pi_{VS}$	$\pi_{IS}$	$\pi_{PS}$	<i>Mission Loss</i>	<i>Total Rate</i> $\lambda_T 10^{-6}$
<i>Circuit Breaker/Contactor/Fuse</i>	<i>5</i>	<i>0.42</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.01</i>	<i>1.05</i>	<i>1.10</i>	<i>Yes</i>	<i>2.695</i>
<i>3 Phase Transformer</i>	<i>1</i>	<i>0.05</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.50</i>	<i>1.50</i>	<i>1.50</i>	<i>Yes</i>	<i>0.186</i>
<i>Input/Output Filter Choke</i>	<i>2</i>	<i>0.02</i>	<i>1.00</i>	<i>1.10</i>	<i>1.10</i>	<i>1.42</i>	<i>1.60</i>	<i>1.75</i>	<i>Yes</i>	<i>0.144</i>
<i>Secondary/DC Link Fuse</i>	<i>2</i>	<i>0.08</i>	<i>1.00</i>	<i>1.10</i>	<i>1.89</i>	<i>1.02</i>	<i>0.95</i>	<i>0.90</i>	<i>Yes</i>	<i>0.291</i>
<i>Main Filter Capacitor</i>	<i>8</i>	<i>0.23</i>	<i>1.00</i>	<i>1.12</i>	<i>1.50</i>	<i>1.25</i>	<i>1.25</i>	<i>1.05</i>	<i>Yes</i>	<i>5.057</i>
<i>Damping Capacitors/Resistor</i>	<i>15</i>	<i>0.02</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>No</i>	<i>0.000</i>
<i>IGBT/Diode</i>	<i>8</i>	<i>0.03</i>	<i>1.00</i>	<i>1.10</i>	<i>1.50</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>Yes</i>	<i>0.330</i>
<i>Heatsink Assembly</i>	<i>1</i>	<i>0.01</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>Yes</i>	<i>0.011</i>
<i>Gate Driver/PWM</i>	<i>2</i>	<i>0.50</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.10</i>	<i>1.10</i>	<i>1.15</i>	<i>Yes</i>	<i>1.524</i>
<i>Logic Board</i>	<i>1</i>	<i>3.50</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>1.00</i>	<i>Yes</i>	<i>3.850</i>
<i>Output Filter Capacitor</i>	<i>6</i>	<i>0.25</i>	<i>1.00</i>	<i>1.10</i>	<i>1.00</i>	<i>1.25</i>	<i>1.25</i>	<i>1.00</i>	<i>Yes</i>	<i>2.578</i>
<i>MTBF and Total Failure Rate</i>								<i>60,000</i>		<i>16.667</i>

## Homework Problem # 18

Calculate the MTBF of a “typically commercial” 5 kW, switchmode power supply with EMI filter and appropriate electromechanical safety features amounting to 10% of the total number of components. The power supply operates at 50C ambient temperature. The power supply consists of the following components with the listed failure rates.:

- 2 each ICs, plastic linear,  $\lambda = 3.64$  failures per million hours each
- 1 each opto-isolator,  $\lambda = 1.32$  failures per million hours each
- 2 each hermetic sealed power switch transistors,  $\lambda = 0.033$  failures per million hours each
- 2 each plastic power transistors,  $\lambda = 0.026$  failures per million hours each
- 4 each plastic signal transistors,  $\lambda = 0.0052$  failures per million hours each
- 2 each hermetic sealed power diodes,  $\lambda = 0.064$  failures per million hours each
- 8 each plastic power diodes,  $\lambda = 0.019$  failures per million hours each
- 6 each hermetic sealed switch diodes,  $\lambda = 0.0024$  failures per million hours each
- 32 each composition resistors,  $\lambda = 0.0032$  failures per million hours each
- 3 each potentiometers, commercial,  $\lambda = 0.3$  failures per million hours each
- 8 each pulse type magnets, 130C rated,  $\lambda = 0.044$  failures per million hours each
- 12 each ceramic capacitors, commercial,  $\lambda = 0.042$  failures per million hours each
- 3 each film capacitors, commercial,  $\lambda = 0.2$  failures per million hours each
- 9 each Al electrolytics, commercial,  $\lambda = 0.48$  failures per million hours each

# Example of Reliability Calculation – Power System



## Example of Reliability Calculation – Power System



<i>Single System Availability</i>		
<i>Component</i>	<i>MTBF</i>	<i>Availability</i>
<i>PS Controller</i>	<i>110,000</i>	<i>0.9999818</i>
<i>Power Supply</i>	<i>60,000</i>	<i>0.9999667</i>
<i>Transducer 1</i>	<i>381,500</i>	<i>0.9999948</i>
<i>Transducer 2</i>	<i>381,500</i>	<i>0.9999948</i>
<i>Cables</i>	<i>14,000,000</i>	<i>0.9999999</i>
<i>System</i>	<i>32,184</i>	<i>0.9999379</i>

*t=6574 hrs/year MTTR=2 hrs components/system*



## *Reliability Software*

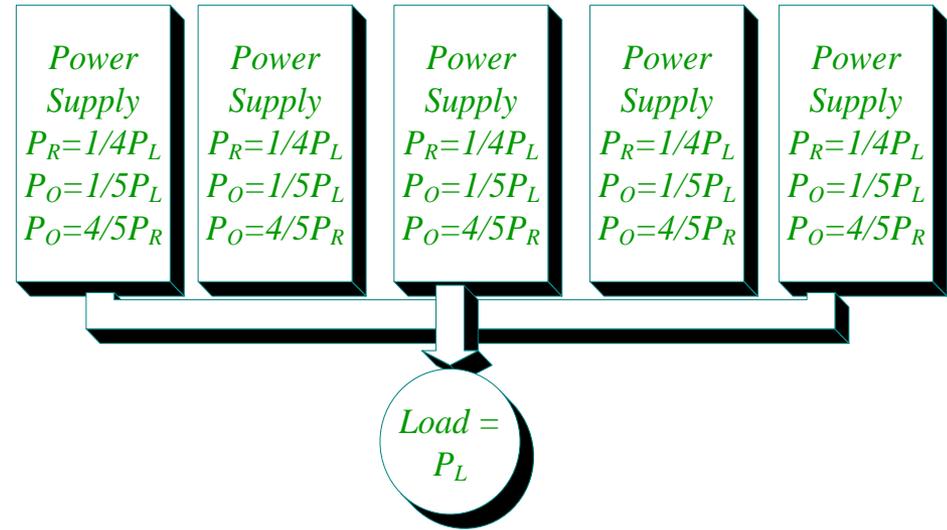
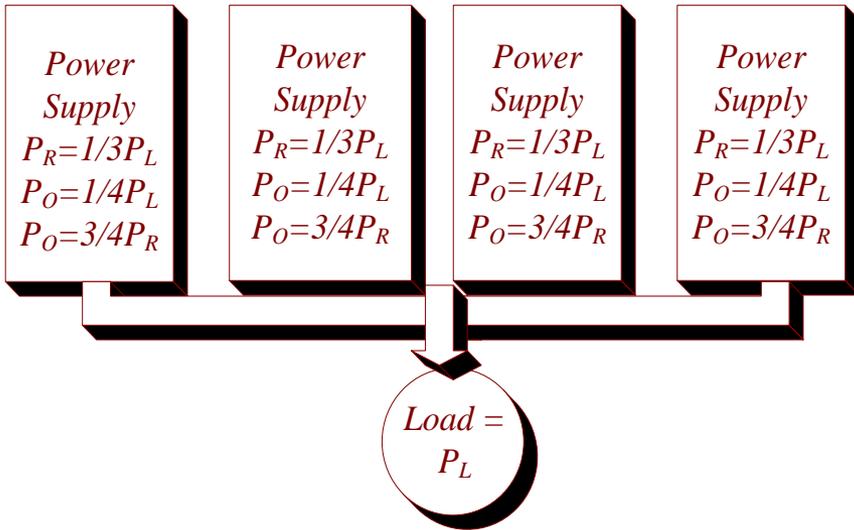
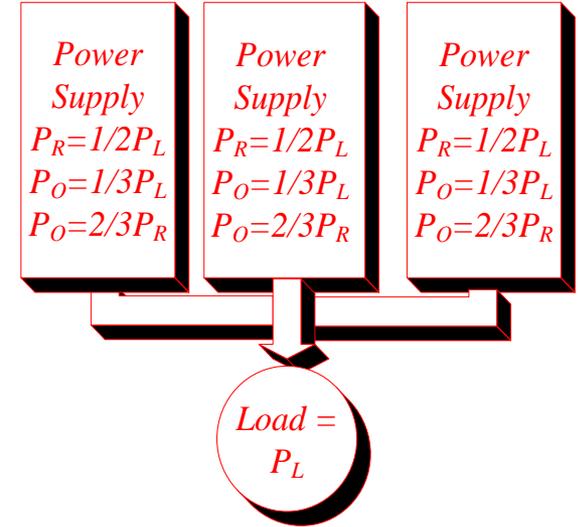
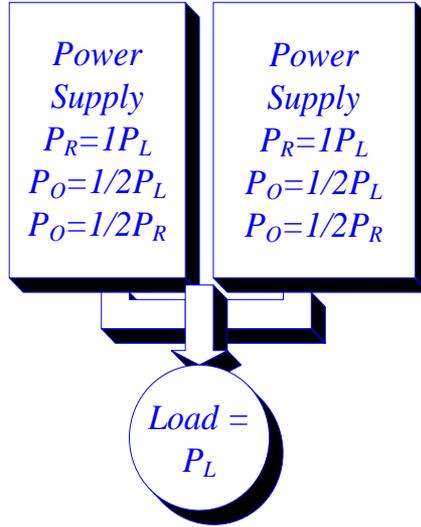
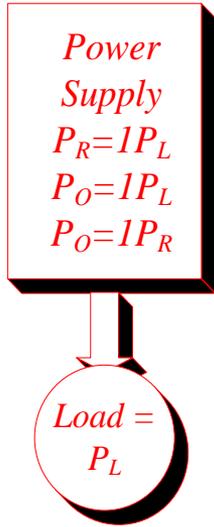
### *Relex by Relex Software*

*See Reference Appendix for web link to this manufacturers products*

### *RelCalc by T-Cubed*

*See Reference Appendix for web link to this manufacturers products*

# Reliability/Availability Improvement By Redundancy





## *Reliability/Availability Improvement By Redundancy*

- *Two types - Standby and Active*
  1. *Standby - the redundant parts are off and only operate when the first part fails. This requires more vigilance on the part of the control system and is not covered here.*
  2. *Active - the redundant part(s) are on, albeit operating at a reduced power level until asked to assume increased or full load. This is easier to implement than Standby redundancy and is the more common method. We will examine this further*



## *Availability Improvement By Oversizing and Redundancy*

*The general, exponential form of the Binomial Distribution for m out of n parts is*

$$R(t) = \sum_{k=m}^n \left( \frac{n!}{(n-k)!k!} \right) (e^{-\lambda t})^k (1 - e^{-\lambda t})^{n-k}$$

*$\lambda = \text{constant} = \text{failure rate}$*

*$k = \text{index counter}$*

*$m = \text{minimum number of power modules needed for operation}$*

*$n = \text{total number of power modules in the system}$*

*Special cases occurs when  $m = n$  or when  $m=n=1$*

$$R(t) = e^{-n\lambda t}$$

$$R(t) = e^{-\lambda t}$$



# Availability Improvement By Oversizing and Redundancy

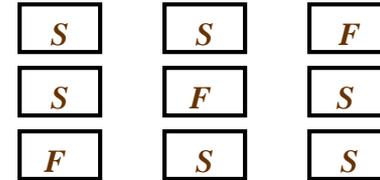
*Binomial Expansion 2 out of 3 example*

$$R_{2/3}(t) = \sum_{k=m=2}^{n=3} \left( \frac{n!}{(n-k)!k!} \right) (e^{-\lambda t})^k (1 - e^{-\lambda t})^{n-k}$$

$$k = 2$$

$$\frac{3!}{1!2!} e^{-2\lambda t} (1 - e^{-\lambda t}) = 3 e^{-2\lambda t} (1 - e^{-\lambda t})$$

*3 cases, probability of success, probability of failure*



**3 Cases**

$$k = 3$$

$$\frac{3!}{0!3!} e^{-3\lambda t} (1 - e^{-\lambda t})^0 = 1 e^{-3\lambda t}$$

*1 case, probability of success, no failure*



**1 Case**

$$R_{2/3}(t) = 3e^{-2\lambda t} - 2e^{-3\lambda t}$$

## Availability Improvement By Oversizing and Redundancy

*Derivation*

*When  $\lambda(t)$  is a function of time*

*General form  $R(t) = e^{-\lambda(t)t}$*

$$\frac{dR(t)}{dt} = -\frac{d\lambda(t)}{dt}e^{-\lambda(t)t} - \lambda(t)e^{-\lambda(t)t}$$

$$\frac{d\lambda(t)}{dt} \text{ is } \ll \lambda(t)$$

$$\frac{dR(t)}{dt} = -\lambda(t)e^{-\lambda(t)t} \quad \text{but } e^{-\lambda(t)t} = R(t)$$

$$\lambda(t) = -\frac{\frac{dR(t)}{dt}}{R(t)} \quad \text{If } \lambda \text{ is a constant then the above reduces to } \lambda(t) = \lambda$$

$$MTBF(t) = \frac{R(t)}{-\frac{dR(t)}{dt}}$$

## Availability Improvement By Oversizing and Redundancy

For the  $m$  out of  $n$  case, where  $m \neq n$

$n$  quantity of  $\frac{m}{n}$  rated power supplies. Each power supply operates at  $\frac{m}{n}$  rated  $P_R$

$$P_O = \frac{m}{n} P_R$$

$$MTBF_O = \frac{P_R}{P_O} MTBF_R = \frac{n}{m} MTBF_R \quad \lambda_O = \frac{m}{n} \lambda_R \quad \text{linear relationship is conservative}$$

$$R_{Om/n}(t) = \sum_{k=m}^n \left( \frac{n!}{(n-k)!k!} \right) \left( e^{-\lambda_O t} \right)^k \left( 1 - e^{-\lambda_O t} \right)^{n-k} = n e^{-m\lambda_O t} - m e^{-n\lambda_O t}$$

$$MTBF_{Om/n}(t) = \frac{n e^{-m\lambda_O t} - m e^{-n\lambda_O t}}{mn\lambda_O e^{-m\lambda_O t} - mn\lambda_O e^{-n\lambda_O t}}$$

$$A_{Om/n}(t) = \frac{MTBF_{Om/n}(t)}{MTBF_{Om/n}(t) + MTTR}$$

## Active Redundancy - One Full Rated Power Supply

*For the case of 1 power supply with a power rating equal to the required operational power*

$$P_R = P_O$$

$$MTBF_R = MTBF_O$$

$$\lambda_R = \lambda_O$$

$$R_O = e^{-\lambda_O t} = e^{-\lambda_R t}$$

$$A_O = \frac{MTBF_O}{MTBF_O + MTTR} = \frac{MTBF_R}{MTBF_R + MTTR}$$

## Active Redundancy - One Out of Two Case

For the  $m=1$  out of  $n=2$  case

2- full rated power supplies. Each power supply operates at  $\frac{1}{2}$  rated  $P_R$

$$MTBF_O = \frac{P_R}{P_O} MTBF_R = 2 MTBF_R \quad \lambda_O = \frac{1}{2} \lambda_R$$

$$R_{O1/2}(t) = 2e^{-\lambda_O t} - e^{-2\lambda_O t}$$

$$MTBF_{O1/2}(t) = \frac{2e^{-\lambda_O t} - e^{-2\lambda_O t}}{2\lambda_O e^{-\lambda_O t} - 2\lambda_O e^{-2\lambda_O t}}$$

$$A_{O1/2}(t) = \frac{MTBF_{O1/2}(t)}{MTBF_{O1/2}(t) + MTTR}$$

## Active Redundancy - Two Out of Three Case

For the  $m=2$  out of  $n=3$  case

3-1/2 rated power supplies. *Each power supply operates at 2/3 rated  $P_R$*

$$MTBF_O = \frac{P_R}{P_O} MTBF_R = \frac{3}{2} MTBF_R \quad \lambda_O = \frac{2}{3} \lambda_R$$

$$R_{O2/3}(t) = 3e^{-2\lambda_O t} - 2e^{-3\lambda_O t}$$

$$MTBF_{O2/3}(t) = \frac{3e^{-2\lambda_O t} - 2e^{-3\lambda_O t}}{6\lambda_O e^{-2\lambda_O t} - 6\lambda_O e^{-3\lambda_O t}}$$

$$A_{O2/3}(t) = \frac{MTBF_{O2/3}(t)}{MTBF_{O2/3}(t) + MTTR}$$

## Active Redundancy - Three Out of Four Case

For the  $m=3$  out of  $n=4$  case

4-3/4 rated power supplies. Each power supply operates at 3/4 rated  $P_R$

$$MTBF_O = \frac{P_R}{P_O} MTBF_R = \frac{4}{3} MTBF_R \quad \lambda_O = \frac{3}{4} \lambda_R$$

$$R_{O3/4}(t) = 4e^{-3\lambda_O t} - 3e^{-4\lambda_O t}$$

$$MTBF_{O3/4}(t) = \frac{4e^{-3\lambda_O t} - 3e^{-4\lambda_O t}}{12\lambda_O e^{-3\lambda_O t} - 12\lambda_O e^{-4\lambda_O t}}$$

$$A_{O3/4}(t) = \frac{MTBF_{O3/4}(t)}{MTBF_{O3/4}(t) + MTTR}$$

## Active Redundancy - Four Out of Five Case

For the  $m=4$  out of  $n=5$  case

5-4/5 rated power supplies. Each power supply operates at 4/5 rated  $P_R$

$$MTBF_O = \frac{P_R}{P_O} MTBF_R = \frac{5}{4} MTBF_R \quad \lambda_O = \frac{4}{5} \lambda_R$$

$$R_{O4/5}(t) = 5e^{-4\lambda_O t} - 4e^{-5\lambda_O t}$$

$$MTBF_{O4/5}(t) = \frac{5e^{-4\lambda_O t} - 4e^{-5\lambda_O t}}{20\lambda_O e^{-4\lambda_O t} - 20\lambda_O e^{-5\lambda_O t}}$$

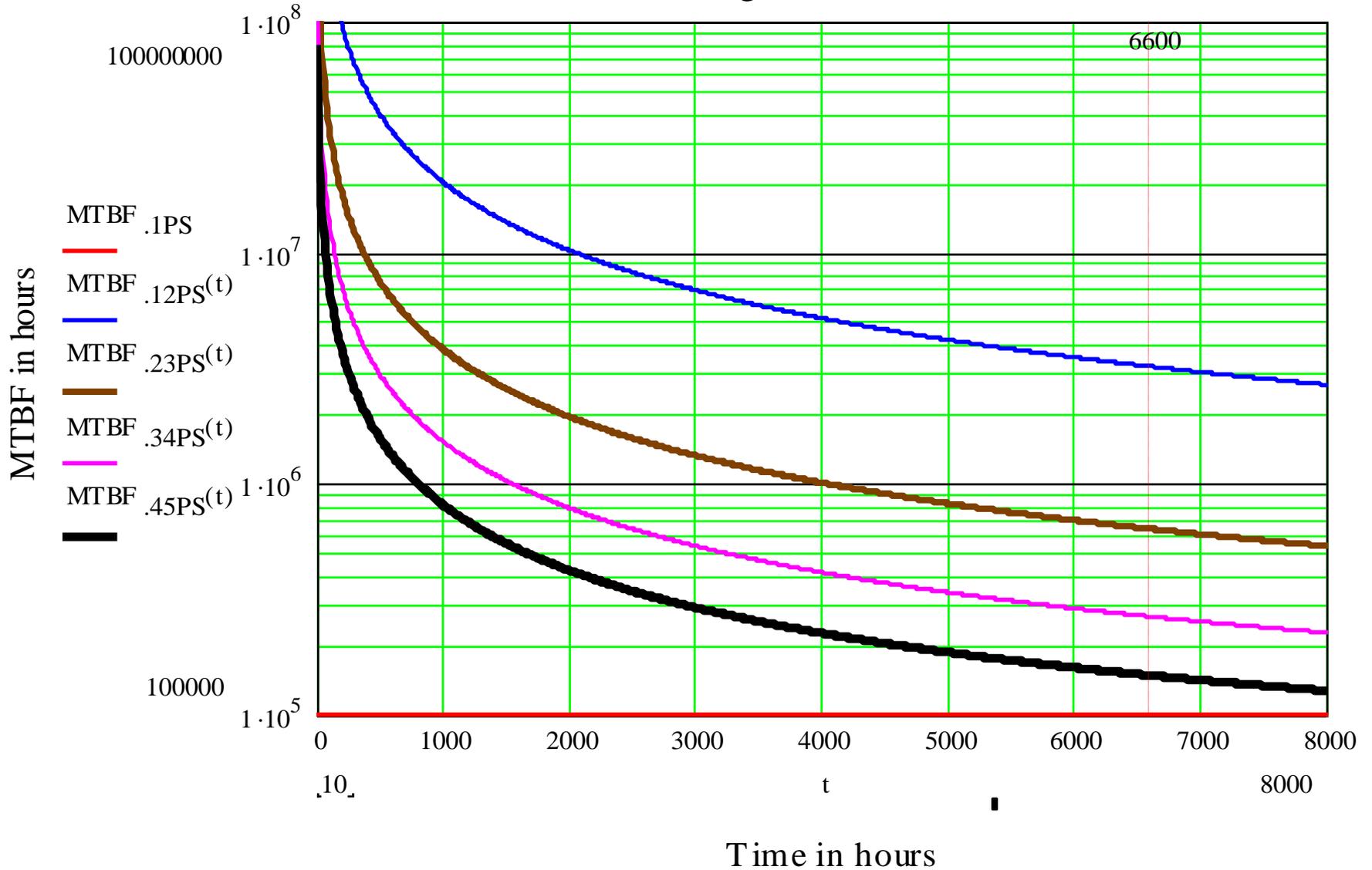
$$A_{O4/5}(t) = \frac{MTBF_{O4/5}(t)}{MTBF_{O4/5}(t) + MTTR}$$

# Active Redundancy Power Supply Reliability Summary

<i>PS</i>		<i>Redundant Power Supplies</i>		
<i>1FR</i>	$\lambda_O = \lambda_R$	$R_{O} = e^{-\lambda_O t}$	$MTBF_O = MTBF_R$	$A_O = \frac{MTBF_O}{MTBF_O + MTTR}$
<i>1/2</i>	$\lambda_O = \frac{1}{2} \lambda_R$	$R_{O1/2} = 2e^{-\lambda_O t} - e^{-2\lambda_O t}$	$MTBF_{O1/2}(t) = \frac{2e^{-\lambda_O t} - e^{-2\lambda_O t}}{2\lambda_O e^{-\lambda_O t} - 2\lambda_O e^{-2\lambda_O t}}$	$A_{O1/2}(t) = \frac{MTBF_{O1/2}(t)}{MTBF_{O1/2}(t) + MTTR}$
<i>2/3</i>	$\lambda_O = \frac{2}{3} \lambda_R$	$R_{O2/3} = 3e^{-2\lambda_O t} - 2e^{-3\lambda_O t}$	$MTBF_{O2/3}(t) = \frac{3e^{-2\lambda_O t} - 2e^{-3\lambda_O t}}{6\lambda_O e^{-2\lambda_O t} - 6\lambda_O e^{-3\lambda_O t}}$	$A_{O2/3}(t) = \frac{MTBF_{O2/3}(t)}{MTBF_{O2/3}(t) + MTTR}$
<i>3/4</i>	$\lambda_O = \frac{3}{4} \lambda_R$	$R_{O3/4} = 4e^{-3\lambda_O t} - 3e^{-4\lambda_O t}$	$MTBF_{O3/4}(t) = \frac{4e^{-3\lambda_O t} - 3e^{-4\lambda_O t}}{12\lambda_O e^{-3\lambda_O t} - 12\lambda_O e^{-4\lambda_O t}}$	$A_{O3/4}(t) = \frac{MTBF_{O3/4}(t)}{MTBF_{O3/4}(t) + MTTR}$
<i>4/5</i>	$\lambda_O = \frac{4}{5} \lambda_R$	$R_{O4/5} = 5e^{-4\lambda_O t} - 4e^{-5\lambda_O t}$	$MTBF_{O4/5}(t) = \frac{5e^{-4\lambda_O t} - 4e^{-5\lambda_O t}}{20\lambda_O e^{-4\lambda_O t} - 20\lambda_O e^{-5\lambda_O t}}$	$A_{O4/5}(t) = \frac{MTBF_{O4/5}(t)}{MTBF_{O4/5}(t) + MTTR}$

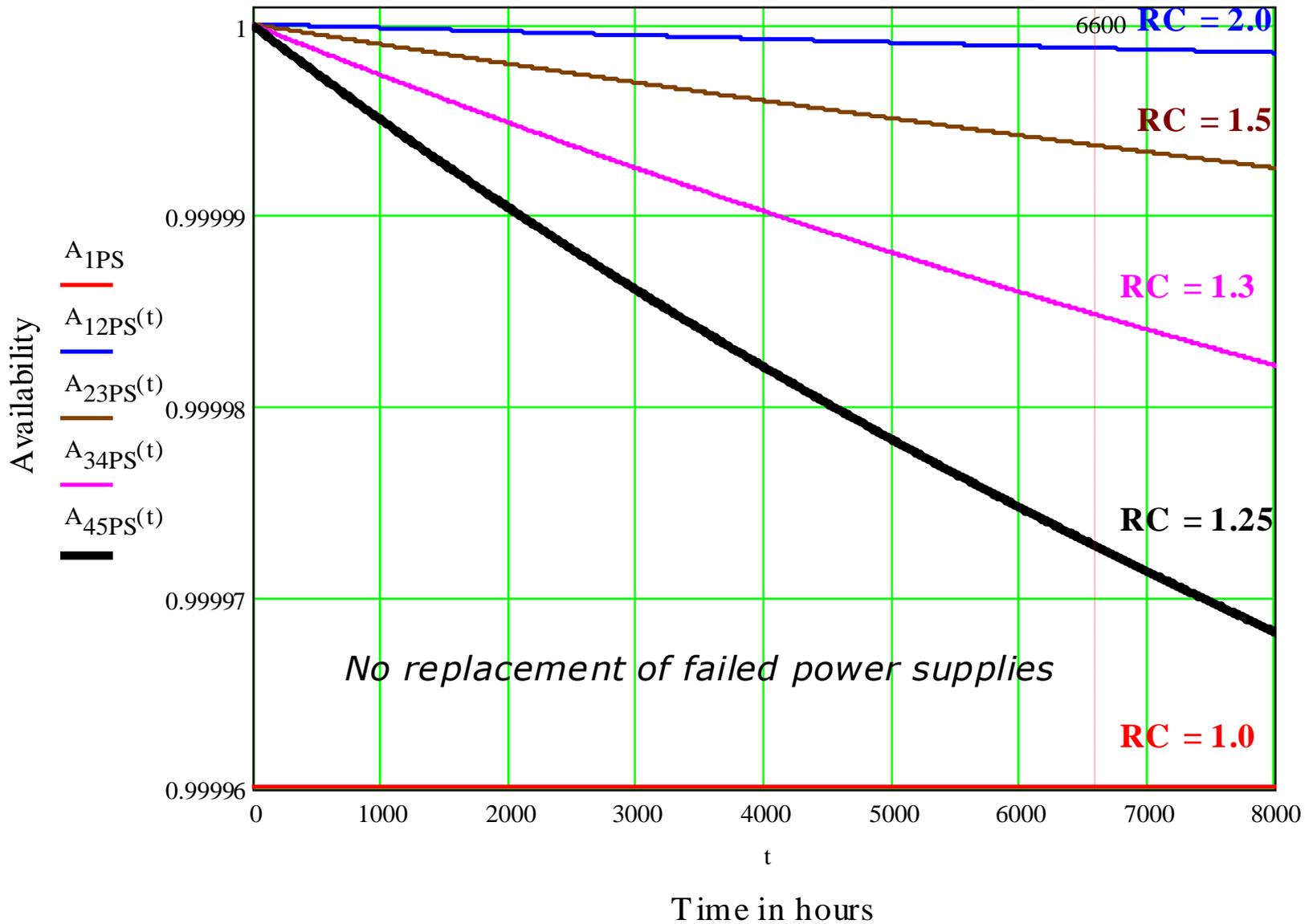
# Active Redundancy MTBF Plot

## Configuration MTBF

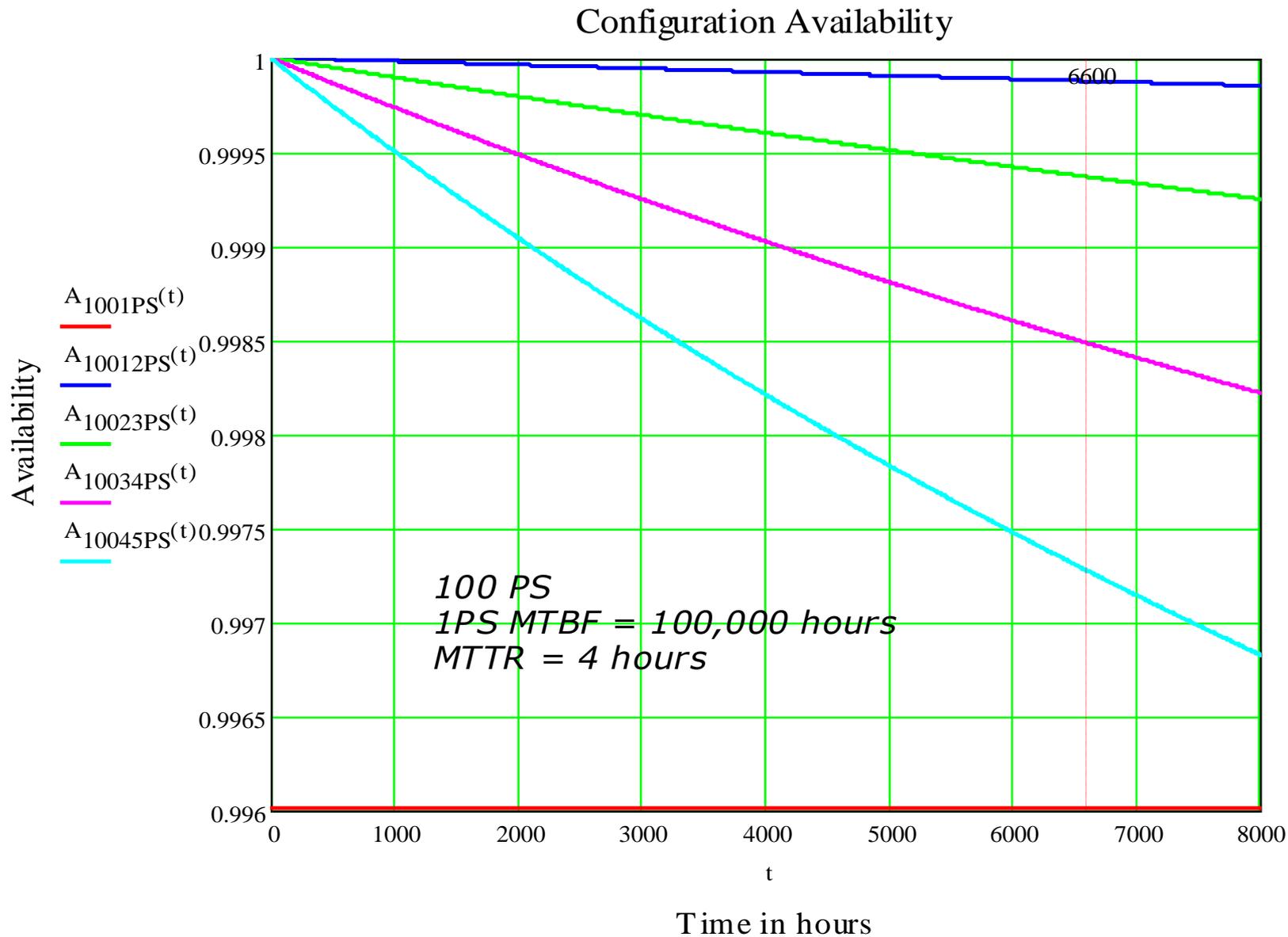


# Active Redundancy - Availability

## Configuration Availability

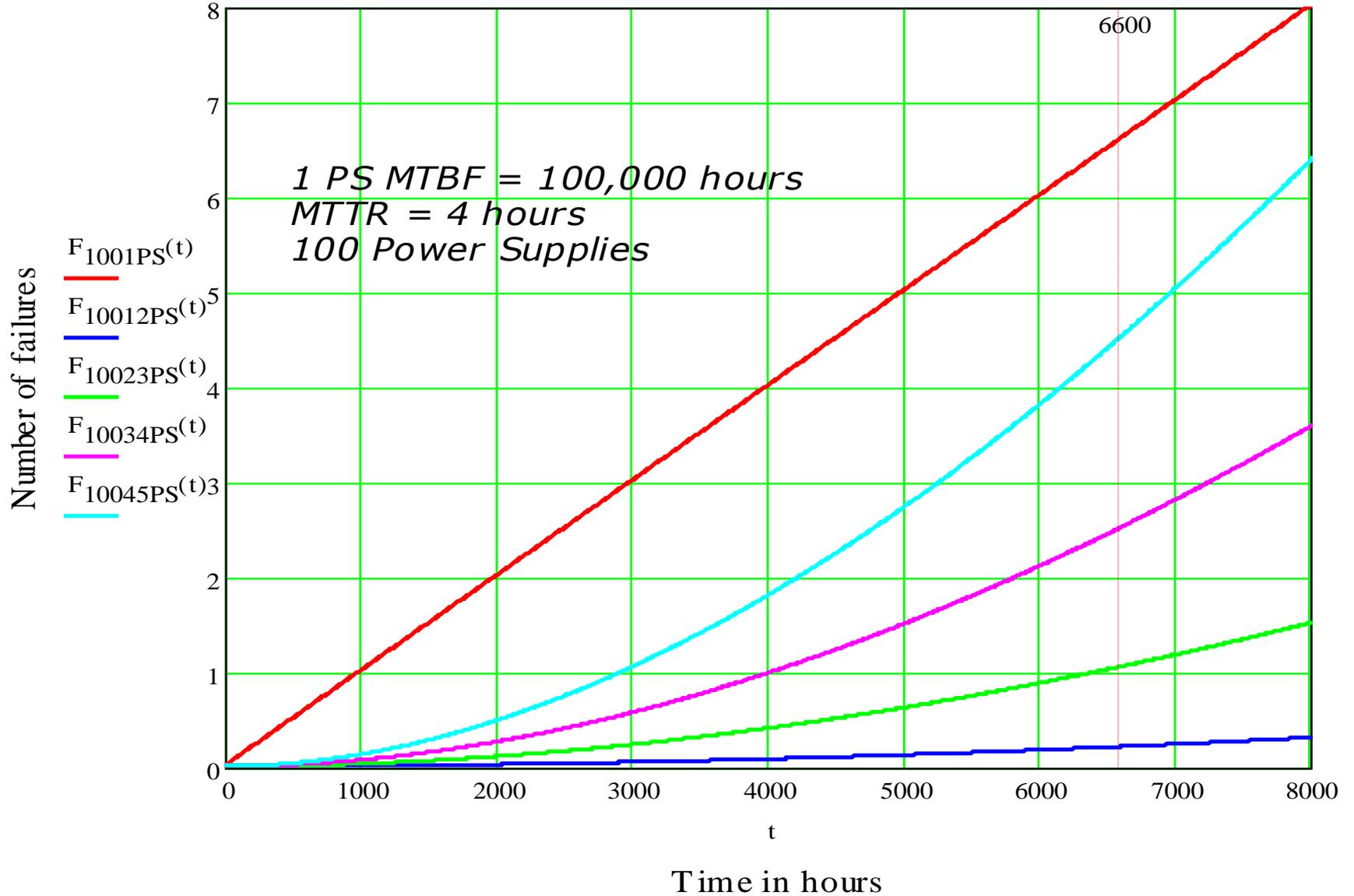


# Active Redundancy - Availability of 100 Power Systems



# Active Redundancy - Number of PS Failures

Number of Failures





## *Homework Problem # 19*

*Two inverter stages in an uninterruptible power supply are to be connected in parallel. each is capable of full-load capability. The calculated failure rate of each stage is  $\lambda = 200$  failures per million hours.*

- A. What is the probability that each inverter will remain failure free for a mission time of 1000 hours and*
- B. What is the probability that the system will operate failure free for 1000 hours?*

*Solution:*



## *Homework Problem # 20*

*For a critical mission, 3 power supplies, each capable of supplying the total required output, are to be paralleled. The power supplies are also decoupled such that a failure of any power supply will not affect the output. The calculated failure rate of each power supply is 4 per million hours.*

*A. What is the probability that each power supply will operate failure free for 5 years?*

*B. What is the probability that the system will operate failure free for 5 years? That is, only 1 out of the 3 power supplies is needed in order for the system to operate. Solution below.*

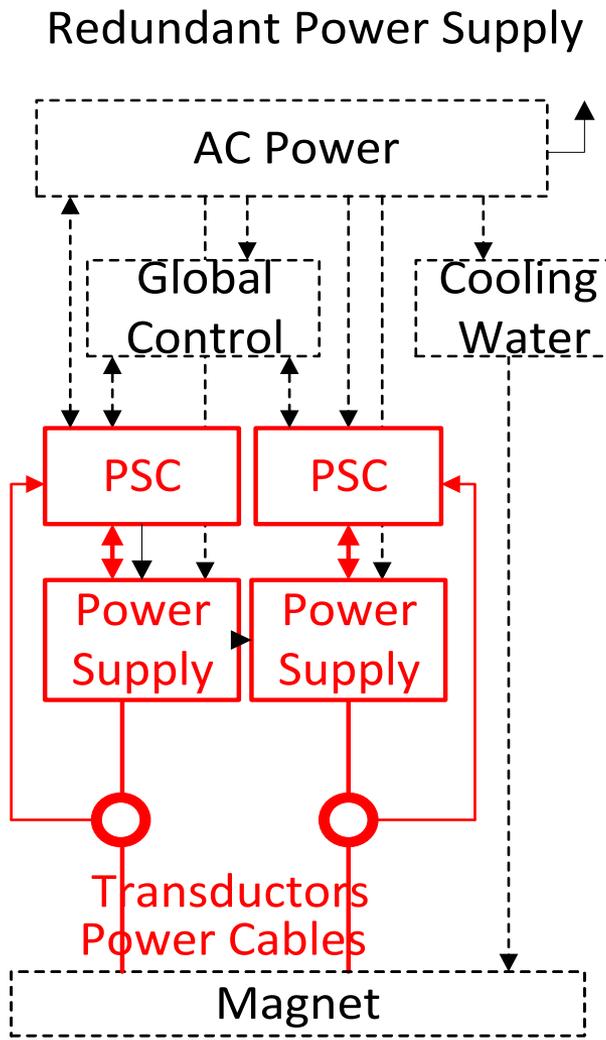
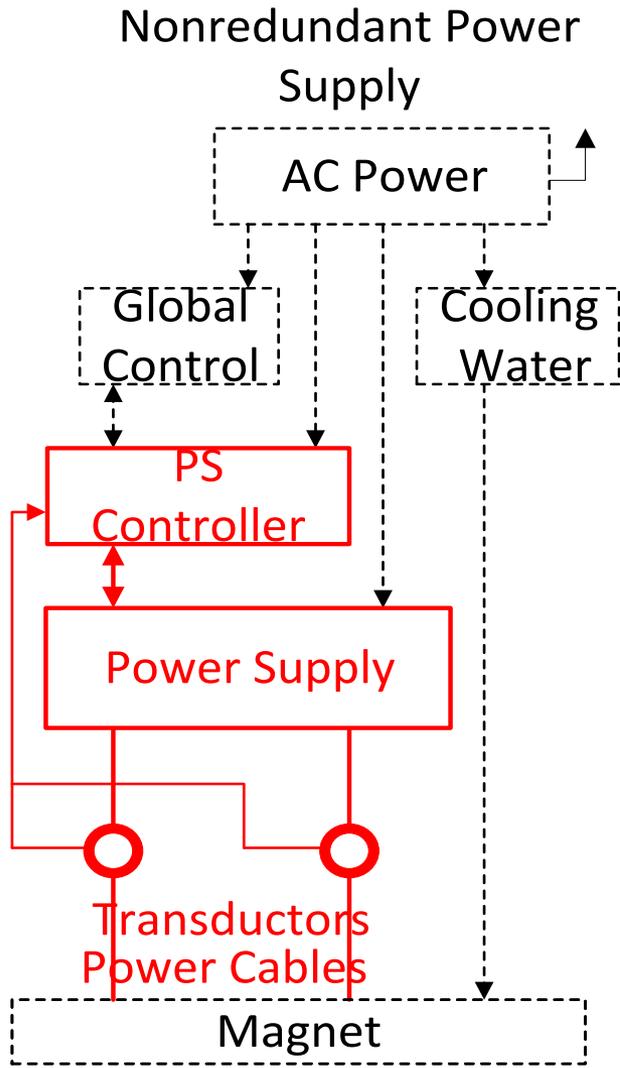


*SLAC Next-Generation  
High Availability Power Supply*

*Dave MacNair  
SLAC National Accelerator Laboratory  
Power Conversion Department (PCD)*



# Why High Availability is Essential



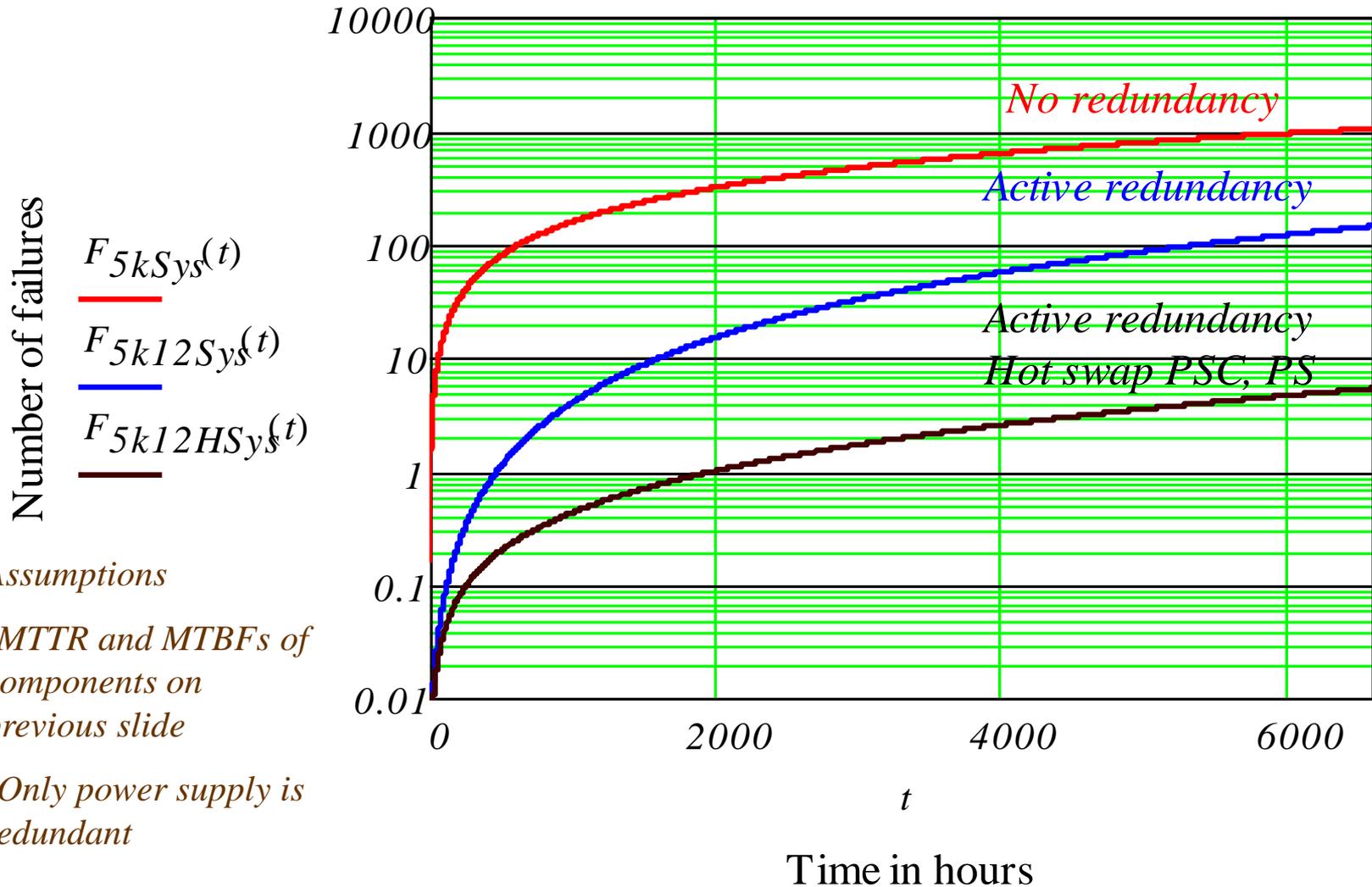
<i>Item</i>	<i>MTBF kHrs</i>
<i>PSC</i>	<i>110</i>
<i>PS</i>	<i>60</i>
<i>Transductor</i>	<i>381.5</i>
<i>Cables/ Connectors</i>	<i>14000</i>

*MTTR = 2 Hours*

*PAC 2001 Chicago, Illinois  
Bellomo, Donaldson, MacNair*

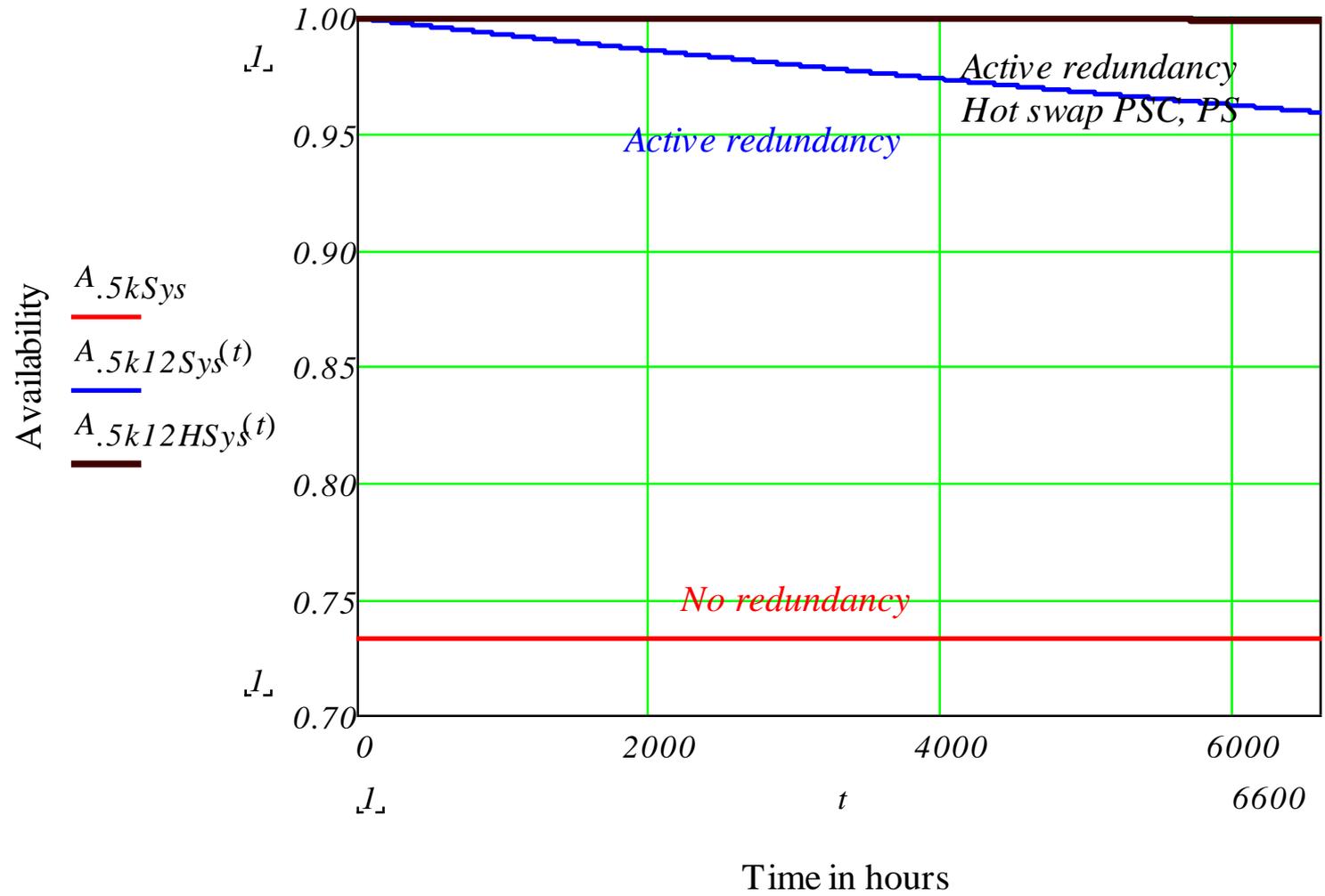
# Redundancy is Essential

## 5000 Nonredundant Vs 5000 1/2 Redundant



# Hot Swap is Essential

5000 Nonredundant Vs 1/2 Redundant Hot Swappable



*It is clear that redundancy and hot swap are needed*

## *SLAC Projects with Non-redundant or Redundant Power Supplies*

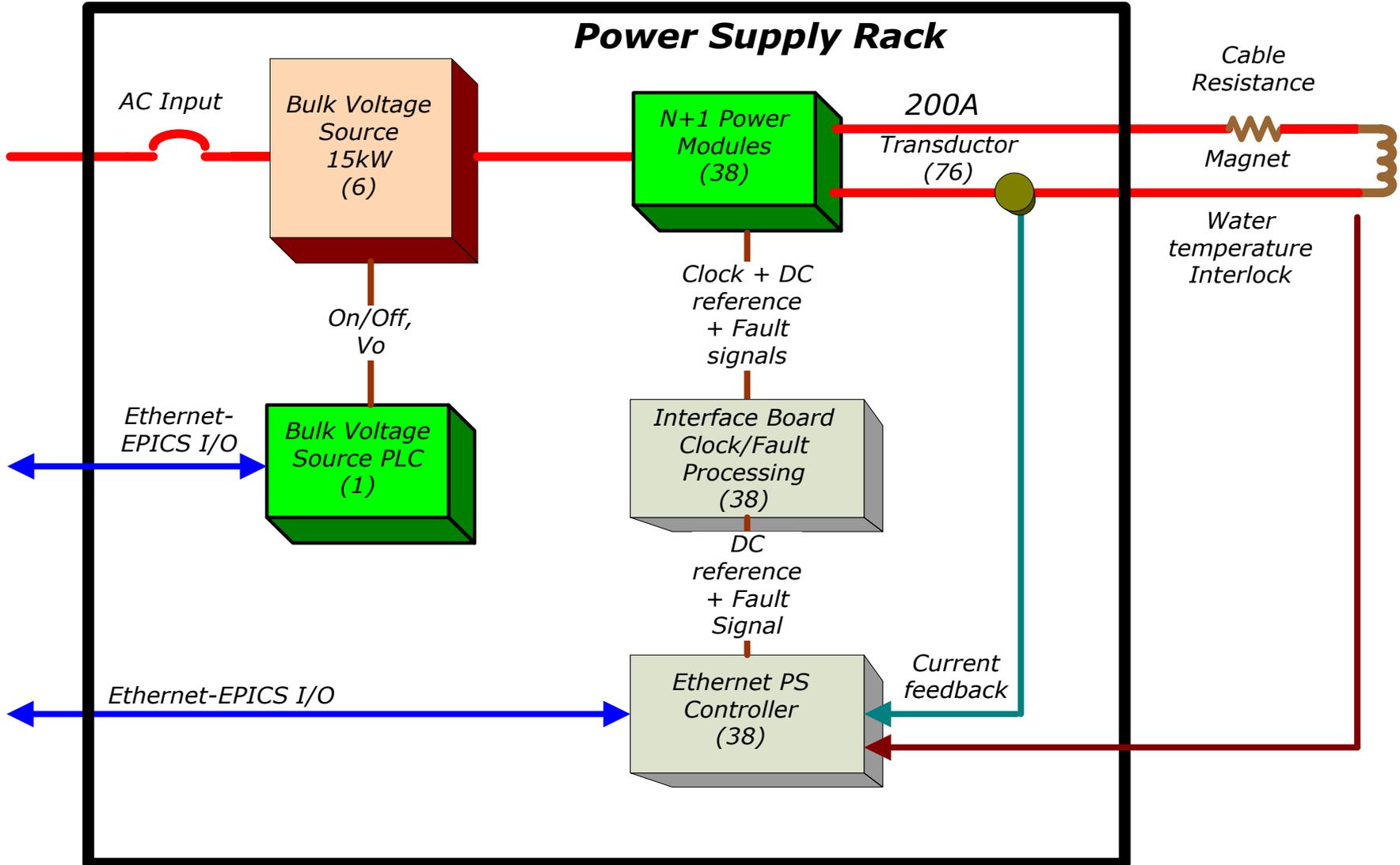
### *Non-redundant - PEP II, SPEAR 3, LCLS (1994 – 2006)*

- *Power supply quantity is hundreds, not thousands*
- *Power supply availability budget is modest 98%*
- *Non-redundant supplies satisfied availability budget*
- *Redundant power systems not readily available from industry*
- *Redundant systems would not fit within cost and schedule constraints*

### *Redundant - KEK ATF 2 (2006 – 2008)*

- *Mock-up of ILC Final Focus accelerator*
- *Magnet power supplies ILC-like*

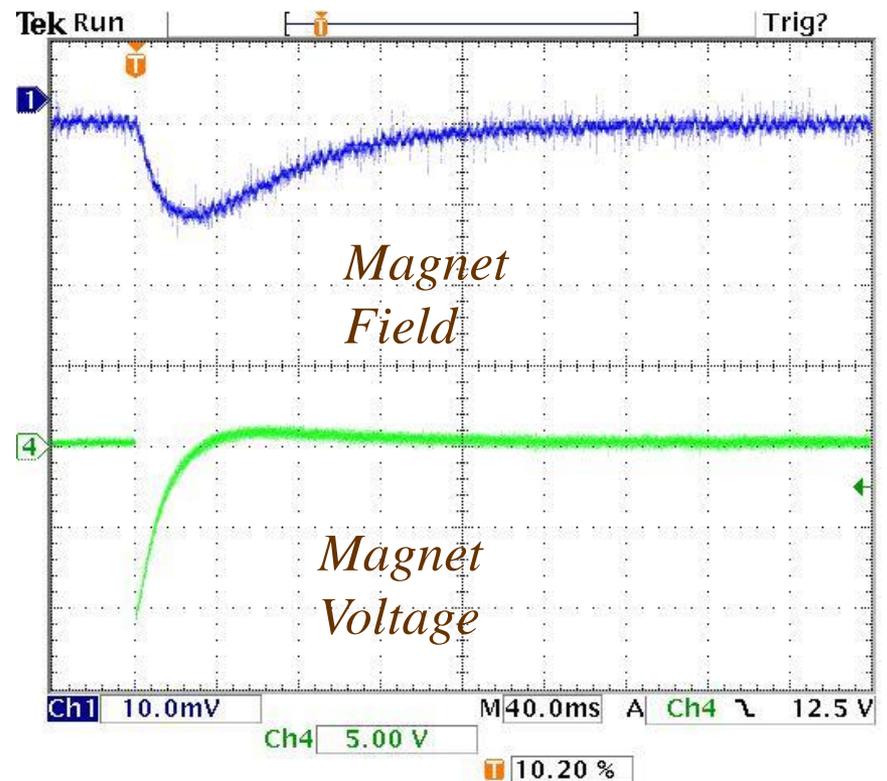
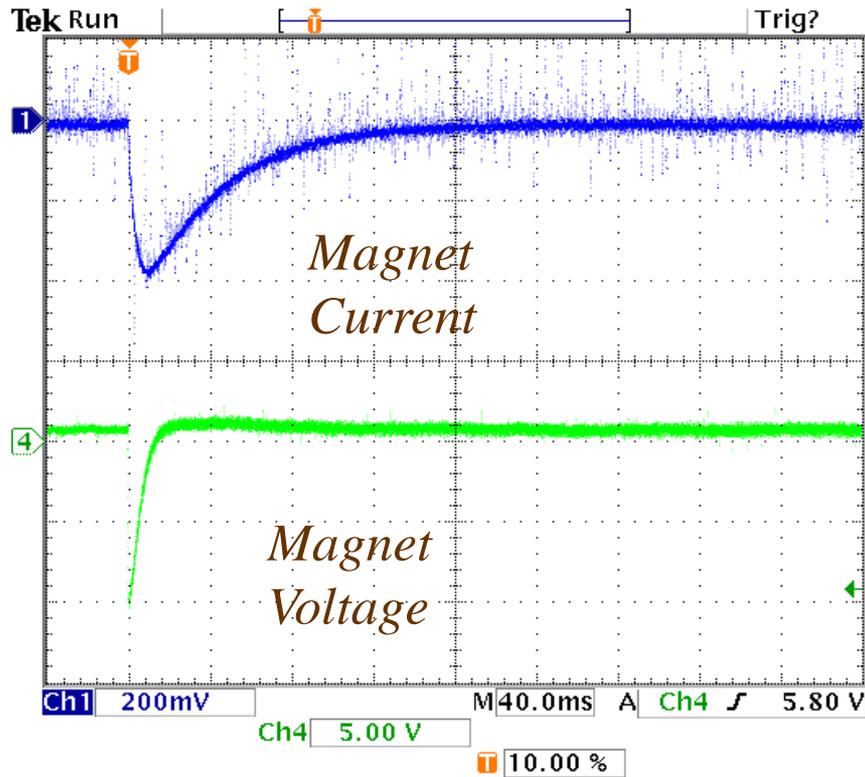
# ATF2 Block Diagram



## *ATF2 – at KEK*



## ATF2 Current and Field Recovery Plots



- *During power module loss measured 6A magnet current drop at 150A*
- *100 Gauss drop at 3.1 kilogauss. 200mS recovery with no overshoot, no re-standardize needed*

## *Next Generation High Availability Power Supply (HAPS)*

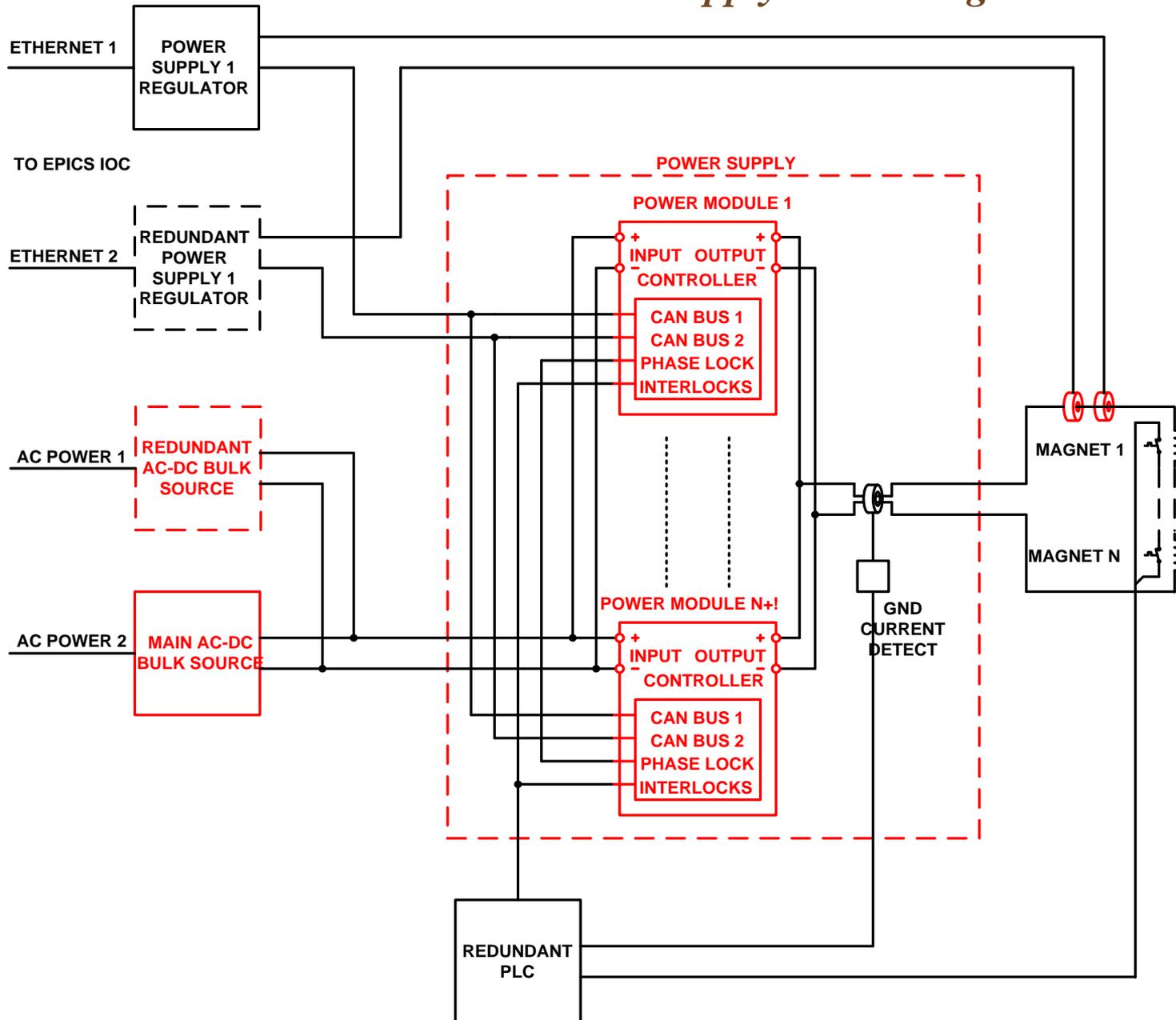
### *Goals*

- *All components N+1 modular and redundant*
- *Power module hot-swappable*
- *Unipolar or bipolar output from a single unipolar bulk voltage source*
- *Imbedded controller with digital current regulation*
- *Capable of driving superconducting magnets*
- *High bandwidth for use in BBA or closed orbit correction systems*
- *High stability and precision output current*
- *High accuracy read-backs*
- *Scalable to higher output levels*

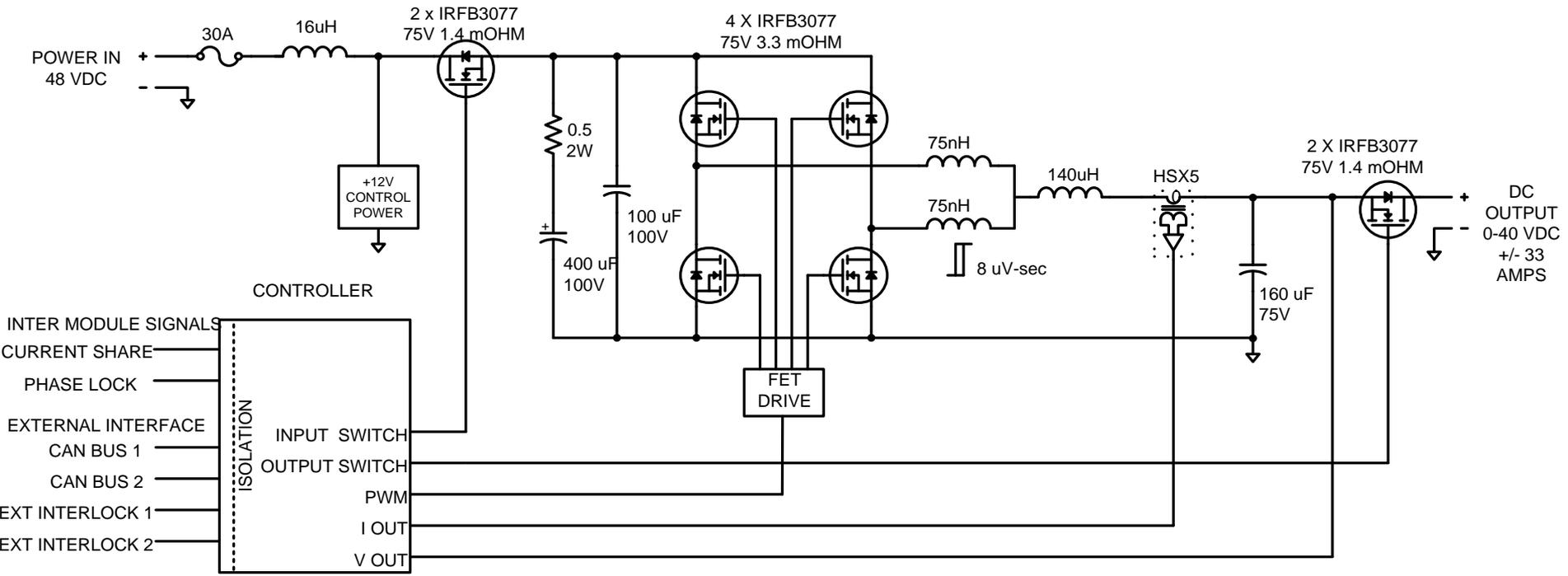
### *Applications*

- *ILC and other future accelerators*

# Next Generation Power Supply Block Diagram

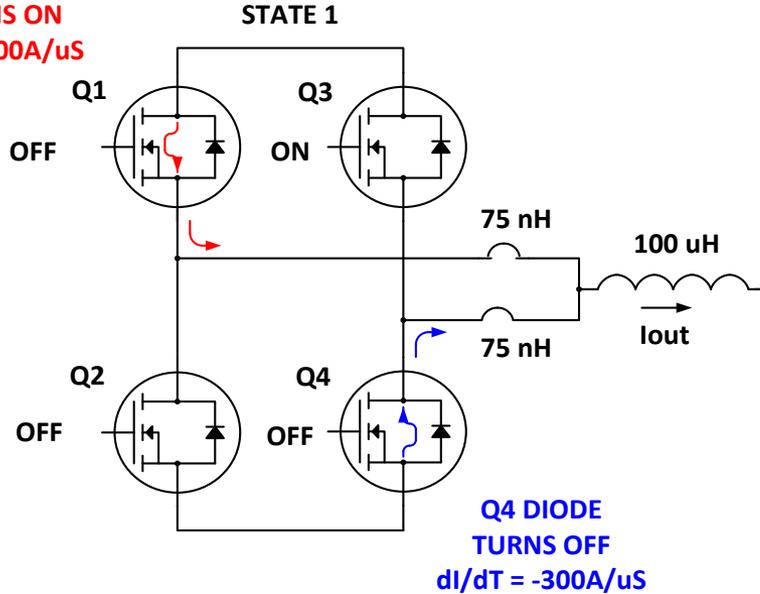


# Next Generation Power Module Schematic

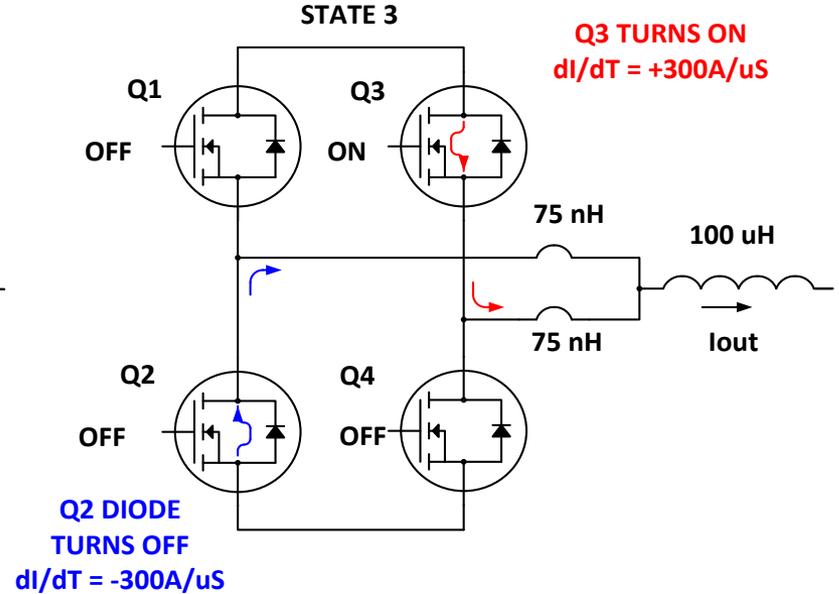


# Next Generation Positive Output Current ( $Q1 - Q2 - Q3 - Q4$ )

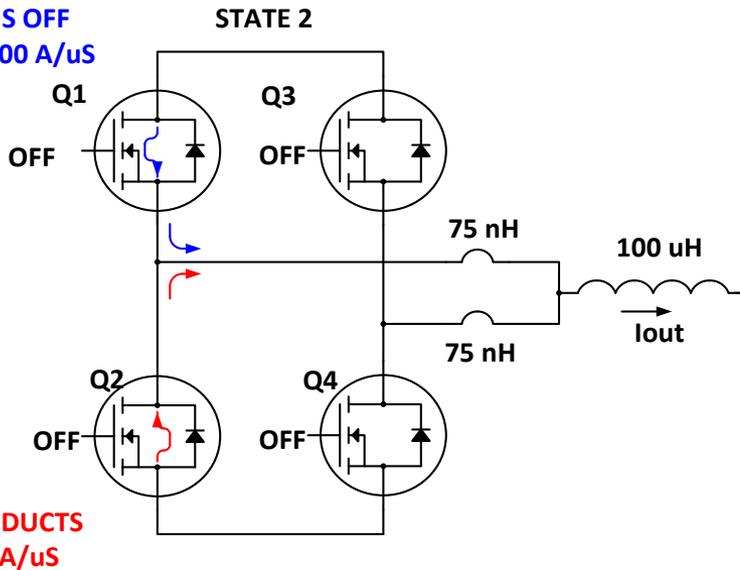
**Q1 TURNS ON**  
 $di/dt = +300A/uS$



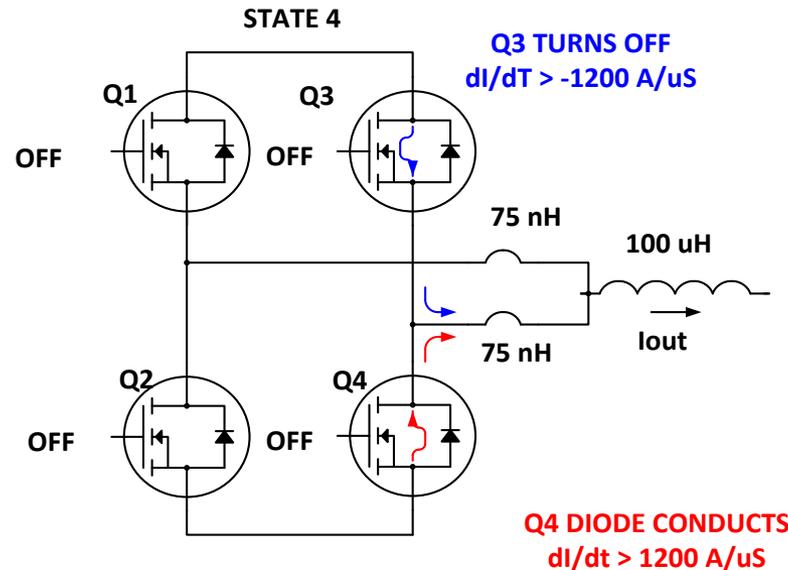
**Q3 TURNS ON**  
 $di/dt = +300A/uS$



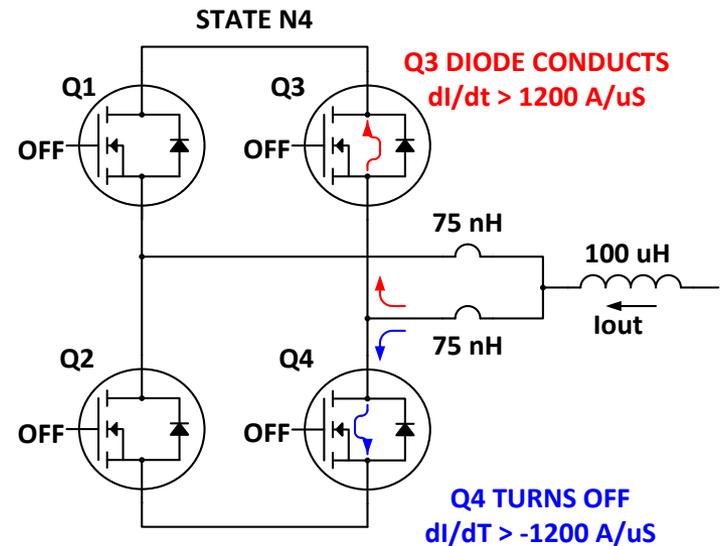
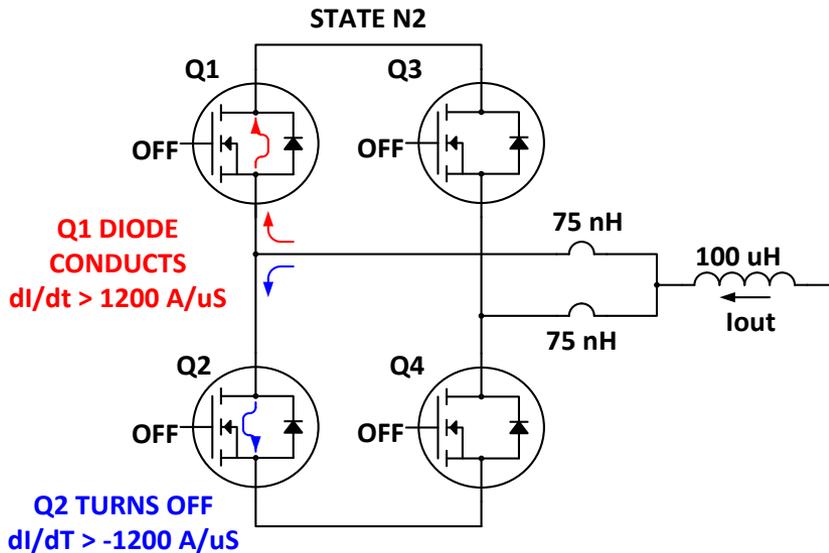
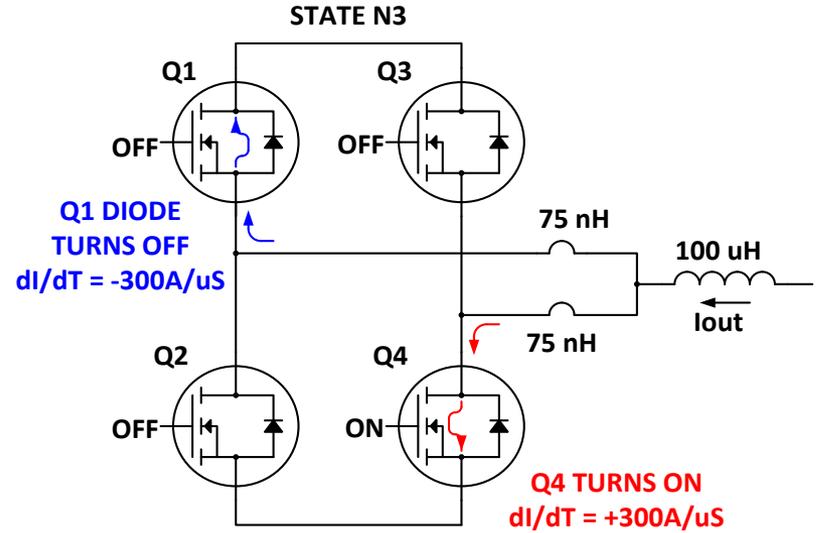
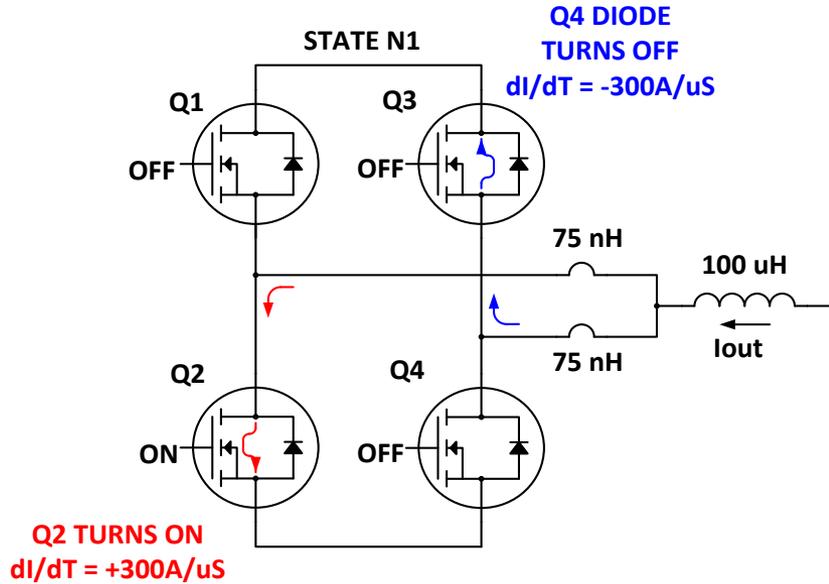
**Q1 TURNS OFF**  
 $di/dt > -1200 A/uS$



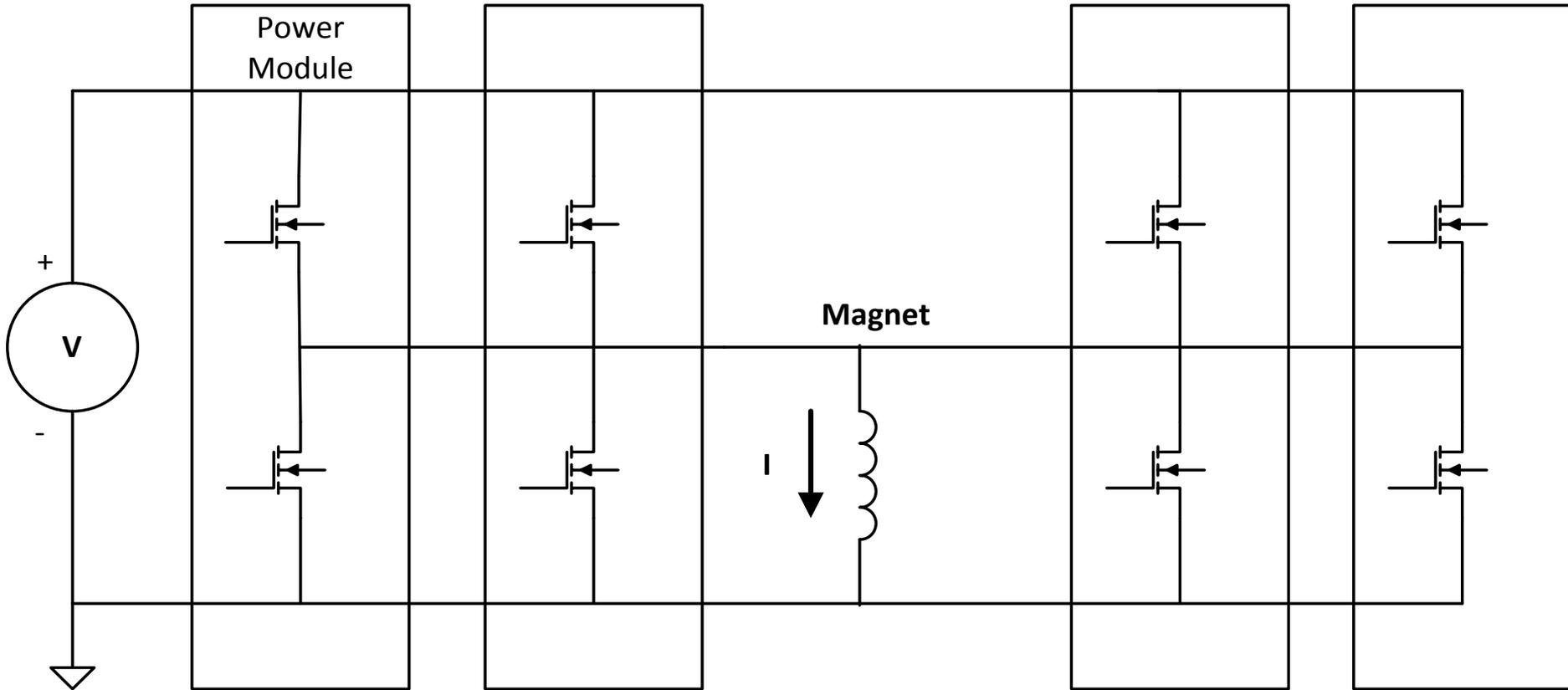
**Q3 TURNS OFF**  
 $di/dt > -1200 A/uS$



# Next Generation Negative Output Current ( $Q2 - Q1 - Q4 - Q3$ )

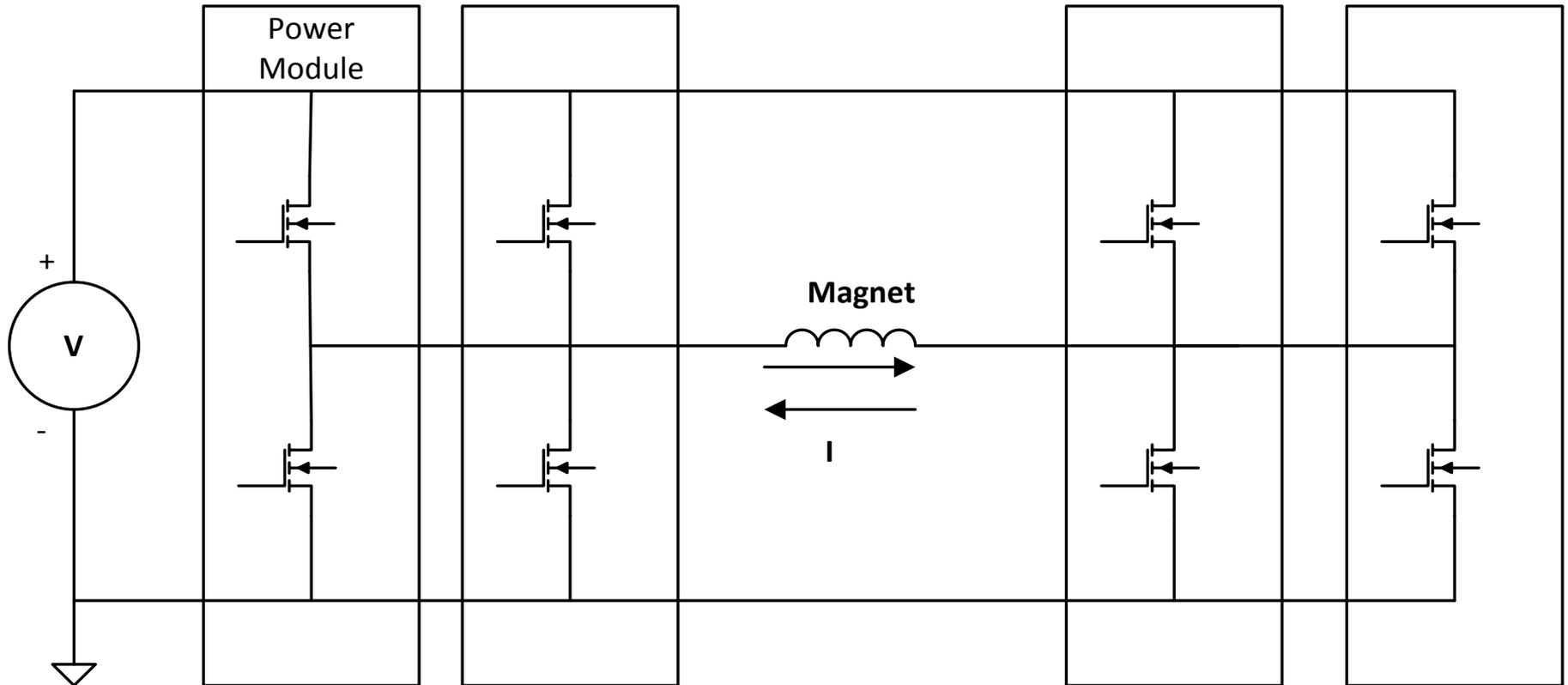


# Power Modules Connected for Unipolar Output





# Power Modules Connected for Bipolar Output

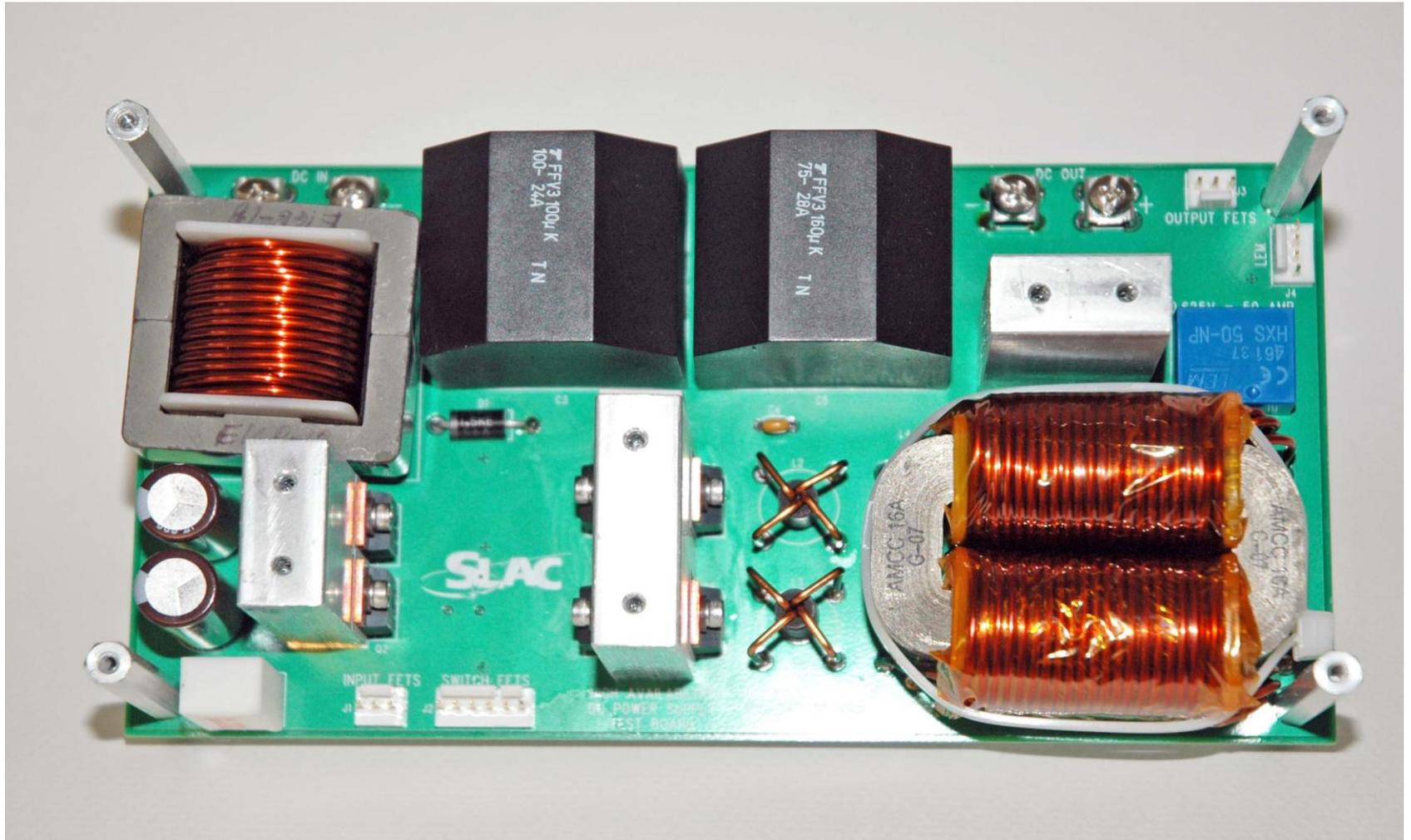


## *Next Generation Power Modules are “Bricks”*



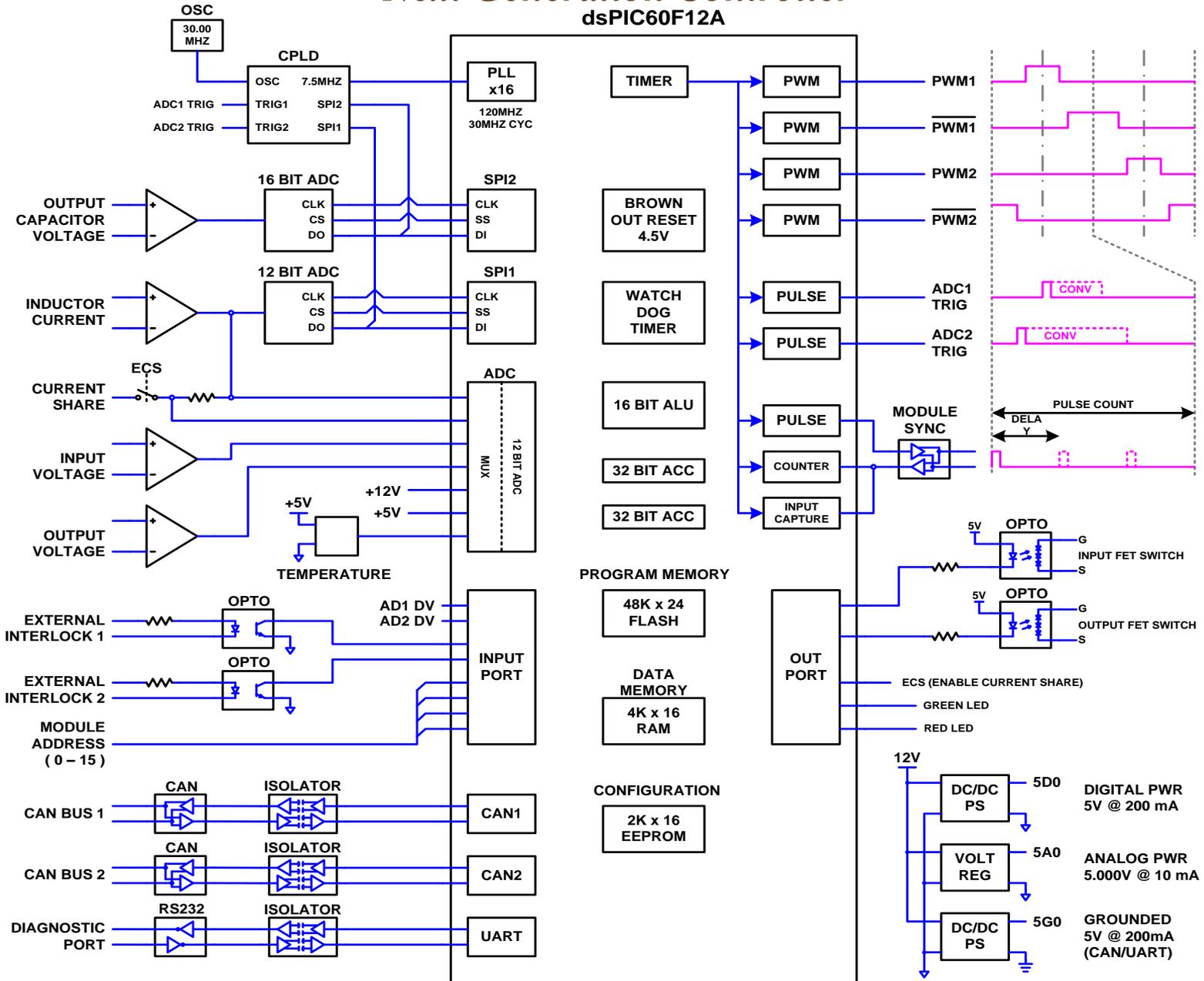
- *Input: 48V*
- *Output V: 0 to 40V*
- *Output I: 0 to 33A*
- *Output P: 0 to 1,320W*
- *2" X 4" X 8"*

# Next Generation Power Module Layout



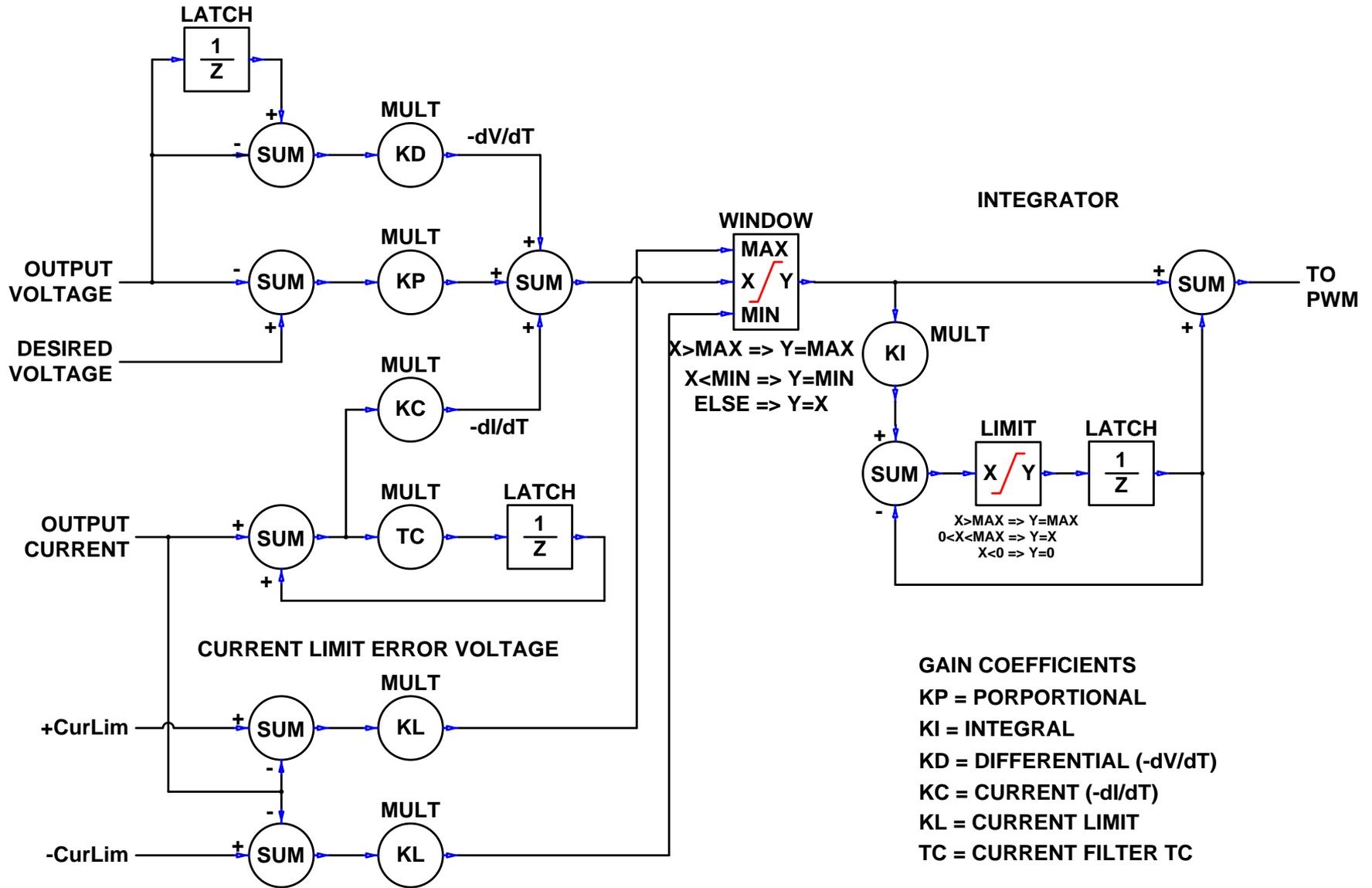
# Next Generation Controller

dsPIC60F12A

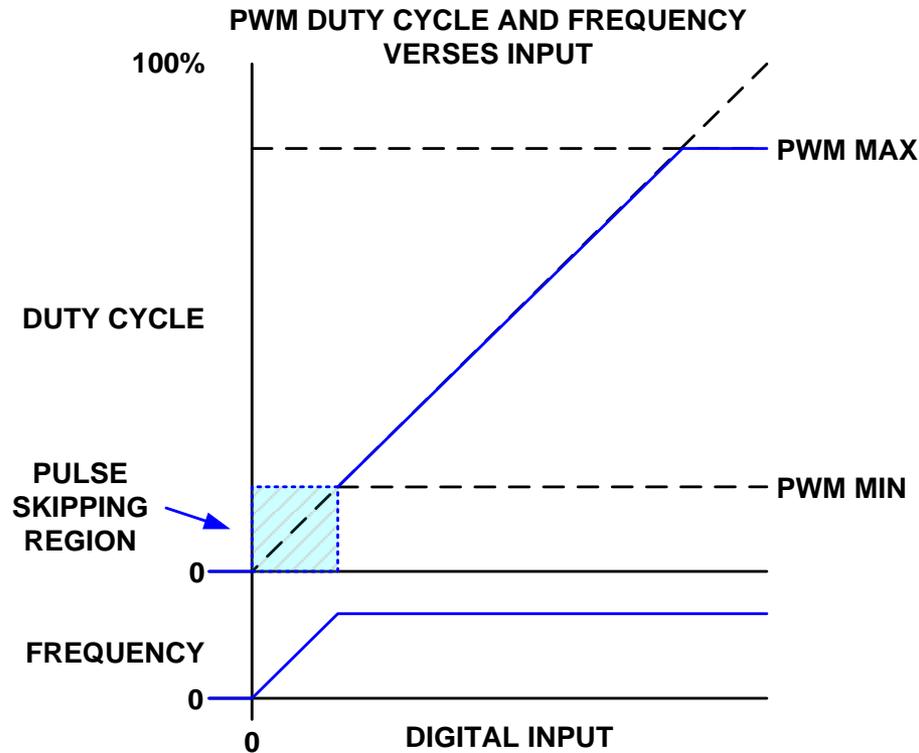
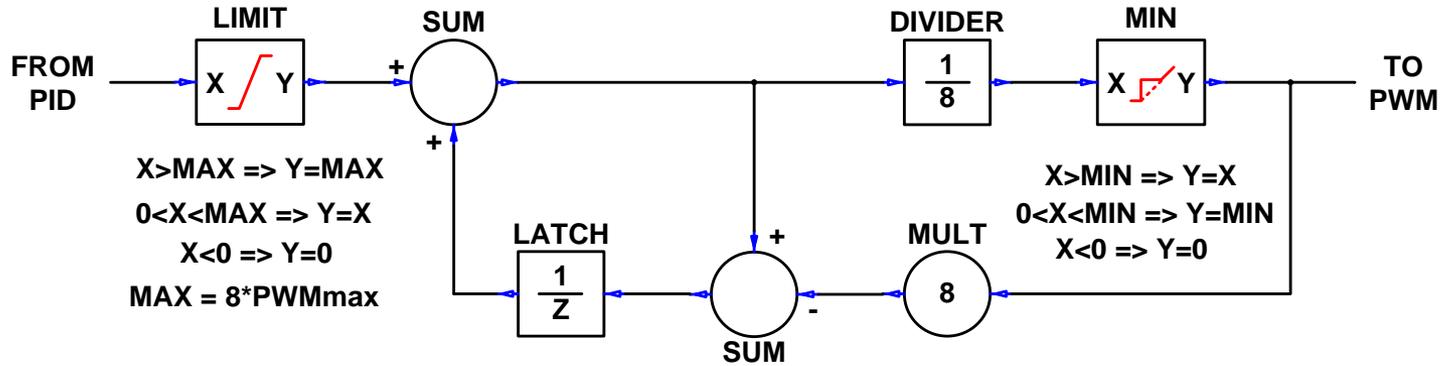


# Next Generation PID Loops

## VOLTAGE LOOP ERROR VOLTAGE



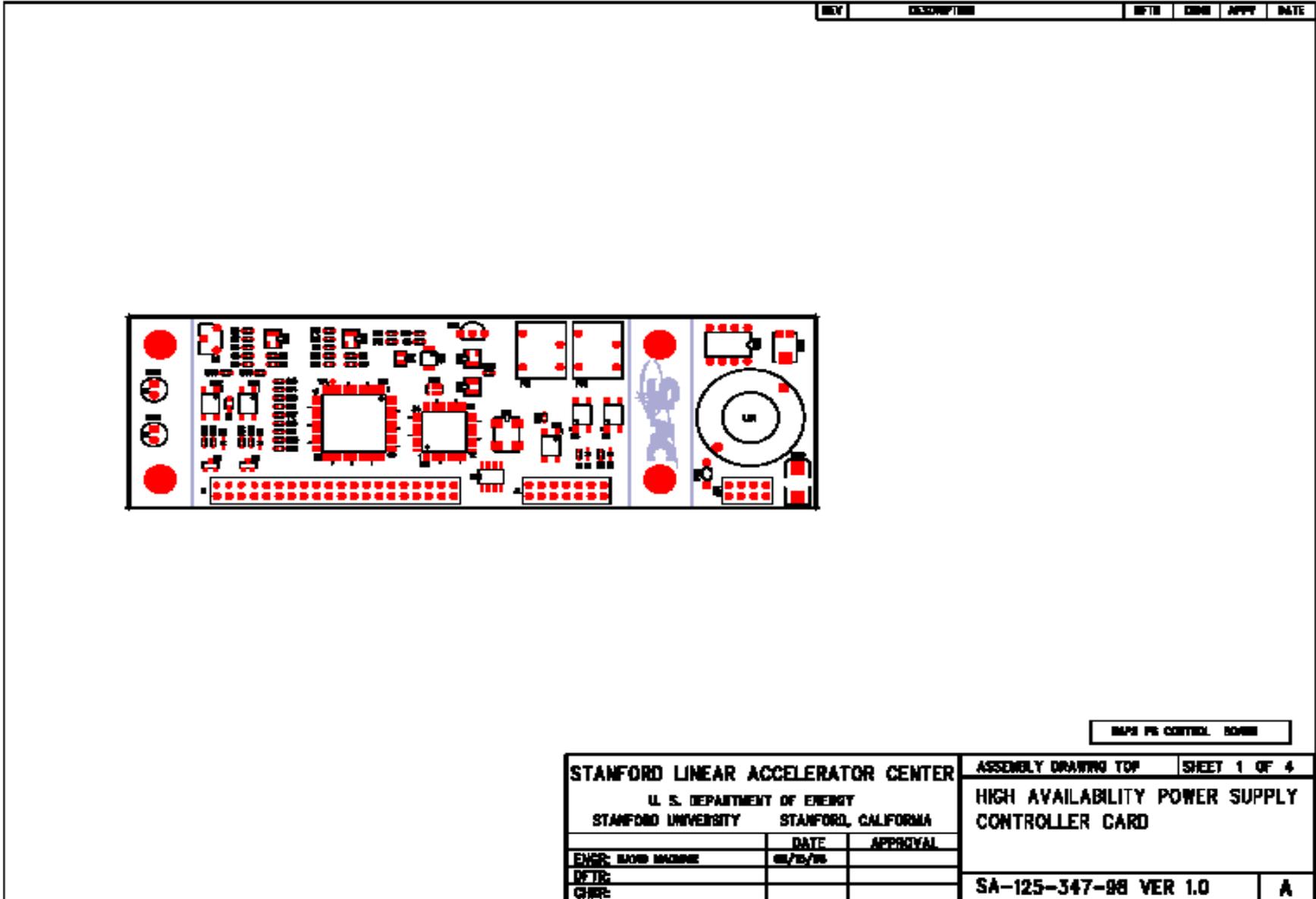
# Next Generation PWM Control



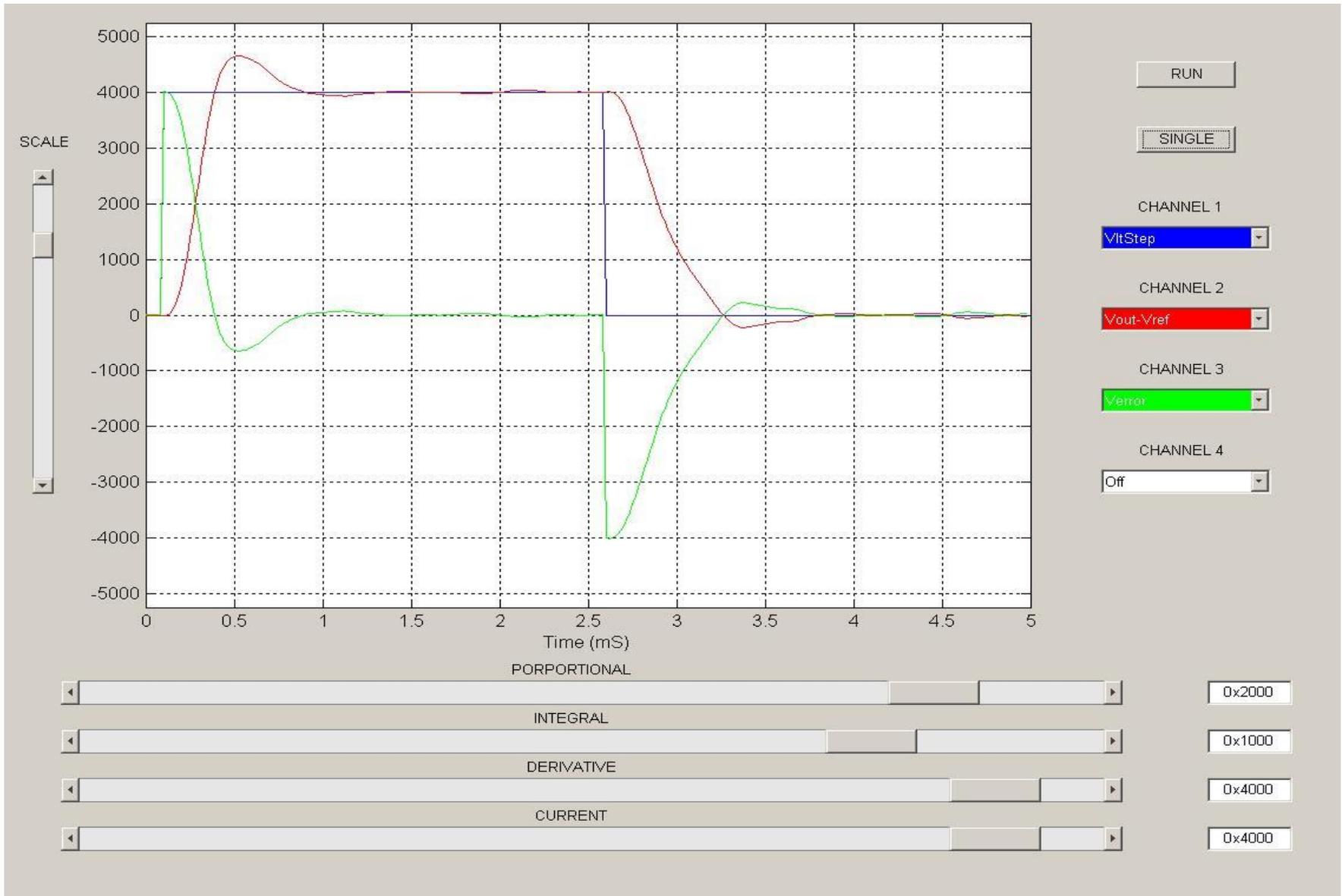
# Next Generation Prototype Controller with Development Board



# Next Generation – Controller Card



# Next Generation – MATLAB Tuning Program



### *To date*

- *Five power modules with embedded controllers have been built*
- *The modules have been tested individually and run as pairs*
- *Demonstrated*
  - *4 modules, 40V, 100A, 4,000W unipolar output then reconfigure*
  - *4 modules, 40V, 33A, 1,320W bipolar output*

### *Future*

- *Design the outer current control loop components*
- *Demonstrate operation of a completely redundant power supply*



## Confidence Levels

- *MTBF previously discussed relates to the laws of large quantities and 50% confidence limits*
- *Confidence intervals are bounded with upper and lower limits. The broader the limits, the higher the confidence*
- *Electronic equipment, a one-sided, lower limit is appropriate*

*t = time in hours*

*f = number of failures*

$$MTBF_{Predicted} = t / f$$

*K<sub>L</sub> from chi-square distribution*

$$MTBF_{LL} = MTBF_{Predicted} * K_L$$

## *$K_L$ Multipliers For MTBF Confidence Levels*

<i>Failures</i> <i>f</i>	<i>Lower Limit <math>K_L</math></i>				
	<i>60%</i>	<i>70%</i>	<i>80%</i>	<i>90%</i>	<i>95%</i>
<i>1</i>	<i>0.620</i>	<i>0.530</i>	<i>0.434</i>	<i>0.333</i>	<i>0.270</i>
<i>2</i>	<i>0.667</i>	<i>0.600</i>	<i>0.515</i>	<i>0.422</i>	<i>0.360</i>
<i>3</i>	<i>0.698</i>	<i>0.630</i>	<i>0.565</i>	<i>0.476</i>	<i>0.420</i>
<i>4</i>	<i>0.724</i>	<i>0.662</i>	<i>0.598</i>	<i>0.515</i>	<i>0.455</i>
<i>5</i>	<i>0.746</i>	<i>0.680</i>	<i>0.625</i>	<i>0.546</i>	<i>0.480</i>
<i>500</i>	<i>0.965</i>	<i>0.954</i>	<i>0.942</i>	<i>0.930</i>	<i>0.915</i>

*Excerpted and abridged from W. Grant Ireson, Reliability Handbook, McGraw-Hill, NY 1966*



## Confidence Limit Example

*If a power supply is to operate for 3 years before the first failure, what is the MTBF prediction for an 80% confidence level? Repeat for a 90% confidence level.*

*Solution:*

$$3 \text{ years} = 26280 \text{ hours} = \text{MTBF}$$

*From the confidence limit table  $K_L = 0.434$  for 80% and  $f = 1$*

$$\text{Therefore, } \text{MTBF}_{80\%} = \text{MTBF} * 0.434 \geq 11,406 \text{ hours}$$

$$\text{For } \text{MTBF}_{90\%} = \text{MTBF} * 0.333 \geq 8,751 \text{ hours}$$

### *FMECA is*

- *A systematic way to prioritize the addressing of “weak links”.*
- *An inductive, bottoms-up method of analyzing a system design or manufacturing process in order to properly evaluate the potential for failures*

### *It Involves*

- *Identifying all potential failure modes, determining the end effect of each potential failure mode, and determining the criticality of that failure effect.*

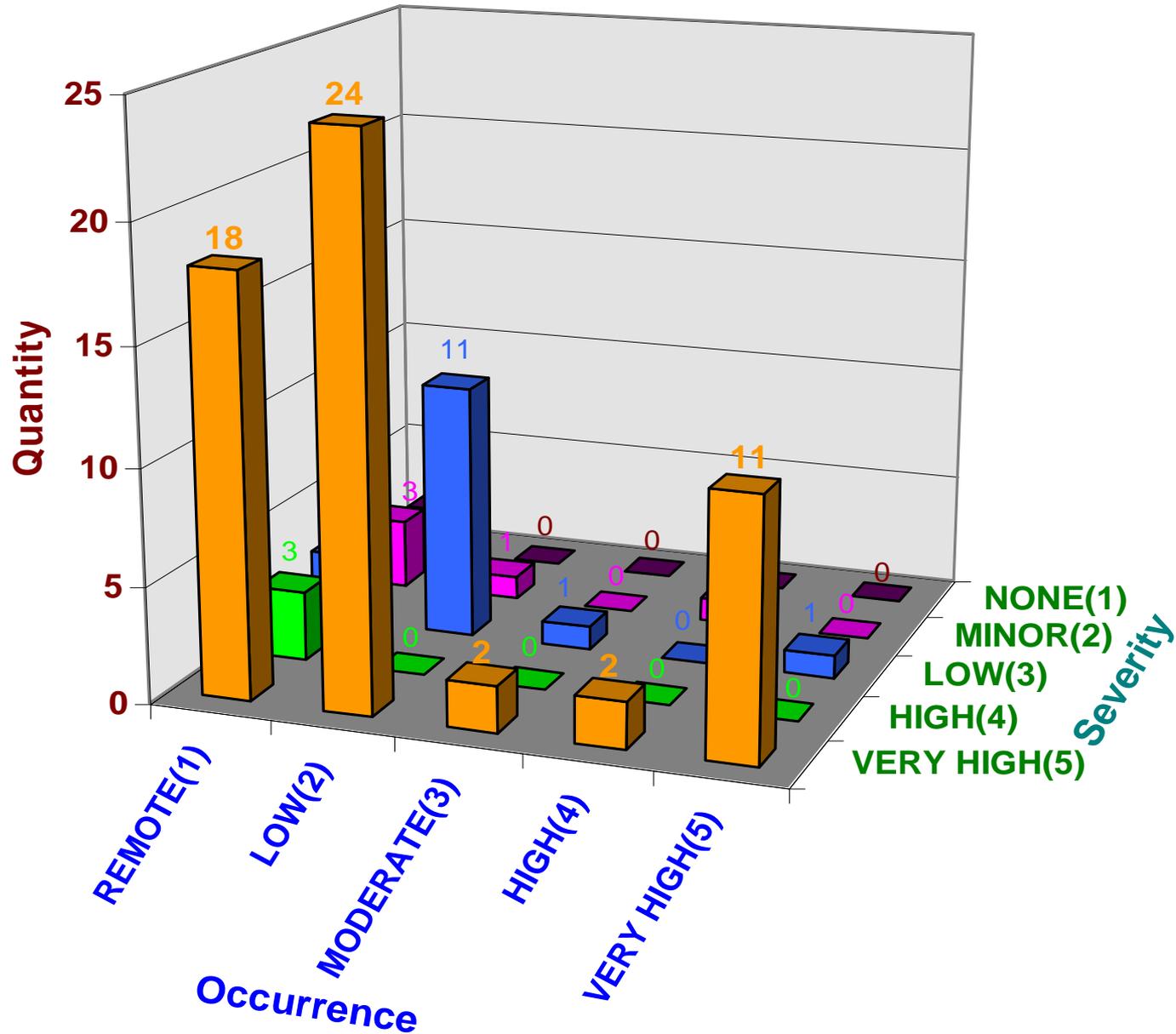
### *3 Major Iterations*

- *Used in the Design, Fabrication and Operation Stages*

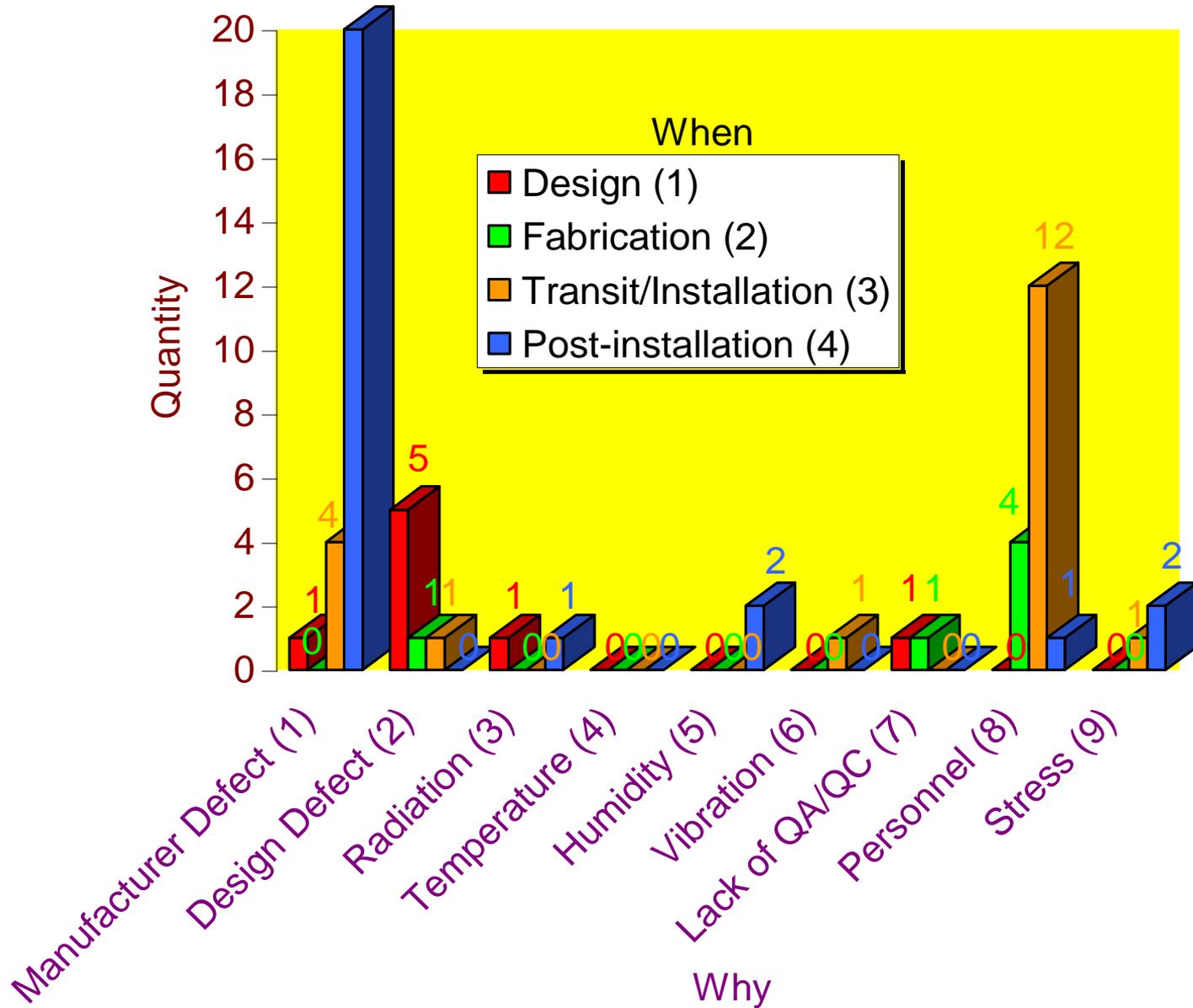
# Fault Modes And Effects Criticality Analysis (FMECA)

Part Name/ #	Part Function	Potential Failure Mode	Potential Effects of Failure	SEV	Potential Causes of Failure	OCC	Design Evaluation Technique	DET	RPN	WHEN	WHY
Coils	Provide magnetic field	coil to coil or coil to magnet steel short	magnet goes off line	5	coils moved during installation of magnet or adjacent beamline component, or alignment of magnet	5	prototype test	1	25	3	2
Coils	Provide magnetic field	klixon trip due to overheating	magnet goes off line	5	inadequate water pressure differential across magnet	5	prototype test, calculation	1	25	1	2
Coils	Provide magnetic field	klixon trip due to overheating	magnet goes off line	5	too many loads on water circuit	5	prototype test, calculation	1	25	1	2
Coils	Provide magnetic field	klixon trip due to overheating	magnet goes off line	5	conductor sclerosis	3	n/a	1	15	4	9
Coils	Provide magnetic field	klixon trip due to overheating	magnet goes off line	5	foreign object in water line or coil which blocks water flow	2	n/a	1	10	4	8
Coils	Provide magnetic field	klixon trip due to overheating	magnet goes off line	5	damaged (crimped) coil which restricts water flow	2	n/a	1	10	3	8
Coils	Provide magnetic field	water leak	magnet goes off line due to ground fault	5	water hose brakes because of radiation damage	5	n/a	1	25	4	3
Coils	Provide magnetic field	water leak	magnet goes off line due to ground fault	5	corrosion in aluminum/copper conductor	2	n/a	1	10	4	9
Coils	Provide magnetic field	water leak	magnet goes off line due to ground fault	5	erosion of coil from excess water velocity	4	n/a	1	20	4	2
Coils	Provide magnetic field	water leak	magnet goes off line due to ground fault	5	break in braze joint between copper block and coil	3	prototype test	1	15	3	8
Fittings	Make water connection	water leak	magnet goes off line due to ground fault	5	cracked fittings from incorrect installation procedure	4	n/a	1	20	3	8
Jumpers	Connection between coils	short at jumper	magnet goes off line due to ground fault	5	sloppy installation	5	n/a	1	25	3	8
Jumpers	Connection between coils	short at jumper	magnet goes off line due to ground fault	5	poor design	5	design review, prototype	1	25	1	2
Jumpers	Connection between coils	loose jumpers	excessively high temperatures leading to melting of materials	5	poor design or incorrect procedures used at installation	5	n/a	1	25	3	8

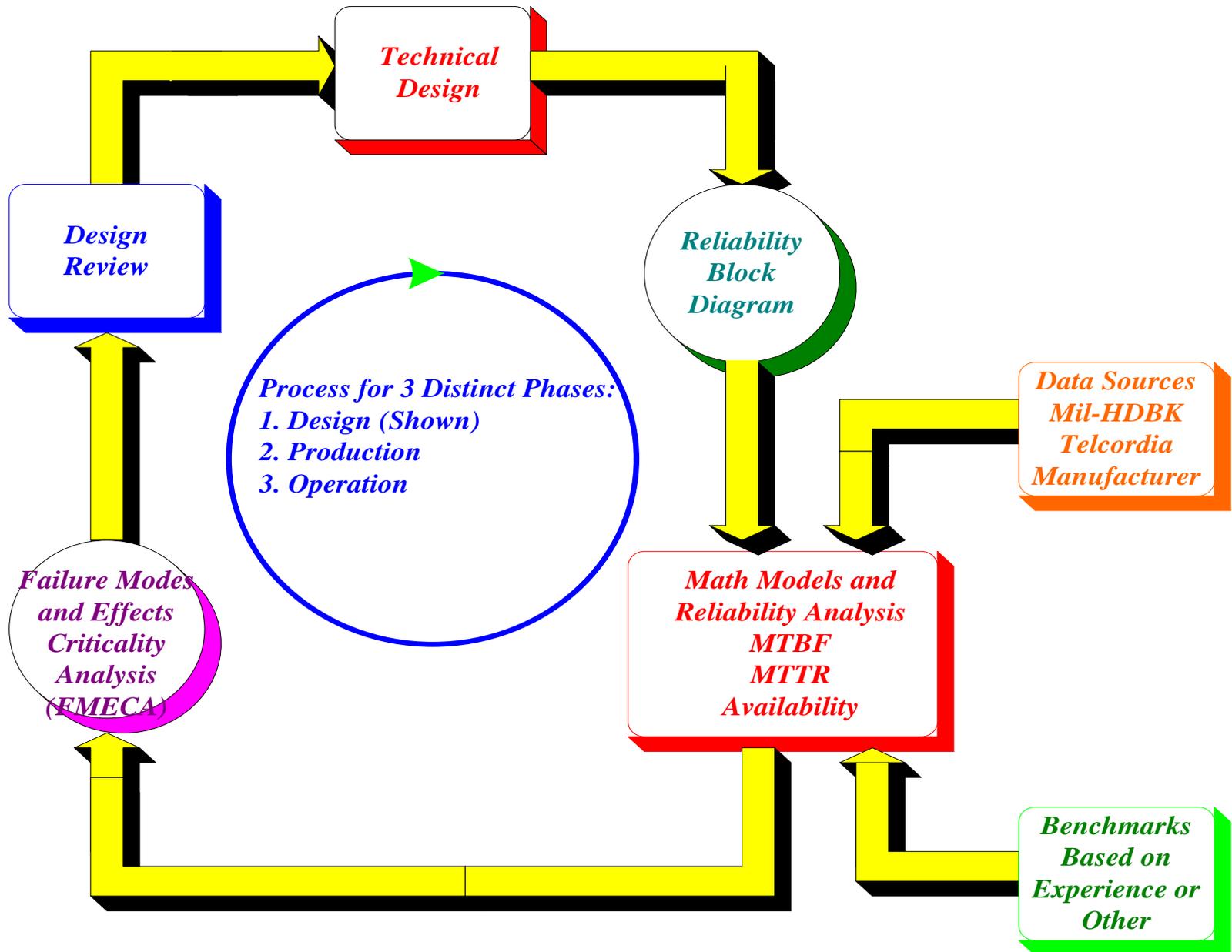
# Fault Modes And Effects Criticality Analysis (FMECA)



# FMECA When and Why Plot



# Reliability Process Diagram



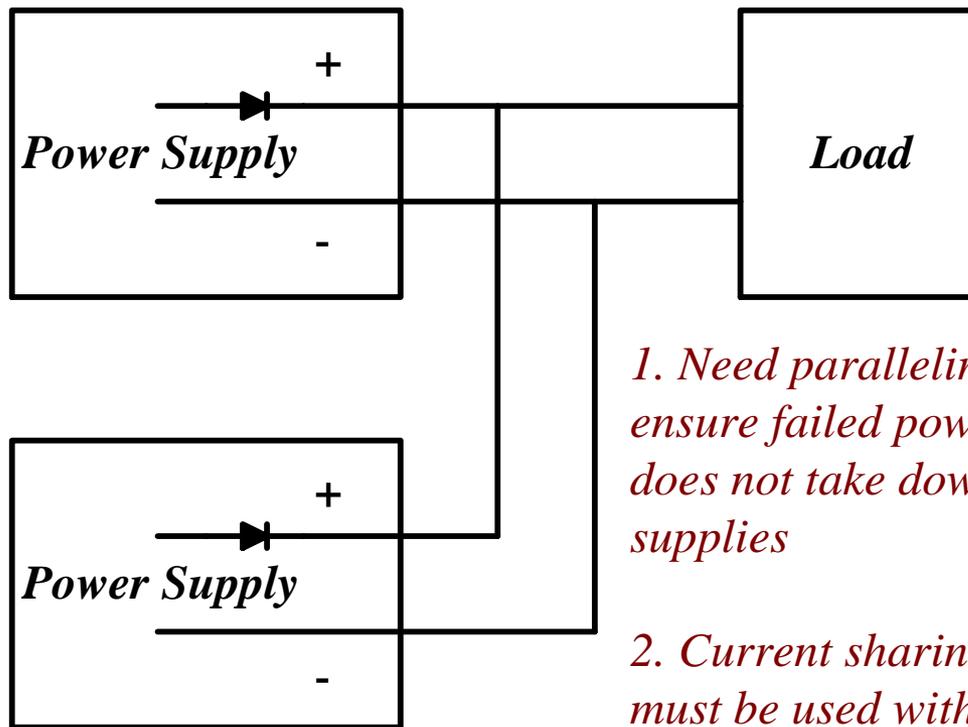
## Maintainability

**Cold swap** – input bus and power supply must be off when it is exchanged

**Warm swap** – input bus is on but power supply is off when exchanged

**Hot swap** – input bus is on and power supply is on when exchanged.

*Typically used with redundant, full rated power supplies*



1. Need paralleling diodes to ensure failed power supply does not take down both power supplies

2. Current sharing circuitry must be used with the power supplies



## *Section 13 - Power Supply Specifications*

## Power Supply Specifications

### *List of Specifications to be given to the Power Supply Designer*

<i>Requirement</i>	<i>Example</i>
<i>1. Site conditions</i>	<i>Elevation, ambient temperature range, humidity, seismic requirements</i>
<i>2. Intended use and system</i>	<i>Storage ring accelerator dipole magnet power supply</i>
<i>3. Function</i>	<i>DC or pulsed, voltage or current source</i>
<i>4. Load parameters and description</i>	<i>Inductance, capacitance and resistance</i>
<i>5. Output ratings</i>	<i>Maximum voltage, current, operating or pulse time, pulse width and repetition rate</i>
<i>6. Input voltage and phases</i>	<i>208V, 1 <math>\phi</math> 208V, 3 <math>\phi</math> 480V, 3 <math>\phi</math></i>
<i>7. Efficiency</i>	<i>Up to 94% achievable at full load output</i>

## Power Supply Specifications

### *List of Specifications to be given to the Power Supply Designer*

<i>Requirement</i>	<i>Example</i>
<i>8. Input power factor</i>	<i>Up to 0.95 achievable for 6 pulse Up to 0.97 achievable for 12 pulse</i>
<i>9. Input line THD</i>	<i>&lt; 5% voltage &lt;24% current</i>
<i>10. Conducted EMI 10kHz to 30MHz</i>	<i>MIL-STD-461E FCC Class A Industrial FCC Class B Residential</i>
<i>11. Line regulation</i>	<i>0.05 % of rated output voltage change for a 5% line voltage change. Recovery in 500<math>\mu</math>S</i>
<i>12. Short-term (1 to 24 hour) stability</i>	<i>Allowable voltage or current deviation - 10s of ppm achievable</i>
<i>13. Output voltage ripple (PARD)</i>	<i>DC to 1 MHz, peak-to-peak, 0.05 % of rated voltage output</i>

## Power Supply Specifications

### List of Specifications to be given to the Power Supply Designer

<i>Requirement</i>	<i>Example</i>
<i>14. Output pulse amplitude stability</i>	
<i>15. Output pulse – to pulse deviation in time (jitter)</i>	<i>1 nanosecond for solid-state converters. 10s of nanoseconds for thyatron triggers</i>
<i>16. Load regulation</i>	<i>0.05 % of rated output voltage change for 10 % line change. Recovery in 500<math>\mu</math>S</i>
<i>17. Type of control system</i>	<i>Analog, mixed analog-digital, all digital Communication bus</i>
<i>18. Interlocks</i>	<ul style="list-style-type: none"><li><i>•Low input voltage - loss of input phase</i></li><li><i>•Output over voltage – over current</i></li><li><i>•Excessive ground current</i></li><li><i>•Insufficient cooling air flow – cabinet over temperature</i></li><li><i>•Insufficient cooling water flow – cooling water over temperature</i></li></ul>

## Power Supply Specifications

### *List of Specifications to be given to the Power Supply Designer*

<i>Requirement</i>	<i>Example</i>
<i>19. Interlocks (continued)</i>	<ul style="list-style-type: none"><li>•MPS fault</li><li>•PPS violated</li><li>•Cabinet doors open</li></ul>
<i>20. Cooling methods</i>	<p><i>Water cooling for biggest power dissipating devices (IGBTs, rectifiers, chokes)</i></p> <p><i>&lt;50 kW – all air cooled</i></p> <p><i>&gt; 50kW – some measure of water cooling</i></p>
<i>21. Front panel controls</i>	<ul style="list-style-type: none"><li>•Local / remote operation</li><li>•Output voltage or current</li><li>•Ground current limit</li><li>•Output current limit</li></ul>

## Power Supply Specifications

### *List of Specifications to be given to the Power Supply Designer*

<i>Requirement</i>	<i>Example</i>
<i>22. Front panel displays</i>	<ul style="list-style-type: none"><li>• <i>Output voltage</i></li><li>• <i>Output current</i></li><li>• <i>Ground current</i></li><li>• <i>Voltage or current mode</i></li><li>• <i>Current limited operation</i></li></ul>
<i>23. Component deratings</i>	<i>Voltage, current and power</i>
<i>24. Mean time between failure (MTBF)</i>	<i>MTBF = 1/ (sum of all parts failure rates)</i>
<i>25. Mean time to repair (MTTR)</i>	<i>Establish from MTBF and operational Availability requirement</i>
<i>26. Availability</i>	
<i>27. Maintainability</i>	

## Power Supply Specifications

### *List of Specifications to be given to the Power Supply Designer*

<i>Requirement</i>	<i>Example</i>
<i>28. Physical size</i>	<i>Based on output power – typically 1 to 4 W / cu in</i>
<i>29. Rack or free-standing</i>	<i>&lt; 17kW rack-mounted &gt; 17kW free-standing</i>
<i>30. Compliance with UL or other nationally-recognized inspection/test laboratories</i>	<i>Underwriters Laboratories - UL National Recognized Test Laboratory - NRTL</i>
<i>31. Seismic</i>	<i>Must satisfy site earthquake design criteria Damage criteria and response spectra curves - separate or combined accelerations</i>
<i>32. Quality Assurance</i>	<i>Must satisfy project quality assurance/quality control criteria</i>



## *Section 14 - References*



## References And Useful Textbooks

<i>References</i>	<i>Used in</i>
<i>Elements Of Power System Analysis, Stevenson, McGraw-Hill</i>	<i>Textbook</i>
<i>IEEE 90 - IEEE Standard Computer Dictionary: A Compilation of IEEE Standard Computer Glossaries. Institute of Electrical and Electronics Engineers. New York, NY: 1990</i>	<i>Textbook</i>
<i>“Power Electronic Converter Harmonics”, Derek Paice, IEEE Press, 1996</i>	<i>Textbook</i>
<i>Rectifier Circuits Theory And Design, Johannes Schaefer, John Wiley &amp; Sons, Inc NY</i>	<i>Textbook</i>
<i>Switchmode Power Supply Handbook, Keith Billings, McGraw-Hill, February 1999, ISBN 0070067198</i>	<i>Textbook</i>
<i>EMI and Emissions: Rules, Regulations and Options, Daryl Gerke and Bill Kimmel, Electronic Design News, February 2001</i>	<i>Section 3</i>
<i>EMI Control Methodology and Procedures, Donald White and Michel Mardiguian, Interference Control Technologies, 4th Edition</i>	<i>Section 3</i>
<a href="http://www.iijnet.or.jp/murata/index.html">http://www.iijnet.or.jp/murata/index.html</a> for feedtrhu filters	<i>Section 3</i>

## References And Useful Textbooks

<i>References</i>	<i>Used in</i>
<i>“Case Studies on Mitigating Harmonics in ASD Systems to Meet IEEE519-1992 Standards”, Mahesh Swamy, Steven Rossiter, Michael Spencer, Michael Richardson - IEEE Industry Application Society Proceedings October 1994</i>	<i>Section 3</i>
<i>IEEE 519 – 1992 “Standard Practices and Requirements for Harmonic Control in Electrical Power Systems”</i>	<i>Section 3</i>
<i>Circuit Techniques for Improving the Switching Loci of Transistor Switches in Switching Regulators, E.T. Calkin and B.H. Hamilton, IEEE Transactions On Industry Applications, July 1976</i>	<i>Section 4</i>
<i>How to Select a Heatsink</i> <a href="http://www.aavidthermalloy.com/technical/papers/pdfs/select.pdf">http://www.aavidthermalloy.com/technical/papers/pdfs/select.pdf</a>	<i>Section 4</i>
<i>IGBT Theory :</i> <a href="http://www.elec.gla.ac.uk/groups/dev_mod/papers/igbt/igbt.html">http://www.elec.gla.ac.uk/groups/dev_mod/papers/igbt/igbt.html</a>	<i>Section 4</i>
<i>Magnetics Designer for Transformers, chokes and inductors, Intusoft Corporation</i> <a href="http://www.i-t.com/engsw/intusoft/magdesgn.htm">http://www.i-t.com/engsw/intusoft/magdesgn.htm</a>	<i>Section 4</i>

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<i>Power Electronics Modeling Software, Integrated Engineering Software, <a href="http://www.integratedsoft.com">http://www.integratedsoft.com</a></i>	<i>Section 4</i>
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<i>PSPICE circuit simulator, Micro-Cap, Spectrum Software, <a href="http://www.spectrum-soft.com">http://www.spectrum-soft.com</a></i>	<i>Section 4</i>
<i>Zero Voltage Switching Resonant Power Supplies <a href="http://www-s.ti.com/sc/psheets/slva159/slva159.pdf">http://www-s.ti.com/sc/psheets/slva159/slva159.pdf</a></i>	<i>Section 4</i>
<i>SCSI information <a href="http://www.scsita.org/aboutscsi/index01.html">http://www.scsita.org/aboutscsi/index01.html</a></i>	<i>Section 5</i>
<i>MIL-STD-1629 "Procedures for Performing a Failure Mode, Effects, and Criticality Analysis".</i>	<i>Section 7</i>
<i><a href="#">RelCalc by T-Cubed</a></i>	<i>Section 7</i>
<i><a href="#">Relex by Relex Software</a></i>	<i>Section 7</i>



## References And Useful Textbooks

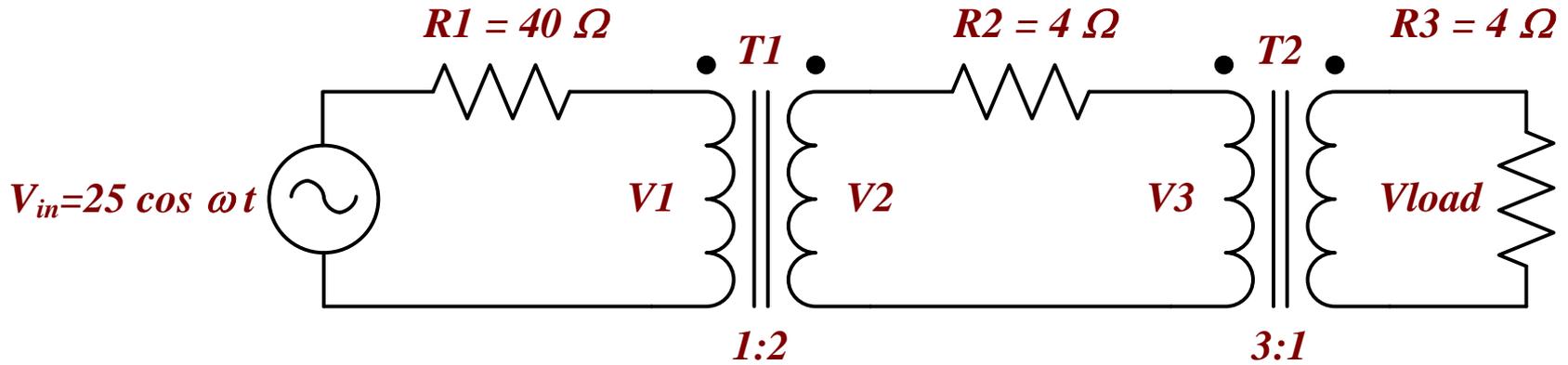
<i>References</i>	<i>Used in</i>
<i>Table of Laplace Transforms</i> <a href="http://www.vibrationdata.com/Laplace.htm">http://www.vibrationdata.com/Laplace.htm</a>	<i>Section 6</i>
<i>Table of Fourier Transforms</i> <a href="http://mathworld.wolfram.com/FourierTransform.html">http://mathworld.wolfram.com/FourierTransform.html</a>	<i>Section 6</i>



## *Section 15 - Homework Problems*

## Homework Problem # 1

Calculate the output voltage in the circuit shown below.



## Homework Problem # 2

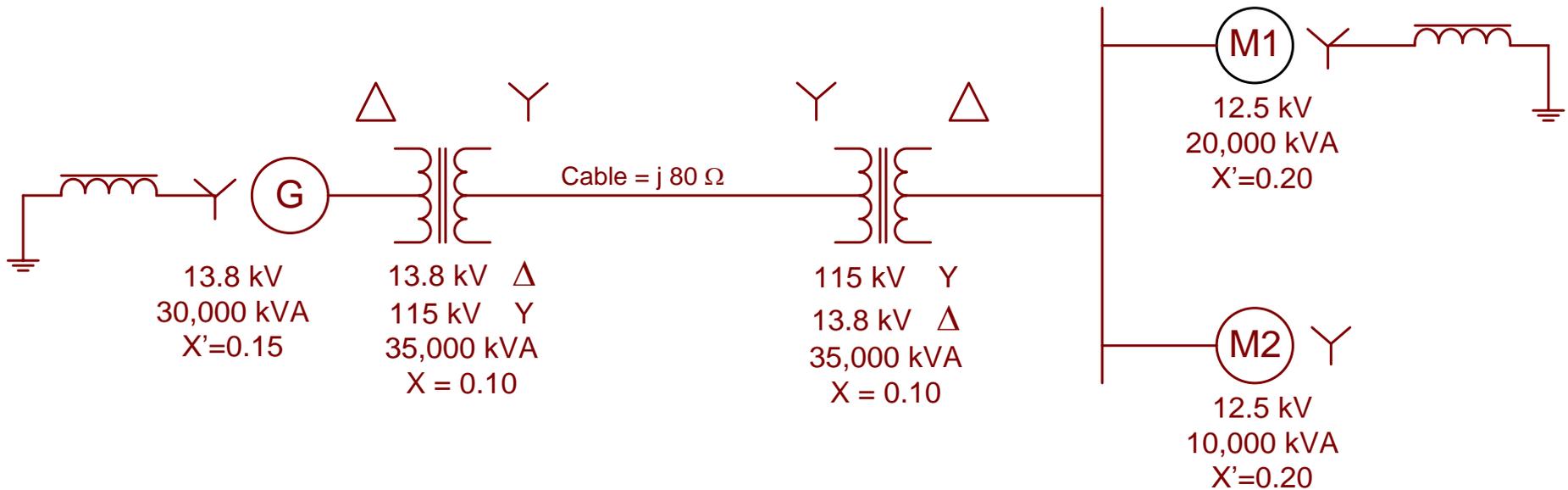
*Referring to the one-line diagram below, determine the line currents in the:*

**A. Generator**

**B. Transmission Line**

**C. M1**

**D. M2**





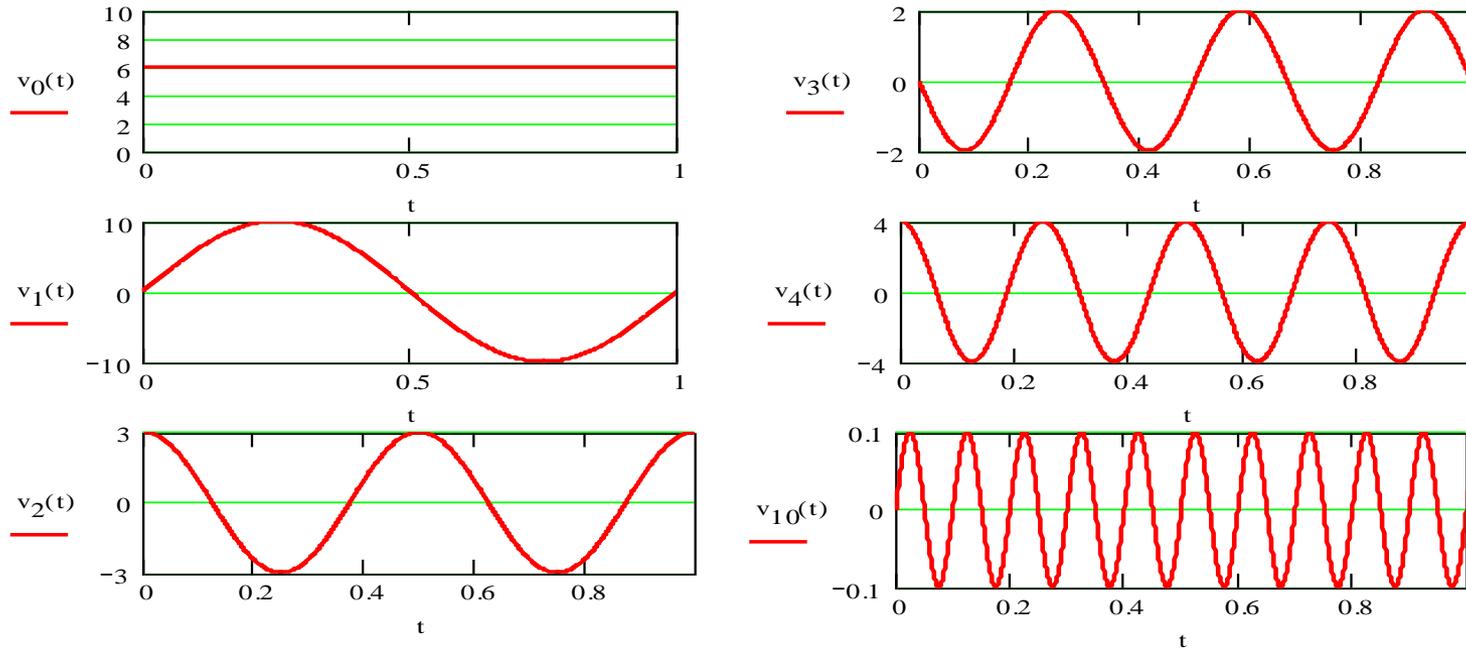
## *Homework Problem # 3*

*A 1000kVA, 12.47kV to 480V, 60Hz three phase transformer has an impedance of 5%. Calculate:*

- a. The actual impedance and leakage inductance referred to the primary winding*
- b. The actual impedance and leakage inductance referred to the secondary winding*
- c. The magnetizing inductance referred to the primary winding*

## Homework Problem # 4

A waveform  $v(t)$  was analyzed and found to consist of 6 components as shown here.



- Write the mathematical expression for each component in terms of  $\omega = (2 * \pi) / T$
- Show the harmonic content graphically by plotting the frequency spectrum
- Give the numerical result of

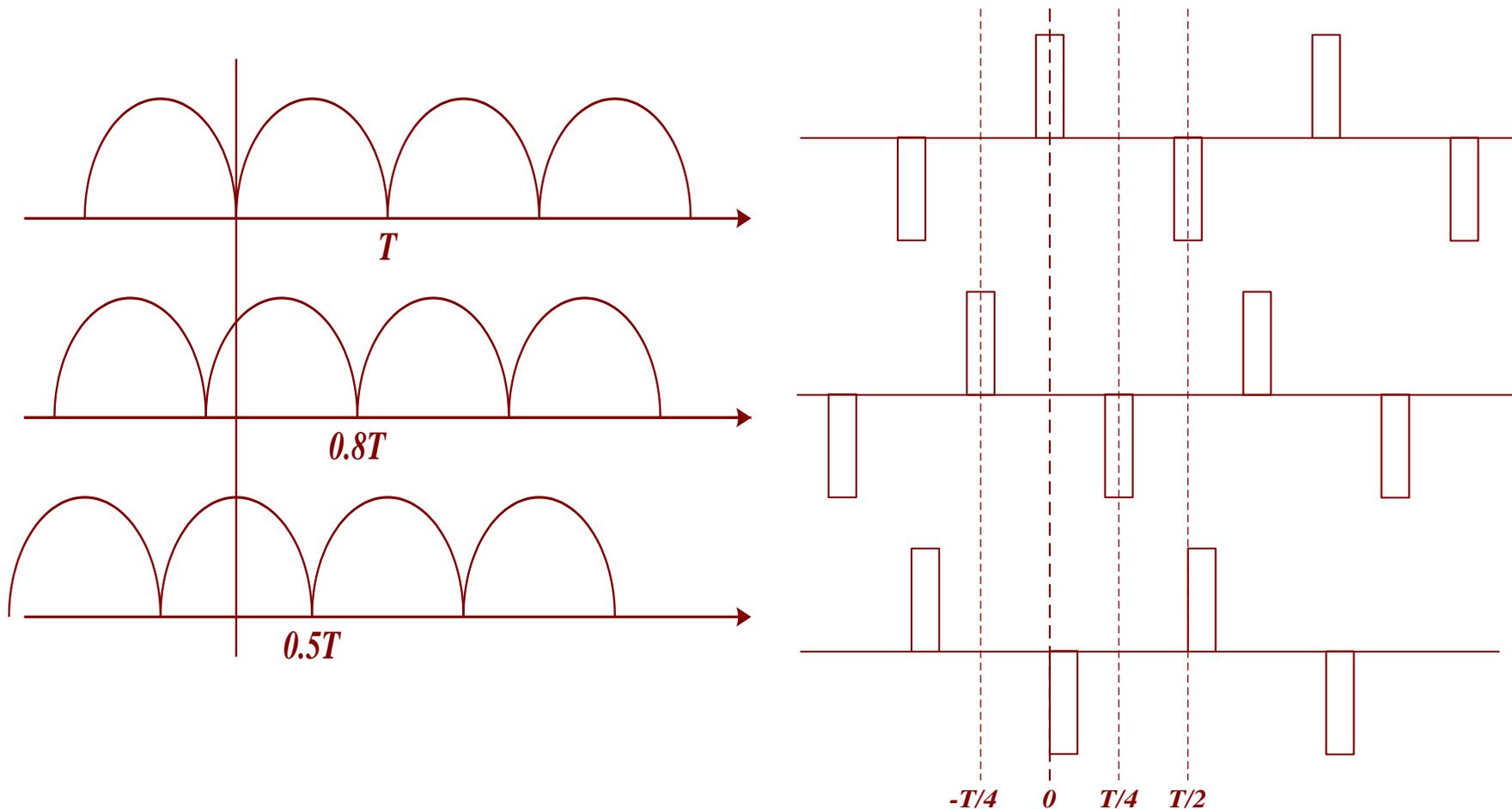
$$b_3 = \frac{2}{T} \int_0^T v(t) \sin 3\omega t \, dt \quad \text{Help : } \int \sin^2(3\omega t) \, dt = \frac{t}{2} - \frac{\sin 6\omega t}{12\omega}$$

$$b_4 = \frac{2}{T} \int_0^T v(t) \sin 4\omega t \, dt \quad \text{Help : } \int \cos(4\omega t) \sin(4\omega t) \, dt = \frac{\sin(4\omega t)^2}{8\omega}$$



## Homework Problem # 5

Each waveform below can be written as a Fourier series. The result depends upon the choice of origin. For each of the 6 cases, state the type of symmetry present, non-zero coefficients and the expected harmonics.



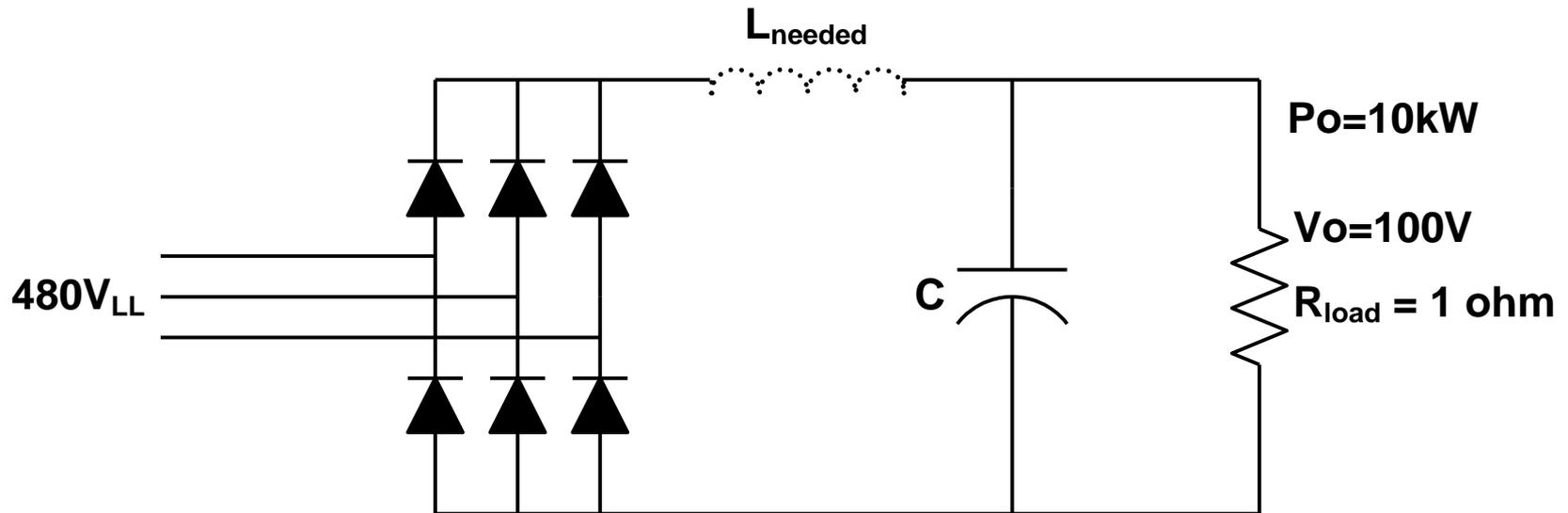


## ***Homework Problem # 6***

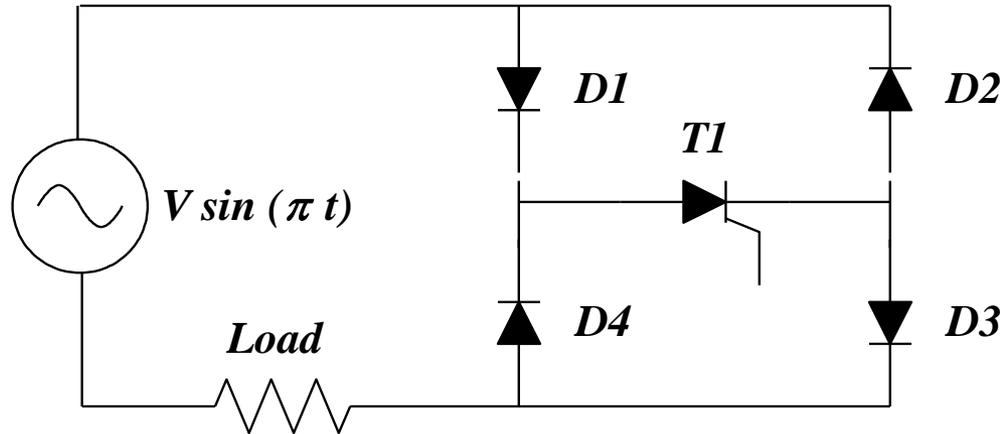
*A uniform magnetic field  $B$  is normal to the plane of a circular ring 10 cm in diameter made of #10 AWG copper wire having a diameter of 0.10 inches. At what rate must  $B$  change with time if an induced current of 10 A is to appear in the ring? The resistivity of copper is about  $1.67 \mu\Omega - \text{cm}$ .*

## Homework Problem # 7

A 10kW power supply with 3-phase 480V input has an efficiency of 90% and operates with a leading power factor of 0.8. The power supply output is 100V. Determine the size of an added inductor to improve the power factor to 1.00. Below is the circuit diagram.



## Homework Problem # 8



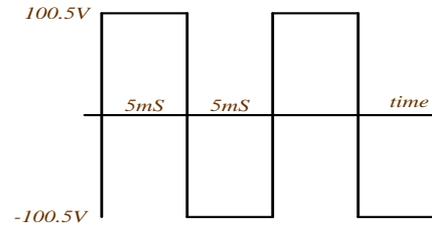
Assume ideal components in the phase-controlled circuit above. For a purely resistive load:

- Explain how the circuit operates
- Draw the load voltage waveform and determine the boundary conditions of the delay angle  $\alpha$
- Calculate the average load voltage and average load current as a function of  $\alpha$
- Find the RMS value of the load current.

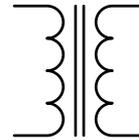
## Rectifiers - Homework Problem # 9

Given the following:

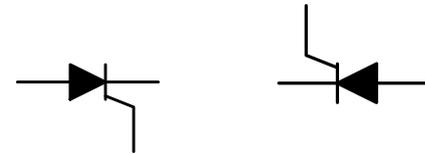
- *Input voltage waveform*



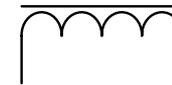
- *Losses transformer*



- *Two SCRs, each with conducting voltage drop of 1V.*



- *Inductor, lossless, with very large inductance*



- *Resistor, 10 ohms, capable of very large power dissipation*



- *Circuit operating under steady-state conditions (i.e. all transients have subsided)*



## *Rectifiers - Homework Problem # 9 Continued*

### *Problem*

*A. With the SCRs triggering retard angle at zero degrees, arrange the circuit to provide a full-wave, rectified, and properly low-pass filtered DC output of 200V into the 10ohm load resistor.*

*B. Calculate the load current and power*

*C. Determine the needed transformer turns ratio.*

*D. Calculate the circuit efficiency*

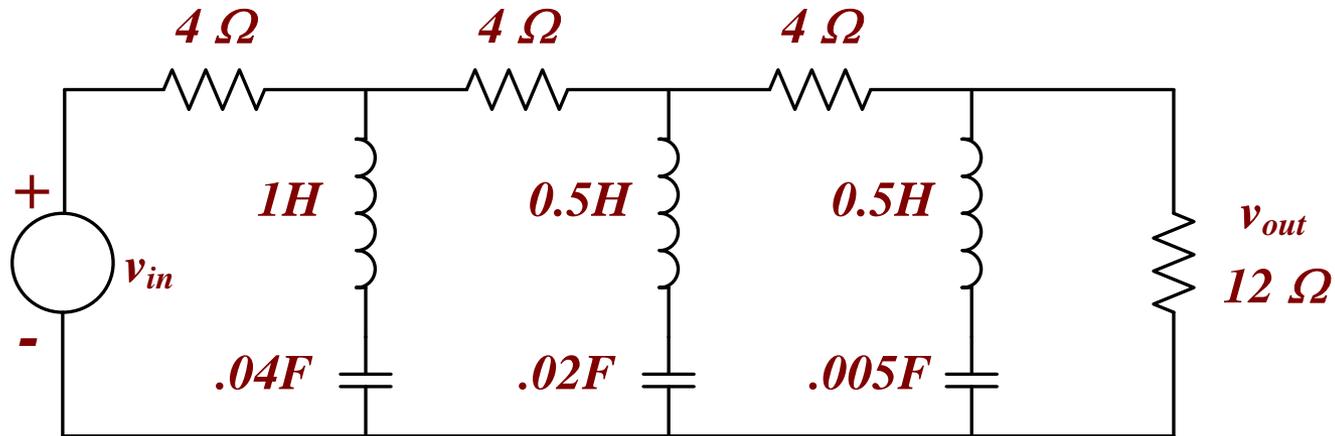
*Increase the SCRs trigger retard angle to 90 degrees and*

*F. Calculate the new output voltage, current, and power*

*G. Determine the new circuit efficiency*

## Homework Problem # 10

Given the circuit below:



$$h(t) = \frac{v_{out}(t)}{v_{in}(t)} \quad H(j\omega) = \frac{V_{out}(j\omega)}{V_{in}(j\omega)}$$

Sketch  $|H(j\omega)|$  versus  $\omega$



## *Homework Problem # 11*

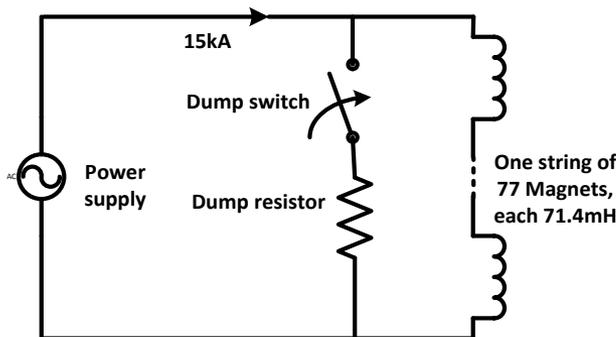
*A 100kW power supply is 80% efficient. Approximately 50% of the power supply heat loss is removed by cooling water.*

- How much heat is dissipated to building air and how much heat is removed by the water system.*
- Calculate the water flow rate needed to limit the water temperature rise to 8°C maximum.*

## Homework Problem # 12

A collider has several equal strings of 77 superconducting magnets, each with 71.4mH inductance, carrying 15kA of current. If one, or more quenches, all the energy from the other magnets will dissipate their energies into the quenched magnet, thus destroying it. Design a switched dump resistor to discharge the current at a maximum rate,  $dI/dt$ , of 300A/s to prevent damage to the superconducting magnet in the event of a quench. Refer to the circuit diagram below.

1. What is the energy stored in each magnet and in the string when running at its design value?
2. What is the total inductance of the string?
3. Write the equation that describes the resistor current after closing the switch.
4. Find the resistor value to limit the maximum rate of decrease of current in the magnets to 150A/s
5. What is the maximum voltage generated across the resistor?
6. What is the time constant of this circuit?
7. Design a steel dump resistor that has little thermal conductance to the outside world (adiabatic system). Calculate how much steel mass (weight) will limit the temperature increase of the resistor to 500°K.



Help

$$Q = M C_p \Delta T$$

$Q$  = heat (energy) into the system expressed in joules

$M$  = mass or weight of the resistor

$$C_p = \text{specific heat of material} = 0.466 \frac{\text{J}}{\text{gm} \cdot ^\circ\text{K}} \text{ for steel}$$

$\Delta T$  = Temperature rise of the resistor

Based on "LHC Magnet Quench Protection System, L.Coull, et.al, 13th International Conference on Magnet Technology, Victoria, Canada, 1993

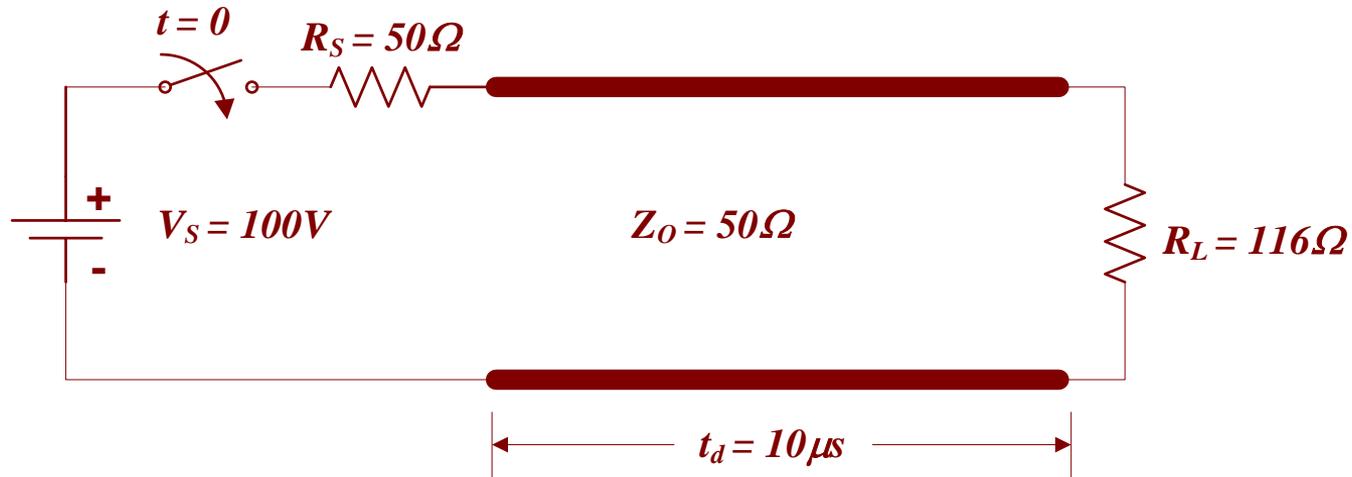


## Homework Problem #13

- A. A transmission line can be formed using lumped  $L$ s and  $C$ s. Calculate the delay of a line composed of 8 sections of inductances  $L=4\text{mH}$  per section and capacitance  $C=40\text{pF}$  per section.
- B. The frequency of a signal applied to a two-wire transmission cable is  $3\text{GHz}$ . What is the signal wavelength if the cable dielectric is air? Hint – relative permittivity of air is 1
- C. What is the signal wavelength if the cable dielectric has a relative permittivity of 3.6?

## Homework Problem #14

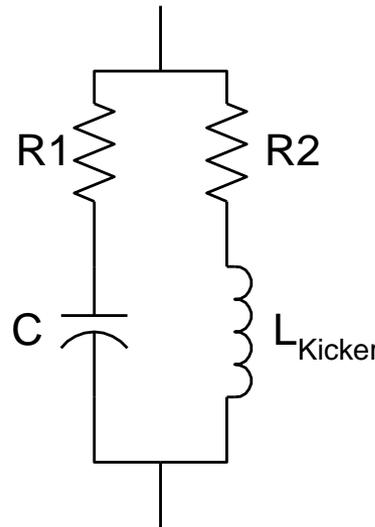
For the transmission line shown below, calculate the Reflection Coefficients  $\Gamma$ , the reflected voltages and the voltage and current along the line versus time.



## Homework Problem # 15

A controlled impedance transmission line often drives a kicker. The kicker is usually well modeled as an inductor. A matching circuit can be built around the kicker and its inductance so that this circuit, including the kicker magnet, has constant, frequency independent, impedance which is matched to the transmission line.

Assuming that the transmission line impedance is  $Z_0$  and the kicker inductance is  $L_{\text{Kicker}}$  derive the values of  $R1$ ,  $R2$ , and  $C$  necessary to make a frequency independent (constant) impedance  $Z_0$ .



## Homework Problem #16

A. What is the significance of the value  $\sqrt{\frac{\mu_0}{\epsilon_0}}$  ?

B. What is the significance of the values  $\frac{1}{\sqrt{\mu_0 \epsilon_0}}$  and  $\sqrt{L^* C}$  ?

C. Calculate the speed of light in mediums with dielectric constants of:  
 $\epsilon_r = 1$     $\epsilon_r = 2$     $\epsilon_r = 4$     $\epsilon_r = 8$     $\epsilon_r = 16$



## *Homework Problem # 17*

*A. At least 1 of 4 parallel identical power supplies in an accelerator must continue to operate for the system to be successful. Let  $R_i = 0.9$ . Find the probability of success.*

*B. Repeat for at least 2 out of 4 success*

*C. Repeat for at least 3 out of 4 success*

*D. Repeat for 4 out of 4 success*

*Solution:*



## Homework Problem # 18

Calculate the MTBF of a “typically commercial” 5 kW, switchmode power supply with EMI filter and appropriate electromechanical safety features amounting to 10% of the total number of components. The power supply operates at 50C ambient temperature. The power supply consists of the following components with the listed failure rates.:

- 2 each ICs, plastic linear,  $l = 3.64$
- 1 each opto-isolator,  $l = 1.32$
- 2 each hermetic sealed power switch transistors,  $l = 0.033$
- 2 each plastic power transistors,  $l = 0.026$
- 4 each plastic signal transistors,  $l = 0.0052$
- 2 each hermetic sealed power diodes,  $l = 0.064$
- 8 each plastic power diodes,  $l = 0.019$
- 6 each hermetic sealed switch diodes,  $l = 0.0024$
- 32 each composition resistors,  $l = 0.0032$
- 3 each potentiometers, commercial,  $l = 0.3$
- 8 each pulse type magnets, 130C rated,  $l = 0.044$
- 12 each ceramic capacitors, commercial,  $l = 0.042$
- 3 each film capacitors, commercial,  $l = 0.2$
- 9 each Al electrolytics, commercial,  $l = 0.48$



## ***Homework Problem # 19***

*Two inverter stages in an uninterruptible power supply are to be connected in parallel. each is capable of full-load capability. The calculated failure rate of each stage is  $\lambda = 200$  failures per million hours. A. What is the probability that each inverter will remain failure free for a mission time of 1000 hours and B) What is the probability that the system will operate failure free for 1000 hours?*

*Solution:*



## ***Homework Problem # 20***

*For a critical mission, 3 power supplies, each capable of supplying the total required output, are to be paralleled. The power supplies are also decoupled such that a failure of any power supply will not affect the output. The calculated failure rate of each power supply is 4 per million hours.*

*A. What is the probability that each power supply will operate failure free for 5 years?*

*B. What is the probability that the system will operate failure free for 5 years?  
Solution below.*