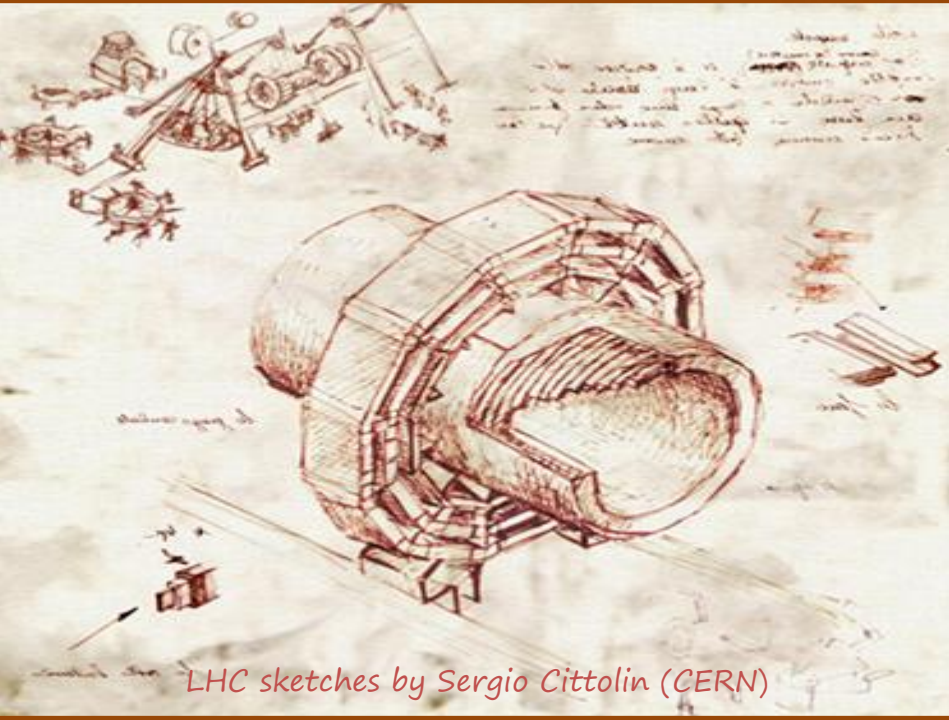
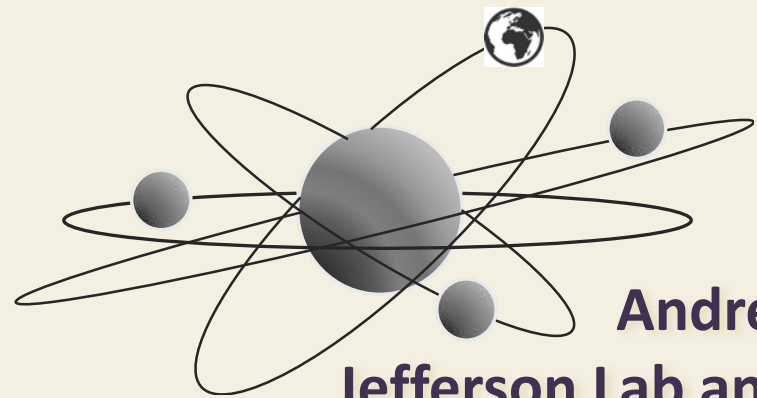




# Structured Inventions



LHC sketches by Sergio Cittolin (CERN)



**Andrei Seryi**  
**Jefferson Lab and ODU**

USPAS

5 February 2025

# Scientific revolutions – what drives them?

## Two points of view:

Philosopher Thomas Kuhn:

**scientific revolutions are concept-driven**

*“paradigm shifts”*

Physicist Freeman Dyson:

**scientific revolutions are tool-driven**



*“The human heritage that gave us toolmaking hands and inquisitive brains did not die. In every human culture, the hand and the brain work together to create the style that makes a civilization....*

*Science will continue to generate unpredictable new ideas and opportunities. And human beings will continue to respond to new ideas and opportunities with new skills and inventions. We remain toolmaking animals, and science will continue to exercise the creativity programmed into our genes.”*



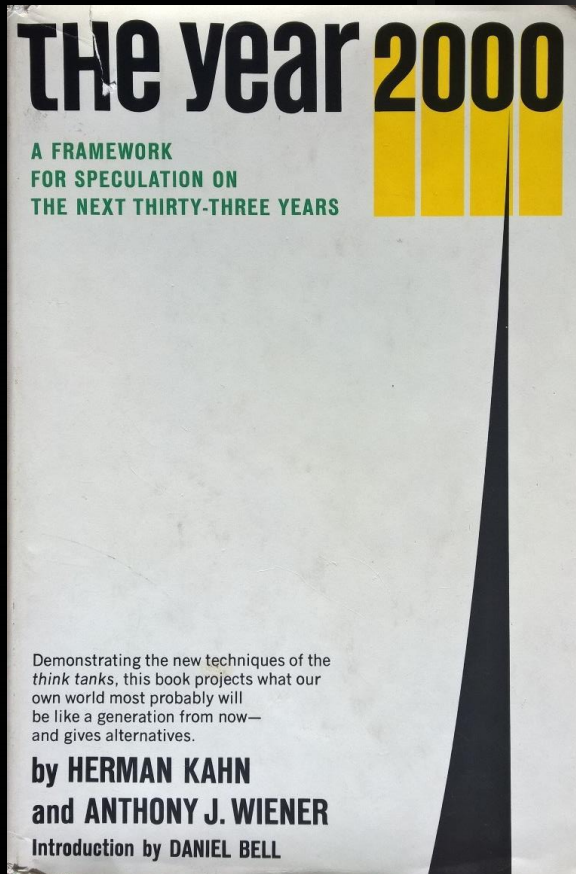
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**We would like  
to make  
positive and  
proactive  
impact on the  
evolution of  
science and  
technology**

**Can we learn  
from past  
efforts to make  
our impact  
more reliable  
and efficient?**



# Predictions made in 1968 for the year 2000



**“The Year 2000”, 1968  
K. Herman, A. Wiener  
ISBN 978-0025604407**

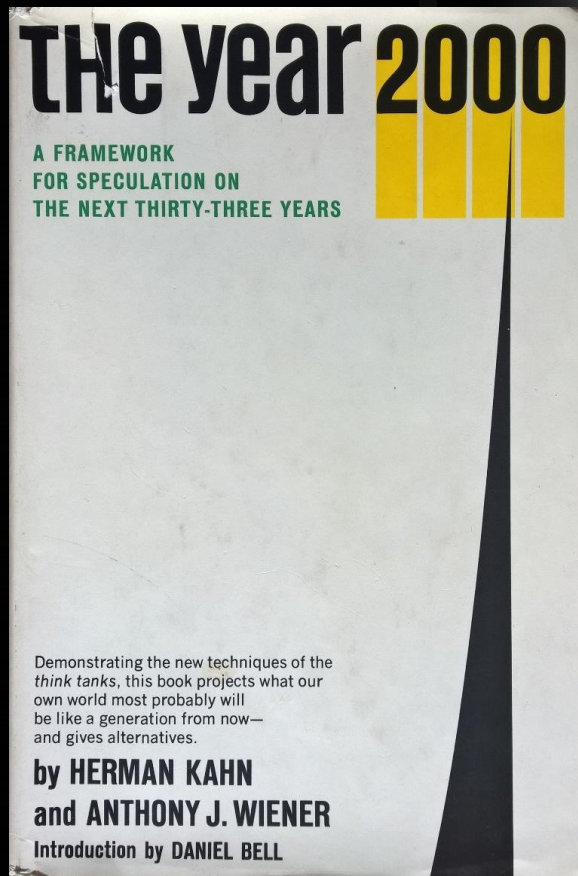


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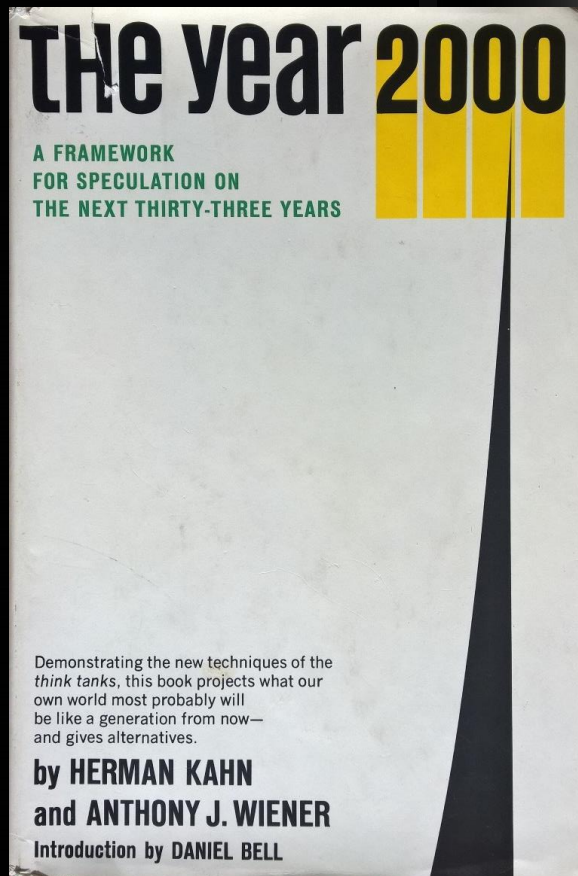


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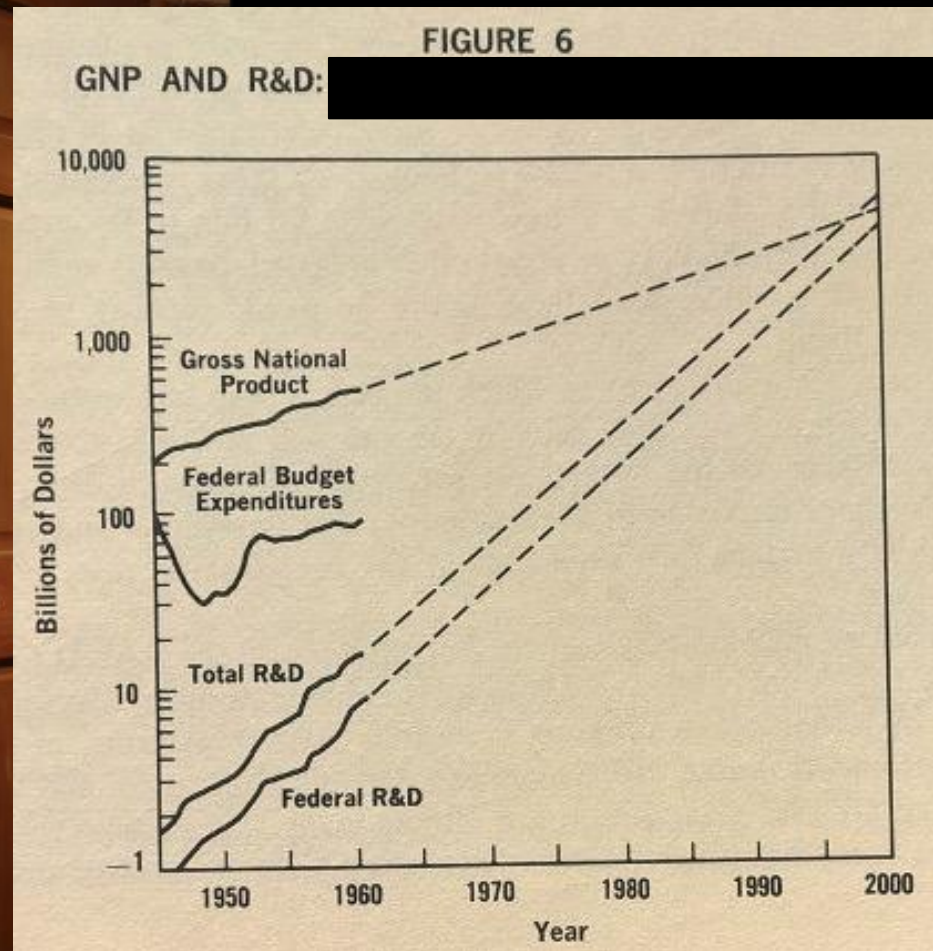
**Can the  
methodology  
of predictions  
be reliable?**

# Predictions made in 1968 for the year 2000

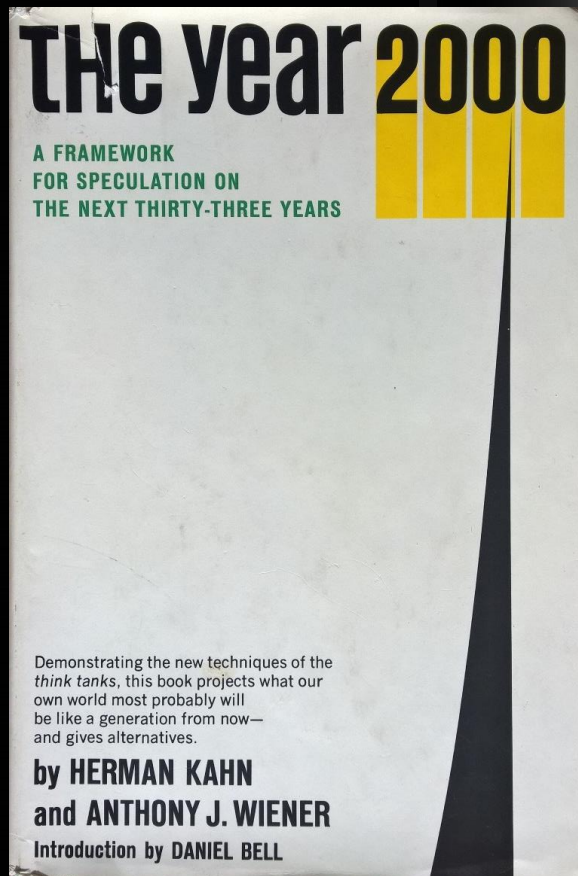


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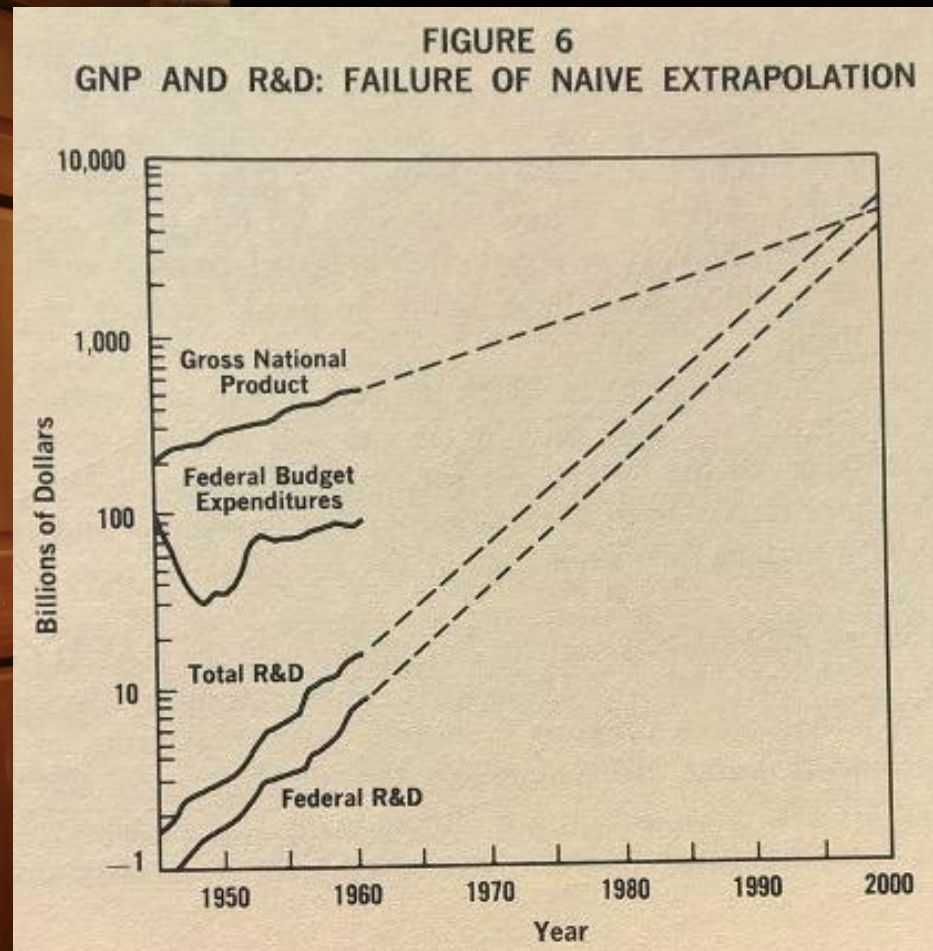


# Predictions made in 1968 for the year 2000



"The Year 2000", 1968  
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Lesson: avoid naïve  
extrapolations

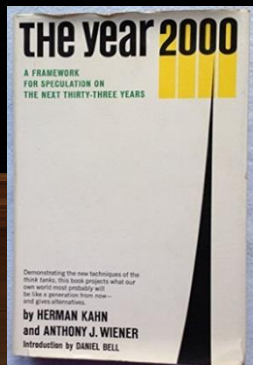


# Predictions made in 1968 for the year 2000, examples:

1- Multiple applications of  
lasers for sensing, ✓  
communication, cutting,  
welding...

31- Some control of weather  
and/or climate

35 – human hibernation for  
extensive periods (months to  
years)



“The Year 2000”, 1968  
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E

58- Chemical methods for  
improving memory and ~✓  
learning

67- Commercial extraction of  
oil from shale ✓

81- Personal “pagers” and  
perhaps even two-way pocket  
phones ✓

99- Artificial moon for  
lighting large areas at night

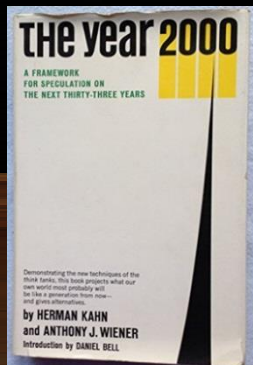
## Some predictions were accurate, some not

# Predictions made in 1968 for the year 2000, examples:

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99- Artificial moon for ~✓  
lighting large areas at night

## Some predictions were accurate, some not

# Chengdu to launch "artificial moon" in 2020

(People's Daily Online) 09:06, October 16, 2018



“Southwestern China’s city of Chengdu plans to launch its illumination satellite, also known as the “artificial moon”, in 2020, according to Wu Chunfeng, chairman of Chengdu Aerospace Science and Technology Microelectronics System Research Institute Co., Ltd. ... The illumination satellite is designed to complement the moon at night. Wu introduced that the brightness of the “artificial moon” is eight times that of the real moon, and will be bright enough to replace street lights. The satellite will be able to light an area with a diameter of 10 to 80 kilometers, while the precise illumination range can be controlled within a few dozen meters. ...The testing of the illumination satellite started years ago, and now the technology has finally matured, explained Wu. Some people expressed concern that the lights reflected from space could have adverse effects on the daily routine of certain animals and astronomical observation. Kang Weimin, director of the Institute of Optics, School of Aerospace, Harbin Institute of Technology, explained that the light of the satellite is similar to a dusk-like glow, so it should not affect animals’ routines.”

<http://en.people.cn/n3/2018/1016/c90000-9508748.html>



**To make viable predictions and efficient research plans:**

**Learn from the past of this particular area of science...**

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**...but also look around, across different disciplines and areas of science...**

**And, possibly, use “Breakthrough By Design” approach...**

**...not only for prediction, but for pro-actively shaping the future**

# Evolution laws and inventive principles

**Are there some patterns in evolution of scientific and engineering systems and instruments?**

**Are there some general inventive principles that connect different instruments/systems in different areas?**

**Let's look at some examples from a new angle**

# Two scientific instruments



LIGO, Hanford

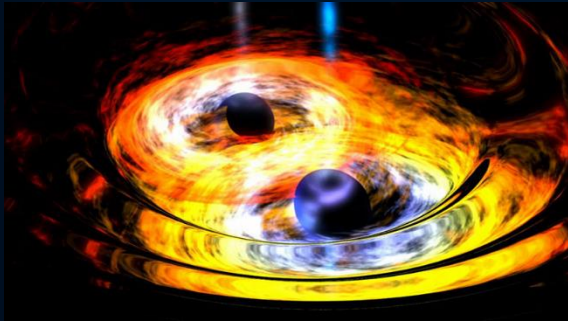


SLC, Stanford

What is in common?



# 2017 Nobel Prize in Physics – gravitational waves

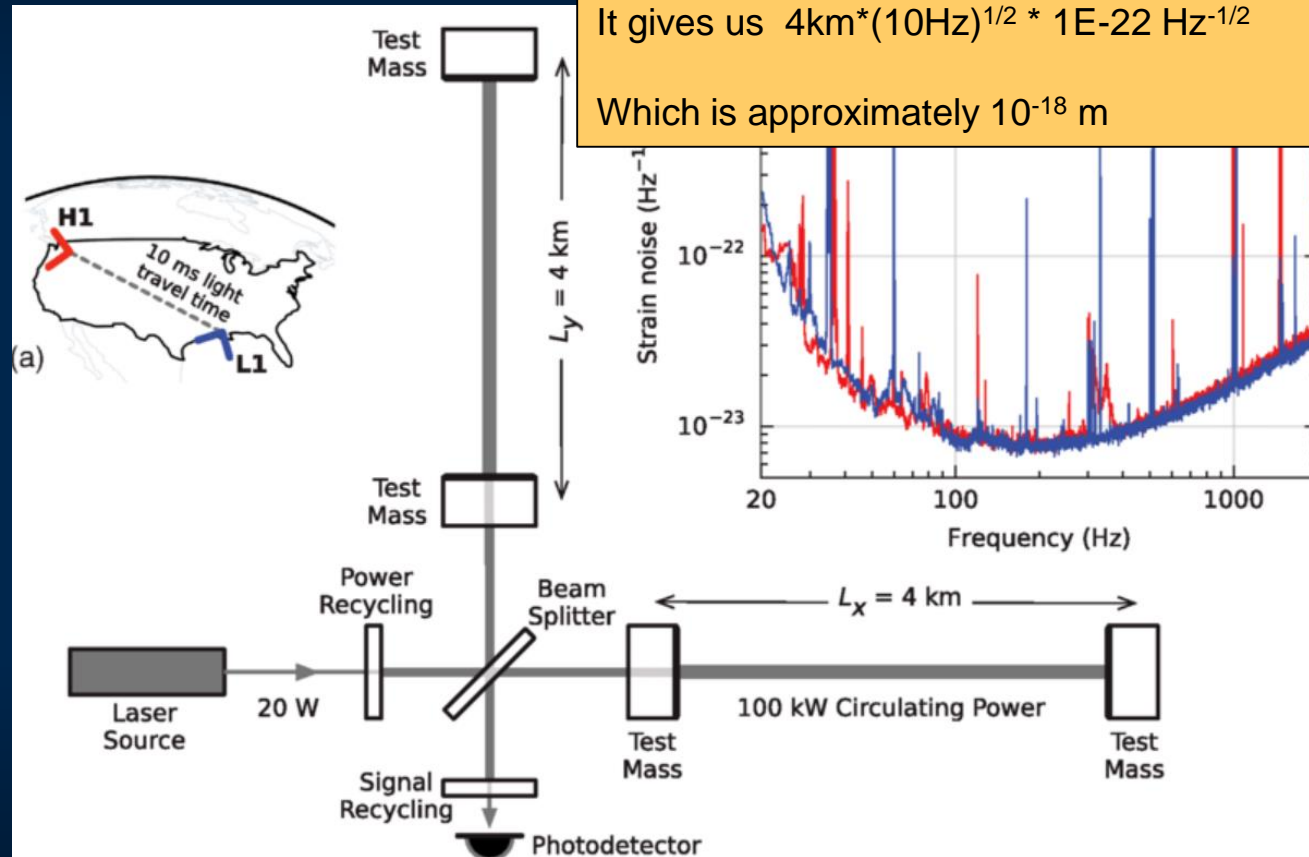
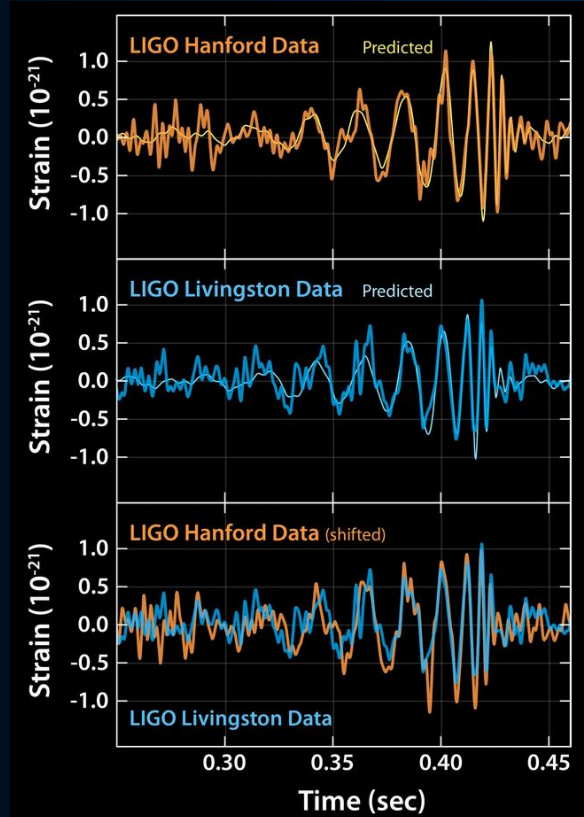


What are these numbers?

Let's say we would like to evaluate noise between 20Hz and 30Hz (i.e.  $\Delta f = 10\text{Hz}$ ), where strain noise is about  $1\text{E-}22 \text{ Hz}^{-1/2}$

It gives us  $4\text{km} \cdot (10\text{Hz})^{1/2} \cdot 1\text{E-}22 \text{ Hz}^{-1/2}$

Which is approximately  $10^{-18} \text{ m}$



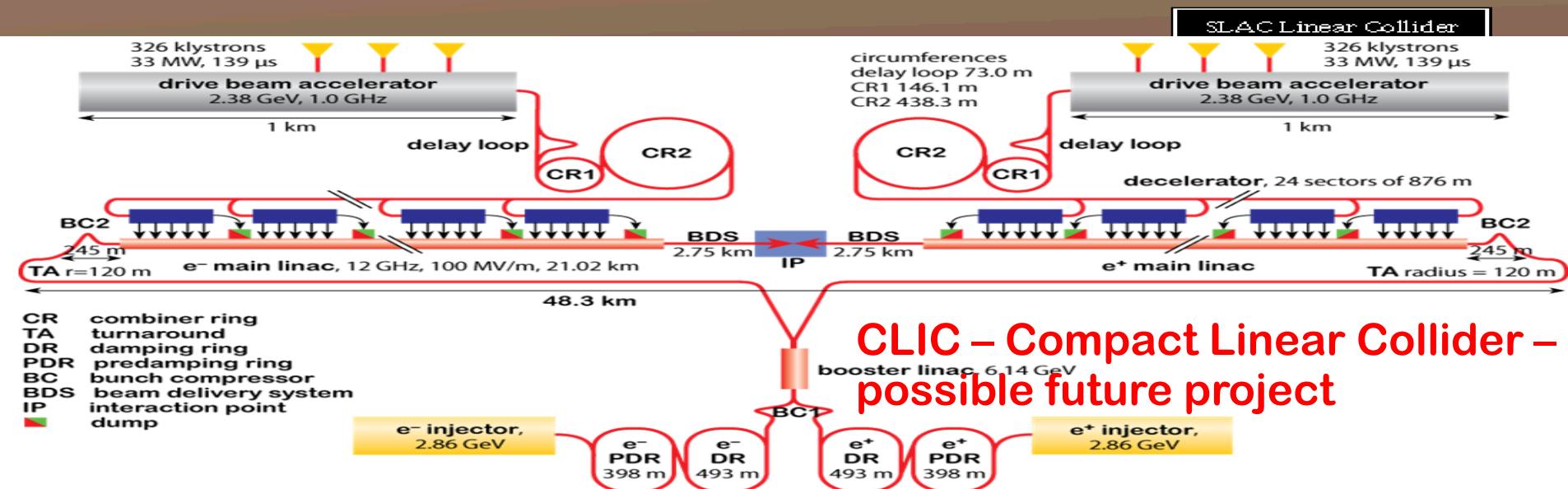
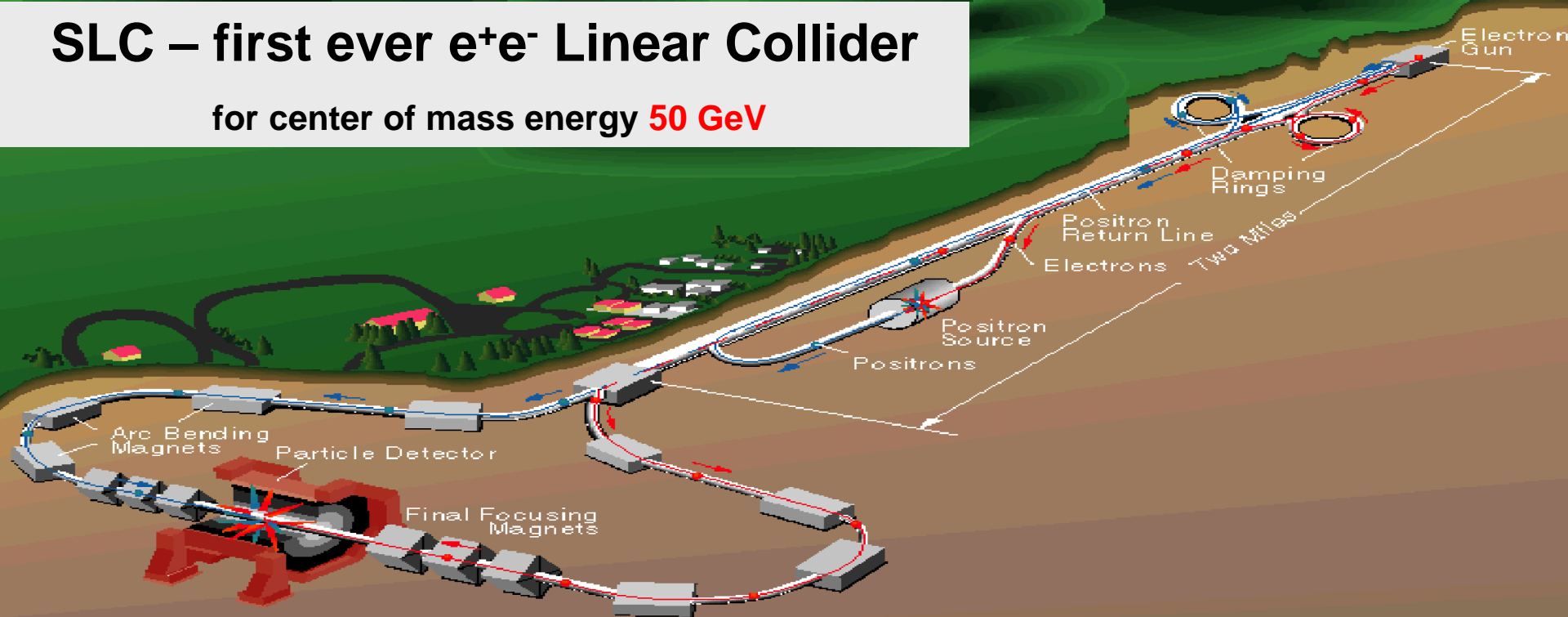
LIGO layout and sensitivity curve

Source: PRL 116, 061102 (2016)

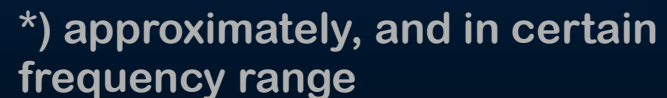
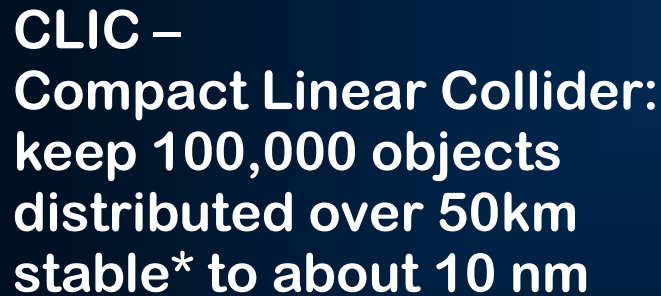
Image: Caltech/MIT/LIGO Lab

# SLC – first ever $e^+e^-$ Linear Collider

for center of mass energy **50 GeV**

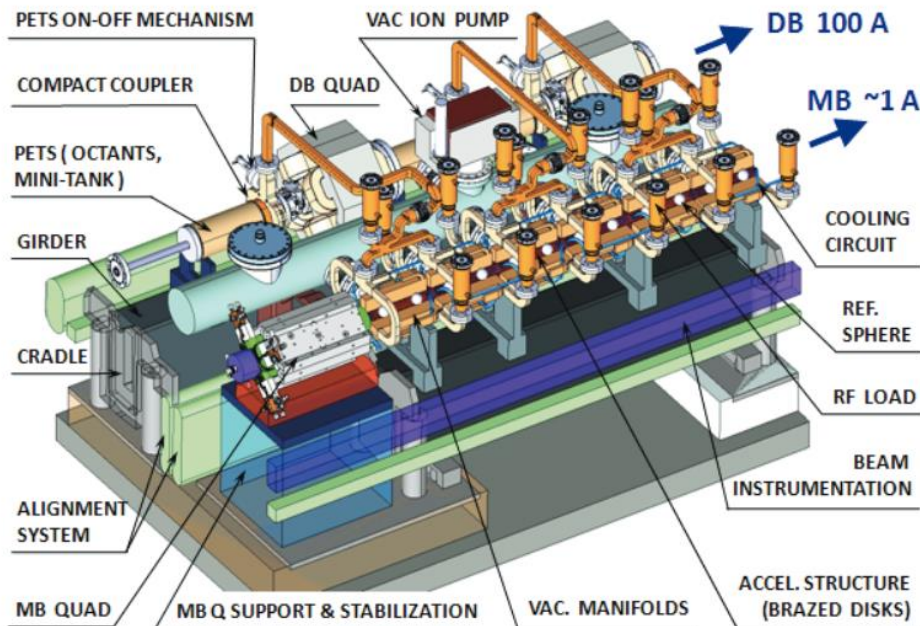


**LIGO: keep two objects placed 4km apart stable\* to about  $1\text{e-}9\text{ nm}$**

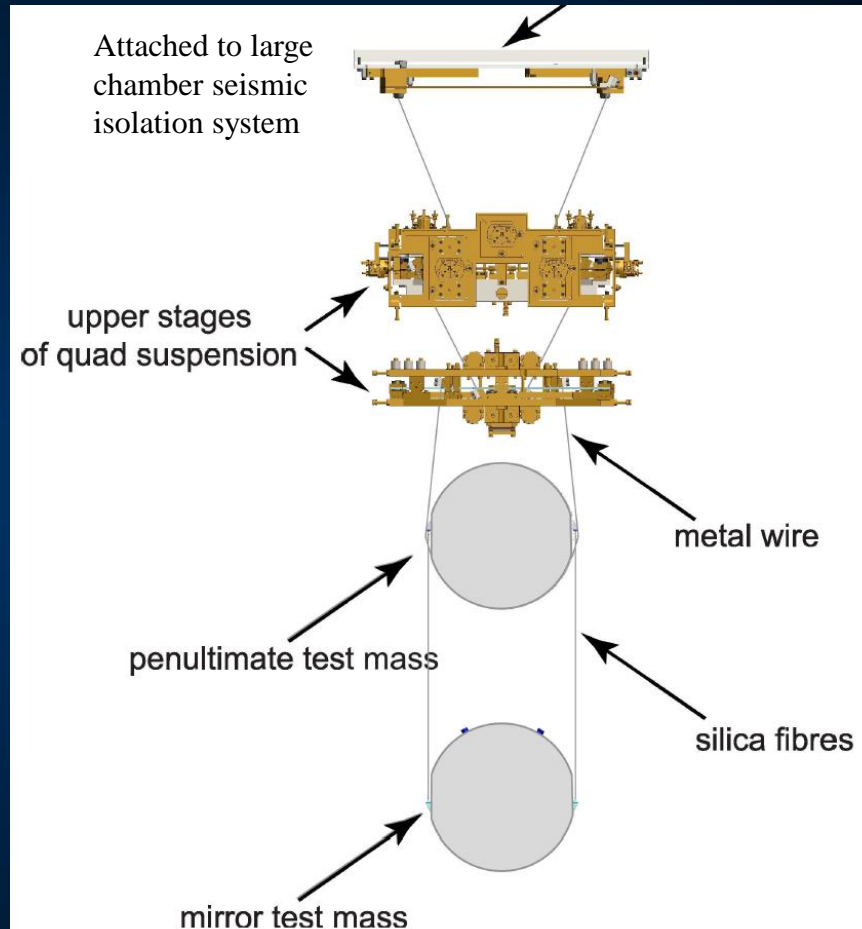




# CLIC stability & LIGO test mass isolation



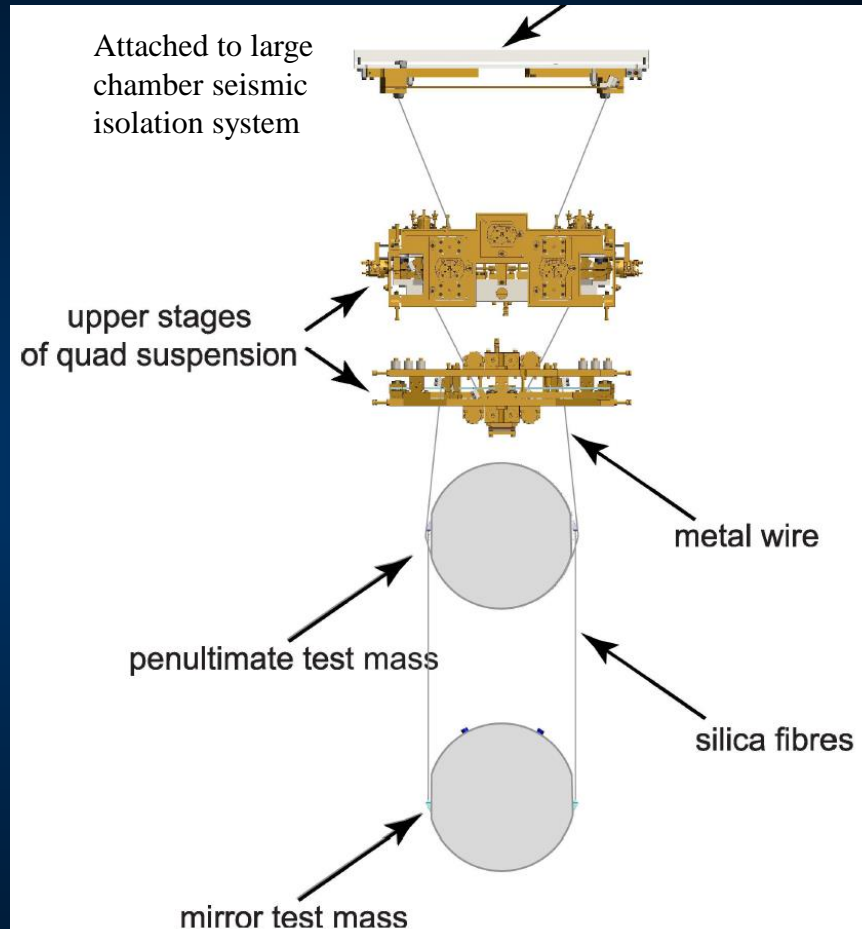
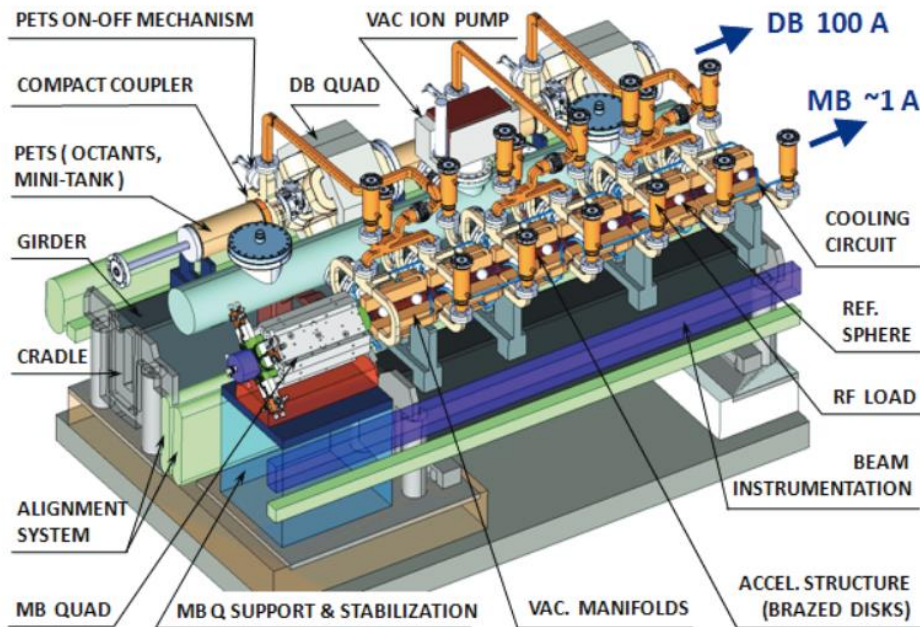
**Nested stabilization/alignment systems of CLIC two-beam module**



**Nested pendulums of LIGO**

Source: [arXiv:1102.3355](https://arxiv.org/abs/1102.3355)

# CLIC stability & LIGO test mass isolation

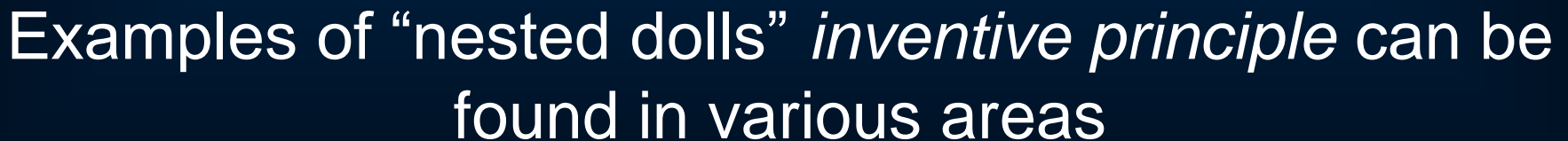


... connected via an inventive principle – let's call it the principle of “nested dolls”



The image displays several hand-drawn sketches in red ink on a light-colored background. The central and largest sketch is a detailed cross-section of a large, cylindrical structure, likely a particle detector or a section of the LHC tunnel. It shows internal components, including a large, curved, segmented structure that resembles a spiral or a series of stacked rings. To the left of this central sketch, there are smaller, more complex drawings of mechanical or structural components, possibly related to the main structure. To the right, there are more sketches, including what appears to be a cross-section of a pipe or a similar structure. At the bottom left, there is a small sketch of a rectangular block with an arrow pointing towards it. The overall style is that of a technical sketch or a conceptual drawing.

Dr. Sergio Cittolin, LHC sketches





# The principle of “nested dolls” in poetry

## *“This is the house that Jack built”*

This is the house that Jack built.

This is the malt  
That lay in the house that Jack built.

This is the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the cow with the crumpled horn,  
That tossed the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.



Illustration by Olga Rubtsova (Atroshenko)



This is the maiden all forlorn,  
That milked the cow with the crumpled horn,  
That tossed the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the man all tattered and torn,  
That kissed the maiden all forlorn,  
That milked the cow with the crumpled horn,  
That tossed the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the priest all shaven and shorn,  
That married the man all tattered and torn,  
That kissed the maiden all forlorn,  
That milked the cow with the crumpled horn,  
That tossed the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the cock that crowed in the morn,  
That waked the priest all shaven and shorn,  
That married the man all tattered and torn,  
That kissed the maiden all forlorn,  
That milked the cow with the crumpled horn,  
That tossed the dog,  
That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

This is the farmer sowing his corn,  
That kept the cock that crowed in the morn,  
That waked the priest all shaven and shorn,  
That married the man all tattered and torn,  
That kissed the maiden all forlorn,  
That milked the cow with the crumpled horn,  
That tossed the dog,  
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That killed the rat,  
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**Mother Goose Rhymes**

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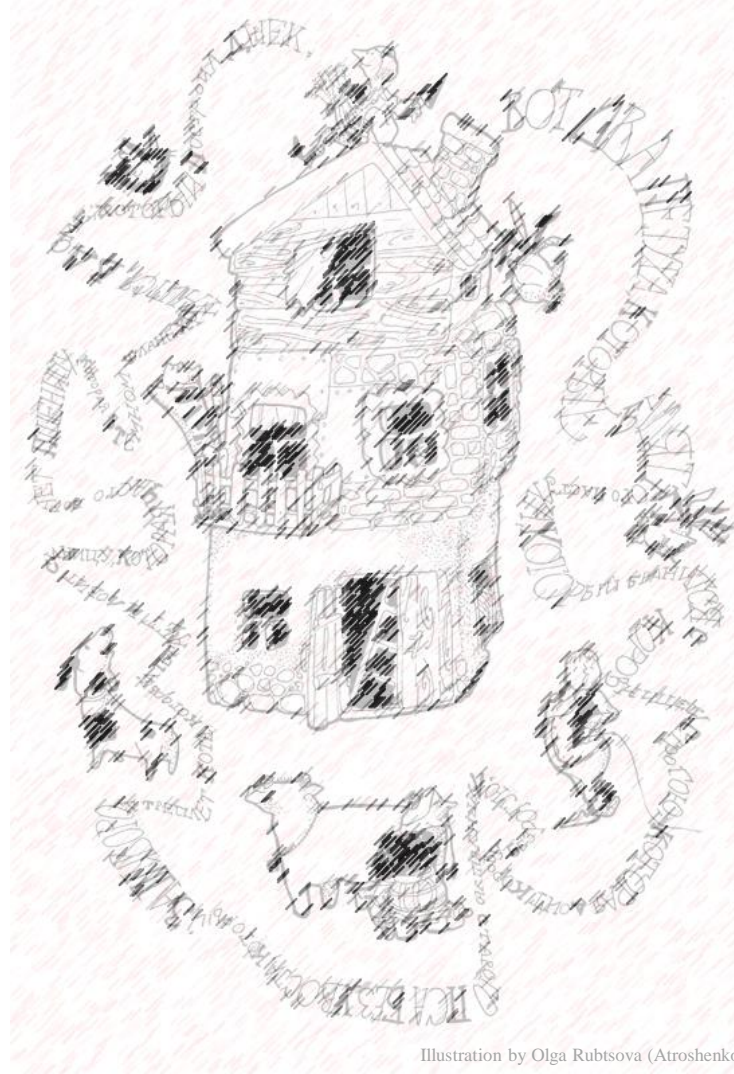


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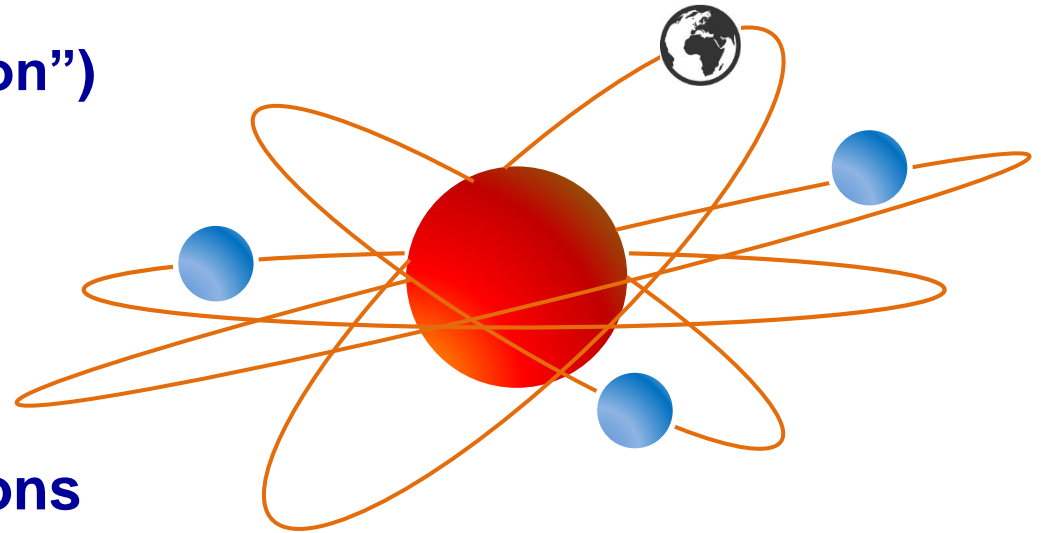
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That worried the cat,  
That killed the rat,  
That ate the malt  
That lay in the house that Jack built.

*Mother Goose Rhymes*

## Is there any example of this principle in science fiction?

# The principle of “nested dolls” in sci-fi poetry

Valery Bryusov – 1920 poem  
“Atom” (“The World of Electron”)



Can you imagine that electrons  
Are planets circling their Suns?  
Space exploration, wars, elections  
And hundreds of computer tongues

...

*Remake-translation by A.Seryi*

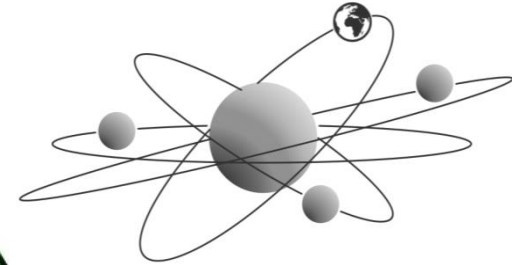
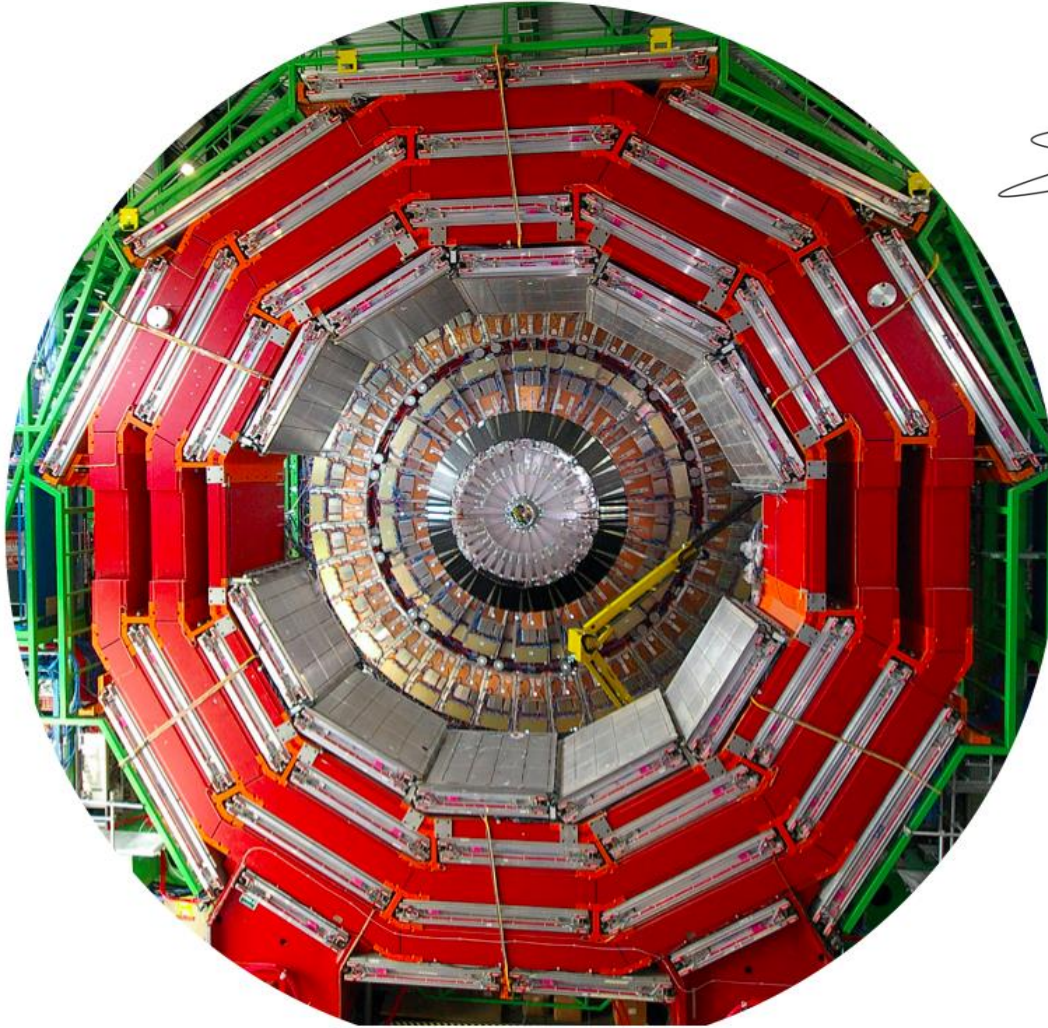
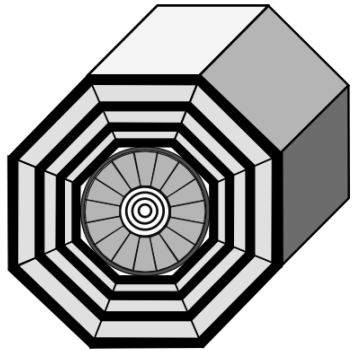
Быть может, эти электроны  
Миры, где пять материков,  
Искусства, знанья, войны, троны  
И память сорока веков!

Ещё, быть может, каждый атом —  
Вселенная, где сто планет;  
Там — всё, что здесь, в объёме сжатом,  
Но также то, чего здесь нет.

...

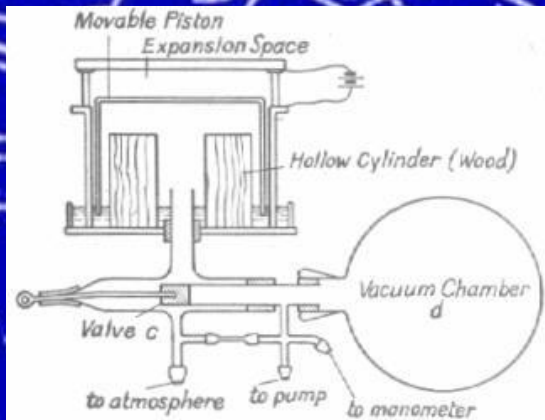
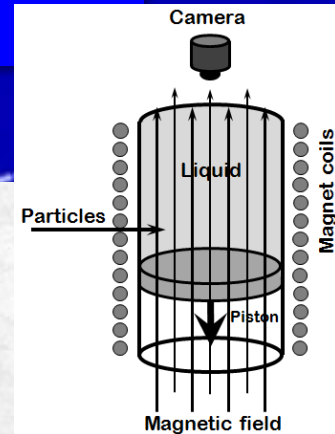
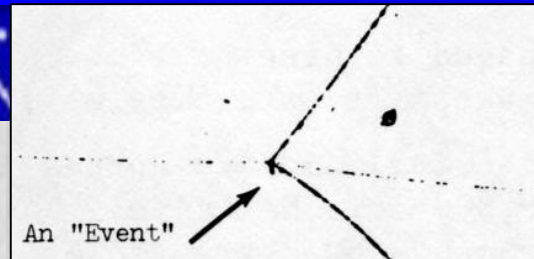
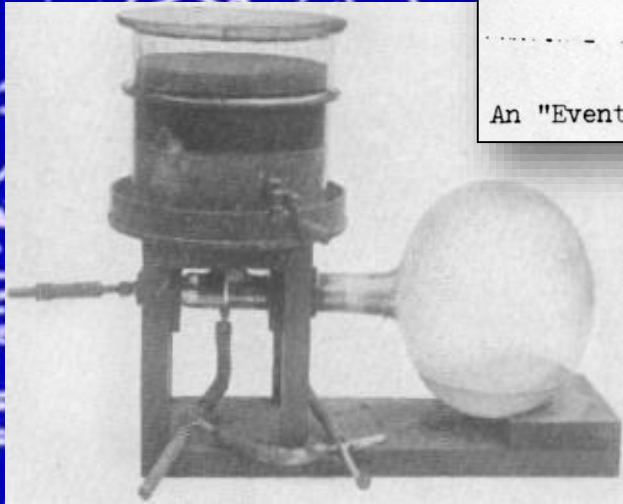


# Is there world inside of an electron?



**Accelerators and detectors can help to understand whether there is a world inside of an electron**

# Cloud and bubble chambers

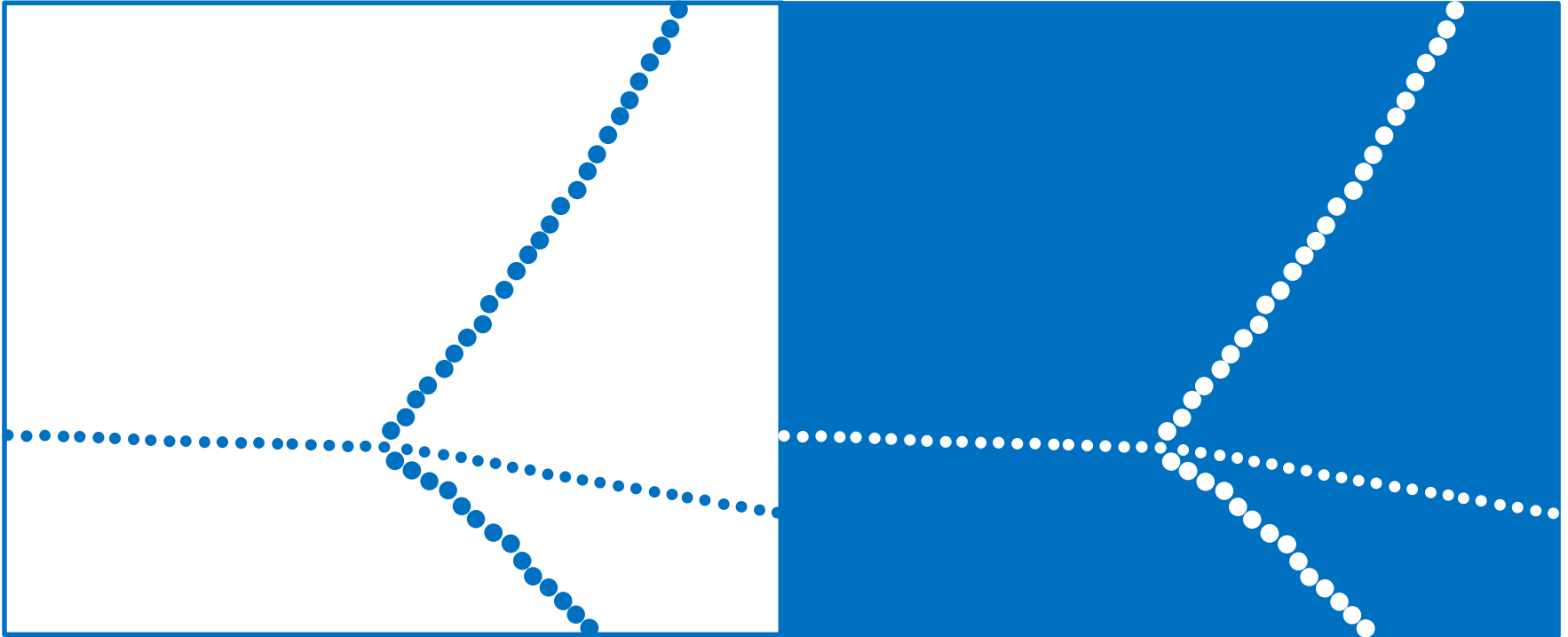


**Wilson's Cloud chamber  
invented in 1911**

**Bubble Chamber (invented in 1952  
by D. Glaser – Nobel prize 1960)**

**On the photo Bubble chamber  
being installed near Fermilab**

# Cloud and bubble chambers



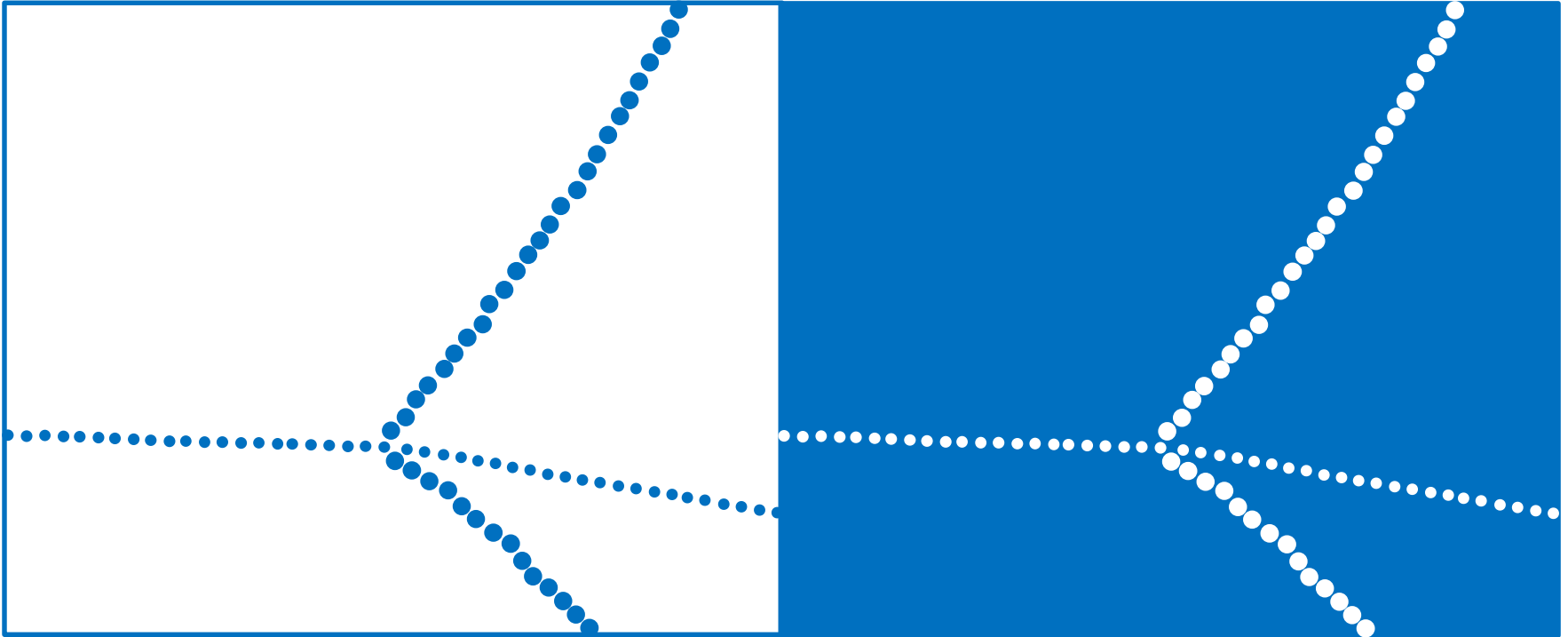
**Wilson's Cloud chamber invented in 1911**  
**Bubbles of liquid in a gas**

**Glaser's Bubble chamber, invented in 1952**  
**Bubbles of gas in a liquid**

**These two instruments are connected via another inventive principle –  
“the other way around” or “system and anti-system”**



# Cloud and bubble chambers



**Wilson's Cloud chamber invented in 1911**  
Bubbles of liquid in a gas

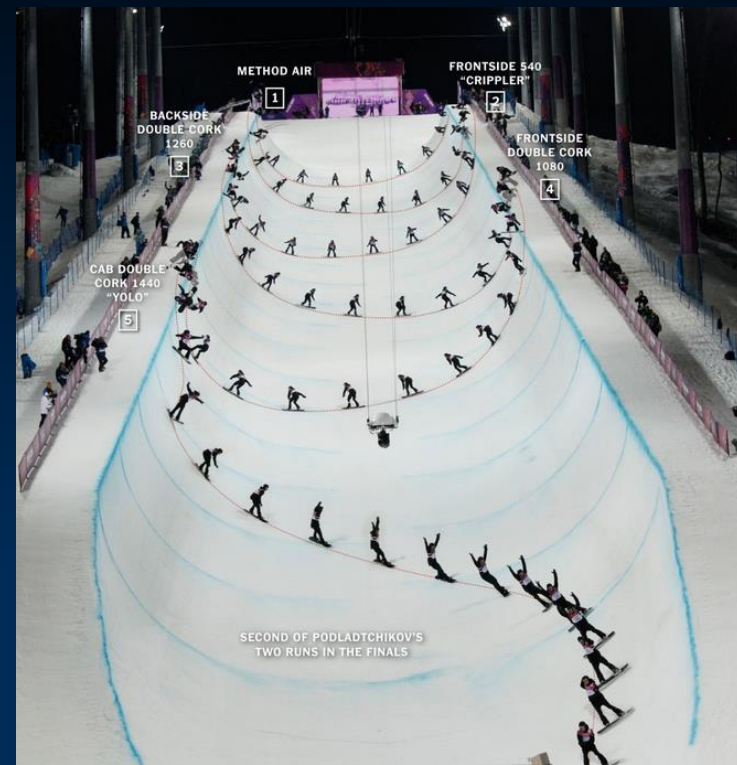
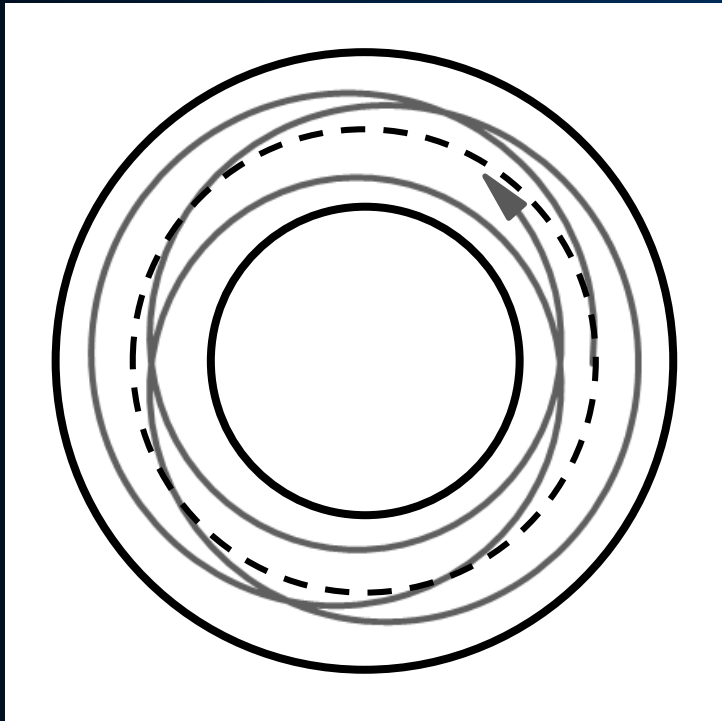
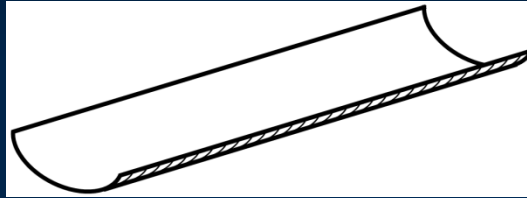
**Glaser's Bubble chamber, invented in 1952**  
Bubbles of gas in a liquid

**Bubble chamber could have been invented immediately, and not 40 years later after the cloud chamber, if we would have applied the principle of “system and anti-system”**

# System-anti-system and focusing in accelerators

Focusing is needed to keep the particle trajectories near the centre

The analogy with the motion in the gutter



The first accelerators had **weak focusing** with spatial period greater than the perimeter of the accelerator

The trajectories of particles in an accelerator with weak focusing

# Weak focusing accelerator

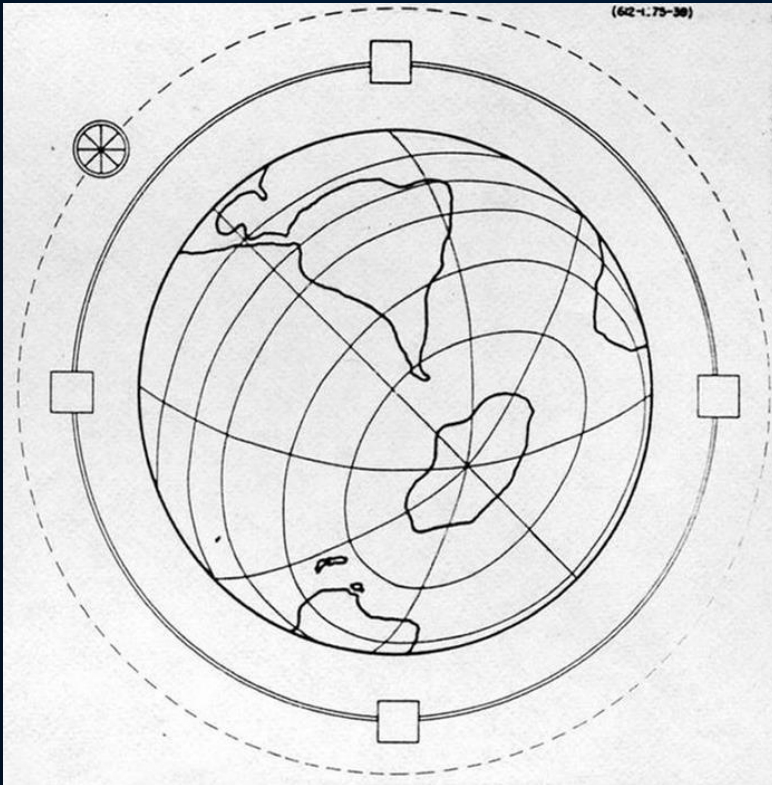
**10 GeV weak-focusing Synchrophasotron built in Dubna in 1957, the biggest and the most powerful for its time. It is ~60m diameter ring, and its magnets weigh 36,000 tons and it was registered in the Guinness Book of Records as the heaviest in the world.**

View inside of the magnets. Vacuum chamber, which occupied all this space, now removed.





# Dreaming big



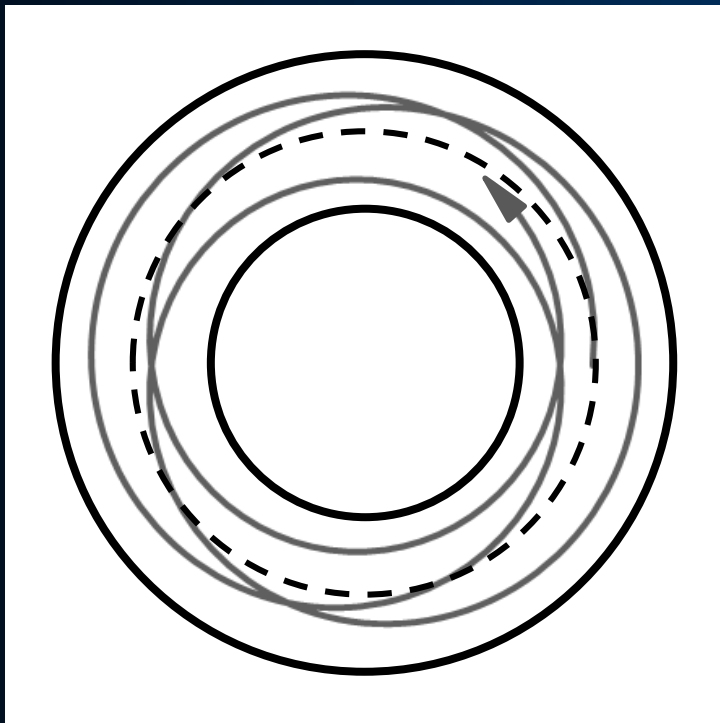
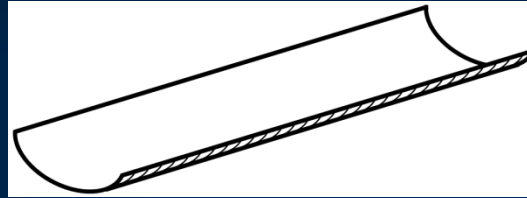
In 1954 Enrico Fermi presented, in his lecture, a vision of an accelerator that would encircle the Earth, and would attain highest possible energies

Imagine how humongous it would be if it would be built as a weak focusing machine!

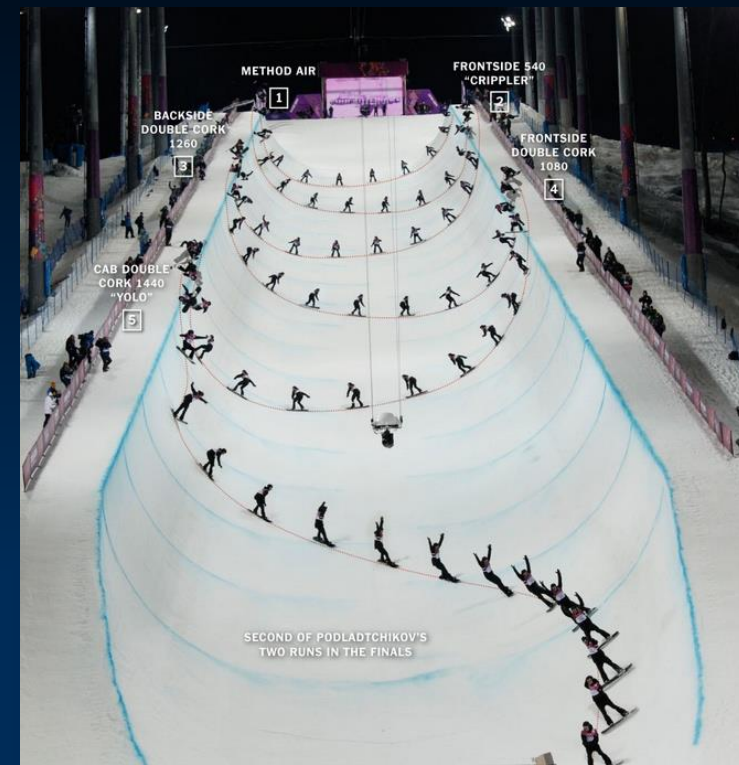
# Focusing

Focusing is needed to keep the particle trajectories near the centre

The analogy with the motion in the gutter



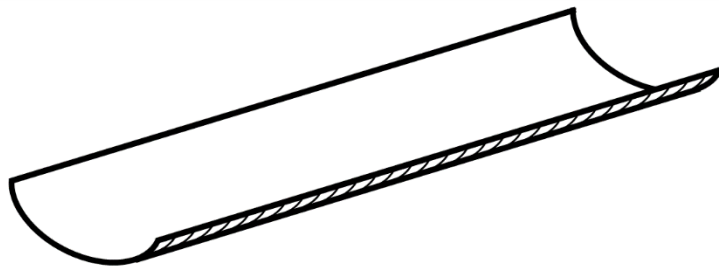
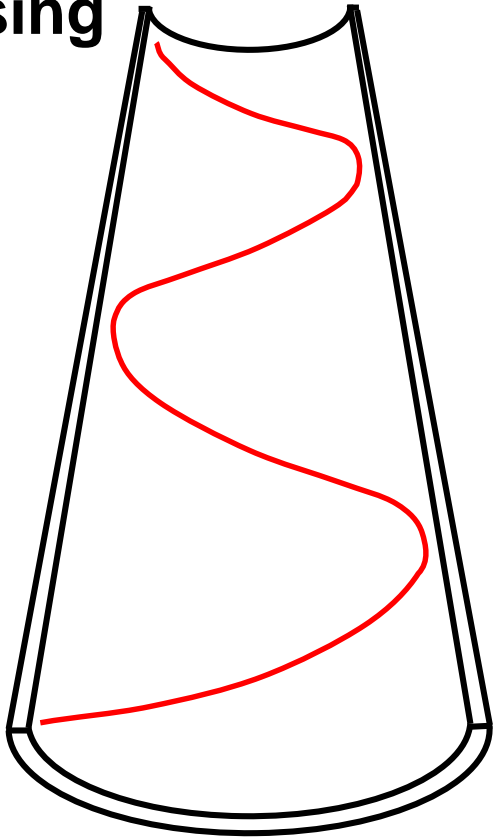
The trajectories of particles in an accelerator with weak focusing



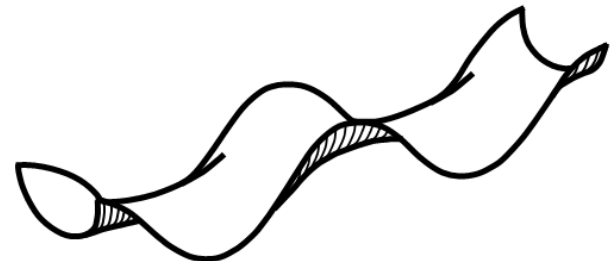
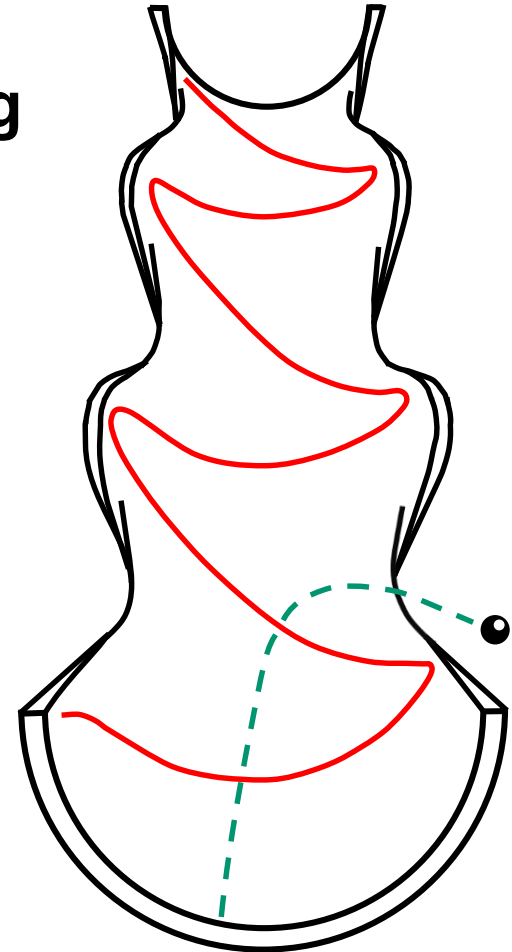
**In this analogy, can we bend the gutter stronger, to achieve strong focusing?**

# Weak and strong focusing

**Weak  
focusing**



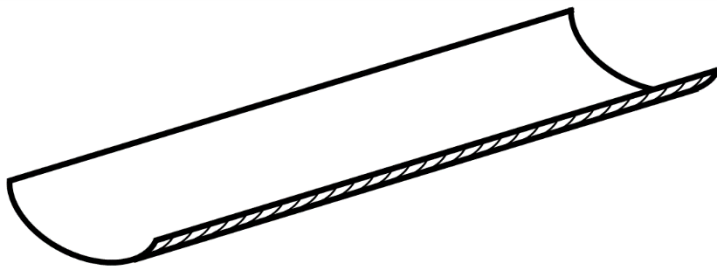
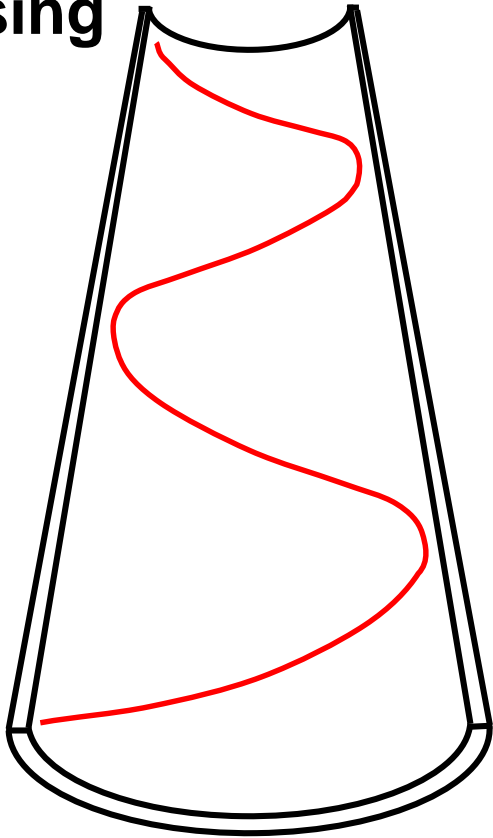
**Strong  
focusing**



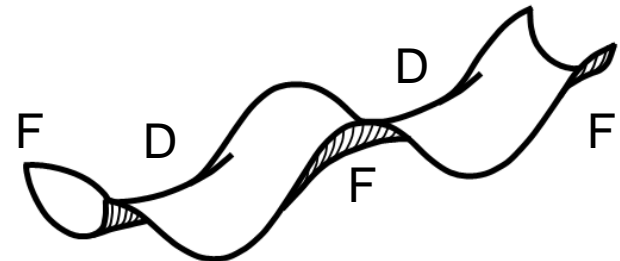
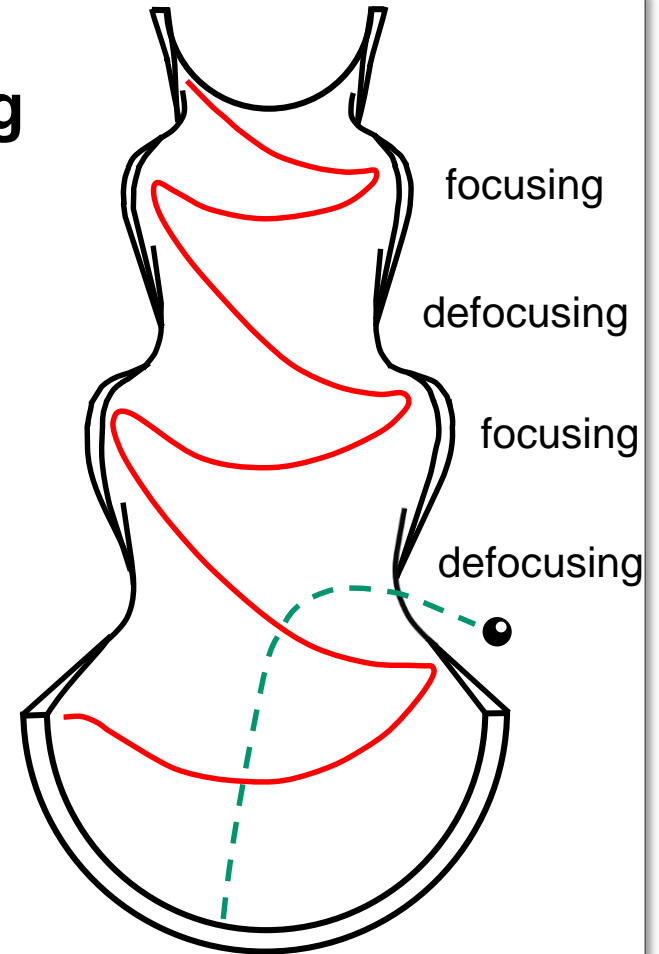


# Weak and strong focusing

**Weak focusing**

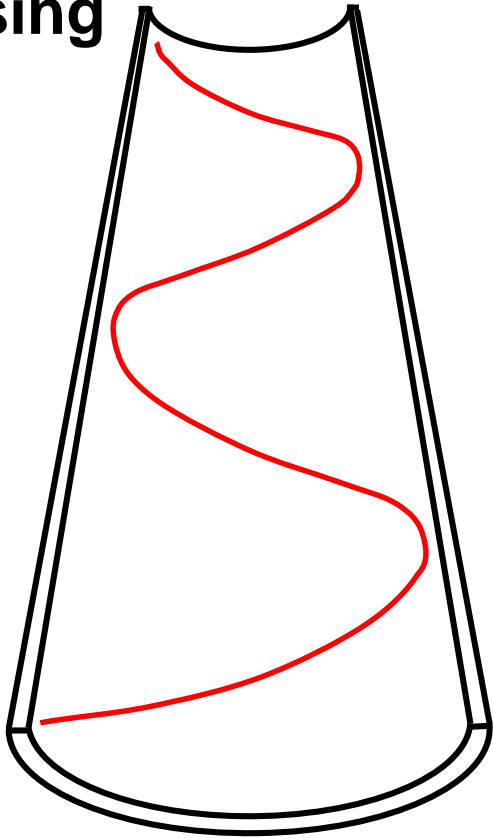


**Strong focusing**



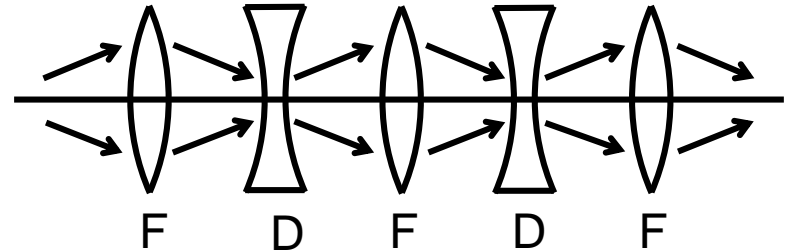
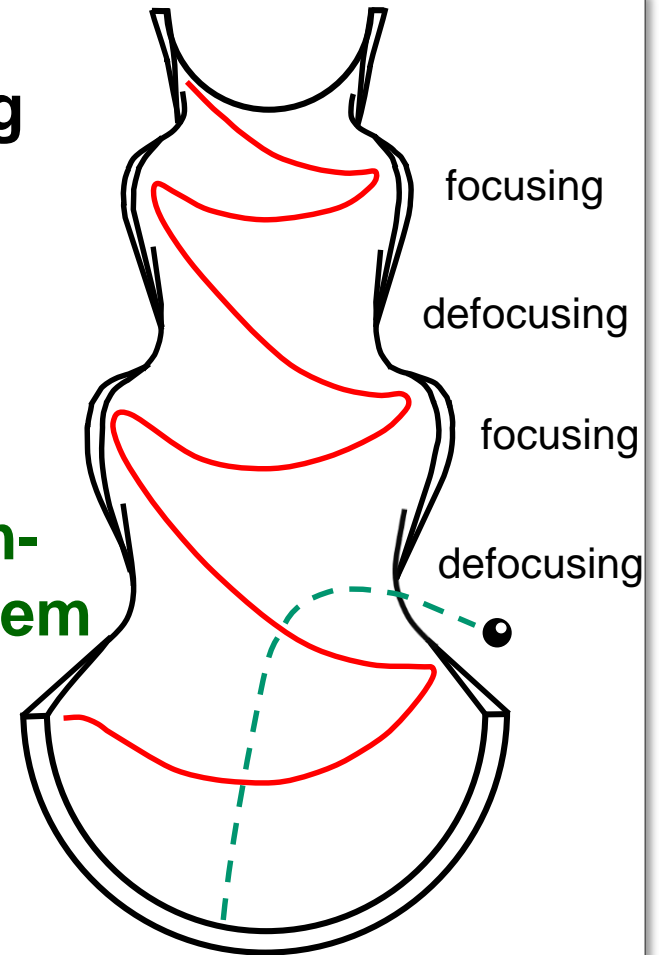
# Weak and strong focusing

**Weak focusing**



**Strong focusing**

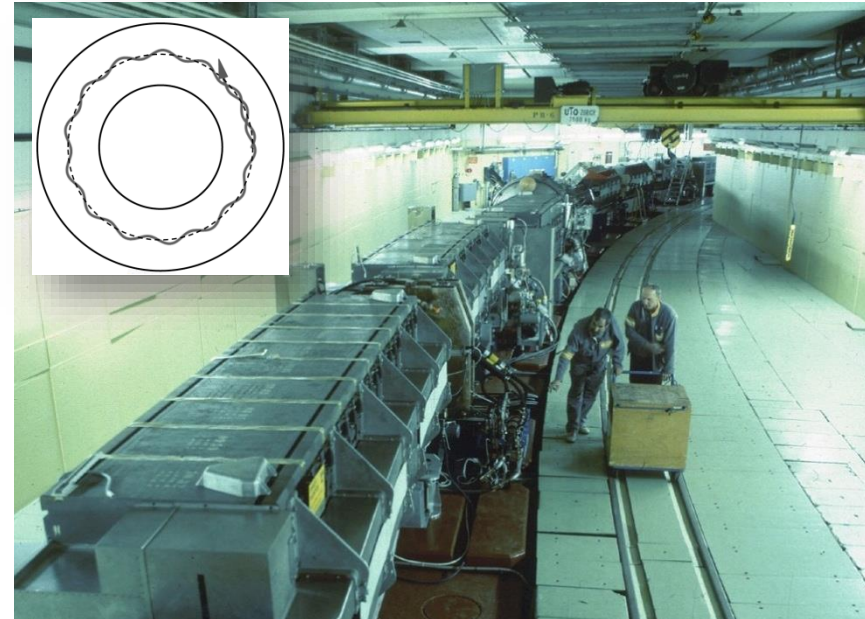
**System-  
anti-system**



# Weak and strong – compare them



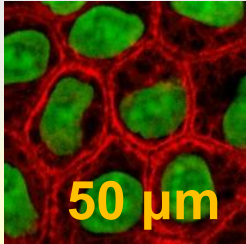
**10 GeV weak-focusing Synchrophasotron built in Dubna in 1957, the biggest and the most powerful for his time. It is ~60m diameter ring, and its magnets weigh 36,000 tons and it was registered in the Guinness Book of Records as the heaviest in the world.**



**CERN's Proton Synchrotron, the first operating strong-focusing accelerator, reached 24 GeV in 1959. It is a ~200-m diameter ring, weight of magnets 3,800 tons.**



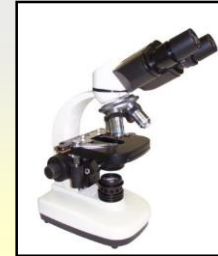
# The structure of matter...



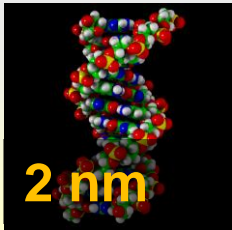
Extra  
magnification?

## CELLS

Twenty  
per mm



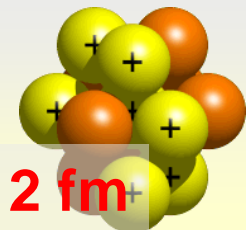
Microscope



x 25 thousand

## DNA

Five hundred  
thousand  
per mm



x 1 million

## Nucleus

Five hundred  
billion  
per mm

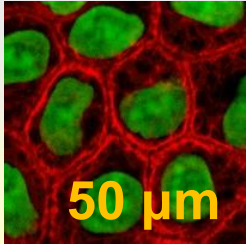


x 2 thousand

## Quarks

More than one  
million billion  
per mm

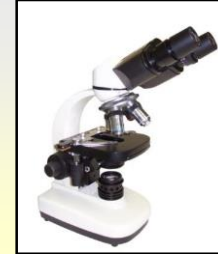
# ...use particles



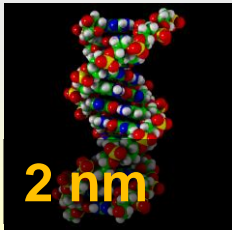
Extra  
magnification?

## CELLS

Twenty  
per mm



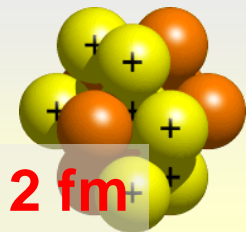
**Microscope**



x 25 thousand

## DNA

Five hundred  
thousand  
per mm



x 1 million

## Nucleus

Five hundred  
billion  
per mm



x 2 thousand

## Quarks

More than one  
million billion  
per mm

## Particles & their wave properties

Wavelength corresponding to the particle:

$$\lambda = h / p$$

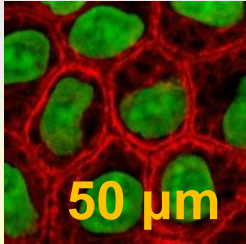
De Broglie  
Wavelength

Planck  
Constant

Momentum

See small? Use particles  
and increase their energy

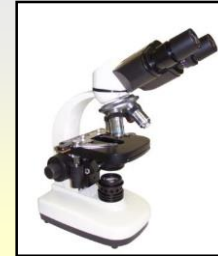
# ...use particle accelerators



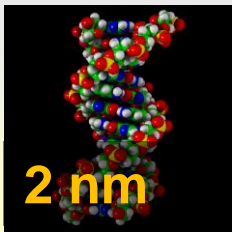
Extra  
magnification?

## CELLS

Twenty  
per mm



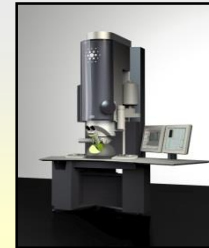
Microscope



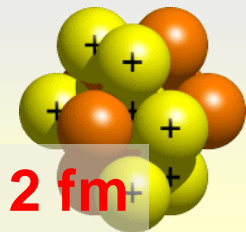
x 25 thousand

## DNA

Five hundred  
thousand  
per mm



Electron  
microscope



x 1 million

## Nucleus

Five hundred  
billion  
per mm

Particle Accelerators



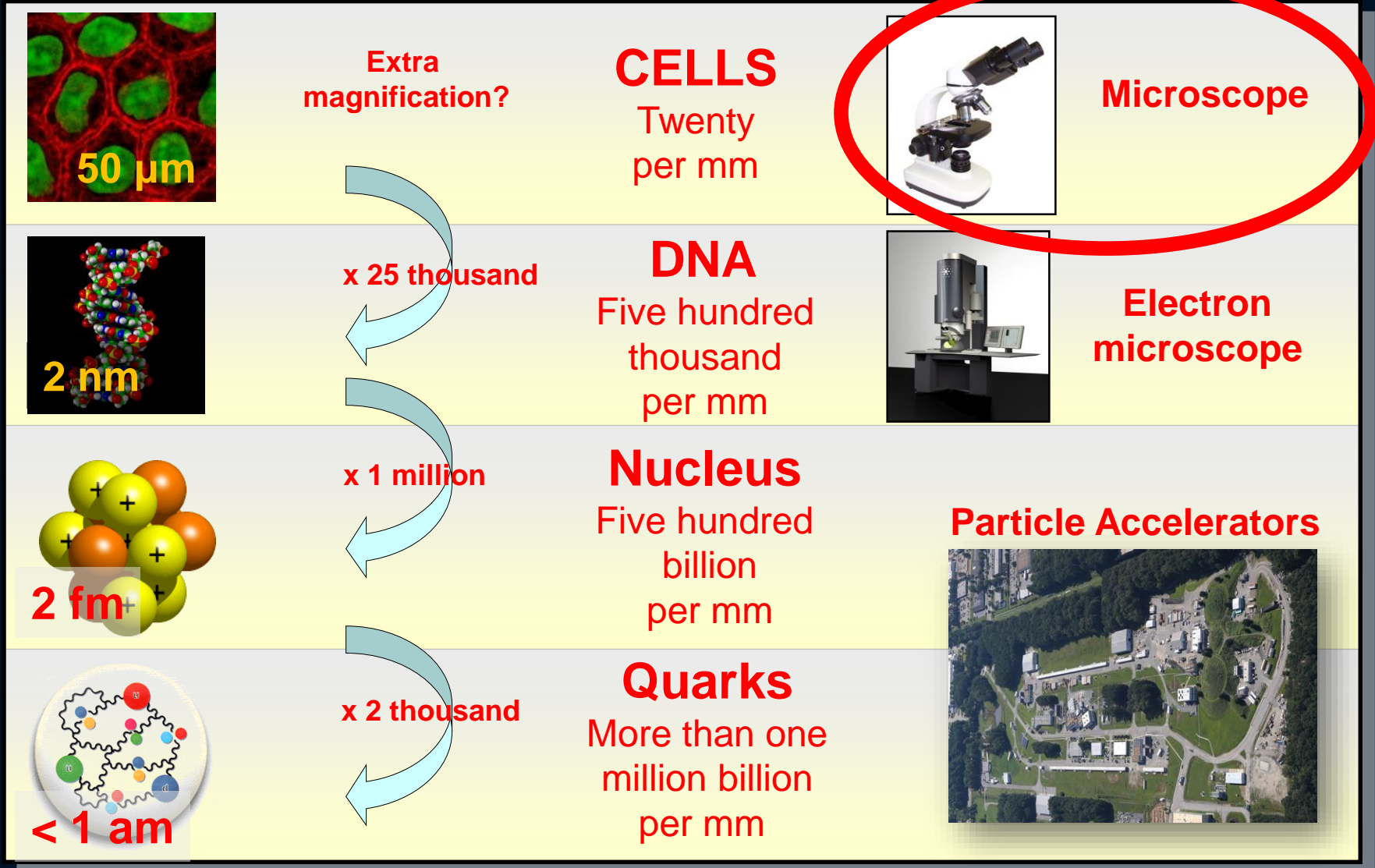
x 2 thousand

## Quarks

More than one  
million billion  
per mm



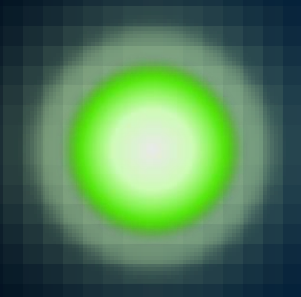
# Chemistry Nobel 2014 & inventive principles?



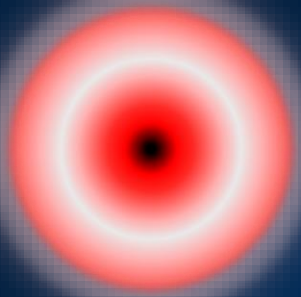
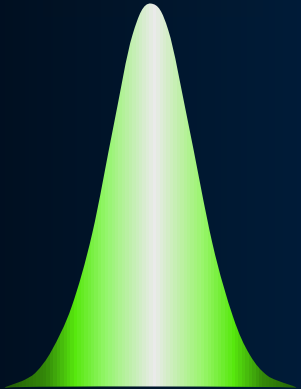
# Chemistry Nobel 2014 ...

## Stimulated Emission Depletion microscopy (STED)

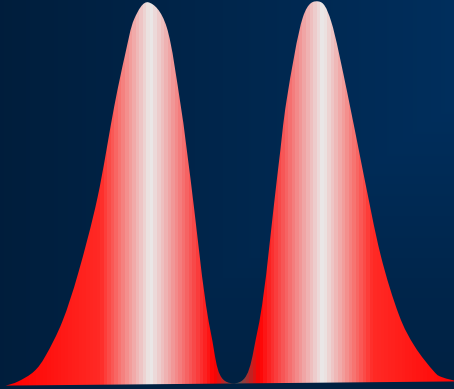
Stefan W. Hell



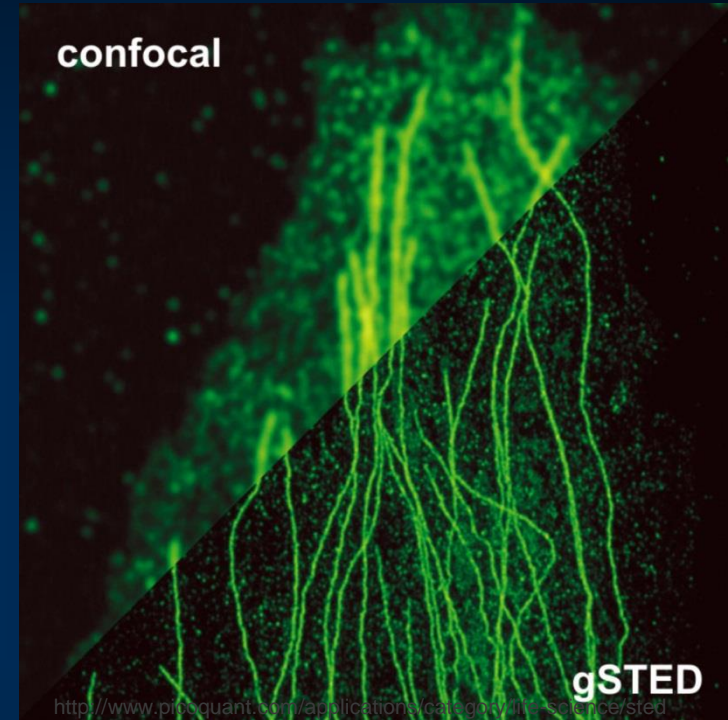
Excitation



De-excitation



Fluorescence



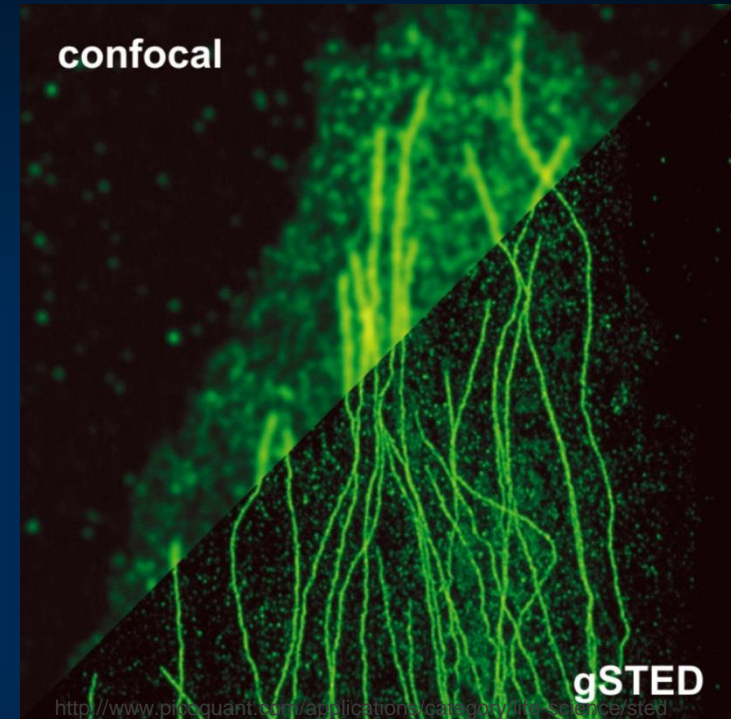
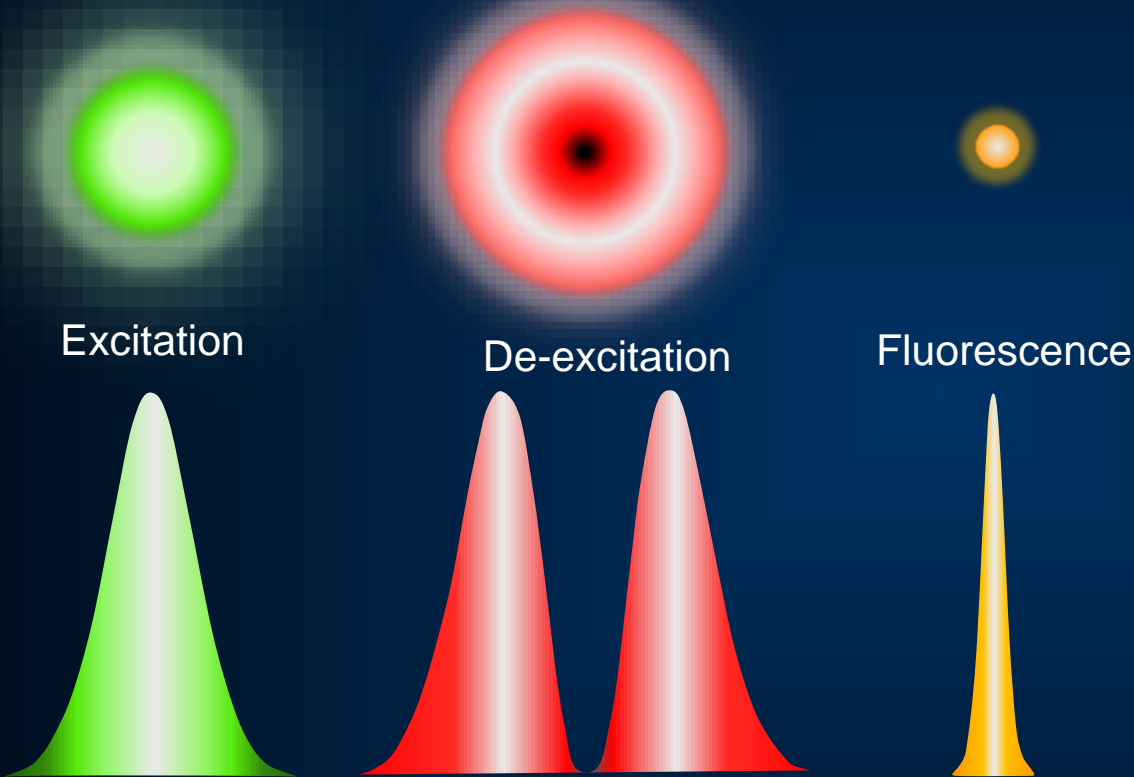
(gated) STED image of Tubulin vs  
standard confocal image

**This can improve the resolution to be a factor of  
several below the wavelength of light**

# Chemistry Nobel 2014 & inventive principles

## Stimulated Emission Depletion microscopy (STED)

Stefan W. Hell



(gated) STED image of Tubulin vs standard confocal image

**And this can be viewed as a combination of the inventive principles “system and anti-system” and “nested dolls”**



# Nobel prize 2018 – CPA

Arthur Ashkin, Gérard Mourou and Donna Strickland

## CPA - chirped pulse amplification

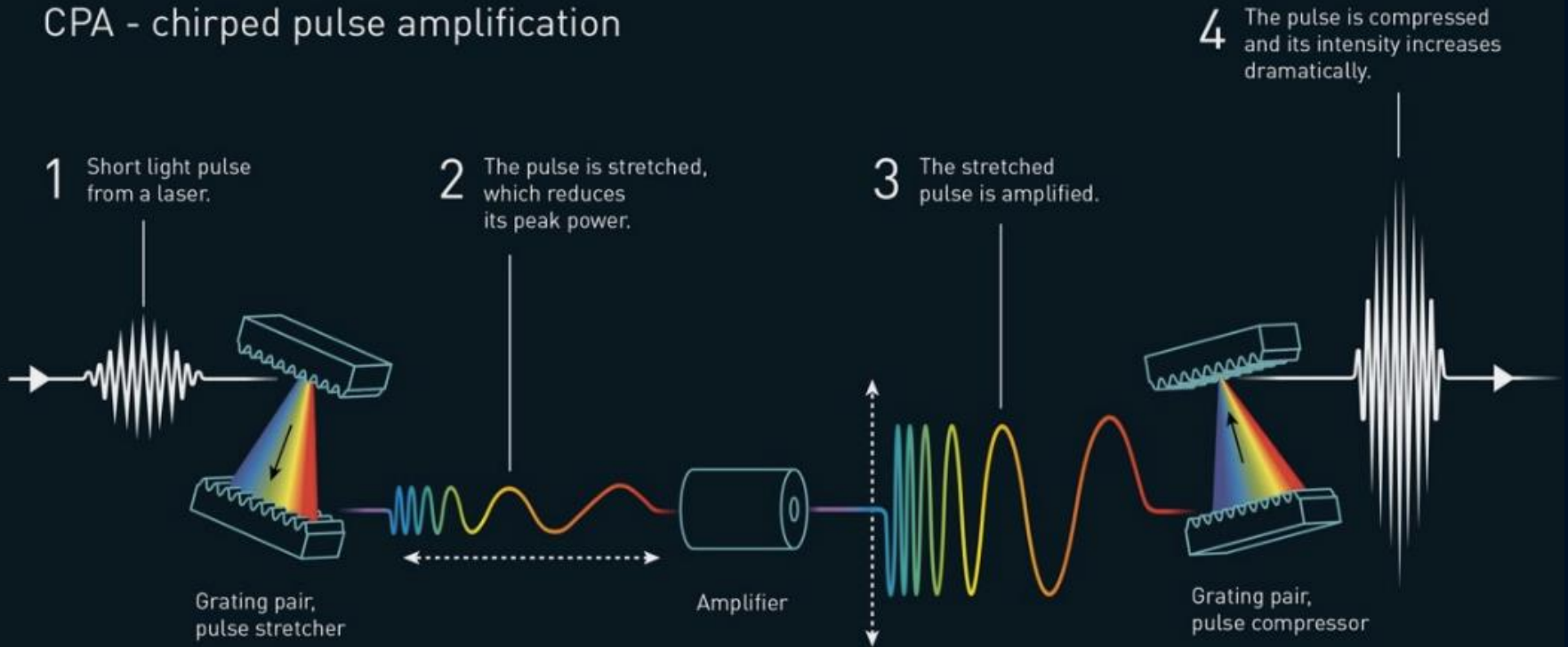


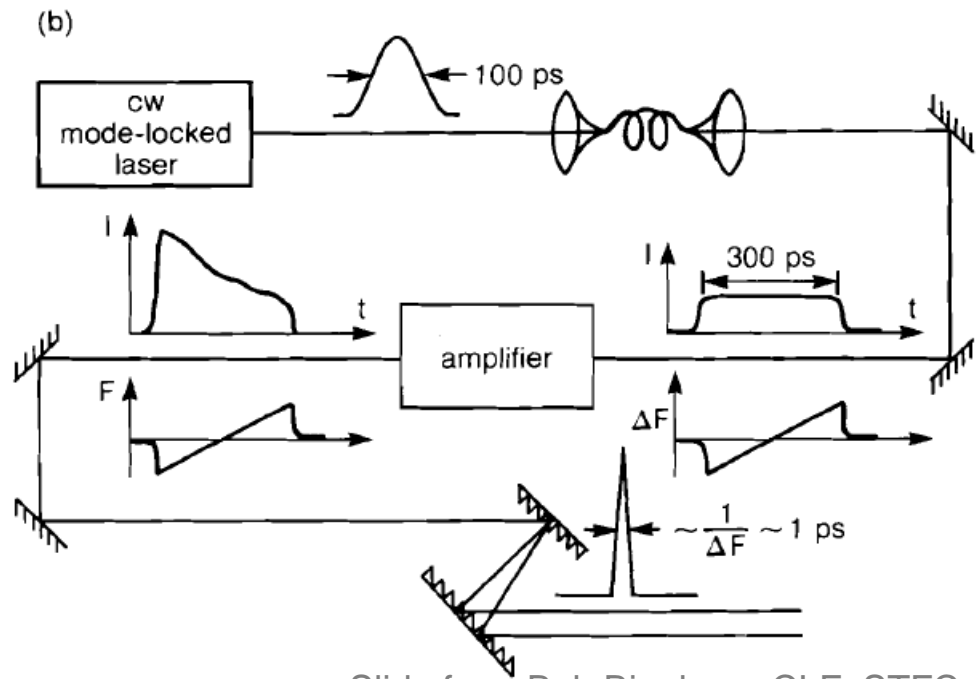
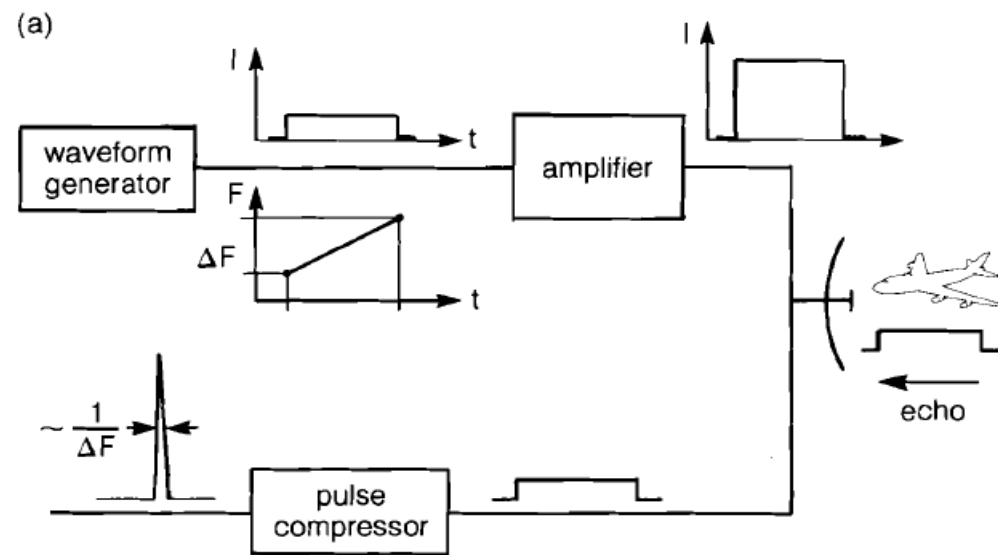
Image: Johan Jarnestad/Royal Swedish Academy of Sciences

Is there an inventive principle here that is used in other areas?

# Chirped pulse amplification from Radar to Lasers (CPA)

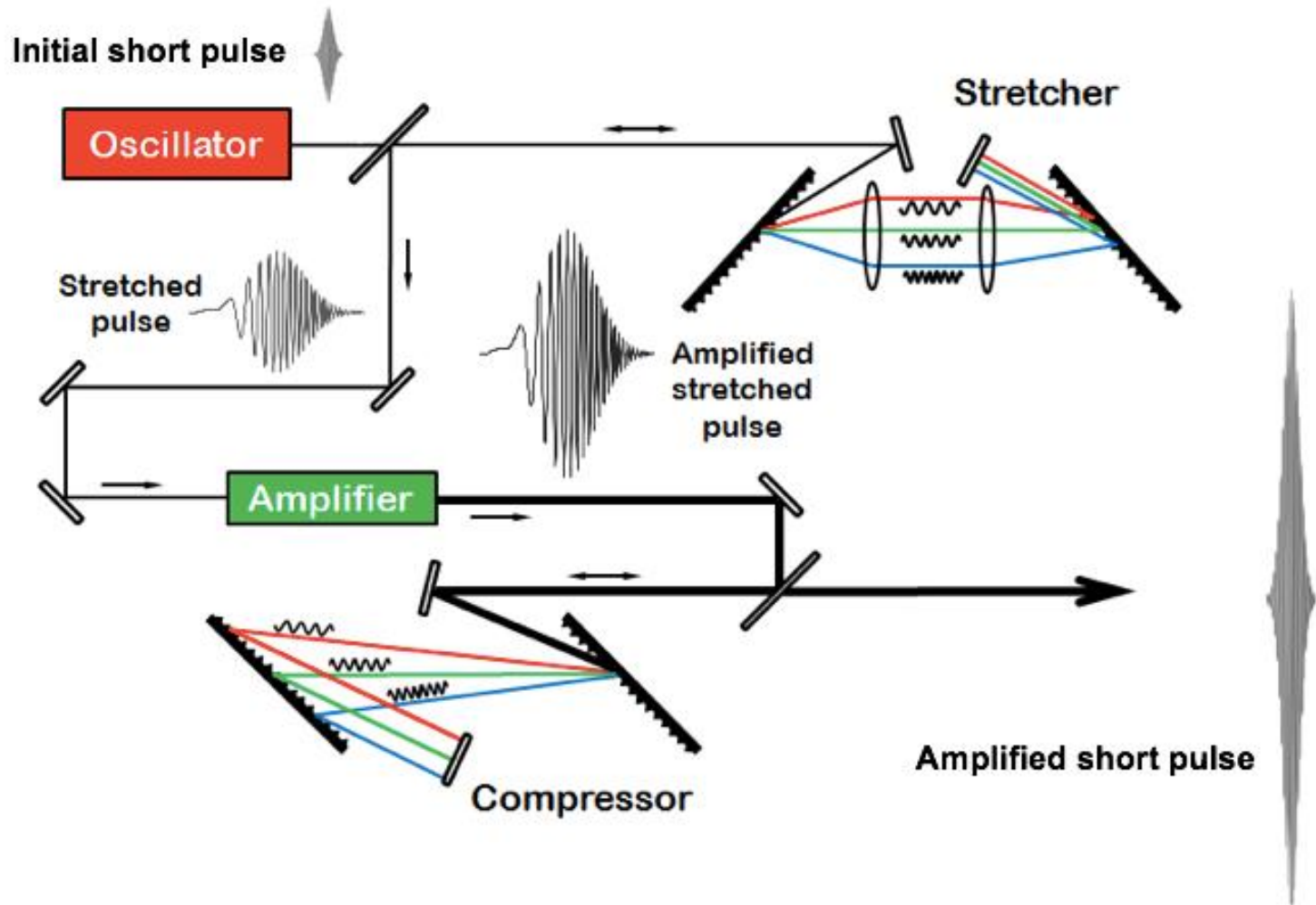
Diagrams taken from early LLE review  
On the comparison between RADAR  
chirped pulse amplification from the  
1940 onwards upper diagram and  
laser chirped pulse amplification bottom  
diagram carried out at the  
LLE Rochester.

LLE Review 25 3B 1985.



Slide from Bob Bingham, CLF, STFC

# CPA – Chirped Pulse Amplification

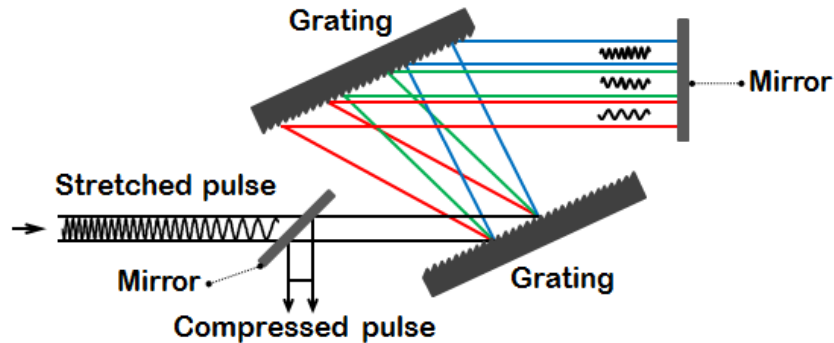


- CPA: pulse stretching and compressing using time-energy correlation

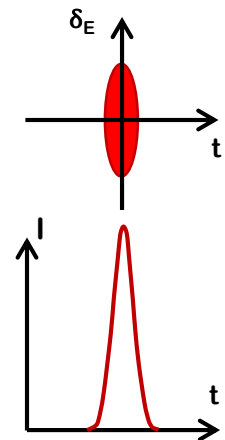
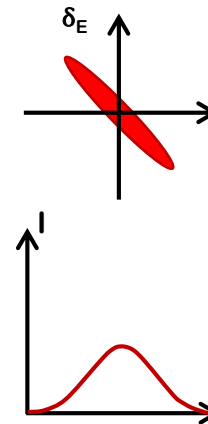
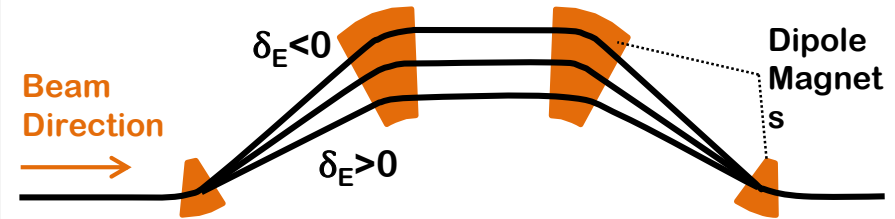
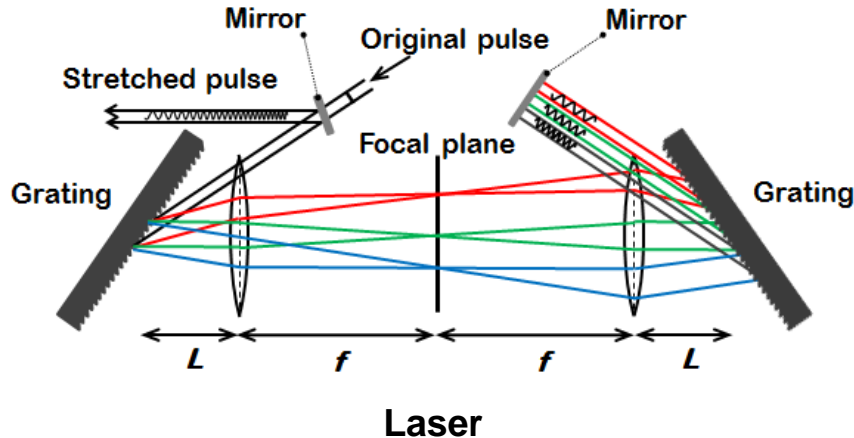


# Beam and laser bunch/pulse compression

## Compressor



## Stretcher



Beam

**Both in laser and beam use z-Energy correlation to compress/stretch the pulse – this seem to be one more general inventive principle – connecting laser CPA and particle accelerators**

# Radar and CPA

Evolution from chirped pulse amplification in radars to lasers, and connection of CPA with bunch compression in accelerators, seem to demonstrate that ...

The same Problems and Inventions appear again and again but in different areas of science and technology

# Let's now talk about inventiveness

We have seen several examples of what seem to be some general inventive principles and evolution laws

It happens that many of such inventive principles and evolution laws are known for half a century and widely used...

...but so far not in science

Let's discuss methodologies of inventiveness



# How to invent more efficiently?

Forbes



**Haydn Shaughnessy**, Contributor

I write about enterprise innovation.

TECH | 3/07/2013 @ 6:32AM | 72,570 views

## What Makes Samsung Such An Innovative Company?

*What was that magic bullet?  
...wait a few slides...*

But it was [REDACTED] that became the bedrock of innovation at Samsung. And it was introduced at Samsung by [REDACTED] whom Samsung had hired into its Seoul Labs in the early 2000s.

In 2003 [REDACTED] led to 50 new patents for Samsung and in 2004 one project alone, a DVD pick-up innovation, saved Samsung over \$100 million. [REDACTED] is now an obligatory skill set if you want to advance within Samsung.

# How to invent – evolution of the methods

- **Brute-force or exhaustive search**
  - consider any possible ideas
- **Brainstorming**
  - psychological method which helps to solve problems and to invent
  - The main feature of brainstorming – separate the process of idea generation from the process of their critical analysis
  - The method of brainstorming did not meet expectations
    - the absence of feedback, which is the power of the method, is simultaneously its handicap, as feedback is needed for development and adjusting of an idea



**Alex Osborn  
(1888 – 1966)**

The author of  
brainstorming Alex  
Osborn introduced  
the method around  
1950s

# How to invent – evolution of the methods

- **Synectics – improved Brainstorming**

- **Features of Synectics:**

- **Permanent groups for problem solving**

- whose members with time become less sensitive to critics and more efficient in problem solving

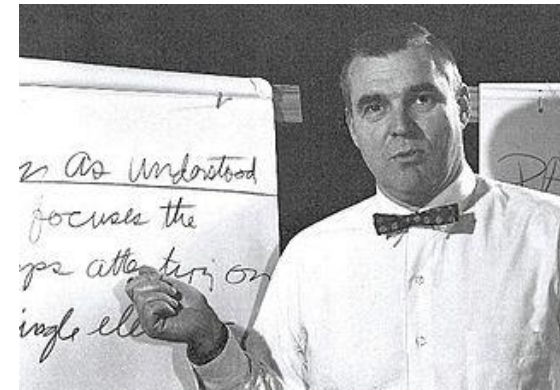
- **Emphasis on the importance to see familiar behind unknown and vice versa**

- which should help to solve a new and unfamiliar problem with known methods

- **Importance of a fresh view at a problem**

- **Use of analogies to generate fresh view**

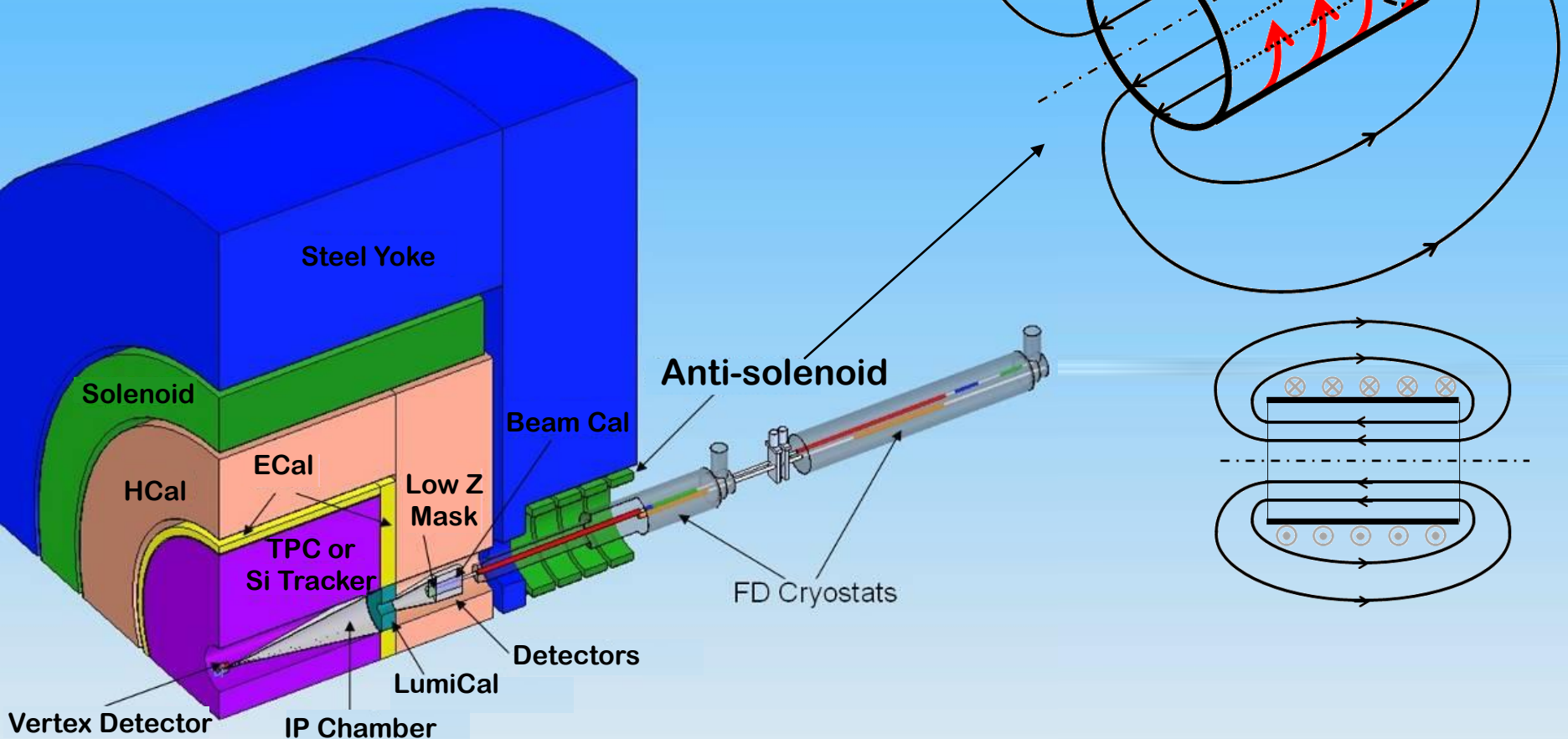
- direct (any analogy, e.g. from nature);
    - empathic (attempting to look at the problem identifying yourself with the object);
    - symbolic (finding a short symbolic description of the problem and the object);
    - metaphorical (describing the problem in terms of fairy-tales and legends);



Attempting to improve brainstorming, George Prince (on the photo) and William Gordon introduced the method of Synectics

# ILC Interaction Region...

Anti-solenoid is needed, but it would be pulled into the main solenoid with humongous force



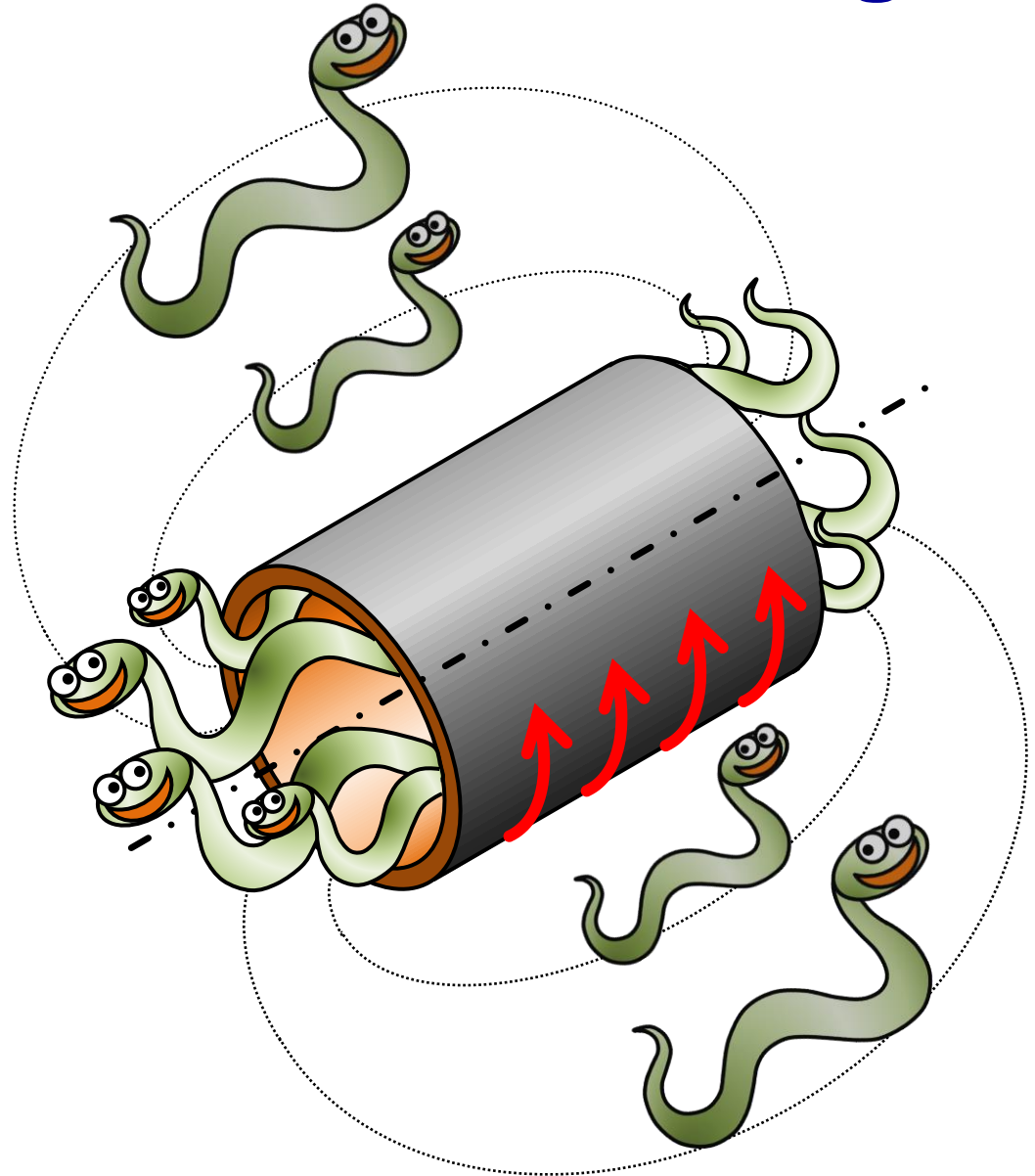


# Synectics : use of analogies

## – Use of analogies to generate fresh view

- ...
- empathic (attempting to look at the problem identifying yourself with the object);
- ...
- metaphorical (describing the problem in terms of fairy-tales and legends);

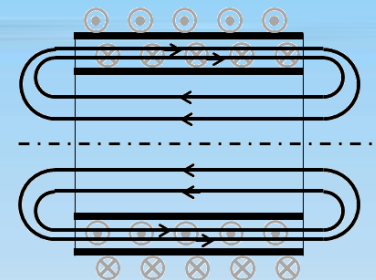
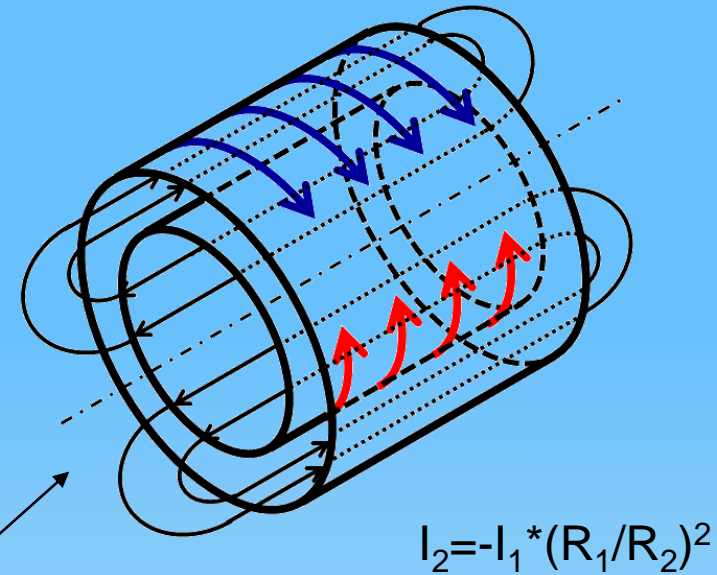
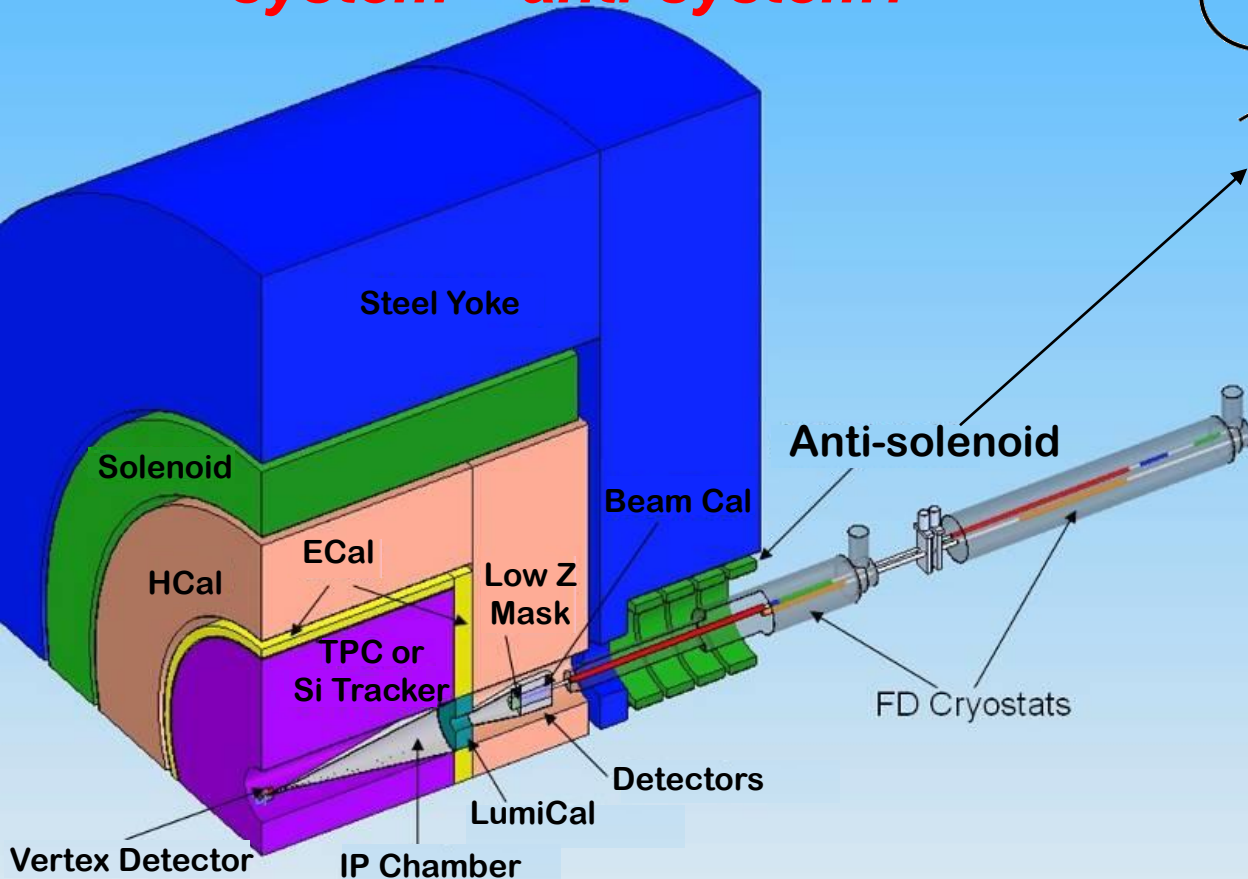
**How to contain the magnetic flux?**



# ILC Interaction Region...

Dual anti-solenoid is used, to cancel its external field – this makes it force-neutral

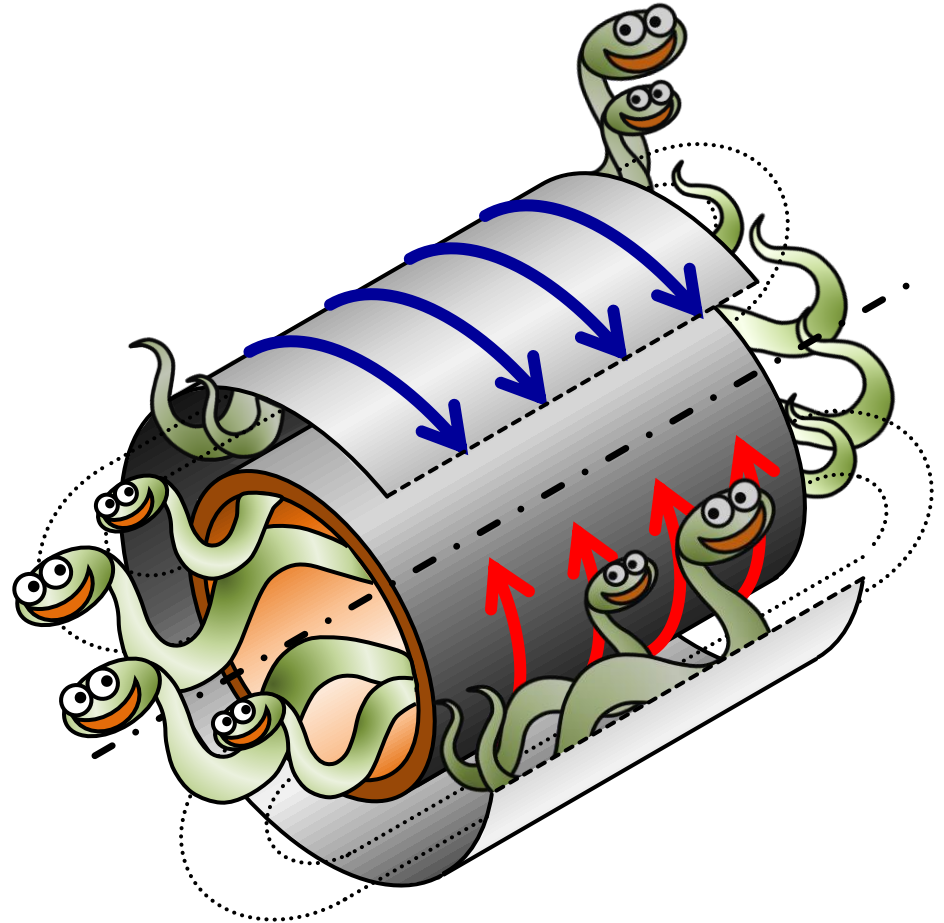
*What if we try nested doll & system – anti-system?*



# Synectics and use of analogies

- Use of analogies to generate fresh view

- ...
- empathic  
(attempting to look at the problem identifying yourself with the object);
- ...
- **metaphorical**  
(describing the problem in terms of **fairy-tales and legends**);



## Synectics does not help

# How to invent – evolution of the methods

- **Synectics is the limit of what can be achieved, maintaining the brute force method of exhaustive search**
  - **Indeed, why one would employ analogies and metaphors and irrational factors in order to come to a natural and universal formula “the action has to happen itself”, ideally using resources already existing in the system**



# How to invent – evolution of the methods

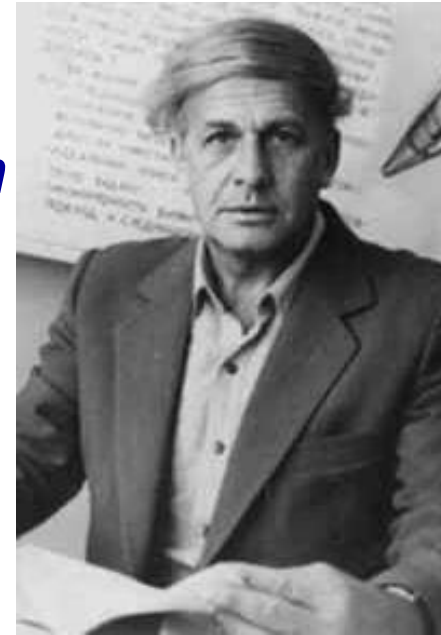
- Synectics is the limit of what can be achieved, maintaining the brute force method of exhaustive search
  - Indeed, why one would employ analogies and metaphors and irrational factors in order to come to a **natural and universal formula “the action has to happen itself”, ideally using resources already existing in the system**
  - **One should aim at such formula in any invention, armed with precise identification of physical contradiction – essence of TRIZ**



Illustration by Sasha Seraia

# How to invent – TRIZ

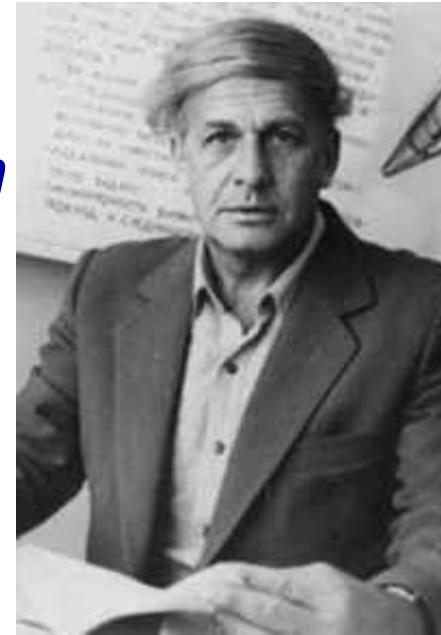
- **TRIZ – *Teoria Reshenia Izobretatelskikh Zadach* = Theory of Inventive Problem Solving**
- **Developed by Genrikh Altshuller in SU**
  - **Work in patent office in 1946**
  - **Analysed many patents, discovered patterns and identified what makes a patent successful**
  - **Formulated TRIZ in 1956-1985**



Genrikh Altshuller  
(aka Altov) 1926-1998

# How to invent – TRIZ

- **TRIZ – *Teoria Reshenia Izobretatelskikh Zadach* = Theory of Inventive Problem Solving**
- **Developed by Genrikh Altshuller in SU**
  - Work in patent office in 1946
  - Analysed many patents, discovered patterns and identified what makes a patent successful
  - Formulated TRIZ in 1956-1985
- **Four key discoveries of TRIZ:**
  - **The same Problems and Solutions appear again and again but in different industries**
  - **There is a recognisable Technological Evolution path for all industries**
  - **Innovative patents (23% of total) used science/engineering theories outside their own area/industry**
  - **An Innovative Patent uncovers and solves contradictions**



Genrikh Altshuller  
(aka Altov) 1926-1998

# How to invent more efficiently?

Forbes



**Haydn Shaughnessy**, Contributor

I write about enterprise innovation.

TECH | 3/07/2013 @ 6:32AM | 72,570 views

## What Makes Samsung Such An Innovative Company?

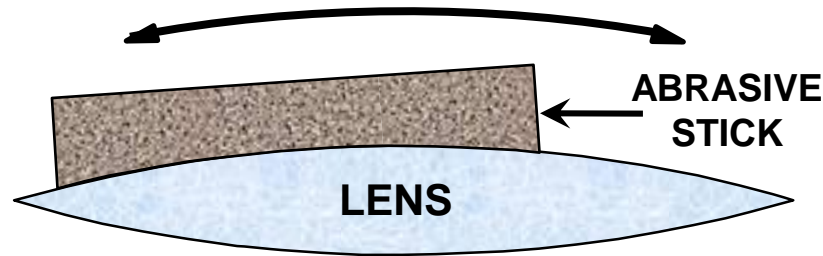
*Why are we interested in this  
in relation to science?  
...wait a few more slides...*

But it was **TRIZ** that became the bedrock of innovation at Samsung. And it was introduced at Samsung by **Russian engineers** whom Samsung had hired into its Seoul Labs in the early 2000s.

In 2003 **TRIZ** led to 50 new patents for Samsung and in 2004 one project alone, a DVD pick-up innovation, saved Samsung over \$100 million. **TRIZ** is now an obligatory skill set if you want to advance within Samsung.



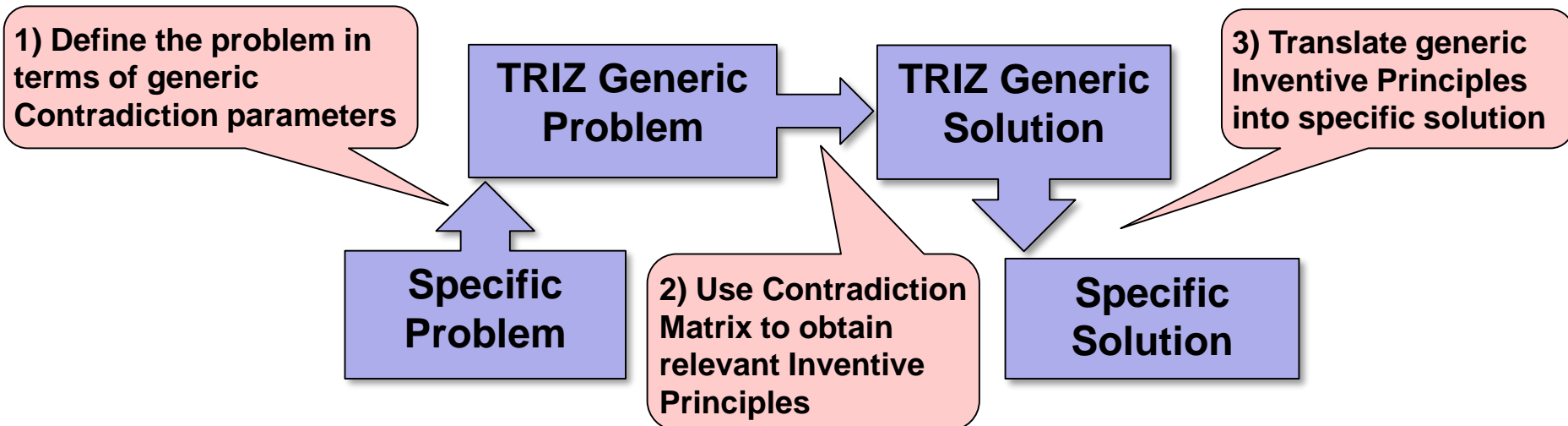
# TRIZ in action - example



Problem: Lens polished – heat generated. Heat degrades optical properties. Existing cooling methods ineffective, as cannot achieve uniform cooling at each abrasive particle

To be improved: **SPEED**, What gets worse: **TEMPERATURE**

Has anyone else solved such contradiction?



Example: following J.Scanlan, School of Engineering Sciences, Univ. of Southampton

# Elements of TRIZ contradiction matrix

1. Weight of moving object
2. Weight of stationary object
3. Length of moving object
4. Length of stationary object
5. Area of moving object
6. Area of stationary object
7. Volume of moving object
8. Volume of stationary object
9. Speed
10. Force (Intensity)
11. Stress or pressure
12. Shape
13. Stability of the object
14. Strength
15. Durability of moving object
16. Durability of non moving object
17. Temperature
18. Illumination intensity
19. Use of energy by moving object
20. Use of energy by stationary object

21. Power
22. Loss of Energy
23. Loss of substance
24. Loss of Information
25. Loss of Time
26. Quantity of substance/the
27. Reliability
28. Measurement accuracy
29. Manufacturing precision
30. Object-affected harmful
31. Object-generated harmful
32. Ease of manufacture
33. Ease of operation
34. Ease of repair
35. Adaptability or versatility
36. Device complexity
37. Difficulty of detecting
38. Extent of automation
39. Productivity

**Only 39 Matrix parameters!!!**

# TRIZ Inventive Principles

1. Segmentation
2. Taking out
3. Local quality
4. Asymmetry
5. Merging
6. Universality
7. Russian dolls
8. Anti-weight
9. Preliminary anti-action
10. Preliminary action
11. Beforehand cushioning
12. Equipotentiality
13. "The other way round"
14. Spheroidality - Curvature
15. Dynamics
16. Partial or excessive actions
17. Another dimension
18. Mechanical vibration
19. Periodic action
20. Continuity of useful action

21. Skipping
22. Blessing in disguise
23. Feedback
24. Intermediary
25. Self-service
26. Copying
27. Cheap short-lived objects
28. Mechanics substitution
29. Pneumatics and hydraulics
30. Flexible shells and thin films
31. Porous materials
32. Colour changes
33. Homogeneity
34. Discarding and recovering
35. Parameter changes
36. Phase transitions
37. Thermal expansion
38. Strong oxidants
39. Inert atmosphere
40. Composite materials

**Only 40 Principles !!!**

# TRIZ Principles and Contradiction matrix

For our example with the lens:

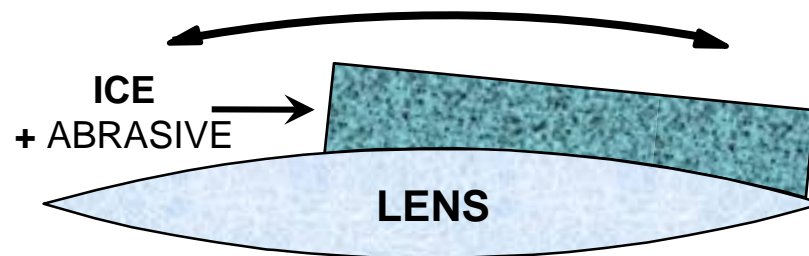
Parameter that deteriorates						
Improving Parameter		...	9. Speed	...	17. Temperature	...
	...					
	9. Speed				2, 28, 30, 36	
	...					
	17. Temperature					
	...					

Suggested Principles that have solved similar Contradictions before



# TRIZ in action - example

- Perform lookup\* of TRIZ Matrix for this contradiction:
    - Improving 9: SPEED without damaging 17: TEMPERATURE
  - Find Principles to solve this contradiction:
    - 2. Taking out
    - 28. Mechanics substitution
    - 30. Flexible shells and thin films
    - 36. Phase transitions
- Use phenomena occurring during phase transitions (e.g. volume changes, loss or absorption of heat, etc.).

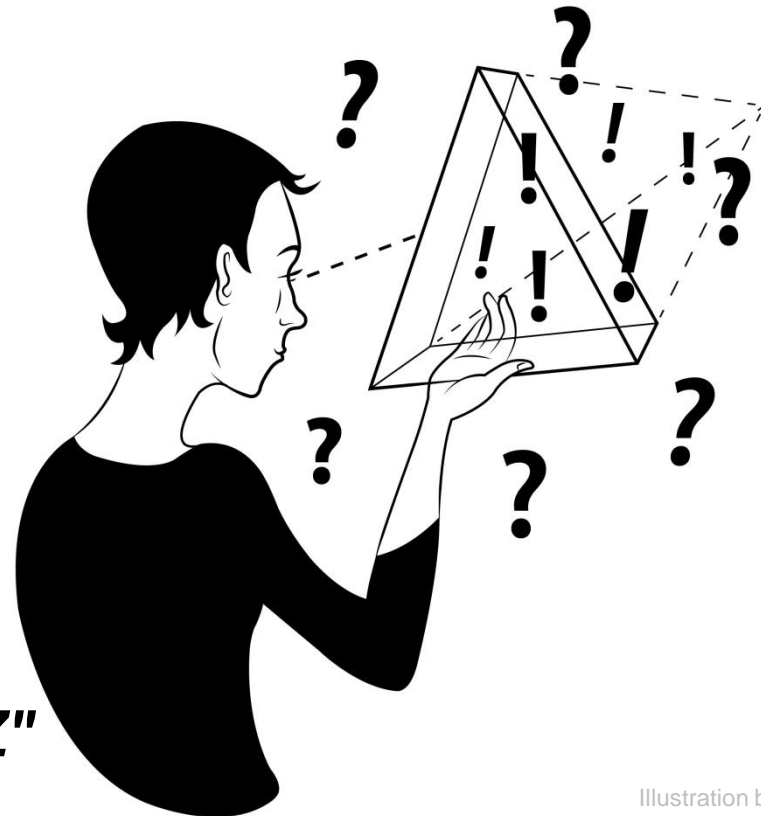


Abrasive + Ice - Inventive Principle 'Phase Transition'

\*) E.g. at <http://www.triz40.com/>

# TRIZ for Universities

Can TRIZ be useful in university education?



*Looking at the world  
"through the prism of TRIZ"*

Illustration by Sasha Seraia

# Can TRIZ be used in university

Yes, and very successfully **education?**

**U.S. Particle Accelerator School**  
Education in Beam Physics and Accelerator Technology

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**Current Program**  
USPAS sponsored by the University of California, Davis  
January 16-27, 2017  
held in Rohnert Park, California  
[View Details >>](#)  
**APPLY NOW**

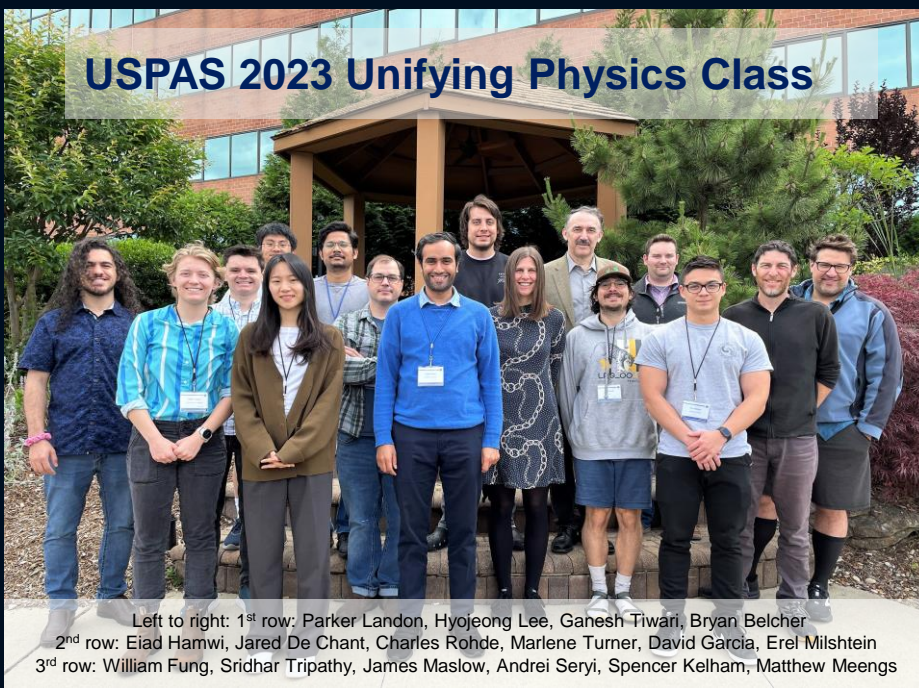
**Next Program**  
USPAS sponsored by Northern Illinois University  
June 12-23, 2017

**USPAS class gets an oral talk at NAPAC 2016**  
Hearty congratulations to all!

A USPAS school project:  
**Compact ring-based X-ray source with on-orbit and on-energy laser-plasma injection**  
Marlene Turner, Auralee Edelen, Andrei Seryi, Jeromy Cheatam, Osip Lishlin, Aakash Ajit Sahai, Brandon Zerbo, Andrew Lajoie, Chun Yan Jonathan Wong, Kai Shih, James Gerity, Gerard Lawler, Kookjin Moon.

Class of graduate students, after one-week course on accelerators, lasers and plasma, and TRIZ, created a novel design and were invited to make a plenary invited presentation at the North American Particle Accelerator conference!

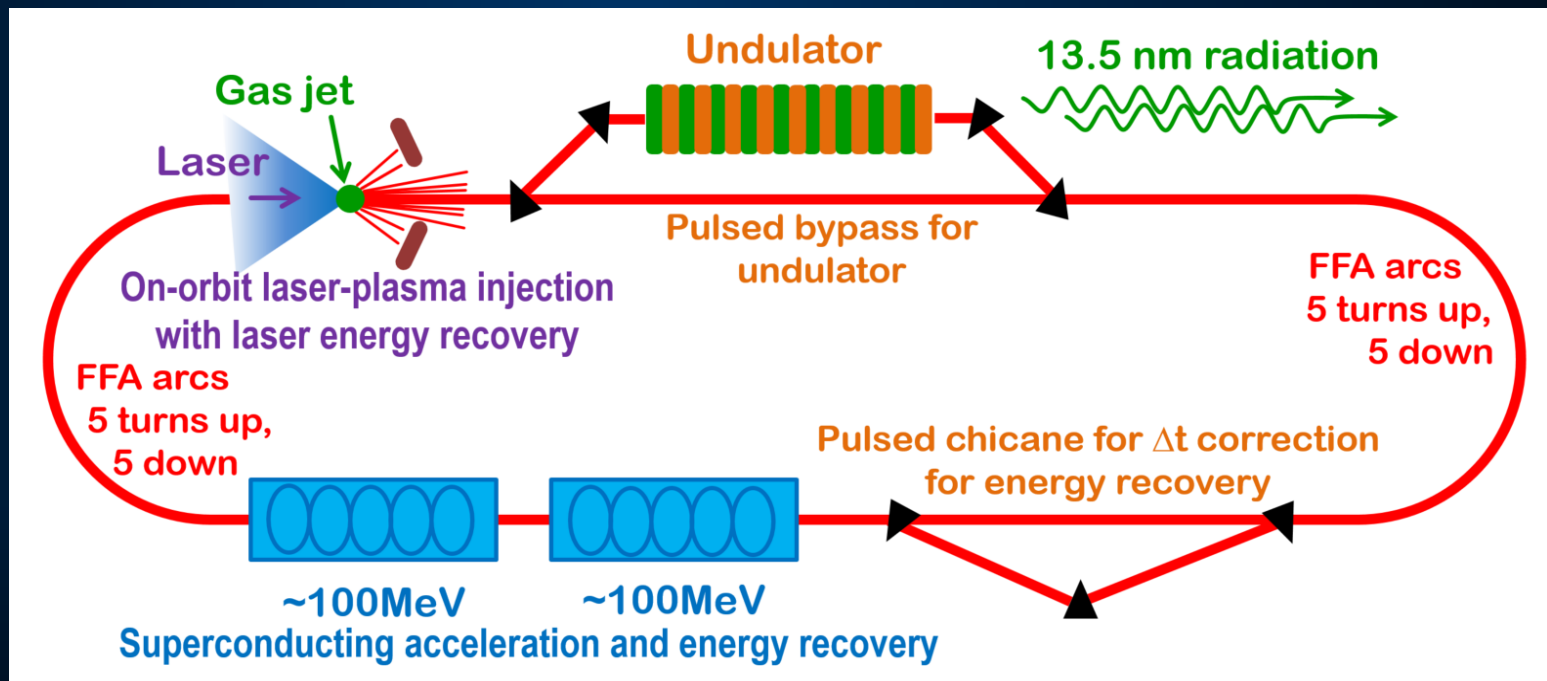
## USPAS 2023 Unifying Physics Class



## Mini-Project 3 - Compact recirculation-FFA-ERL-based 13.5 nm FEL with on-orbit laser-plasma injection with energy recovery

During one-week course, students were able to define main parameters of this conceptual design, making several improvements and inventions on the way

IPAC 2024 <https://doi.org/10.18429/jacow-ipac2024-mopg66>





# Can TRIZ be used in university education?

Yes. However, some critics:

It is not always possible to use prescriptive step-by-step methods with pre-defined tables of contradictions...

Expected critics: why only the first contradiction is addressed? Is it just a linear order correction?  
How can TRIZ help to come to breakthrough ideas like theory of relativity? Etc...

Arguably, the way to teach TRIZ in universities should be different than in industrial companies...

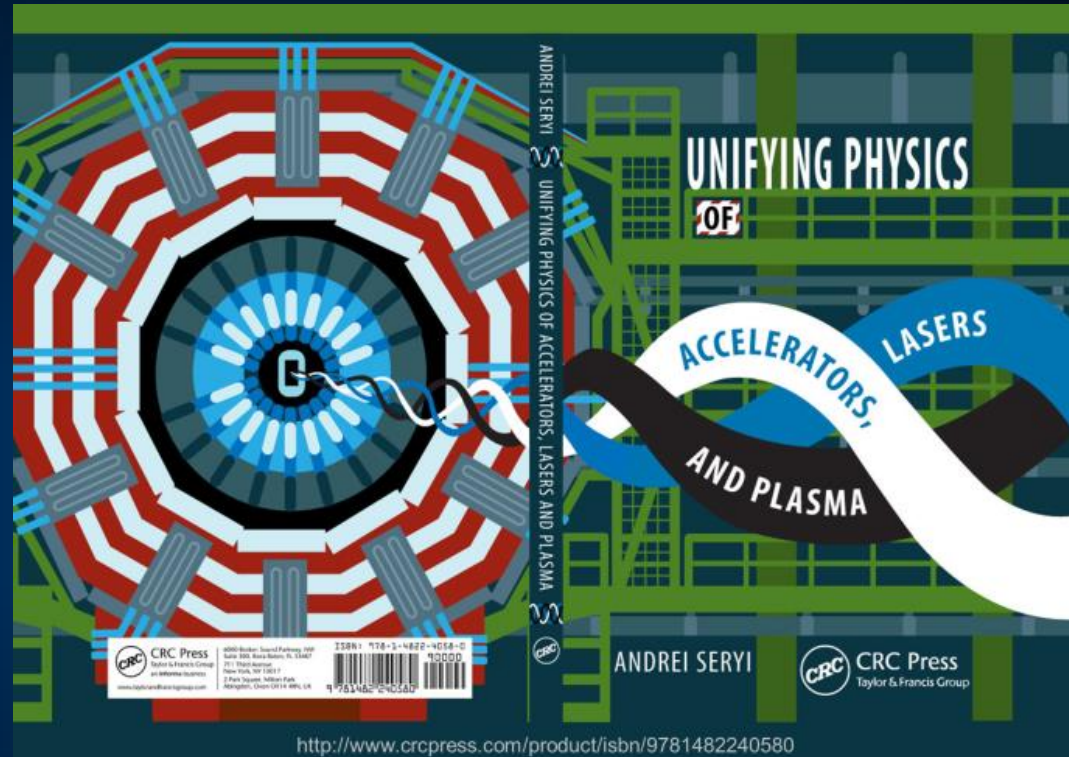
**Maybe, the best way to introduce TRIZ to university is via the process of pro-active re-creation of TRIZ for science**

# TRIZ for universities

Can be very useful

Pro-active re-creation of TRIZ for university is attempted in this book:

- helps to connect different areas
- helps to learn inventiveness methods



However, this was just the first small step...

**Creating TRIZ for science through the process of analysing and re-building TRIZ will also help us to study it proactively**



**Major components of TRIZ that should be kept for applications to university education (in extended & re-defined shape) are, to start with:**

- inventive principles**
- laws of evolution of systems**

# 40 inventive principles in illustrations

- One can find many illustrations of inventive principles based on engineering examples
- On the next pages you will find illustrations based on **accelerator science and some other areas of science**
  - You will notice that some of the standard definitions of TRIZ principles are re-defined
  - Selected principles will be shown

See more details in:

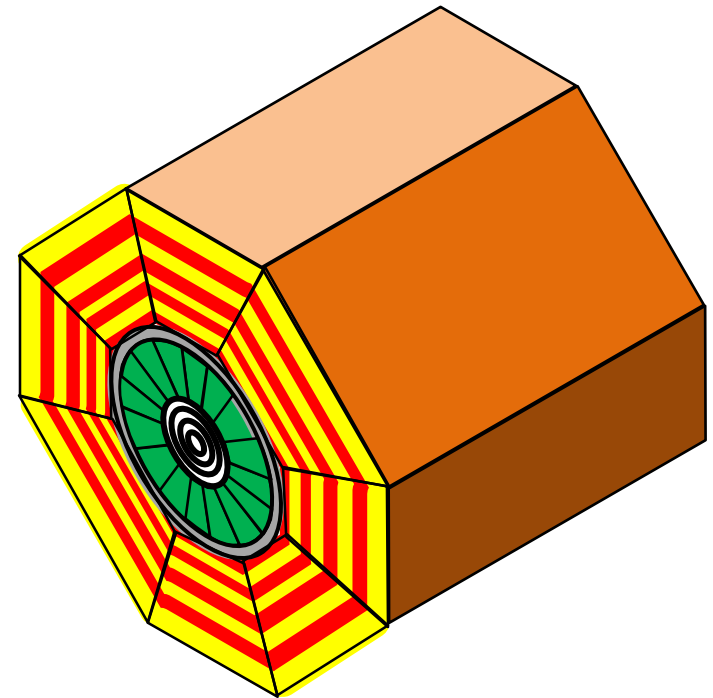
Accelerating Science TRIZ inventive methodology in illustrations  
Elena Seraia, Andrei Seryi

arXiv:1608.00536 [physics.ed-ph]  
<https://arxiv.org/abs/1608.00536>



## 7. Nested doll

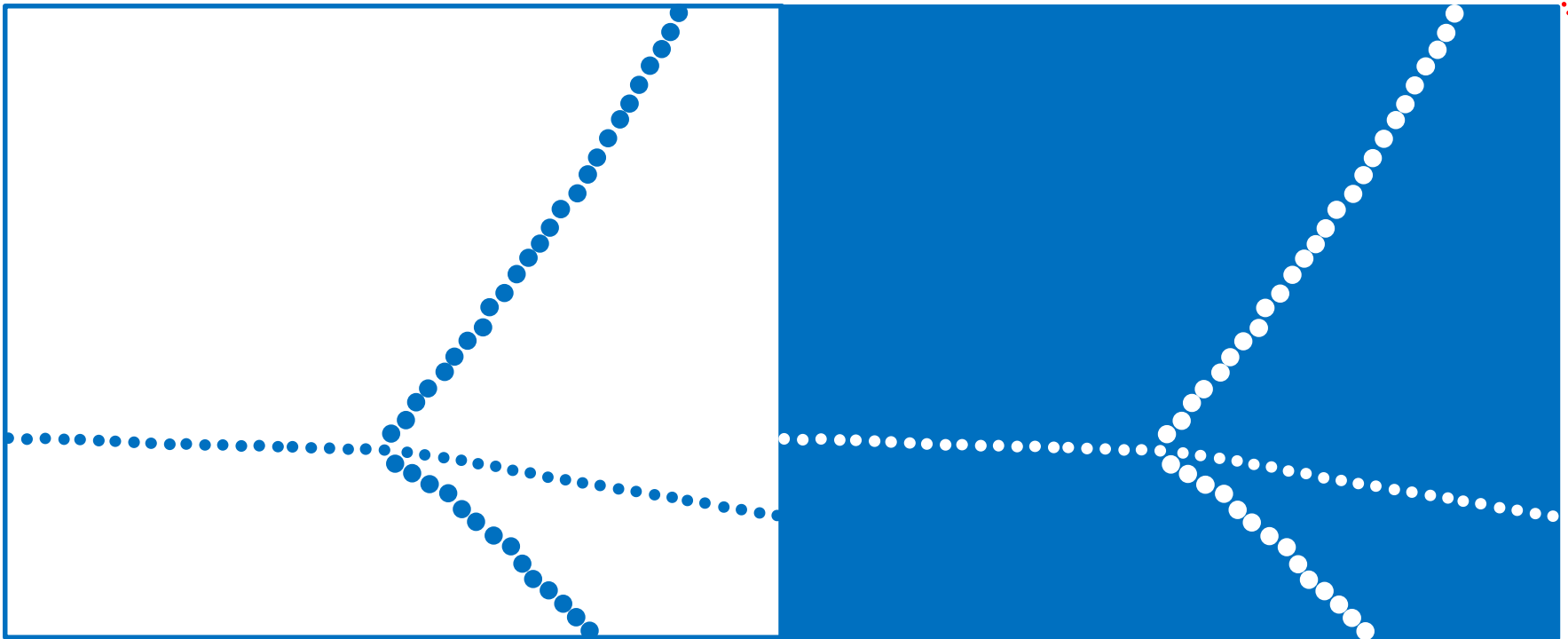
- Place one object inside another; place each object, in turn, inside the other.
  - Make one part pass through a cavity in the other.



High energy physics detectors

## 13. The other way round

- Invert the action(s) used to solve the problem (e.g. instead of cooling an object, heat it).
- Make movable parts (or the external environment) fixed, and fixed parts movable.
  - Turn the object (or process) “upside down”.



Cloud and bubble chambers

## 35. Parameter changes

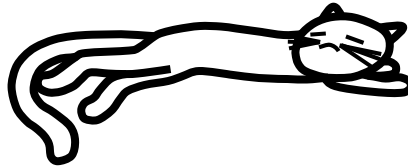
- Change an object's physical state (e.g. to a gas, liquid, or solid.)
  - Change the concentration or consistency.
- Change the degree of flexibility. Change the temperature.
  - Change volume to surface ratio, etc.



15° C



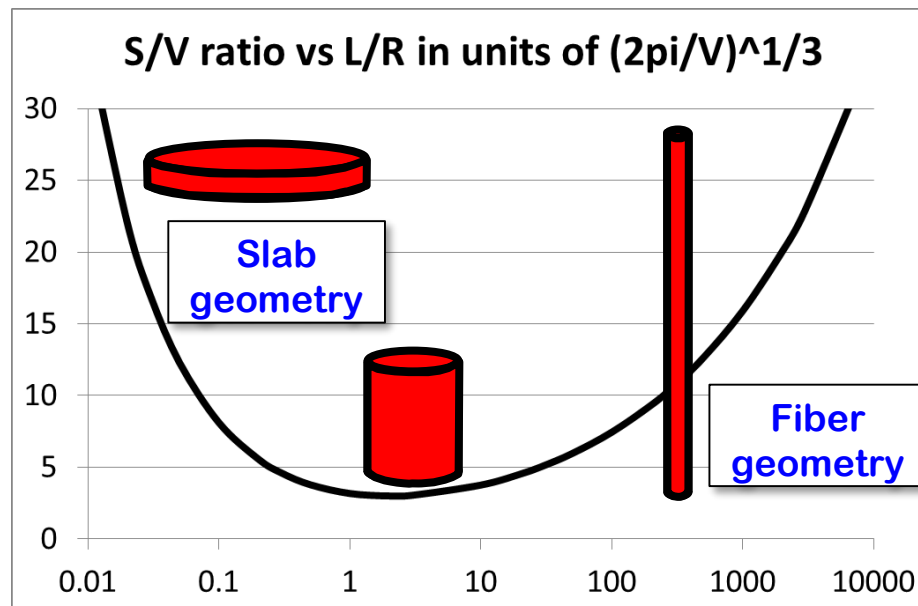
20° C



25° C



40° C



Fiber  
lasers

# Inventive principles and fundamental symmetries

Including change of V/S into the principle “parameter change” connects it to fundamental symmetries, i.e. conservation laws of physics

$$\int_{\Delta V} d^3x \nabla \cdot \mathbf{A} = \oint_{\Delta S} \mathbf{A} \cdot d\mathbf{S}$$

Gauss theorem (divergence theorem): the total sources and sinks of a vectorial quantity, or the integral volume of its divergence, is equal to the net flux of this vectorial quantity across the volume boundary





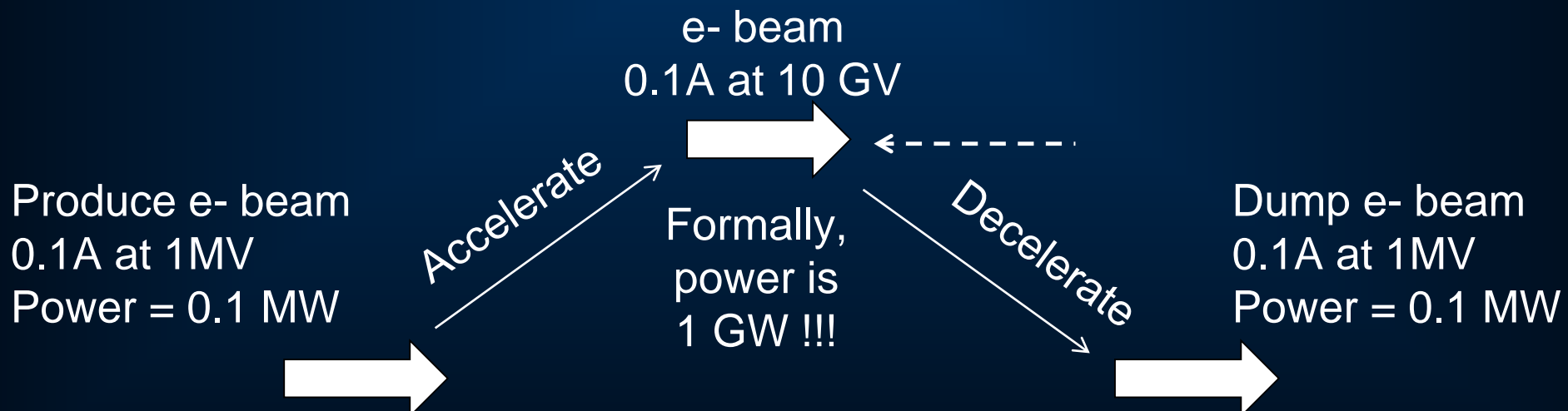
# Further adjustments

What about quantum effects?

Can we (should we) include some inventive principles related to uncertainty principle, quantum entanglement, etc.?

Or what about energy recovery?

The method which enables many modern scientific instruments



# Inventing sci. instruments

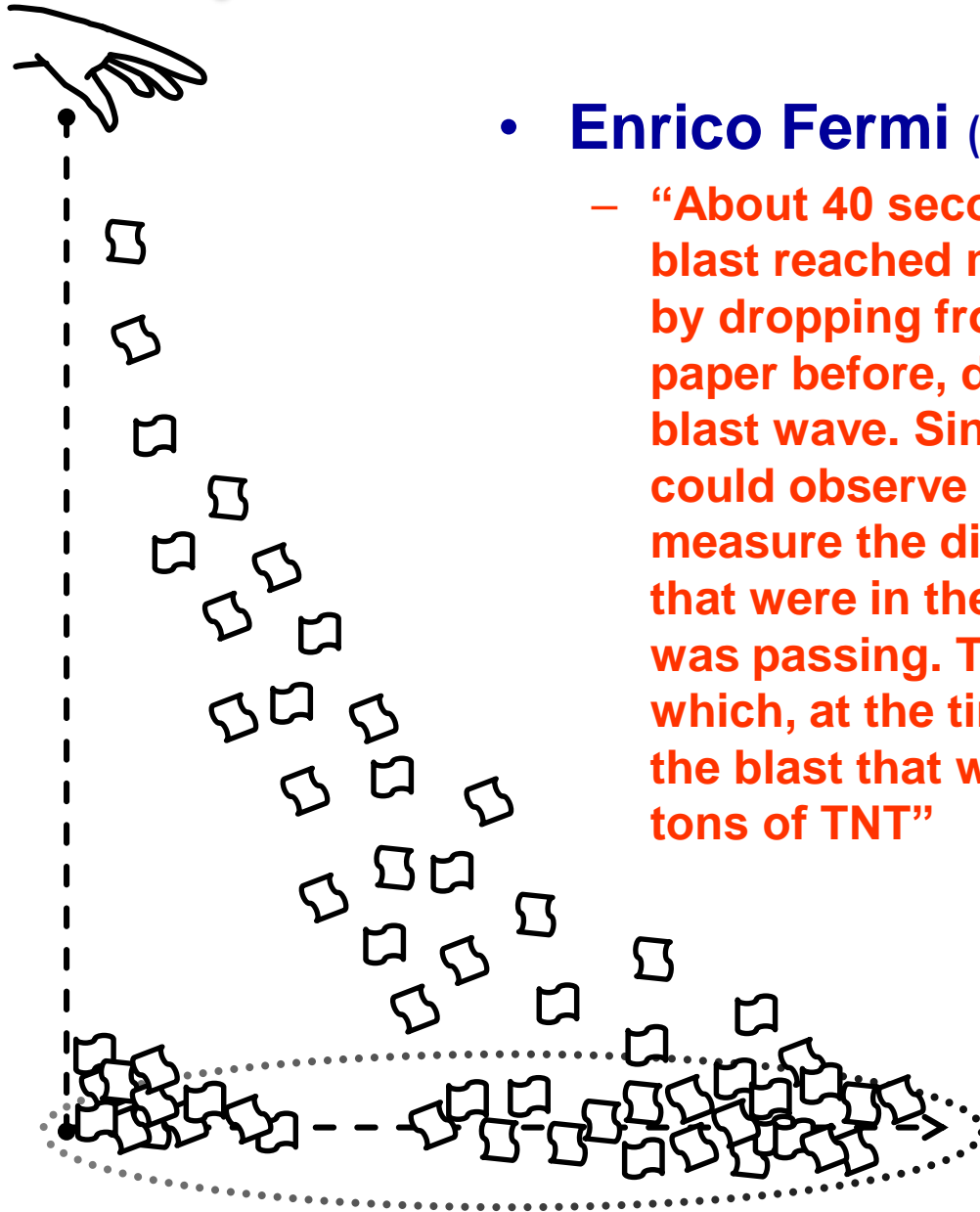
Even if one would define a set of TRIZ inventive principles that would include many approaches used in science, would it be sufficient?

No

What would be missing?

Most importantly – the art of estimations

# Example of back-of-the-envelope estimations



- **Enrico Fermi** (who was ~10 miles from the Trinity test):
  - “About 40 seconds after the explosion the air blast reached me. I tried to estimate its strength by dropping from about six feet small pieces of paper before, during, and after the passage of the blast wave. Since, at the time, there was no wind I could observe very distinctly and actually measure the displacement of the pieces of paper that were in the process of falling while the blast was passing. The shift was about 2 1/2 meters, which, at the time, I estimated to correspond to the blast that would be produced by ten thousand tons of TNT”

# Importance of back-of-the-envelope estimations

- **They are important because**
  - they help to quickly check if your idea is viable - obvious
  - but even more important: they allow to improve cross-disciplinary understanding of scientists from different fields, like biology and physics
- To train yourself on back-of-envelope estimations one can consider various questions
- They do not have to be necessarily serious ;-)
- But the estimates should be based on a physical effect that is considered most important for a given question
- Making an estimate would also allow us to make invention how to improve a system

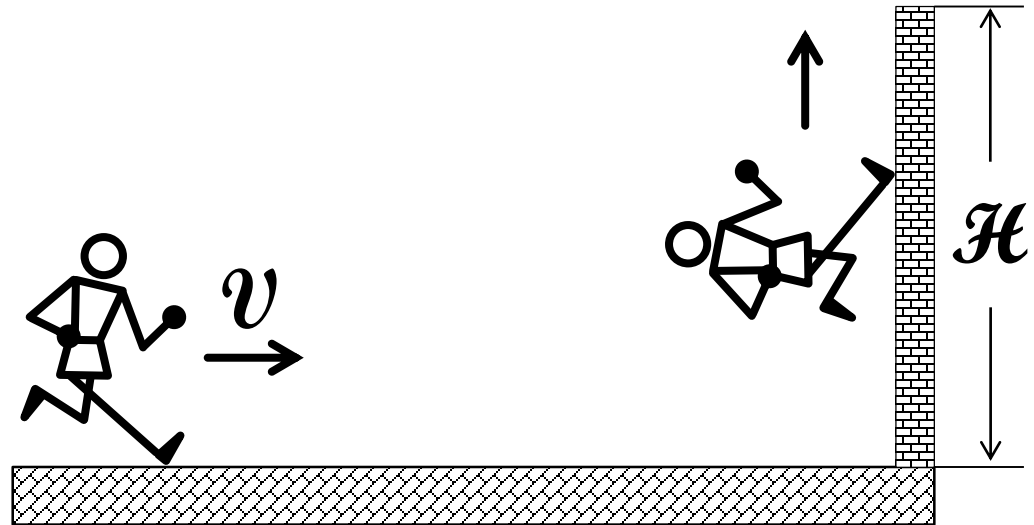


# Importance of back-of-the-envelope estimations

- They are important because
  - they help to understand things better - obvious
  - but even more important: they allow to improve cross-disciplinary understanding of scientists from different fields, like biology and physics

- What speed  $V$  is needed to reach height  $H$  and get to other side of the wall?

;-)



# Importance of back-of-the-envelope estimations

- Estimate by requiring that during run along the wall the head would not fall to lower than half the height of the person...

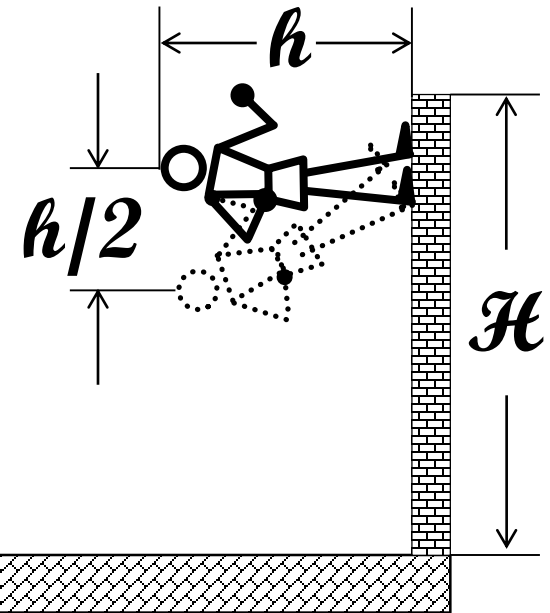
; -)

You will then find

$$V = H (g/h)^{1/2}$$

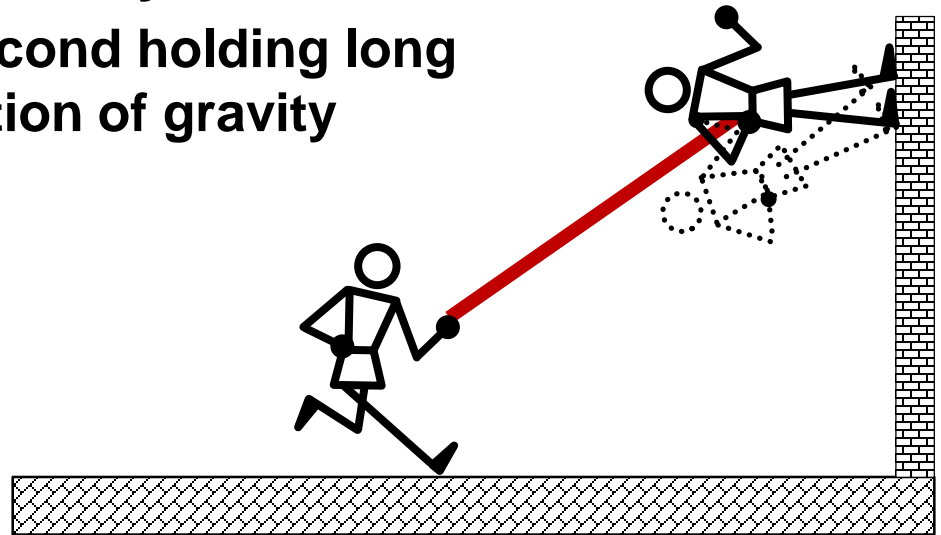
or, for  $H=2\text{m}$

$$V \sim 4.7 \text{ m/s}$$



# Applying an inventive principle

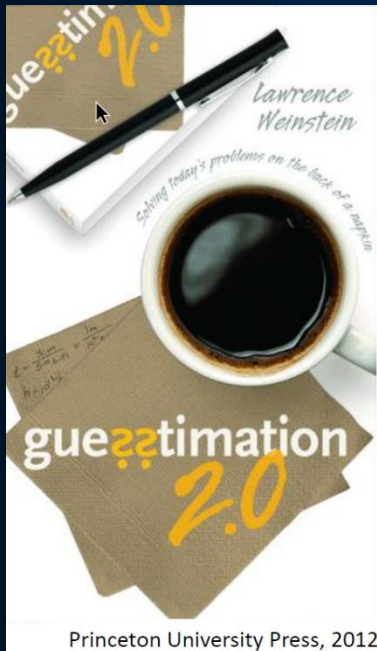
- Once we made the estimation and understood the main challenge, we can solve the problem
- Apply inventive principle
- In this case – #9 Preliminary anti-action
  - Two people running, second holding long stick to compensate action of gravity



# The art of estimating

Enrico Fermi was known for his ability to back-of-envelope estimations

Many leading centers teach the art of estimating from school – e.g. the unique Phys-Math school in Novosibirsk



(Clockwise from top left) The Phys–Math School in Novosibirsk, Russia. Students deriving formulae during a class. Graduates of the 1963 class.

<http://cerncourier.com/cws/article/cern/69910>

There are books that can help to master the art of estimations, e.g. “Guesstimation 2.0” by Lawrence Weinstein (Old Dominion University)

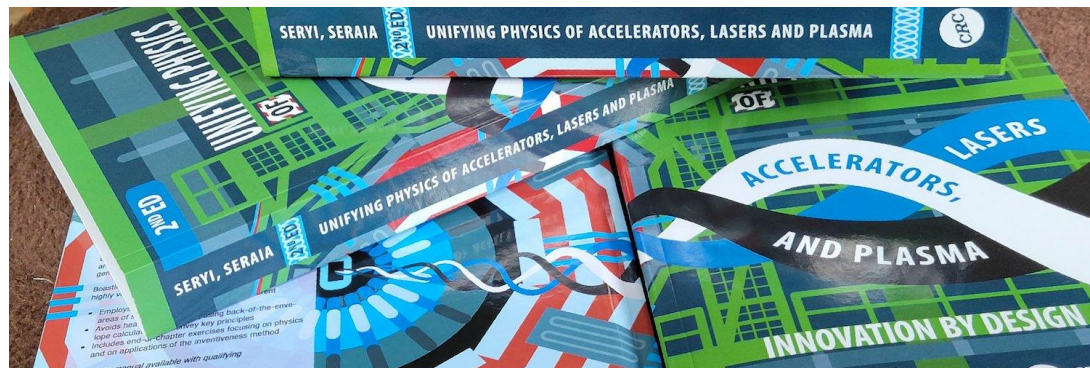
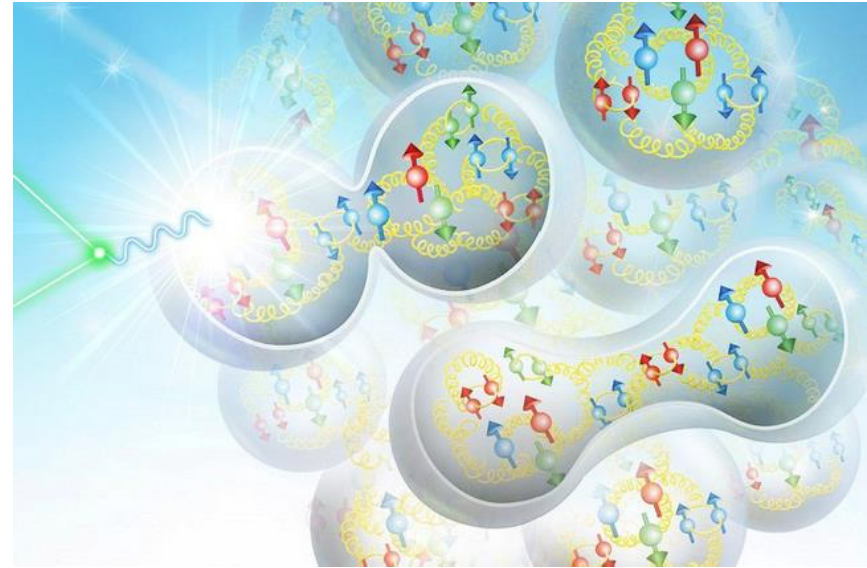
# Estimate how to study AI-Proton

In the book “The Three body problem” by Liu Cixin, civilisation of Alpha Centauri sent to Earth protons with embedded Artificial Intelligence, which penetrated high-energy physics experiments at LHC to spoil the results and stop science progress on Earth.

Imagine that some of AI-Protons were captured, trapped, and Earth scientists wanted to study them.

They assumed that AI is encoded into interaction of gluons in the AI-Protons, and used fixed-target experiment similar to CEBAF, to study the AI-Protons.

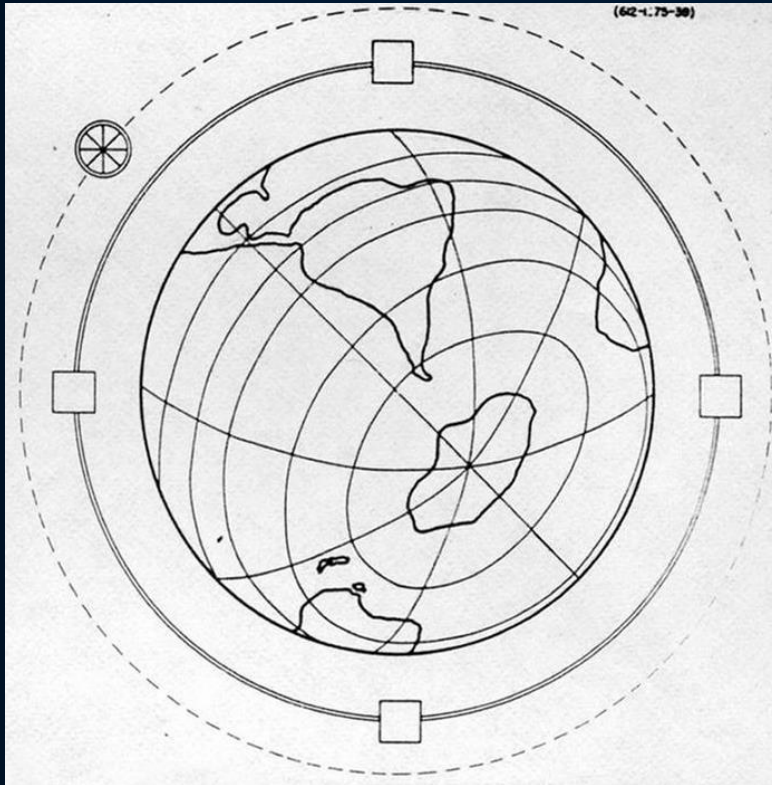
**Estimate the energy of electron beam that Earth scientist needed to use to study the AI-Protons**



*See the solution guidance for this and many other examples in the 2<sup>nd</sup> edition of “Unifying Physics of Accelerators, Lasers and Plasma”*



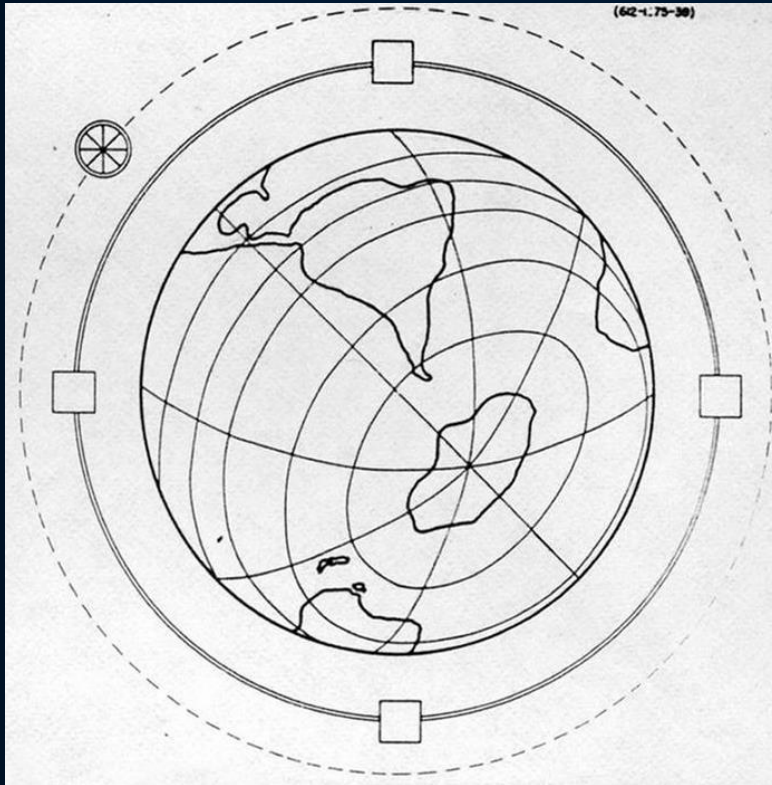
# Evolution of accelerators



In 1954 Enrico Fermi presented, in his lecture, a vision of an accelerator that would encircle the Earth, and would attain highest possible energies

**Would this be indeed a natural evolution of accelerators?**

# Evolution of accelerators



Enrico Fermi Earth accelerator, 1954

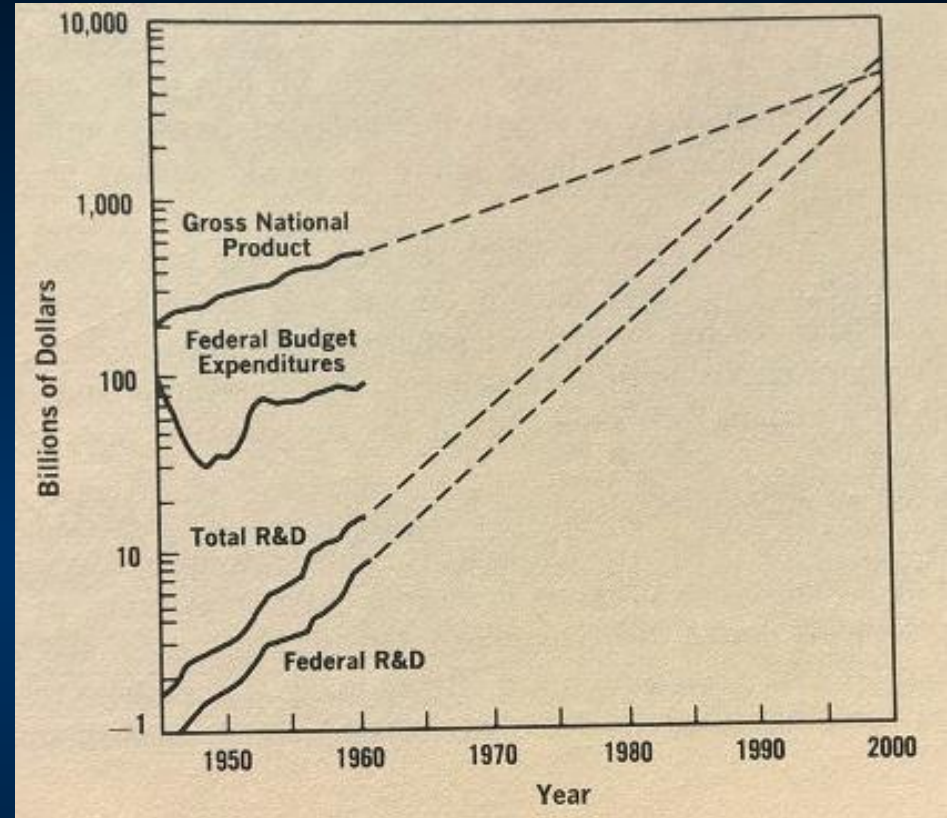
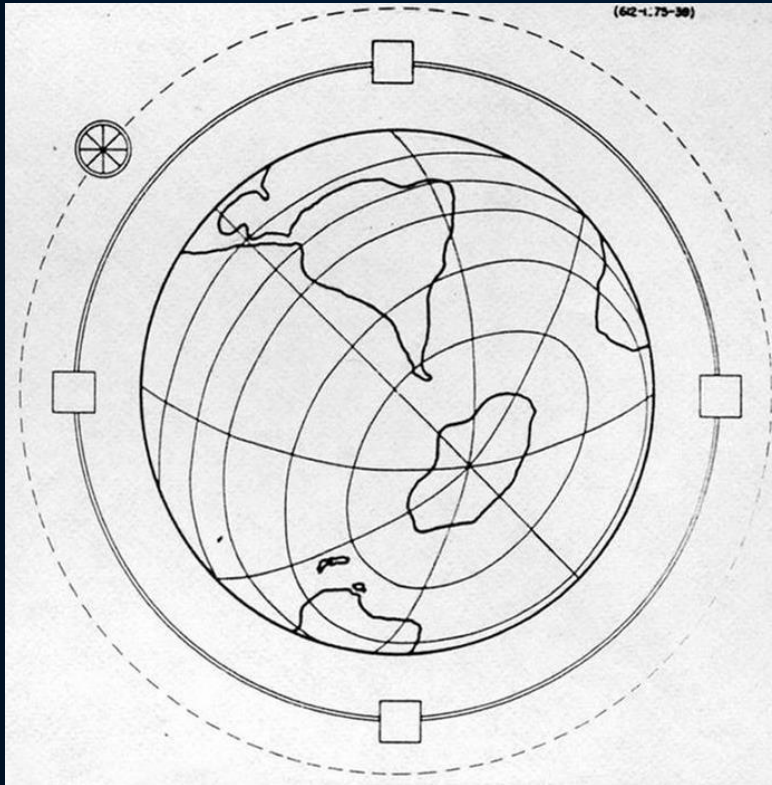


Fig 6, GNP and R&D: Failure of naïve extrapolation.  
"The Year 2000", 1968, K. Herman, A. Wiener

**Would this be indeed a natural evolution of accelerators?**  
**No. And not only because R&D budget is now not growing faster than GDP**

# Evolution of accelerators



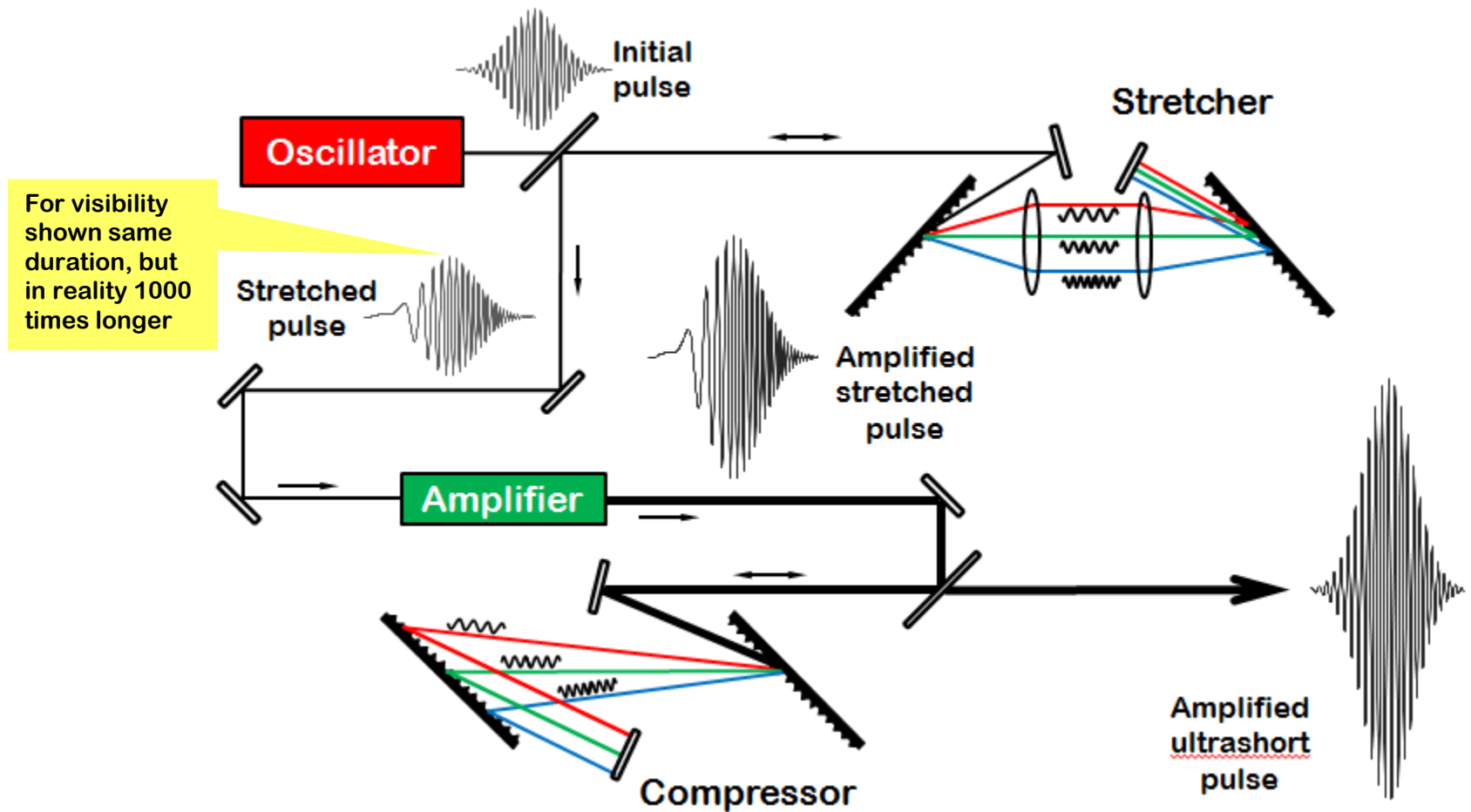
Enrico Fermi Earth accelerator, 1954

Would this be indeed a natural evolution of accelerators?

**No.**

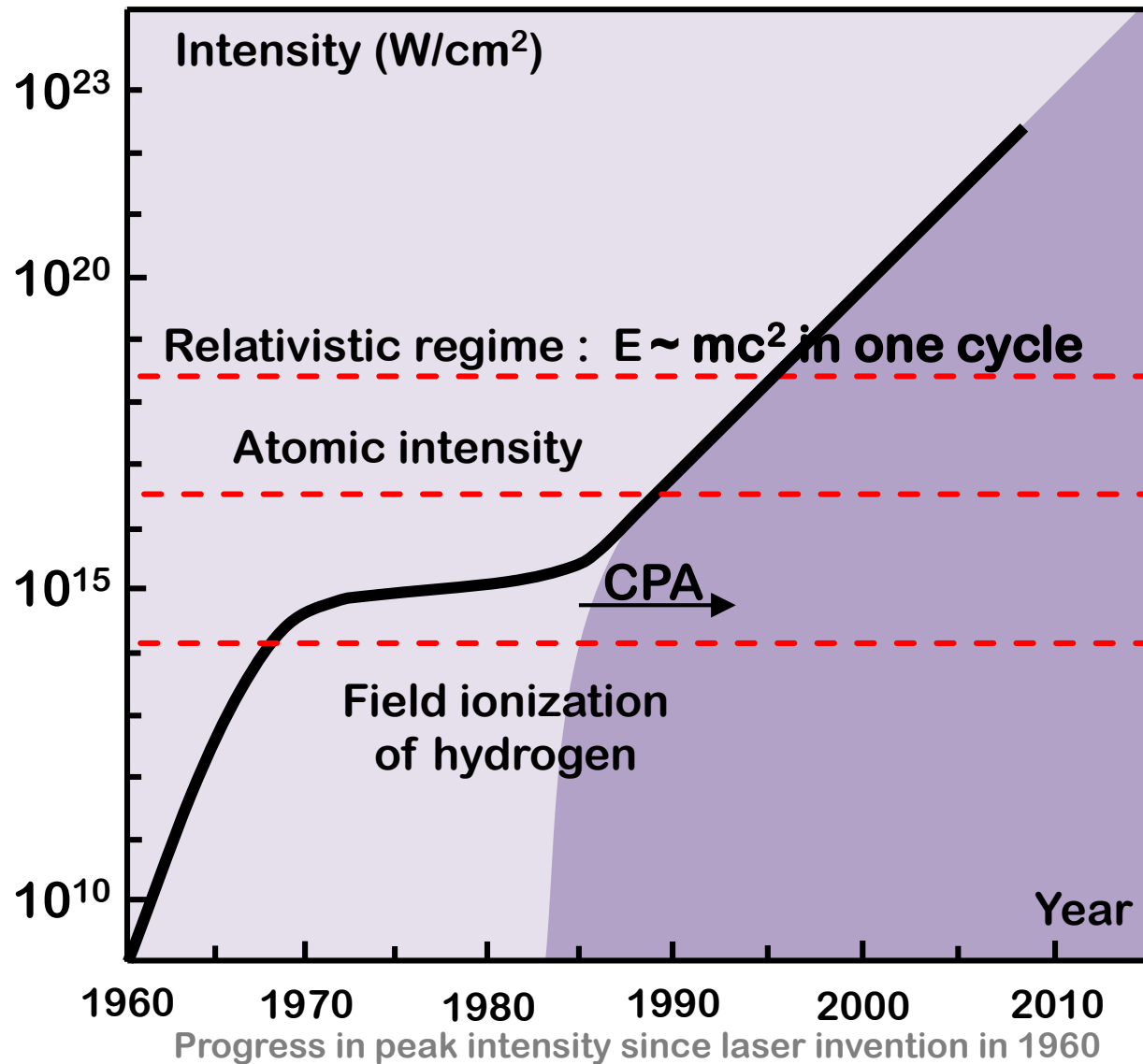
Increasing the size or base of the experiment, to increase precision, with proportional or even faster increase of the cost, would unlikely be accepted by governments and society

# CPA – Chirped Pulse Amplification



- CPA: pulse stretching and compressing using time-energy correlation

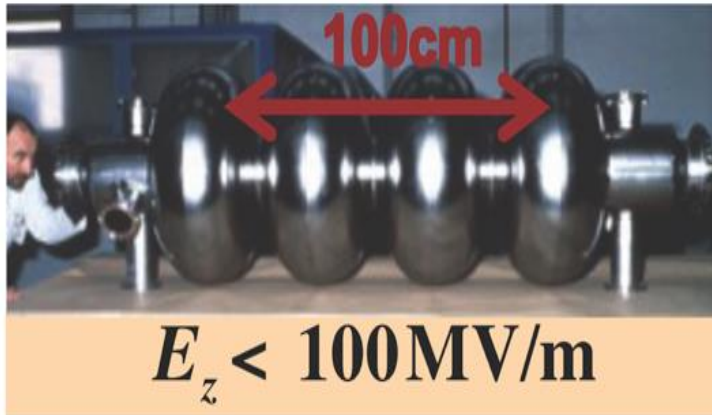
# CPA invention: exponential growth of laser power



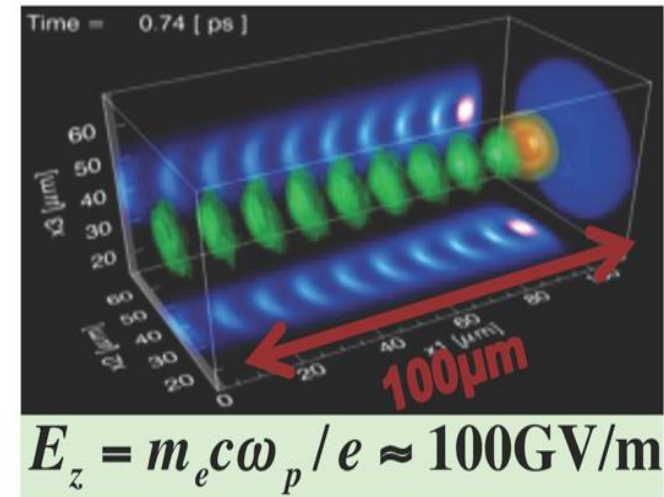
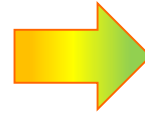


## 27. Cheap short-living objects

- Replace an expensive object with a multiple of inexpensive objects, comprising certain qualities (such as service life, for instance).



Accelerating structure,  
metal (normal conductive  
or super-conductive)



“Accelerating structure”  
produced on-the-fly in  
plasma by laser pulse

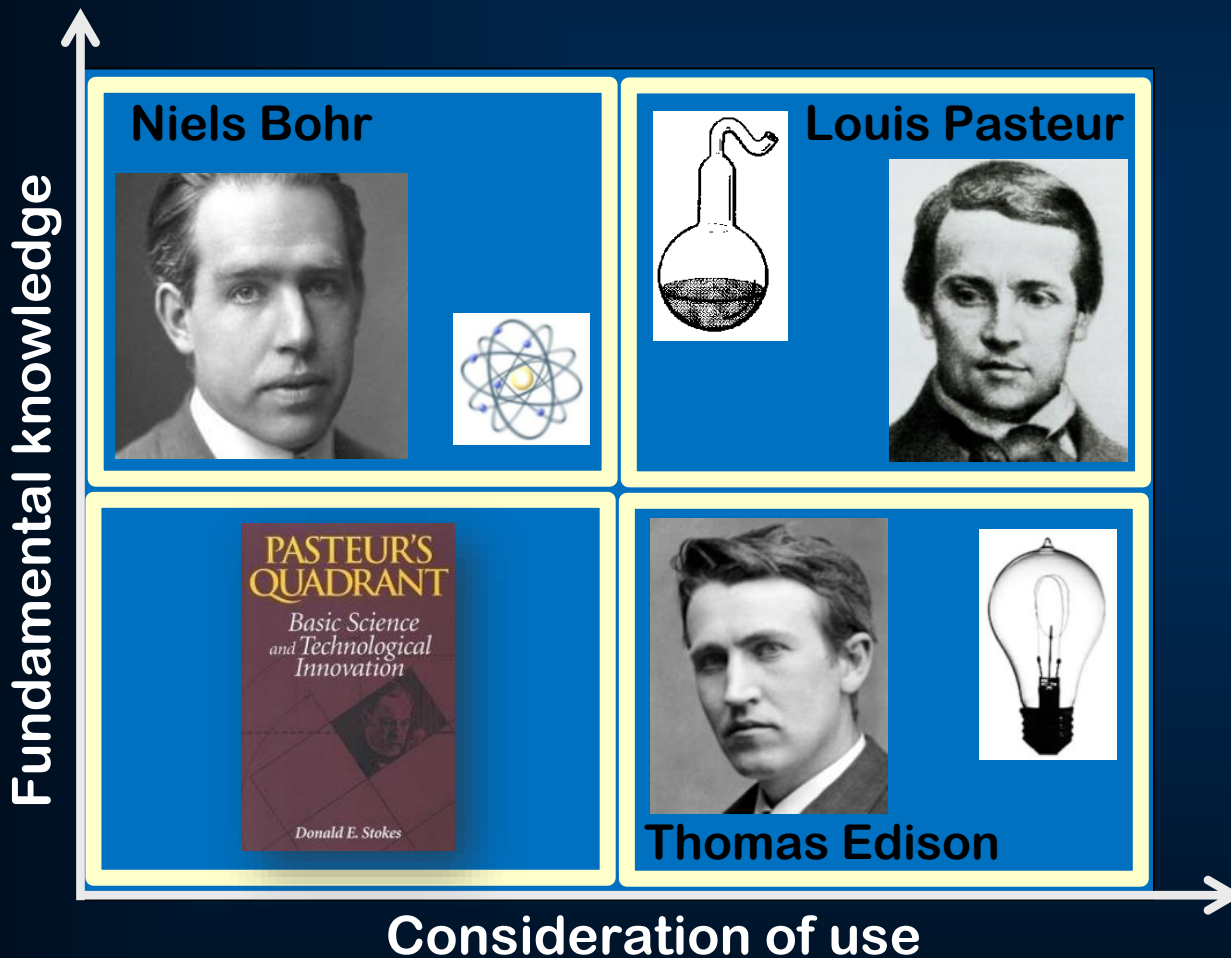
## Plasma acceleration

**Let's now talk about evolution of  
synchrotron light sources and FELs**

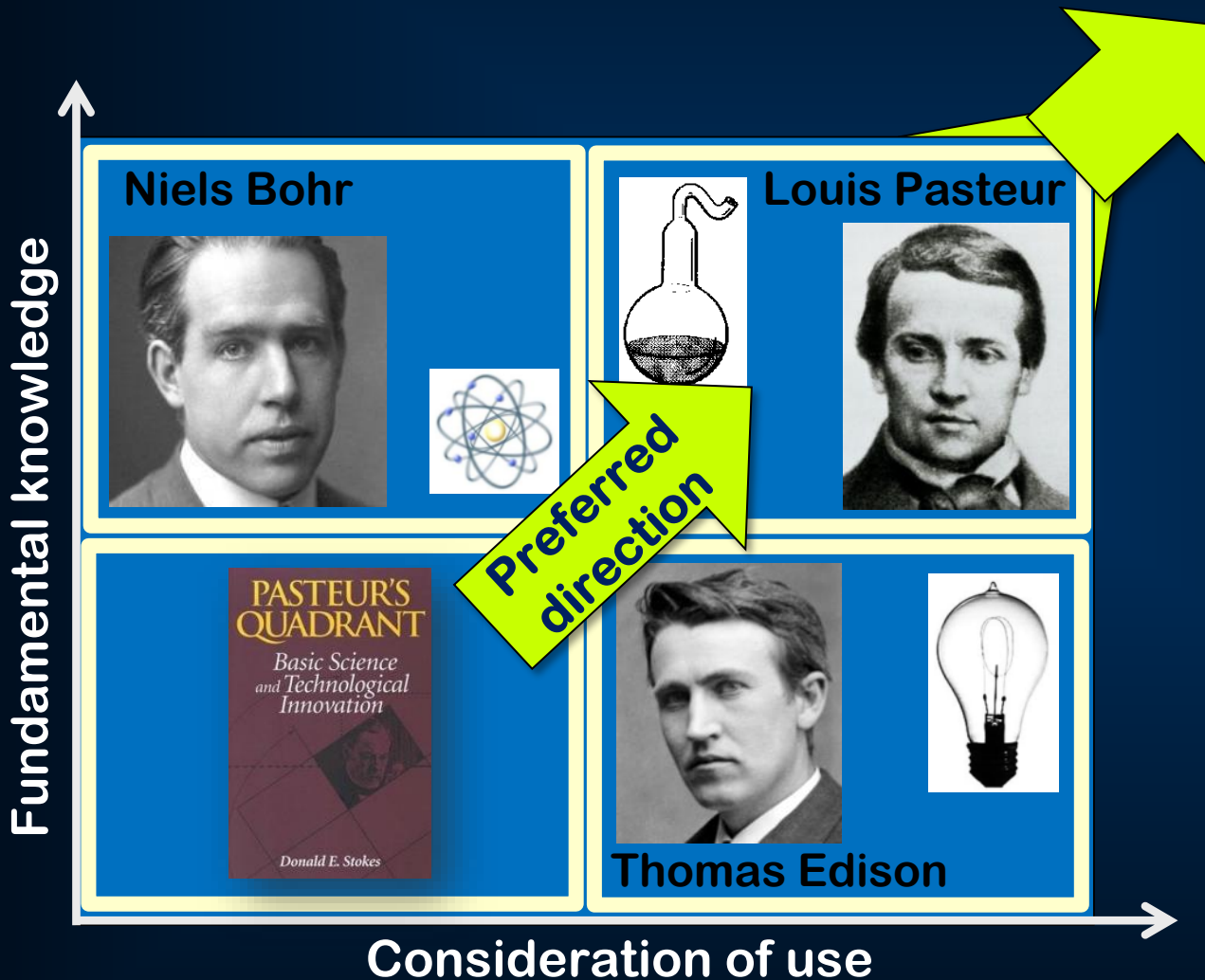
*including those based on plasma acceleration*

**But first, let's define some metric which allow us  
to evaluate and compare the importance of  
different directions of research**

# Why SR sources are so important?



# Why SR sources are so important?

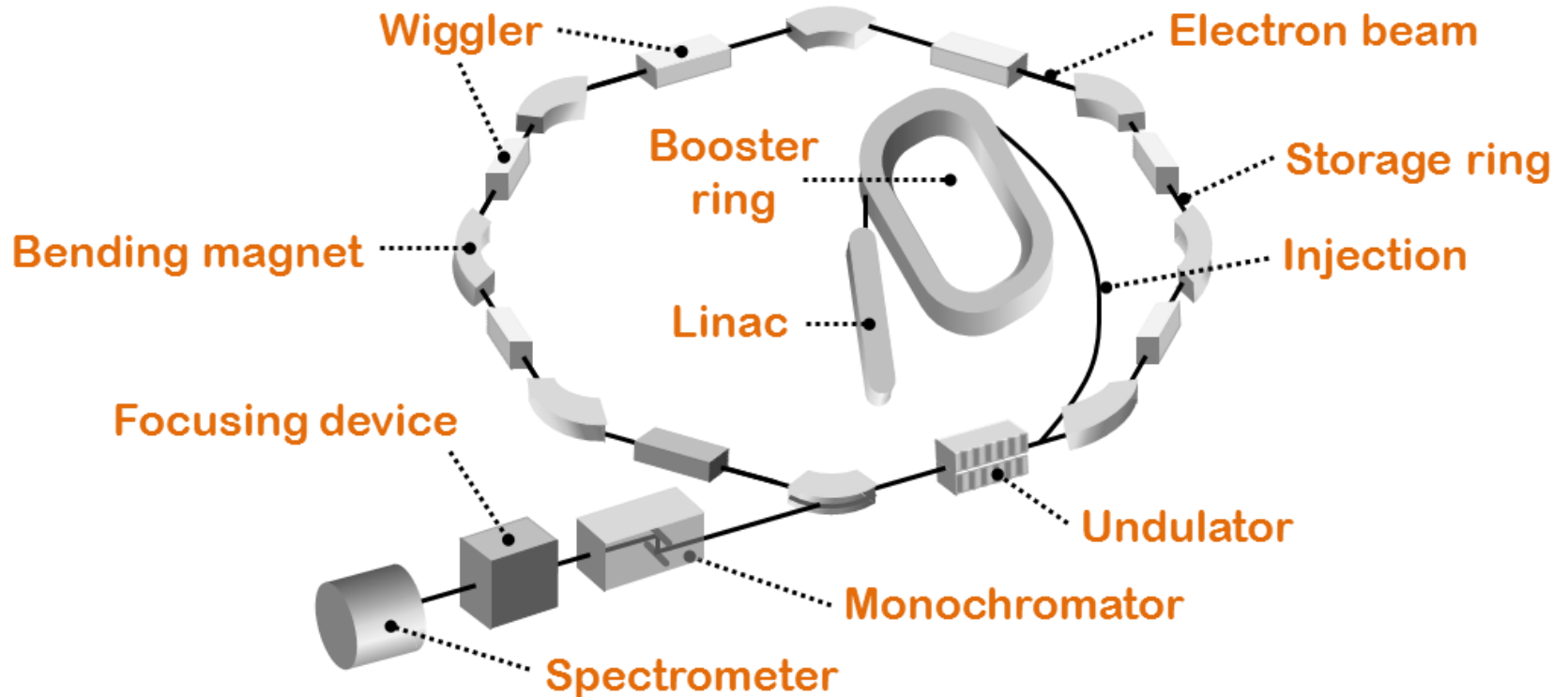




Consideration of use



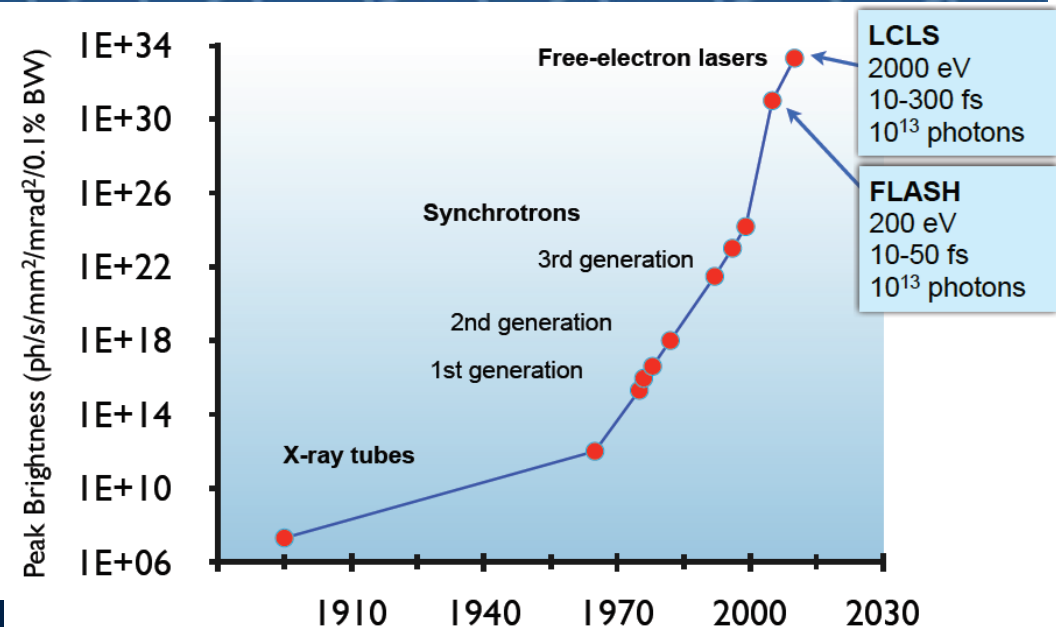
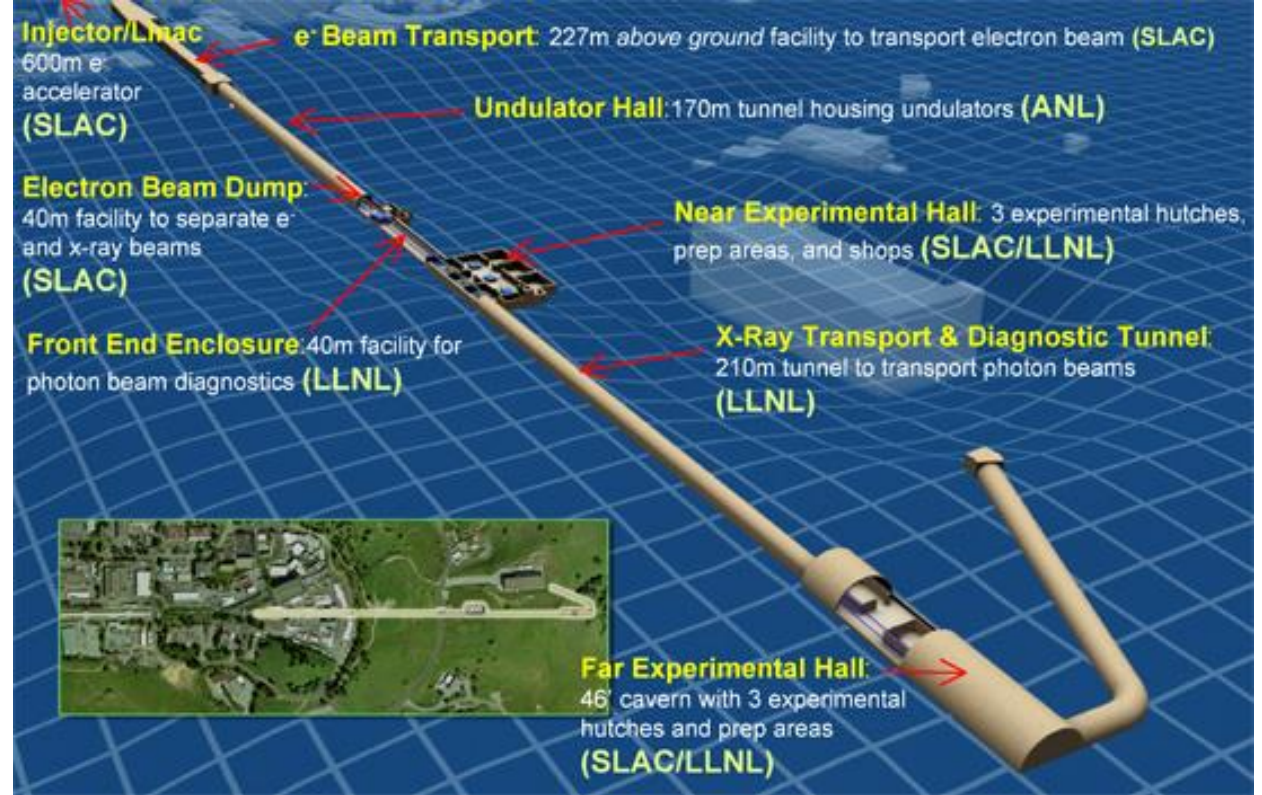
# Synchrotron light source



# 4<sup>th</sup> generation light source – example

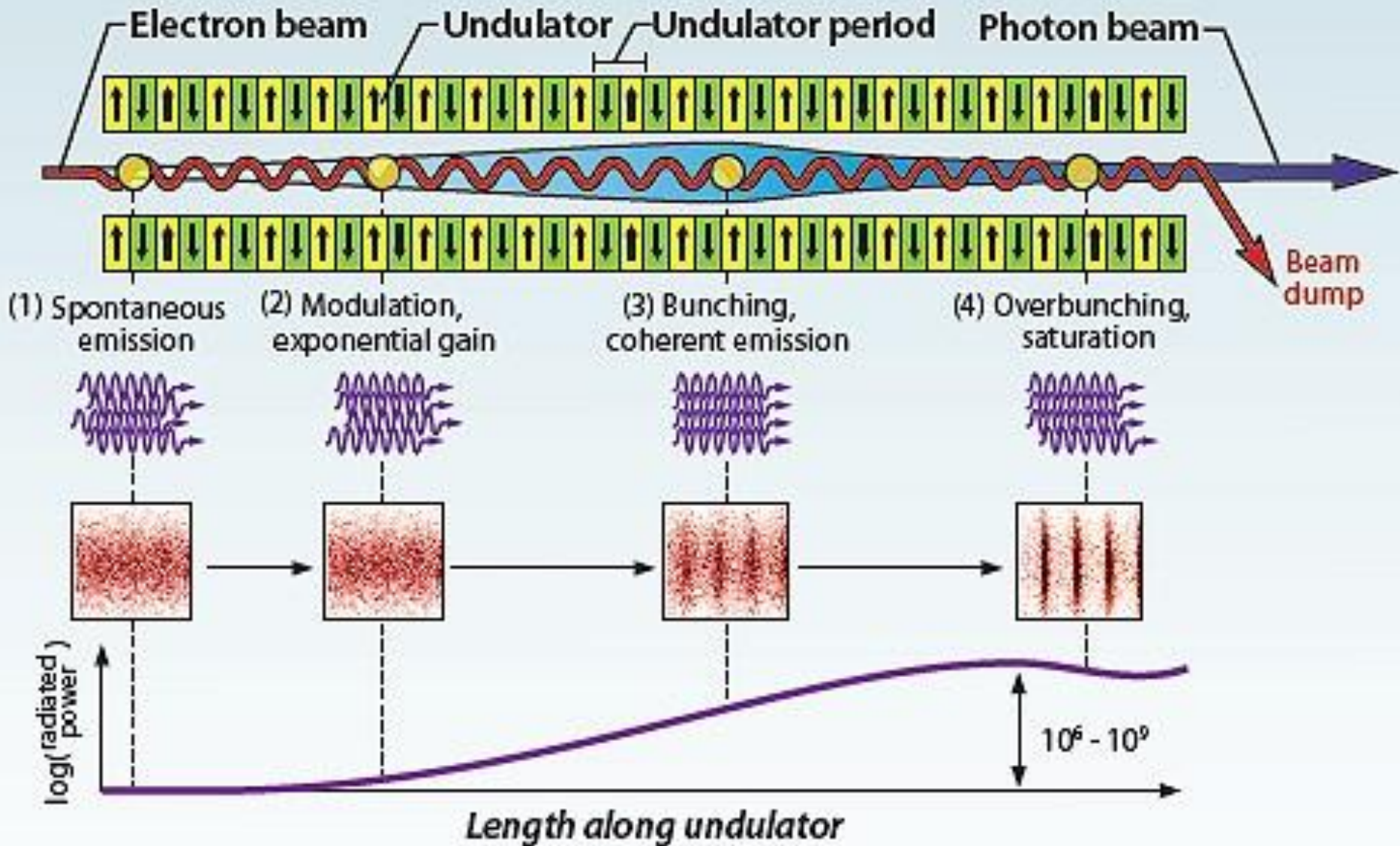
## X-ray FEL-

## LCLS at SLAC



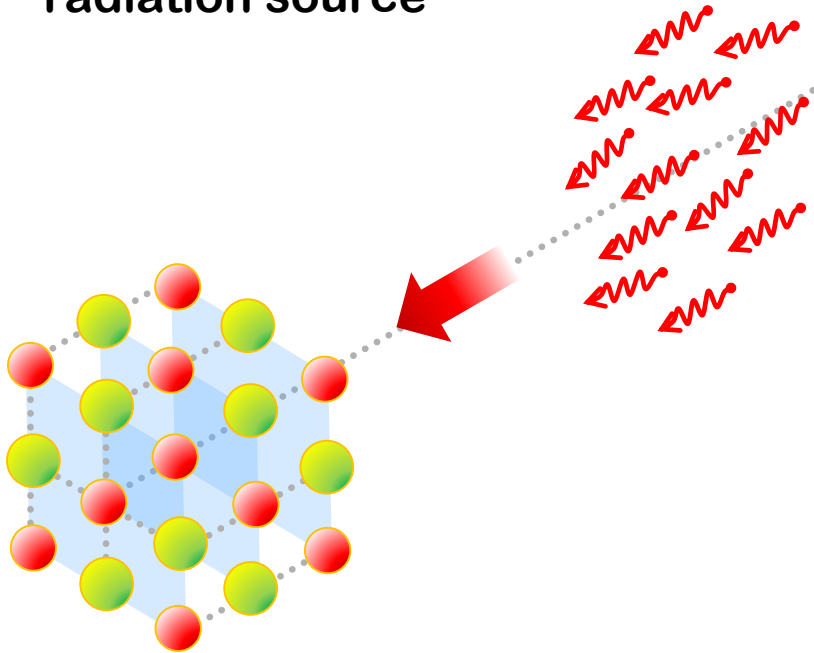


# 4<sup>th</sup> generation light source – Free Electron Laser Overview

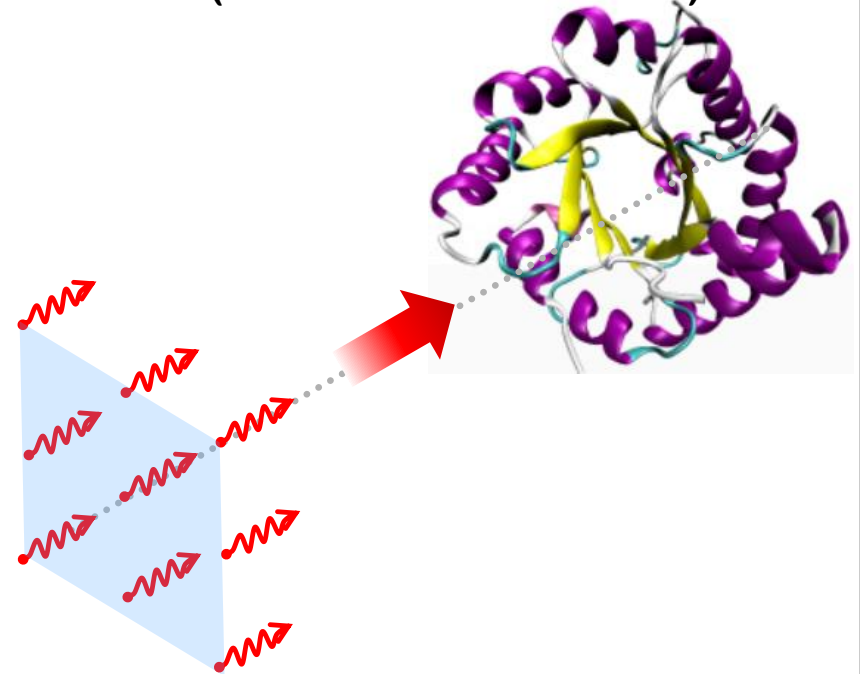


# Incoherent SR => coherent

Incoherent synchrotron radiation source



Coherent synchrotron radiation source (Free Electron Laser)



Era of studies of crystal structures by incoherent sources of X-rays



Era of studies of non-crystalline structures by coherent sources of X-rays

...and also this is an inventive principle “the other way around”



# Evolution of computers and light sources

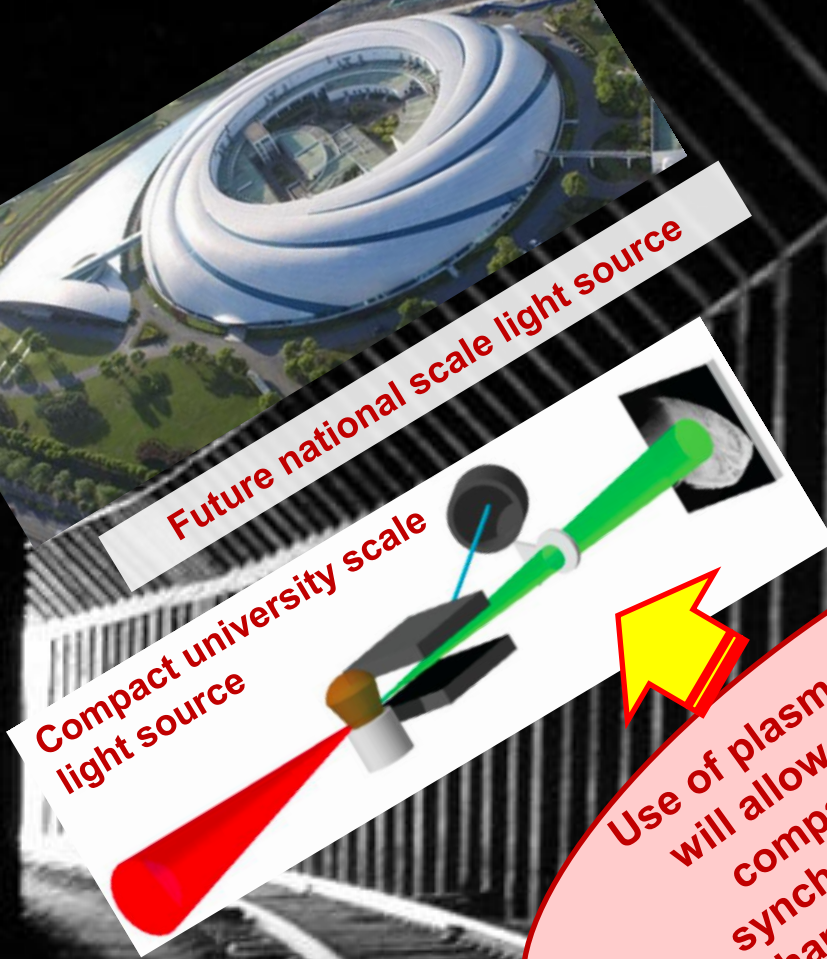


"IBM bringing out a personal computer would be like teaching an elephant to tap dance" cca. 1981





# Evolution of computers and light sources



Use of plasma acceleration will allow to create very compact sources of synchrotron radiation – change of the paradigm



# Further evolution of light sources

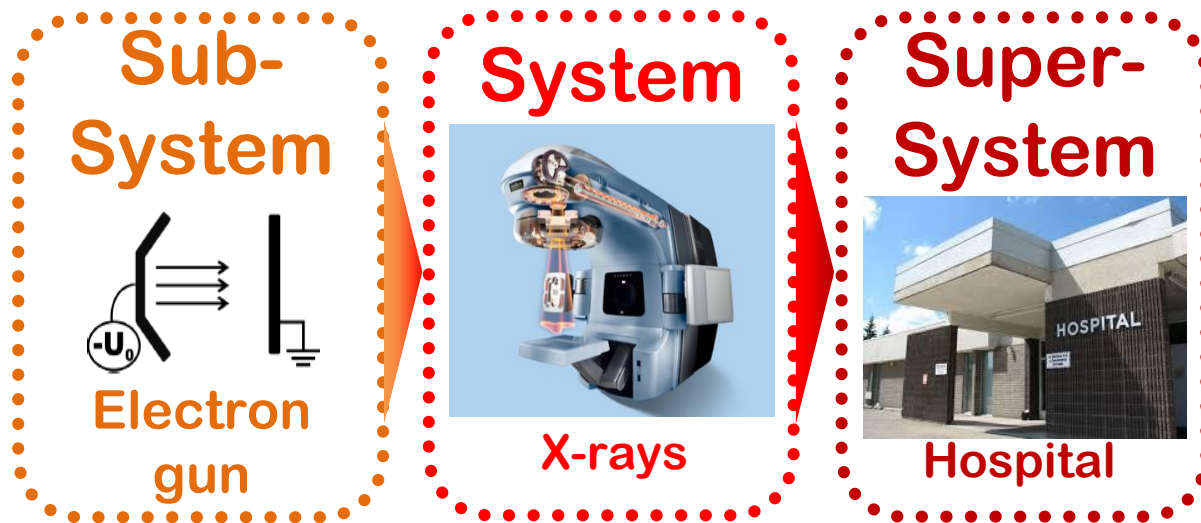
Let's assume that laser-plasma FEL is working

What are long-terms perspectives and evolution of light sources then?

Let's apply the TRIZ general laws of evolution

# Transition to a super-system

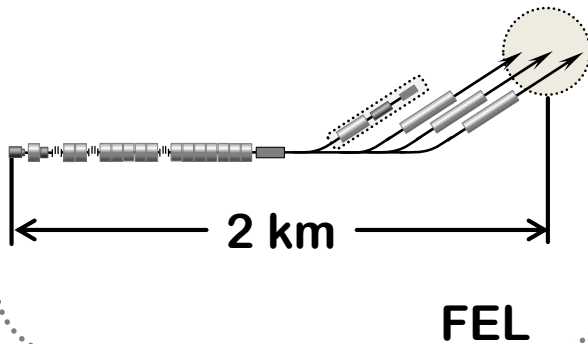
- **Kinematic laws (standard TRIZ)**
  - The law of transition to a super-system



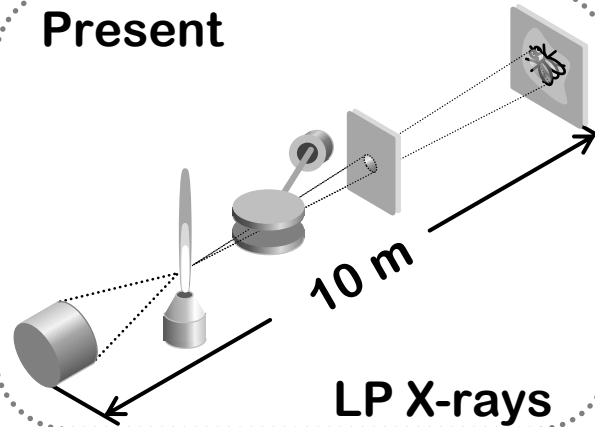
**“a system exhausting possibilities of further significant improvement is included in a super-system as one of its parts”**

# FEL evolution forecast

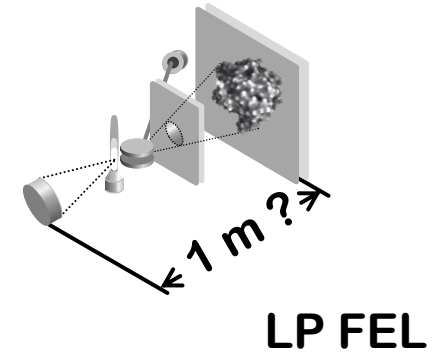
Past



Present



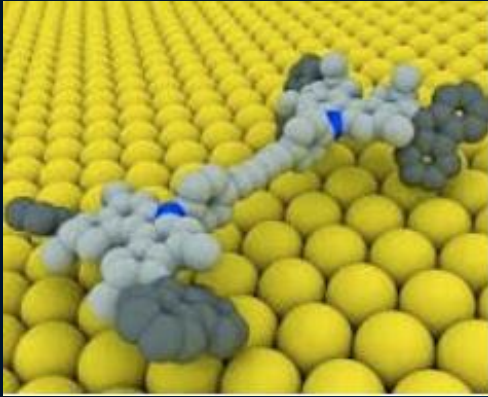
Future



**FEL will be so compact and developed that it can become part of another system, and that system in turn part of super-system**



# Nobel prize 2016 – molecular machines



Pierre Sauvage, J. Fraser Stoddart, and Ben L. Feringa, Chemistry Nobel Prize 2016

TRIZ evolution laws allow to predict what parts of molecular machine would be invented next:

## ■ Static Laws

- The law of the completeness of the parts of the system
  - 4 parts: engine, transmission, working unit, control element
- The law of energy conductivity of the system
  - every technical system is a transformer of energy and it should circulate freely and efficiently through its 4 main parts

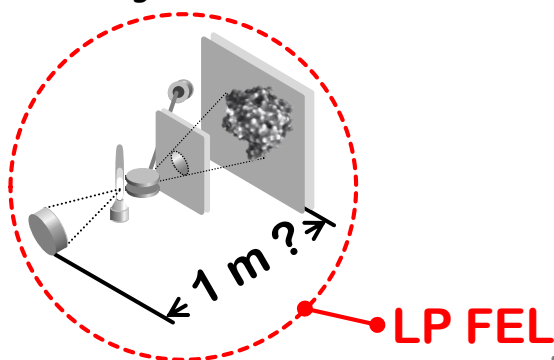
**Most importantly –  
these machines can become part of another super-system**

# FEL and molecular machine becomes part of another system

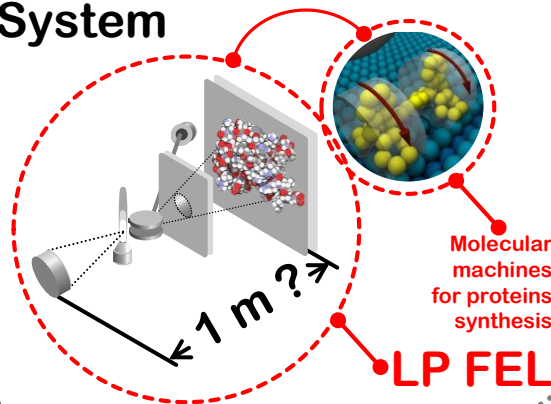
FEL is part of system where it analyses proteins synthesized by molecular machine

FEL is part of super-system where it analyses proteins synthesized by molecular machine, while the entire super-system produces patient-tailored molecular machines for DNA repair

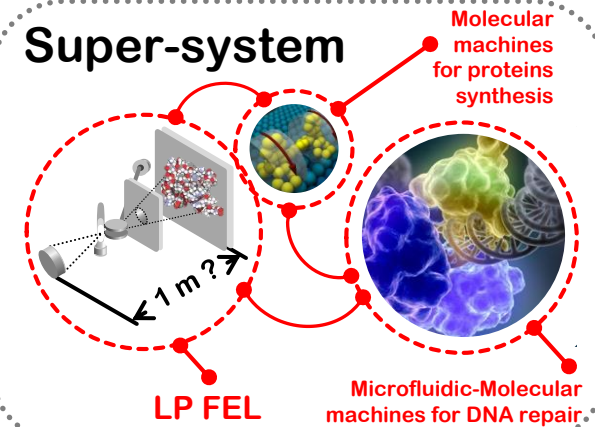
Sub-system



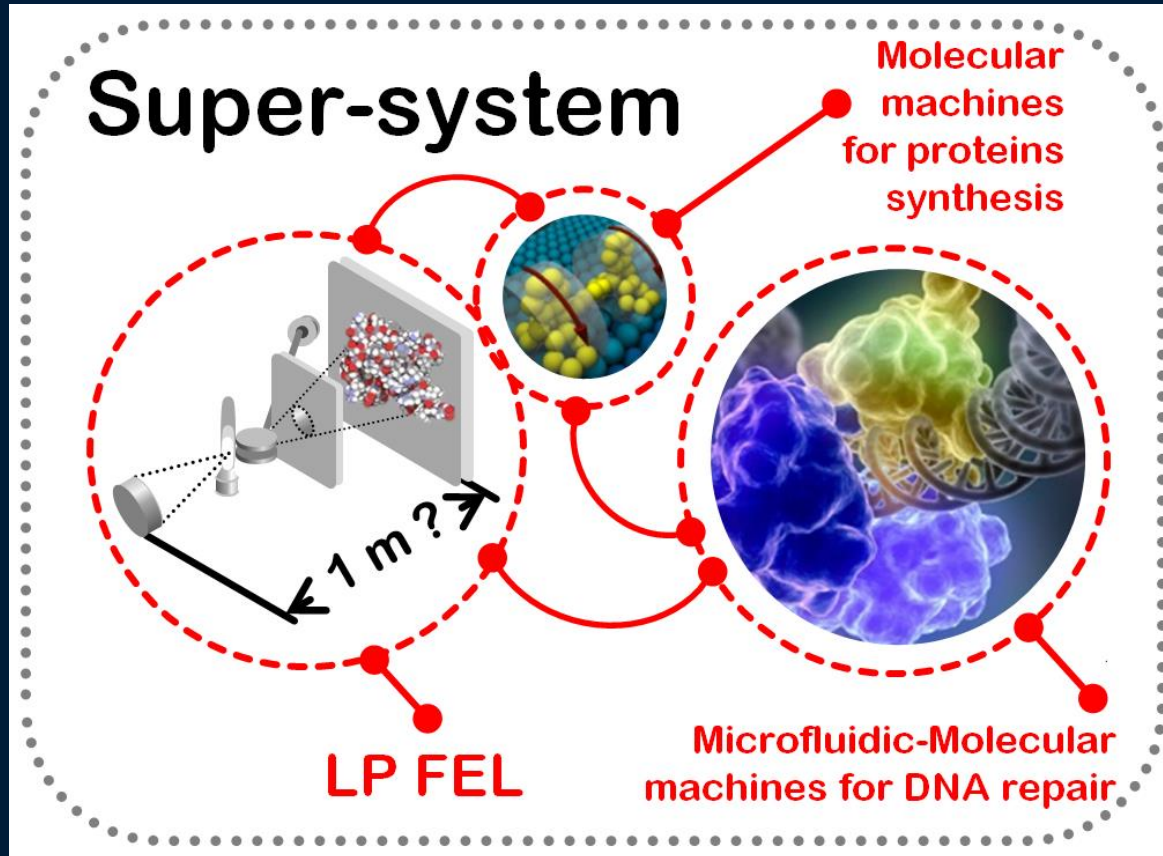
System



Super-system



# Make this dream – with help of Breakthrough By Design approach – a reality!



Laser plasma FEL is part of super-system where it analyses proteins synthesized by molecular machine, while the entire super-system produces patient-tailored molecular machines for DNA repair



**“The greater danger for most of us lies not in setting our aim too high and falling short; but in setting our aim too low, and achieving our mark”**

**Michelangelo**

**In combination with the art of estimations, TRIZ can be very useful for university education and research**

**As an inspiration, as a very efficient toolbox, as a way to connect different disciplines, as a new way to see the world –  
Breakthrough By Design approach**



# Acknowledgements

- **Jefferson Lab**
  - Continuing support of graduate educational program
- **Old Dominion University**
  - Developing the new VITA course for Autumn 2022 allowed to update a lot of materials presented here
    - VITA – Virginia Innovative Traineeship in Accelerators
    - ODU, NSU, HU – three universities – VITA
- **My co-author for the 1<sup>st</sup> and updated 2<sup>nd</sup> edition of the book “Unifying Physics of Accelerators, Lasers and Plasma” Elena Seraia**
  - <https://www.unifyingphysics.com/>
- **CERN for “eBook for all!” program that enabled conversion of the 1<sup>st</sup> edition of “Unifying Physics...” to Open Access**
- **And thanks to USPAS and Bill Barletta for opportunity to present this lecture**



# Lecture materials

- Slides and video will be available at
  - <https://www.unifyingphysics.com/>



- See section Resources
- You can also access the 1<sup>st</sup> edition of the book which is now Open Access

Thank you for your attention!

*And thanks to all colleagues for materials  
used in these slides*