

***Lecture 15:  
Current Topics II  
Injector Physics and Design***

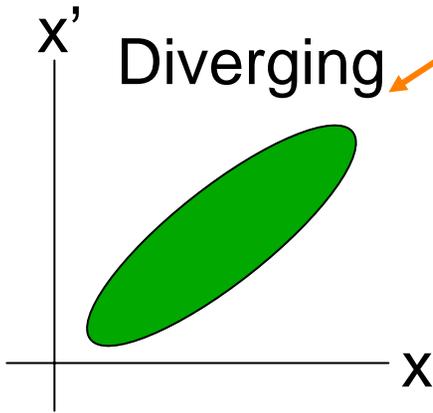
***S. Lidia, LBNL***



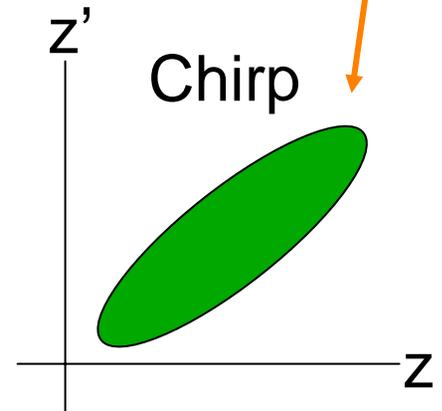
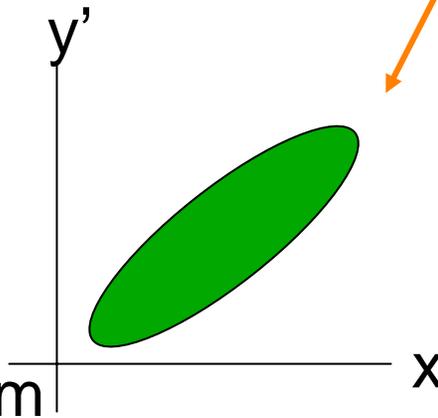
# Correlated Beams

The beam matrix gives us a complete description of the lowest order correlations that exist within a beam.

$$\Sigma = \begin{bmatrix} \langle x^2 \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle & \langle xz \rangle & \langle xz' \rangle \\ \langle xx' \rangle & \langle x'^2 \rangle & \langle x'y \rangle & \langle x'y' \rangle & \langle x'z \rangle & \langle x'z' \rangle \\ \langle xy \rangle & \langle x'y \rangle & \langle y^2 \rangle & \langle yy' \rangle & \langle yz \rangle & \langle yz' \rangle \\ \langle xy' \rangle & \langle x'y' \rangle & \langle yy' \rangle & \langle y'^2 \rangle & \langle y'z \rangle & \langle y'z' \rangle \\ \langle xz \rangle & \langle x'z \rangle & \langle yz \rangle & \langle y'z \rangle & \langle z^2 \rangle & \langle zz' \rangle \\ \langle xz' \rangle & \langle x'z' \rangle & \langle yz' \rangle & \langle y'z' \rangle & \langle zz' \rangle & \langle z'^2 \rangle \end{bmatrix}$$



Angular momentum

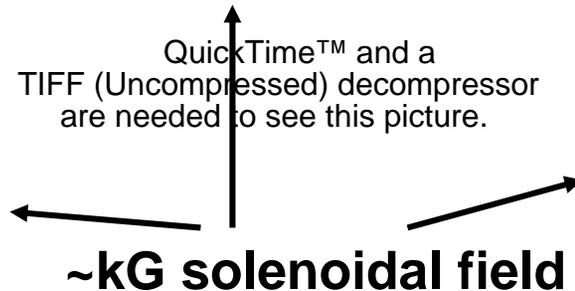


# Applications

- High energy physics - low vertical emittance
- Nuclear physics - electron cooling
- Ultrashort pulse x-rays
- Improving FEL gain



# Electron Cooling - Low energy



- Low energy design restrictions:
- <1GeV/nucleon
  - <0.5MeV electron
  - ~1kG continuous solenoid magnetic field in cooling region

The cooling rates are inversely proportional to a relative electron-ion velocity cubed - any coherent angle above the thermal level dramatically depresses the cooling process.

Inside the cooling solenoid, the beam is required to be calm, i.e., not to have any angles in excess of the thermal ones (assumed to be negligible).

Magnetized ('calm') electron beam state is characterized by beam spot size :: Larmor radius  $\gg 1$  and the beam electrons follow the magnetic field lines of flux.

# Electron Cooling - High energy

At higher nucleon and electron beam energies, the continuous solenoid field becomes problematic and we look for a lumped element approach - that still produces a 'calm' or magnetized beam with good overlap on the hadron beam.

Conservation of  $p_\theta$  for the electron beams lets us tune the beam profile to match the requirements for cooling.

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are needed to see this picture.

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are needed to see this picture.

QuickTime™ and a  
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are needed to see this picture.

$a$  is the beam edge radius;  
initial conditions refer to the  
cathode



# What is going on with the electrons?

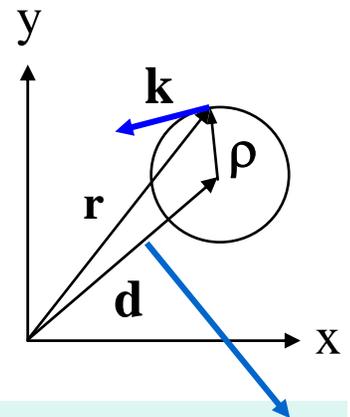
## Shift from Cartesian to Drift-Cyclotron motion

Drift motion (large)  $\sim$  beam spot size and solenoid field

$$\begin{pmatrix} x \\ x' \\ y \\ y' \end{pmatrix} \rightarrow \vec{d} = \vec{r} - \vec{\rho} = \begin{pmatrix} x \\ y \end{pmatrix} - \frac{\beta_s}{2} \begin{pmatrix} y' \\ -x' \end{pmatrix} \quad \beta_s = \frac{eB_z}{2\gamma\beta mc}$$

Cyclotron motion (small)  $\sim$  thermal emittance

$$\begin{pmatrix} x \\ x' \\ y \\ y' \end{pmatrix} \rightarrow \vec{k}_\perp = \gamma\beta \begin{pmatrix} x' \\ y' \end{pmatrix}$$



4D Transverse emittance in normal mode coordinates:

$$\mathcal{E}_{4D}^2 = \frac{1}{4} \langle d^2 \rangle \langle k_\perp^2 \rangle = \mathcal{E}_{drift} \mathcal{E}_{cyclotron} \quad \mathcal{E}_{drift} \gg \mathcal{E}_{cyclotron}$$



# Extending this treatment to generic lattices

Can we build an adaption scheme that transforms an electron beam in a storage ring (which has much larger horizontal emittance than vertical emittance) to a distribution without transverse angles for efficient hadron cooling schemes at higher energies?

Consider a general optical lattice that correlates the vertical phase space coordinates to the horizontal ones in a particular way:

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‘Vortex state’

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are needed to see this picture.

Injecting this vortex beam into a solenoid field tuned to eliminates the transverse velocity and we have a calm beam for cooling.

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# Skew Quad Lattice can do it!

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4x4 uncoupled transform matrix for x  
and y motions.  
Block of normal quads and drifts!

Rotate by  $45^\circ$  to form a block of skew quads and drifts:

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are needed to see this picture.

3 quad magnets!!

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TIFF (Uncompressed) decompressor  
are needed to see this picture.

This lattice turns any flat  
distribution into a vortex state.



# 'Vortex' States

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are needed to see this picture.

We have found a simple optical system that transforms a flat distribution (no vertical or no horizontal emittance) into a pure vortex state.



# 3 Simple Steps to a Flat Beam

Let's run this transformation backwards!

## 1. Induce canonical angular momentum in the beam.

- Put a magnetic field on the cathode.
- Creates correlations between horizontal and vertical phase spaces, tuned by the solenoid field strength.

## 2. Pass the beam through the solenoid fringe field and drift.

- Convert cyclotron-drift motion to superposition of vortex states.
- Manipulate correlations and prepare for injection in to the Adapter lattice.
  - Sets up skew velocity components for skew quads to act on.
- Gives emittance compensation schemes the space to act.

## 3. Transport beam through the Adapter lattice.

- De-correlates the coupled phase spaces.
- One emittance is very large, one is very small. Geometric average is invariant!!



# Flat Beams - New Regime For Photoinjectors

To produce flat beams with the current approach requires us to operate the photoinjector in a new configuration. A strong magnetic solenoid field is applied to the cathode.

The evolution of the launched beam is dominated now by both angular momentum and space charge forces.

The beam dynamics within the rf gun is significantly different than previous designs.

At cathode

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TIFF (Uncompressed) decompressor  
are needed to see this picture.

Adapter exit



# Obtaining Vertical Emittances $\ll$ Initial Thermal Emittance

The horizontal to vertical emittance ratio is related to the initial angular momentum and the initial thermal emittance:

$$\frac{\varepsilon_x}{\varepsilon_y} = 2 \frac{(eB_z)^2}{(2\gamma\beta mc)^2} \frac{\langle x_0^2 + y_0^2 \rangle}{\langle x_0'^2 + y_0'^2 \rangle} = \frac{1}{2} \left( \frac{1}{2} \frac{eB_z}{mc} \right)^2 \frac{\langle r_0^2 \rangle^2}{\varepsilon_{thermal}^2} = \frac{1}{2} \frac{\langle p_\theta / mc \rangle^2}{\varepsilon_{thermal}^2}$$

To make this ratio large requires:

$$\left\langle \frac{p_\theta}{mc} \right\rangle = \frac{1}{2} \frac{eB_z}{mc} \langle r_0^2 \rangle > \sqrt{2} \varepsilon_{thermal} \propto \sqrt{\langle r_0^2 \rangle} \sqrt{\langle r_0'^2 \rangle}$$

The inequality can be satisfied easily by increasing the spot size at the cathode or the magnetic field at the cathode, or both.



# Simple Model of the Beam Dynamics – Envelope Description From 2nd-order Moments

- Beam Envelope equation of motion:

$$\sigma_r'' + \sigma_r' \left( \frac{\gamma'}{\gamma\beta^2} \right) + k_{eff}^2 \sigma_r - \frac{\kappa_s}{\sigma_r \gamma^3 \beta^3} - \frac{\mathcal{E}_{nr}^2}{\sigma_r^3 \gamma^2 \beta^2} - \left( \frac{\langle p_\theta \rangle}{mc\gamma\beta} \right)^2 \frac{1}{\sigma_r^3} = 0.$$

- Canonical angular momentum:

$$p_\theta = -\frac{1}{2} e B_{z0} r_0^2$$

- 4D Emittance:

$$\mathcal{E}_{nr}^2 = \mathcal{E}_{4D} = (\gamma\beta)^2 \left[ \langle r^2 \rangle \langle r'^2 + (r\theta')^2 \rangle - \langle rr' \rangle^2 - \langle r^2 \theta' \rangle^2 \right]$$



# Beam matrix and Correlations

- 4D Beam Sigma matrix  $\Sigma = \begin{pmatrix} \langle XX^T \rangle & \langle XY^T \rangle \\ \langle YX^T \rangle & \langle YY^T \rangle \end{pmatrix} = \begin{pmatrix} \Sigma_X & \Sigma_X C \\ C^T \Sigma_X & \Sigma_Y \end{pmatrix}$

- Beam is initially correlated by solenoid field  $C_0 = \begin{pmatrix} 0 & -\frac{2}{eB_0} \\ \frac{eB_0}{2} & 0 \end{pmatrix}$
- Correlation matrix propagates through beam line

$$C_0 \Rightarrow C = \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ \frac{1+a^2}{b} & -a \end{pmatrix} \quad \begin{array}{l} \text{Unimodular} \\ \text{Skew-symmetric} \end{array}$$



# Analytical result for 3-skew quadrupole adapter



- Correlation matrix at channel entrance  $C_1 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$
- Quad strengths determined by solving  $(A - B) + (A + B)C_1 = 0$

$$q_1 = \pm \sqrt{\frac{-D_1 a + b - D_1 D_T c + D_T d}{D_1 D_T b}},$$

$$q_2 = -\frac{b + D_T d}{D_1 D_2 (1 + q_1 b)},$$

$$q_3 = -\frac{q_1 + q_2 + D_1 q_1 q_2 a - c}{1 + (D_T q_1 + D_2 q_2) a + D_1 D_2 q_2 (q_1 + c)}.$$

(+) for Horizontal beam  
(-) for Vertical beam

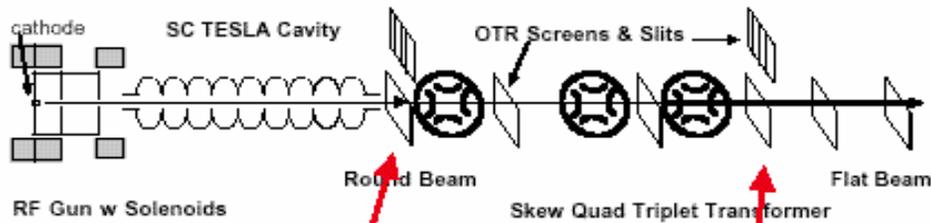


# Many different emittances to track

- For a beam with initial canonical angular momentum, the normal modes in the RF gun, GTL, and Linac are the **drift** and **cyclotrons** modes.
- The **radial** emittance of the round beam is important to track for space charge induced growth and emittance compensation.
- In the Adapter beamline, rotational symmetry is broken and the normal modes of interest are the **horizontal** and **vertical**.
- In a perfect system, the total **4D emittance** is conserved and the Adapter converts the former set of normal modes into the latter.  
**Drift -> Horizontal, Cyclotron -> Vertical**

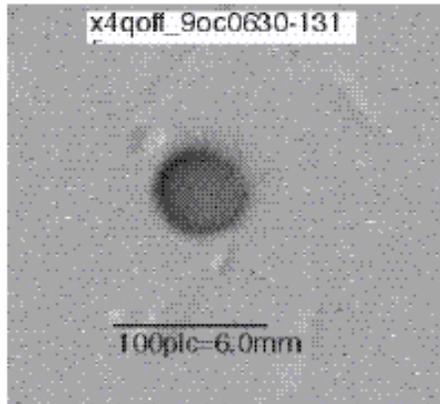


# Emittance Ratios of 50:1 have been measured

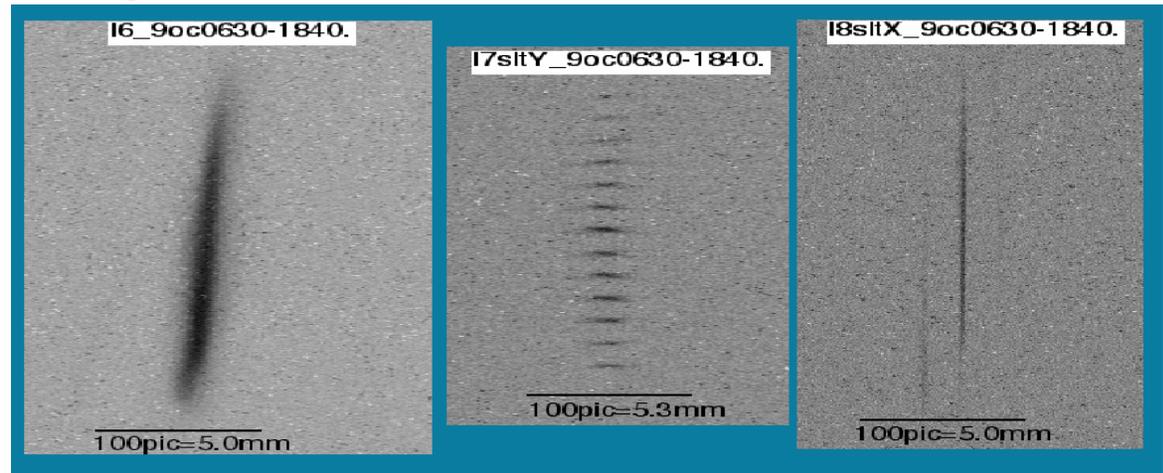


(2001)

Flat beam measurements



Round beam image on fluorescent screen



Flat beam image on fluorescent screen

Beam image through slits for emittance measurement

Horizontal emittance  $\sim 0.9$  mm-mrad @ 1nC (Vertical emittance  $\sim 45$  mm-mrad).  
Horizontal measurement resolution-limited by finite CCD pixel size ( $\sim 1 \mu\text{m}$ ).



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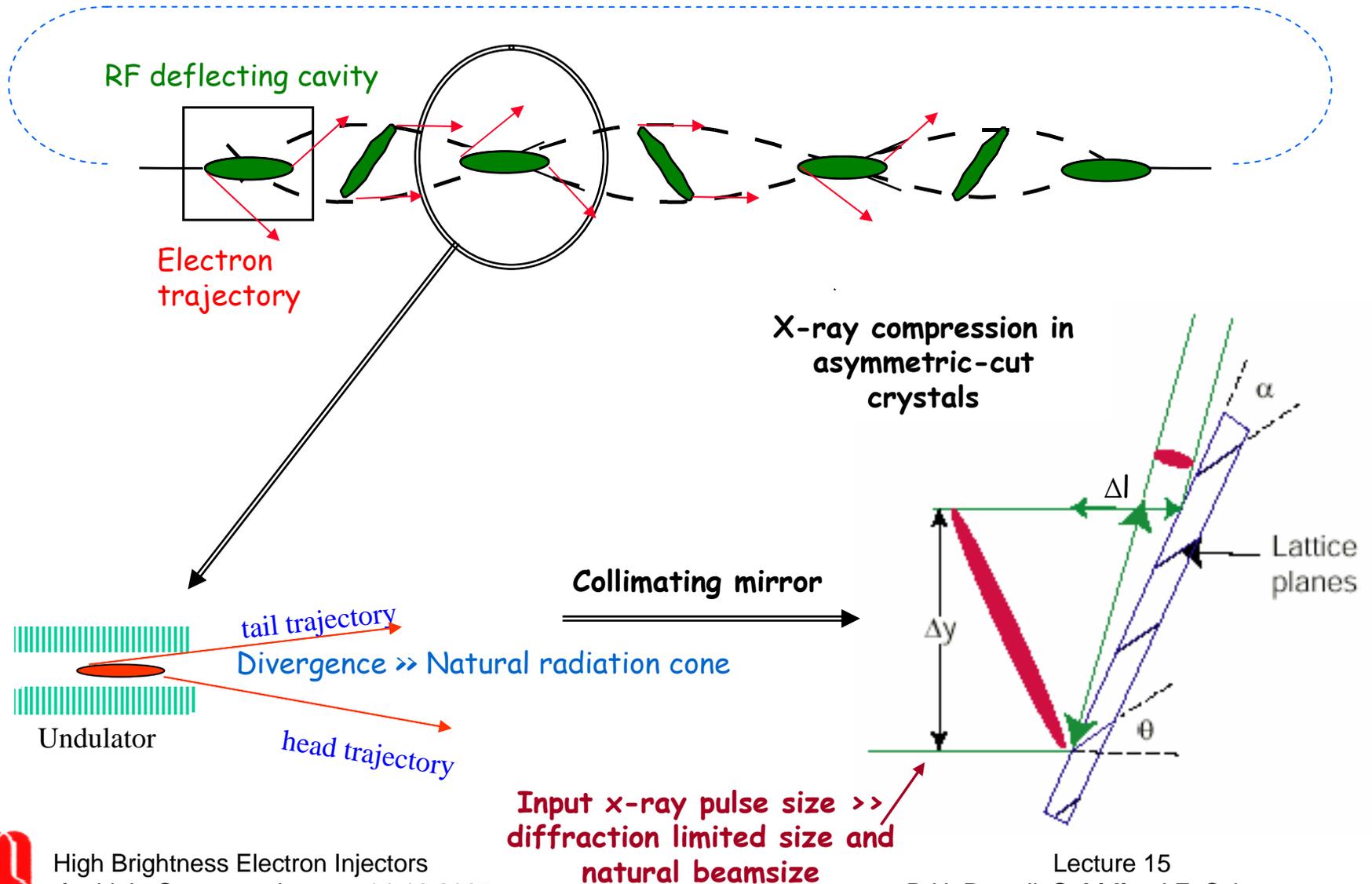
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(2005)



# Short-pulse hard x-ray scheme



FEL needs very bright electron beam...

$$\varepsilon_N < \gamma \frac{\lambda_r}{4\pi}$$

transverse emittance:  $\varepsilon_N \approx 0.2 \mu\text{m}$  at 1 Å, 15 GeV

$$\sigma_\delta < \rho \approx \frac{1}{4} \left( \frac{1}{2\pi^2} \frac{I_{pk}}{I_A} \frac{\lambda_u^2}{\beta \varepsilon_N} \left( \frac{K}{\gamma} \right)^2 \right)^{1/3}$$

energy spread:

$\sigma_\delta \approx 0.01\%$  at  $I_{pk} = 4 \text{ kA}$ ,  
 $K \approx 3.5$ ,  $\lambda_u \approx 3 \text{ cm}$ , ...

Long. emittance requirement is:  $\gamma \sigma_z \sigma_\delta \equiv \gamma \varepsilon_z \approx 60 \mu\text{m}$

RF gun produces both  $\gamma \varepsilon_x \sim \gamma \varepsilon_z \sim \text{few } \mu\text{m}$

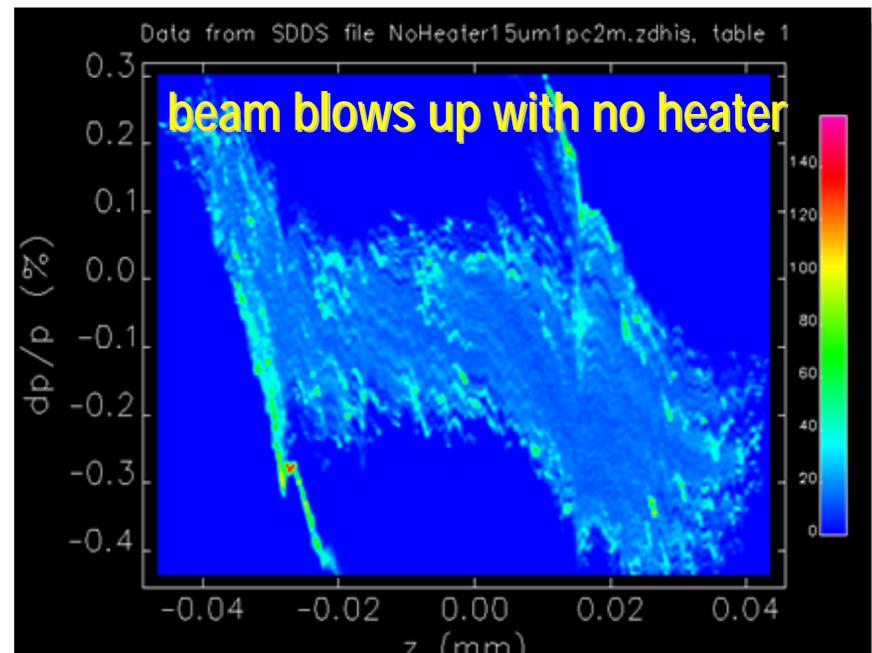
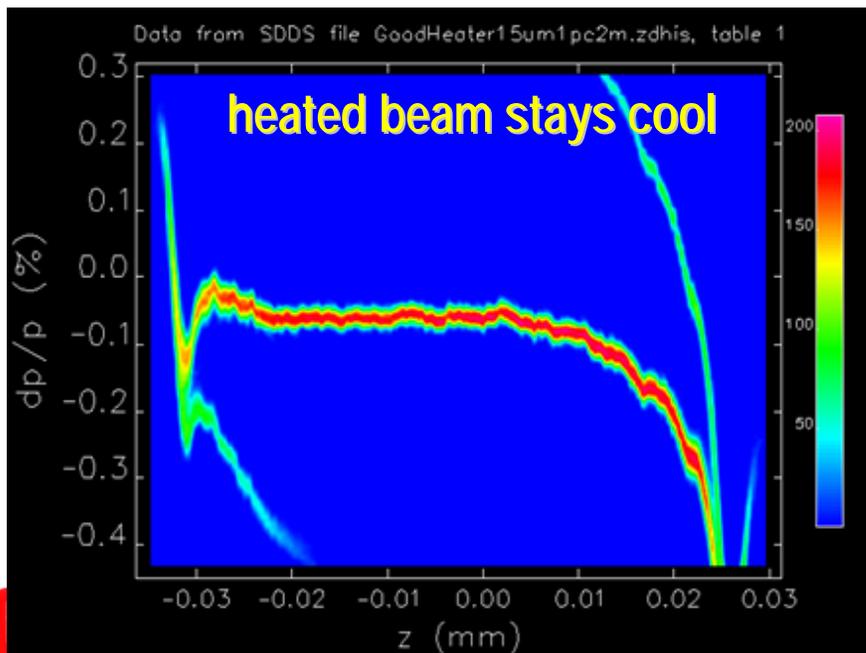
Can we reduce  $\gamma \varepsilon_x$  at the expense of  $\gamma \varepsilon_z$  ?



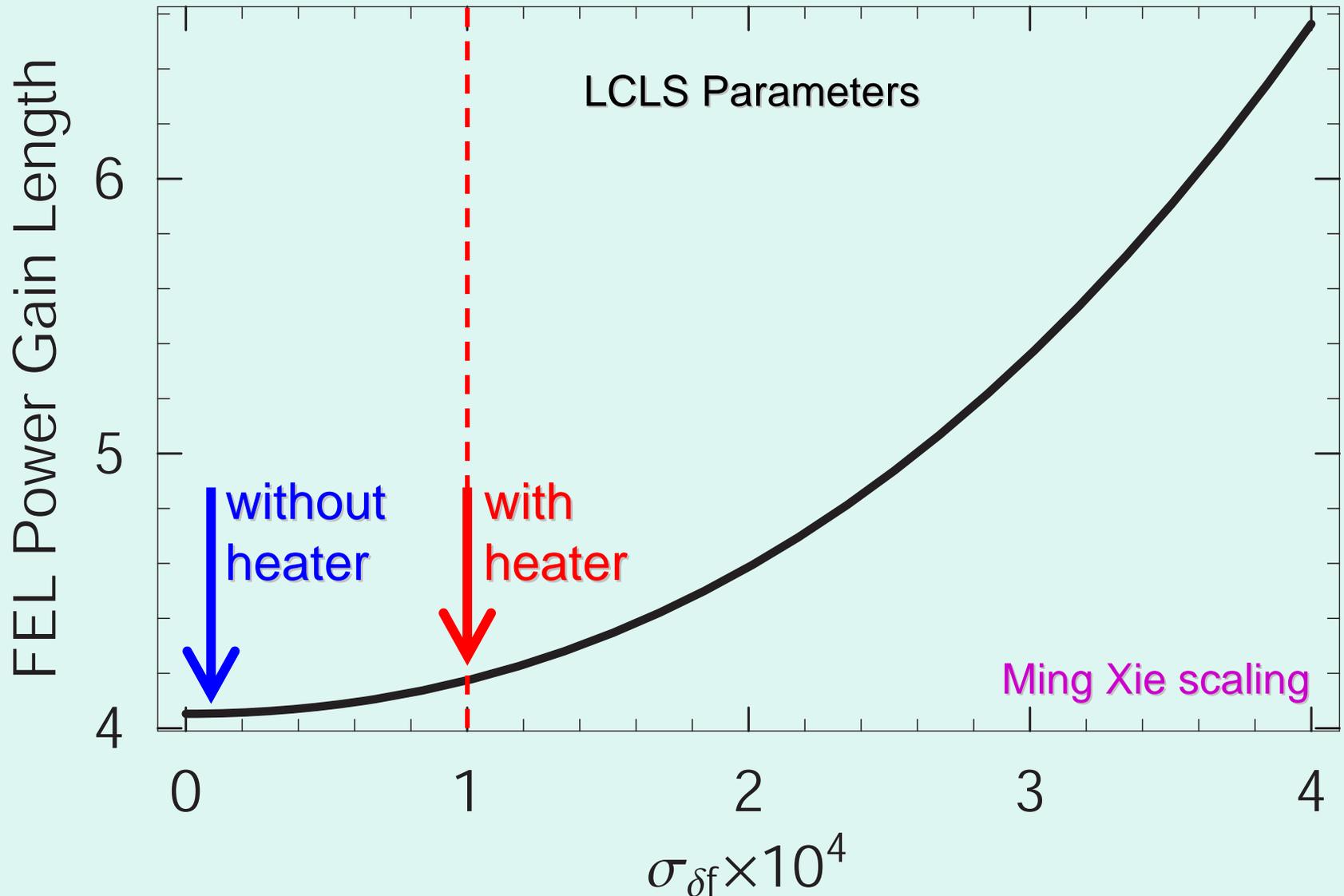
# Intrinsic energy spread is too small to be a benefit for x-ray SASE FEL

A beam heater is required, which Landau damps the CSR/LSC micro-bunching instability

Final long. phase space at 14 GeV for initial modulation of 1% at  $\lambda = 15 \mu\text{m}$

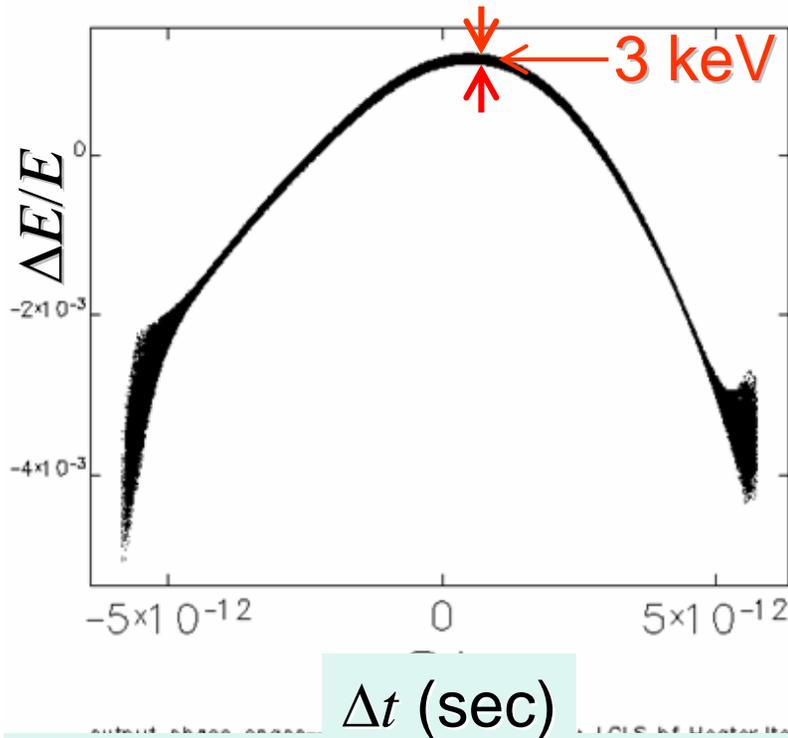


# Very Small Energy Spread is Wasted

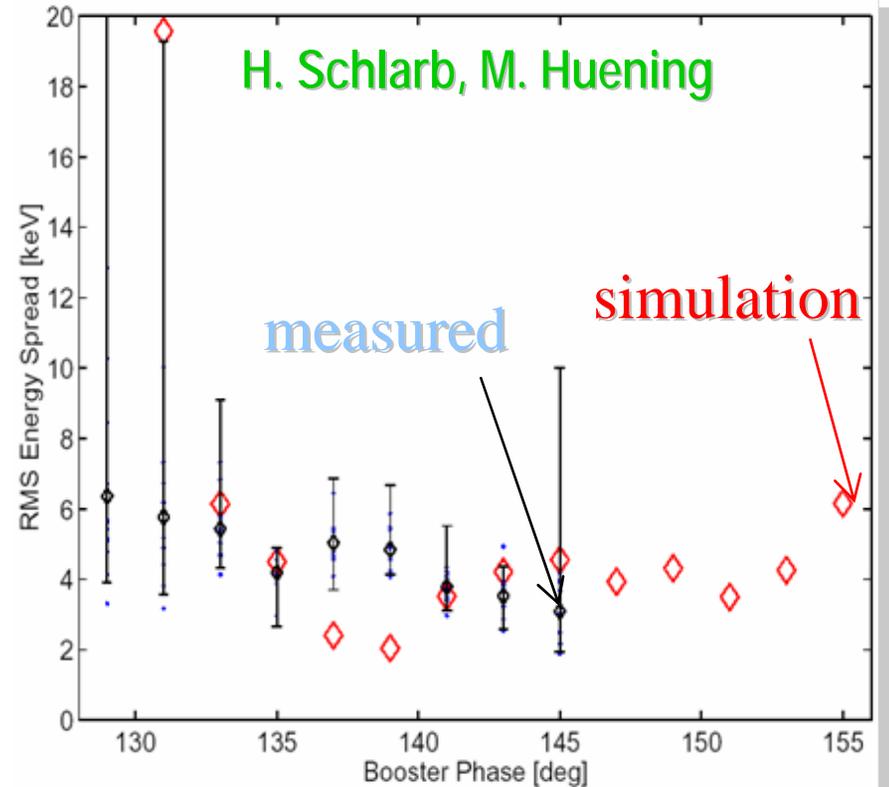


# How cold is the photo-injector beam?

Parmela Simulation



TTF measurement



3 keV, accelerated to 14 GeV, and compressed  $\times 36 \Rightarrow$

$$3/14 \times 10^6 \times 36 < 1 \times 10^{-5}$$

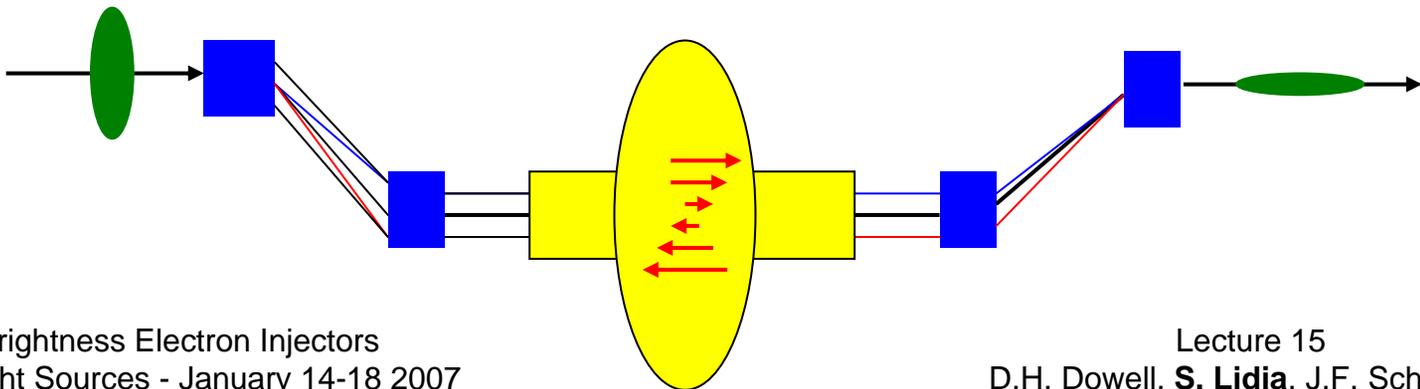
Too small to be useful in FEL (no effect on FEL gain when  $< 1 \times 10^{-4}$ )

# Longitudinal-Transverse correlation

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are needed to see this picture.

Smaller normalized transverse emittances can be obtained  
at the expense of the longitudinal emittance.

Place a  $TM_{110}$  cavity in a magnetic chicane at the location of large dispersion.  
This correlates the betatron amplitude with the energy bunch length.



# Dipole mode deflecting cavity

Energy change from  
the electric field

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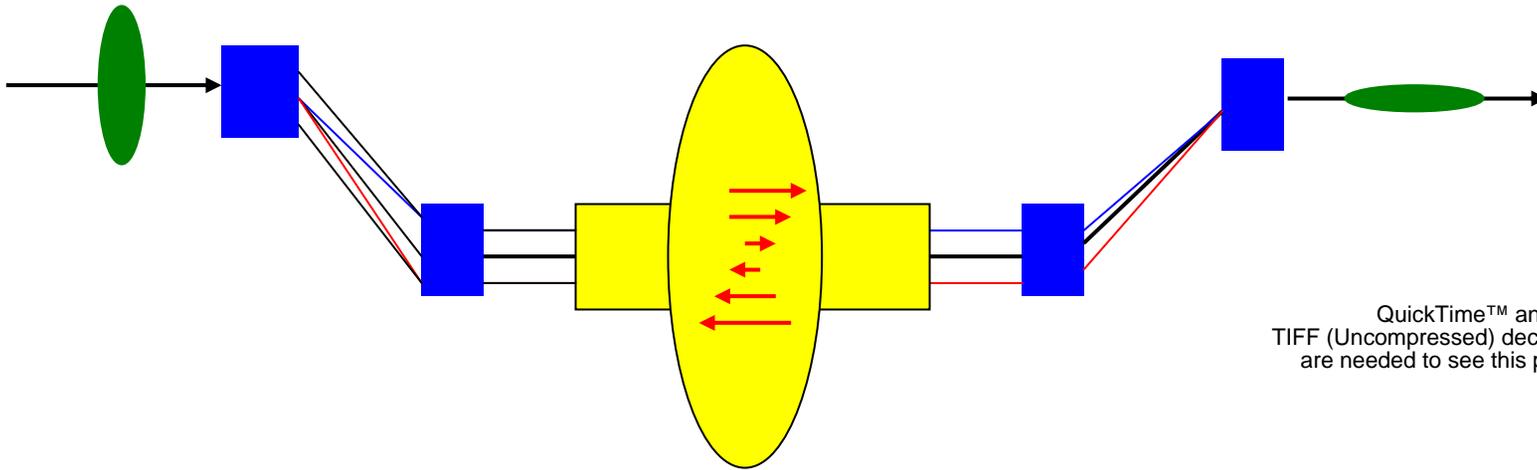
Transverse kick from the  
magnetic field

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are needed to see this picture.



# Transverse to Longitudinal Exchange

Small energy spread  
at entrance



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are needed to see this picture.

Horizontal beam size is (transverse) emittance dominated, and shows little dispersion (since the energy spread is small).  
Cavity introduces (linear) longitudinal energy variation across transverse spot.



# Horizontal-Longitudinal Phase Space Manipulation

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## Full emittance exchange

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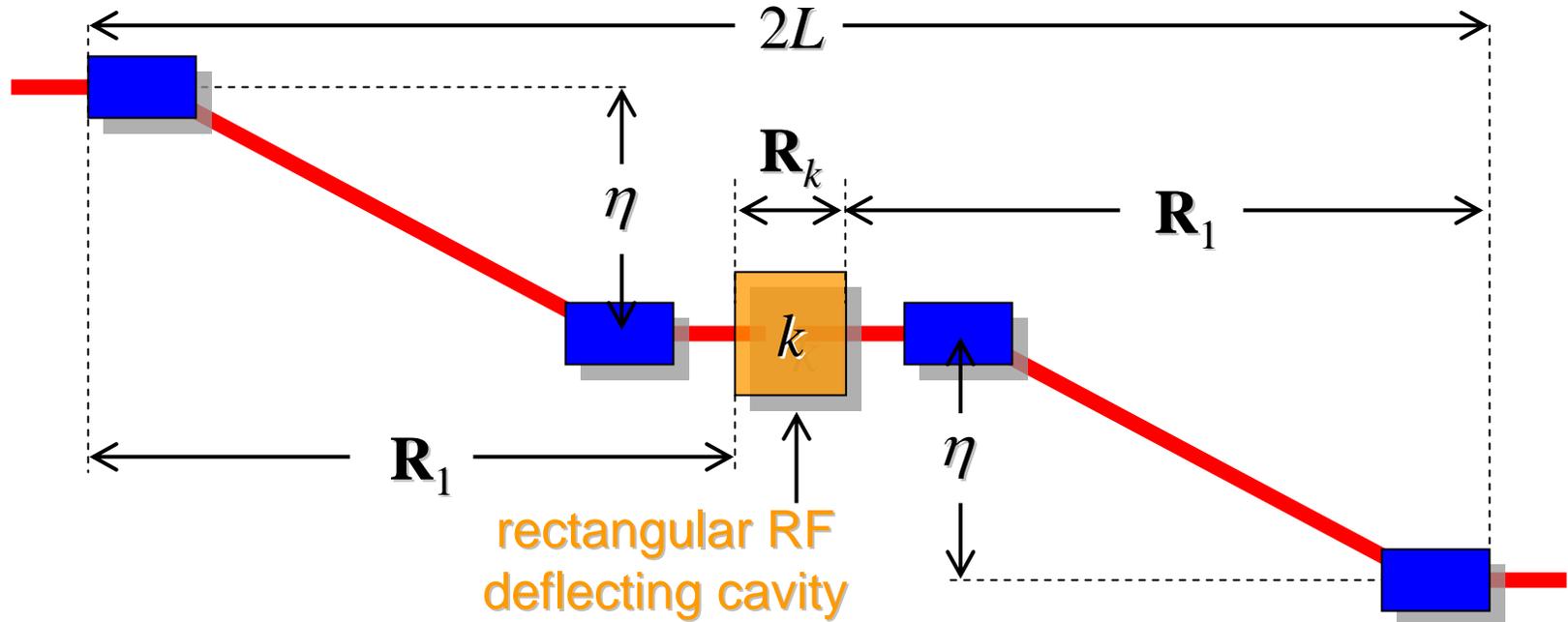


# Complete emittance exchange

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are needed to see this picture.



# Improved Emittance Exchanger



$\xi \equiv R_{56}$  of dog-leg

$x, z$  mapping (ignore  $y$  coordinate here)

$$\mathbf{R}_1 = \begin{pmatrix} 1 & L & 0 & \eta \\ 0 & 1 & 0 & 0 \\ 0 & \eta & 1 & \xi \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \mathbf{R}_k = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & k & 0 \\ 0 & 0 & 1 & 0 \\ k & 0 & 0 & 1 \end{pmatrix}, \quad \mathbf{R} = \mathbf{R}_1 \mathbf{R}_k \mathbf{R}_1$$

# Full Emittance Exchange

If RF deflector voltage is set to:  $k = -1/\eta$

$$\mathbf{R} = \begin{pmatrix} 0 & 0 & kL & \eta + kL\xi \\ 0 & 0 & k & k\xi \\ k\xi & \eta + kL\xi & 0 & 0 \\ k & kL & 0 & 0 \end{pmatrix}$$

and transverse (bend-plane) and longitudinal emittances are completely exchanged.

$$\begin{aligned} \epsilon_x &= \epsilon_z \\ \epsilon_z &= \epsilon_x \end{aligned}$$



# A complete, 6D emittance transform

We can use emittance exchange in sequence with flat beam production to make a beam with larger longitudinal emittance and very small transverse emittance in both planes.

- 2.6-GHz, 1.5-cell RF gun *ASTRA and Impact-T simulations*
- 138 MV/m peak E-field in gun
- 8 TESLA SC-cavities at 1.3 GHz (216 MeV)
- round-to-flat converter of 3 skew quads
- 3D oblate ellipsoid laser pulse
- 0.6  $\mu\text{m}$  per mm radius thermal emittance
- 36 MV/m peak E-field in TESLA cavities
- 300  $\mu\text{m}$  rms laser spot size on cathode
- 50  $\mu\text{m}$  rms bunch length (80 fs laser pulse)
- 20 pC bunch charge (34 A)

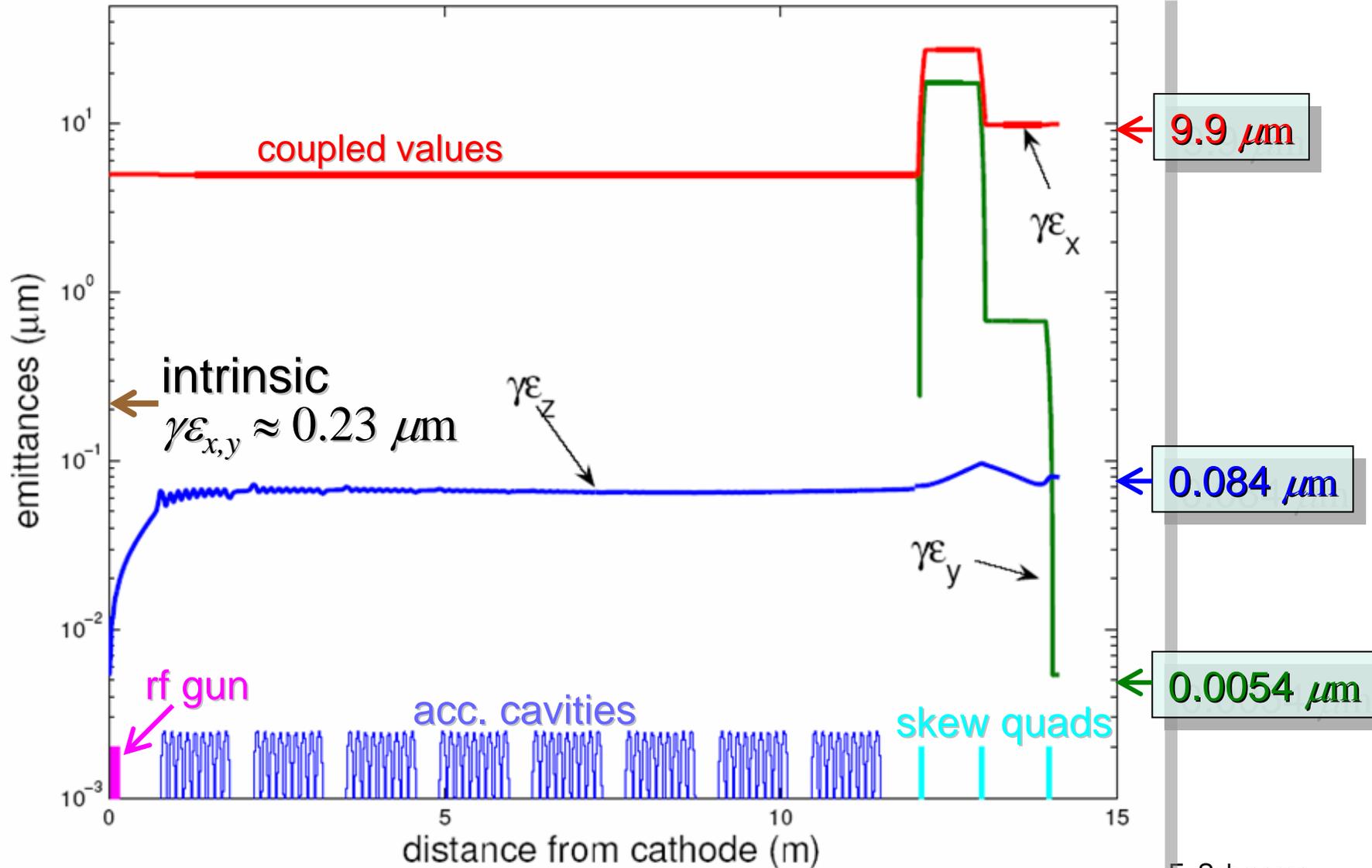


# Emittance Levels from Simulation

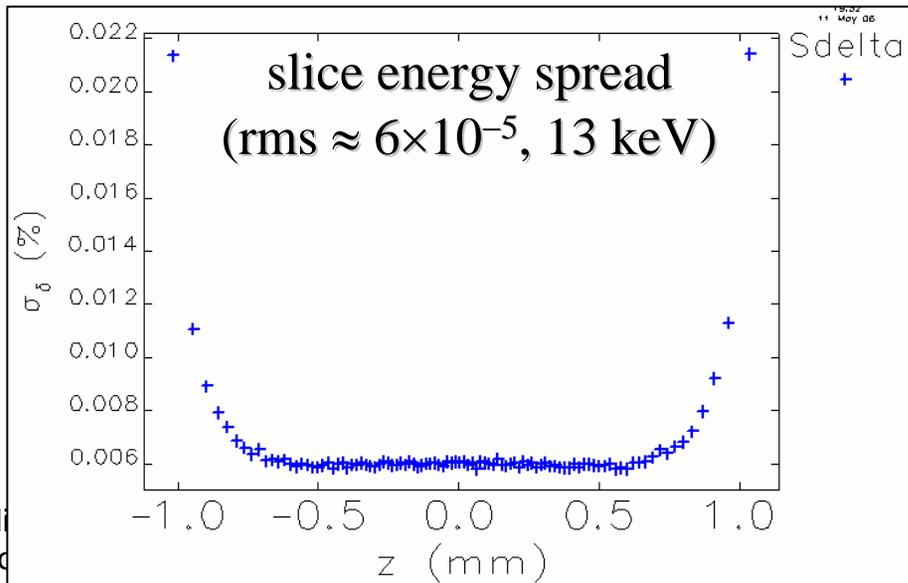
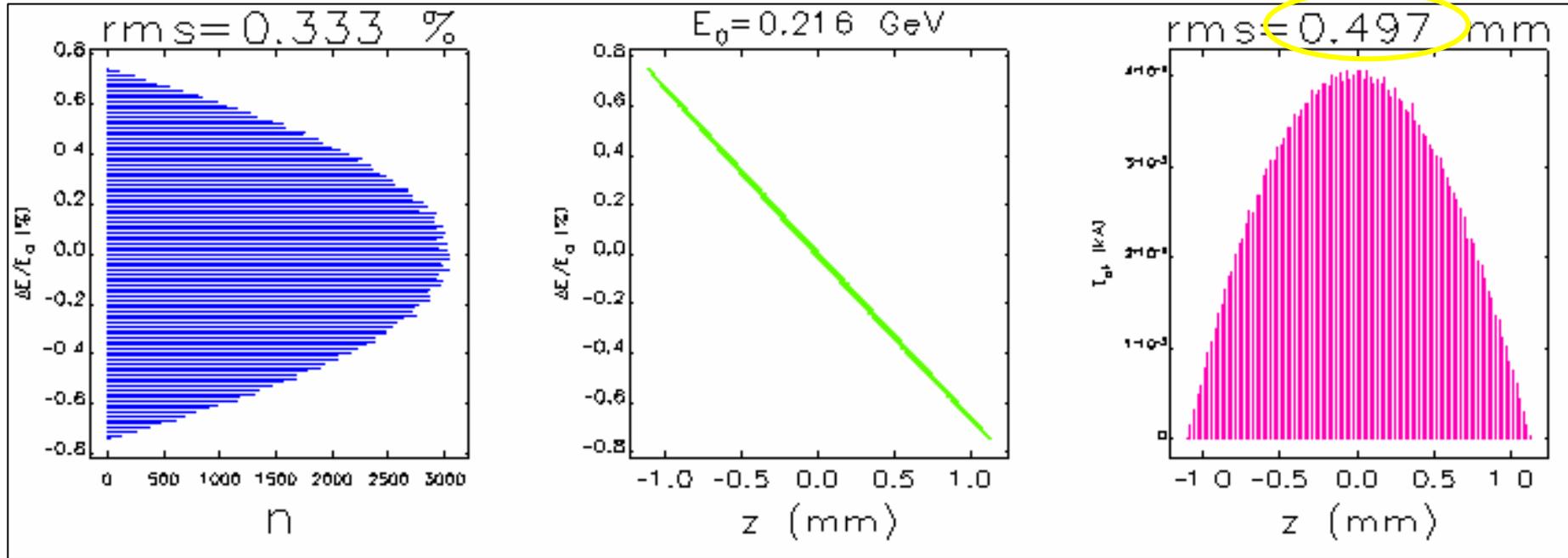
- **Thermal 2D:**  $(0.6 \mu\text{m}/\text{mm}) \times (0.3 \text{ mm}) \approx 0.23 \mu\text{m}$
- **Transverse 4D:**  $(0.23 \mu\text{m})^2 \approx 0.053 \mu\text{m}^2$
- **After skew 4D:**  $(9.9 \mu\text{m}) \times (0.0054 \mu\text{m}) \approx 0.053 \mu\text{m}^2$
- **Longitudinal 2D:**  $0.080 \mu\text{m}$



# Evolution of Transverse Emittances Along Photo-Injector Beamline (to 216 MeV)



# Longitudinal Distributions After Exchanger (no CSR)



$$E_0 = 216 \text{ MeV}$$

$$\gamma \varepsilon_z = 9.92 \text{ } \mu\text{m}$$

$$\gamma \varepsilon_y = 0.00554 \text{ } \mu\text{m}$$

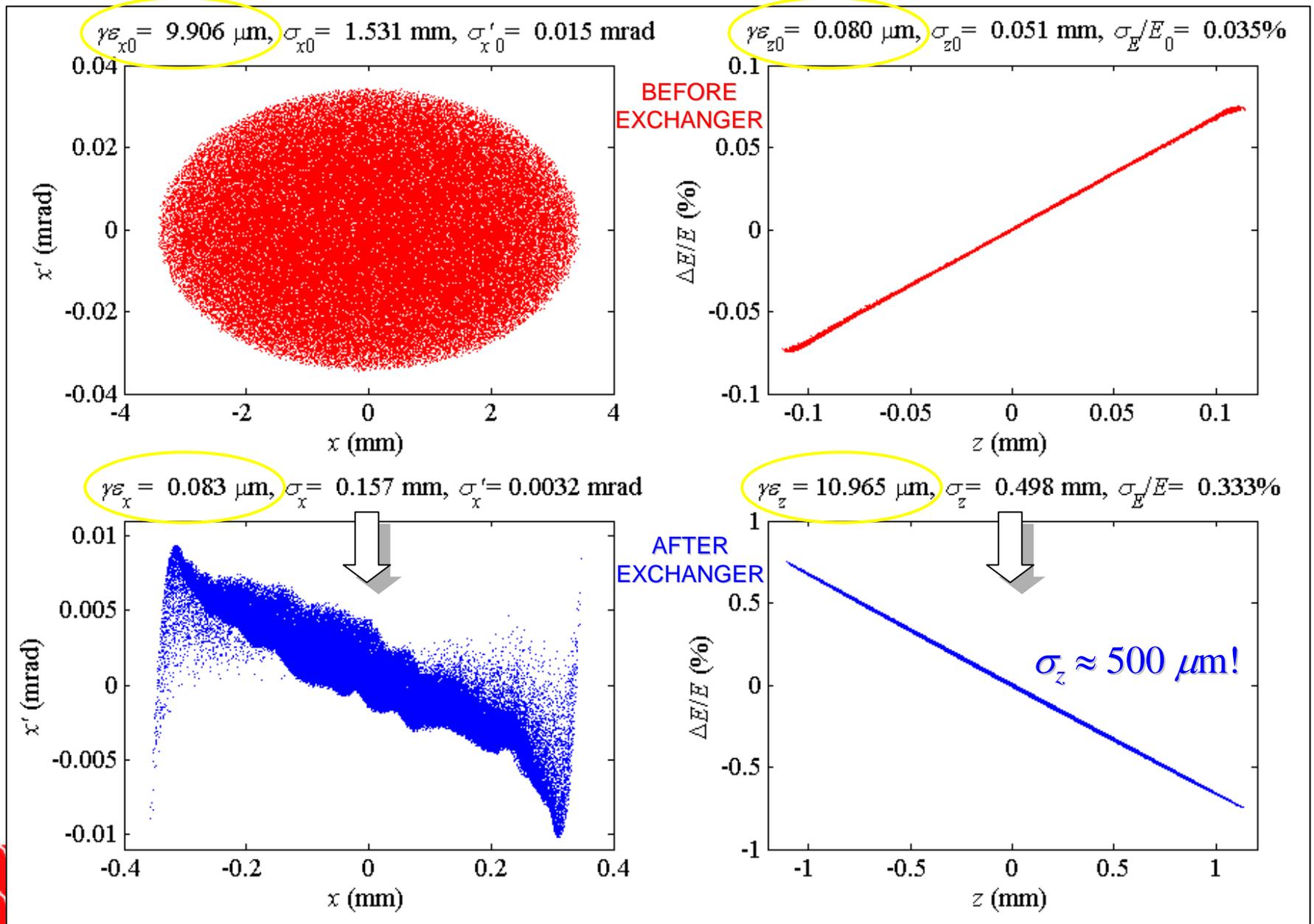
$$\gamma \varepsilon_x = 0.084 \text{ } \mu\text{m}$$

Lecture 15

D.H. Dowell, S. Lidia, J.F. Schmerge

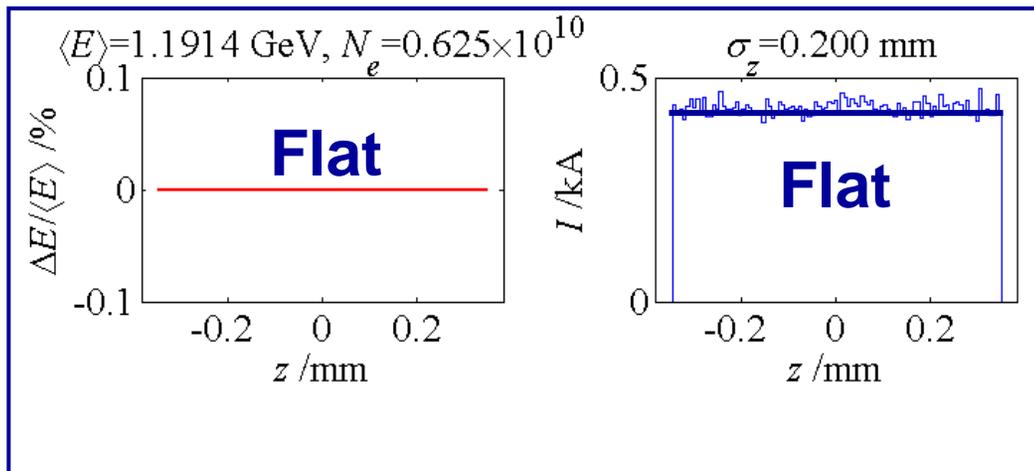


Transverse phase space (left two plots) and longitudinal phase space (right two plots) before (top) and after (bottom) emittance exchange.



# Wakefield compensation by tailored slice current profile

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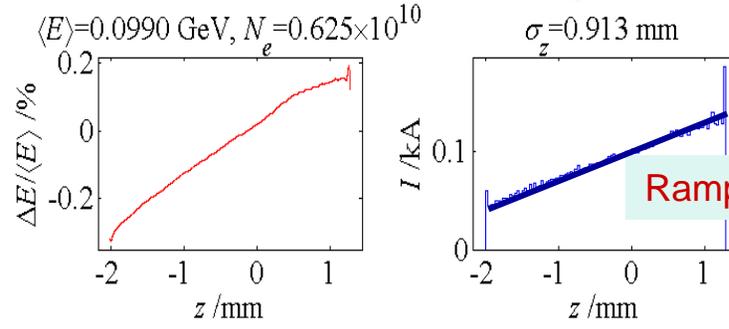
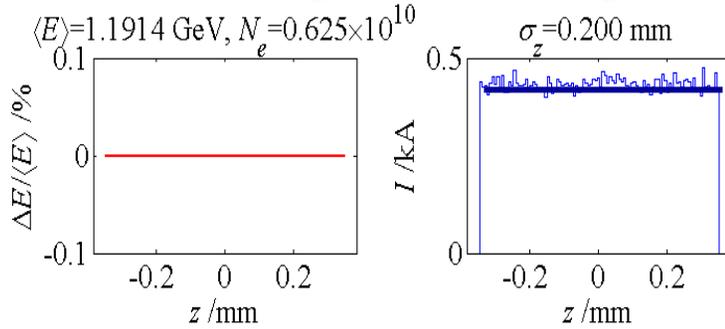
Seeded, harmonic cascade  
FELs flat current and flat  
energy beams

A distribution at the beginning of the accelerator that will evolve into flat-flat distribution can be found using reverse tracking\*

# Reverse tracking: use of wakefields

Begin tracking

End tracking



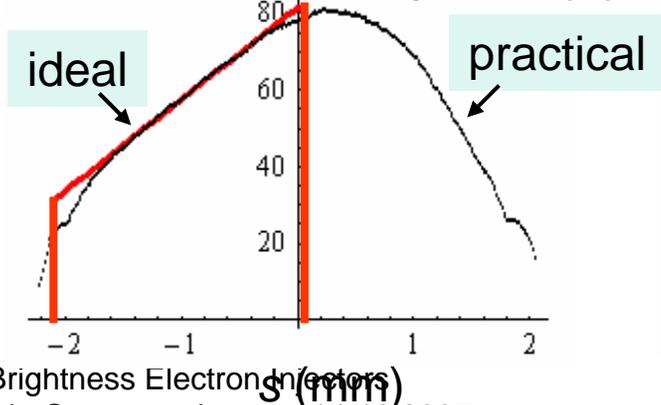
end of accelerator

start of accelerator

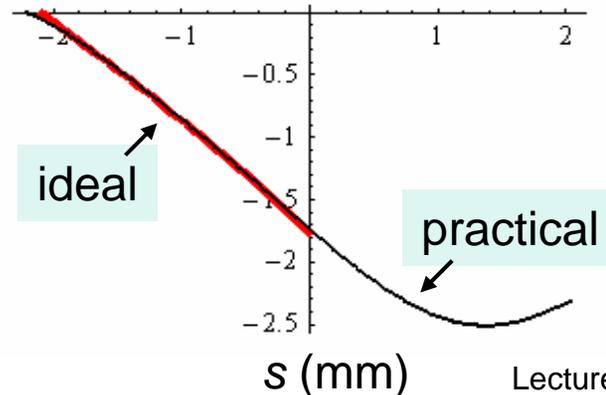
**LiTrack: no LSC no CSR**

Wake potential: 
$$W(s) = - \int_s^\infty w(s-s') \lambda_z(s') ds' \quad \text{where } w(s) = A \frac{Z_0 c}{\pi a^2} L \exp(-\sqrt{s/s_0})$$

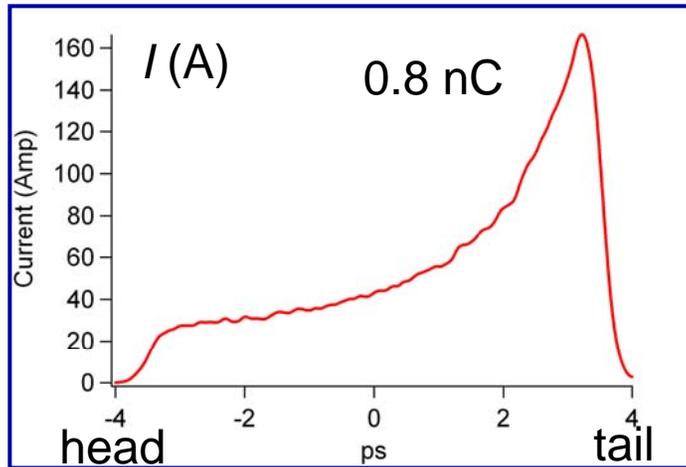
Peak current from injector (A)



W (MV/nC)

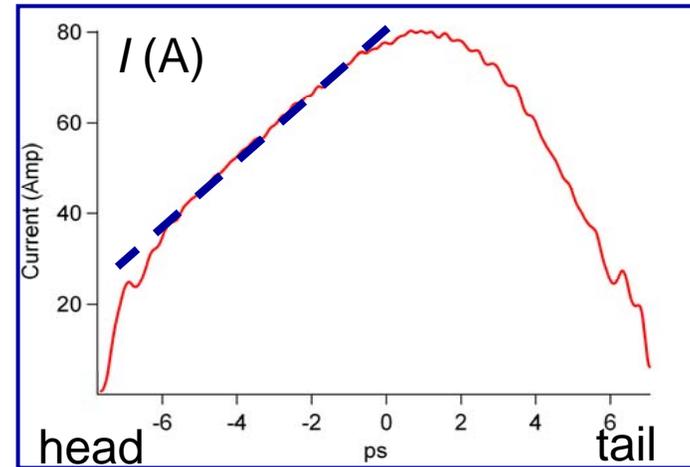


# Photocathode laser is used to shape the electron distribution in the e-gun

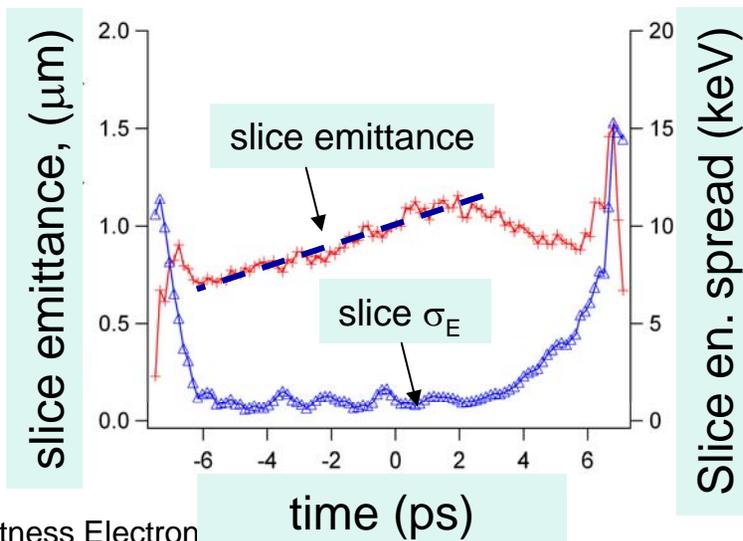


Next to the cathode

space charge



At the end of the injector at 100 MeV



For HC FEL  
larger emittance in the  
tail may not be a  
problem and smaller  
emittance at the head  
can be beneficial



# Discussion

Photoinjectors produce very bright beams.

We can manipulate the phase space of the beam to better match the requirements of our application.

It helps to pay attention to what our colleagues in other areas of beam physics are doing.

