



# Key Concepts - 1

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## Why do we need high energy beams



### \* Resolution of "Matter" Microscopes

→ Wavelength of Particles ( $\gamma$ , e, p, ...) (de Broglie, 1923)

$$\lambda = h / p = 1.2 \text{ fm} / p [\text{ GeV}/c]$$

→ Higher momentum  $\Rightarrow$  shorter wavelength  $\Rightarrow$  better the resolution

### \* Energy to Matter

→ Higher energy produces heavier particles

$$E = mc^2 = \frac{m_0 c^2}{\sqrt{1 - \frac{v^2}{c^2}}} = \gamma m_0 c^2$$

### \* Penetrate more deeply into matter



## Figures of merit



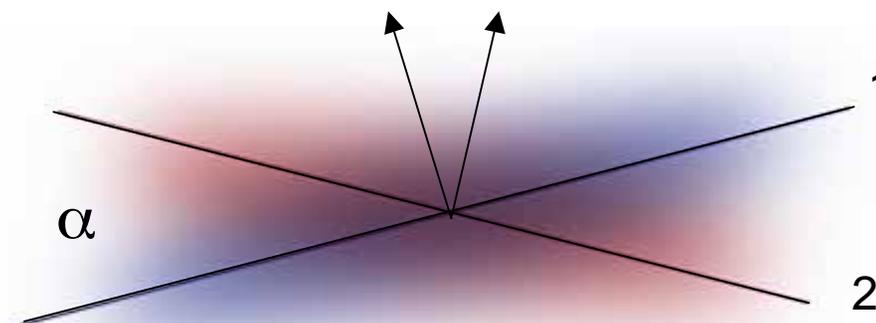
# High Energy Physics

## Figure of Merit 2: Number of events



$$\text{Events} = \text{Cross-section} \times \langle \text{Collision Rate} \rangle \times \text{Time}$$

*Beam energy: sets scale of physics accessible*



$$\text{Luminosity} = \frac{N_1 \times N_2 \times \text{frequency}}{\text{Overlap Area}} = \frac{N_1 \times N_2 \times f}{4\pi\sigma_x\sigma_y} \times \text{Correction factors}$$

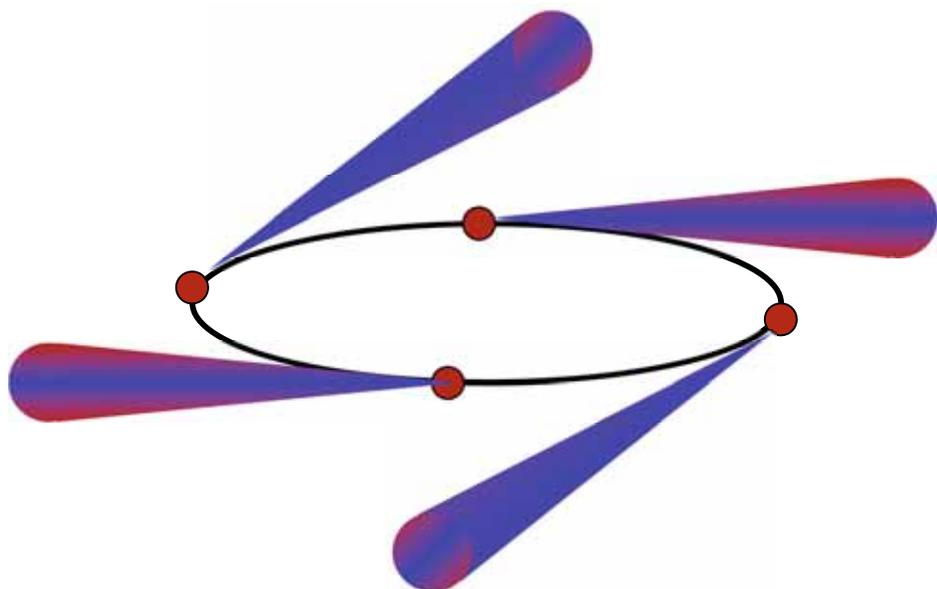
*We want large charge/bunch, high collision frequency & small spot size*



# Matter to energy: Synchrotron radiation science



## Synchrotron light source

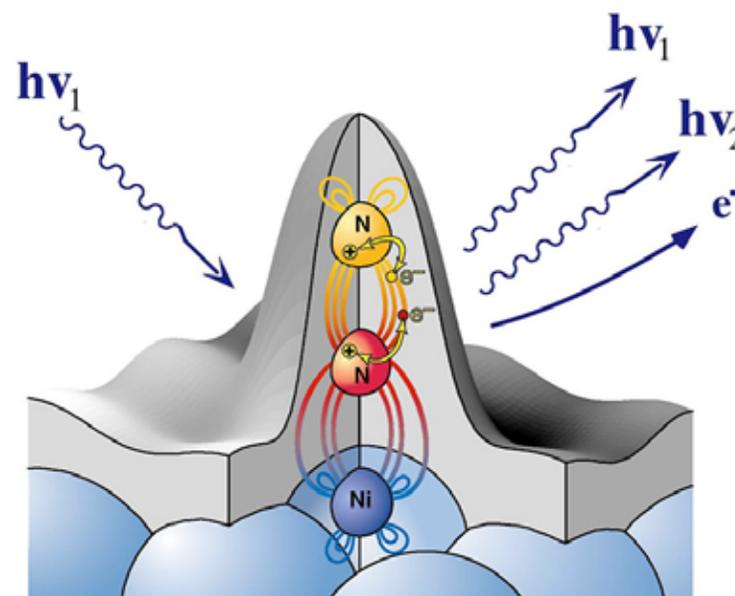


FOM: Brilliance v.  $\lambda$

$$B = \text{ph/s/mm}^2/\text{mrad}^2/0.1\% \text{ BW}$$

### ✧ Science with X-rays

- Microscopy
- Spectroscopy





# Special relativity



Thus we have the Lorentz transformation



$$x' = \frac{x - vt}{\sqrt{1 - v^2/c^2}} \quad , \quad t' = \frac{t - (v/c^2)x}{\sqrt{1 - v^2/c^2}}$$

$$y' = y \quad , \quad z' = z$$

Or in matrix form

$$\begin{pmatrix} ct' \\ x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \gamma & -\gamma\beta & 0 & 0 \\ -\gamma\beta & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} ct \\ x \\ y \\ z' \end{pmatrix}$$



## Proper time & length



- ✱ We define the proper time,  $\tau$ , as the duration measured in the rest frame
- ✱ The length of an object in its rest frame is  $L_o$
- ✱ As seen by an observer moving at  $v$ , the duration,  $T$ , is

$$T = \frac{\tau}{\sqrt{1 - v^2/c^2}} \equiv \gamma\tau > \tau$$

And the length,  $L$ , is

$$L = L_o/\gamma$$



## Velocity, energy and momentum



- ✱ For a particle with 3-velocity  $\mathbf{v}$ , the 4-velocity is

$$u^\alpha = (\gamma c, \gamma \mathbf{v}) = \frac{dx^\alpha}{d\tau}$$

- ✱ The total energy,  $E$ , of a particle is its rest mass,  $m_0$ , plus kinetic energy,  $T$  (what is cited as the energy of the beam)

$$E = m_0 c^2 + T = \gamma m_0 c^2 \quad \text{and} \quad E^2 = p^2 c^2 + m_0^2 c^4$$

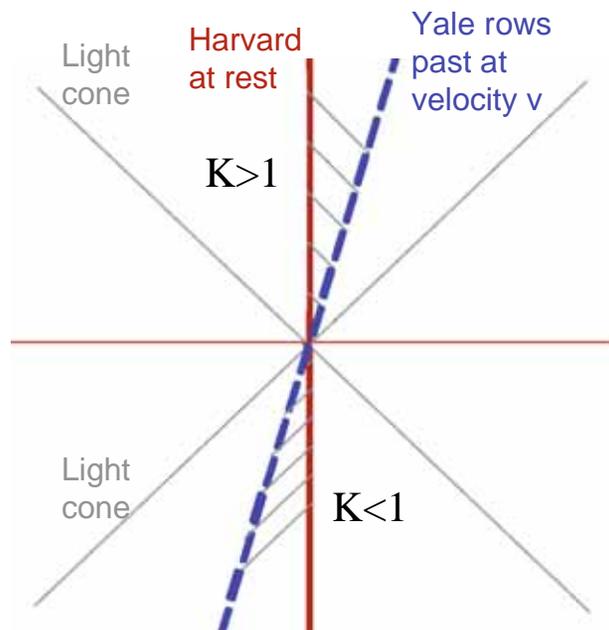
- ✱ The 4-momentum,  $p^\mu$ , is

$$p^\mu = (c\gamma m_0, \gamma m_0 \mathbf{v})$$

$$p^2 = m_0^2 c^2$$



# Doppler shift of frequency



## Distinguish between coordinate transformations and observations

- ✱ Yale sets his signal to flash at a constant interval,  $\Delta t'$
- ✱ Harvard sees the interval foreshortened by  $K(v)$  as Yale approaches
- ✱ Harvard see the interval stretched by  $K(-v)$  as Yale moves away

$$K(v) = \left( \frac{1+v}{1-v} \right)^{1/2} \approx 2\gamma$$

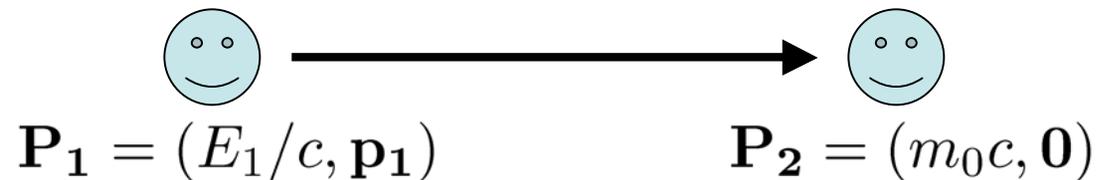


## Particle collisions

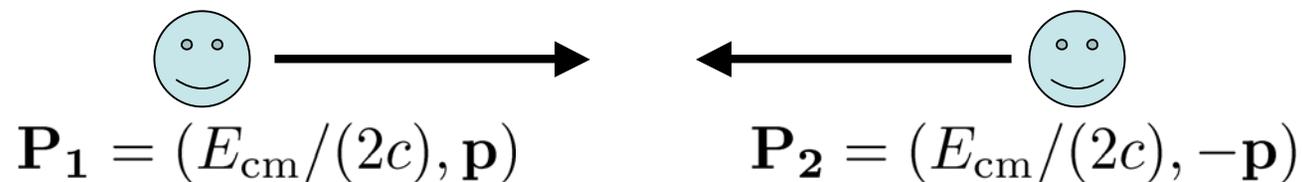


- ✱ Two particles have equal rest mass  $m_0$ .

**Laboratory Frame (LF):** one particle at rest, total energy is  $E_{lab}$ .



**Centre of Momentum Frame (CMF):** Velocities are equal & opposite, total energy is  $E_{cm}$ .



*Exercise: Relate  $E$  to  $E_{cm}$*

$$E_{cm} = \sqrt{2mc^2 E_{lab}}$$



## A simple problem - bending radius



✱ Compute the bending radius,  $R$ , of a non-relativistic particle in a uniform magnetic field,  $B$ .

→ Charge =  $q$

→ Energy =  $mv^2/2$

$$F_{Lorentz} = q \frac{v}{c} B = F_{centripital} = \frac{mv^2}{\rho}$$

$$\Rightarrow \rho = \frac{mvc}{qB} = \frac{pc}{qB}$$

$$\rho(\text{m}) = 3.34 \left( \frac{p}{1 \text{ GeV}/c} \right) \left( \frac{1}{q} \right) \left( \frac{1 \text{ T}}{B} \right)$$



## Lorentz transformations of E.M. fields



$$E'_{z'} = E_z$$

$$E'_{x'} = \gamma(E_x - vB_y)$$

$$E'_{y'} = \gamma(E_y + vB_x)$$

$$B'_{z'} = B_z$$

$$B'_{x'} = \gamma\left(B_x + \frac{v}{c^2} E_y\right)$$

$$B'_{y'} = \gamma\left(B_y - \frac{v}{c^2} E_x\right)$$

$$\Rightarrow \mathbf{B}'_{\perp} = \gamma \frac{\mathbf{v}}{c^2} \times \mathbf{E}$$

*Fields are invariant along the direction of motion, z*



## The E field gets swept into a thin cone



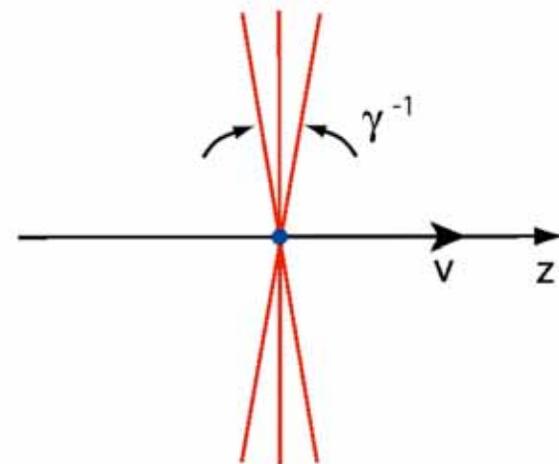
- ✱ We have  $E_x = \gamma E'_x$ ,  $E_y = \gamma E'_y$ , and  $E_z = E'_z$
- ✱ Transforming  $r'$  gives  $r' = \sqrt{x^2 + y^2 + \gamma^2(z - vt)^2} \equiv \gamma R$
- ✱ Draw  $\mathbf{r}$  is from the current position of the particle to the observation point,  $\mathbf{r} = (x, y, z - vt)$

- ✱ Then a little algebra gives us

$$\mathbf{E} = \frac{1}{4\pi\epsilon_0} \frac{q\mathbf{r}}{\gamma^2 R^3}$$

- ✱ The charge also generates a B-field

$$\mathbf{B} = \frac{1}{c^2} \mathbf{v} \times \mathbf{E}$$

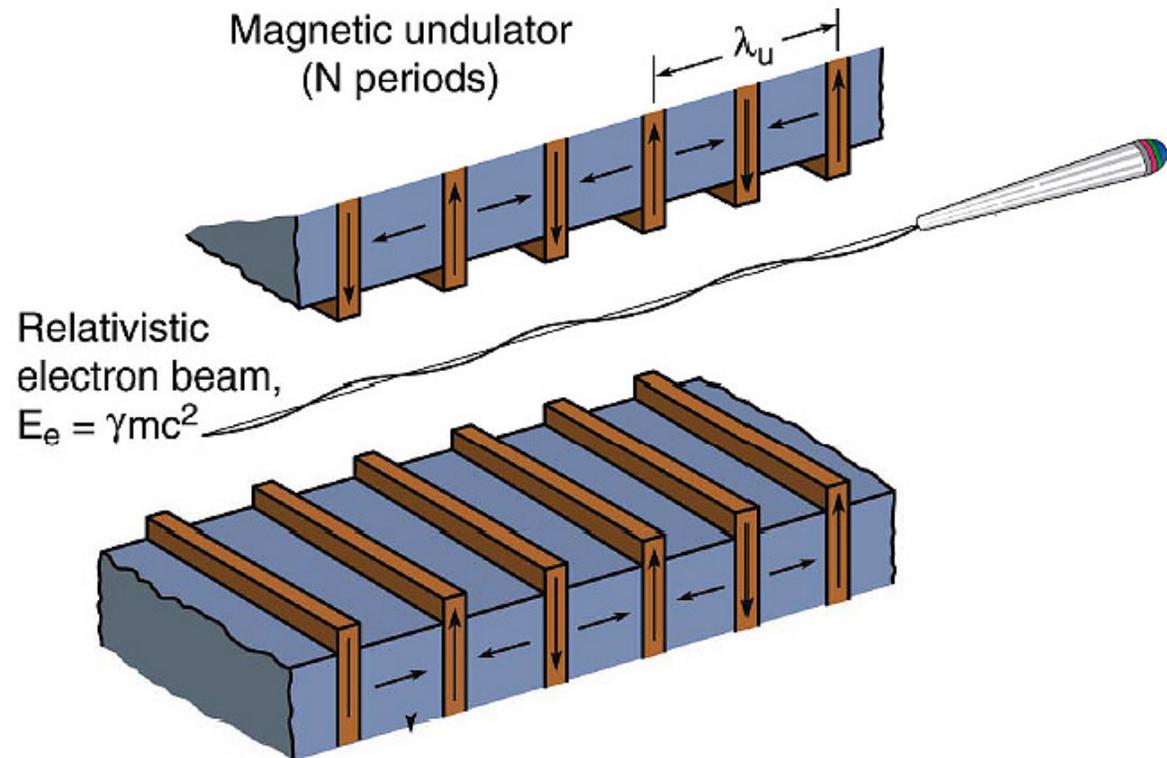
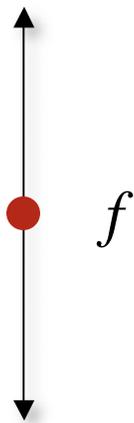




# Undulator radiation: What is $\lambda_{\text{rad}}$ ?



An electron in the lab oscillating at frequency,  $f$ , emits dipole radiation of frequency  $f$



What about the relativistic electron?



# Electromagnetism



## Newton's law



✱ We all know

$$\mathbf{F} = \frac{d}{dt} \mathbf{p}$$

✱ The 4-vector form is

$$F^\mu = \left( \gamma c \frac{dm}{dt}, \gamma \frac{d\mathbf{p}}{dt} \right) = \frac{dp^\mu}{d\tau}$$

✱ Differentiate  $p^2 = m_o^2 c^2$  with respect to  $\tau$

$$p_\mu \frac{dp^\mu}{d\tau} = p_\mu F^\mu = \frac{d(mc^2)}{dt} - \mathbf{F} \cdot \mathbf{v} = 0$$

✱ The work is the rate of changing  $mc^2$



## Harmonic oscillator



- ✱ Motion in the presence of a linear restoring force

$$F = -kx$$

$$\ddot{x} + \frac{k}{m}x = 0$$

$$x = A \sin \omega_o t \quad \text{where} \quad \omega_o = \sqrt{k/m}$$

- ✱ It is worth noting that the simple harmonic oscillator is a linearized example of the pendulum equation

$$\ddot{x} + \omega_o^2 \sin(x) \approx \ddot{x} + \omega_o^2 \left( x - \frac{x^3}{6} \right) = 0$$

that governs the free electron laser instability



## Electric displacement & magnetic field



In vacuum,

✱ The electric displacement is  $\mathbf{D} = \epsilon_0 \mathbf{E}$ ,

✱ The magnetic field is  $\mathbf{H} = \mathbf{B}/\mu_0$

Where

$$\epsilon_0 = 8.85 \times 10^{-12} \text{ farad/m} \quad \& \quad \mu_0 = 4 \pi \times 10^{-7} \text{ henry/m.}$$



## Maxwell's equations (1)



- ✱ Electric charge density  $\rho$  is source of the electric field,  $\mathbf{E}$  (Gauss's law)

$$\nabla \cdot \mathbf{E} = \rho$$

- ✱ Electric current density  $\mathbf{J} = \rho \mathbf{u}$  is source of the magnetic induction field  $\mathbf{B}$  (Ampere's law)

$$\nabla \times \mathbf{B} = \mu_0 \mathbf{J} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}$$

If we want big magnetic fields, we need large current supplies



## Maxwell's equations (2)



- ✱ Field lines of  $\mathbf{B}$  are closed; i.e., no magnetic monopoles.

$$\nabla \cdot \mathbf{B} = 0$$

- ✱ Electromotive force around a closed circuit is proportional to rate of change of  $\mathbf{B}$  through the circuit (Faraday's law).

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$



# Maxwell's equations: integral form



$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \Rightarrow \oint_S \vec{E} \cdot d\vec{a} = \frac{Q_{enclosed}}{\epsilon_0} \quad \text{Gauss' Law}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \Rightarrow \oint_C \vec{E} \cdot d\vec{l} = -\oint_S \frac{\partial \vec{B}}{\partial t} \cdot d\vec{a} \quad \text{Faraday's Law}$$

$$\vec{\nabla} \times \vec{B} = \mu_0 J + \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t} \Rightarrow \quad \text{Displacement current}$$

$$\oint_C \vec{B} \cdot d\vec{l} = \mu_0 I_{enclosed} + \mu_0 \epsilon_0 \oint_S \frac{\partial \vec{E}}{\partial t} \cdot d\vec{a} \quad \text{Ampere's Law}$$



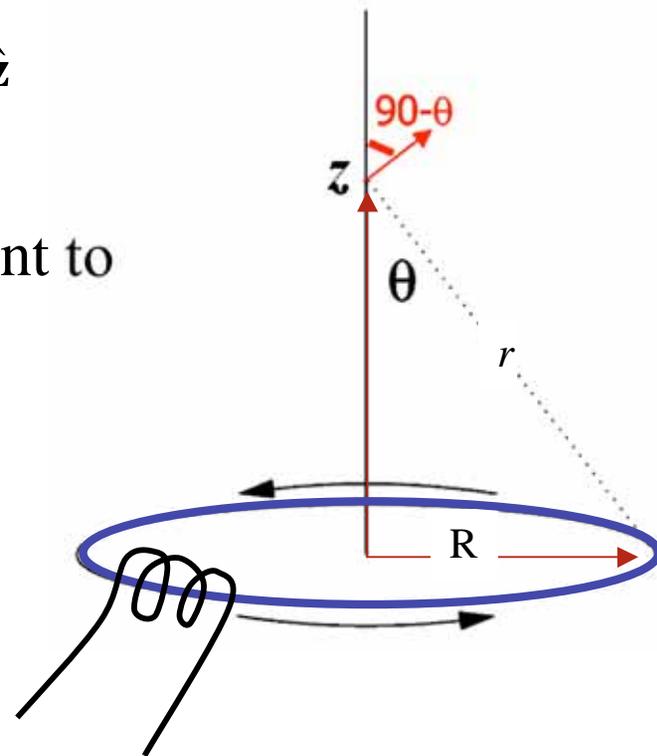
## We computed the B-field from current loop with $I = \text{constant}$



- ✧ By the Biot-Savart law we found that on the z-axis

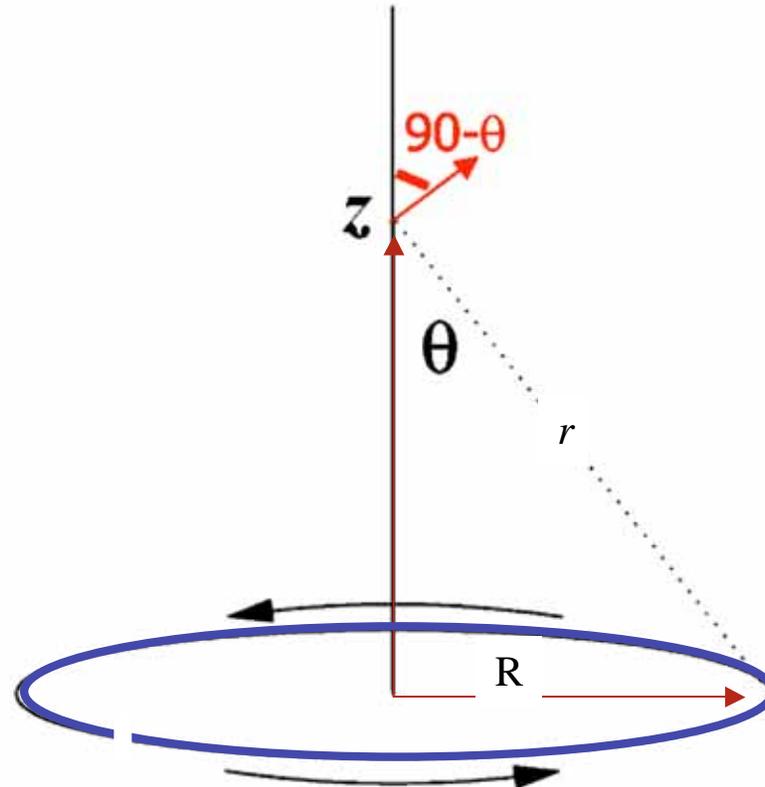
$$\mathbf{B} = \frac{I}{cr^2} R \sin\theta \int_0^{2\pi} d\varphi \hat{\mathbf{z}} = \frac{2\pi IR^2}{c(R^2 + z^2)^{3/2}} \hat{\mathbf{z}}$$

- ✧ What happens if we drive the current to have a time variation?





Question to ponder:  
What is the field from this situation?



*We expect this situation to lead to radiation*



## Boundary conditions for a perfect conductor, $\sigma = \infty$



1. If electric field lines terminate on a surface, they do so normal to the surface
  - a) any tangential component would quickly be neutralized by lateral motion of charge within the surface.
  - b) The E-field must be normal to a conducting surface
2. Magnetic field lines avoid surfaces
  - a) otherwise they would terminate, since the magnetic field is zero within the conductor
    - i. The normal component of B must be continuous across the boundary for  $\sigma \neq \infty$



# Properties of beams



## Brightness of a beam source



- ✱ A figure of merit for the performance of a beam source is the brightness

$$B = \frac{\text{Beam current}}{\text{Beam area} \times \text{Beam Divergence}} = \frac{\text{Emissivity (J)}}{\sqrt{\text{Temperature/mass}}}$$
$$= \frac{J_e}{\left(\sqrt{\frac{kT}{\gamma m_o c^2}}\right)^2} = \frac{J_e \gamma}{\left(\frac{kT}{m_o c^2}\right)}$$

Typically the normalized brightness is quoted for  $\gamma = 1$



## Beams have directed energy



- ✱ The beam momentum refers to the average value of  $p_z$  of the particles

$$p_{\text{beam}} = \langle p_z \rangle$$

- ✱ The beam energy refers to the mean value of

$$E_{\text{beam}} = \left[ \langle p_z \rangle^2 c^2 + m^2 c^4 \right]^{1/2}$$

- ✱ For highly relativistic beams  $pc \gg mc^2$ , therefore

$$E_{\text{beam}} = \langle p_z \rangle c$$



## Beams have internal (self-forces)



✱ Space charge forces

→ Like charges repel

→ Like currents attract

✱ For a long thin beam

$$E_{sp} (V / cm) = \frac{60 I_{beam} (A)}{R_{beam} (cm)}$$

$$B_{\theta} (gauss) = \frac{I_{beam} (A)}{5 R_{beam} (cm)}$$



## Envelope equation: Last steps



- ✱ Angular momentum conservation implies

$$P_{\vartheta} = \gamma L + \gamma \omega_c \frac{R^2}{c} = \text{constant}$$

- ✱ The energy & virial equations combine to yield

$$\ddot{R} + \frac{\dot{\gamma}}{\gamma} \dot{R} + \frac{U}{R} + \frac{\omega_c^2 R}{4} - \frac{E^2}{\gamma^2 R^3} = \frac{1}{\gamma^2 R^3} \int_{t_0}^t dt' \left( \frac{2\gamma R^2}{m} \varepsilon' \right)$$

where

$$U = \langle \omega_{\beta}^2 r^2 \rangle = \frac{I}{I_{\text{Alfven}}}$$

and

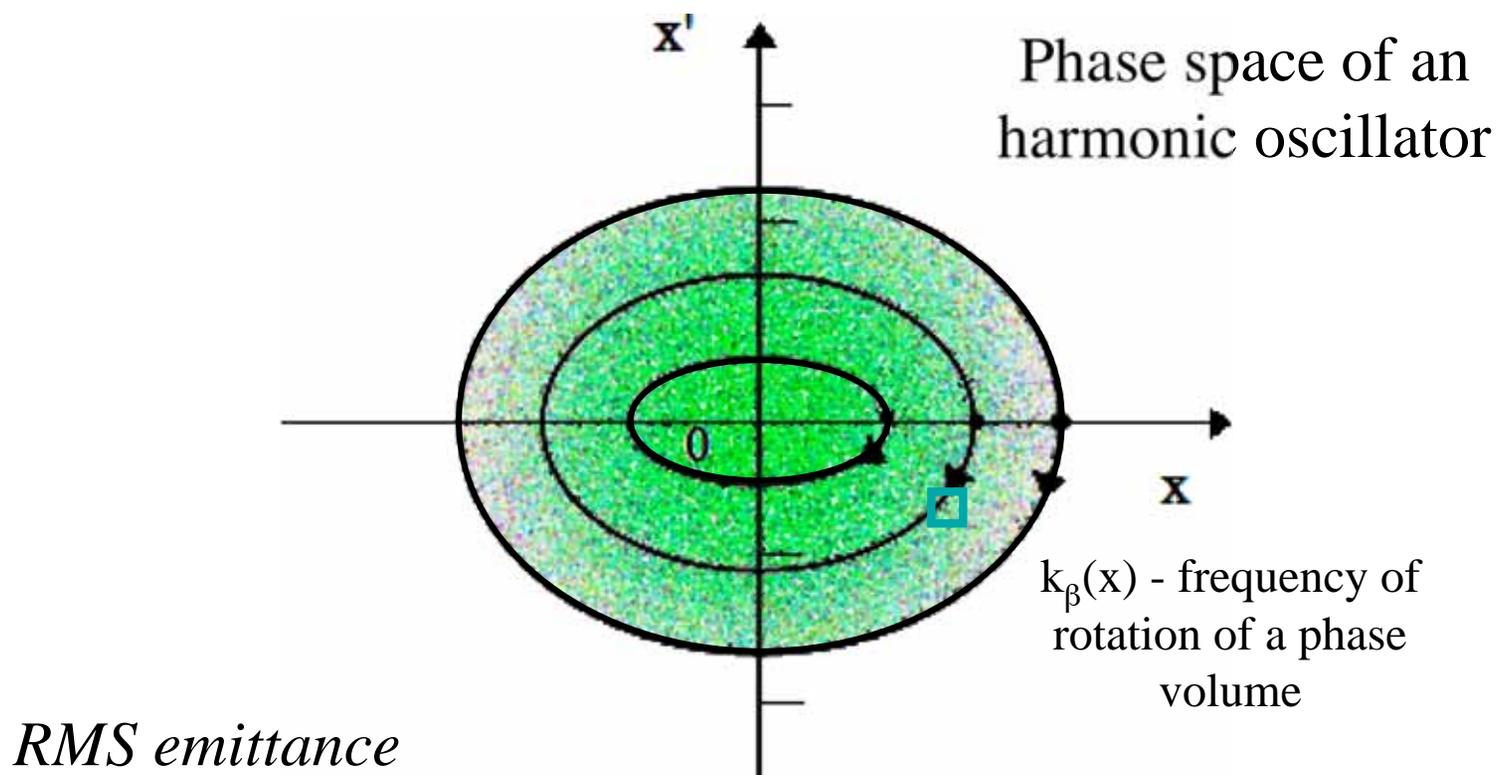
$$E^2 = \gamma^2 R^2 \left( V^2 - (\dot{R})^2 \right) + P_{\vartheta}^2$$



# Emittance describes the area in phase space of the ensemble of beam particles



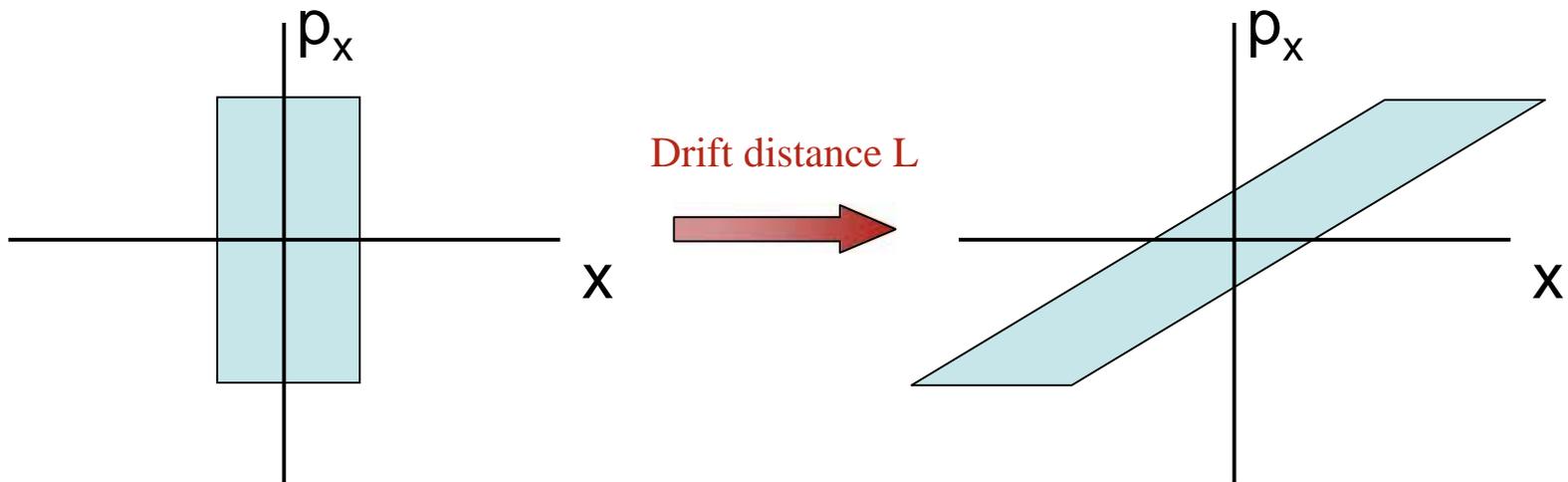
Emittance - Phase space volume of beam



$$\epsilon^2 \equiv R^2 (V^2 - (R')^2) / c^2$$



## Force-free expansion of a beam



*Notice: The phase space area is conserved*

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} \Rightarrow \begin{aligned} x &= x_0 + Lx'_0 \\ x' &= x'_0 \end{aligned}$$



## Matrix representation of a drift



✱ From the diagram we can write by inspection

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} \Rightarrow \begin{aligned} x &= x_0 + Lx'_0 \\ x' &= x'_0 \end{aligned}$$

$$\langle x^2 \rangle = \langle (x_0 + Lx'_0)^2 \rangle = \langle x_0^2 \rangle + L^2 \langle x_0'^2 \rangle + 2L \langle x_0 x'_0 \rangle$$

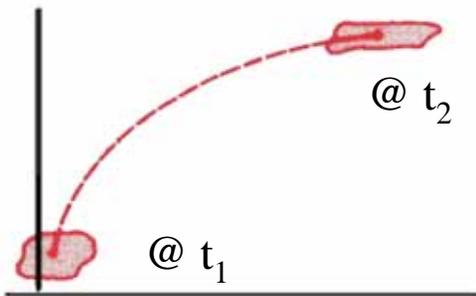
$$\langle x'^2 \rangle = \langle x_0'^2 \rangle$$

$$\langle xx' \rangle = \langle (x_0 + Lx'_0)x'_0 \rangle = L \langle x_0'^2 \rangle + \langle x_0 x'_0 \rangle$$

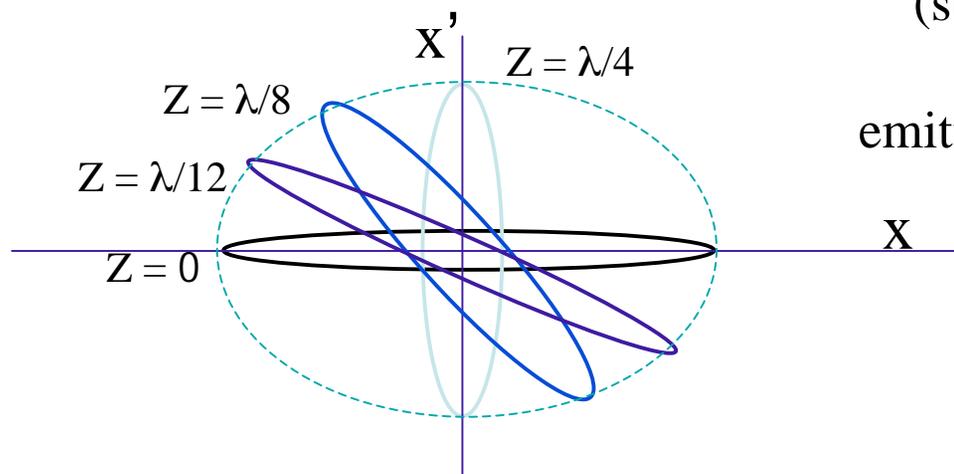
✱ Now write these last equations in terms of  $\beta_T$ ,  $\gamma_T$  and  $\alpha_T$



# Why is emittance an important concept



1) Liouville: Under conservative forces phase space evolves like an incompressible fluid ==>



2) Under linear forces macroscopic (such as focusing magnets) &  $\gamma = \text{constant}$  emittance is an invariant of motion

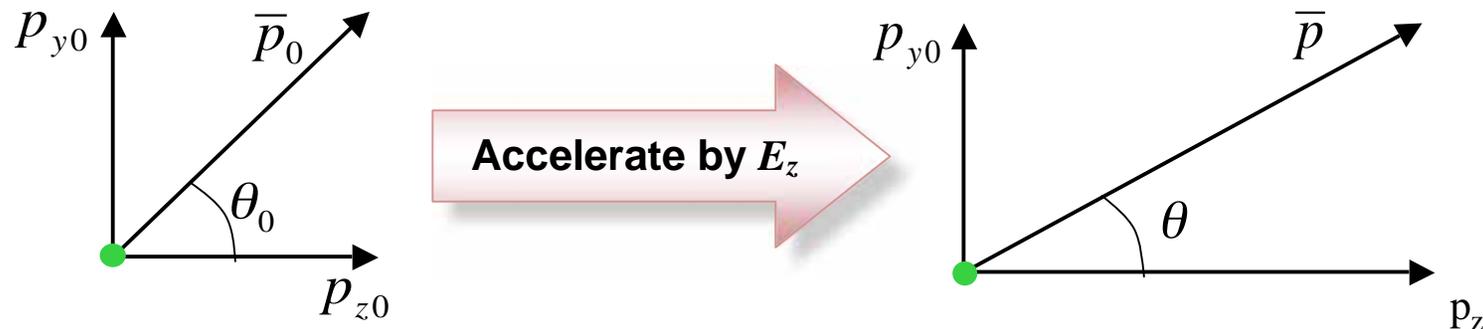
3) Under acceleration  $\gamma \epsilon = \epsilon_n$  is an adiabatic invariant



## Emittance during acceleration



- ✱ When the beam is accelerated,  $\beta$  &  $\gamma$  change
  - $x$  and  $x'$  are no longer canonical
  - Liouville theorem does not apply & emittance is not invariant



$$p_z = \sqrt{\frac{T^2 + 2Tm_0c^2}{T_0^2 + 2T_0m_0c^2}} p_{z0}$$

$T \equiv \text{kinetic energy}$



Then...



$$y'_0 = \tan \theta_0 = \frac{p_{y0}}{p_{z0}} = \frac{p_{y0}}{\beta_0 \gamma_0 m_0 c} \quad y' = \tan \theta = \frac{p_y}{p_z} = \frac{p_{y0}}{\beta \gamma m_0 c} \quad \frac{y'}{y'_0} = \frac{\beta_0 \gamma_0}{\beta \gamma}$$

In this case  $\frac{\epsilon_y}{\epsilon_{y0}} = \frac{y'}{y'_0} \implies \boxed{\beta \gamma \epsilon_y = \beta_0 \gamma_0 \epsilon_{y0}}$

- \* Therefore, the quantity  $\beta \gamma \epsilon$  is invariant during acceleration.
- \* Define a conserved *normalized emittance*

$$\boxed{\epsilon_{ni} = \beta \gamma \epsilon_i \quad i = x, y}$$

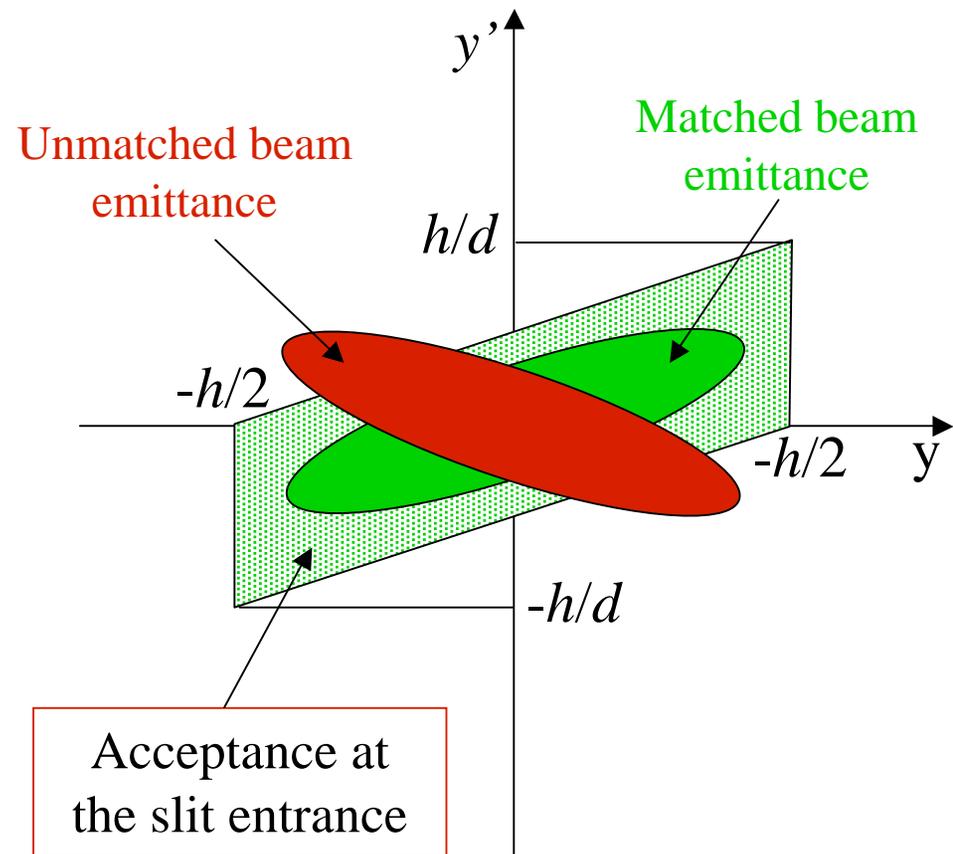
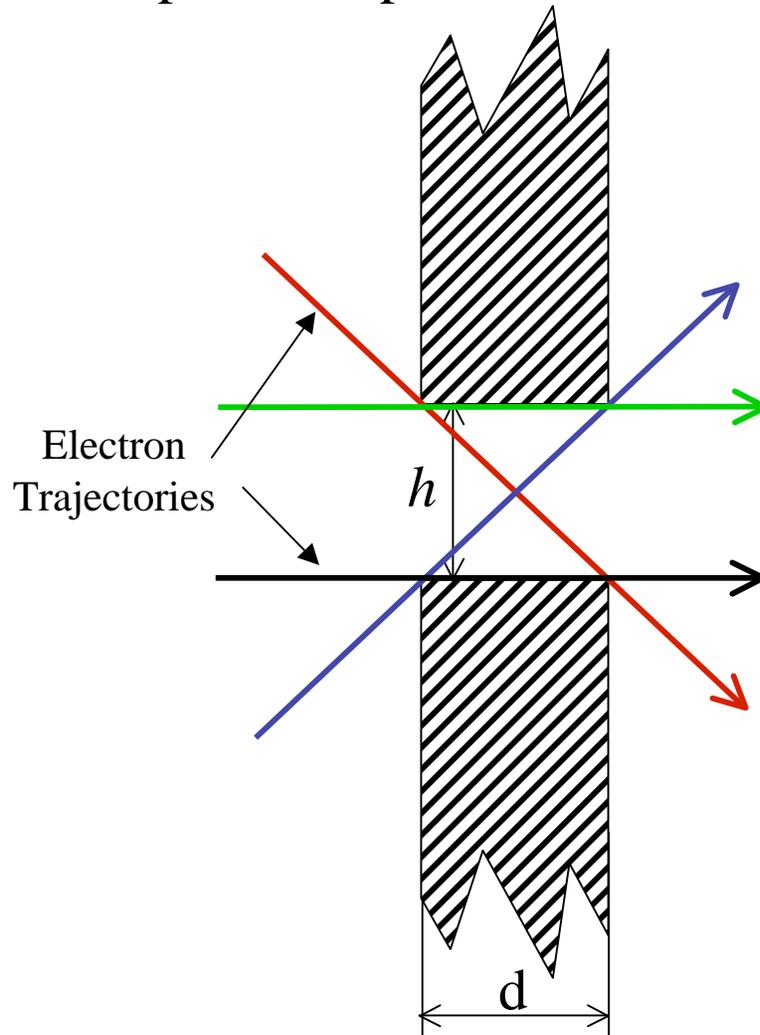
*Acceleration couples the longitudinal plane with the transverse planes*  
*The 6D emittance is still conserved but the transverse ones are not*



# The Concept of Acceptance



Example: Acceptance of a slit





## Measuring the emittance of the beam

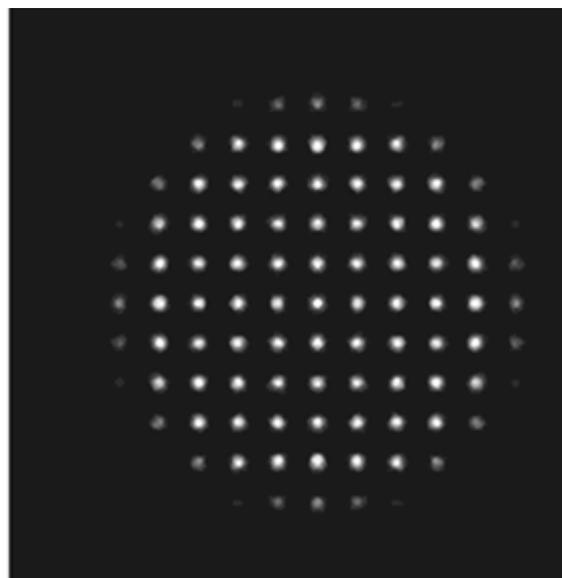
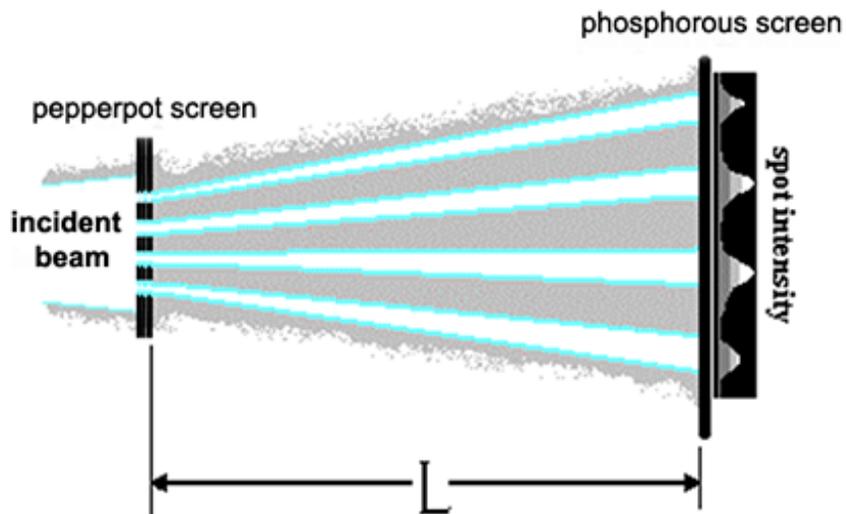


$$\varepsilon^2 = R^2(V^2 - (R')^2)/c^2$$

- ✱ RMS emittance
  - Determine rms values of velocity & spatial distribution
- ✱ Ideally determine distribution functions & compute rms values
- ✱ Destructive and non-destructive diagnostics



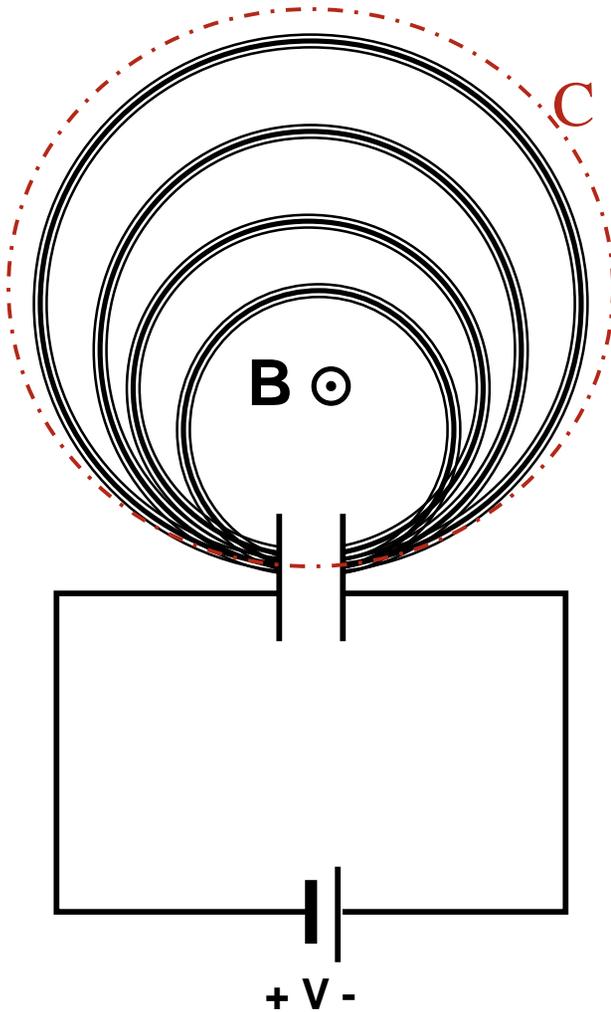
## Example of pepperpot diagnostic



- \* Size of image  $\implies R$
- \* Spread in overall image  $\implies R'$
- \* Spread in beamlets  $\implies V$
- \* Intensity of beamlets  $\implies$  current density



## Maxwell forbids this!



$$\nabla \times \mathbf{E} = -\frac{d\mathbf{B}}{dt}$$

or in integral form

$$\oint_C \mathbf{E} \cdot d\mathbf{s} = -\frac{\partial}{\partial t} \int_S \mathbf{B} \cdot \mathbf{n} da$$

**$\therefore$  There is no acceleration  
without time-varying magnetic flux**



# Non-resonant accelerators



# Characteristics of DC accelerators



✱ Voltage limited by electrical breakdown ( $\sim 10$  kV/cm)

→ High voltage

==> Large size (25 m for 25 MV)

→ Exposed high voltage terminal

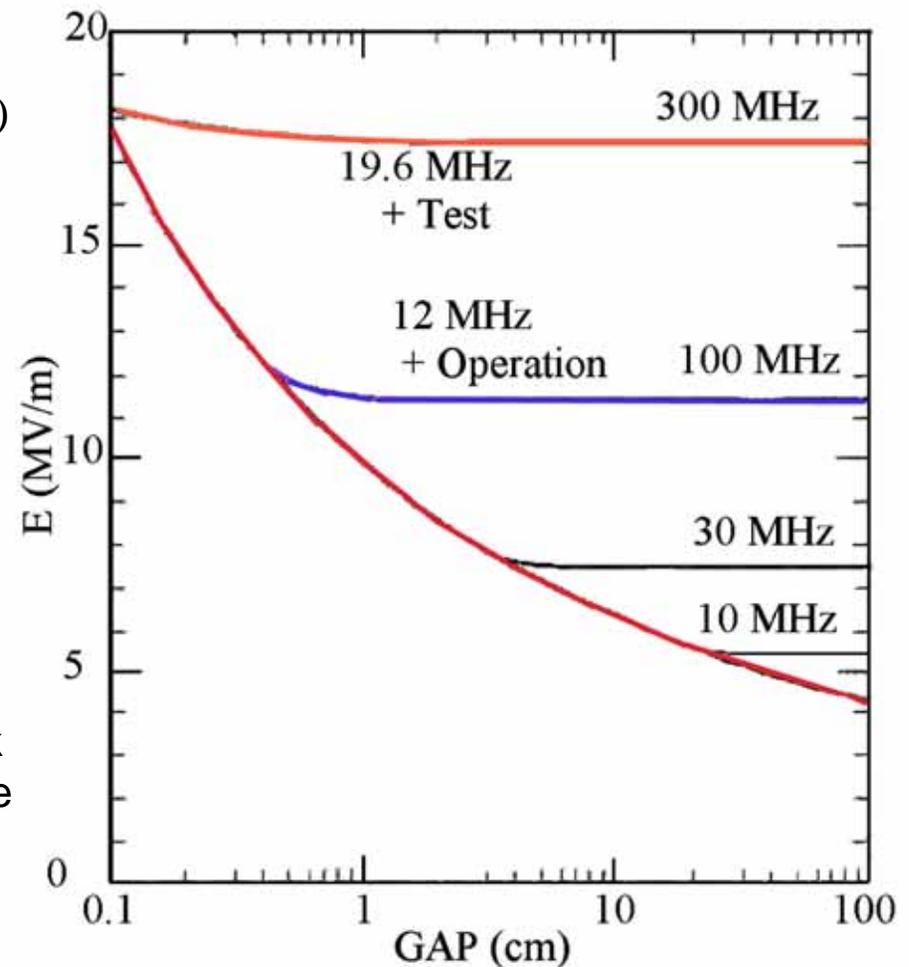
==> Safety envelope

✱ High impedance structures

→ Low beam currents

✱ Generates continuous beams

Sparking electric field limits in the Kilpatrick model, including electrode gap dependence





## Synchronism in the Microtron



$$\frac{1}{r_{orbit}} = \frac{eB}{pc} = \frac{eB}{mc^2\beta\gamma}$$

$$\tau_{rev} = \frac{2\pi r_{orbit}}{v} = \frac{2\pi r_{orbit}}{\beta c} = \frac{2\pi mc}{e} \frac{\gamma}{B}$$

**Synchronism condition:  $\Delta\tau_{rev} = N/f_{rf}$**

$$\Delta\tau = \frac{N}{f_{rf}} = \frac{2\pi mc}{e} \frac{\Delta\gamma}{B} = \frac{\Delta\gamma}{f_{rf}}$$

If  $N = 1$  for the first turn @  $\gamma \sim 1$

$$\text{Or } \Delta\gamma = 1 \implies \mathbf{E}_{rf} = mc^2$$

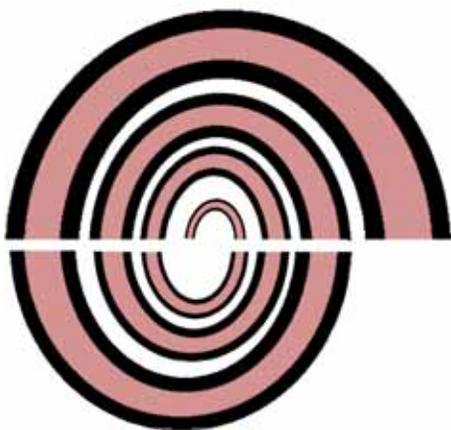
*Possible for electrons but not for ions*



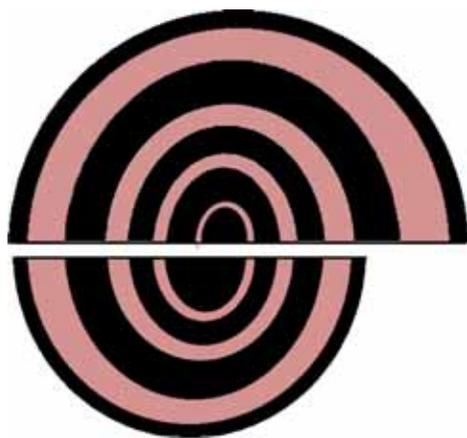
But long as  $\gamma \approx 1$ ,  $\tau_{rev} \approx \text{constant!}$   
Let's curl up the Wiederoe linac



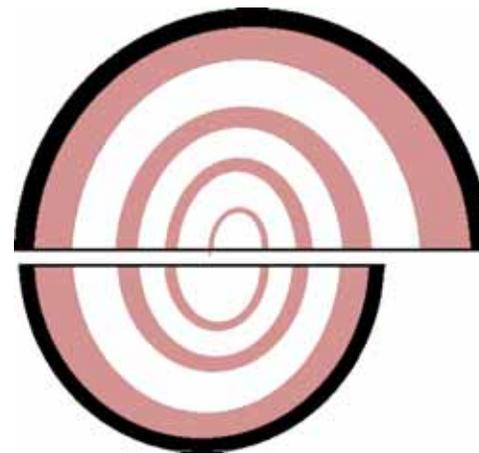
Bend the drift tubes



Connect equipotentials



Eliminate excess Cu



Supply magnetic field to bend beam

$$\tau_{rev} = \frac{1}{f_{rf}} = \frac{2\pi mc}{eZ_{ion} B} \frac{\gamma}{B} \approx \frac{2\pi mc}{eZ_{ion} B} = \text{const.}$$



# Transformers are highly efficient and can drive large currents



Large units can transfer > 99% of input power to the output

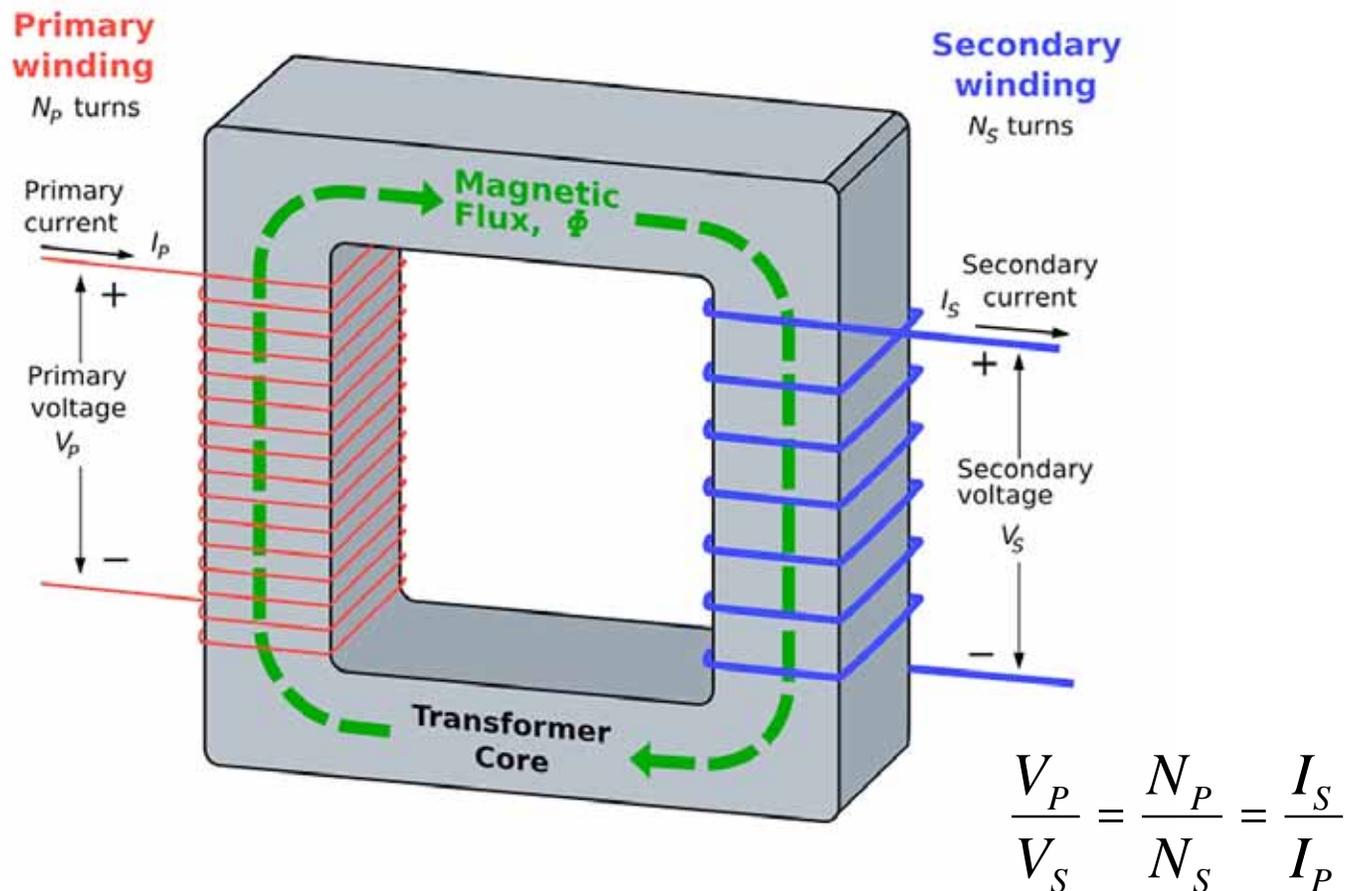
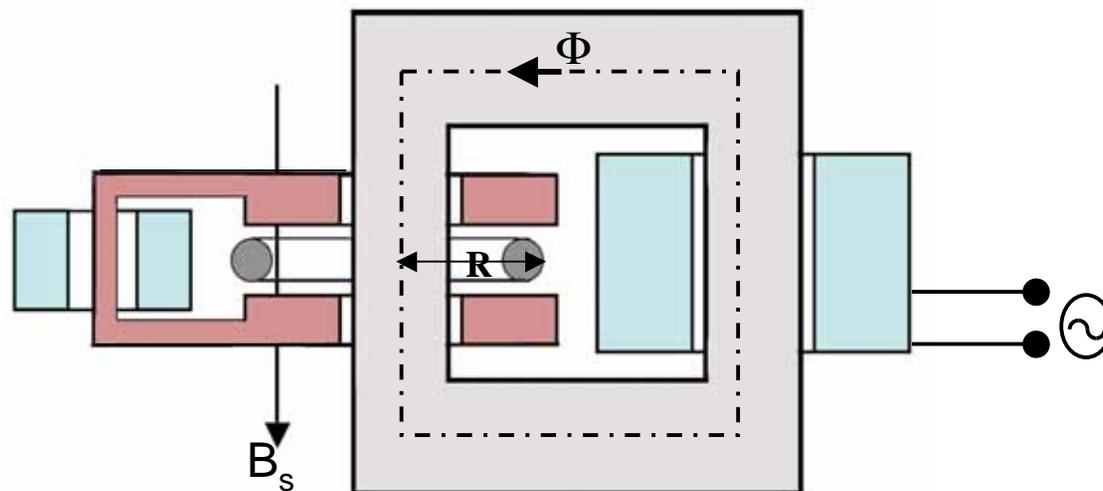


Image of step-down transformer from Wikipedia



## Recall the ray transformer realized as the Betatron (D. Kerst, 1940)



The beam acts as a 1-turn secondary winding of the transformer

Magnetic field energy is transferred directly to the electrons

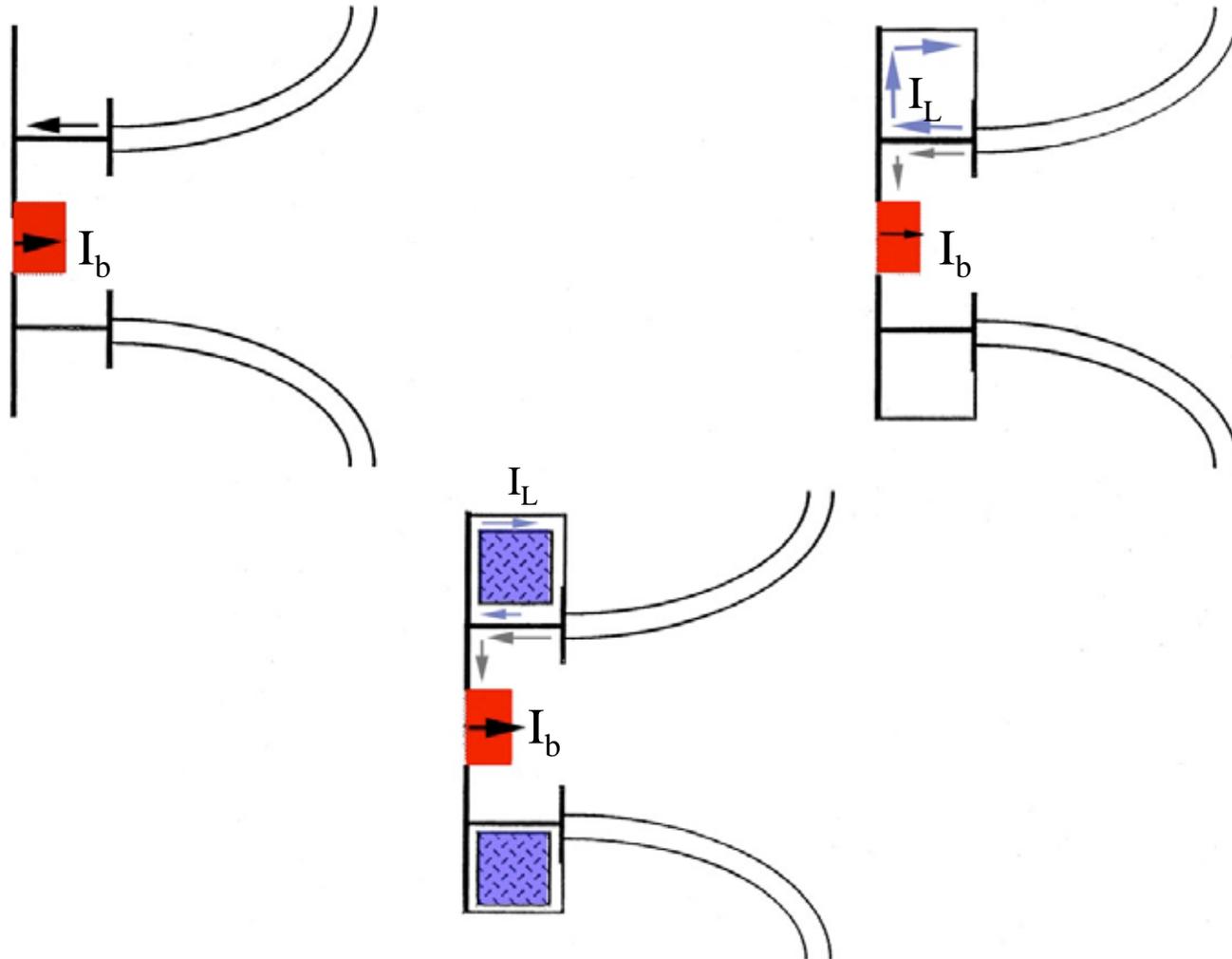
For the orbit size to remain invariant

$$\dot{\Phi} = 2\pi R^2 \dot{B}_s$$

This was good for up to 300 MeV electrons. What about electrons or ions?

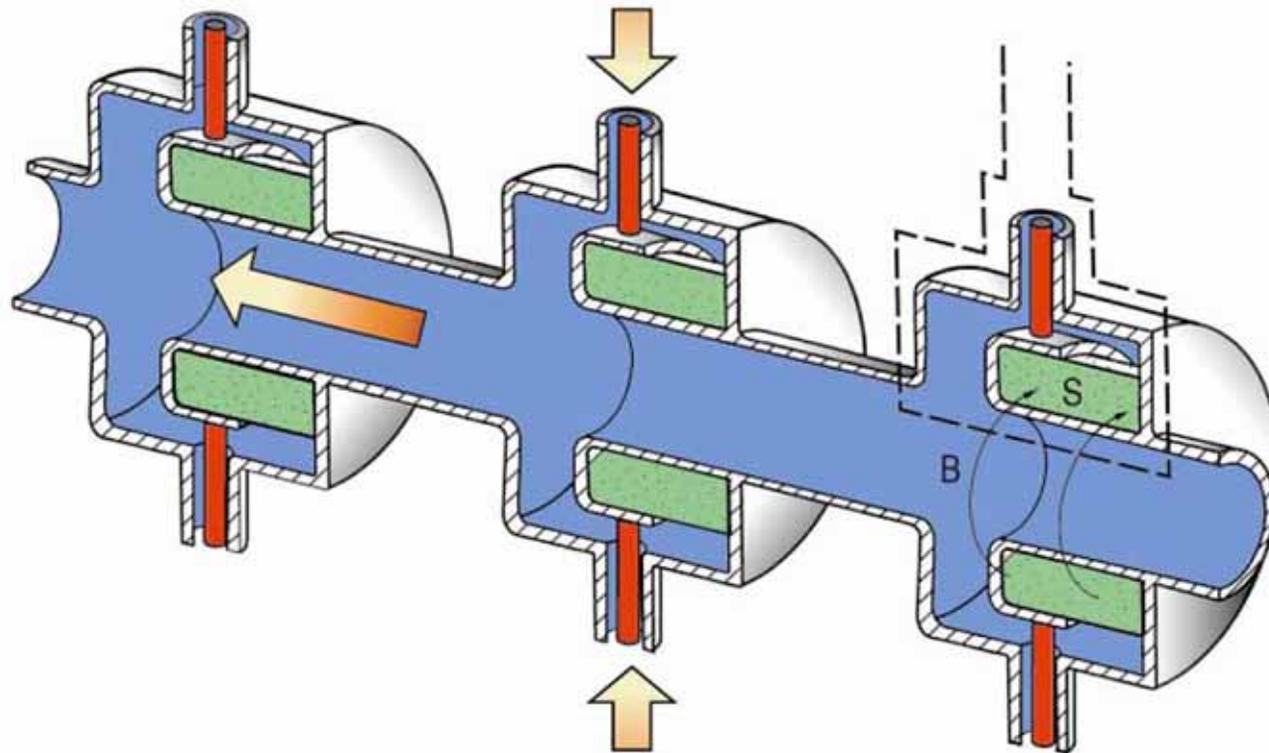


# Principle of inductive isolation





# The Linear Betatron: Linear Induction Accelerator



N. Christofilos

$$\oint_C \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int_S \mathbf{B} \cdot d\mathbf{s}$$



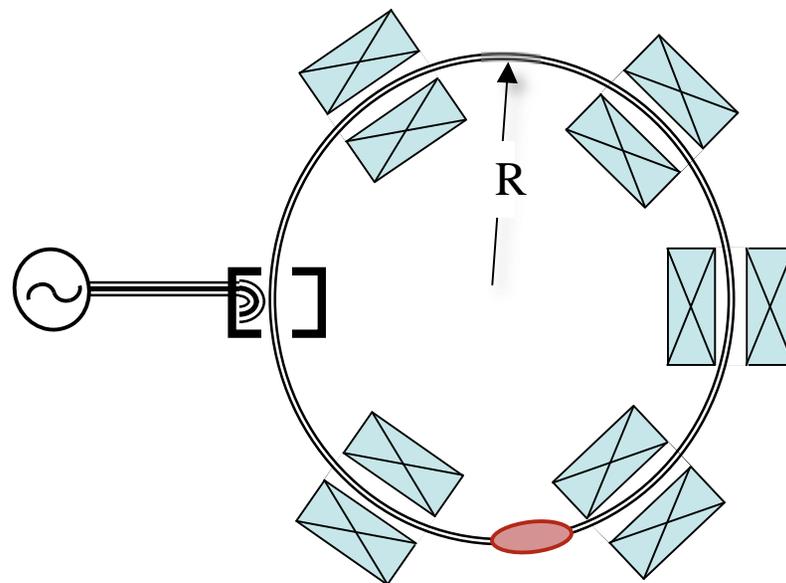
# Synchrotrons & phase stability



# The synchrotron introduces two new ideas: change $B_{\text{dipole}}$ & change $\omega_{\text{rf}}$



- \* For low energy ions,  $f_{\text{rev}}$  increases as  $E_{\text{ion}}$  increases
- \*  $\implies$  Increase  $\omega_{\text{rf}}$  to maintain synchronism
- \* For any  $E_{\text{ion}}$  circumference must be an integral number of rf wavelengths
- \*  $L = h \lambda_{\text{rf}}$
- \*  $h$  is the harmonic number



$$L = 2\pi R$$

$$f_{\text{rev}} = 1/\tau = v/L$$



## Ideal closed orbit in the synchrotron



- ✱ Beam particles will not have identical orbital positions & velocities
- ✱ In practice, they will have transverse oscillatory motion (betatron oscillations) set by radial restoring forces
- ✱ An ideal particle has zero amplitude motion on a closed orbit along the axis of the synchrotron





## Energy gain -II



- \* The synchronism conditions for the synchronous particle
  - condition on rf- frequency,
  - relation between rf voltage & field ramp rate
- \* The rate of energy gain for the synchronous particle is

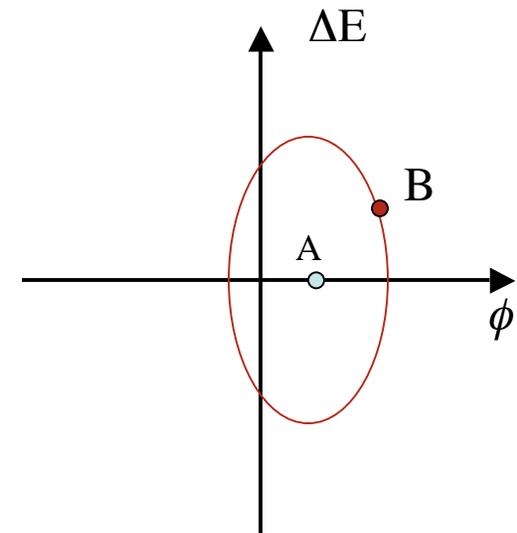
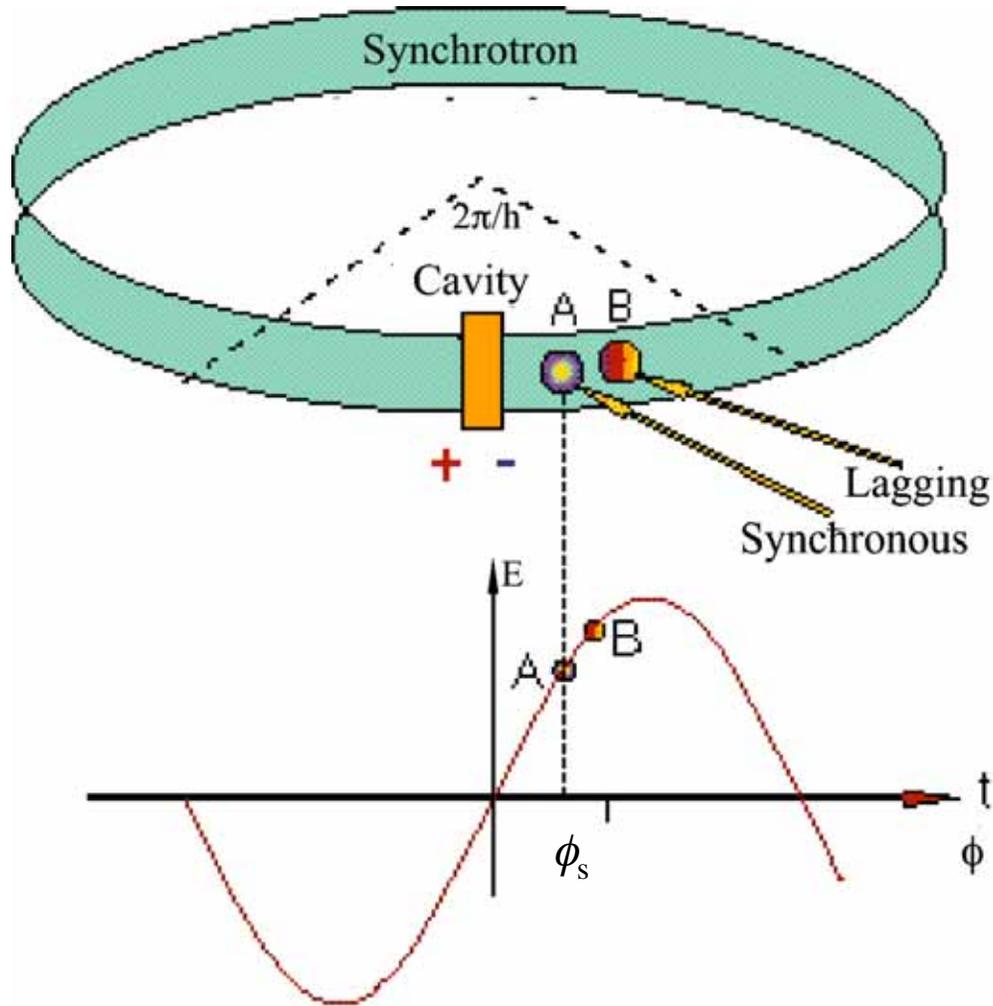
$$\frac{dE_s}{dt} = \frac{\beta_s c}{L} eV \sin \varphi_s = \frac{c}{h\lambda_{rf}} eV \sin \varphi_s$$

- \* Its rate of change of momentum is

$$\frac{dp_s}{dt} = eE_o \sin \varphi_s = \frac{eV}{L} \sin \varphi_s$$



# What do we mean by phase? Let's consider non-relativistic ions

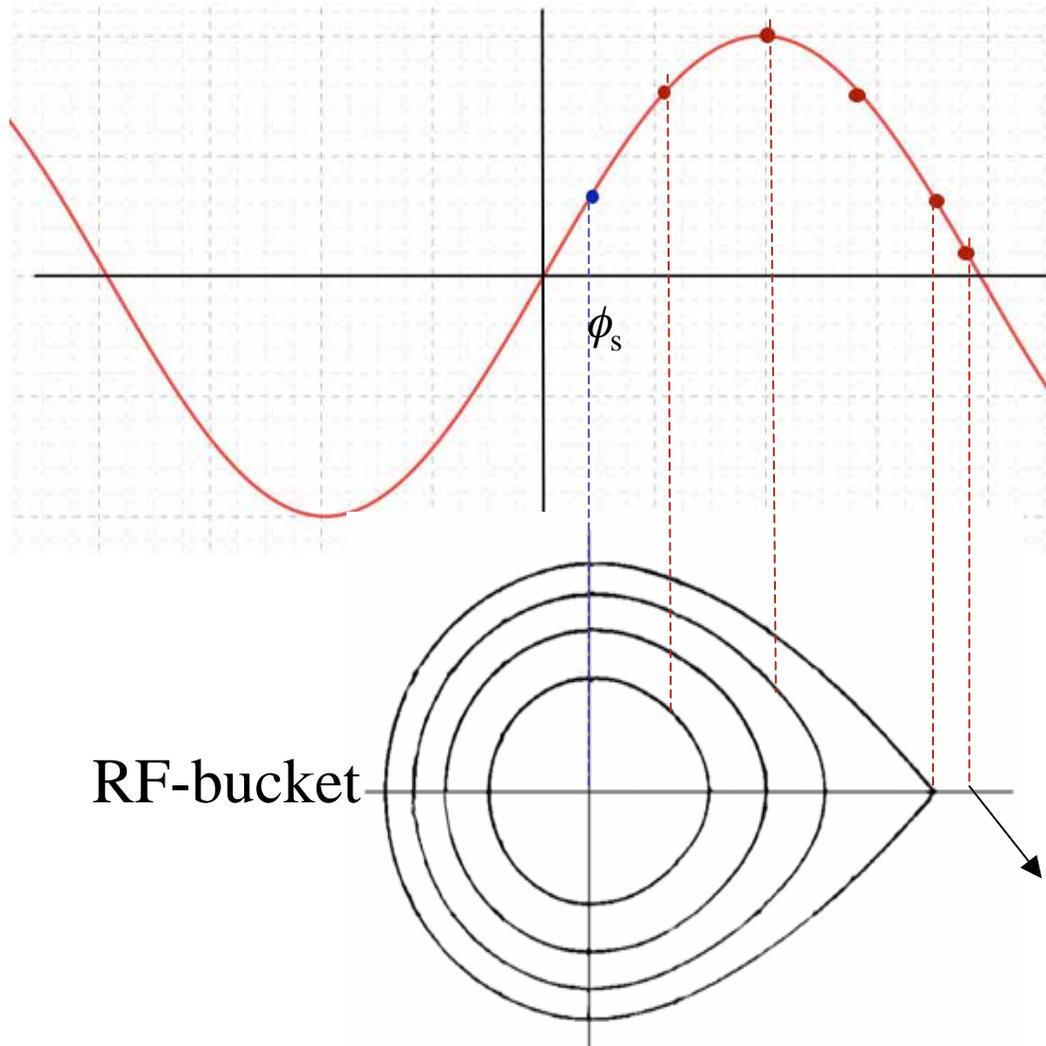


How does the ellipse change as B lags further behind A?

From E. J. N. Wilson CAS lecture



# How does the ellipse change as B lags further behind A?



RF-bucket

How does the size of the bucket change with  $\phi_s$  ?



## Two first order equations $\implies$ one second order pendulum equation



$$\frac{d\varphi}{dn} = \frac{\eta\omega_{rf}\tau}{\beta^2 E_s} \Delta E$$

and

$$\frac{d\Delta E}{dn} = eV(\sin\varphi - \sin\varphi_s)$$

yield

$$\frac{d^2\varphi}{dn^2} = \frac{\eta\omega_{rf}\tau}{\beta^2 E_s} eV(\sin\varphi - \sin\varphi_s)$$

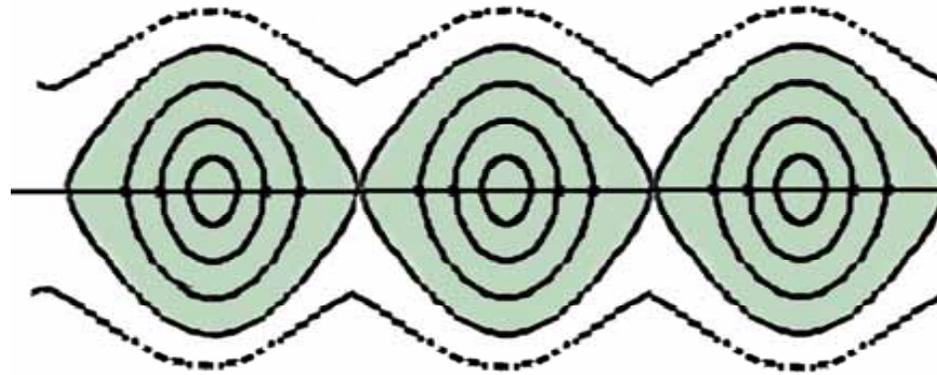
*(Pendulum equation)*

if

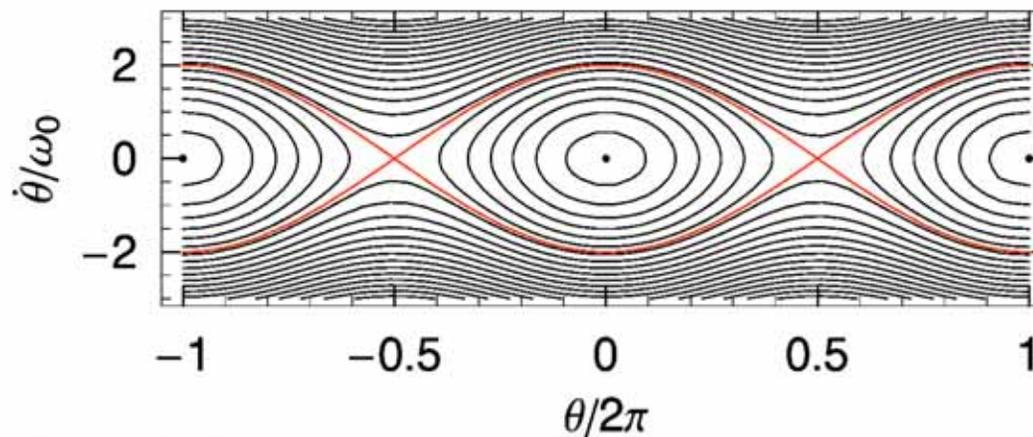
$$V = \text{constant and } \frac{dE_s}{dn} \text{ is sufficiently small}$$



For  $\phi_\sigma = 0$  we have



We've seen this behavior for the pendulum



*Now let's return to the question of frequency*



For *small* phase differences,  $\Delta\phi = \phi - \phi_s$ , we can linearize our equations



$$\begin{aligned}\frac{d^2\varphi}{dn^2} &= \frac{d^2\Delta\varphi}{dn^2} = \frac{\eta\omega_{rf}\tau}{\beta^2 E_s} eV(\sin\varphi - \sin\varphi_s) \\ &= \frac{\eta\omega_{rf}\tau}{\beta^2 E_s} eV(\sin(\varphi_s + \Delta\varphi) - \sin\varphi_s)\end{aligned}$$

$$\approx 4\pi^2 \left( \frac{\eta\omega_{rf}\tau}{4\pi^2\beta^2 E_s} eV \cos\varphi_s \right) \Delta\varphi$$

(harmonic oscillator in  $\Delta\phi$ )

-  $\nu_s^2$  *Synchrotron tune*

$$\Omega_s = \frac{2\pi\nu_s}{\tau} = \sqrt{-\frac{\eta\omega_{rf}}{\tau\beta^2 E_s} eV \cos\varphi_s} = \text{synchrotron angular frequency}$$



## Choice of stable phase depends on $\eta$



$$\Omega_s = \sqrt{-\frac{\eta\omega_{rf}}{\tau\beta^2 E_s} eV \cos\phi_s}$$

- \* Below transition ( $\gamma < \gamma_t$ ),  
→  $\eta < 0$ , therefore  $\cos\phi_s$  must be  $> 0$
- \* Above transition ( $\gamma > \gamma_t$ ),  
→  $\eta > 0$ , therefore  $\cos\phi_s$  must be  $< 0$
- \* At transition  $\Omega_s = 0$ ; there is no phase stability
- \* Circular accelerators that must cross transition shift the synchronous phase at  $\gamma > \gamma_t$
- \* Linacs have no path length difference,  $\eta = 1/\gamma^2$ ; particles stay locked in phase and  $\Omega_s = 0$



## Bunch length



- \* In electron storage rings, statistical emission of synchrotron radiation photons generates gaussian bunches
- \* The over voltage  $Q$  is usually large
  - Bunch “lives” in the small oscillation region of the bucket.
  - Motion in the phase space is elliptical

$$\frac{\varphi^2}{\hat{\varphi}^2} + \delta^2 \left( \frac{h\omega_0\eta_c}{\hat{\varphi}\Omega} \right)^2 = 1 \quad \Rightarrow \quad \hat{\varphi} = \frac{h\omega_0\eta_c}{\Omega} \delta \Rightarrow \Delta s = \frac{c\eta_c}{\Omega} \frac{\Delta p}{p_0}$$

\* For  $\sigma_p/p_0 =$  rms relative momentum spread, the rms bunch length is

$$\sigma_{\Delta s} = \frac{c\eta_c}{\Omega} \frac{\sigma_p}{p_0} = \sqrt{\frac{c^3}{2\pi q} \frac{p_0\beta_0\eta_c}{h f_0^2 \hat{V} \cos(\varphi_s)}} \frac{\sigma_p}{p_0}$$



## Matching the beam on injection



- ✱ Beam injection from another rf-accelerator is typically “bucket-to-bucket”
  - rf systems of machines are phase-locked
  - bunches are transferred directly from the buckets of one machine into the buckets of the other
  
- ✱ This process is efficient for matched beams
  - Injected beam hits the middle of the receiving rf-bucket
  - Two machines are longitudinally matched.
    - They have the same aspect ratio of the longitudinal phase ellipse



## Key concepts - 2

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# General Envelope Equation for Cylindrically Symmetric Beams

*Can be generalized for sheet beams and beams  
with quadrupole focusing*



## Without scattering & in equilibrium



$$\cancel{\ddot{R}} + \frac{\cancel{\dot{\gamma}}}{\gamma} \cancel{\dot{R}} + \frac{U}{R} + \frac{\omega_c^2 R}{4} - \frac{E^2}{\gamma^2 R^3} = \frac{1}{\gamma^2 R^3} \int_{t_0}^t dt' \left( \frac{\cancel{2\gamma R^2}}{m} \epsilon' \right)$$

$$\therefore \frac{U}{R} + \frac{1/4 \omega_c^2 R^2}{R} - \frac{E^2}{\gamma^2 R^3} = 0$$

Self-forces   Focusing   Emittance

More generally, 
$$\frac{U}{R} + \frac{\langle \omega_\beta^2 R^2 \rangle}{R} - \frac{E^2}{\gamma^2 R^3} = 0$$



# RF Cavities



## Basic principles and concepts



- \* Superposition
- \* Energy conservation
- \* Orthogonality (of cavity modes)
- \* Causality



# Translate circuit model to a cavity model: Directly driven, re-entrant RF cavity



Outer region: Large, single turn Inductor

$$L = \frac{\mu_o \pi a^2}{2\pi(R + a)}$$



Central region: Large plate Capacitor

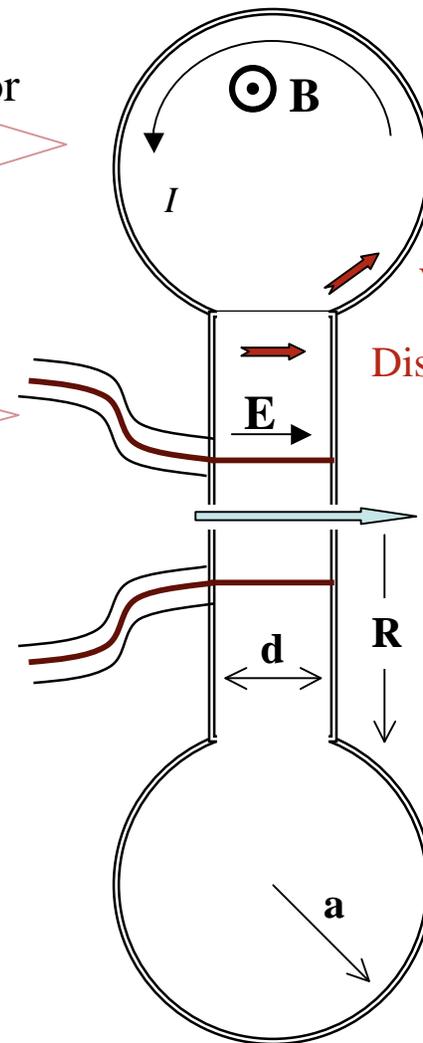
$$C = \epsilon_o \frac{\pi R^2}{d}$$



$$\omega_o = \frac{1}{\sqrt{LC}} = c \left[ \frac{2((R + a)d)}{\pi R^2 a^2} \right]^{1/2}$$

Q – set by resistance in outer region

$$Q = \sqrt{L/C} / R$$



*Expanding outer region raises Q*

Wall current

Displacement current

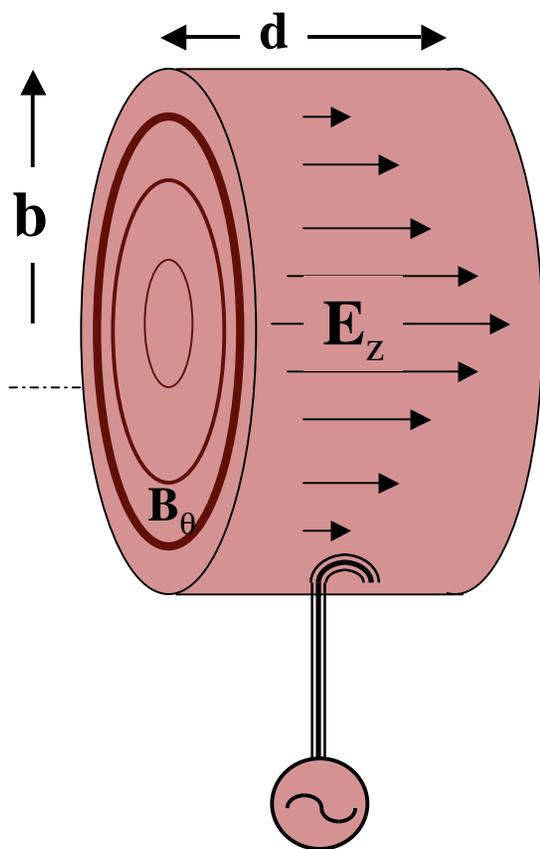
Beam (Load) current

*Narrowing gap raises shunt impedance*

Source: Humphries, Charged Particle Accelerators



# Properties of the RF pillbox cavity



$$\sigma_{walls} = \infty$$

- \* We want lowest mode: with only  $\mathbf{E}_z$  &  $\mathbf{B}_\theta$
- \* Maxwell's equations are:

$$\frac{1}{r} \frac{\partial}{\partial r} (rB_\theta) = \frac{1}{c^2} \frac{\partial}{\partial t} E_z \quad \text{and} \quad \frac{\partial}{\partial r} E_z = \frac{\partial}{\partial t} B_\theta$$

- \* Take derivatives

$$\frac{\partial}{\partial t} \left[ \frac{1}{r} \frac{\partial}{\partial r} (rB_\theta) \right] = \frac{\partial}{\partial t} \left[ \frac{\partial B_\theta}{\partial r} + \frac{B_\theta}{r} \right] = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

$$\frac{\partial}{\partial r} \frac{\partial E_z}{\partial r} = \frac{\partial}{\partial r} \frac{\partial B_\theta}{\partial t}$$

$\implies$

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$



## For a mode with frequency $\omega$



\* 
$$E_z(r, t) = E_z(r) e^{i\omega t}$$

\* Therefore, 
$$E_z'' + \frac{E_z'}{r} + \left(\frac{\omega}{c}\right)^2 E_z = 0$$

→ (Bessel's equation, 0 order)

\* Hence,

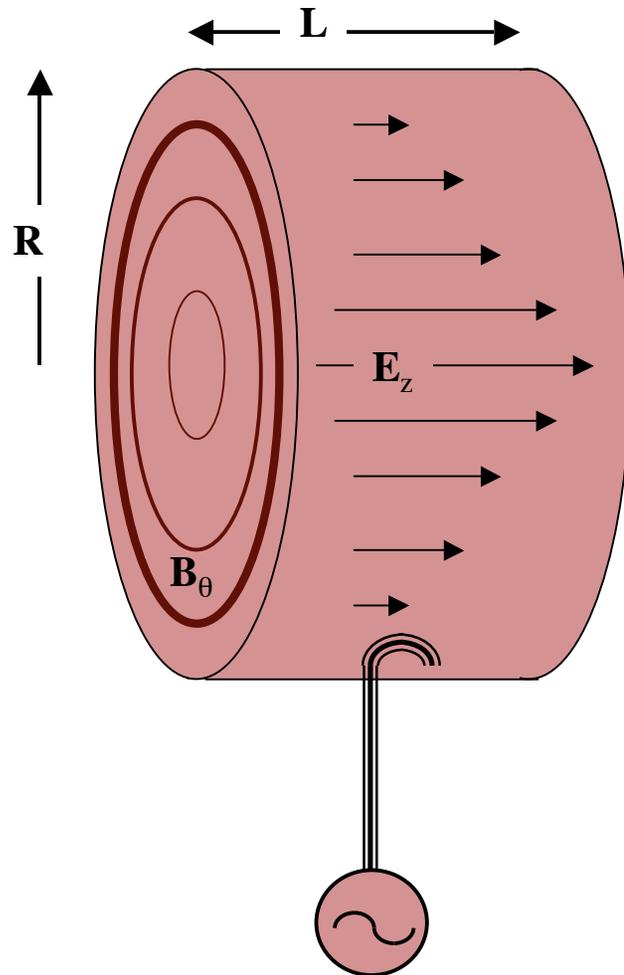
$$E_z(r) = E_o J_o\left(\frac{\omega}{c} r\right)$$

\* For conducting walls,  $E_z(R) = 0$ , therefore

$$\frac{2\pi f}{c} b = 2.405$$



## Simple consequences of pillbox model



- \* Increasing R lowers frequency

==> Stored Energy,  $E \sim \omega^{-2}$

- \*  $E \sim E_z^2$

- \* Beam loading lowers  $E_z$  for the next bunch

- \* Lowering  $\omega$  lowers the fractional beam loading

- \* Raising  $\omega$  lowers  $Q \sim \omega^{-1/2}$

- \* If time between beam pulses,

$$T_s \sim Q/\omega$$

almost all  $E$  is lost in the walls



## Cavity figures of merit

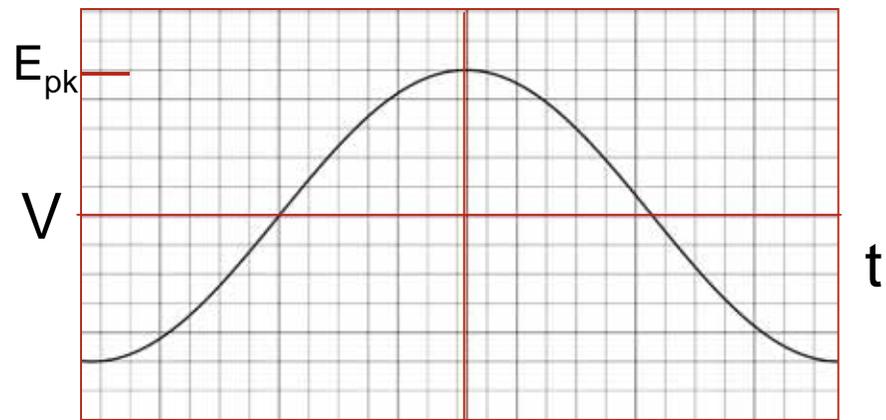
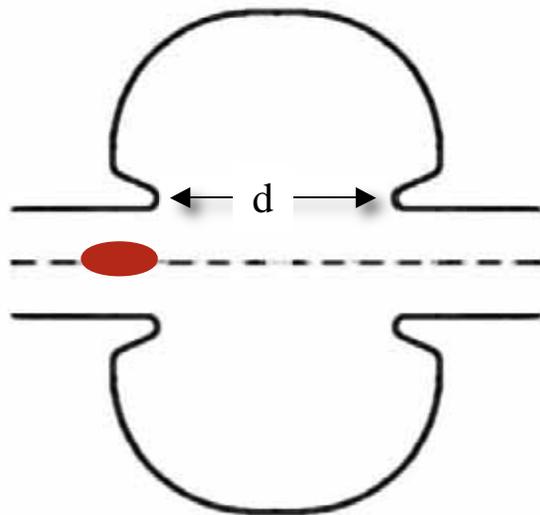


## Figure of Merit: Accelerating voltage



- ✱ The voltage varies during time that bunch takes to cross gap  
→ reduction of the peak voltage by  $\Gamma$  (transt time factor)

$$\Gamma = \frac{\sin(\vartheta/2)}{\vartheta/2} \quad \text{where } \vartheta = \omega d / \beta c$$



For maximum acceleration  $T_{cav} = \frac{d}{c} = \frac{T_{rf}}{2} \implies \Gamma = 2/\pi$



## Figure of merit from circuits - Q



$$Q = \frac{\omega_o \text{ Energy stored}}{\text{Time average power loss}} = \frac{2\pi \text{ Energy stored}}{\text{Energy lost per cycle}}$$

$$E = \frac{\mu_o}{2} \int_v |H|^2 dv = \frac{1}{2} L I_o I_o^*$$

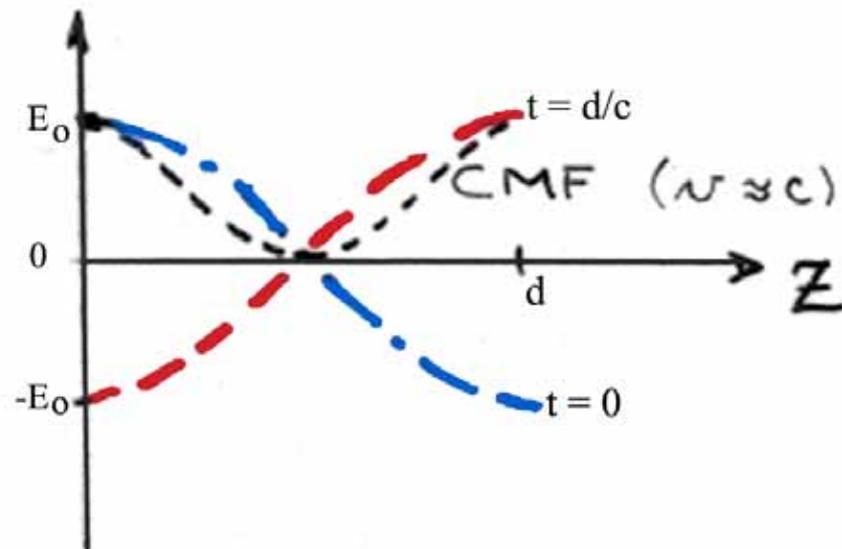
$$\langle P \rangle = \frac{R_{surf}}{2} \int_s |H|^2 ds = \frac{1}{2} I_o I_o^* R_{surf}$$

$$R_{surf} = \frac{1}{\text{Conductivity} \times \text{Skin depth}} \sim \omega^{1/2}$$

$$\therefore Q = \frac{\sqrt{L/C}}{R_{surf}} = \left( \frac{\Delta\omega}{\omega_o} \right)^{-1}$$



## Compute the voltage gain correctly

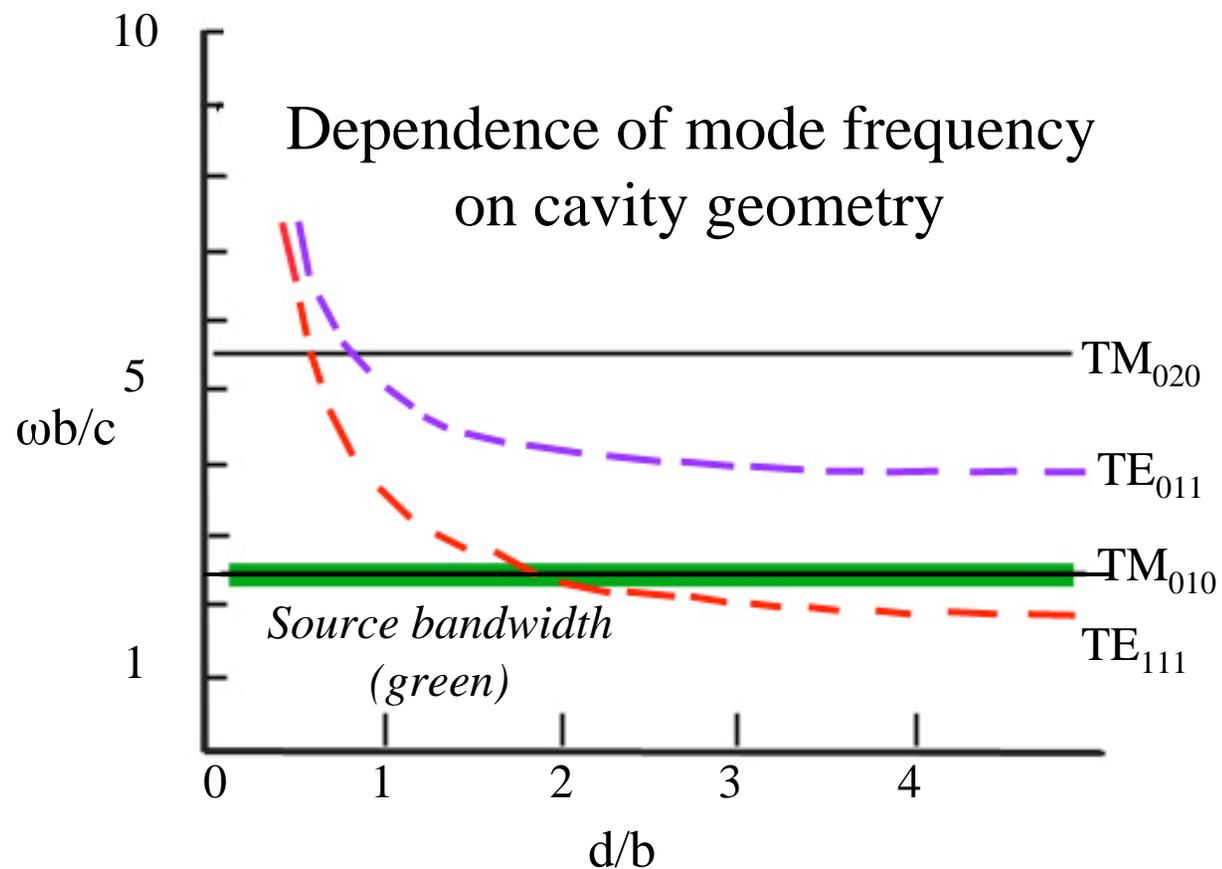
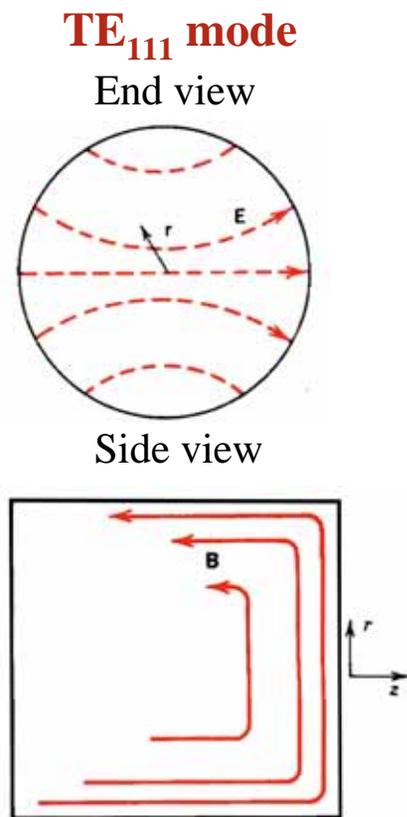


The voltage gain seen by the beam can be computed in the co-moving frame, or we can use the transit-time factor,  $\Gamma$  & compute  $V$  at fixed time

$$V_o^2 = \Gamma \int_{z_1}^{z_2} E(z) dz$$



# Keeping energy out of higher order modes



*Choose cavity dimensions to stay far from crossovers*



## Figure of merit for accelerating cavity: power to produce the accelerating field



Resistive input (shunt) impedance at  $\omega_0$  relates power dissipated in walls to accelerating voltage

$$R_{in} = \frac{\langle V^2(t) \rangle}{P} = \frac{V_o^2}{2P} = Q\sqrt{L/C}$$

Linac literature commonly defines “shunt impedance” without the “2”

$$R_{in} = \frac{V_o^2}{P} \sim \frac{1}{R_{surf}}$$

Typical values 25 - 50 M $\Omega$



## Unit 4 - Lecture 10

# RF-accelerators: Standing wave linacs

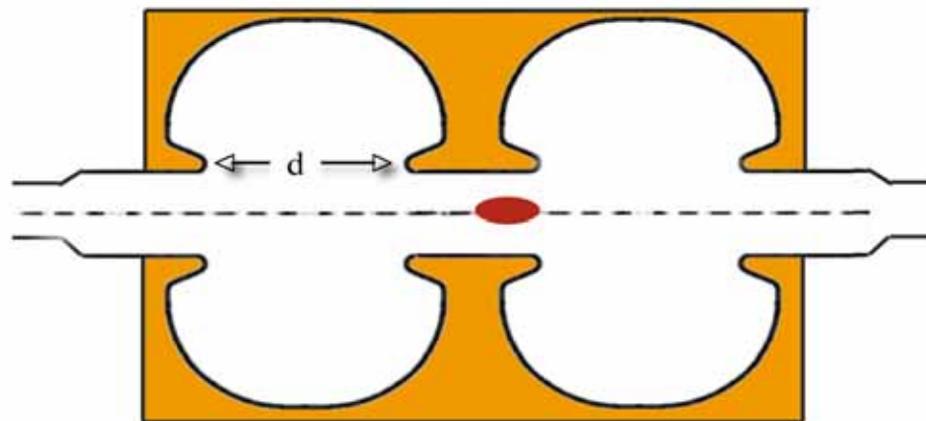
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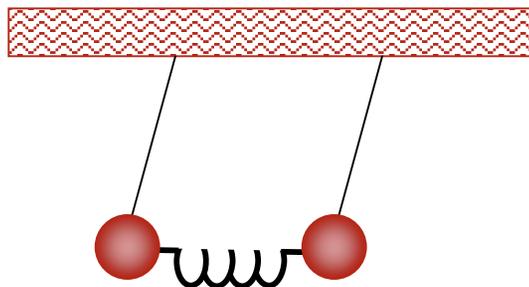
Dept. of Physics, MIT



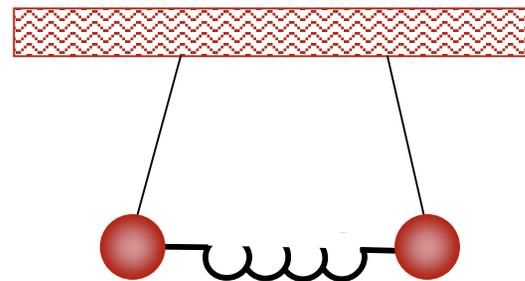
# Linacs cells are linked to minimize cost



==> coupled oscillators ==> multiple modes



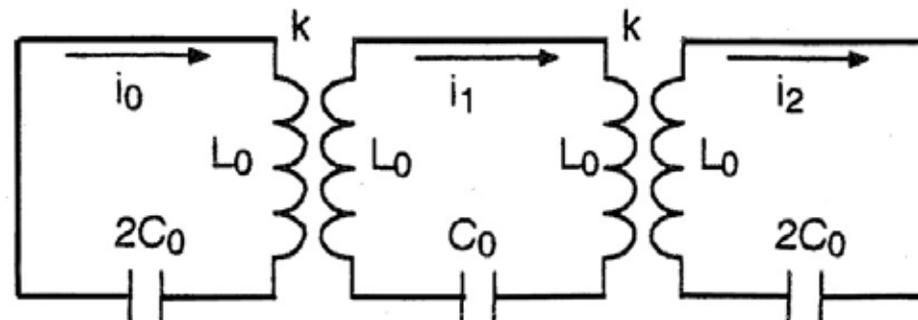
Zero mode



$\pi$  mode



## Example of 3 coupled cavities



$$x_0 \left( 1 - \frac{\omega_0^2}{\Omega^2} \right) + x_1 k = 0 \quad \text{oscillator } n = 0$$

$$x_1 \left( 1 - \frac{\omega_0^2}{\Omega^2} \right) + (x_0 + x_2) \frac{k}{2} = 0 \quad \text{oscillator } n = 1$$

$$x_2 \left( 1 - \frac{\omega_0^2}{\Omega^2} \right) + x_1 k = 0 \quad \text{oscillator } n = 2$$

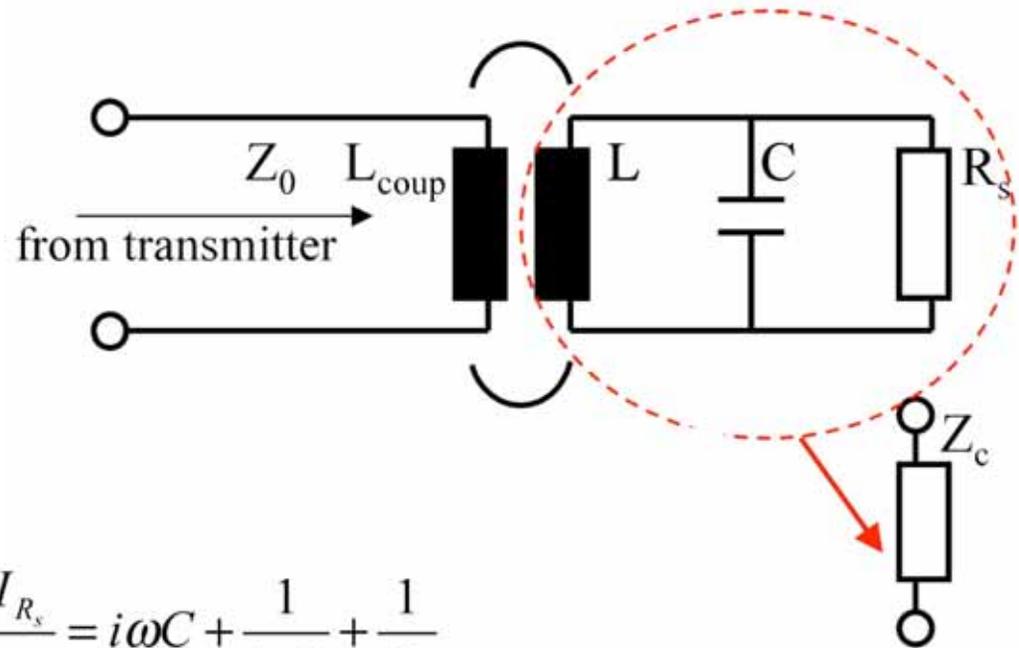
$$x_j = i_j \sqrt{2L_o} \quad \text{and} \quad \Omega = \text{normal mode frequency}$$



# Lumped circuit of a transmission line coupled cavity without beam



$\omega_0^2 = \frac{1}{LC}$  resonance frequency  
 of RF-structure  
 $f_0 \approx 50\text{MHz} - 3\text{GHz}$



$$\frac{1}{Z_c} = Y_c = \frac{I_L + I_c + I_{R_s}}{U_{Z_c}} = i\omega C + \frac{1}{i\omega L} + \frac{1}{R_s}$$

$$Z_c = \frac{R_s}{1 + i \frac{R_s}{\omega L} \left( \frac{\omega^2}{\omega_0^2} - 1 \right)} = \frac{R_s}{1 + i Q_0 \frac{\omega_0}{\omega} \left( \frac{\omega^2}{\omega_0^2} - 1 \right)} = \frac{R_s}{1 + i Q_0 \left( \frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} \right)}$$

norm. detuning  $\xi$

$$Q_0 = \frac{R_s}{\omega_0 L} = R_s \omega_0 C$$

unloaded quality factor of cavity



## At resonance, the rf source & the beam have the following effects

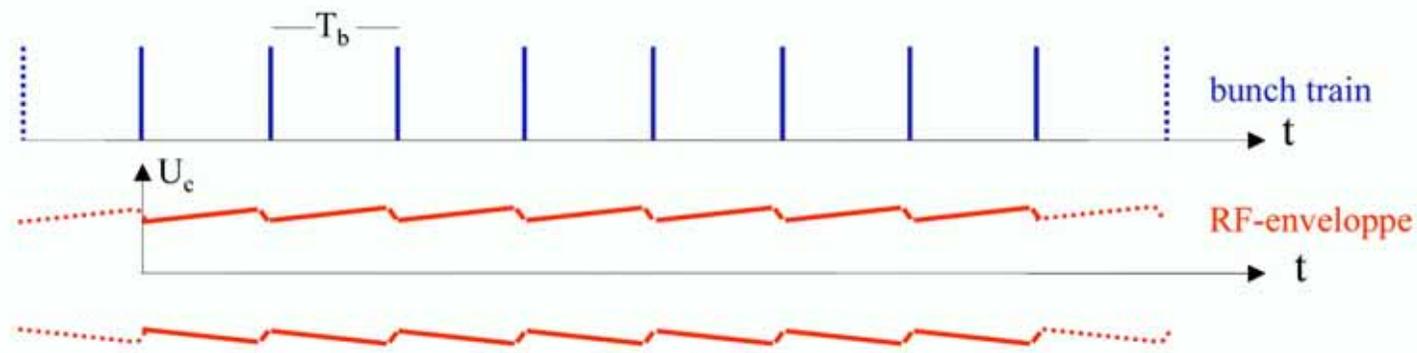


- \* The accelerating voltage is the sum of these effects

$$V_{accel} = \sqrt{R_{shunt} P_{gen}} \left[ \frac{2\sqrt{\beta}}{1+\beta} \left( 1 - \frac{K}{\sqrt{\beta}} \right) \right] = \sqrt{R_{shunt} P_{wall}}$$

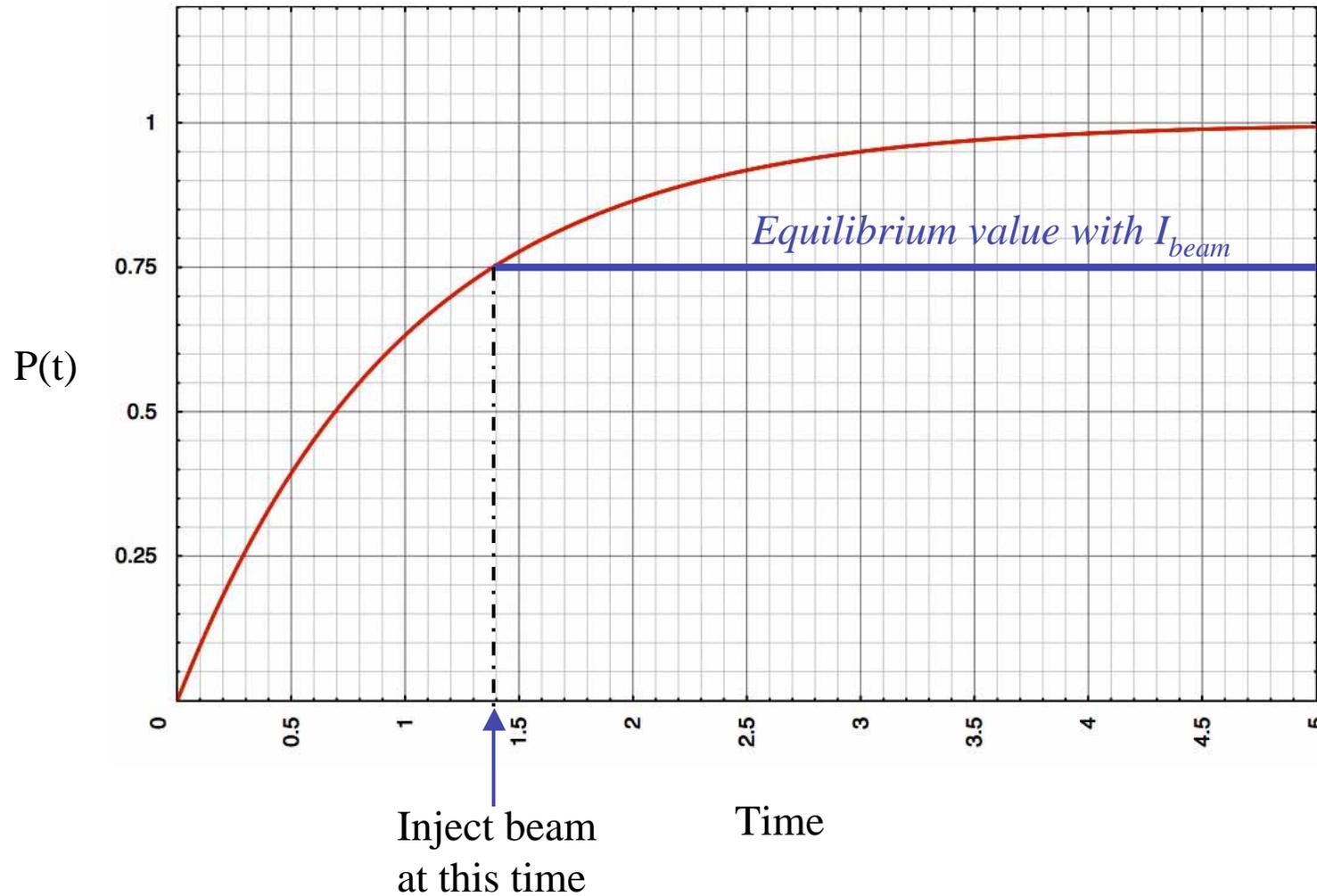
where  $K = \frac{I_{dc}}{2} \sqrt{\frac{R_{shunt}}{P_{gen}}}$  is the "loading factor"

- \*  $\implies V_{acc}$  decreases linearly with increasing beam current





# Power flow in standing wave linac





## Comparison of SC and NC RF



### Superconducting RF

- \* High gradient  
==> 1 GHz, meticulous care
- \* Mid-frequencies  
==> Large stored energy,  $E_s$
- \* Large  $E_s$   
==> very small  $\Delta E/E$
- \* Large Q  
==> high efficiency

### Normal Conductivity RF

- \* High gradient  
==> high frequency (5 - 17 GHz)
- \* High frequency  
==> low stored energy
- \* Low  $E_s$   
==> ~10x larger  $\Delta E/E$
- \* Low Q  
==> reduced efficiency