#### **High Intensity Synchrotron Radiation Effects**

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Accelerator School –SR and vacuum system

## Introduction

- Recent high-power (that is, high-currents and highenergies) accelerators generate intense synchrotron radiation (SR).
- It is a good photon source, but, on the other hand, it has potentially harmful effects on the accelerator performance;
  - Heat load ⇒ Damage of beam pipes or instruments
     Gas load ⇒ Short lifetime, Noise to particle detectors
     Electron emission ⇒ Beam instabilities, Gas load
- In this lecture, basic and practical matters to understand above three effects, and how to treat these problems, that is, to protect the machine in a broad sense, are presented.
- These problems are especially important for the vacuum system of accelerators, but they have widespread effects on machine performances. The understanding of those should be also useful in designing and constructing accelerators.

### Contents

 About synchrotron radiation (SR)

 Basic concepts and some important formula

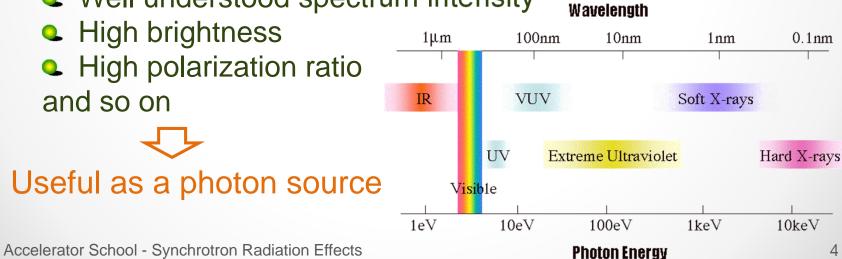
 Effects of SR

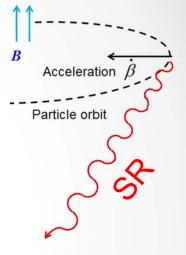
 Heat load
 Gas load
 Electron emission Mechanism, properties and countermeasures

 Summary

What is the synchrotron radiation (SR)?

- Electro-magnetic wave emitted when a highenergy charged particle is accelerated to the orthogonal direction to the velocity, such as a case in a magnetic field.
- General features of SR
  - High intensity, high photon flux
  - Wide range in wave lengths, from infrared to hard X-ray
  - Well understood spectrum intensity

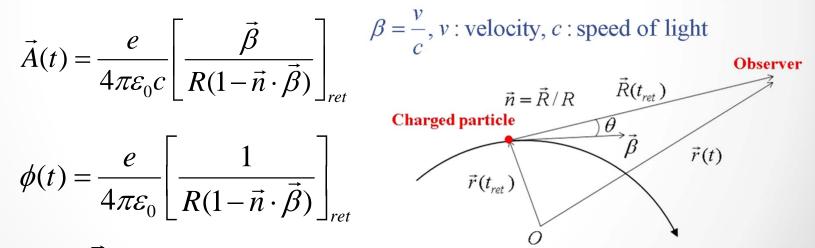




- An accelerated charged particle emits electro-magnetic radiation.
  - The radiation fields are given by

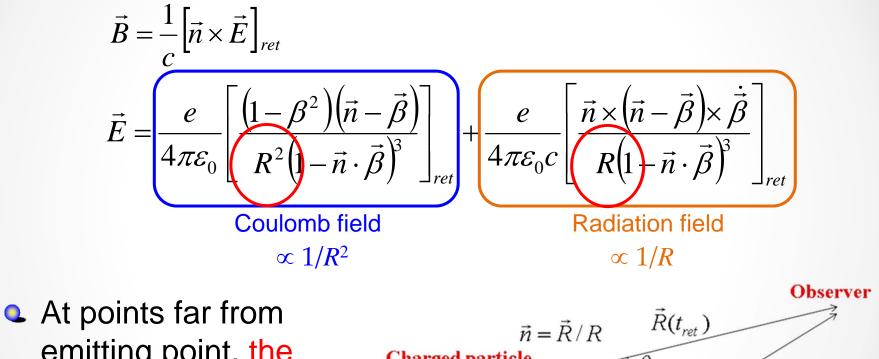
$$\vec{E} = -\frac{\partial}{\partial t}\vec{A} - \nabla\phi$$
  $\vec{B} = \nabla \times \vec{A}$   $\phi$ : Scalar potential  
A: Vector potential

Here the retarded Lienard-Wiechert potentials are given by

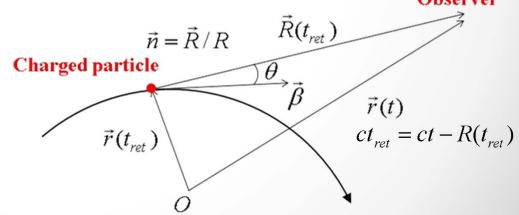


where  $\vec{R}(t_{ret})$  is the distance vector form source to observer, and  $t_{ret}$  is the retarded time  $ct_{ret} = ct - R(t_{ret})$ 

Electric and magnetic fields are finally given by



emitting point, the radiation field ( $\propto 1/R$ ) is more important.

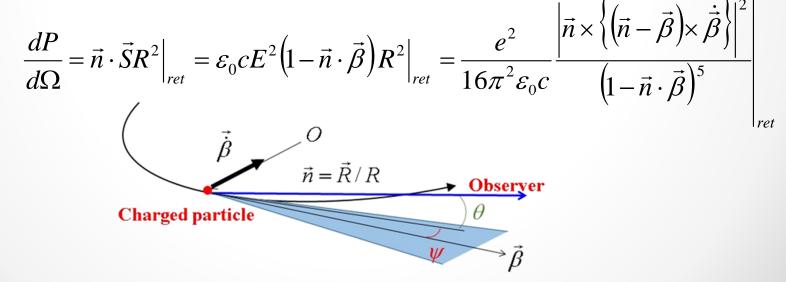


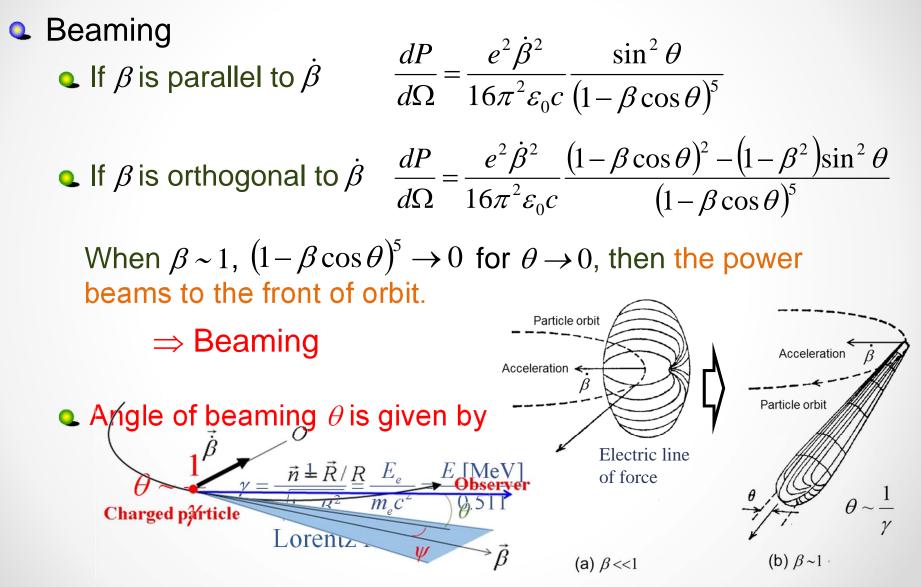
Power of radiation per unit solid angle

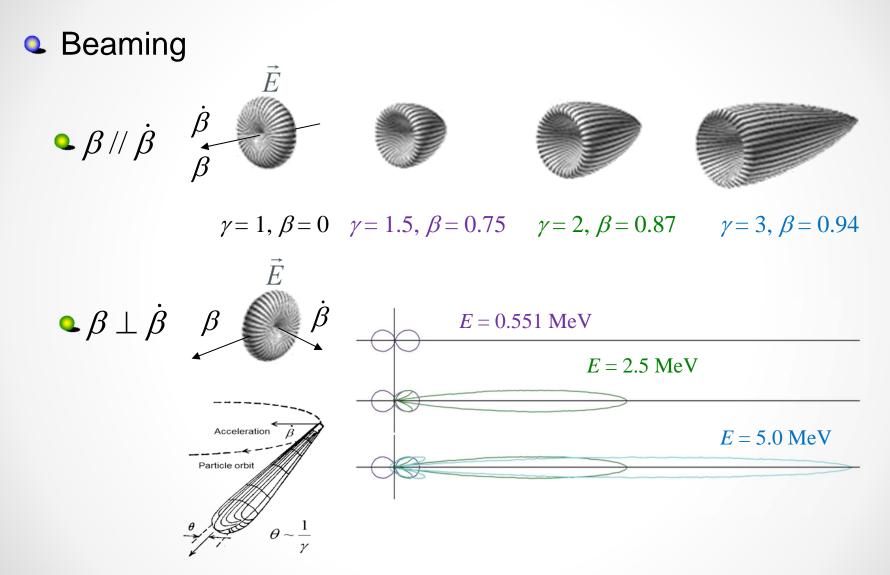
Pointing vector = Radiation energy flow toward R per unit area.

$$\vec{S}_{r}(t) = \frac{1}{\mu_{0}} \vec{E} \times \vec{B} = \frac{1}{\mu_{0}c} E^{2} \left(1 - \vec{\beta} \cdot \vec{n}\right) \vec{n} \Big|_{ret} = \varepsilon_{0} c E^{2} \left(1 - \vec{\beta} \cdot \vec{n}\right) \vec{n} \Big|_{ret}$$

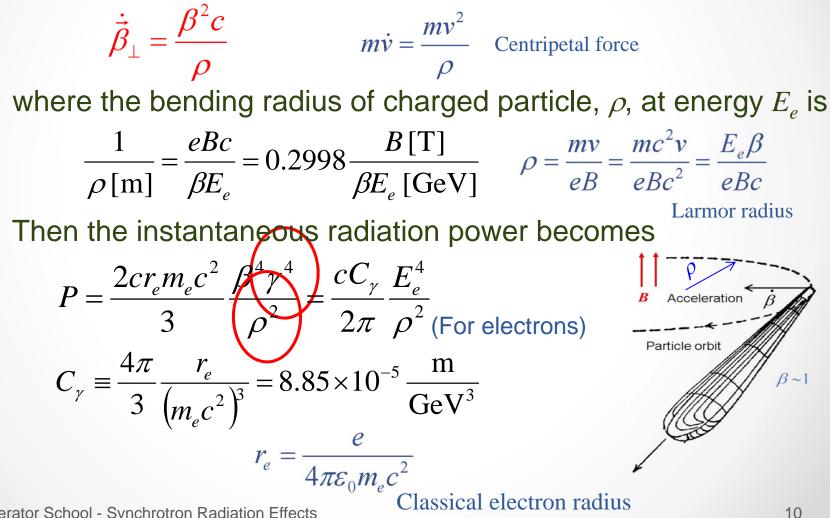
Then, the instantaneous differential radiation power per unit solid angle is







- Now, consider a charged particle in homogeneous field B.
  - The acceleration in *B* is given by



Mass dependence of power

Radiation power depends on the mass of the radiating particle like 1/m<sup>4</sup>. For protons and electrons of the same total energy.

$$\frac{P_p}{P_e} = \left(\frac{m_e}{m_p}\right)^4 = 8.8 \times 10^{-14}$$

- Synchrotron radiation is much more important for electron and positron ring.
- Note that, for superconducting system, such as LHC, the SR is important even proton beams, since the heating might have a significant effect to the cryogenics system.
- Hereafter, we consider the case of an electron or a positron deflected by a dipole magnet.

Total power

• The radiation along a ring per electron is

$$U_{0} = \oint Pdt = \frac{C_{\gamma}}{2\pi} E_{e}^{4} \oint \left(\frac{1}{\rho_{x}^{2}} + \frac{1}{\rho_{y}^{2}}\right) ds \qquad P = \frac{cC_{\gamma}}{2\pi} \frac{E_{e}^{4}}{\rho^{2}}$$
$$cdt = ds$$

For an isomagnetic magnetic field ( $\rho = \text{const.}$ ),

$$U_0 = C_{\gamma} \frac{E_e^4}{\rho} \qquad \oint ds = 2\pi\rho$$

For a circulating beam current  $I_e$ , the total radiation power  $P_{Ie}$  is

$$P_{Ie} = U_0 \times \frac{I_e}{e} = C_{\gamma} \frac{E_e^4}{\rho} \times \frac{I_e}{e}$$

 $P_{Ie}[W] = 8.85 \times 10^4 \frac{E[\text{GeV}]^4}{\rho[\text{m}]} I[\text{A}] = 2.65 \times 10^4 E[\text{GeV}]^3 B[\text{T}] I_e[\text{A}]$ 

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Ring

Total power

The total radiation power

 $P_{Ie}[W] = 8.85 \times 10^4 \frac{E[\text{GeV}]^4}{\rho[\text{m}]} I[\text{A}] = 2.65 \times 10^4 E[\text{GeV}]^3 B[\text{T}] I_e[\text{A}]$ 

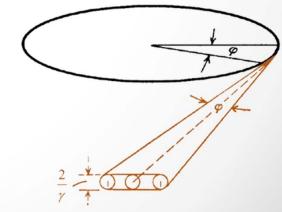
The average power line density along the ring is obtained by

 $P_{Ie} = U_0 \times \frac{I_e}{e} = C_{\gamma} \frac{E_e^4}{\rho} \times \frac{I_e}{e}$ 

$$\left\langle P_{Ie,line} \right\rangle = P_{Ie} / C$$

**Q** The power in an angle of  $\varphi$ 

$$P_{Ie}(\varphi) = P_{Ie} \frac{\varphi}{2\pi}$$



Frequency spectrum of power

• Frequency spectrum is obtained by Furrier transform of E(t).

The frequency spectrum of power is given by

$$\frac{d^{2}W}{d\Omega d\omega} = \frac{1}{\mu_{0}c} \left(R\widetilde{E}(\omega)\right)^{2} = \frac{1}{2\pi\mu_{0}c} \left|\int_{-\infty}^{+\infty} (RE)e^{i\omega t} dt\right|^{2}$$
$$= \frac{e^{2}}{16\pi^{3}\varepsilon_{0}c} \left|\int_{-\infty}^{+\infty} \left[\frac{\left|\vec{n} \times \left\{\left(\vec{n} - \vec{\beta}\right) \times \dot{\vec{\beta}}\right\}\right|^{2}}{\left(1 - \vec{n} \cdot \vec{\beta}\right)^{5}}\right]_{ret} e^{i\omega\left(t' + \frac{R(t')}{c}\right)} dt'$$

The spatial and spectral energy distribution per unit frequency and solid angle is

$$\frac{d^2 W}{d\Omega d\omega} = \frac{e^2}{16\pi^3 \varepsilon_0 c} \gamma^2 \frac{\omega^2}{\omega_c^2} K_{2/3}^2(\xi) F(\xi, \theta)$$

$$\xi = \frac{1}{2} \frac{\omega}{\omega_c} (1 + \gamma^2 \theta^2)^{3/2} \qquad F(\xi, \theta) = (1 + \gamma^2 \theta^2)^2 \left[ 1 + \frac{\gamma^2 \theta^2}{1 + \gamma^2 \theta^2} \frac{K_{1/3}^2(\xi)}{K_{2/3}^2(\xi)} \right]$$
where  $K_i(\xi)$  is the modified Bessel function,  
and  $\omega_c = \frac{3}{2} \frac{c\gamma^3}{\rho}$  is the critical frequency.  
The frequency that  
halves the total energy

• The photon number (photon flux) with a beam current  $I_e$  per unit solid angle and frequency is given by

$$\frac{d^2 \dot{N}_{ph,Ie}}{d\Omega(d\omega/\omega)} = \frac{d^2 P_{Ie}}{d\Omega d\omega} \frac{1}{\hbar} = \frac{d^2 W}{d\Omega d\omega} \frac{I_e}{e} \frac{1}{\hbar} \qquad \dot{N}_{ph} \hbar \omega = P \quad \hbar = \frac{h}{2\pi}$$
Plank's constant

The spatial and spectral photon flux distribution per unit solid angle and band width (Brightness) is given

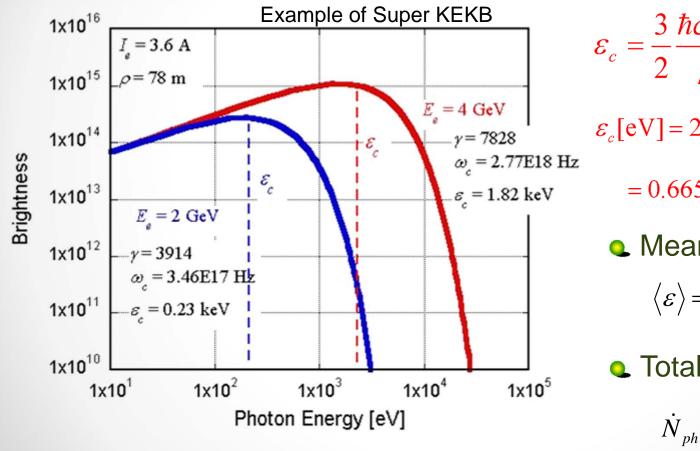
$$\frac{d^{3}\dot{N}_{ph,Ie}}{d\theta d\psi (d\omega / \omega)} = C_{\omega}E^{2}I_{e}\frac{\omega^{2}}{\omega_{c}^{2}}K_{2/3}^{2}(\xi)F(\xi,\theta) \quad \alpha = \frac{e^{2}}{4\pi\varepsilon_{0}\hbar c} = 7.297 \times 10^{-3}$$
  

$$C_{\omega} \equiv \frac{3\alpha}{4\pi^{2}e(m_{e}c^{2})^{2}} = 1.3255 \times 10^{22} \frac{\text{photons}}{\text{s rad}^{2} \text{ GeV}^{2} \text{ A}}$$
  

$$= 1.3255 \times 10^{13} \frac{\text{photons}}{\text{s mrad}^{2} \text{ GeV}^{2} \text{ A } 0.1\% \text{ bandwidth}}$$

#### A key parameter of light (photon) sources.

#### Example of Brightness

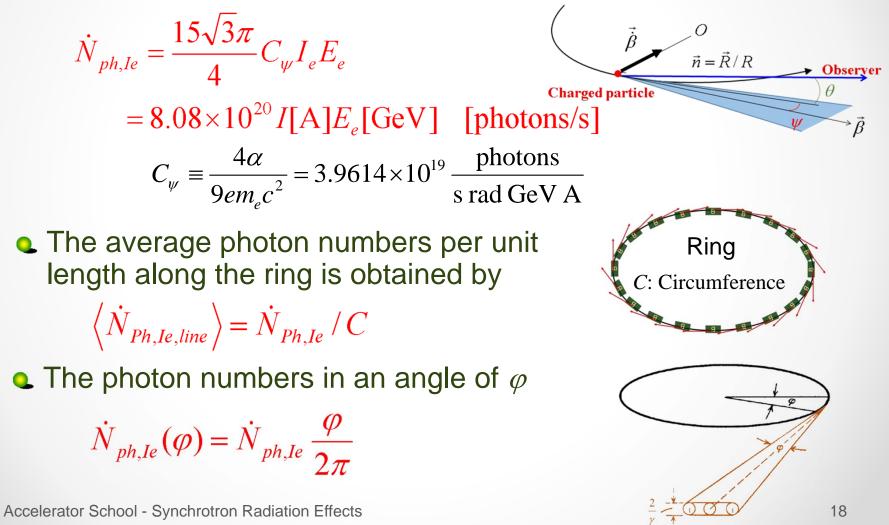


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Critical energy  $\varepsilon_c = \frac{3}{2} \frac{\hbar c \gamma^3}{\rho} \equiv \hbar \omega_c$  $\varepsilon_c[eV] = 2.218 \times 10^3 \times \frac{E_e[GeV]^3}{\rho[m]}$  $= 0.665 \times 10^3 \times E_e [\text{GeV}]^2 B[\text{T}]$ Mean photon energy  $\langle \varepsilon \rangle = \frac{8}{15 \sqrt{3}} \varepsilon_c$ Total photon flux

$$=\frac{15\sqrt{3}}{8}\frac{P_{tot}}{\varepsilon_c}$$

- Total photon numbers
  - Integration over  $\theta$ ,  $\psi$  (that is, whole of the ring) and  $\omega$  gives



Important formula from practical view point as a summary

Total power along a ring:

 $P_{Ie}[W] = 8.85 \times 10^4 \frac{E_e [\text{GeV}]^4}{\rho [\text{m}]} I_e [\text{A}] = 2.65 \times 10^4 E[\text{GeV}]^3 B[\text{T}] I_e [\text{A}]$ 

Total photon numbers along a ring:

 $\dot{N}_{ph,Ie} = 8.08 \times 10^{20} I_e [A] E_e [GeV] \text{ [photons/s]}$ 

Critical energy:

 $\varepsilon_{c}[\text{eV}] = 2.218 \times 10^{3} \times \frac{E_{e} [\text{GeV}]^{3}}{\rho[\text{m}]} = 0.665 \times 10^{3} \times E_{e} [\text{GeV}]^{2} B[\text{T}]$ 

Beaming angle:

$$\theta \sim \frac{1}{\gamma} = \sqrt{1 - \beta^2}$$

• Exercise

Calculate

(1) total SR power along the ring  $P_{Ie}$ 

(2) total photon numbers along the ring  $\dot{N}_{ph,Ie}$ 

(3) critical energy of photon  $\mathcal{E}_c$ for a ring with  $E_e = 7$  GeV,  $I_e = 2$  A,  $\rho = 100$  m.

#### Solution

(1) 
$$P_{Ie} = 8.85 \times 10^4 \frac{E_e \,[\text{GeV}]^4}{\rho \,[\text{m}]} I \,[\text{A}]$$
  
(2)  $\dot{N}_{ph,Ie} = 8.08 \times 10^{20} I \,[\text{A}] E_e \,[\text{GeV}]$   
(3)  $\varepsilon_c = 2.218 \times 10^3 \times \frac{E_e \,[\text{GeV}]^3}{\rho \,[\text{m}]}$ 

### Effect of SR

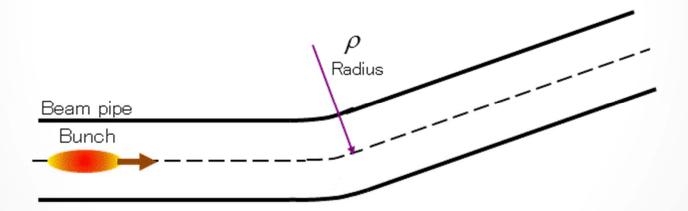
Effects of SR on vacuum system

- Thermal load
  - When the SR hit the surface, it deposits the power on it.
  - $\Rightarrow$  Heat up beam pipe, damage beam pipes by heating and thermal stress.
- Gas load
  - When the SR hit the surface, it desorbs the gas molecules on it.
  - ⇒ Increase pressure, reduce beam lifetime, increase background noise.
- Emission of electrons
  - When the SR hit the surface, it emits electrons (photoelectrons) from it.
  - $\Rightarrow$  Enhance the forming of the electron cloud, leads to the electron cloud instabilities.

Heat

Molecules

- Heat load due to SR
  - SR hit the inner wall
    - $\Rightarrow$  SR deposits energy on the surface  $\Rightarrow$  Heating.
  - Careful cares should be paid for high-intensity SR, since it can damage components or beam pipes.

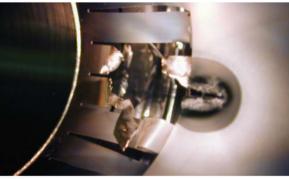


⇒Air leak

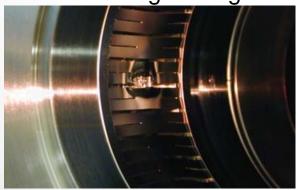
 The SR beams (concentrates) in the front.
 If the irradiated area is not properly cooled, the surface is easily damaged.

#### Examples of damages experienced in KEKB

RF-shield fingers of bellows



RF-shield fingers of gate valve



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ed in KEKB

Acceleration



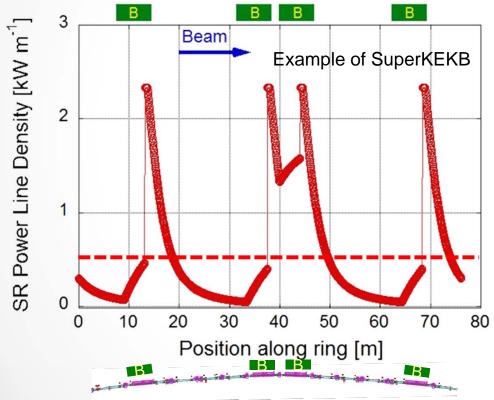
⇒Air leak

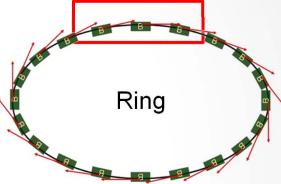
⇒Excess heating

Estimation of heat load Total power along the ring Ring  $P_{I_{e}} = 88.4 \times 10^{3} E_{e} [\text{GeV}]^{4} \times I_{e} [\text{A}] / \rho [\text{m}] [\text{W}]$ • Average power line density (SR power per 1 m along the ring)  $\langle P_{Ie,line} \rangle = 88.4 \times 10^3 E_e [\text{GeV}]^4 \times I_e [\text{A}] / \rho[\text{m}] / C[\text{m}] [\text{W/m}]$ For example, if  $E_{\rho} = 4 \text{ GeV}$ ,  $I_{\rho} = 3.6 \text{ A}$ ,  $\rho = 74 \text{ m}$ , C = 2000 m (arc) SuperKEKB positron ring  $\langle P_{Ie,line} \rangle = 88.4 \times 10^3 \times 4^4 \times 2.6/74/2000 = 550 \text{ W/m}$ 

The power density is sufficiently high to melt metals if no cooling is prepared in vacuum.

The heat load has actually a distribution along the ring.
 The sources (emitting points) are in bending magnets.
 Then the maximum power density is more important than the average one.





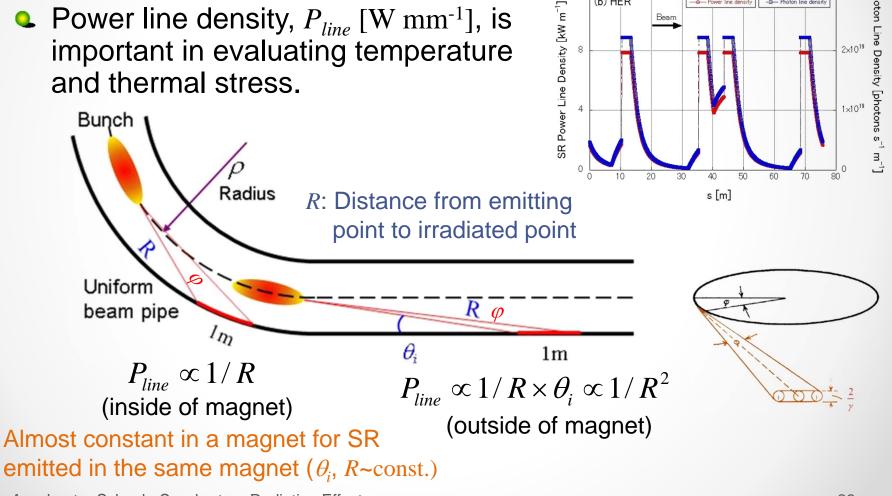
Average power line density ~0.6  $kW\ m^{-1}$ 

Peak power line density  $\sim 2.3 \text{ kW m}^{-1}$ 

For a uniform beam pipe, the heat load has maximum in the bending magnets, and decrease

Most of power are deposited at the directly irradiated points gradually at down stream side.

- Dependence of the SR power line density on the distance from the emitting point to the hitting point, R, and the incident angle,  $\theta_i$ , to the surface. В В В В 12 (b) HER
  - Power line density,  $P_{line}$  [W mm<sup>-1</sup>], is important in evaluating temperature and thermal stress.



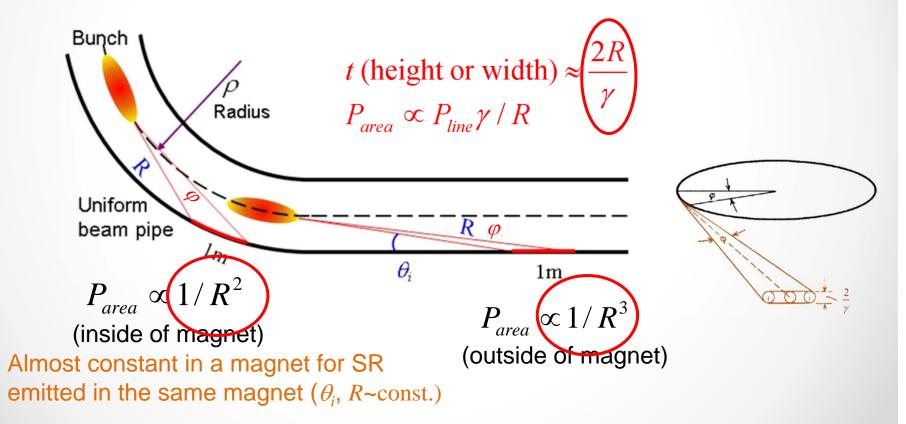
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Photon

2×101

-FB- Photon line density

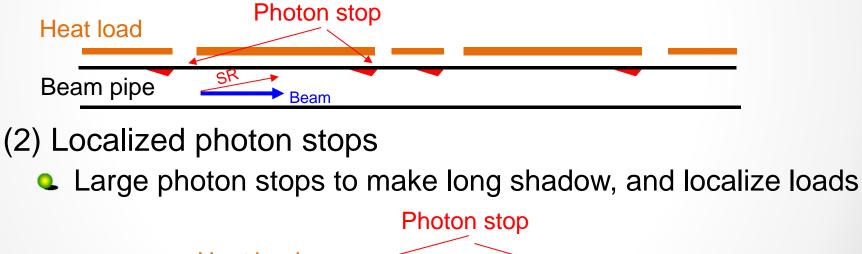
- For the power area density, the vertical spread angle of  $2/\gamma$  should be taken into account.
  - Power area density, P<sub>area</sub> [W mm<sup>-2</sup>], is key especially in evaluating thermal stress..



- Basic principle: Receive SR at specific places (photon stops) with cooling system at large *R* and small  $\theta_i$ .
- There are two ways.

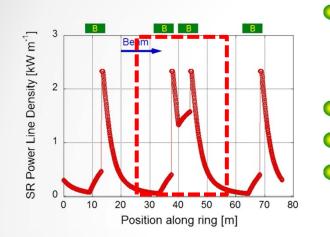
(1) Distributed photon stops (photon masks)

Small photon stops enough to make short shadow

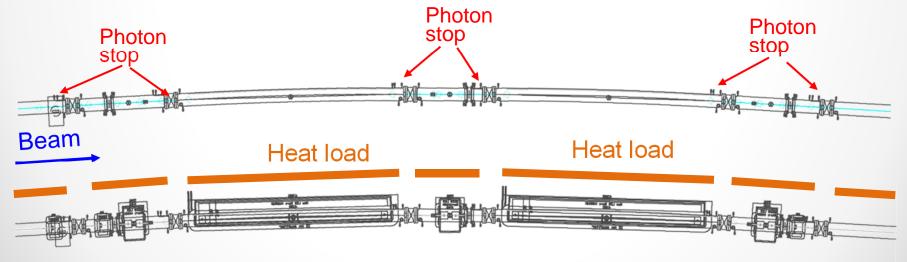


Heat load Beam pipe SR Beam

Distributed photon stops (photon masks)

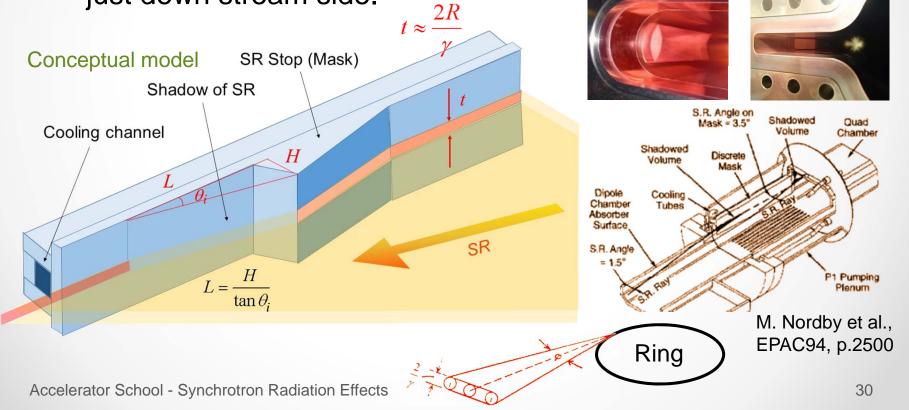


- Small photon masks enough to make shadows only for bellows chambers or flanged at just down stream side.
- Shadow length 200 ~ 400 mm.
- Most of heat load distribute along the ring.
- Heat load at photon stops are relatively small ( $\theta_i$  is also small).



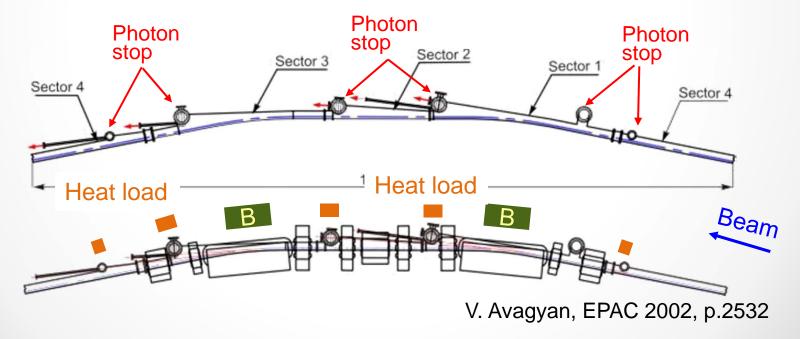
SuperKEKB, KEK

- Distributed photon stops
  - Relativity low mask height (H): ~10 mm
  - Shadow length  $L = H/\tan \theta_i$ , where  $\theta_i$  is the incident angle of SR, *H* is the height of photon stop.
  - The shadow protects only flanges and bellows chambers at just down stream side.



#### Localized photon stops

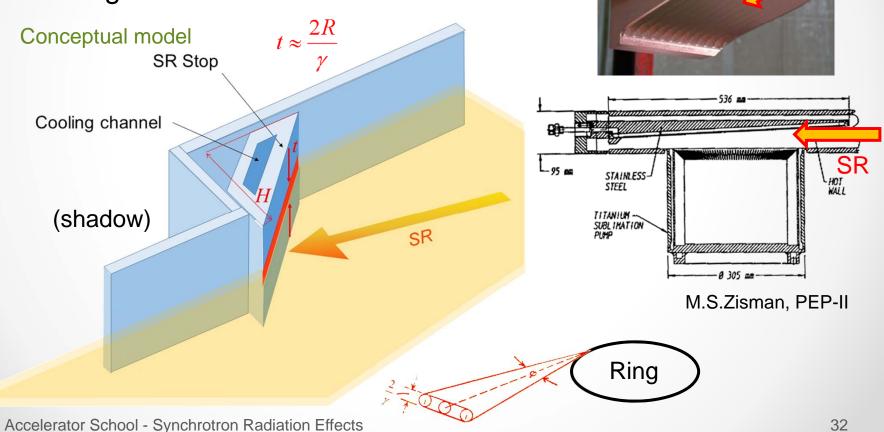
- Shadow length L = a few m, i.e., photon stops receive the SR power corresponding to that of ~a few m.
- Most of heat load concentrate to the photon stops, usually much higher power density than the case of distributed photon stops.
  - ⇒One of the criterion to decide the photon stop scheme, i.e., distributed, or localized.



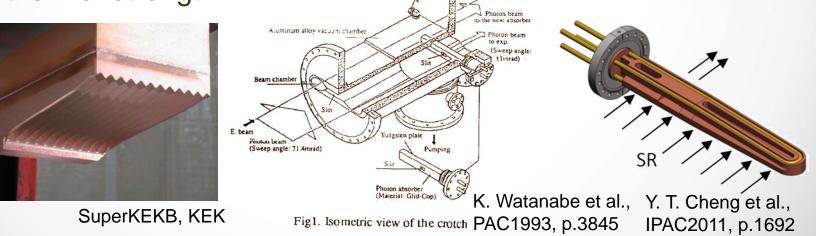
**Cooling water** 

SuperKEKB, KEK

- Localized photon stops
  - **A** Mask height (*H*): 100~200 mm
  - Shadow length  $L = a \text{ few} \sim 20 \text{ m}$
  - Sometime called as "crotch absorber" in light sources

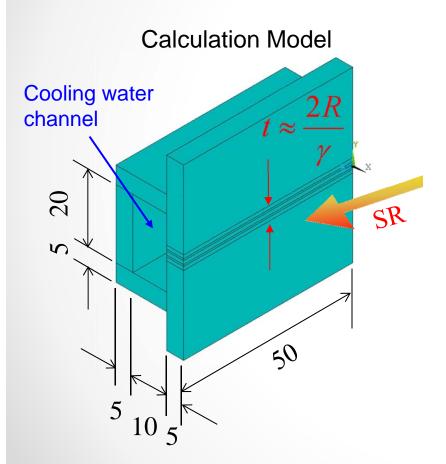


- Various types of SR stops (masks) have been designed in various accelerators.
- In designing, simulation codes using FEM are very usable in evaluating the temperature and stress distribution.
- Key points in designing:
  - To make slant slope at hitting surface (i,e, small  $\theta_i$  as much as possible) to reduce power density
  - To design effective cooling structure
  - To use materials with high thermal conductivity, and high thermal strength

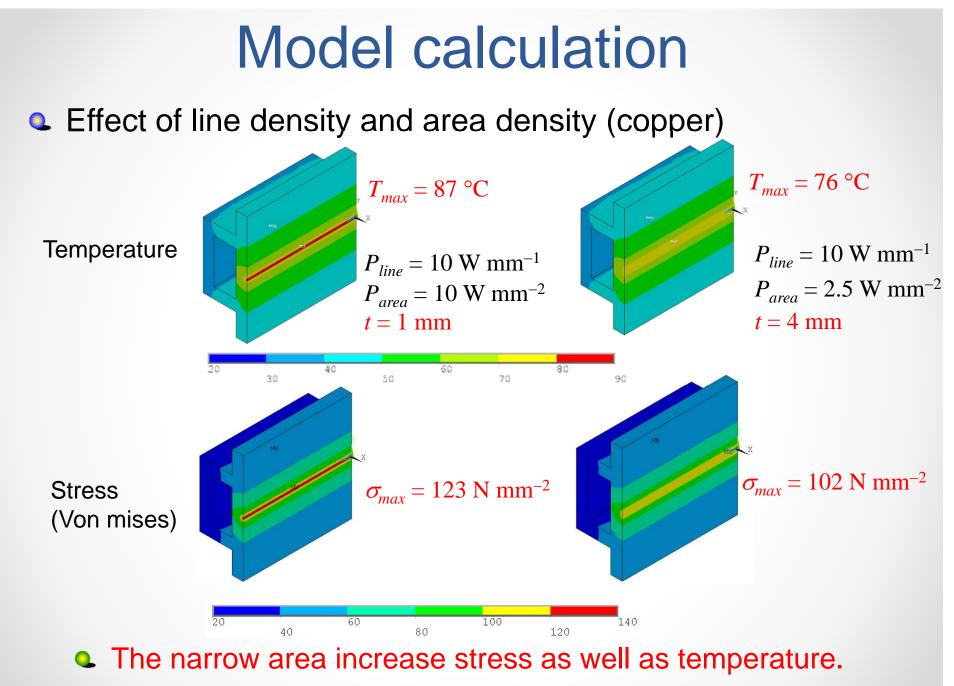


# Model calculation

 Here some simulation results are presented using a simple model.



	Copper (C1011)	Aluminum alloy (A6063)	Unit
Thermal conductivity	0.4	0.22	W mm <sup>-1</sup>
Young modulus	118000	69000	N mm <sup>-2</sup>
Poisson ratio	0.3	0.3	
Thermal expansion rate	1.7x10 <sup>-5</sup>	2.4x10 <sup>-5</sup>	
Reference temperature	25	25	°C
Thermal transfer to water	0.008	0.008	W mm <sup>-2</sup>
Tensile strength	245 (1/2H) 195 (O)	185 (T5) 90 (O)	W mm <sup>-2</sup>
Annealing temperature	~250	~200	°C

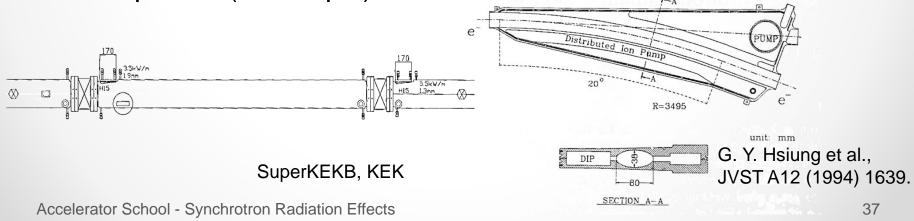


#### Model calculation Structure of cooling channel (copper) Add a plate $T_{max} = 87 \ ^{\circ}\mathrm{C}$ $T_{max} = 78 \ ^{\circ}\mathrm{C}$ $\sigma_{max} = 123 \text{ N mm}^{-2}$ $\sigma_{max} = 104 \text{ N mm}^{-2}$ $P_{line} = 10 \text{ W mm}^{-1}$ $P_{area} = 10 \text{ W mm}^{-2}$ perature ('C' t = 1 mmIncrease in the contact area between metal and water is effective. Figure 3. Temperature profile in a dipole chamber cross-section Material: Copper or Aluminum alloy M. Nordby et al., EPAC94, p.2500 Aluminum alloy Copper (C1011) (A6063) $T_{max} = 87 \ ^{\circ}\mathrm{C}$ $T_{max} = 116 \ ^{\circ}\mathrm{C}$ $\sigma_{max} = 123 \text{ N mm}^{-2}$ $\sigma_{max} = 149 \text{ N mm}^{-2}$ High thermal conductivity is preferable, of course.

### How to treat heat load

### Comparison

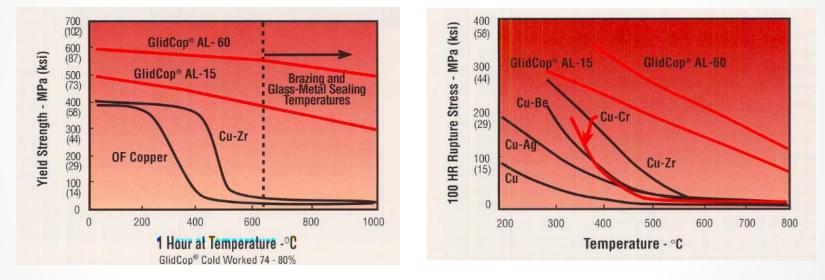
- Distributed photon stops
  - Relatively low heat load at the photon stop
  - Simple structure of beam pipe
  - No choice if the power density at the localized photon stop is too high
- Lumped photon stops
  - Relatively high heat load at the photon stop
  - Complicated structure of beam pipe
  - Effective pumping is realized by putting pumps at the same places (next topic)



### How to treat heat load

- Other countermeasure
  - Use materials with high thermal conductivity and high thermal strength.

Copper, copper-chromium alloys, glidcop

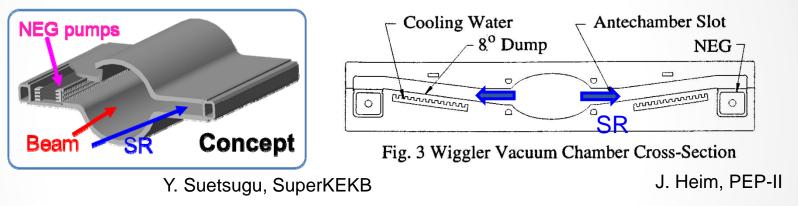


http://www.aps.anl.gov/APS\_Engineering\_Support\_Division/Mechanical\_Operations\_and\_Maintena nce/Miscellaneous/tech\_info/Glidcop/SCM\_Glidcop\_product\_info.pdf

 GLIDCOP: The registered trademark name of North American Hoganas, Inc. that refers to afamily of copper-based metal matrix composite (MMC) alloys mixed primarily with aluminum oxide ceramic particles. (Wikipedia)

## How to treat heat load

- Other countermeasure
  - Use beam pipes with an antechamber
    - SR hit at far point from emission point.
      - $\Rightarrow$  Decrease in power area density



- Secure interlocking system
  - Trigger for alarm for beam abort: Temperature of components, flow rate of cooling water
- Alignment of beam pipes (photon stops)
  - Avoid unnecessary irradiation

### Effect 1: Heat load

• Exercise

Calculate

(1) average power line density along the ring

(2) width of SR (*t*) at the irradiated point of 10 m from the emitting point (use  $2/\gamma$  as a spread angle)

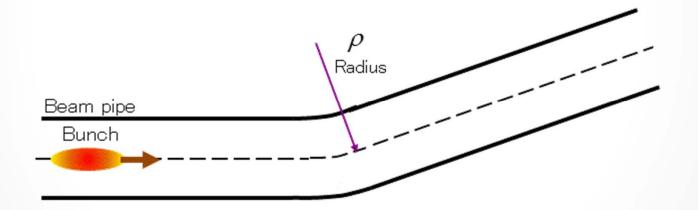
for a ring with  $E_e = 7$  GeV,  $I_e = 2$  A,  $\rho = 100$  m, C = 2000 m.

Solution

(1) 
$$\langle P_{Ie,line} \rangle = 88.4 \times 10^3 E[\text{GeV}]^4 \times I[\text{A}] / \rho[\text{m}] / C[\text{m}]$$
 [W/m]

(2) 
$$\gamma = \frac{1}{\sqrt{1-\beta^2}} = \frac{E_e}{m_e c^2} = \frac{E_e [\text{MeV}]}{0.511}$$
  
$$\therefore t = \frac{2}{\gamma} R$$

- Gas desorption from surface
  - SR hitting on the inner surface desorbs the gas molecules adsorbed on it
    - = photon stimulated gas desorption (PSD)
  - Residual gases in beam pipes during beam operation mainly come from the PSD.



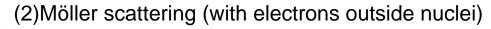
- Effect of the gas load
  - Energy loss due to the scattering with the residual gases
    - ⇒ Particle loss ⇒ Shorten life time.
  - Lost particles also increase in the background noise of detectors and can be a cause of radiation.
  - Beam life time,  $\tau$ , is defined as  $I_e = I_{e0}e^{-\frac{I}{\tau}}$

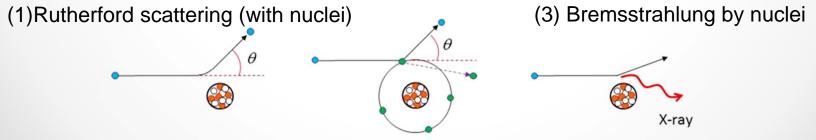
$$\frac{1}{\tau} = \sum_{i} \left( \sigma_B(Z_i) + Z_i \sigma_M + \sigma_R(Z_i) p_i \right)$$

 $I_e$  : Beam current  $I_{e0}$  : Initial beam current  $\tau$ : Life time

Here,  $\sigma_B$ ,  $\sigma_M$  and  $\sigma_R$  are the cross sections of major three interaction processes with gas molecules.

• The life time is in proportion to the pressure,  $p_i$ , i.e., gas load.





Energy of photon

Critical energy of photon

$$\varepsilon_c = 2.22 \times 10^3 \times \frac{E_e \,[\text{GeV}]^3}{\rho \,[\text{m}]} \quad [\text{eV}]$$

For example, if  $E_e = 4 \text{ GeV}, \ \rho = 75 \text{ m}$ 

$$\varepsilon_c = 2.22 \times 4^3 / 75 = 1.9$$
 keV

Temperature equivalent to 1 eV is, therefore

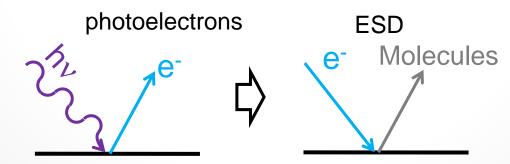
$$1 \,\mathrm{eV} = \frac{kT}{e}$$
  $\therefore T = \frac{e}{k} = \frac{1.6 \times 10^{-19}}{1.38 \times 10^{-23}} \approx 12000^{\circ} \mathrm{C}$  at 1eV

1 keV photon is enough to cut the chemical bonding between adsorbed molecule and surface molecules (a few eV). And also much more effective than baking.

⇒Considerable gas desorption compared to thermal gas desorption for large photon numbers.

Effect of photoelectrons

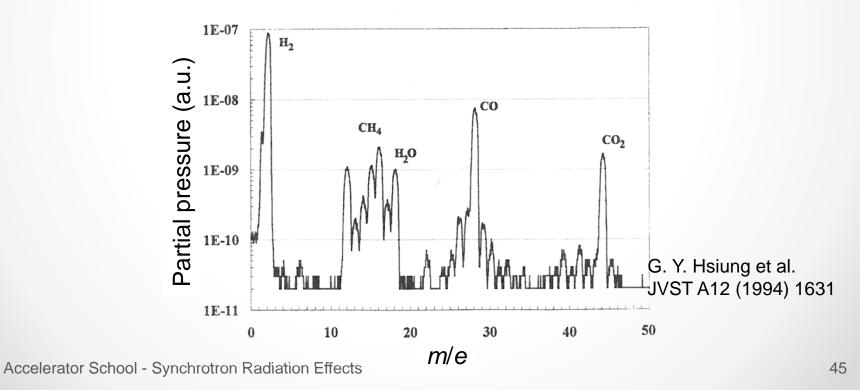
- The SR hitting on the inner surface emits electrons = Photoelectrons (touched later again)
   The photon energy is sufficiently high to emit electrons (photoelectrons) from material surfaces, where the work functions are a few eV.
- The electrons hitting the surface desorb the molecules from the surface, since they have also sufficiently high energies.
  - = Electron stimulated gas desorption, ESD
- It is said that most of PSD come from ESD.



Molecules

- Number of gas molecules emitted by one photon
   = Photon stimulated gas desorption rate

   (η [molecules photon<sup>-1</sup>])
  - Major gases are Hydrogen (H<sub>2</sub>), Carbon monooxide (CO), carbon double-oxide (CO<sub>2</sub>), after usual baking.



Energy dependence

 $\eta$  increase with the incident photon energy (critical energy) since the deposit energy increases.

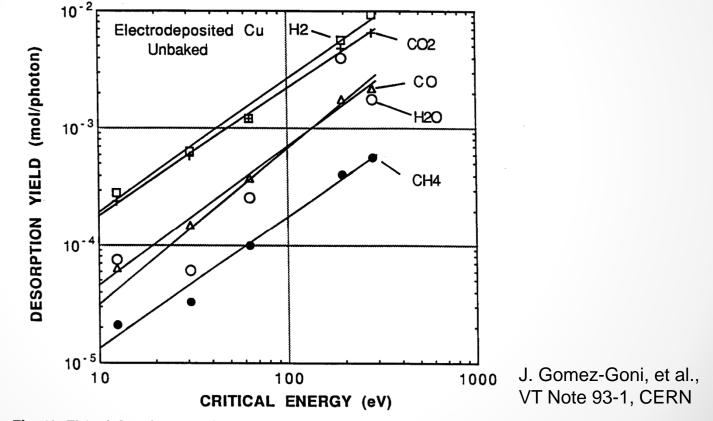
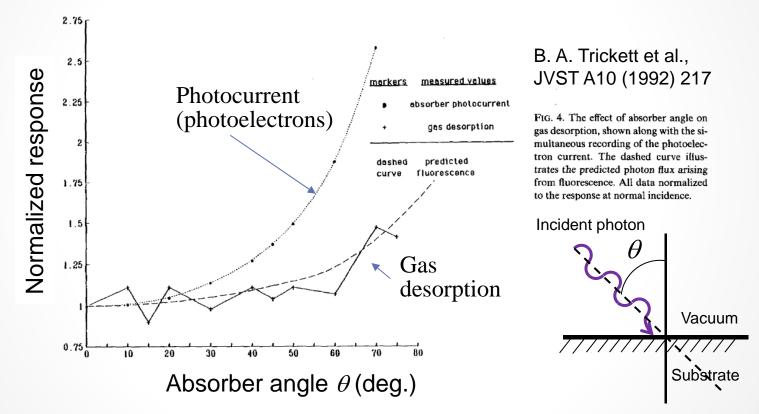


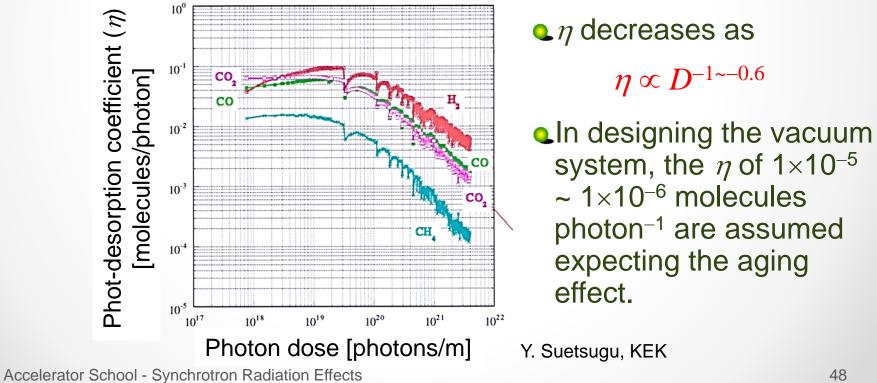
Fig. 10 Fits of the photon induced desorption yields as a function of the photon critical energy for electrodeposited Copper.

Angle dependence
 The shallower the incident angle is, the larger the η is.
 A rough surface can decrease η.



Note: If the surface is smooth and the incident angle is shallow, the reflection of SR should be taken into account.

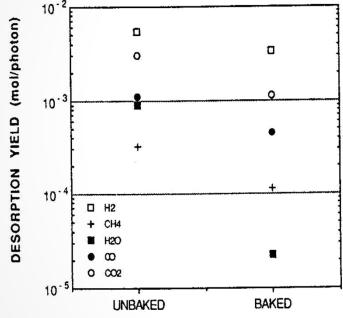
- Aging (Scrubbing)
  - $\eta$  decreases with integrated photon number (photon dose, D) = Beam aging or scrubbing
  - **Q** Typical values of  $\eta$  at the beginning (before SR irradiation are  $10^{-3} \sim 10^{-2}$  molecules/photon.  $\eta$  decreases down to  $\sim 10^{-7}$  after sufficient aging.

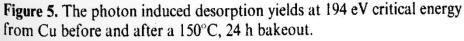


Dependence on surface conditions, materials

•  $\eta$  also strongly depends on the surface condition.

Molecules

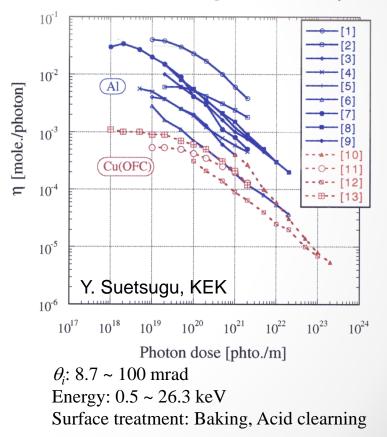




A.G. Mathewson, Vacuum (1993) 479

Accelerator School - Synchrotron Radiation Effects

 $\eta$  measurement experiments summary



Estimation of gas load

• Photon linear density (photon numbers per 1 m along a ring)  $\langle \dot{N}_{ph,Ie,line} \rangle = 8.08 \times 10^{20} E_e [\text{GeV}] \times I_e [\text{A}] / C [\text{m}] \text{ [photons s}^{-1} \text{m}^{-1}]$ For example, if  $E_e = 4 \text{ GeV}$ ,  $I_e = 2.6 \text{ A}$ , C = 3000 m $\langle \dot{N}_{ph,Ie,line} \rangle = 8.08 \times 10^{20} \times 4 \times 2.6/3000$  $= 2.8 \times 10^{18}$  photons s<sup>-1</sup>m<sup>-1</sup> If  $\eta = 1 \times 10^{-6}$  molecules photon<sup>-1</sup> Ring  $\langle \dot{N}_{mol,Ie,line} \rangle = 2.8 \times 10^{18} \times 1 \times 10^{-6}$  $= 2.8 \times 10^{12}$  molecules s<sup>-1</sup>m<sup>-1</sup>

Estimation of gas load (contd.)

• The average line gas desorption rate (gas load) along the ring,  $Q_{av,line}$ , is (T = 25 °C = 298 K)

$$Q_{av,line} = \left\langle \dot{N}_{mol,Ie,line} \right\rangle \times k_B T = 2.8 \times 10^{12} \times 1.38 \times 10^{-23} \times 298$$
  
= 1.1×10<sup>-8</sup> Pa m<sup>3</sup>s<sup>-1</sup>m<sup>-1</sup>

Here we used the equation of ideal gas:

$$PV = N_{mol}k_BT$$

*P*: Pressure, *V*: Volume, *k*<sub>B</sub>: Boltzmann constant, *T*: Temperature

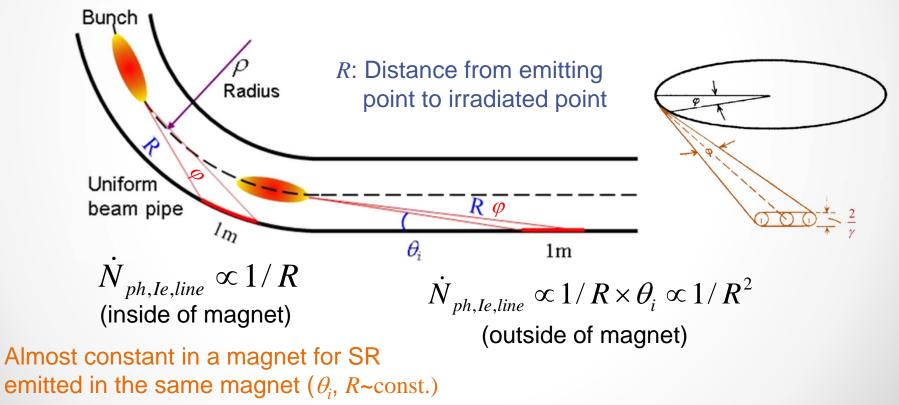
This expression is convenient in designing vacuum system.

• If an average linear pumping speed is,  $S_{av,line}$  [m<sup>3</sup> s<sup>-1</sup> m<sup>-1</sup>], along the ring, the obtained average pressure,  $P_{av}$  [Pa], is

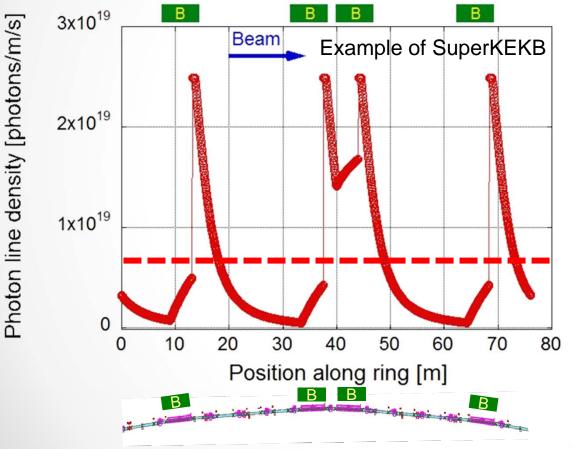
$$P_{av} = \frac{Q_{av,line}}{S_{av,line}}$$

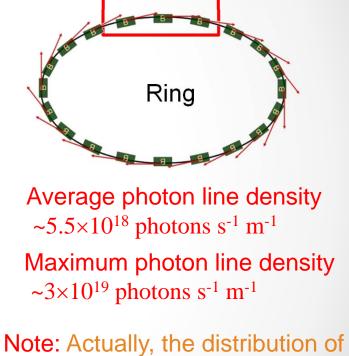
$$Q_{av,line} = P_{av} S_{av,line}$$

- Actually, the photon line density depends on the distance from the emitting point of SR to the irradiated point, R, and the incident angle,  $\theta_i$ , as in the case of SR power density.
  - Vertical spread of SR,  $\sim 2/\gamma$ , is not so important in this case.



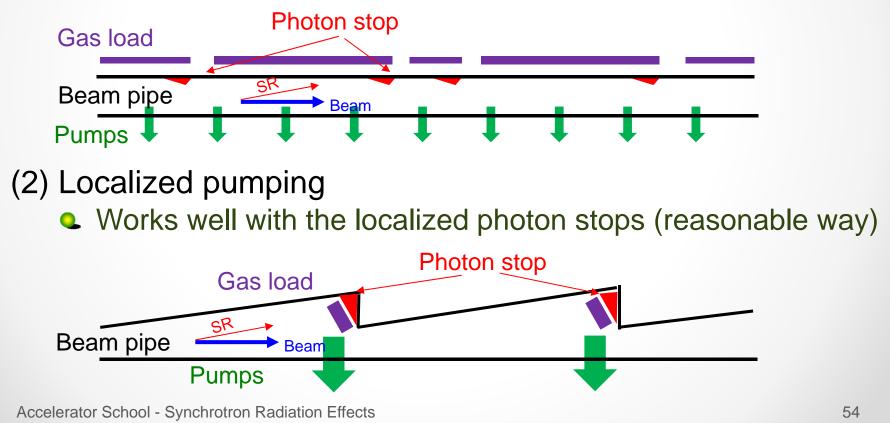
- Distribution of gas load ~ Distribution of photons
  - Basically gas load is high at downstream of bending magnets, as in the case of heat load.





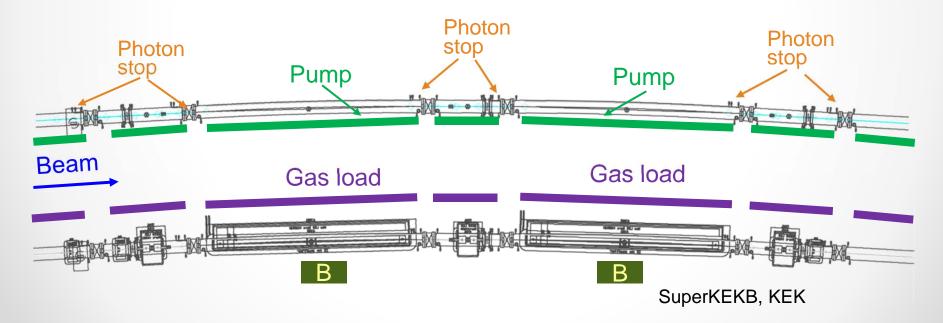
gas load is **NOT** that of photons due to PSD dependence on the beam dose and  $\theta_i$ . The difference is reduced with time. (Direct photons, and the reflection is neglected.)

- Basic principle: Prepare pumps at places where photons are irradiated.
- There are two ways to treat gas load:
- (1) Distributed pumping
  - Works well with the distributed photon stops.



### Distributed pumping

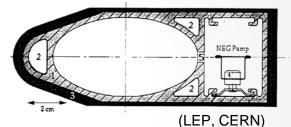
- Usually, the beam pipes are very narrow and long. So the conductance of them is small, typically < 0.1 m<sup>3</sup> s<sup>-1</sup>m<sup>-1</sup>.
- Pumps are located along the beam pipe, just side of the beam channel. The beam pipe is effectively evacuated, if the gas load is distributed along the ring.
- Relatively simple beam pipe, smooth inner surface.



- Distributed pumping
  - Distributed pumps
    - Distributed sputter-ion pump(DIP):

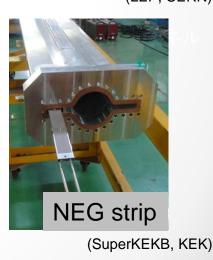
Sputter-ion pump using the magnetic filed of bending magnet Popular until ~1990.

NEG(Non evaporable getter pump) NEG strips along the beam pipe Coating inside is popular now

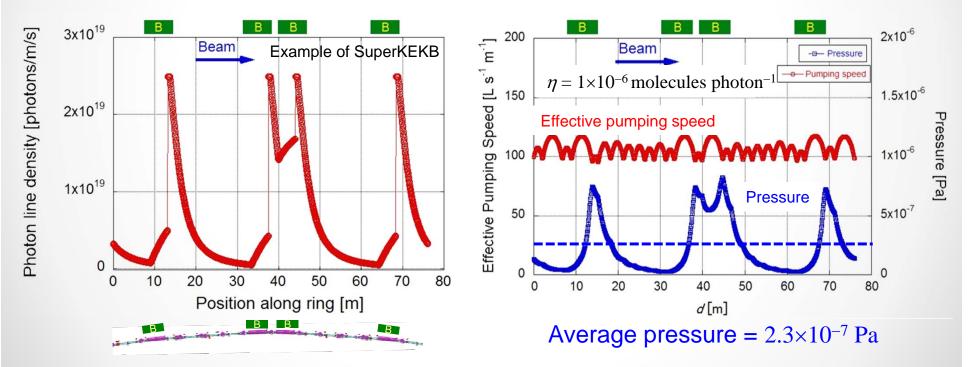






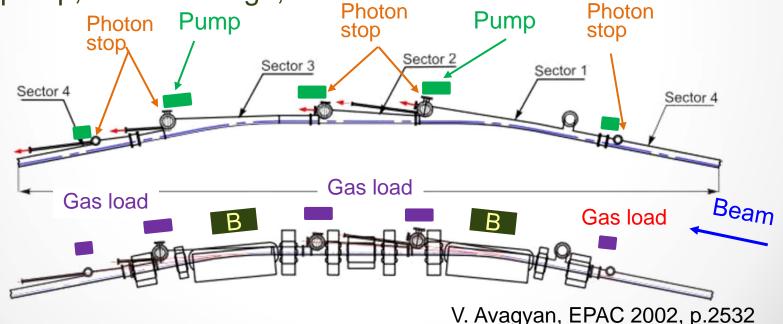


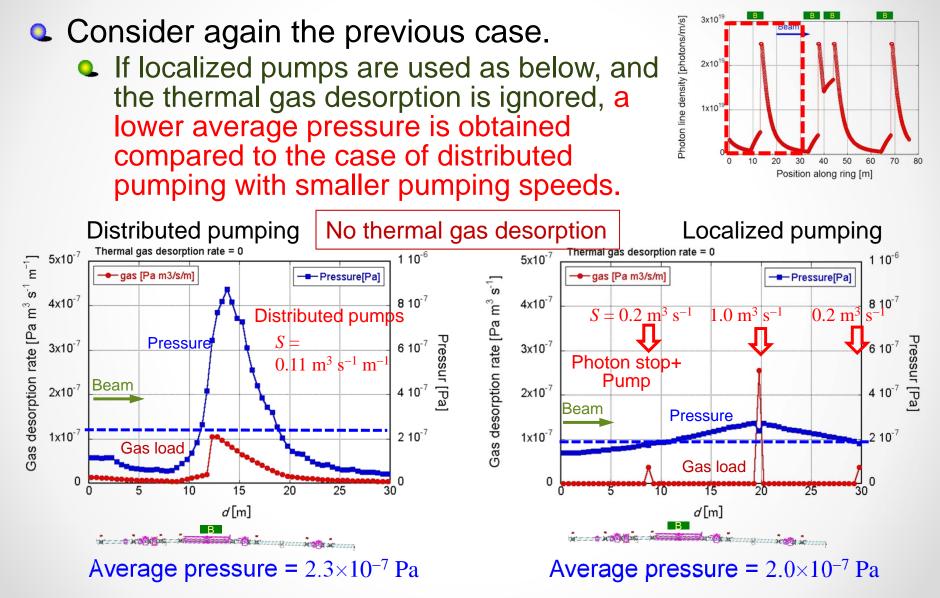
- In the case of the previous example, if we use a distributed pumping system with an average pumping speed of ~0.11 m<sup>3</sup> s<sup>-1</sup> m<sup>-1</sup>, the average pressure of  $2.3 \times 10^{-7}$  Pa is obtained. (for  $\eta = 1 \times 10^{-6}$  molecules photon<sup>-1</sup>)
  - The similar profile to that of photon line density is obtained.



#### (Direct photons, and the reflection is neglected.)

- Localized pumping
  - Place photon stops locally, usually at downstream of bending magnets.
  - Localize photons = Localize gas load
  - Concentrate pumps where the gas load is large.
    - $\Rightarrow$  Reasonable approach
  - Turbo-molecular pump, Sputter ion pump, Ti-sublimation pump, NEG cartridge, etc.





- Comparison between distributed and lumped pumps
  - Distributed pumping system
    - Work with distributed photon stops
    - Relatively simple structure of beam pipes
    - Uniform pumping speed along the ring
    - Similar pressure profile to the photon distribution
  - Localized pumping system
    - Work with localized photon stops
    - Relatively complicated structure of beam pipes
    - Reasonable approach to realize ultra high vacuum, and adopted for recent photon sources.
    - Low thermal gas desorption is essential.

(Distributed photon stops) SuperKEKB, KEK







(Localized photon stops) TPS, NSRRC

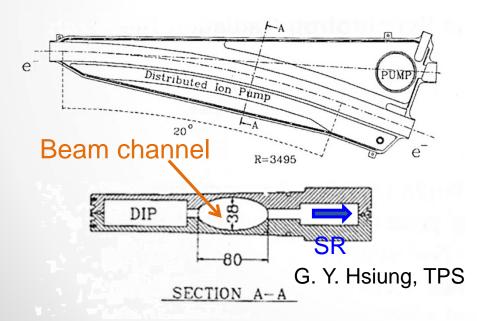
- Other effective countermeasures
  - To avoid contamination during the manufacturing and assembling processes of beam pipes is essential.
    - Clean environment during assembling
    - Surface treatment:
      - Chemical cleaning
      - Argon grow discharge
    - Pre-baking is effective to reduce thermal gas desorption.

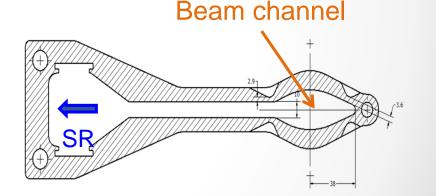




SuperKEKB, KEK

- Other effective countermeasures (contd.)
  - Antechamber scheme
    - Photons hit photon stops in the antechamber which is separated from beam channel.
    - Desorbed gas is confined in the antechamber.
    - Usually adopted for the localized photon stop scheme.
    - Relatively smooth beam channel  $\Rightarrow$  low beam impedance.





H. C. Hseuh, NSLS-II

### • Exercise

Calculate

(1) average photon line density along the ring (2) average gas load in the unit of [Pa m<sup>3</sup> s<sup>-1</sup> m<sup>-1</sup>] for a ring with  $E_e = 7$  GeV,  $I_e = 2$  A,  $\rho = 100$  m, C = 2000 m, where  $\eta = 1 \times 10^{-5}$  molecules photon<sup>-1</sup> and T = 25 °C (298 K).

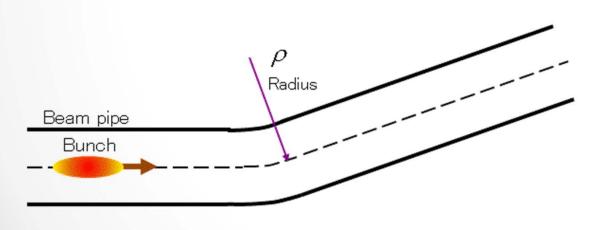
### Solution

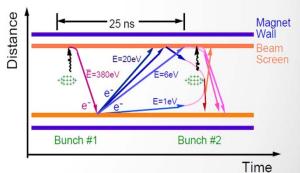
(1) 
$$\langle \dot{N}_{ph,Ie,line} \rangle = 8.08 \times 10^{20} I[A] E_e [GeV] / C[m]$$

(2) 
$$\langle \dot{N}_{mol,Ie,line} \rangle = \langle \dot{N}_{ph,Ie,line} \rangle \times \eta$$
  
 $Q_{ave,line} = \langle \dot{N}_{mol,Ie,line} \rangle \times k_B T$ 

### Effect 3: Electron emission

- Electron emission from surface 1
  - The SR hitting on the surface emits photoelectrons, as described before.
    - Quantum efficiency  $\eta_e \sim 0.1$  electrons photon<sup>-1</sup>
  - If the beams are positively charged (i.e., positrons or protons), they attract the electrons.
  - The electrons accelerated by the beam's electric field hit the surface, and emit electrons > secondary electrons



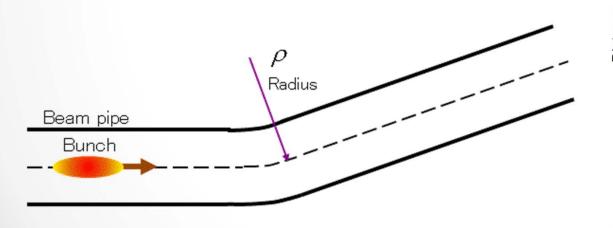


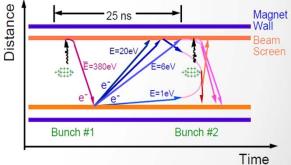
"EXPERIMENTAL INVESTIGATIONS OF THE ELECTRON CLOUD KEY PARAMETERS", V. Baglin et al.

### Effect 3: Electron emission

Electron emission from surface - 2

- If the secondary electron yield (SEY) is larger than 1, the enhancement of electrons (multipactoring) occurs.
- This positive feedback leads to the accumulation of electrons around the beams.
- The electrons forms "electron cloud" around the beam orbit.



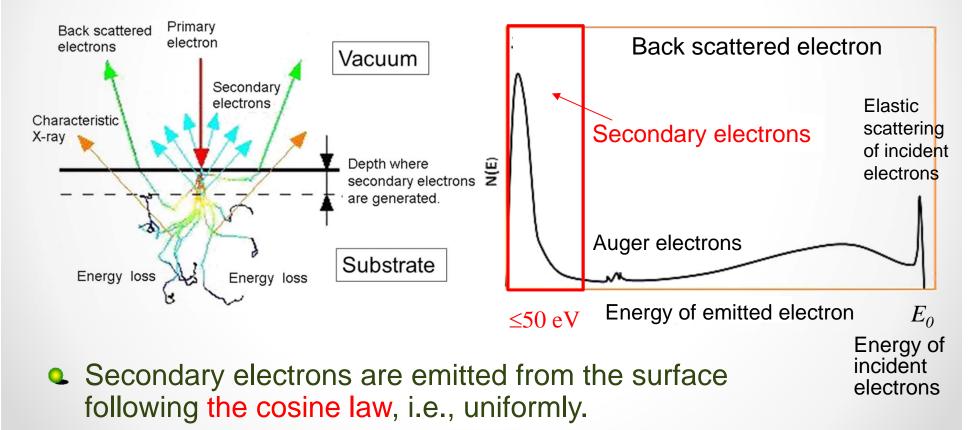


"EXPERIMENTAL INVESTIGATIONS OF THE ELECTRON CLOUD KEY PARAMETERS", V. Baglin et al.

Process of SEY and energy spectrum of secondary electrons

Process of SEY

 Energy spectrum of emitted electrons



- Dependence on the angle of incident electrons SEY ( $\delta$ ) increases for large incident angle ( $\theta$ ).
  - For shallow incidence, generated electrons along the path of incident electron can easily escape to vacuum.

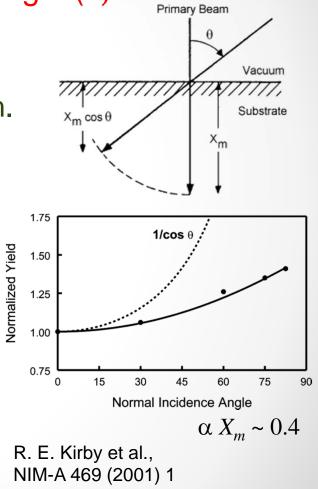
• For 
$$\theta \sim 0^\circ$$

$$\delta \approx \frac{\delta_{\max}}{\cos \theta}$$

• For 
$$\theta \rightarrow 90^{\circ}$$

$$\delta \approx \delta_{\max} e^{\alpha X_m (1 - \cos \theta)}$$

 $X_m$ : Depth at which secondary electros are generated at normal incidence  $\alpha$ : Absorption rate



- Dependence on the energy of incident electron
   SEY (δ) has a maximum at the incident electron energy of 200~400 eV, and decreases gradually with the energy.
- **Q** Two formula of  $\delta$  are usually used for the simulation.

$$\delta(E_r) \approx \delta_{\max} \times 1.11E_r^{-0.35} \left(1 - e^{-2.3E_r^{1.35}}\right) \qquad \text{F. Zin}$$

$$\delta_{\max}: \text{Maximum yield for perpendicular}$$
incident
$$E_r \equiv E_p / E_p^m$$

$$E_p: \text{Energy of incident electron}$$

$$E_p^m: \text{Primary energy at which the yield is}$$

$$\max \text{maximum. Usually, 200~400 eV.}$$

$$\delta(E_r) \approx \delta_{\max} \frac{S \times \frac{E_p}{E_p^m}}{S - 1 + \left(\frac{E_p}{E_p^m}\right)^S}$$
Figure 1: S of the primari electron and the primari electron of the primari

*s* ~ 1.4.

Accelerator School - Synchrotron Radiation Effects

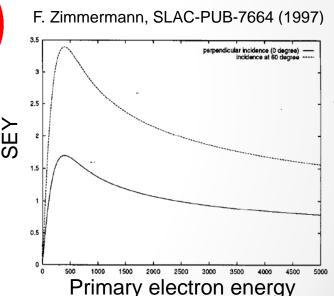
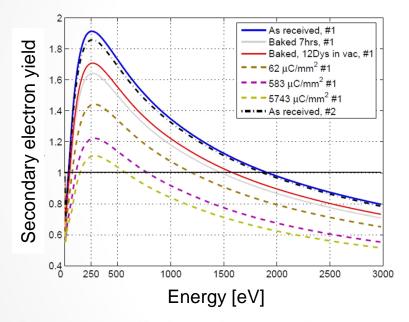


Figure 1: Secondary emission yield, Eq. (6), as a function of the primary electron energy (in eV), for 0° and 60° incident angle with respect to the surface normal; the maximum emission yield for perpendicular incidence was chosen as  $\delta_{max} = 1.7$ .

- Decrease in SEY with electron dose (integrated electrons per unit area) : Aging or conditioning
- SEY also strongly depends on the surface conditions.



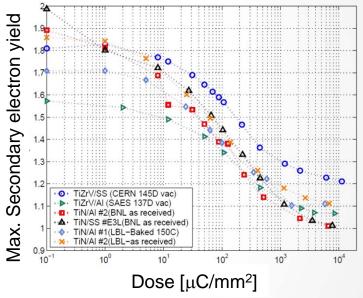


Figure 3: SEY of TiN and TiZrV getter under exposure by 130 eV electrons. Measurement performed at 23° primary incidence.

Figure 2: SEY of TiN/Al under different conditions. Asreceived (#1 and #2), baked at  $150^{\circ}$ C, vacuum recontamination after 12 days at  $5.10^{-10}$  Torr and conditioning by 130 eV electrons. Measurement performed at 23° primary incidence.

"Summary of SLAC'S SEY Measurement On Flat Accelerator Wall Materials", F. Le Pimpec

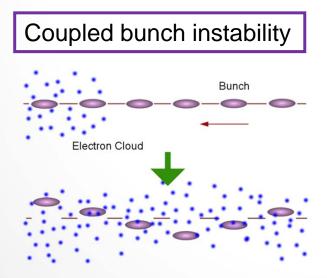
### **Electron cloud effect**

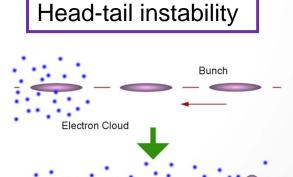
- If the electron density around the beam exceeds a threshold value, the electron cloud excites an beam instability.  $\Rightarrow$  Electron cloud instability
  - Displacement of bunch effects the following bunches via electron cloud.

top bunch

Displacement of the Perturbation of electron cloud (Wake Field)

Two types of instabilities: Head-tail instability is serious.

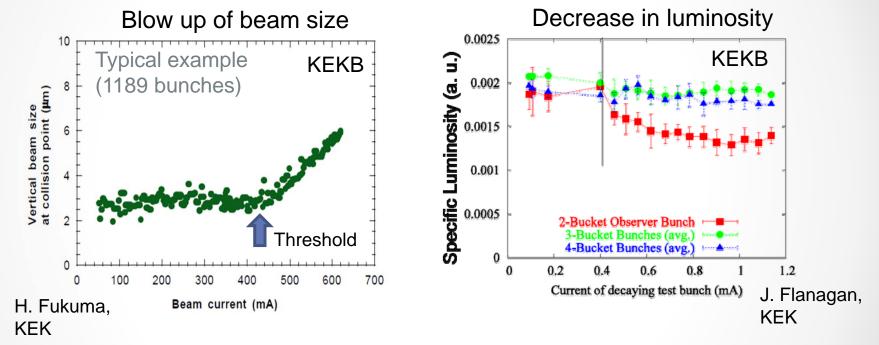




### **Electron cloud effect**

Substitution Cloud instability leads to the blow up of beam size.
→ Decrease in the luminosity in colliders

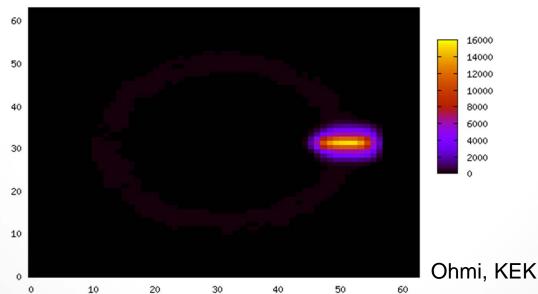




 Critical issue in the recent high-intensity proton and positron storage rings.

### **Electron cloud effect**

- Lots of studies have been done in various accelerators
  - Formation of electron cloud
  - Simulation of beam instability
  - Countermeasures against ECE
- Results are presented in many workshops, such as ECLOUD'10, 12 etc..



#### Simulation of electron cloud formation

### **Electron cloud effect**

#### Threshold of electron density to excite instability

 $\rho_{e,th} = \frac{2\gamma\nu_{s}\omega_{e,y}\sigma_{z}/c}{\sqrt{3}KQr_{e}\beta L}.$  E[GeV] = 4.0  $\gamma = 7828 \qquad N_{b} = 6.25\text{E}+10$   $\nu_{b} = 0.026 \qquad Q_{b}[\text{C}] = 1.4\text{E}-08 \qquad (1.4 \text{ mA/bunch})$   $S_{b}[\text{m}] = 1.2 \qquad (4\text{ns})$   $\sigma_{z}[\text{m}] = 6.\text{E}-03 \qquad \lambda[\text{C/m}] = 5.2\text{E}+12 \qquad (Q_{b}/2/\sigma_{z})$   $c[\text{m/s}] = 3.\text{E}+08 \qquad \sigma_{y}[\text{m}] = 2.\text{E}-05 \qquad K = 11 \qquad \sigma_{x}[\text{m}] = 2.\text{E}-04 \qquad Q = 7$   $r_{e}[\text{m}] = 2.80\text{E}-15 \qquad \omega_{e} = 5.46\text{E}+11 \qquad K = \omega_{e} \sigma_{z}/c$   $\beta_{y}[\text{m}] = 25 \qquad \omega_{e} \sigma_{z}/c = 10.9 \qquad Q = \text{Min}(Q_{\text{nl}}, \omega_{e} \sigma_{z}/c)$   $L[\text{m}] = 3016 \qquad Q_{\text{nl}} \sim 7$ 

K. Ohmi, KEK Preprint 2005-100 (2006)

For example, in the case of SuperKEKB ( $E_e = 4 \text{ GeV}, I_e = 3.6 \text{ A}$ )  $\rho_{e,th} = 2 \times 10^{11} \text{ [electrons m}^{-3}]$ 

### **Electron cloud effect**

Rough estimation of photoelectron numbers

• For  $E_e = 4$  GeV,  $I_e = 3.6$  A, C = 3000 m, the average photon linear density along the ring is

$$\left\langle \dot{N}_{ph,Ie,line} \right\rangle = 8.08 \times 10^{20} E_e [\text{GeV}] \times I_e [\text{A}] / C[\text{m}]$$

 $= 8.08 \times 10^{20} \times 4 \times 3.6 / 3000 = 3.9 \times 10^{18}$  photons s<sup>-1</sup>m<sup>-1</sup>

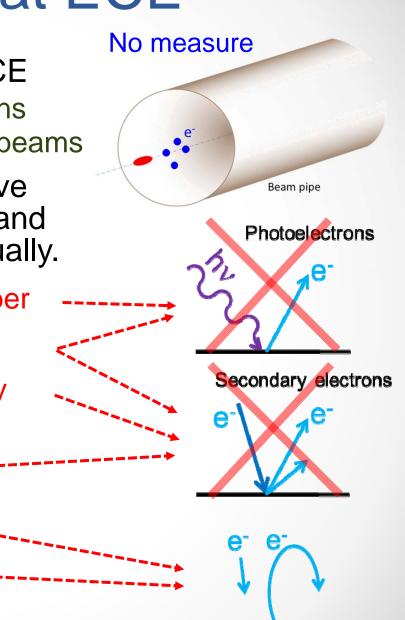
If the quantum efficiency  $(\eta_e)$  is 0.1, the emitted photoelectron number is

$$\langle \dot{N}_{ele,Ie,line} \rangle = \eta_e \times \langle \dot{N}_{ph,Ie,line} \rangle = 3.9 \times 10^{17} \text{ electrons s}^{-1} \text{ m}^{-1}$$

 The density of ~2×10<sup>11</sup> electrons m<sup>-3</sup> is easily achieved if no countermeasure are not adopted.

## How to treat ECE

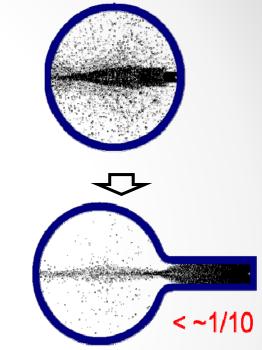
- Countermeasures against ECE
  - Suppress electron emissions
  - Remove electrons around beams
- Various countermeasures have been proposed and studied, and some have been applied actually.
  - Beam pipe with antechamber
  - Rough surface
  - Coating with low secondary electron yield
  - Grooved surface
  - Solenoid field
  - Clearing electrode



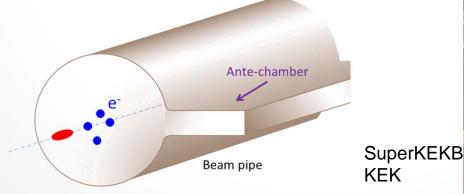
## How to treat ECE

#### Beam pipe with antechambers

- SR is irradiated at the side wall of antechamber, far from the beam.
  - $\Rightarrow$ Photoelectrons are difficult to approach to the beam.
- Note that the some photons hit out side of antechamber at far from the photon source due to the vertical spread of  $\sim 2/\gamma$ .
- Furthermore, multipactoring of secondary electrons becomes more significant for large beam current.



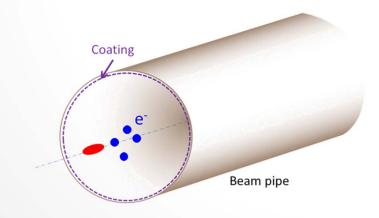
 $\Rightarrow$ Effective at low beam currents

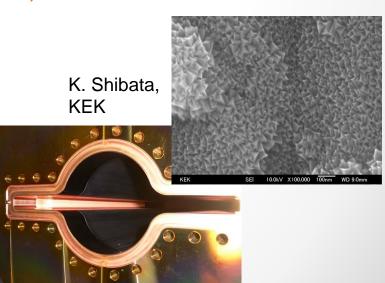




## **Electron cloud issue**

- Inner coating with a low SEY
  - At high beam currents, the main mechanism of forming the electron cloud is the multipactoring of secondary electros.
  - In this point of view, some inner coatings with low SEY are effective to suppress the electron cloud forming.
  - Possible candidates: TiN, Graphite, NEG (non-evaporable getters)





1.5

SEY

0.5

0

Accelerator School - Synchrotron Radiation Effects

K. Shibata,

Al

Electron Dose: ~1x10<sup>-2</sup> C/mm<sup>2</sup>

1500

2000

1000

Primary Electron Energy [eV]

AI+TiN(200n)
 Cu
 Cu+TiN(200n)

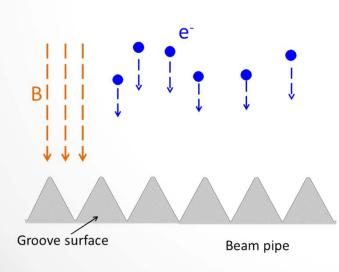
KEK

500

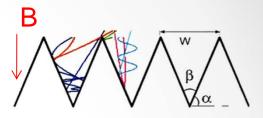
### **Electron cloud issue**

KEK

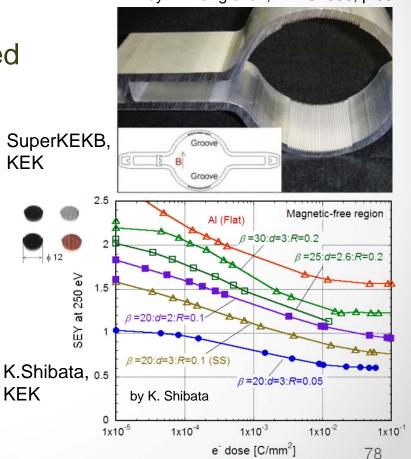
- Groove surface
  - A surface with groove structure is found to have a low SEY.
  - The SEY structurally reduces, especially in magnetic field.
  - Coating on the groove enhanced the reduction of SEY.
  - One concern is the impedance. SuperKEKB, KEK



Accelerator School - Synchrotron Radiation Effects



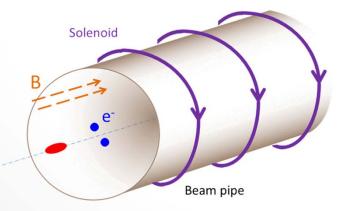
by L. Wang et al., EPAC2006, p.897

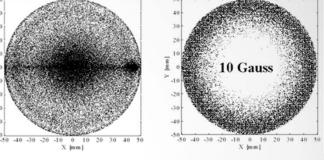


## How to treat ECE

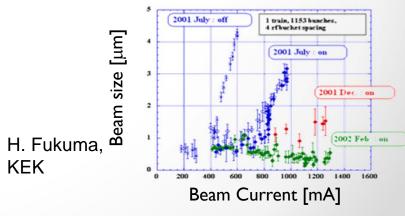
### Solenoid filed

- Magnetic filed along the beam pipe.
- Electrons emitted from the surface return to the surface due to the Larmor motion.
- Emitted photoelectrons or secondary electrons have an energy of several tens eV. So, several tens gausses are enough.
- Drastic effects were observed in PEP-II and KEKB B-factory.









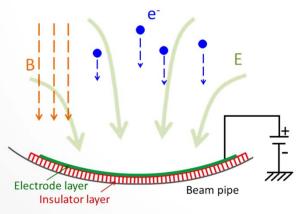
KEK

KEK

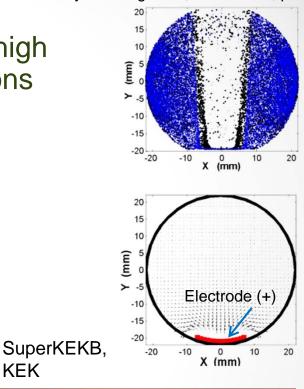
## **Electron cloud issue**

#### • Clearing electrode

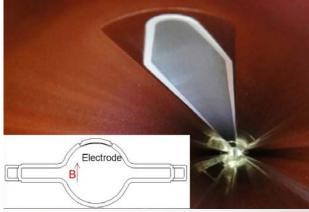
- An electrode in a beam pipe with a high positive potential attracts the electrons around the beam orbit.
- A drastic effect is expected and was actually observed in experiments.
- Demonstrated at DAFNE, Italy (D. Alesini, IPAC2012 p.1107)
- One concern is again its impedance effect on the beam.



Accelerator School - Synchrotron Radiation Effects



by L. Wang et al., EPAC2006, p.1491



KEK

### **Effect 3: Electron emission**

#### Exercise

Calculate

(1) average emitted photoelectrons per meter per second along the ring assuming  $\eta_e$  of 0.1

for a ring with  $E_e = 7$  GeV,  $I_e = 2$  A,  $\rho = 100$  m, C = 2000 m.

#### Solution

(1) 
$$\langle \dot{N}_{ele,Ie,line} \rangle = \eta_e \times \langle \dot{N}_{ph,Ie,line} \rangle$$
  
=  $\eta_e \times 8.08 \times 10^{20} E_e [\text{GeV}] \times I_e [\text{A}] / C [\text{m}] \text{ [electrons s}^{-1} \text{m}^{-1}]$ 

## Summary

- Basic and practical matters to understand the effect of the synchrotron radiation to the accelerator performance, and how to treat these problems were presented.
  - Heat load
    Gas load
    Electron emission
- Effect of SR on the performance of accelerator
  - Heat load
    - Heat up beam pipe, damage beam pipes by heating and stress.
    - Install proper photon stops at proper locations. Design to decrease power density. Use materials for the photon stops with high thermal strength.

## Summary

### Effect of SR on the performance of accelerator

#### Gas load

- Increase pressure, reduce beam lifetime, increase background noise.
- Install vacuum pumps at proper locations and prepare sufficient pumping speed, following the photon stops scheme.

Decrease contamination on the surface of beam pipes.

#### Electron emission

- Enhance forming of electron cloud, leads instabilities.
- Prepare proper countermeasures in order to suppress secondary electrons as well as photoelectrons, such as TiN coating, solenoid field and groove surface.

# Thank you! and wish you continued success in the work.

(1) The synchrotron radiation induce the following three process in accelerators;

- a. Power deposition at irradiated area
- b. Gas desorption
- c. Photoelectron emission
- How do they affect on the accelerator performance?
- What type of countermeasures are available to deal with the problems?

(1) Solution (example)

- a. Power deposition at irradiated area
- Heat up beam pipe, damage beam pipes by heating and stress.
- Install distributed or localized photon stops cooled by water along the ring, taking into account the SR power absorbed to the photon stops.
- Use materials with high thermal strength for these photon stops.

#### b. Gas desorption

- Increase pressure, reduce beam lifetime.
- Place distributed or localized pumps following the photon stops arrangement along the ring.
- Decrease contamination on the surface of beam pipes.

(1) Solution (example) contd.

#### c. Photoelectron emission

- Enhance forming of electron cloud, which leads to beam instabilities and deteriorate the performance.
- Prepare proper countermeasures in order to suppress secondary electrons as well as photoelectrons, such as TiN coating, solenoid field, groove surface etc.

(2) Calculate the followings related to the synchrotron radiation (SR) from the bending magnets.

- a. Spread angle of SR  $(2/\gamma)$
- b. Critical energy of photons
- c. Total power in the ring
- d. Average photon line density along the ring

for a electron ring with

Beam energy:  $E_e = 3 \text{ GeV}$ 

Beam current:  $I_e = 1$  A

Bending radius:  $\rho = 80 \text{ m}$ 

Circumference: C = 500 m.

(2) Solution

a. Spread angle of SR (2/ $\gamma$ )

$$\gamma = \frac{E_e}{m_e c^2} = \frac{E_e[\text{MeV}]}{0.511} = 5.87 \times 10^3 \quad \therefore \frac{2}{\gamma} = \frac{2}{5.87 \times 10^3} \times 10000 = 3.4 \times 10^{-4} \text{ rad}$$

b. Critical energy of photons

$$\varepsilon_c = 2.218 \times 10^3 \times \frac{E_e [\text{GeV}]^3}{\rho [\text{m}]} = 2.218 \times 10^3 \times \frac{3^3}{80} = 750 \text{ eV}$$

c. Total power in the ring

$$P_{Ie} = 8.85 \times 10^4 \frac{E_e \,[\text{GeV}]^4}{\rho \,[\text{m}]} I \,[\text{A}] = 8.85 \times 10^4 \times \frac{3^4}{80} \times 1 = 9.0 \times 10^4 \text{ W}$$

d. Average photon line density along the ring

$$\langle \dot{N}_{ph,Ie,line} \rangle = 8.08 \times 10^{20} I[A] E_e [GeV] / C[m]$$
  
=  $8.08 \times 10^{20} \times 1 \times 3 / 500 = 4.9 \times 10^{18}$  Photons s<sup>-1</sup>m<sup>-1</sup>