

TEM-CLASS CAVITY DESIGN

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Introduction

- There have been increased needs for reduced-beta ($\beta < 1$) SRF cavity especially in CW machine (or high duty pulsed machine; duty $> 10\%$)
 - Accelerator driven system (ADS)
Nuclear transmutation of long-lived radio active waste
Energy amplifier
Intense spallation neutron source
 - Nuclear physics
Radioactive ion acceleration
Muon/neutrino production
 - Defense applications
- SRF technology → **Critical path !!**

Introduction

- **SRF cavity for CW application or long pulse application**
 - efforts for expanding their application regions down to $\beta \sim 0.1$,
 - **Reduced beta Elliptical multi-cell SRF cavity**
 - for CW, prototyping by several R&D groups have demonstrated as low as $\beta = 0.47$
 - for pulsed, SNS $\beta = 0.61$, 0.81 cavities & ESS
 - **Elliptical cavity has intrinsic problem as β goes down**
 - mechanical problem, multipacting, low RF efficiency
 - **Spoke cavity; supposed to cover ranges $\beta = 0.1 \sim 0.5(6)$, $f = 300 \sim 900$ MHz**
 - design & prototype efforts in RIA, AAA, EURISOL, XADS, ESS, etc.
- For proton $\beta = 0.12$ corresponds ~ 7 MeV \rightarrow all the accelerating structures (except RFQ)

Low and Medium β Superconducting Accelerators

High Current

Medium/Low Current

CW

Accelerator driven systems
waste transmutation
energy production

Production of radioactive ions

Nuclear Structure

Pulsed

Pulsed spallation sources

High-current cw accelerators

- Beam: p, H⁻, d
- Technical issues and challenges
 - Beam losses (~ 1 W/m)
 - Activation
 - High cw rf power
 - Higher order modes
 - Cryogenics losses
- Implications for SRF technology
 - Cavities with high acceptance
 - Development of high cw power couplers
 - Extraction of HOM power
 - Cavities with high shunt impedance

High-current pulsed accelerators

- Beam: p, H⁻
- Technical issues and challenges
 - Beam losses (~ 1 W/m)
 - Activation
 - Higher order modes
 - High peak rf power
 - Dynamic Lorentz detuning
- Implications for SRF technology
 - Cavities with high acceptance
 - Development of high peak power couplers
 - Extraction of HOM power
 - Development of active compensation of dynamic Lorentz detuning

Medium to low current cw accelerators

- Beam; p to U
- Technical issues and challenges
 - Microphonics, frequency control
 - Cryogenic losses
 - Wide charge to mass ratio
 - Multicharged state acceleration
 - Activation
- Implications for SRF technology
 - Cavities with low sensitivity to vibration
 - Development of microphonics compensation
 - Cavities with high shunt impedance
 - Cavities with large velocity acceptance (few cells)
 - Cavities with large beam acceptance (low frequency, small frequency transitions)

Common considerations (I)

- Intermediate velocity applications usually do not require (or cannot afford) very high gradients
- Operational and practical gradients are limited by
 - Cryogenics losses (cw applications)
 - Rf power to control microphonics (low current applications)
 - Rf power couplers (high-current applications)
- High shunt impedance is often more important
- To various degrees, beam losses and activation are a consideration

Common considerations (II)

- Superconducting accelerators in the medium velocity range are mostly used for the production of secondary species
 - Neutrons (spallation sources)
 - Exotic ions (radioactive beam facilities)
- Medium power (100s kW) to high power (~MW) primary impinging on a target
- Thermal properties and dynamics of the target are important considerations in the design of the accelerator (frequency, duration, recovery from beam trips)
- Some implications:
 - Operate cavities sufficiently far from the edge
 - Provide an ample frequency control window

Design considerations

- Low cryogenics losses
 - High $QR_s * R_{sh}/Q$
 - Low frequency
- High gradient
 - Low E_p/E_{acc}
 - Low B_p/E_{acc}
- Large velocity acceptance
 - Small number of cells
 - Low frequency
- Frequency control
 - Low sensitivity to microphonics
 - Low energy content
 - Low Lorentz coefficient
- Large beam acceptance
 - Large aperture (transverse acceptance)
 - Low frequency (longitudinal acceptance)

A Few Obvious Statements

Low and medium β

$$\beta < 1$$

Particle velocity will change

The lower the velocity of the particle or cavity β

The faster the velocity of the particle will change

The narrower the velocity range of a particular cavity

The smaller the number of cavities of that β

The more important it is that the particle achieve design velocity

Be conservative at lower β

Be more aggressive at higher β

A Few More Statements

Two main types of structure geometries

TEM class (QW, HW, Spoke)

TM class (elliptical)

Design criteria for elliptical cavities

Pagani, Barni, Bosotti, Pierini, Ciovati, SRF 2001.

Challenges and the future of reduced beta srf cavity design

Sang-ho Kim, LINAC 2002.

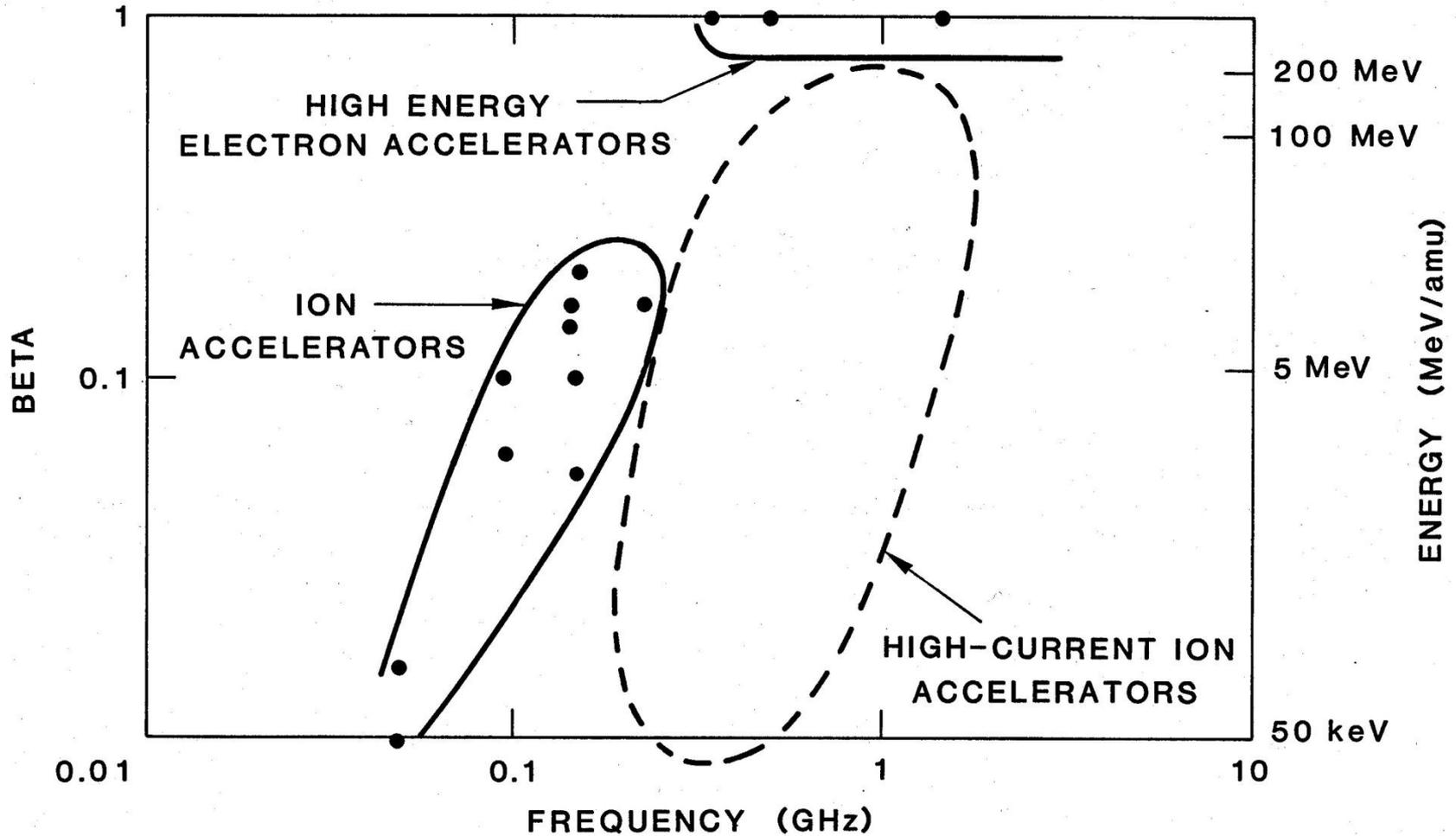
Low and intermediate β cavity design

Jean Delayen, SRF 2003

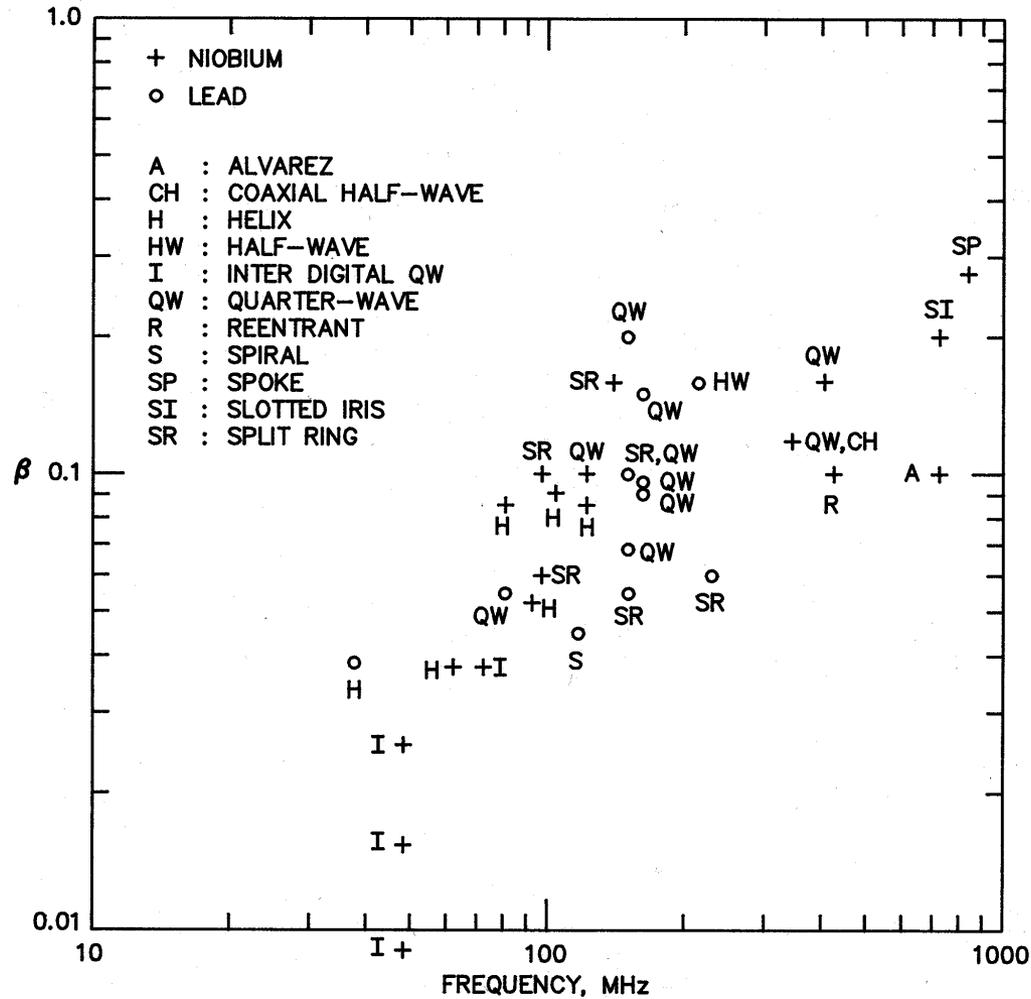
High-energy ion linacs based on superconducting spoke cavities

K. W. Shepard, P. N. Ostroumov, J. R. Delayen, PRSTAB **6**, 080101 (2003)

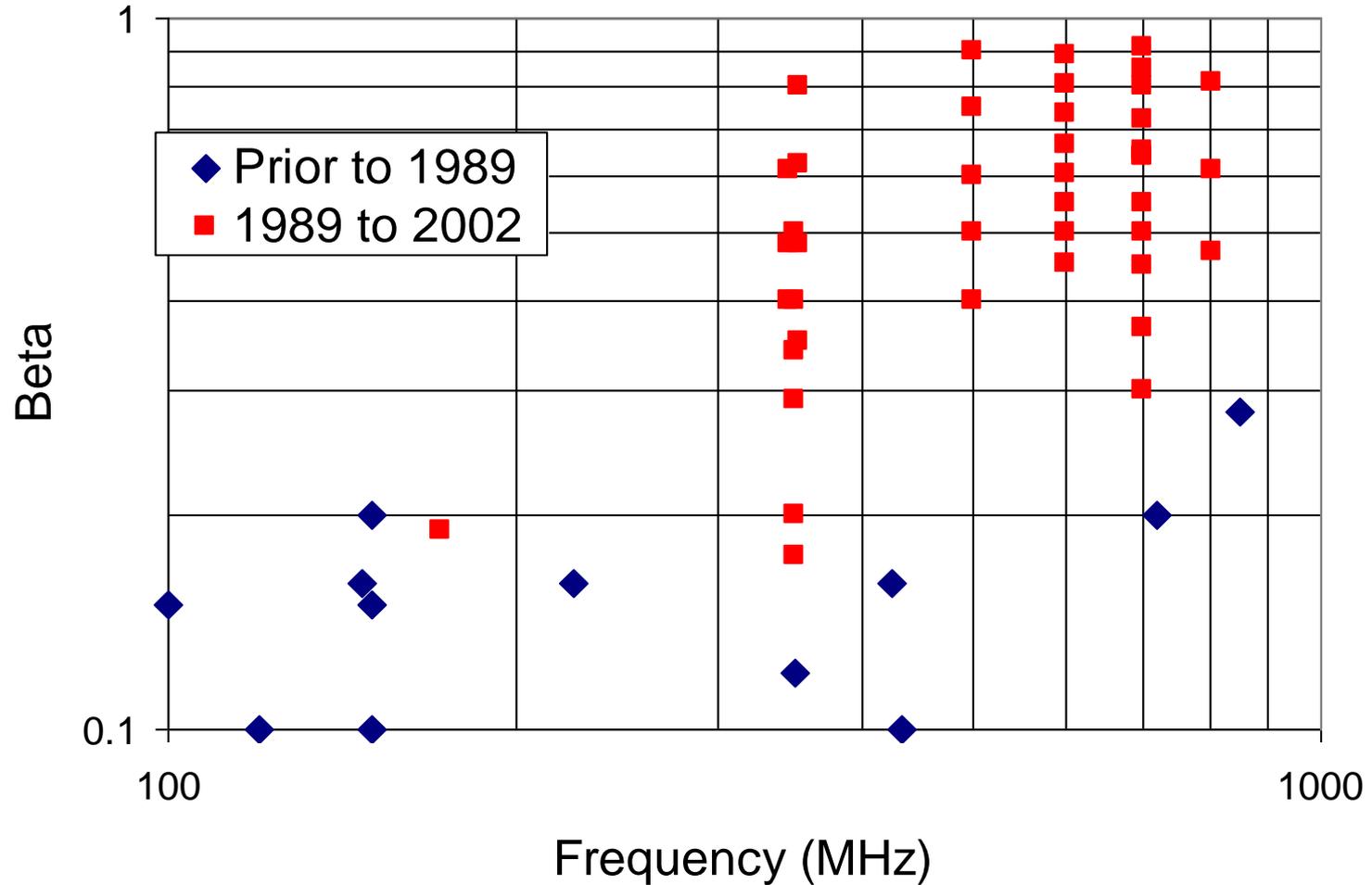
Superconducting Structures – Circa 1987



$\beta < 1$ Superconducting Structures – Circa 1989



$\beta < 1$ Superconducting Structures – 2002..



Basic Structure Geometries

Resonant Transmission Lines

- $\lambda/4$
 - Quarter-wave
 - Split-ring
 - Twin quarter-wave
 - Lollipop
- $\lambda/2$
 - Coaxial half-wave
 - Spoke
 - H-types

– TM

- Elliptical
- Reentrant

– Other

- Alvarez
- Slotted-iris

A Word on Design Tools

TEM-class cavities are essentially 3D geometries



3D electromagnetic software is available

MAFIA, Microwave Studio, HFSS, etc.

3D software is usually very good at calculating frequencies

Not quite as good at calculating surface fields

Use caution, vary mesh size

Remember Electromagnetism 101

Design Tradeoffs

Number of cells
Voltage gain
Velocity acceptance

Frequency

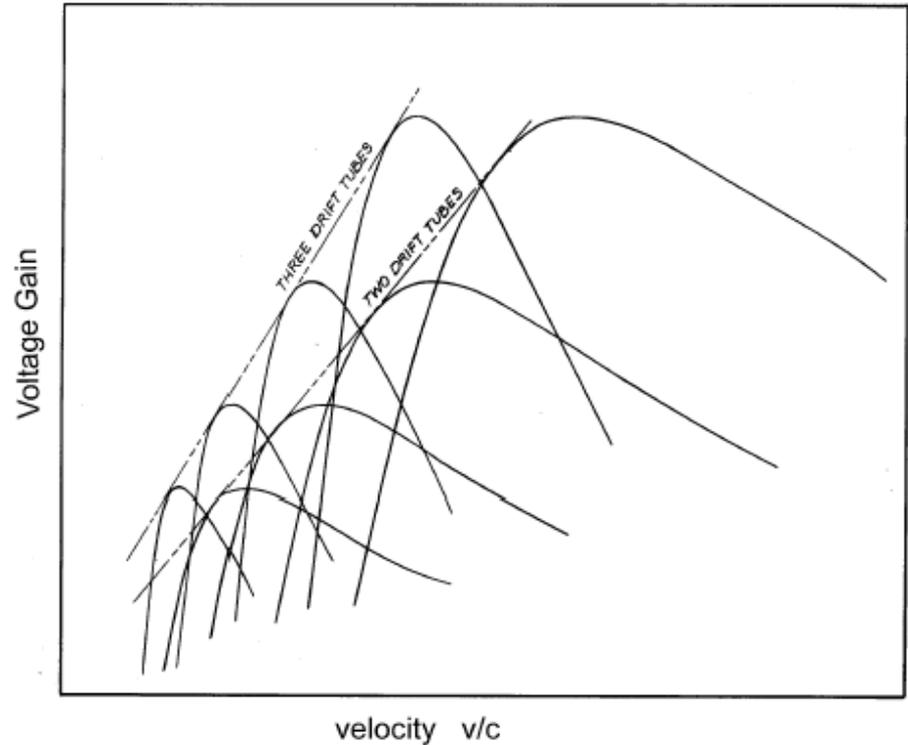
Size

Voltage gain

Rf losses

Energy content, microphonics, rf control

Acceptance, beam quality and losses



Energy Gain

Transit Time Factor - Velocity Acceptance

$$\Delta W = q \int_{-\infty}^{+\infty} E(z) \cos(\omega t + \phi) dz$$

Assumption: constant velocity

$$\Delta W = q \cos \phi \Delta W_0 T(\beta)$$

$$\Delta W_0 = \Theta \int_{-\infty}^{+\infty} |E(z)| dz$$

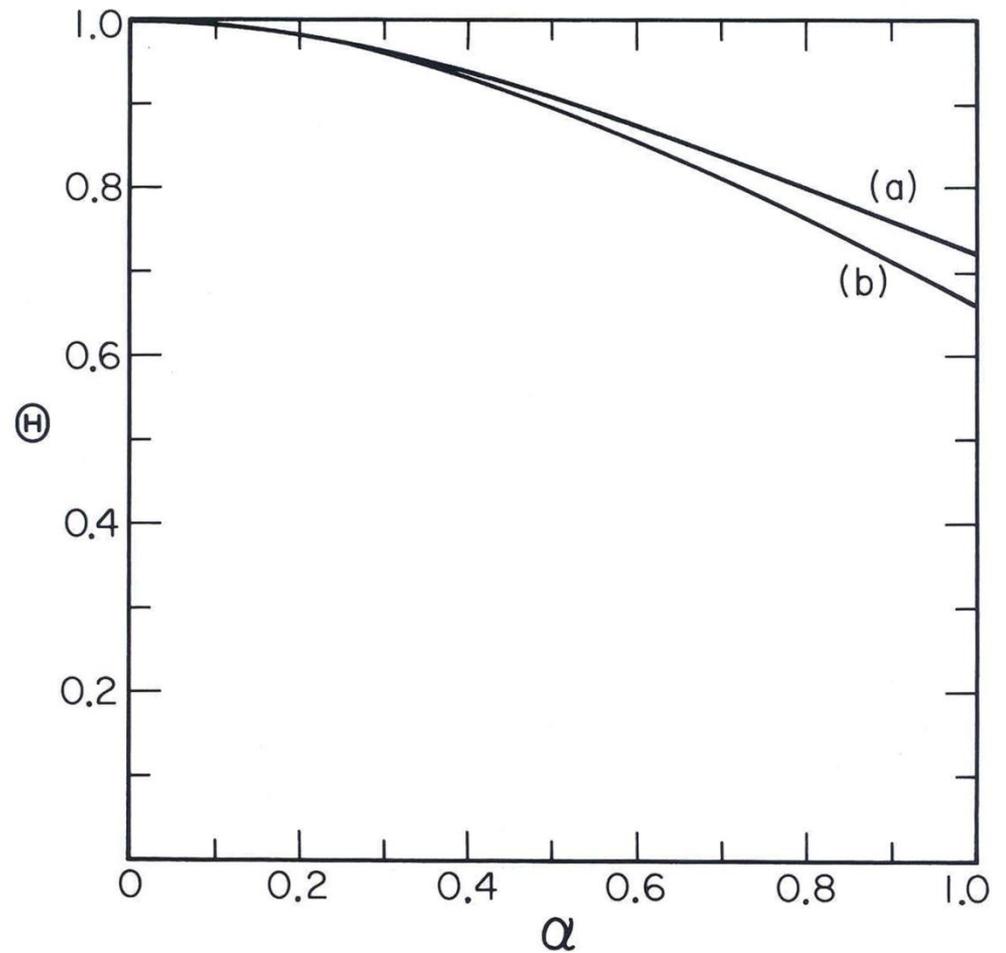
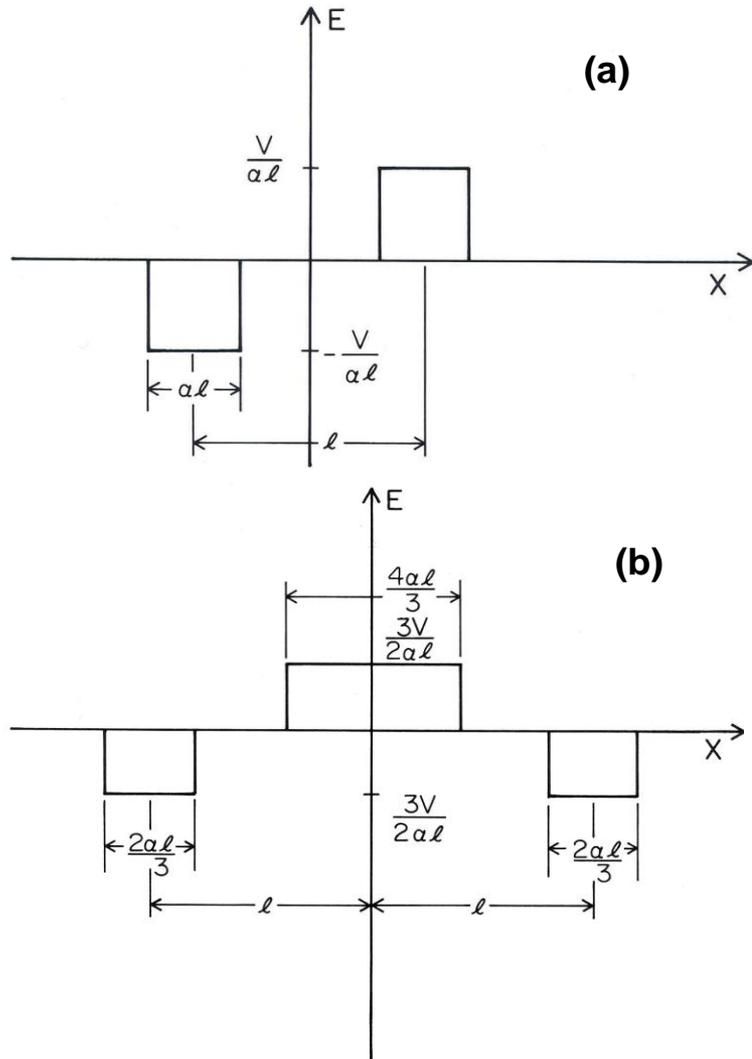
$$\Theta = \frac{\text{Max} \int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}{\int_{-\infty}^{+\infty} |E(z)| dz}$$

Transit Time Factor

$$T(\beta) = \frac{\int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}{\text{Max} \int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}$$

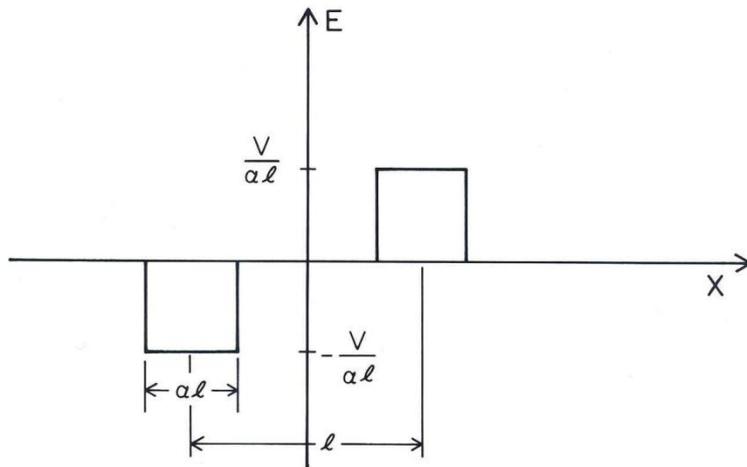
Velocity Acceptance

Transit Time Factor

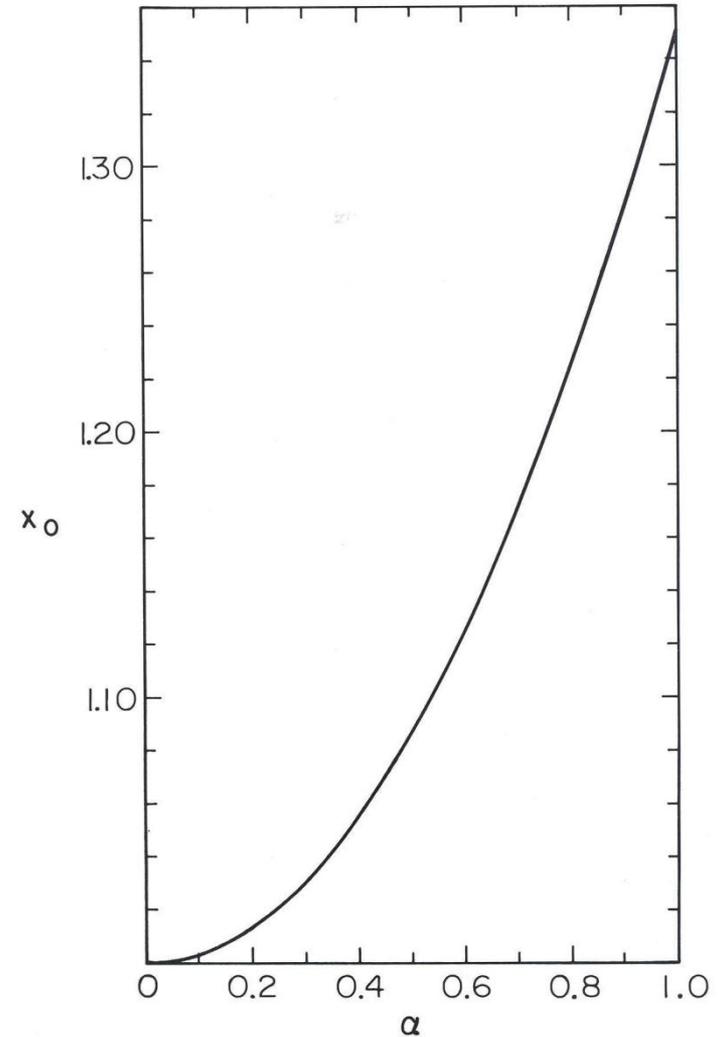


Velocity Acceptance for 2-Gap Structures

$$T(\beta) = \frac{\beta}{\beta_0} \frac{\sin\left(\frac{\pi\alpha}{2x_0} \frac{\beta_0}{\beta}\right) \sin\left(\frac{\pi}{2x_0} \frac{\beta_0}{\beta}\right)}{\sin\left(\frac{\pi\alpha}{2x_0}\right) \sin\left(\frac{\pi}{2x_0}\right)}$$

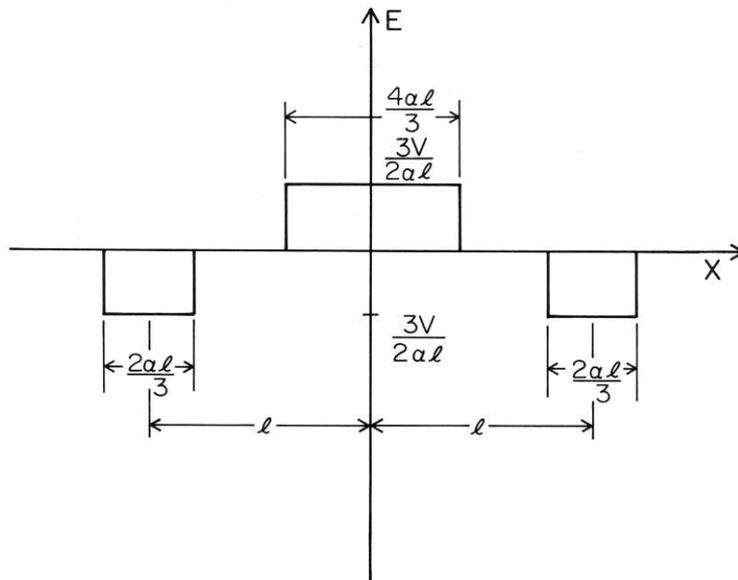


$$x_0 = \frac{\beta_0 \lambda}{2l}$$

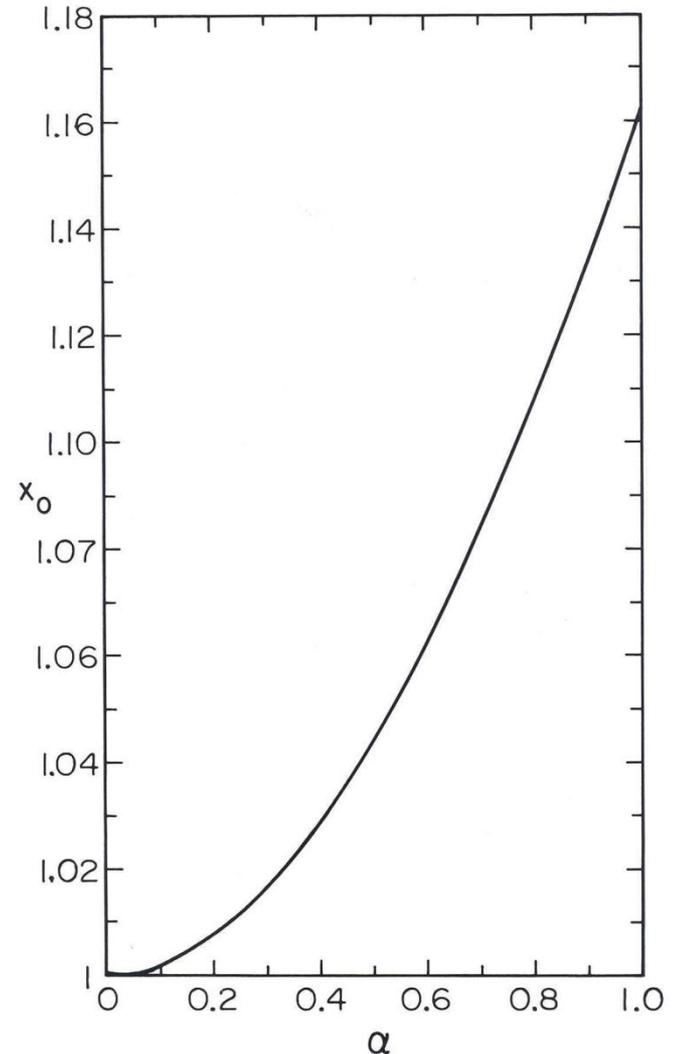


Velocity Acceptance for 3-Gap Structures

$$T(\beta) = \frac{\beta}{\beta_0} \frac{\sin\left(\frac{\pi\alpha}{3x_0} \frac{\beta_0}{\beta}\right) \left[\cos\left(\frac{\pi\alpha}{3x_0} \frac{\beta_0}{\beta}\right) - \cos\left(\frac{\pi}{x_0} \frac{\beta_0}{\beta}\right) \right]}{\sin\left(\frac{\pi\alpha}{3x_0}\right) \left[\cos\left(\frac{\pi\alpha}{3x_0}\right) - \cos\left(\frac{\pi}{x_0}\right) \right]}$$



$$x_0 = \frac{\beta_0 \lambda}{2l}$$

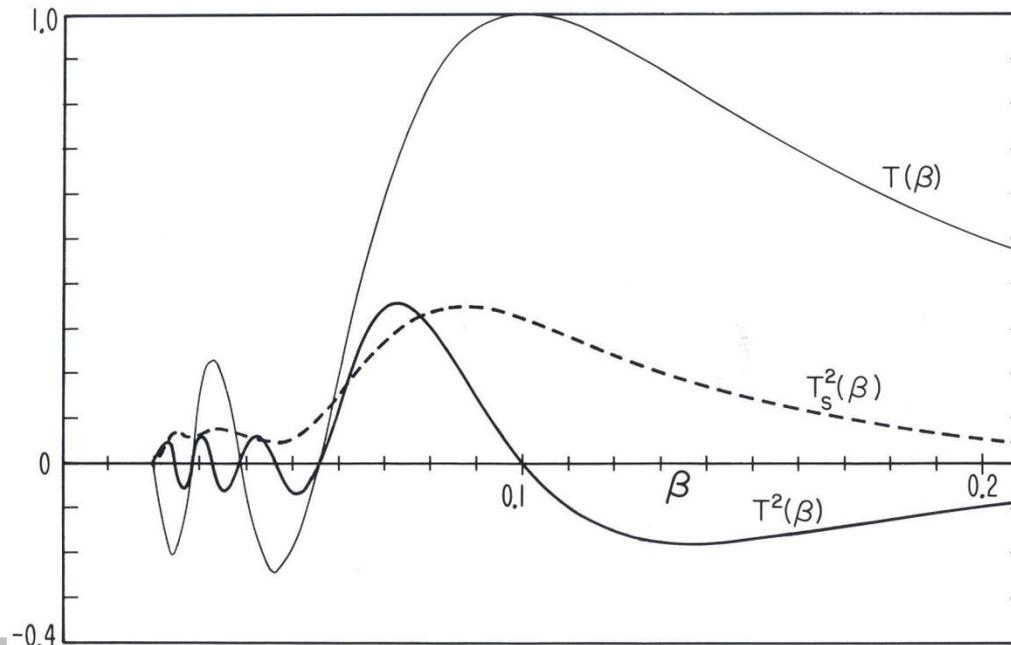


Higher-Order Effects

$$\Delta W = q \cos \phi \Delta W_0 T(\beta) + \frac{(q\Delta W_0)^2}{W} \left[T^{(2)}(\beta) + \sin 2\phi T_s^{(2)}(\beta) \right]$$

$$T^{(2)}(k) = -\frac{k}{4} T(k) \frac{d}{dk} T(k) \quad k = \omega / \beta c$$

$$T_s^{(2)}(k) = -\frac{k}{4\pi} \int_0^\infty \frac{T(k+k')T(k-k') - T(k)T(k')}{k'^2} dk'$$

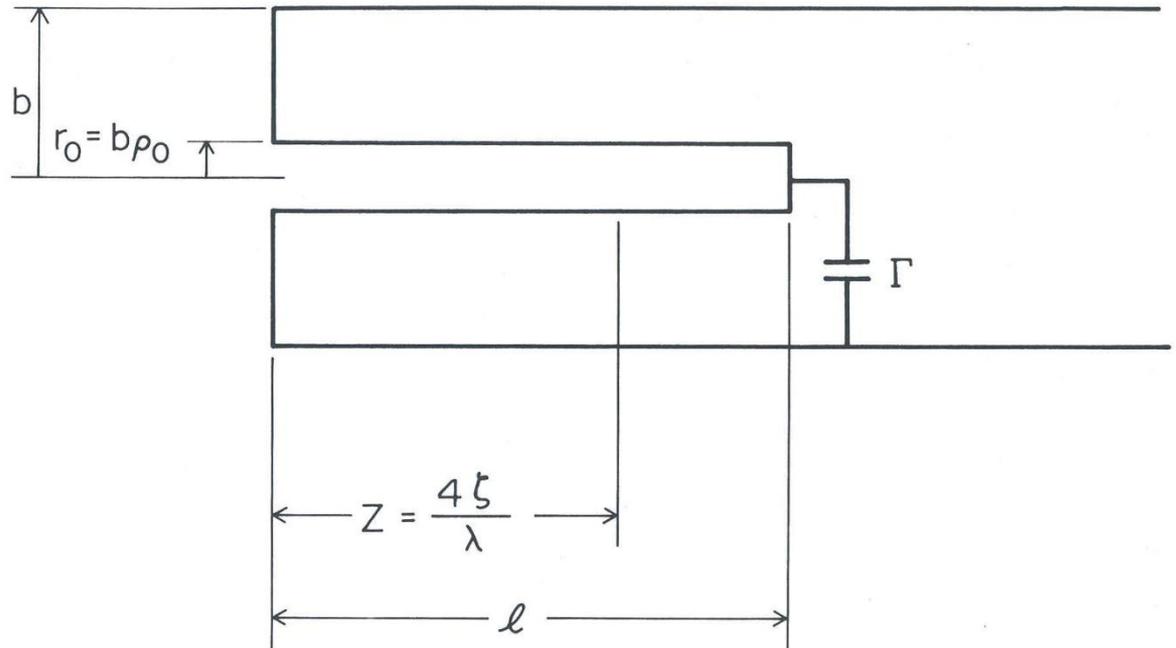


A Simple Model: Loaded Quarter-wavelength Resonant Line

If characteristic length $\ll \lambda$ ($\beta < 0.5$), separate the problem in two parts:

Electrostatic model of high voltage region

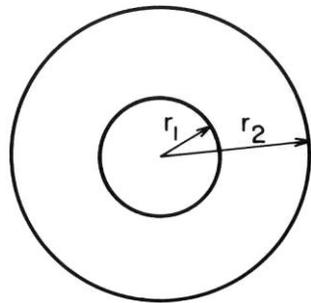
Transmission line



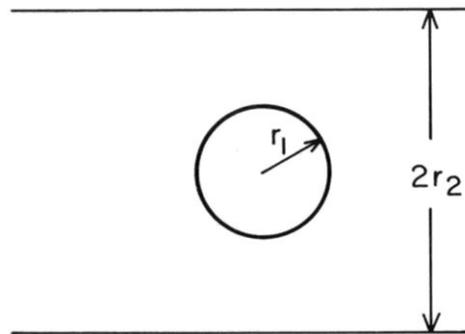
Basic Electrostatics

- a: concentric spheres
- b: sphere in cylinder
- c: sphere between 2 planes
- d: coaxial cylinders
- e: cylinder between 2 planes

V_p : Voltage on center conductor
 Outer conductor at ground
 E_p : Peak field on center conductor

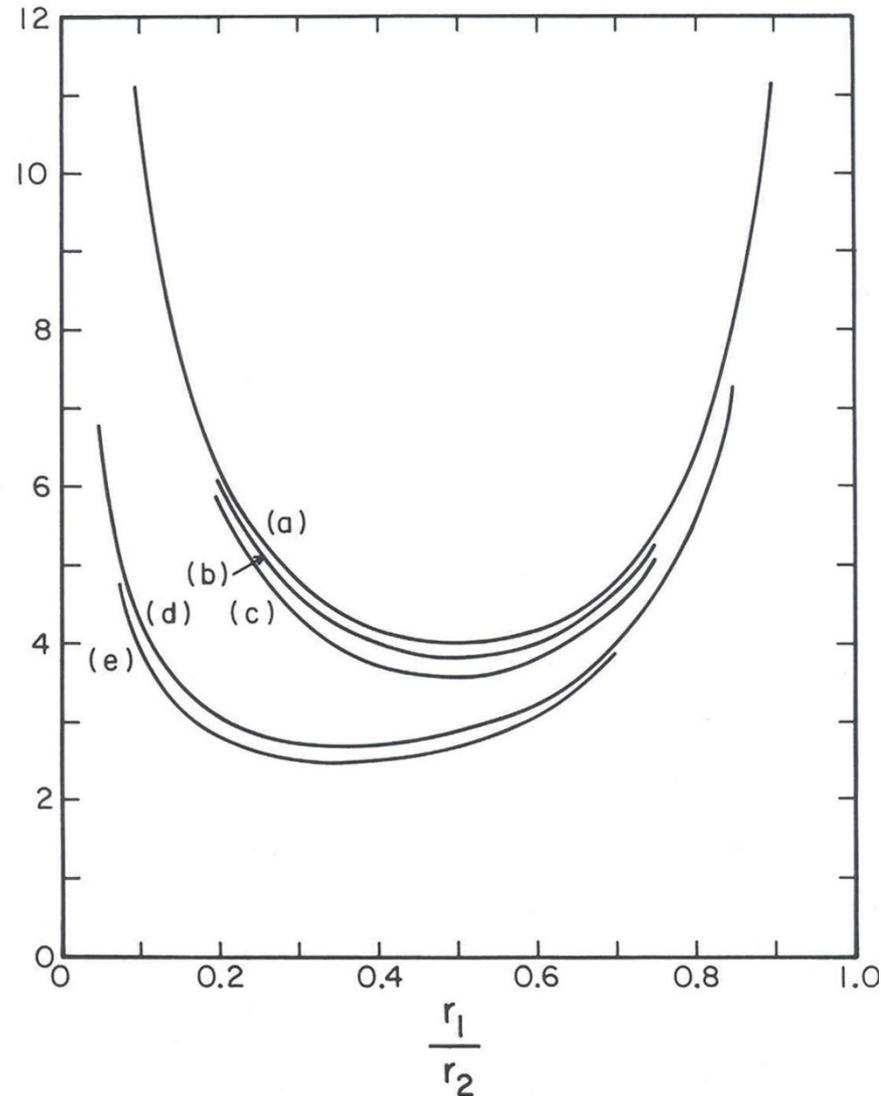


(a), (b), (d)



(c), (e)

$$E_p \frac{r_2}{V}$$



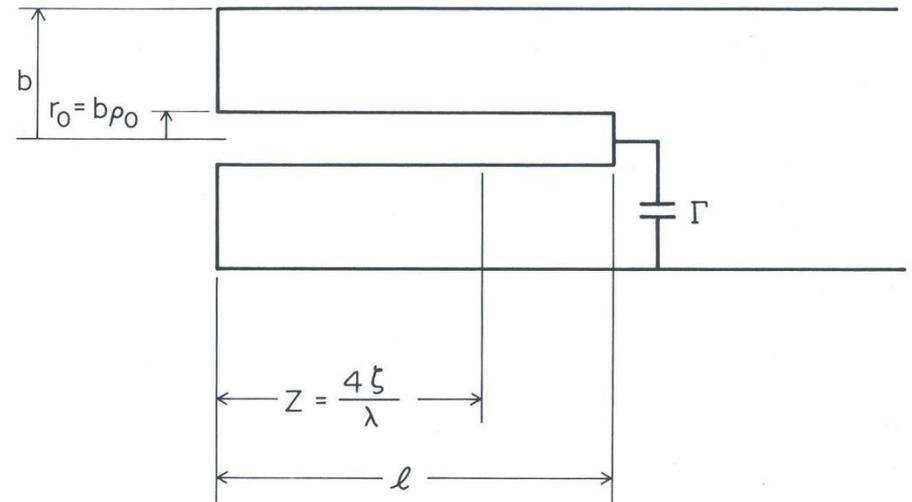
Loaded Quarter-wavelength Resonant Line

Capacitance per unit length

$$C = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{r_0}\right)} = \frac{2\pi\epsilon_0}{\ln\left(\frac{1}{\rho_0}\right)}$$

Inductance per unit length

$$L = \frac{\mu_0}{2\pi} \ln\left(\frac{b}{r_0}\right) = \frac{\mu_0}{2\pi} \ln\left(\frac{1}{\rho_0}\right)$$



Loaded Quarter-wavelength Resonant Line

Center conductor voltage

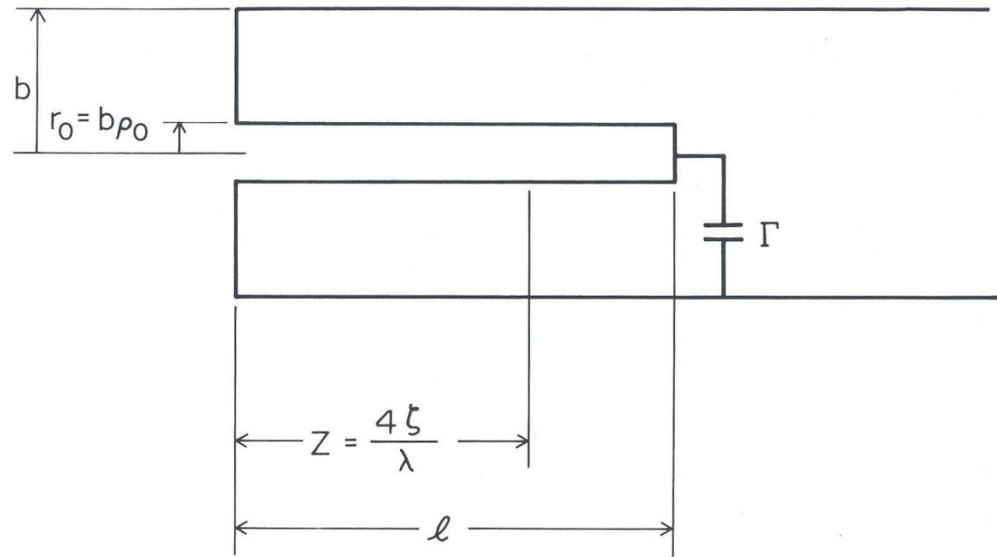
$$V(z) = V_0 \sin\left(\frac{2\pi}{\lambda} z\right)$$

Center conductor current

$$I(z) = I_0 \cos\left(\frac{2\pi}{\lambda} z\right)$$

Line impedance

$$Z_0 = \frac{V_0}{I_0} = \frac{\eta}{2\pi} \ln\left(\frac{1}{\rho_0}\right), \quad \eta = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 377\Omega$$

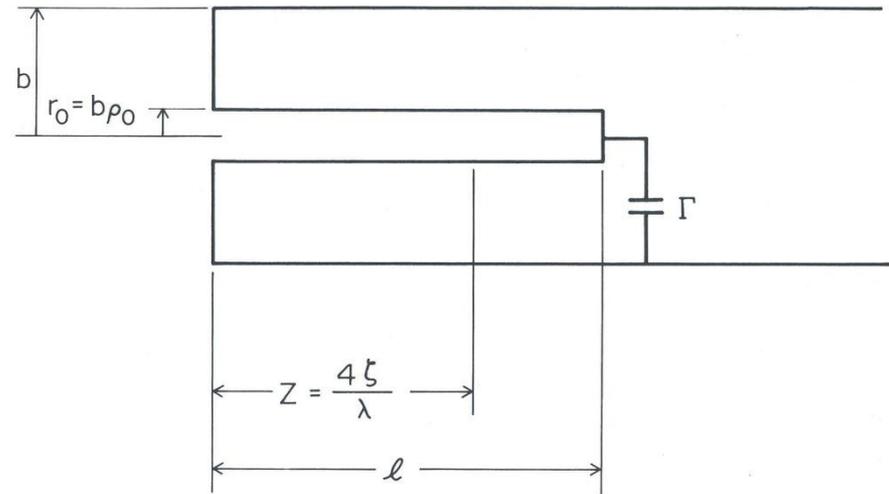


Loaded Quarter-wavelength Resonant Line

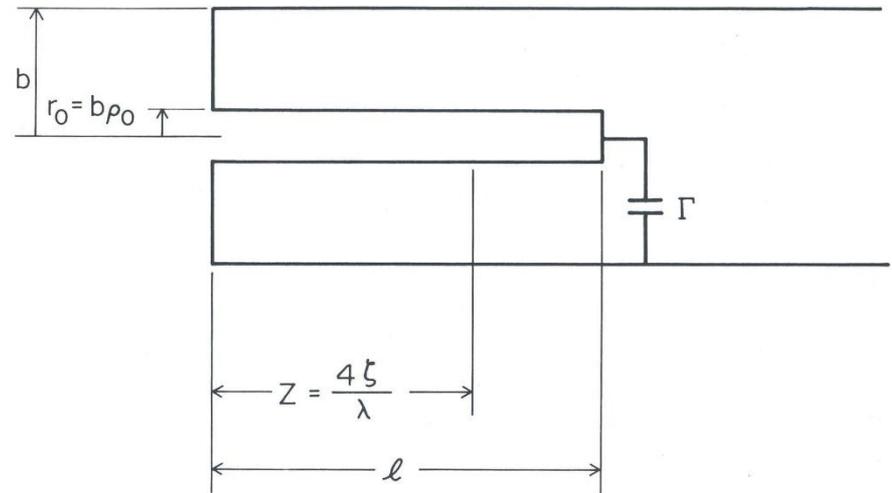
Loading capacitance

$$\Gamma(z) = \lambda \varepsilon \frac{\cotan\left(\frac{2\pi}{\lambda} z\right)}{\ln(1/r_0)} = \lambda \varepsilon \frac{\cotan\left(\frac{\pi}{2} \zeta\right)}{\ln(1/\rho_0)}$$

$$l = \frac{\lambda}{2\pi} \operatorname{Arctan}\left[\frac{\lambda \varepsilon}{\Gamma \ln(1/\rho_0)}\right]$$



Loaded Quarter-wavelength Resonant Line



Peak magnetic field

$$\frac{V_p}{b} = \begin{Bmatrix} \eta & H \\ c & B \\ 300 & B \end{Bmatrix} \rho_0 \ln\left(\frac{1}{\rho_0}\right) \sin\left(\frac{\pi}{2}\zeta\right) \quad \begin{Bmatrix} \text{m, A/m} \\ \text{m, T} \\ \text{cm, G} \end{Bmatrix}$$

V_p : Voltage across loading capacitance

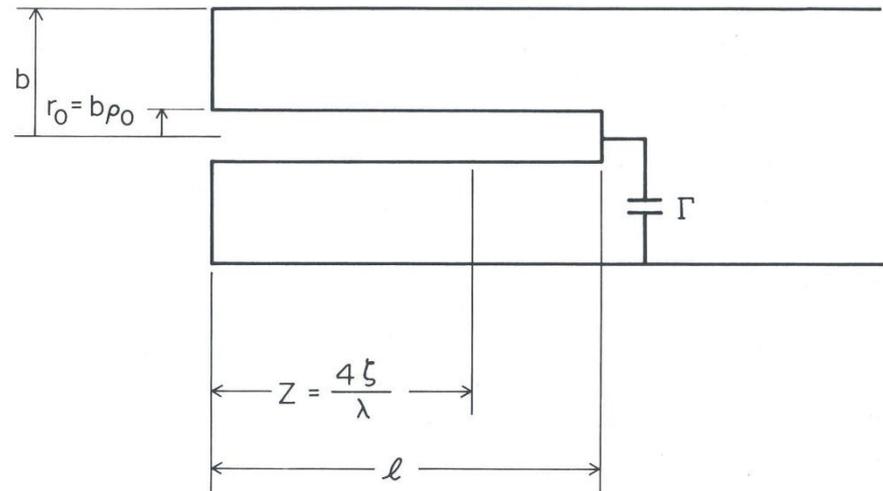
$B \approx 9 \text{ mT}$ at 1 MV/m

Loaded Quarter-wavelength Resonant Line

Power dissipation (ignore losses in the shunting plate)

$$P = V_p^2 \frac{\pi}{8} \frac{R_s}{\eta^2} \frac{\lambda}{b} \frac{1 + 1/\rho_0}{\ln^2 \rho_0} \frac{\zeta + \frac{1}{\pi} \sin \pi \zeta}{\sin^2 \frac{\pi}{2} \zeta}$$

$$P \propto \frac{R_s}{\eta^2} E^2 \beta \lambda^2$$

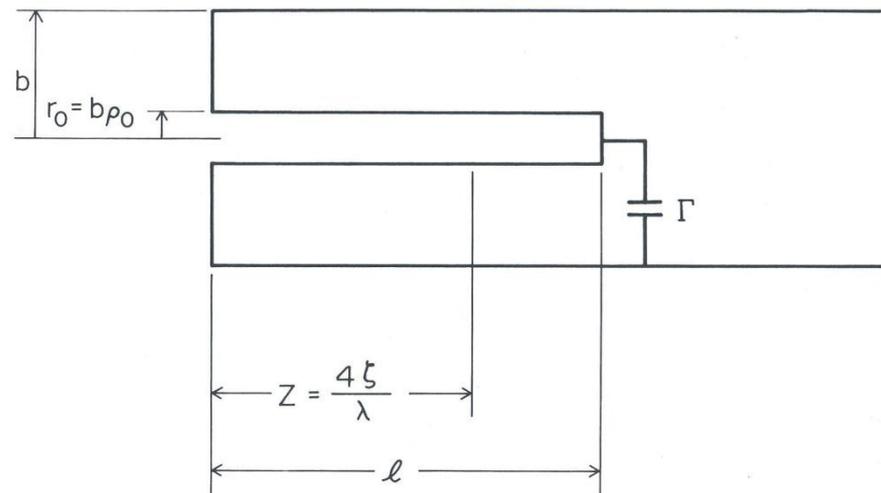


Loaded Quarter-wavelength Resonant Line

Energy content

$$U = V_p^2 \frac{\pi \epsilon_0}{8} \lambda \frac{1}{\ln(1/\rho_0)} \frac{\zeta + \frac{1}{\pi} \sin \pi \zeta}{\sin^2 \frac{\pi}{2} \zeta}$$

$$U \propto \epsilon_0 E^2 \beta^2 \lambda^3$$

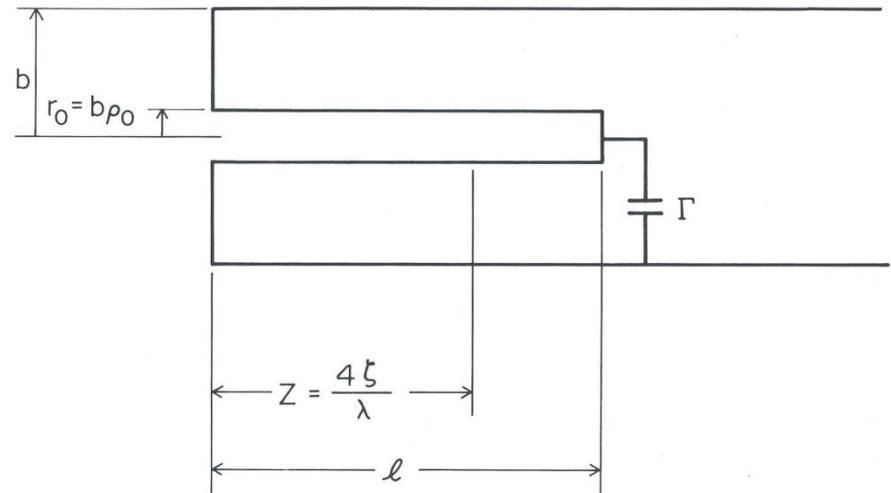


Loaded Quarter-wavelength Resonant Line

Geometrical factor

$$G = QR_s = 2\pi \eta \frac{b \ln(1/\rho_0)}{\lambda (1+1/\rho_0)}$$

$$G \propto \eta \beta$$

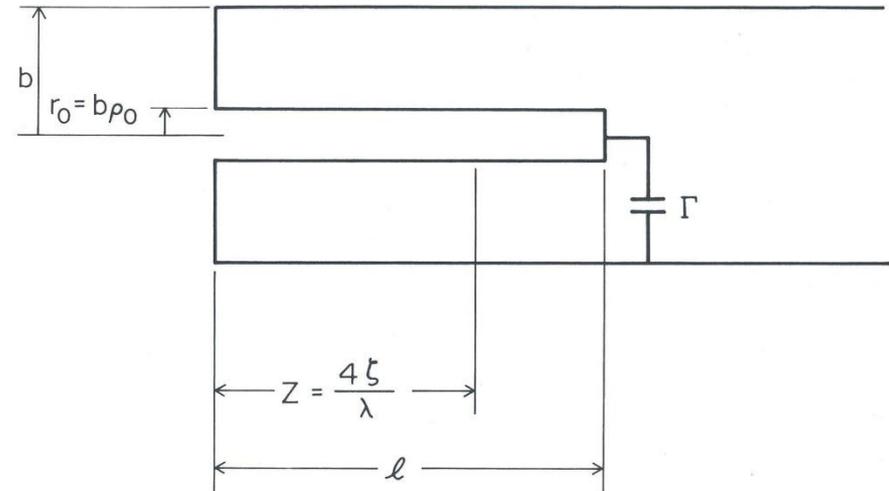


Loaded Quarter-wavelength Resonant Line

Shunt impedance $(4V_p^2 / P)$

$$R_{sh} = \frac{\eta^2}{R_s} \frac{32}{\pi} \frac{b}{\lambda} \frac{\ln^2 \rho_0}{1 + 1/\rho_0} \frac{\sin^2 \frac{\pi}{2} \zeta}{\zeta + \frac{1}{\pi} \sin \pi \zeta}$$

$$R_{sh} R_s \propto \eta^2 \beta$$

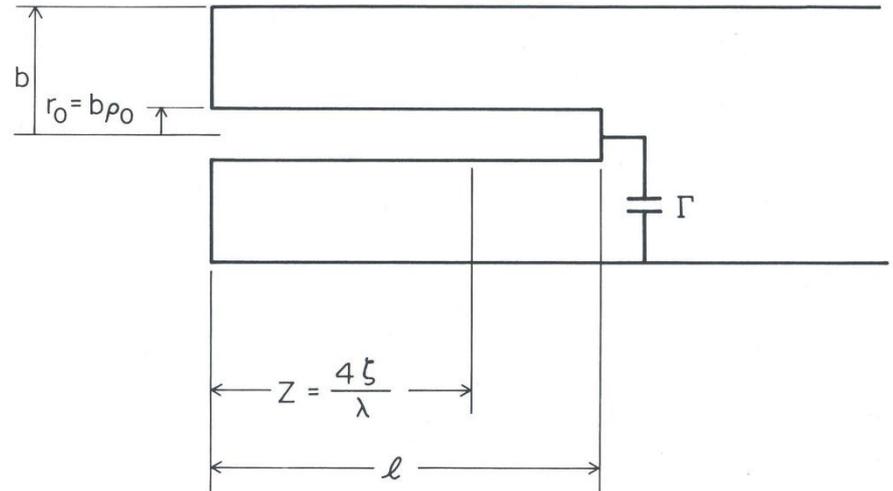


Loaded Quarter-wavelength Resonant Line

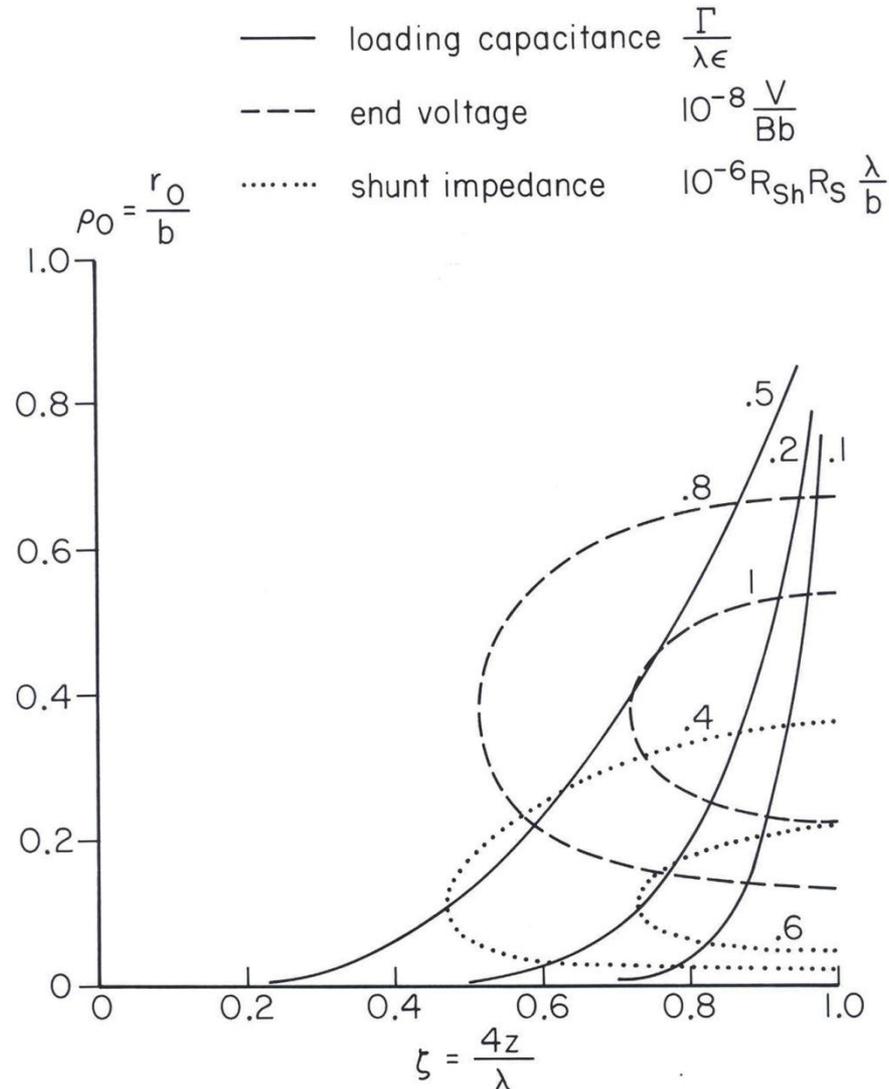
R/Q

$$\frac{R_{sh}}{Q} = \frac{16}{\pi^2} \eta \ln(1/\rho_0) \frac{\sin^2 \frac{\pi}{2} \zeta}{\zeta + \frac{1}{\pi} \sin \pi \zeta}$$

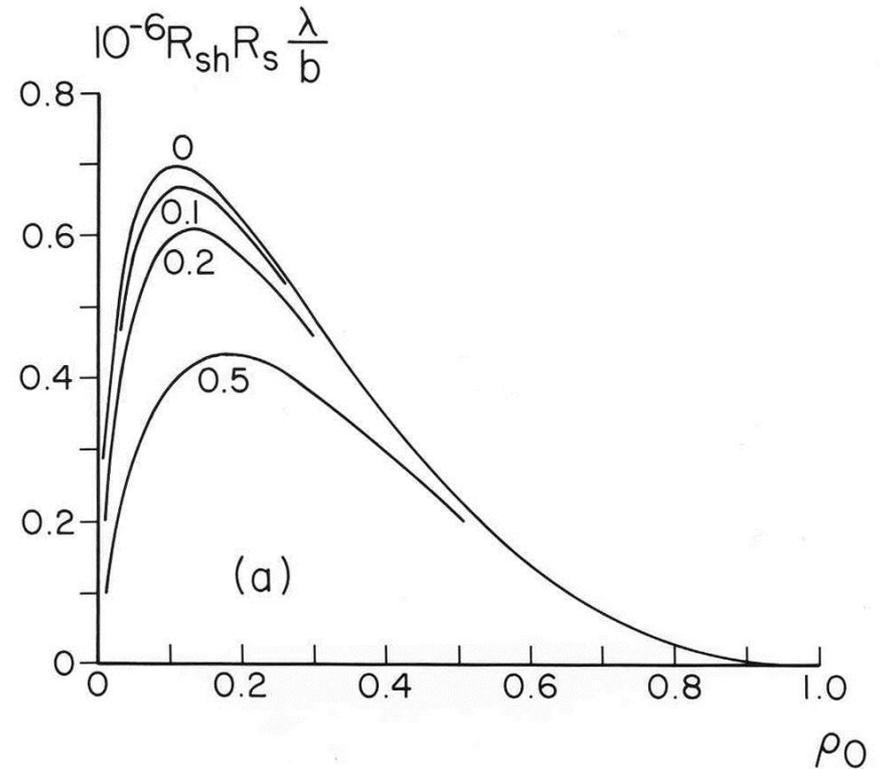
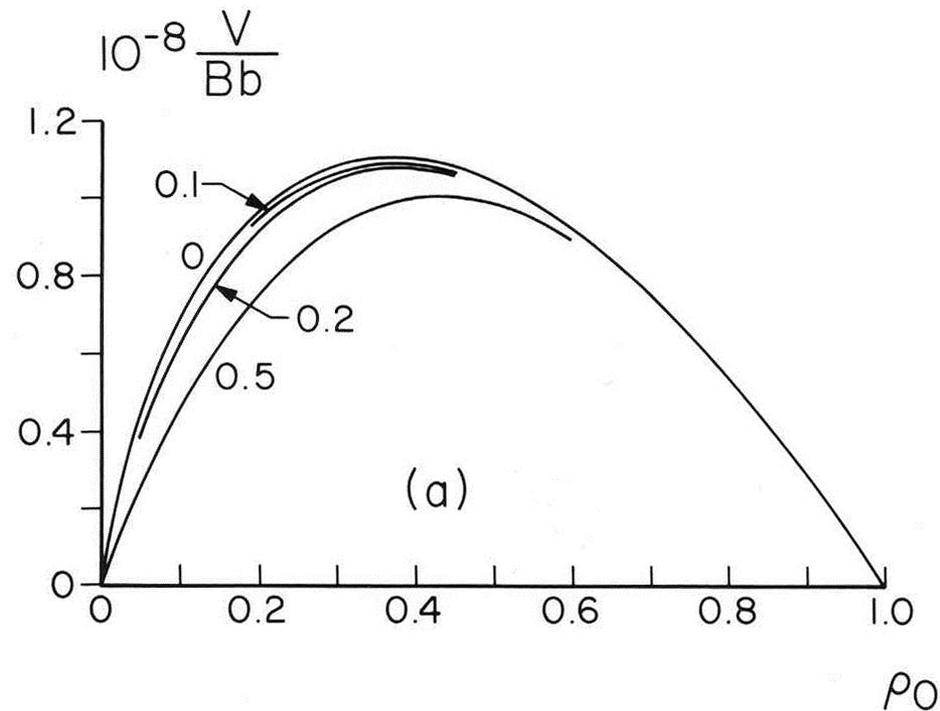
$$\frac{R_{sh}}{Q} \propto \eta$$



Loaded Quarter-wavelength Resonant Line

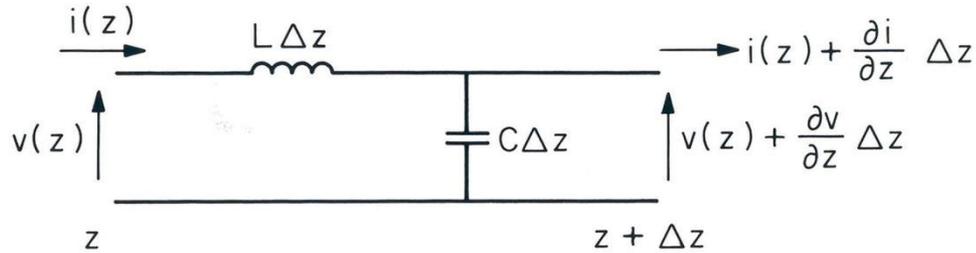


Loaded Quarter-wavelength Resonant Line



MKS units, lines of constant normalized loading capacitance $\Gamma/\lambda\epsilon_0$

More Complicated Center Conductor Geometries



$$\frac{d^2 v}{d\zeta^2} - \frac{1}{\rho \ln \rho} \frac{d\rho}{d\zeta} \frac{dv}{d\zeta} + \frac{\pi^2}{4} v = 0$$

$$\frac{d^2 i}{d\zeta^2} + \frac{1}{\rho \ln \rho} \frac{d\rho}{d\zeta} \frac{di}{d\zeta} + \frac{\pi^2}{4} i = 0$$

$$\Gamma(z) = -C(z) \frac{i(z)}{di/dz}$$

More Complicated Center Conductor Geometries

Constant logarithmic derivative of line capacitance

Good model for linear taper

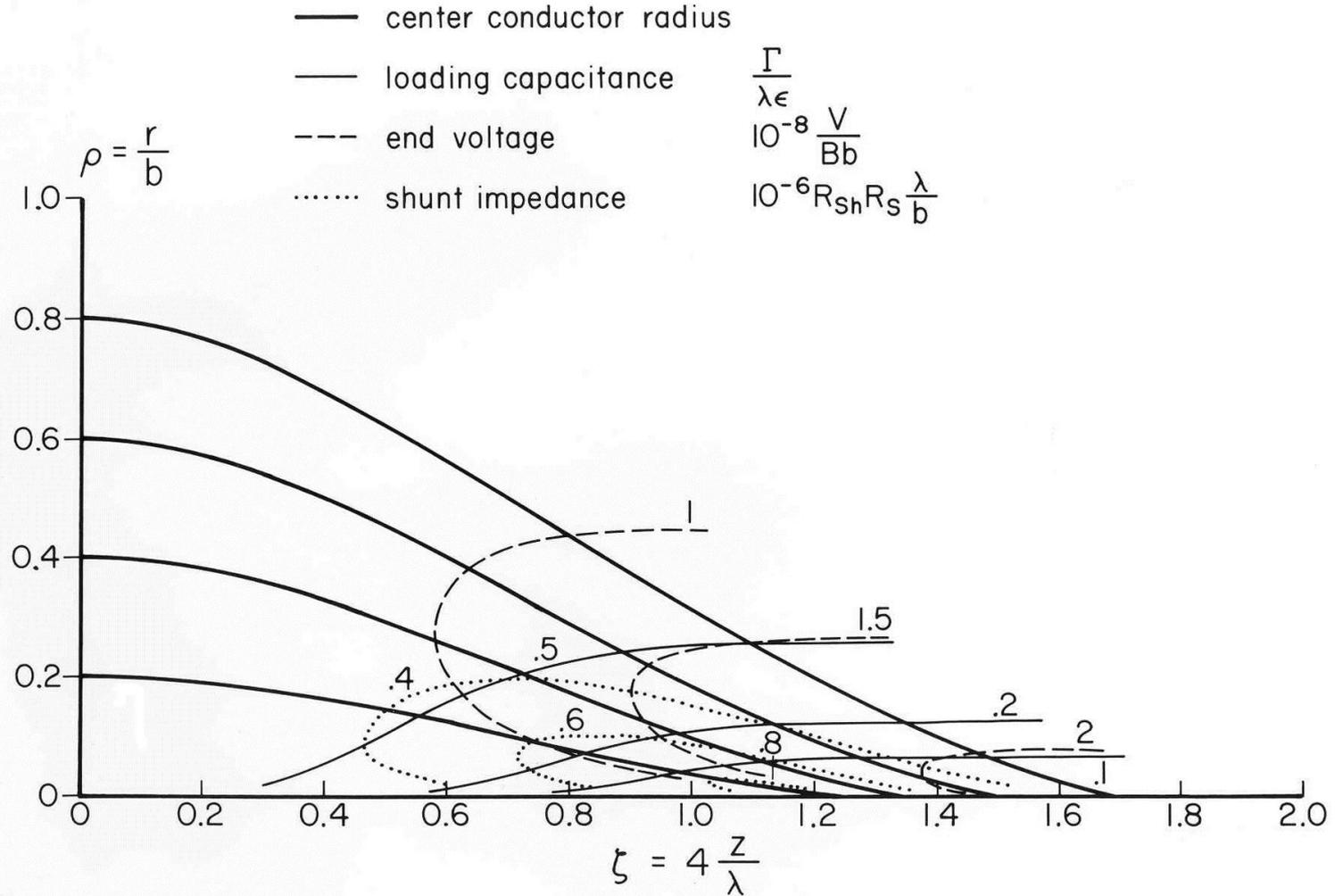
$$\frac{1}{C} \frac{dC}{dz} = -\frac{1}{d} \quad r(z) = b \left(\frac{r_0}{b} \right)^{\exp(z/d)}$$

Constant surface magnetic field

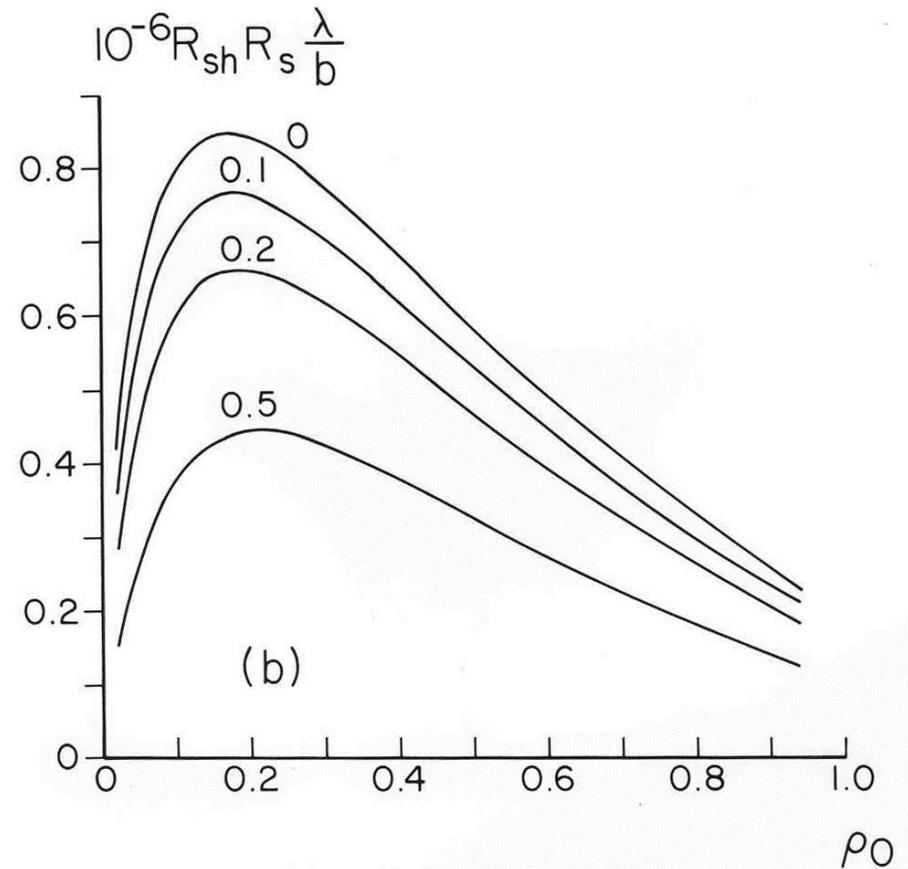
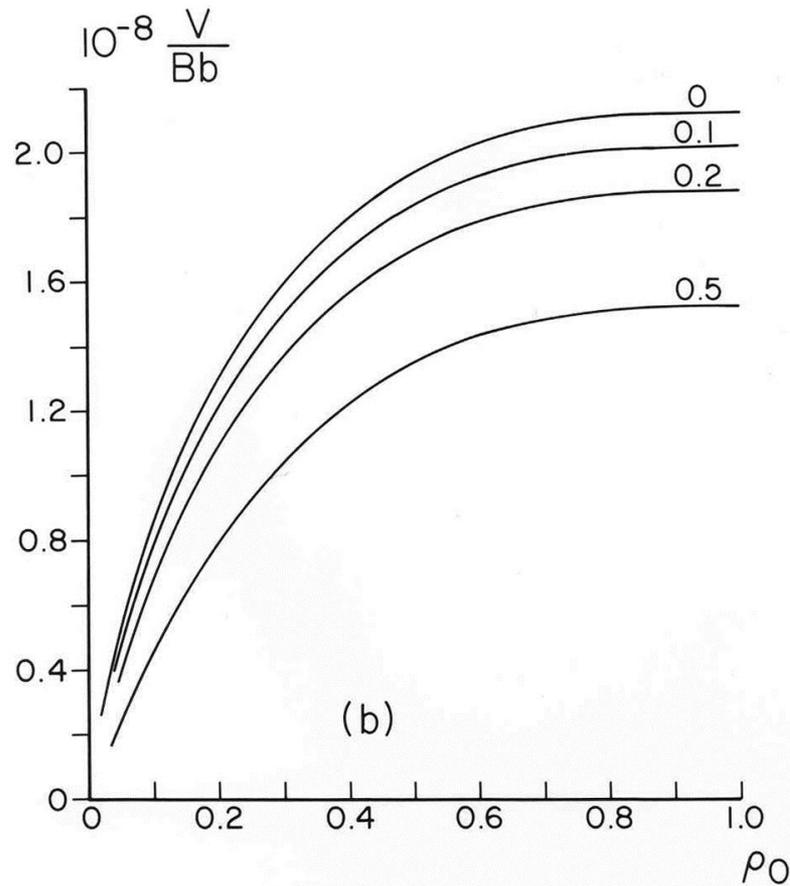
$$i(z) \propto r(z)$$

$$\frac{d^2 r}{dz^2} - \frac{1}{r \ln(b/r)} \left(\frac{dr}{dz} \right)^2 + \frac{4\pi^2}{\lambda^2} r = 0$$

Profile of Constant Surface Magnetic Field

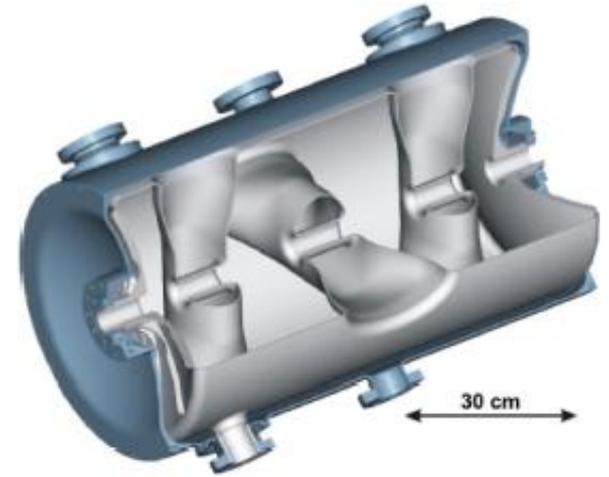
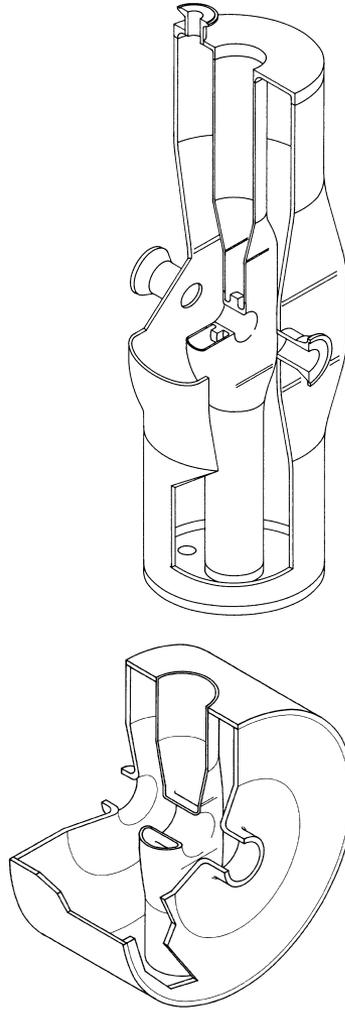
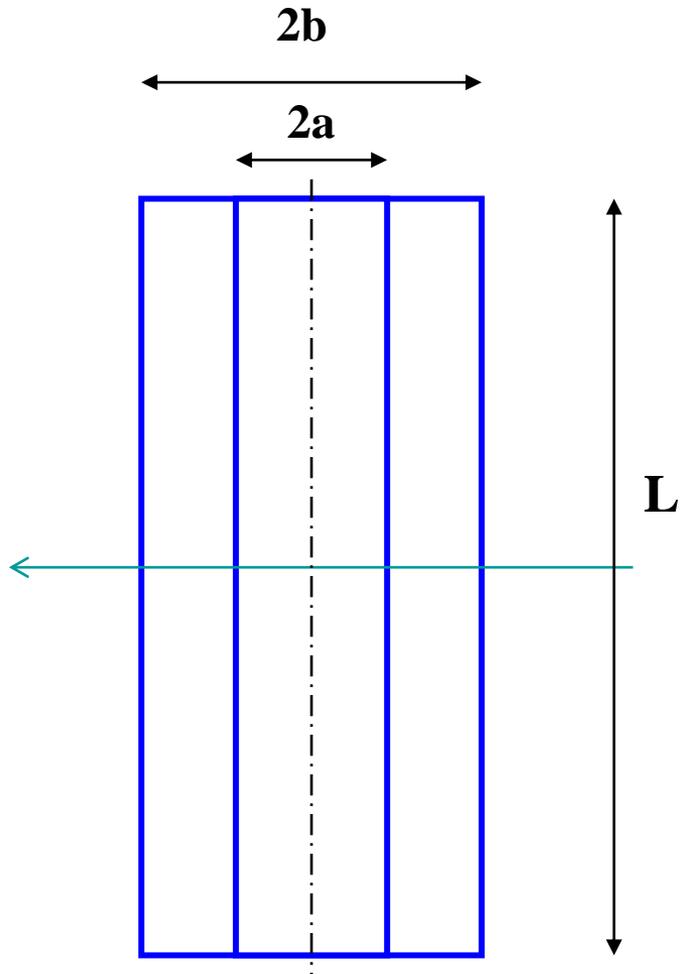


Profile of Constant Surface Magnetic Field



MKS units, lines of constant normalized loading capacitance $\Gamma/\lambda\epsilon_0$

Another Simple Model: Coaxial Half-wave Resonator



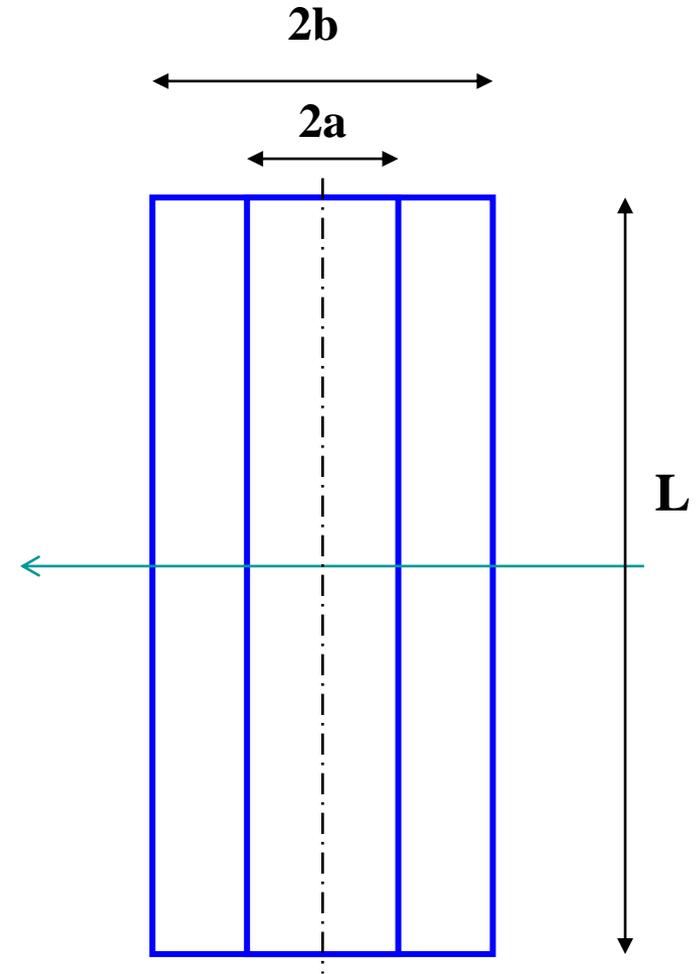
Coaxial Half-wave Resonator

Capacitance per unit length

$$C = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{a}\right)} = \frac{2\pi\epsilon_0}{\ln\left(\frac{1}{\rho_0}\right)}$$

Inductance per unit length

$$L = \frac{\mu_0}{2\pi} \ln\left(\frac{b}{r_0}\right) = \frac{\mu_0}{2\pi} \ln\left(\frac{1}{\rho_0}\right)$$



Coaxial Half-wave Resonator

Center conductor voltage

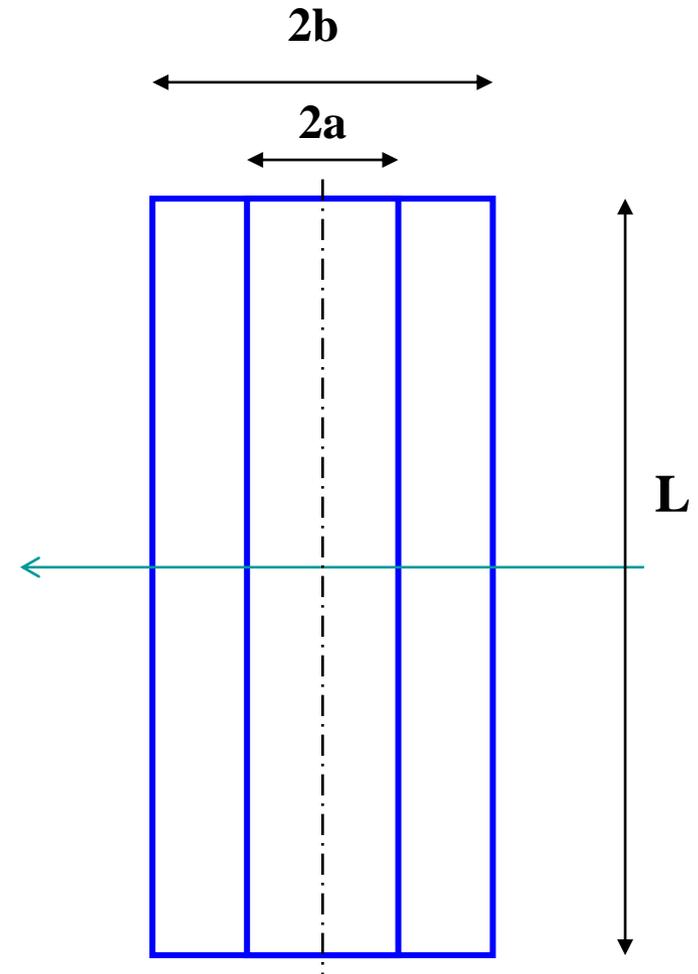
$$V(z) = V_0 \cos\left(\frac{2\pi}{\lambda} z\right)$$

Center conductor current

$$I(z) = I_0 \sin\left(\frac{2\pi}{\lambda} z\right)$$

Line impedance

$$Z_0 = \frac{V_0}{I_0} = \frac{\eta}{2\pi} \ln\left(\frac{1}{\rho_0}\right), \quad \eta = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 377\Omega$$



Coaxial Half-wave Resonator

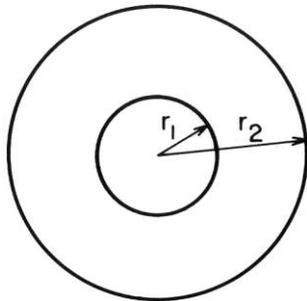
Peak Electric Field

d: coaxial cylinders

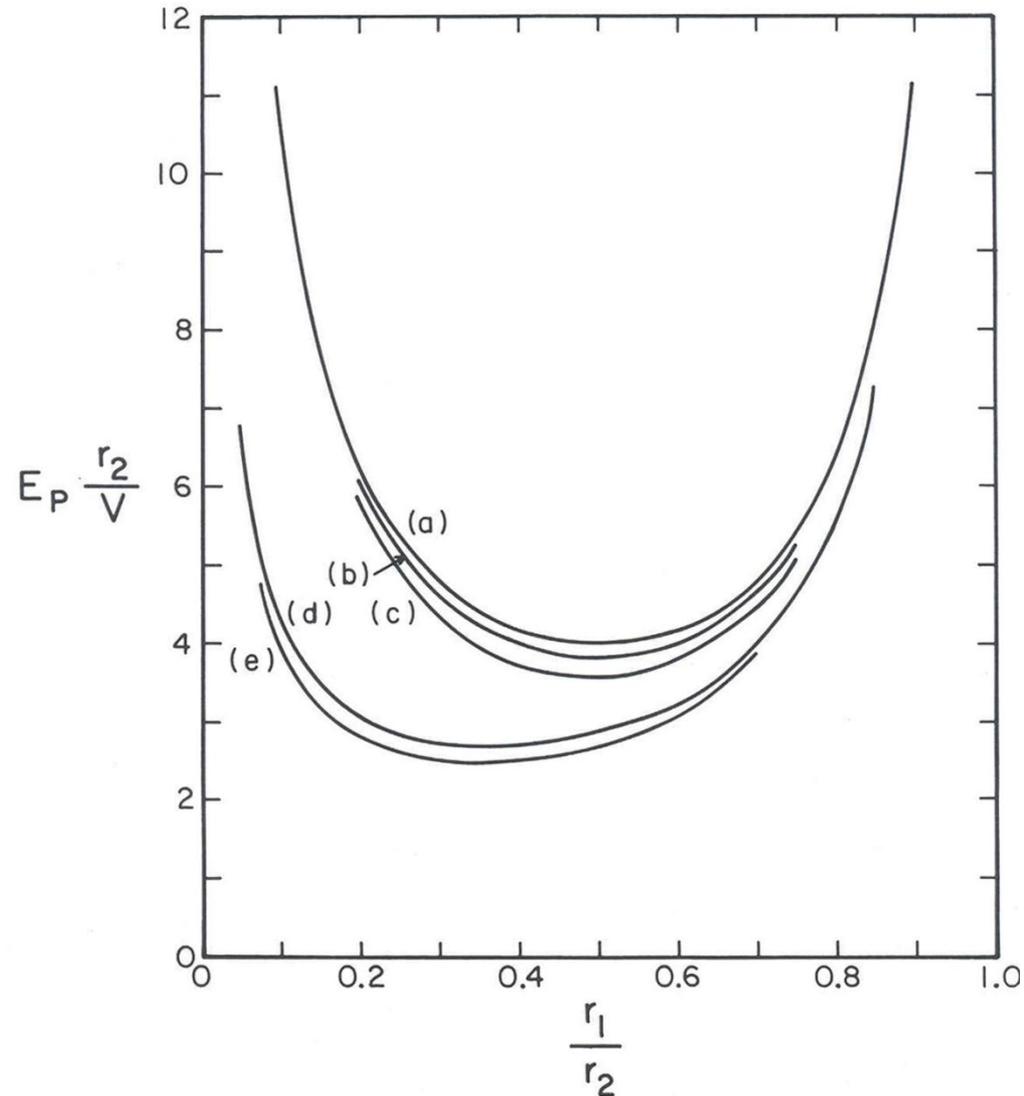
V_p : Voltage on center conductor

Outer conductor at ground

E_p : Peak field on center conductor



(a), (b), (d)



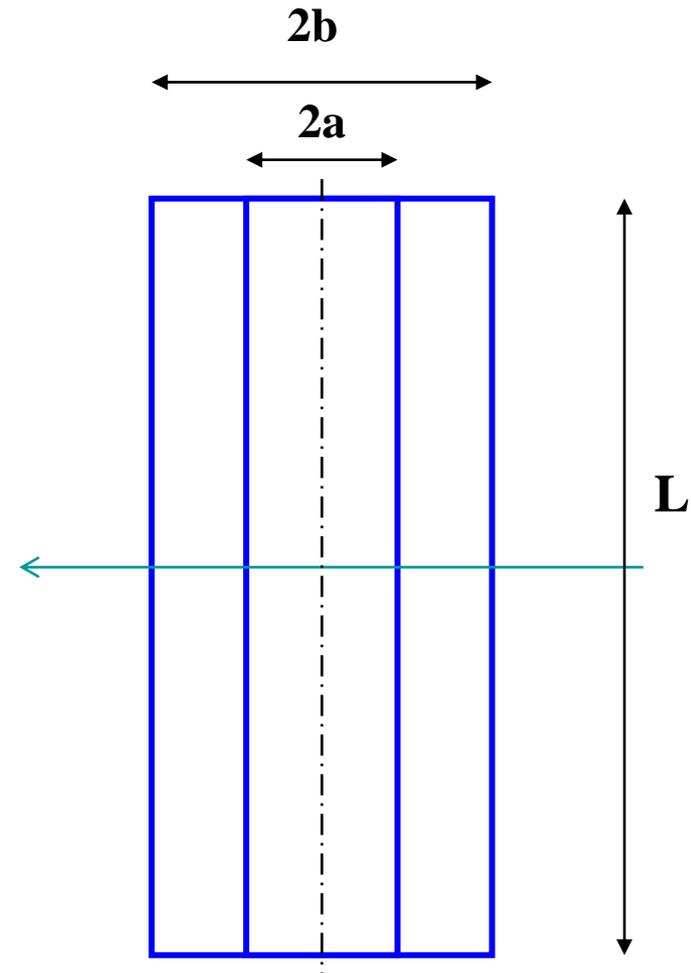
Coaxial Half-wave Resonator

Peak magnetic field

$$\frac{V_p}{b} = \begin{cases} \eta & H \\ c & B \\ 300 & B \end{cases} \rho_0 \ln\left(\frac{1}{\rho_0}\right) \quad \begin{cases} \text{m, A/m} \\ \text{m, T} \\ \text{cm, G} \end{cases}$$

V_p : Voltage across loading capacitance

$B \approx 9 \text{ mT}$ at 1 MV/m

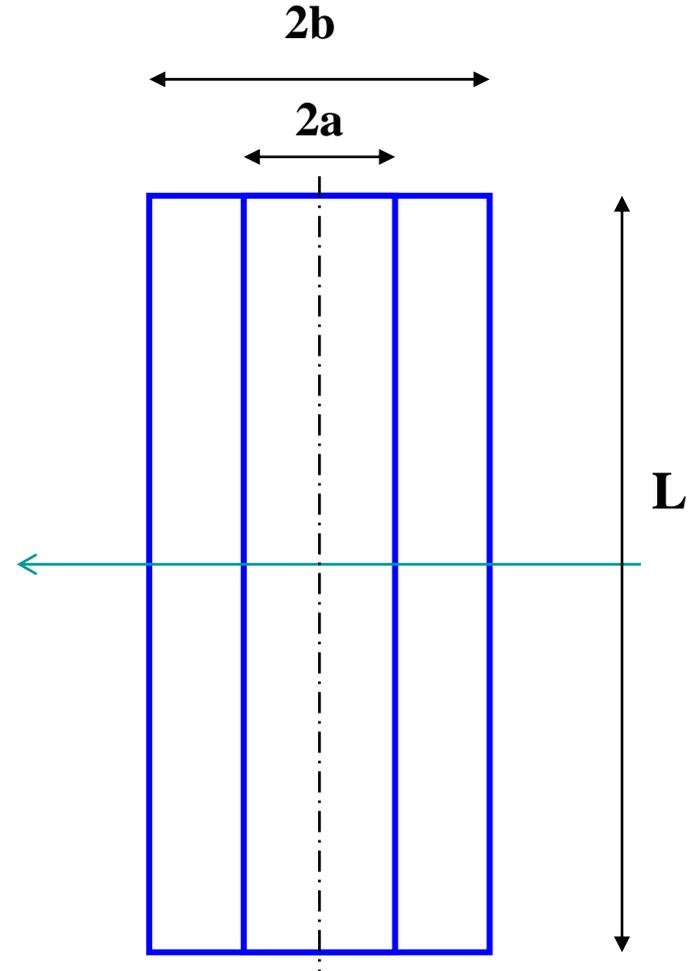


Coaxial Half-wave Resonator

Power dissipation (ignore losses in the shorting plate)

$$P = V_p^2 \frac{\pi}{4} \frac{R_s}{\eta^2} \frac{\lambda}{b} \frac{1 + 1/\rho_0}{\ln^2 \rho_0}$$

$$P \propto \frac{R_s}{\eta^2} E^2 \beta \lambda^2$$

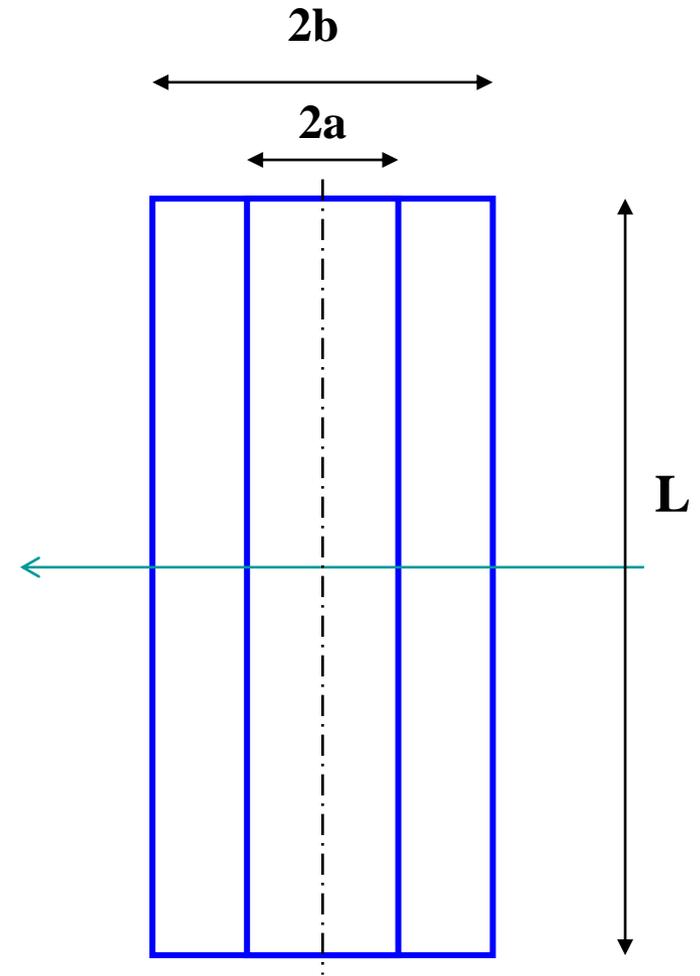


Coaxial Half-wave Resonator

Energy content

$$U = V_p^2 \frac{\pi \epsilon_0}{4} \lambda \frac{1}{\ln(1/\rho_0)}$$

$$U \propto \epsilon_0 E^2 \beta^2 \lambda^3$$

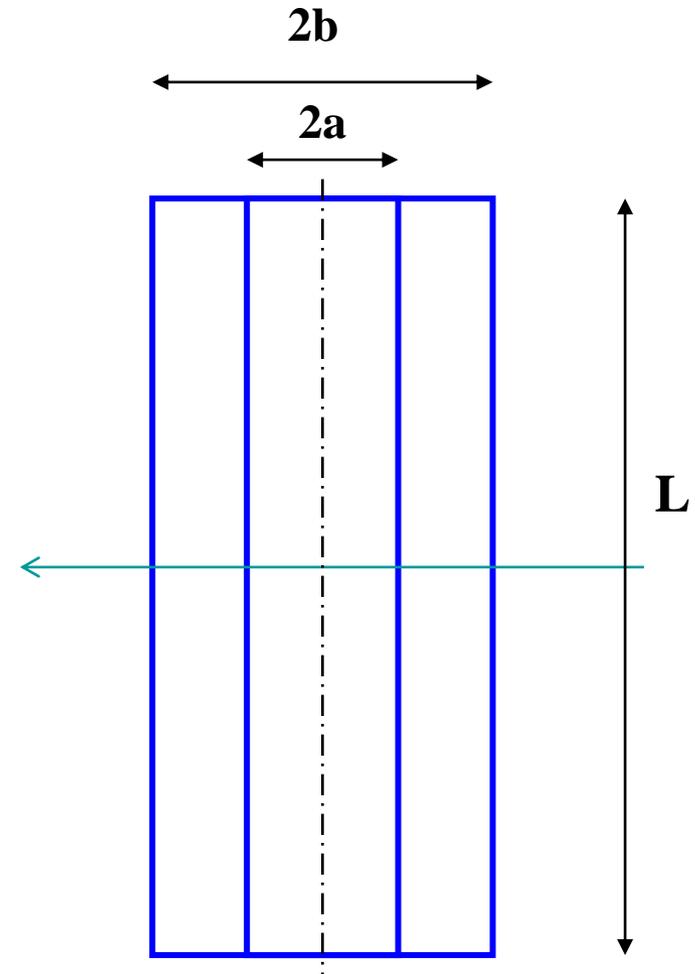


Coaxial Half-wave Resonator

Geometrical factor

$$G = QR_s = 2\pi \eta \frac{b}{\lambda} \frac{\ln(1/\rho_0)}{1+1/\rho_0}$$

$$G \propto \eta \beta$$

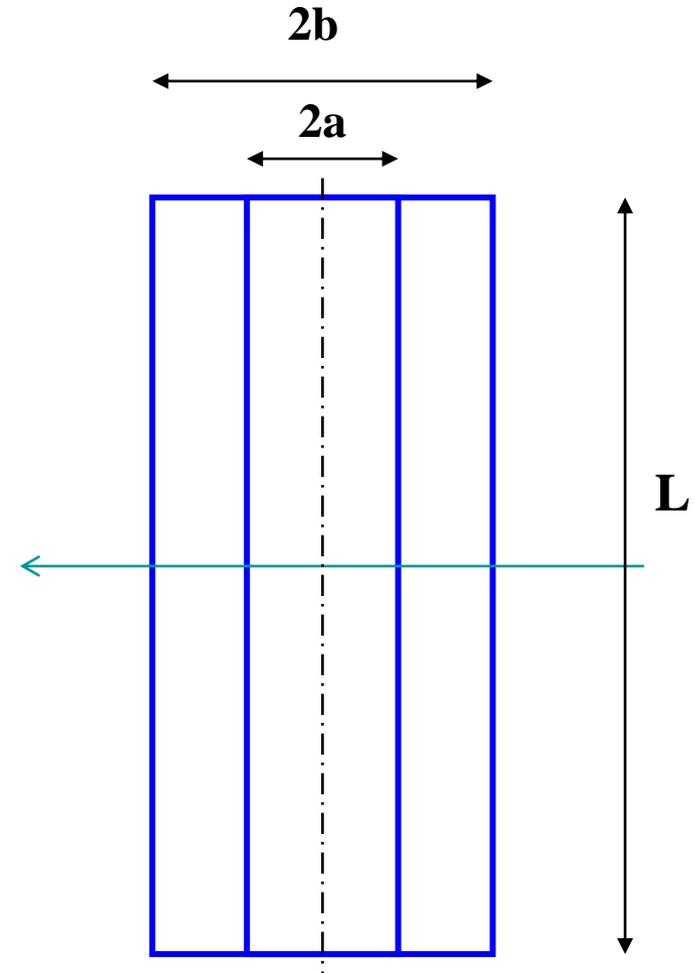


Coaxial Half-wave Resonator

Shunt impedance $(4V_p^2 / P)$

$$R_{sh} = \frac{\eta^2}{R_s} \frac{16}{\pi} \frac{b}{\lambda} \frac{\ln^2 \rho_0}{1 + 1/\rho_0}$$

$$R_{sh} R_s \propto \eta^2 \beta$$

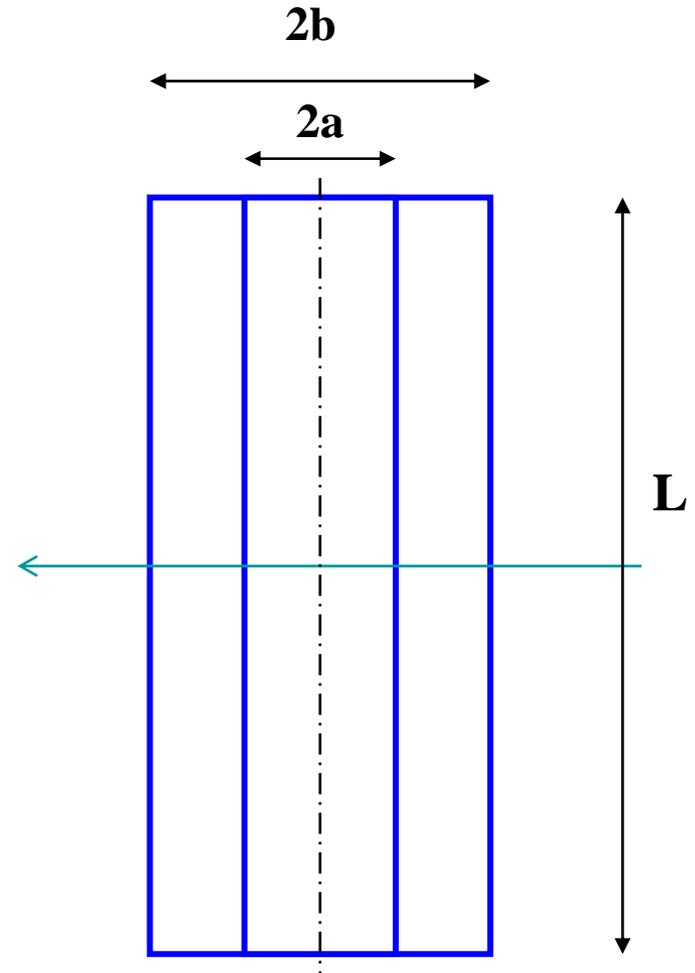


Coaxial Half-wave Resonator

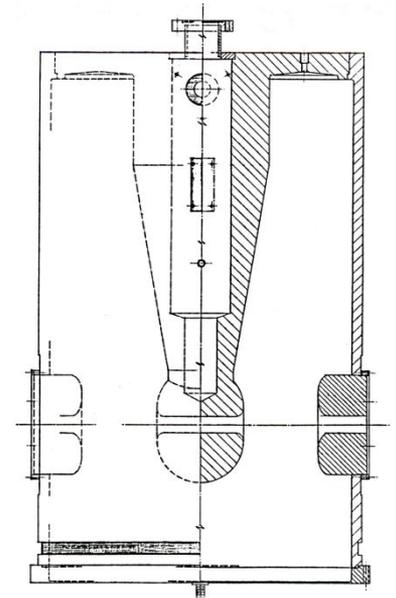
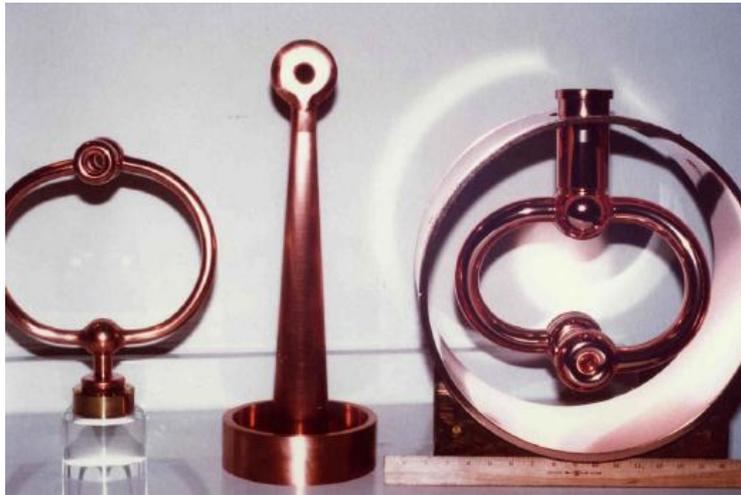
R/Q

$$\frac{R_{sh}}{Q} = \frac{8}{\pi^2} \eta \ln(1/\rho_0)$$

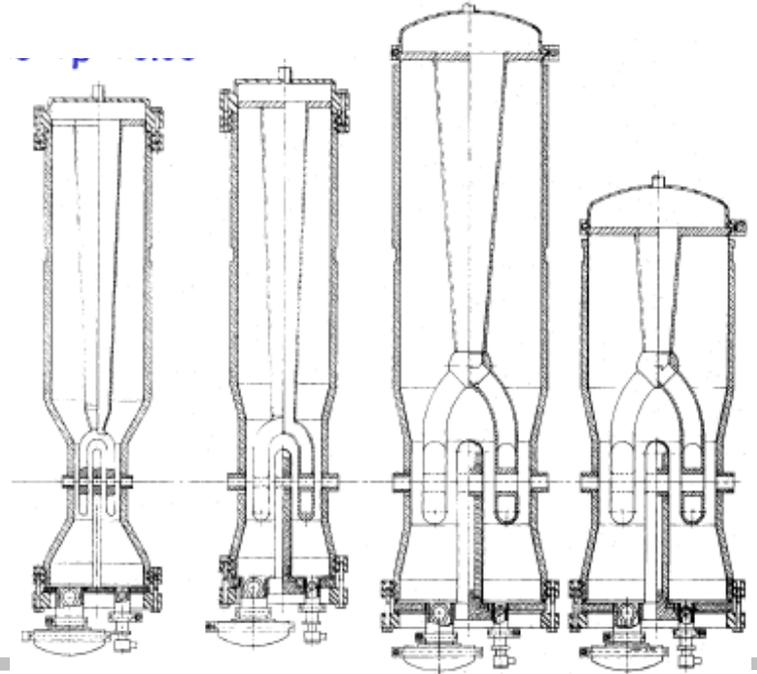
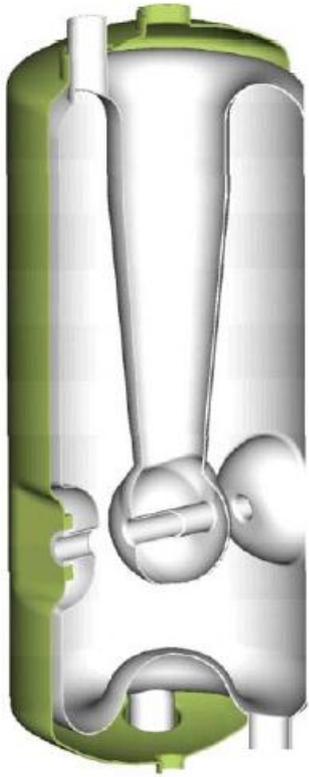
$$\frac{R_{sh}}{Q} \propto \eta$$



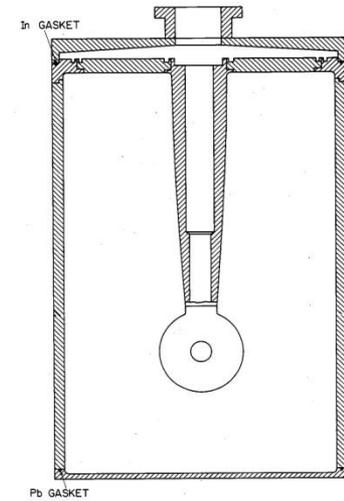
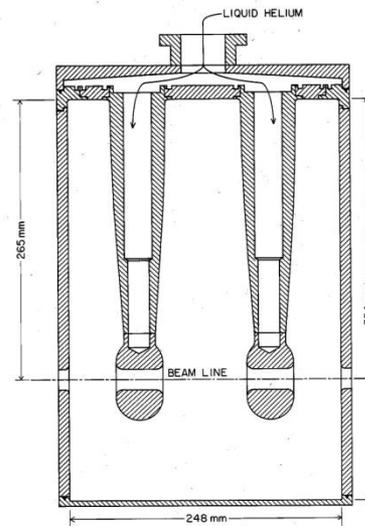
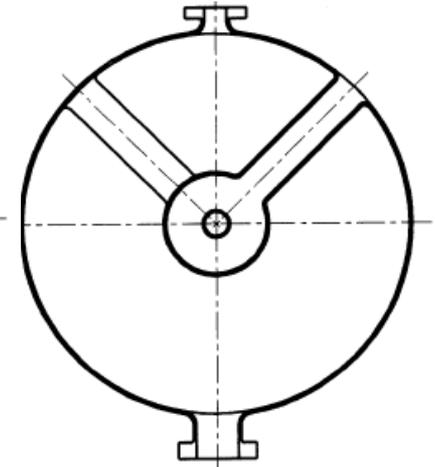
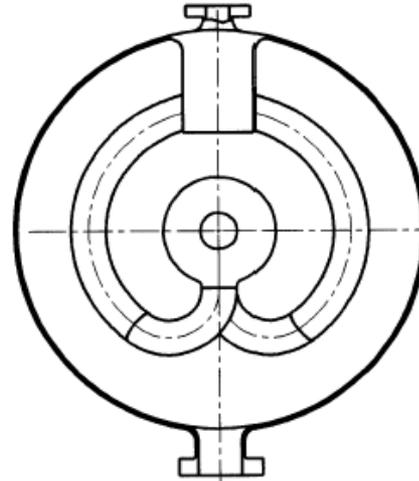
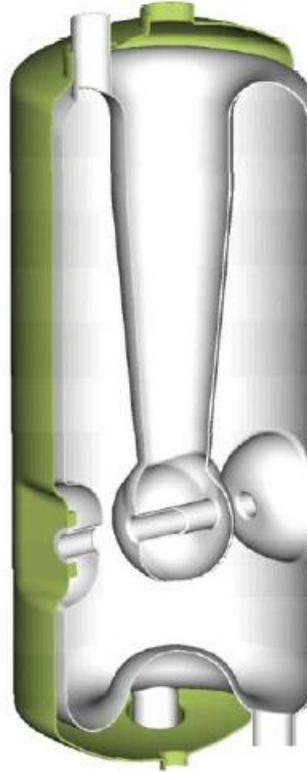
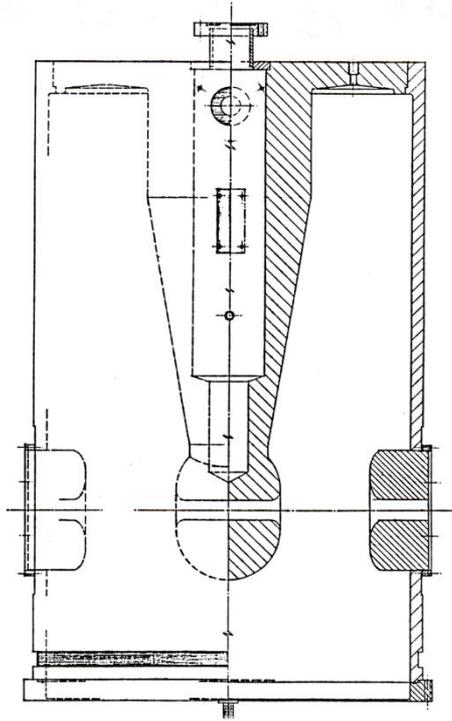
Some Real Geometries ($\lambda/4$)



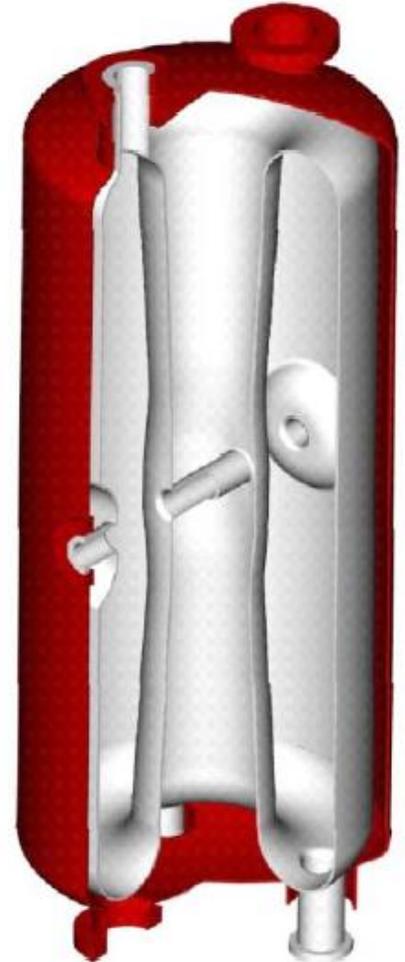
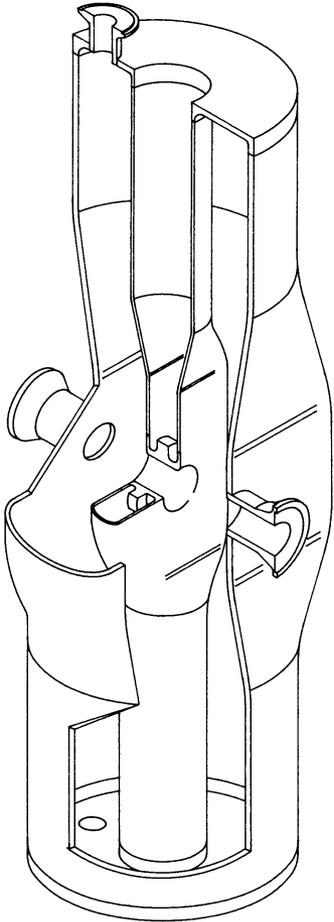
Some Real Geometries ($\lambda/4$)



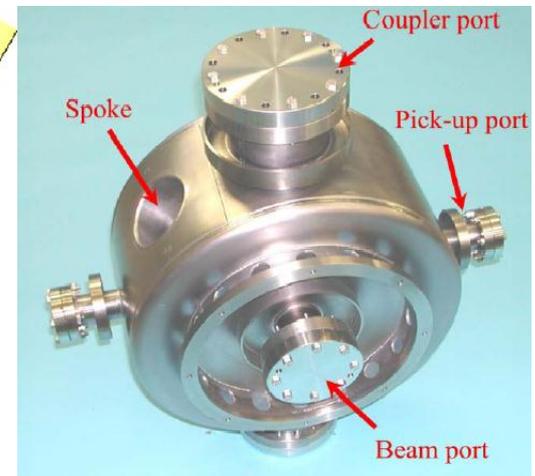
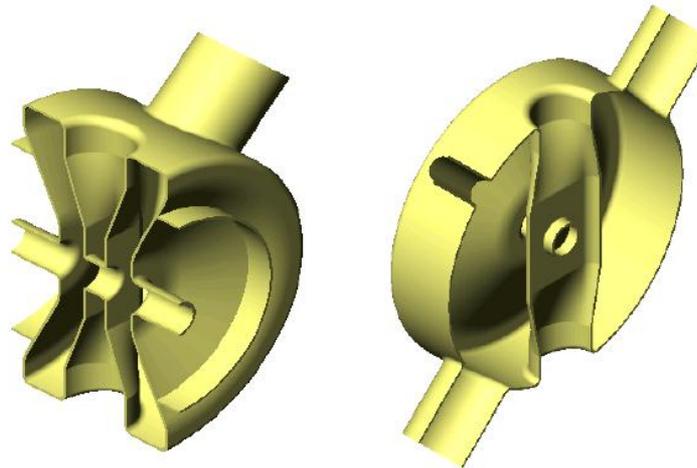
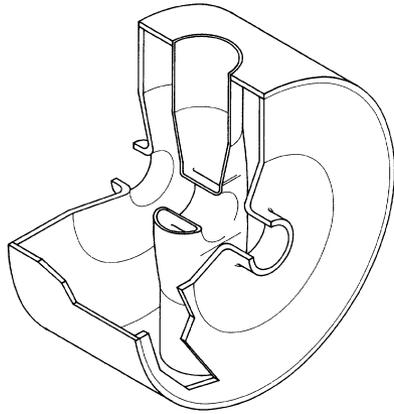
$\lambda/4$ Resonant Lines



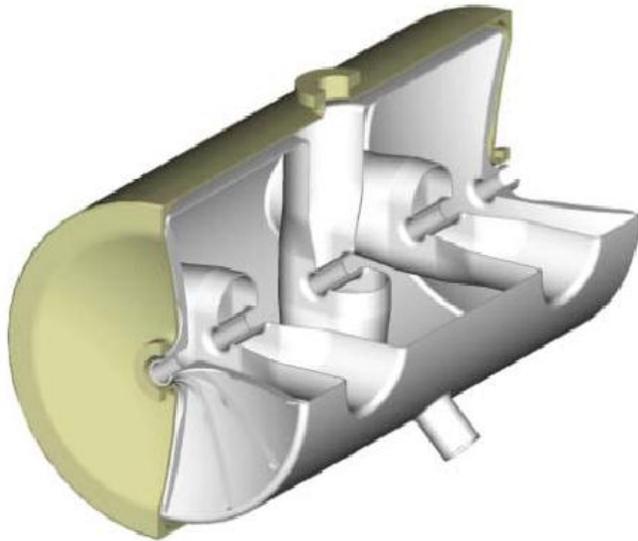
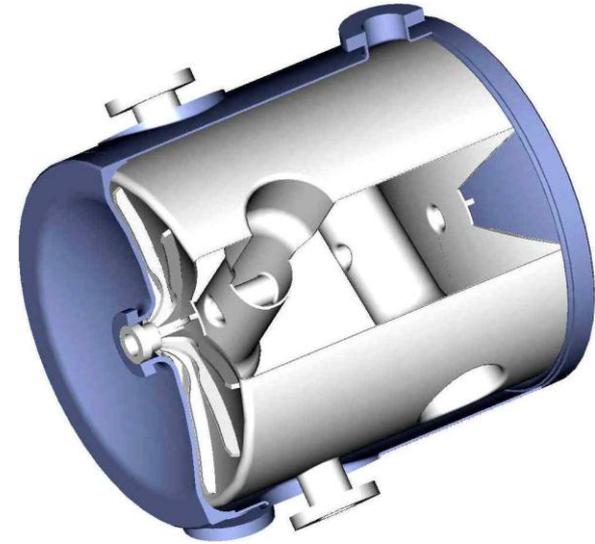
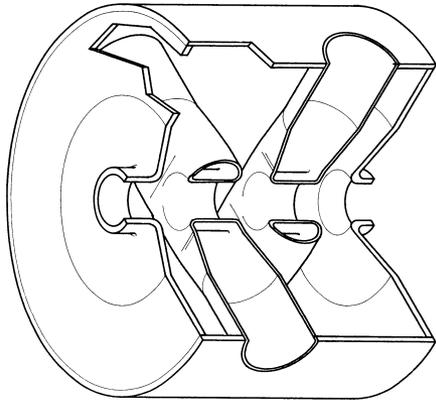
$\lambda/2$ Resonant Lines



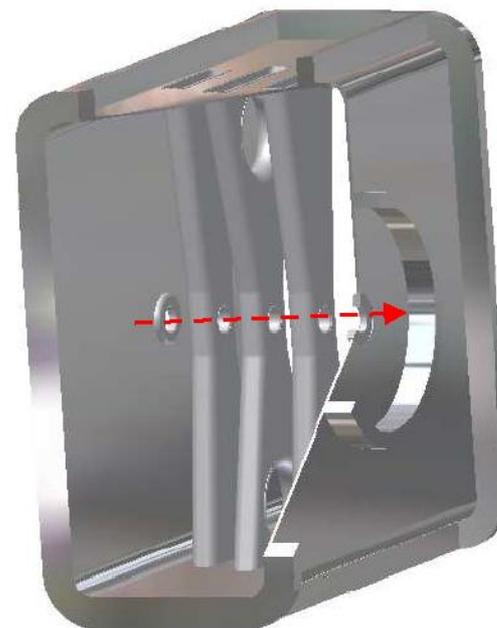
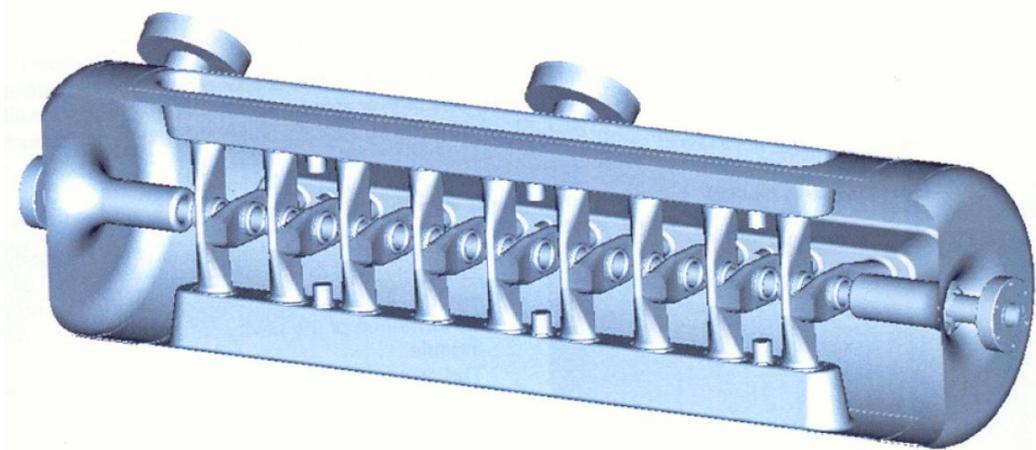
$\lambda/2$ Resonant Lines – Single-Spoke



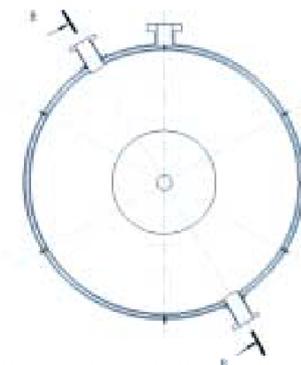
$\lambda/2$ Resonant Lines – Double and Triple-Spoke



$\lambda/2$ Resonant Lines – Multi-Spoke



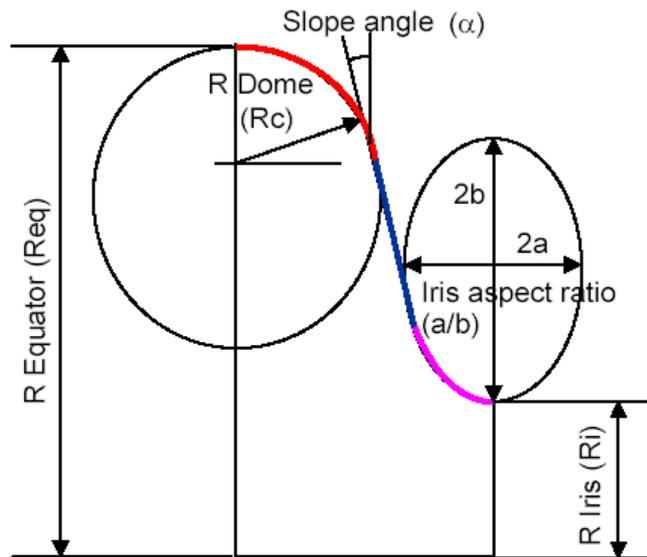
TM Modes



Design Considerations

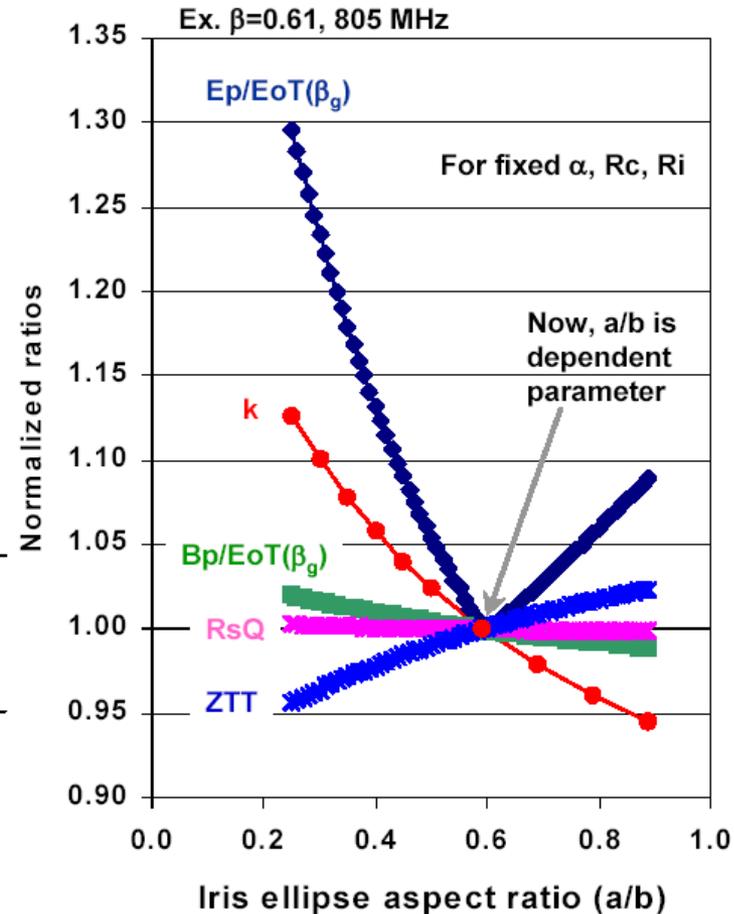
- Minimize the **peak surface fields**
Bp; approaches to theoretical limit (190 mT)
← high RRR, defect control, better surface treatment (~170 mT)
Ep; fields exceed 80 MV/m ← improved surface cleaning tech.
- Reasonable **Inter-cell coupling** between cells in Elliptical cavity
- Spoke cavity intrinsically has big coupling constant
- Provide required **external Q**
- In CW, **higher shunt impedance** (mainly determined by the cavity type)
- Reasonable **mechanical stiffness**
common; reasonable tuning force, mechanical stability under vacuum pressure (test~2 atm), stable against microphonics pulsed; affordable dynamic Lorentz force detuning
- Safe from **Multipacting**
- Verify **HOM** and related issues
- **Coupled field problems** are common between RF, mechanical, thermal..
→ strong interfaces are needed

RF Geometry Optimization (elliptical cavity)



For circular dome
(Elliptical dome cases are same)

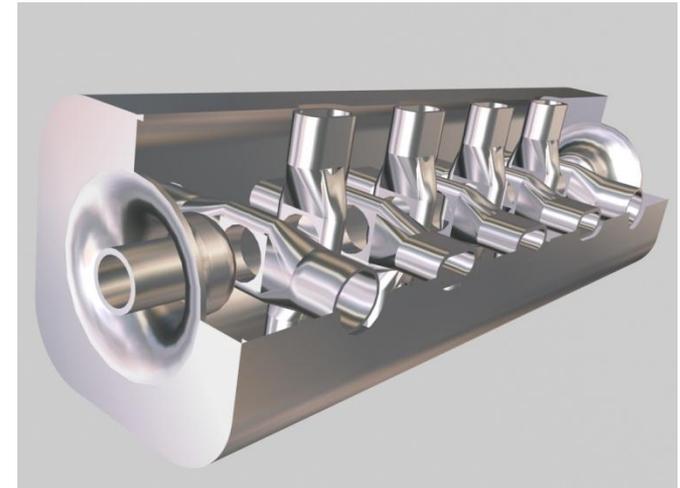
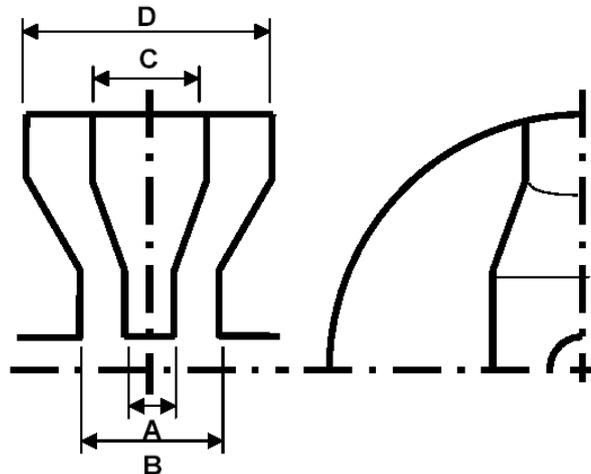
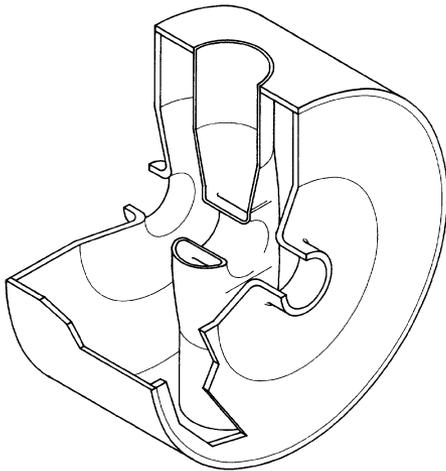
R_c, R_i, α , one of $(a/b, a, b)$
; 4 controllable parameters
 R_{eq} (for tuning)



Elliptical cell geometry and dependencies of RF parameters on the ellipse aspect ratio (a/b) at the fixed slope angle, dome radius and bore radius.

RF Geometry Optimization (Spoke Cavity)

- There have been extensive efforts for design optimization especially to reduce the ratios of E_p/E_{acc} and B_p/E_{acc} .
 - Controlling A/B (E_p/E_{acc}) and C/D (B_p/E_{acc}) → **Shape optimization**
 - Flat contacting surface at spoke base will help in another minimization of B_p/E_{acc}
 - **For these cavities:**
 - Calculations agree well → $E_p/E_{acc} \sim 3$, $B_p/E_{acc} \sim (7 \sim 8) \text{ mT}/(\text{MV}/\text{m})$, though it is tricky to obtain precise surface field information from the 3D simulation.**
 - Intrinsically have very strong RF coupling in multi-gap cavity.**
 - Have rigid nature against static and dynamic vibrations.**
 - Beta dependency is quite small.**
 - Diameter \sim half of elliptical cavity.**



Velocity Acceptance

- **Energy gain** $\Delta W = q V T(x) \Phi(x) \cos \varphi$

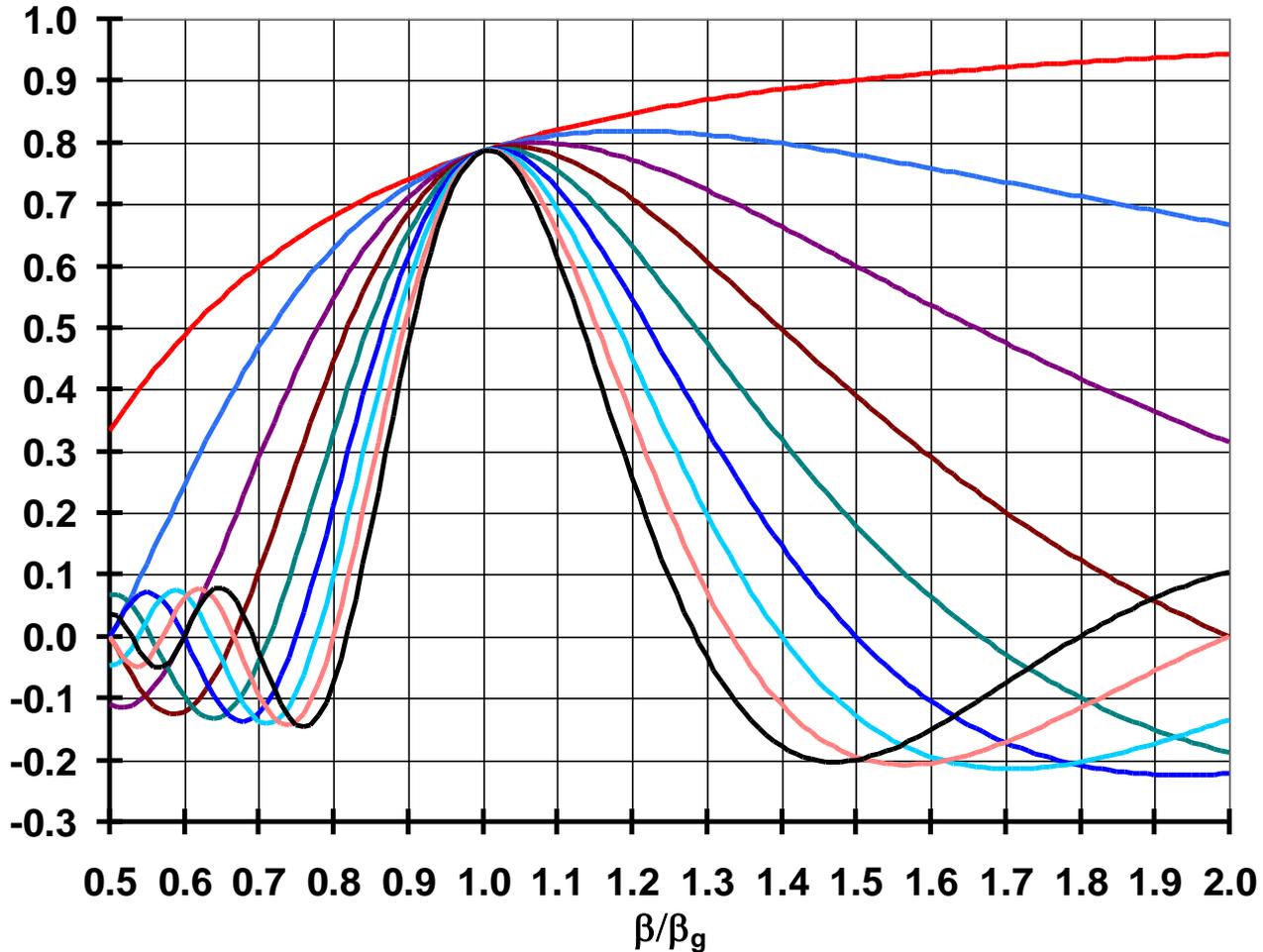
$$x = \frac{\beta\lambda}{2l}$$

$T(x)$ **Transit time factor for single cell**
Depends on field profile in cell

$\Phi(x)$ **Phasing factor in multicell cavities**
Depends on cell spacing and field amplitude in cells
Does not depend on field profile in cells (assumed to be identical)

Velocity Acceptance

Velocity Acceptance for Sinusoidal Field Profile



Voltage in Cells

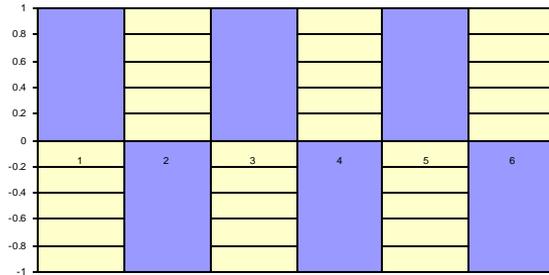
Voltage in j^{th} cell

$$V_j^M = \sin\left(\pi M \frac{(2j-1)}{2N}\right)$$

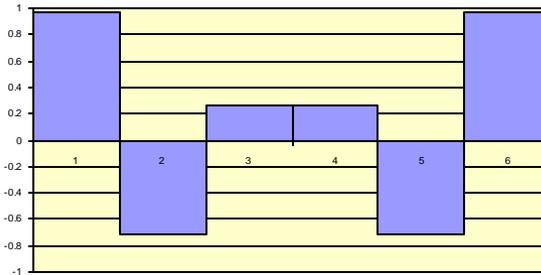
N: Number of cells,

M: Mode number

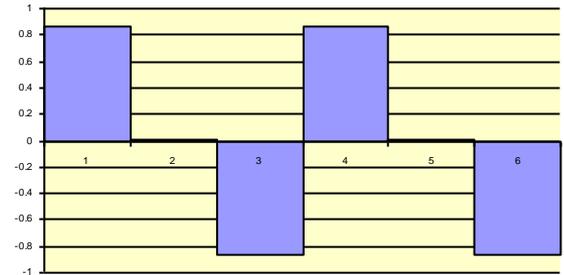
6 Cell, Mode 6



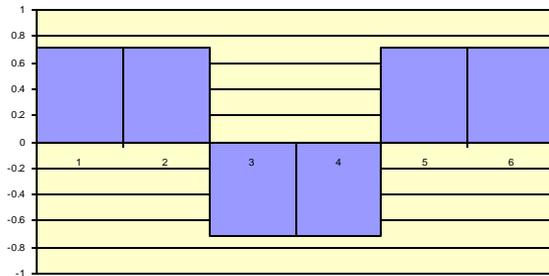
6 Cell, Mode 5



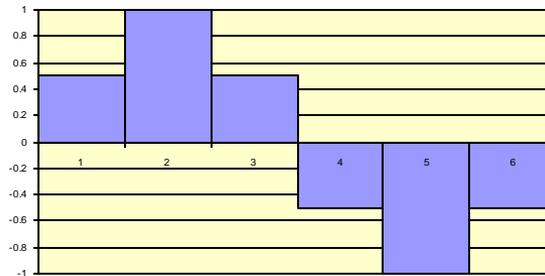
6 Cell, Mode 4



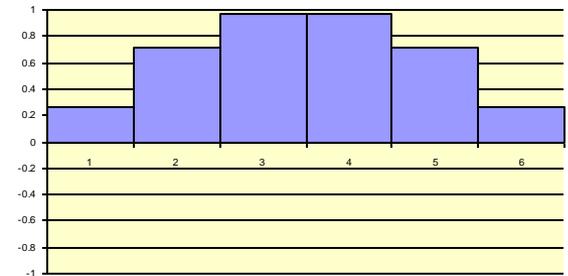
6 Cell, Mode 3



6 Cell, Mode 2



6 Cell, Mode 1



Phasing Factor

For fundamental (π) mode: $\Phi(x) = \frac{1}{\cos\left(\frac{\pi}{2x}\right)} \begin{cases} (-1)^{n+1} \sin\left(\frac{N\pi}{2x}\right), & N = 2n \\ (-1)^n \cos\left(\frac{N\pi}{2x}\right), & N = 2n + 1 \end{cases}$

For all modes:

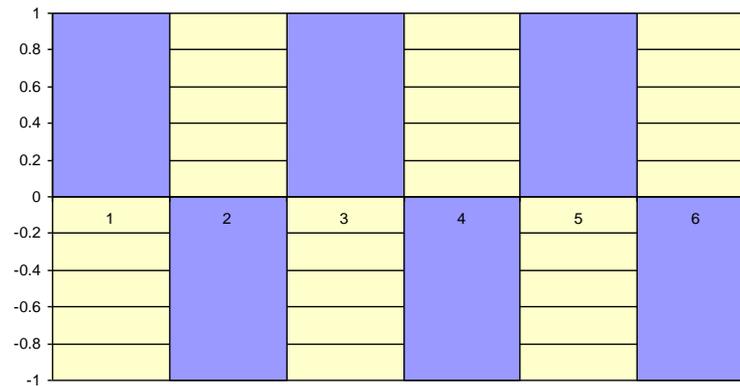
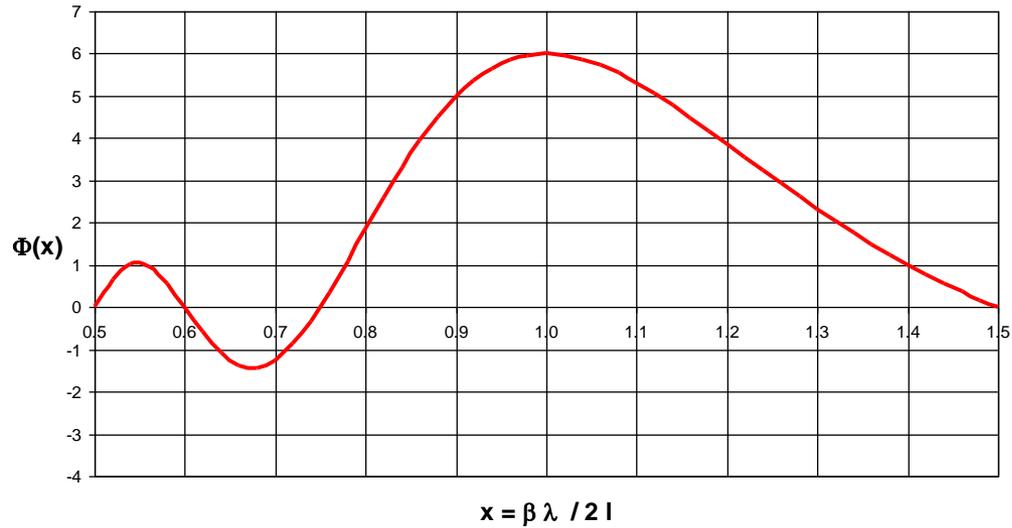
$$\Phi(x) = \frac{1}{2} \left(\frac{\sin\left[\frac{N\pi}{2}\left(\frac{M}{N} - \frac{1}{x}\right)\right]}{\sin\left[\frac{\pi}{2}\left(\frac{M}{N} - \frac{1}{x}\right)\right]} + (-1)^{M+1} \frac{\sin\left[\frac{N\pi}{2}\left(\frac{M}{N} + \frac{1}{x}\right)\right]}{\sin\left[\frac{\pi}{2}\left(\frac{M}{N} + \frac{1}{x}\right)\right]} \right)$$

If $M=N$, recover previous formula

If $x=1$ $\Phi(x) = N\delta_{MN}$

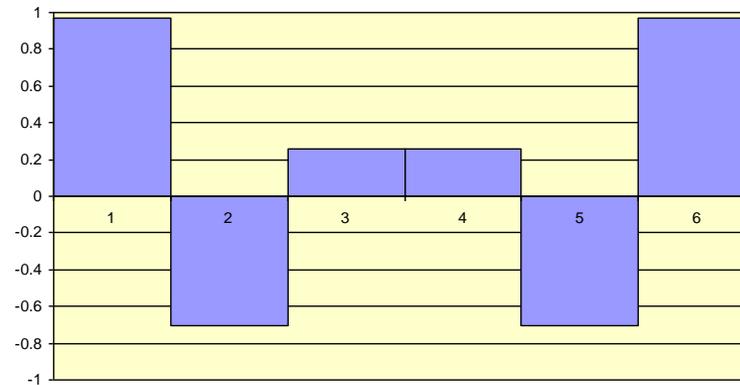
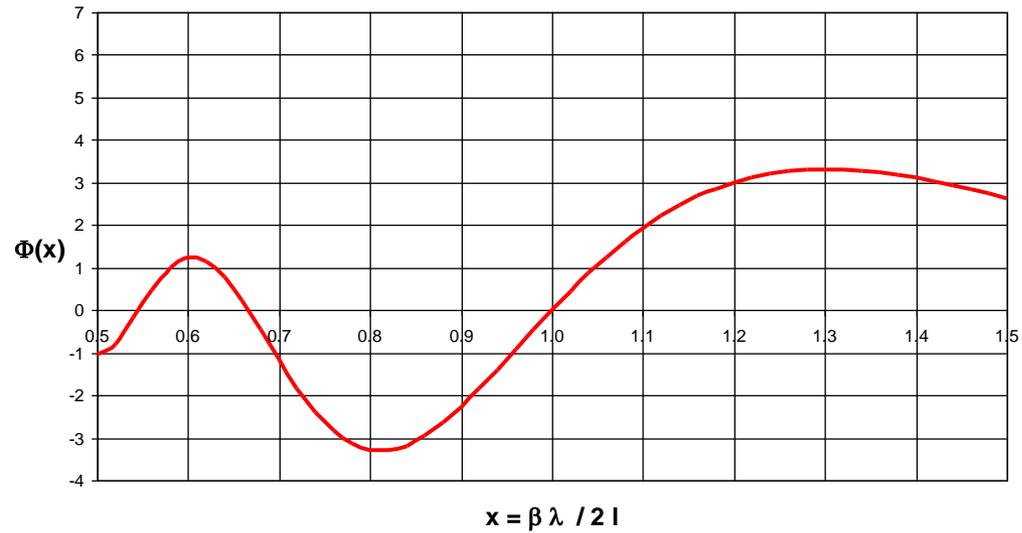
Phasing Factor

6 Cells, Mode 6



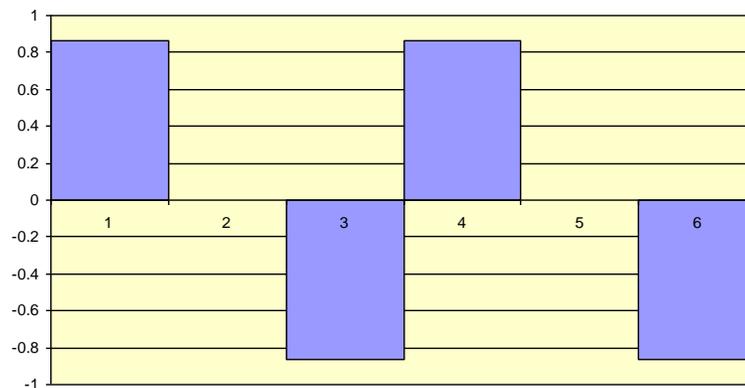
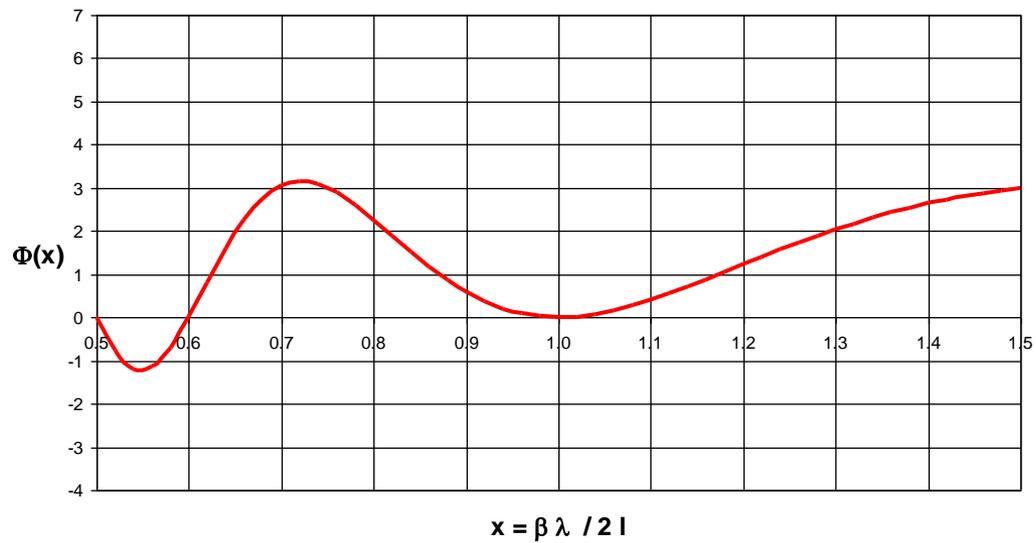
Phasing Factor

6 Cells, Mode 5



Phasing Factor

6 Cells, Mode 4



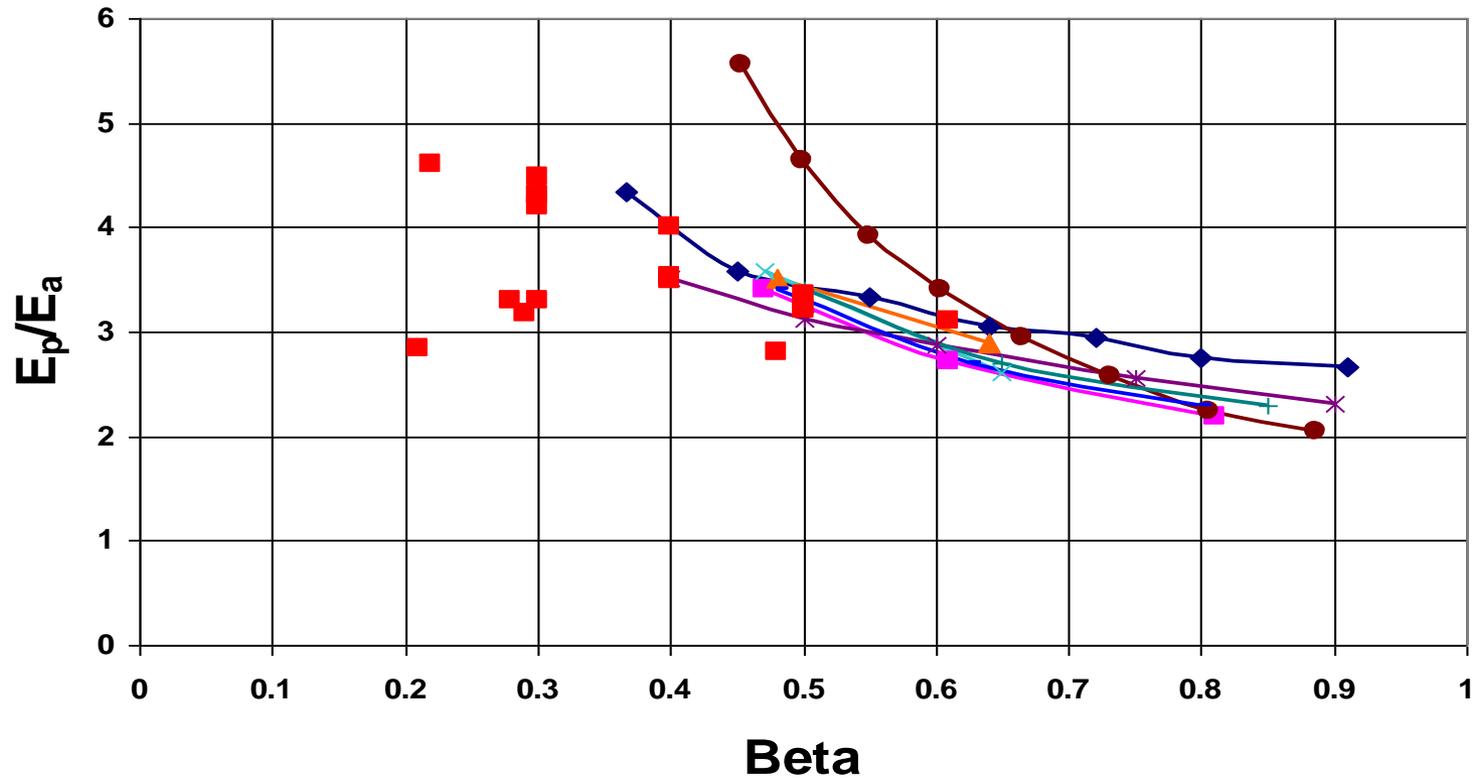
Surface Electric Field

- TM_{010} elliptical structures
 - $E_p/E_a \sim 2$ for $\beta = 1$
 - Increases slowly as β decreases
- $\lambda/2$ structures:
 - Sensitive to geometrical design
 - Electrostatic model of an “shaped geometry” gives $E_p/E_a \sim 3.3$, independent of β

Surface Electric Field

- Lines: Elliptical

Squares: Spoke

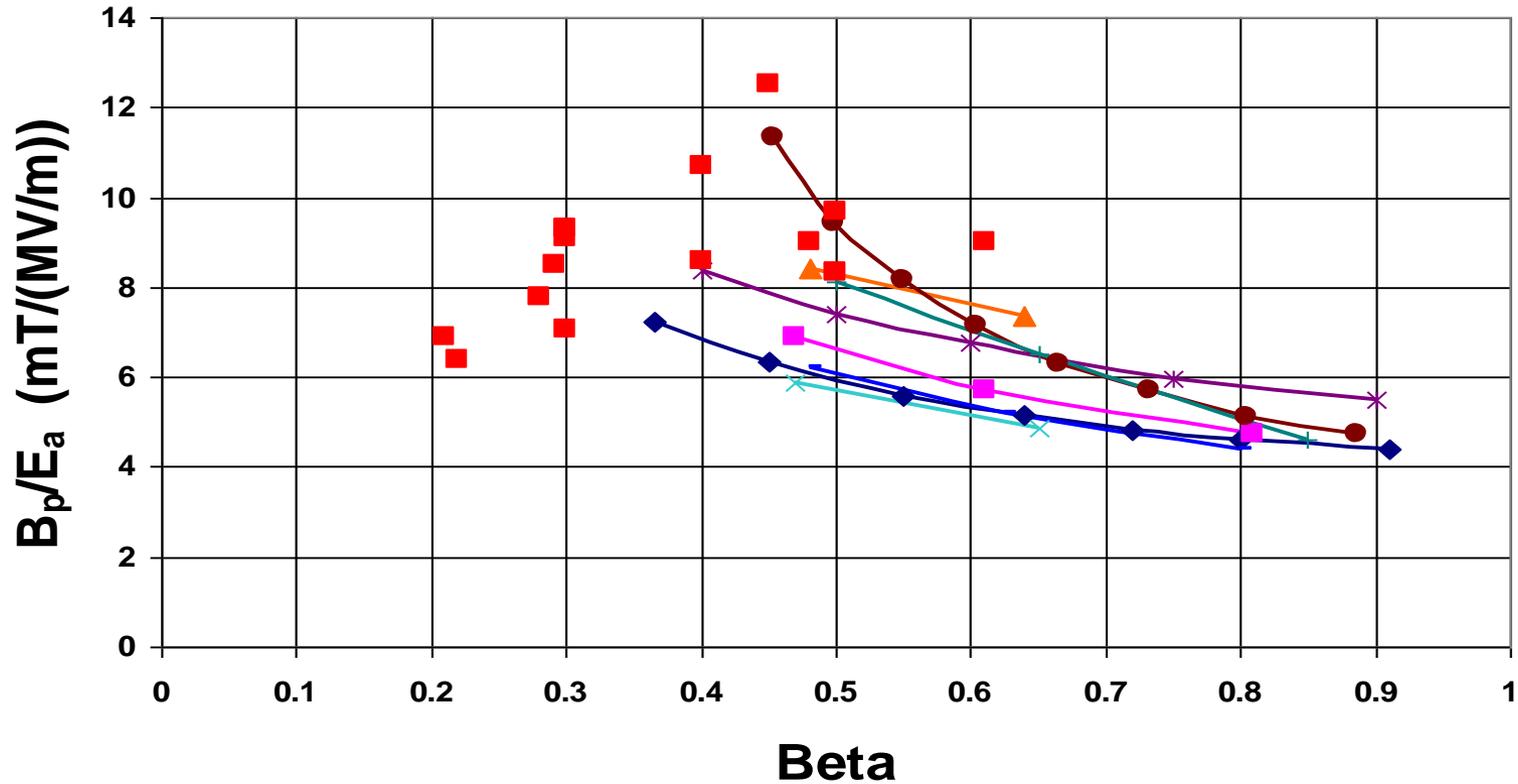


Surface Magnetic Field

- TM_{010} elliptical cavities:
 - $B/E_a \sim 4 \text{ mT}/(\text{MV}/\text{m})$ for $\beta=1$
 - Increases slowly as β decreases
- $\lambda/2$ structures:
 - Sensitive to geometrical design
 - Transmission line model gives $B/E_a \sim 8 \text{ mT}/(\text{MV}/\text{m})$, independent of β

Surface Magnetic Field

- Lines: Elliptical
- Squares: Spoke

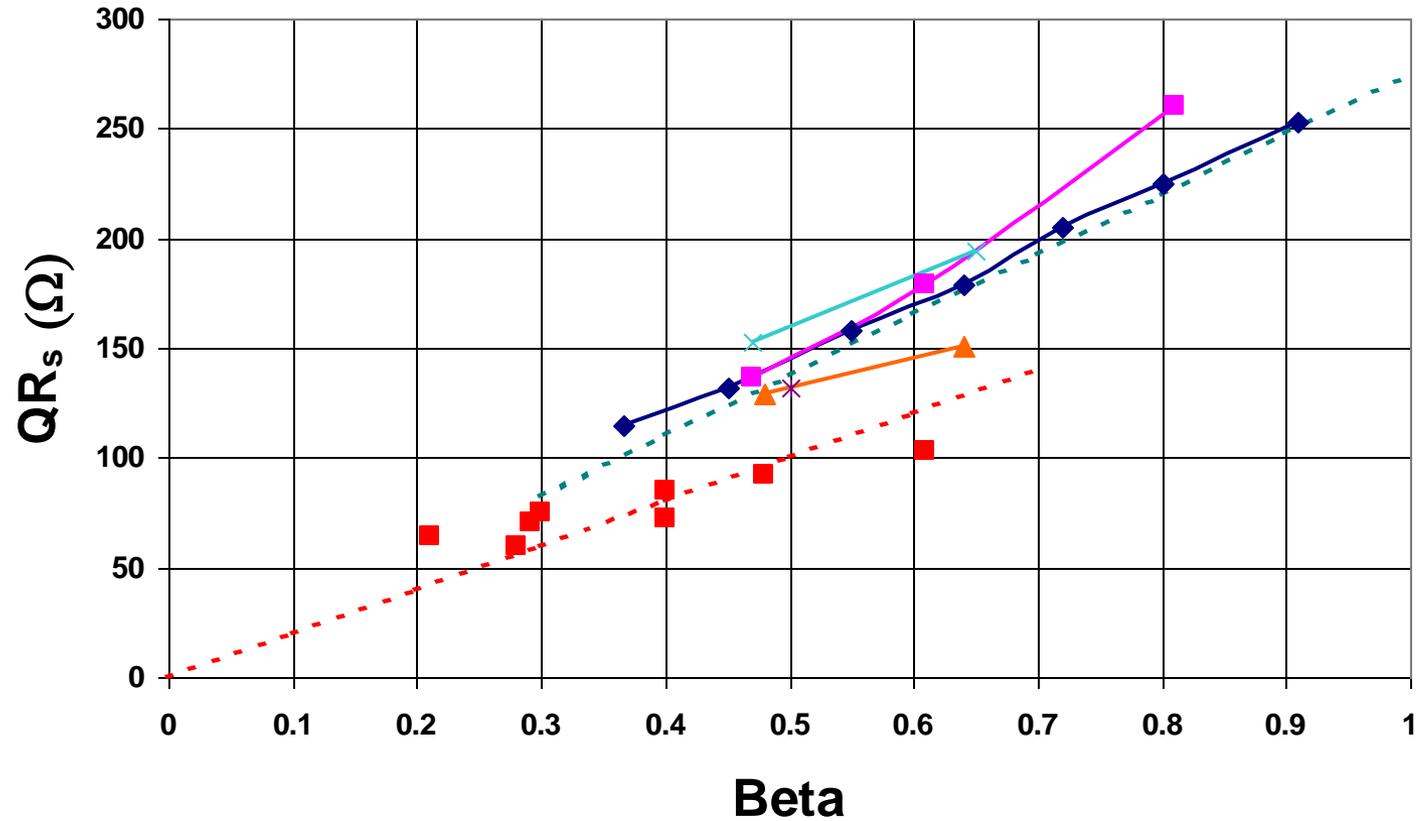


Geometrical Factor (QR_s)

- TM_{010} elliptical cavities:
 - Simple scaling: $QR_s \sim 275 \beta (\Omega)$
- $\lambda/2$ structures:
 - Transmission line model: $QR_s \sim 200 \beta (\Omega)$

Geometrical Factor (QR_s)

- Lines: Elliptical Squares: Spoke



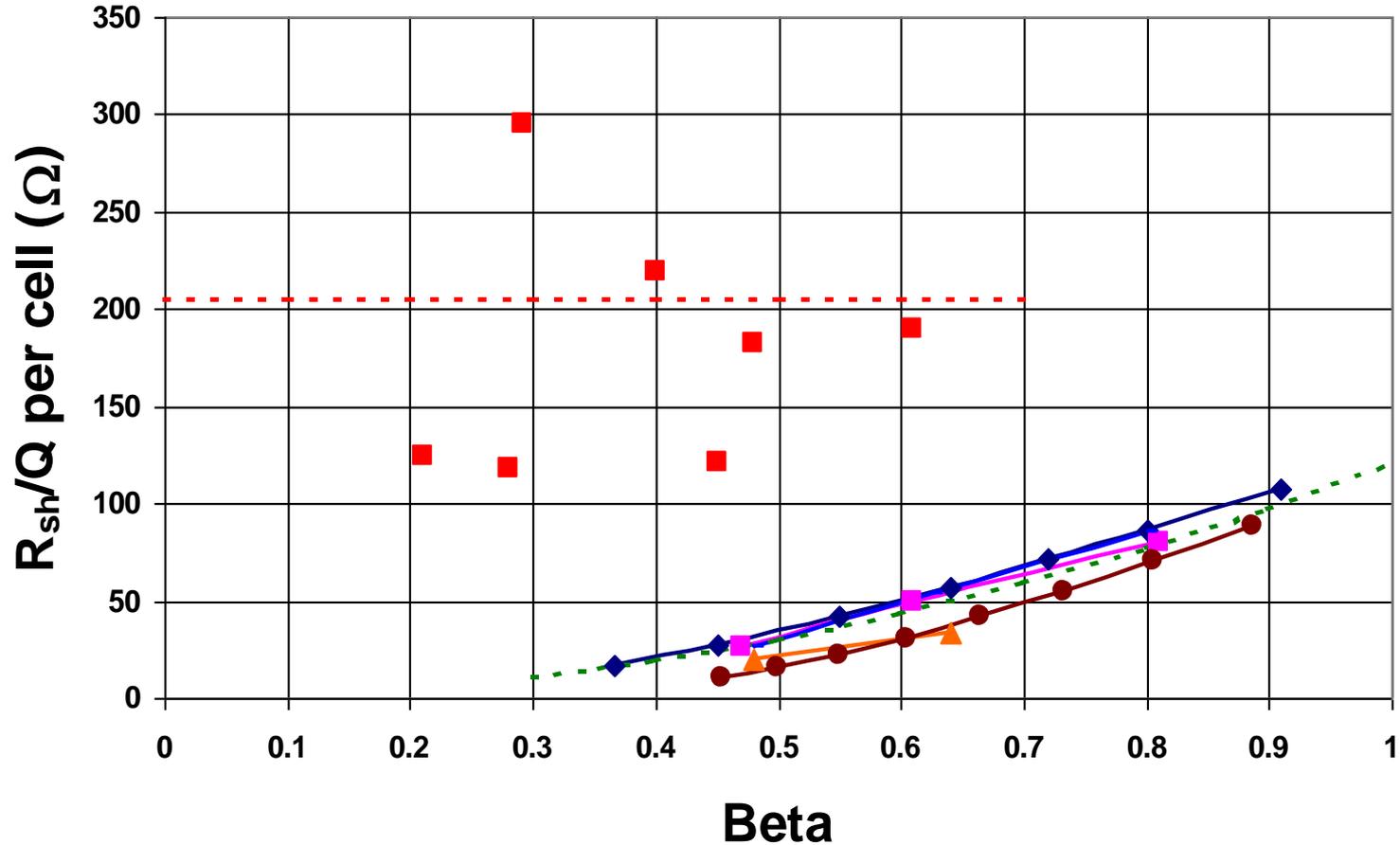
R_{sh}/Q per Cell or Loading Element

- $R_{sh} = V^2/P$
- TM_{010} elliptical cavities:
 - Simple-minded argument, ignoring effect of beam line aperture, gives: $R_{sh} / Q \propto \beta$
 - When cavity length becomes comparable to beam line aperture : $R_{sh} / Q \propto \beta^2$
 - $R_{sh}/Q \sim 120 \beta^2 \quad (\Omega)$
- $\lambda/2$ structures:
 - Transmission line model gives: $R_{sh}/Q \sim 205 \Omega$
 - Independent of β

R_{sh}/Q per Cell or Loading Element

Lines: Elliptical

Squares: Spoke



Shunt Impedance R_{sh}

($R_{sh}/Q \approx QR_s$ per Cell or Loading Element)

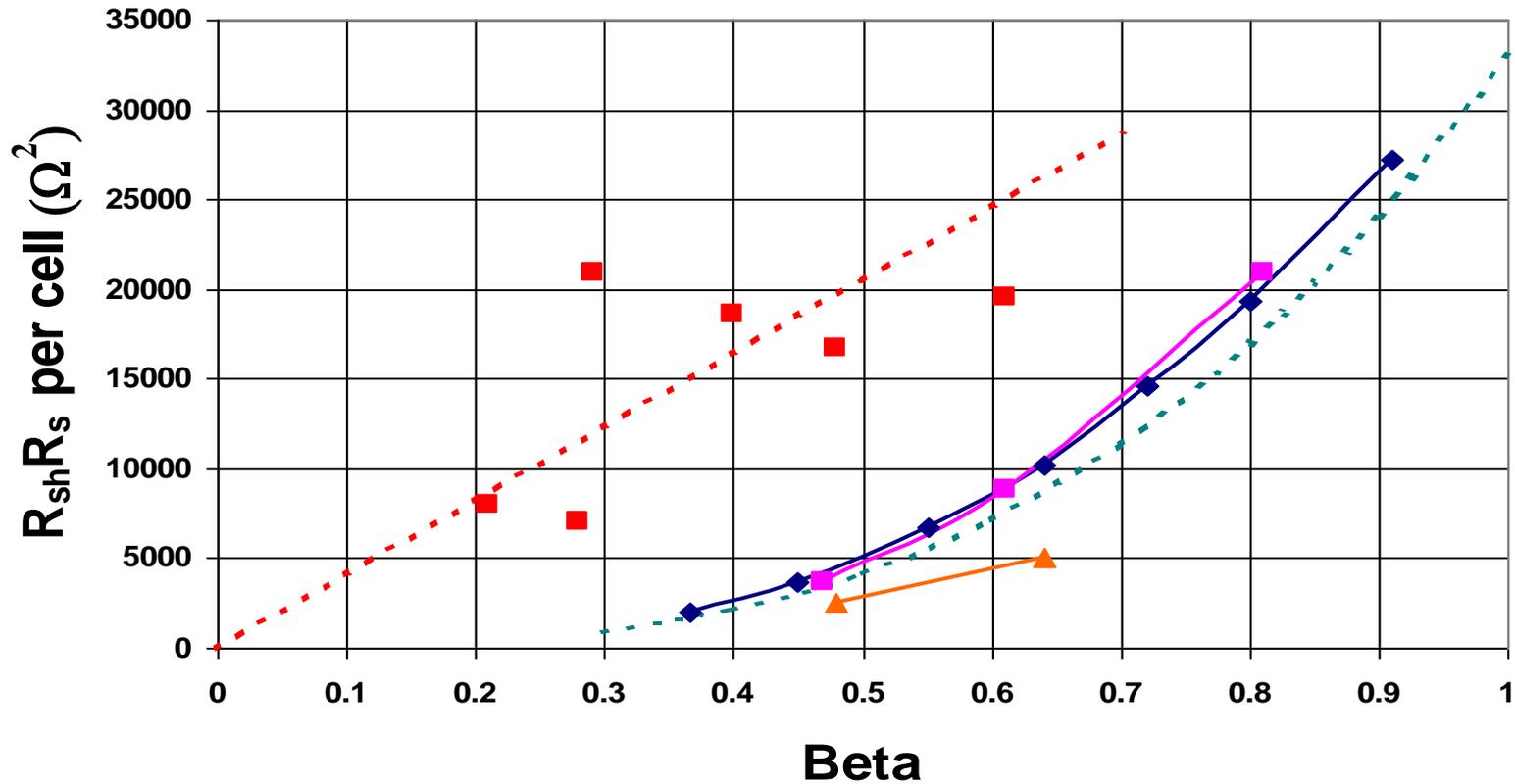
- TM_{010} elliptical cavities:
 - $R_{sh} R_s \sim 33000 \beta^3 \quad (\Omega^2)$
- $\lambda/2$ structures:
 - $R_{sh} R_s \sim 40000 \beta \quad (\Omega^2)$

Shunt Impedance R_{sh}

(R_{sh}/Q QR_s per cell or loading element)

• Lines: Elliptical

Squares: Spoke



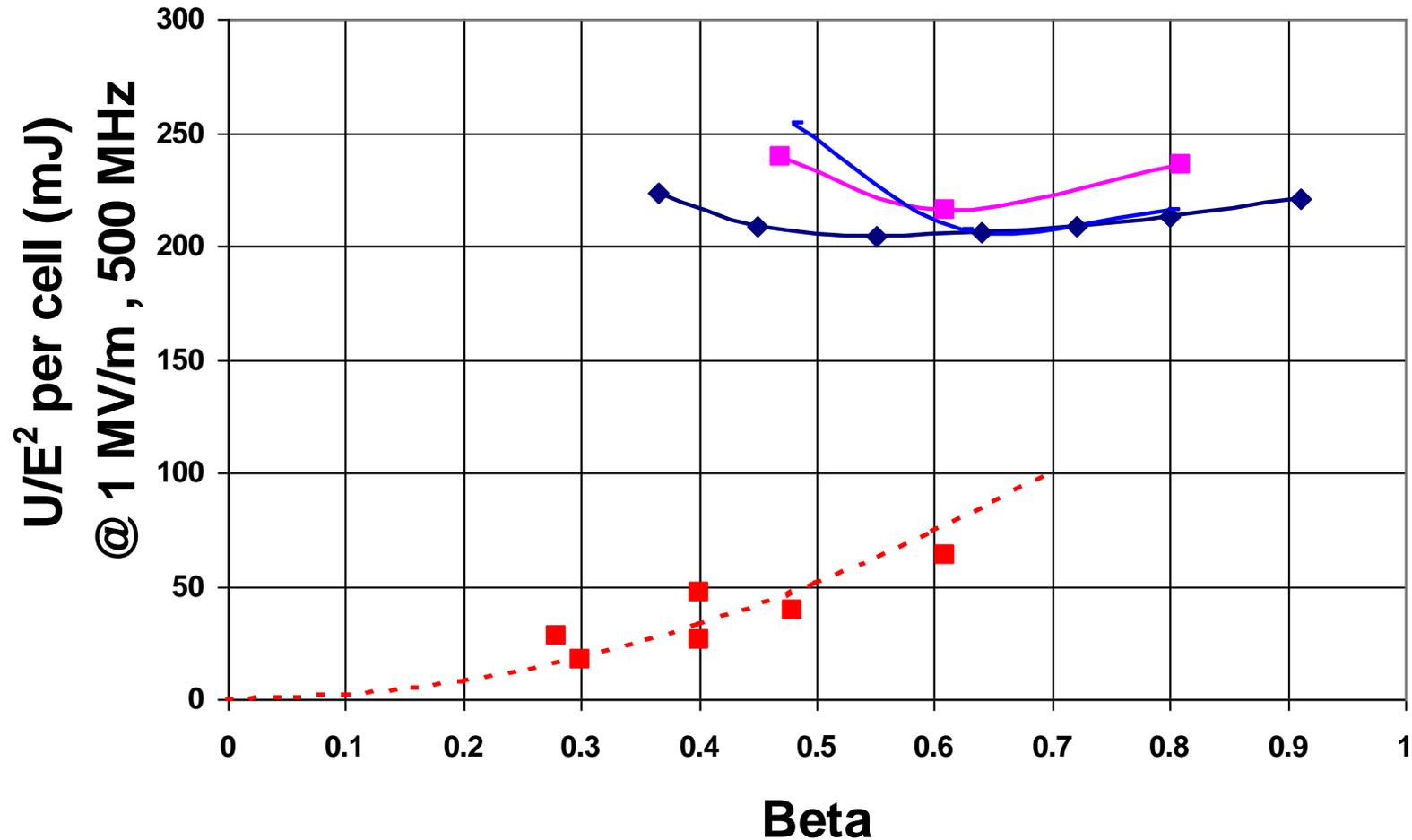
Energy Content per Cell or Loading Element

Proportional to $E^2 \lambda^3$

At 1 MV/m, normalized to 500 MHz:

- TM_{010} elliptical cavities:
 - Simple-minded model gives $U / E^2 \propto \beta$
 - In practice: $U/E^2 \sim 200\text{-}250$ mJ
 - Independent of β (seems to increase when $\beta < 0.5 - 0.6$)
- $\lambda/2$ structures:
 - Sensitive to geometrical design
 - Transmission line model gives $U/E^2 \sim 200 \beta^2$ (mJ)

Energy Content per Cell or Loading Element

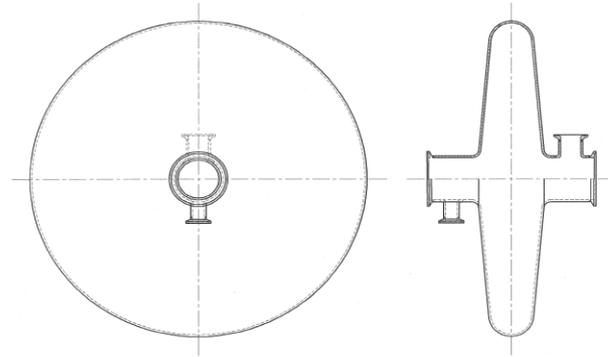


Size & Cell-to-Cell Coupling

TM₀₁₀ Structures

Dia ~ 0.88 – 0.92 λ

Coupling ~ 2%

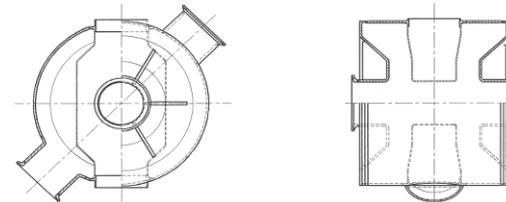


10 20 30
Scale in cm

$\lambda/2$ Structures

Dia ~ 0.46 – 0.51 λ

Coupling ~ 20 - 30%



Example : 350 MHz, $\beta = 0.45$

Multipacting

- TM_{010} elliptical structures
 - Can reasonably be modeled and predicted/avoided
 - Modeling tools exist

- $\lambda/2$ Structures
 - Much more difficult to model
 - Reliable modeling tools do not exist
 - Multipacting “always” occurs
 - “Never” a show stopper

TM Structures – Positive Features

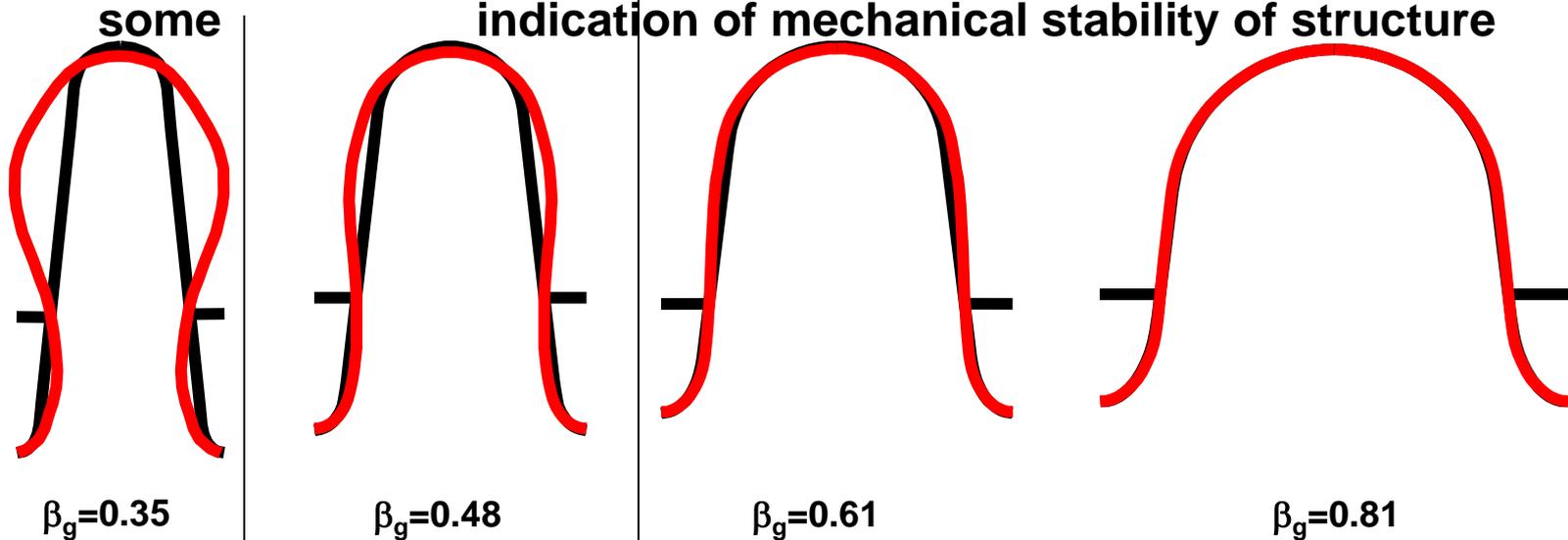
- Geometrically simple
- Familiar
- Large knowledge base
- Good modeling tools
- Low surface fields at high β
- Small number of degrees of freedom

$\lambda/2$ Structures – Positive Features

- Compact, small size
- High shunt impedance
- Robust, stable field profile (high cell-to-cell coupling)
- Mechanically stable, rigid (low Lorentz coefficient, microphonics)
- Small energy content
- Low surface fields at low β
- Large number of degrees of freedom

How Low Can We Go with β_g in TM Cavities ?

- Static Lorentz force detuning (LFD) at $E_0 T(\beta_g) = 10 \text{ MV/m}$, 805 MHz (Magnification; 50,000)
- In CW application LFD is not an issue, but static LFD coeff. provides some indication of mechanical stability of structure



RF efficiency; x
 Mechanical Stability; x
 Multipacting; x
 Strong possibility

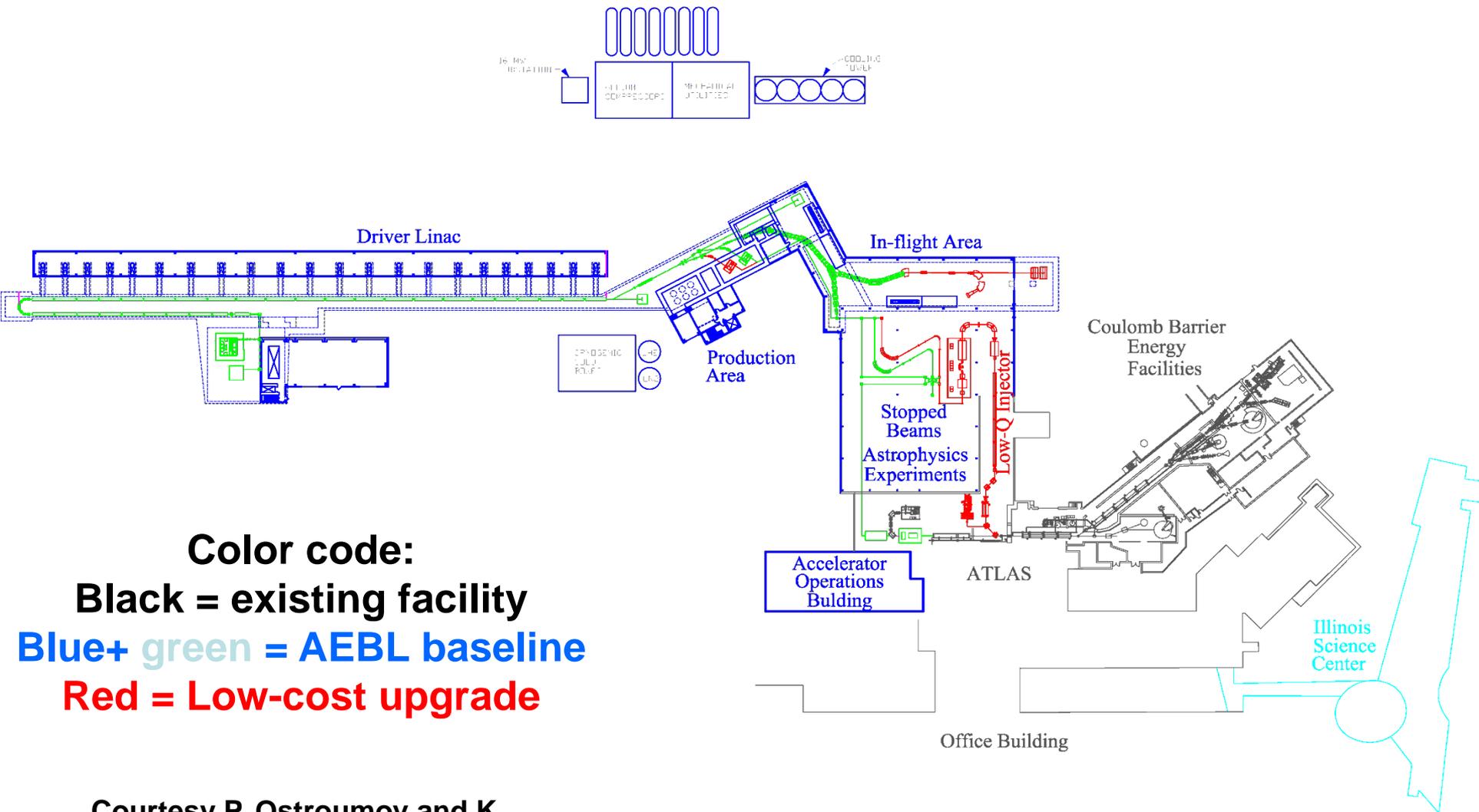
Will work in CW
 Pessimistic in Pulsed application
 Would be a competing Region with spoke cavity

Suitable for all CW & pulsed applications
 Recent test results of SNS prototype cryomodule, $\beta_g = 0.61$;
 quite positive; piezo compensation will work

How High Can We Go with β_g in Spoke Cavities?

- What are their high-order modes properties?
 - Spectrum
 - Impedances
 - Beam stability issues
- Is there a place for spoke cavities in high- β high-current applications?
 - FELs, ERLs
 - Higher order modes extraction

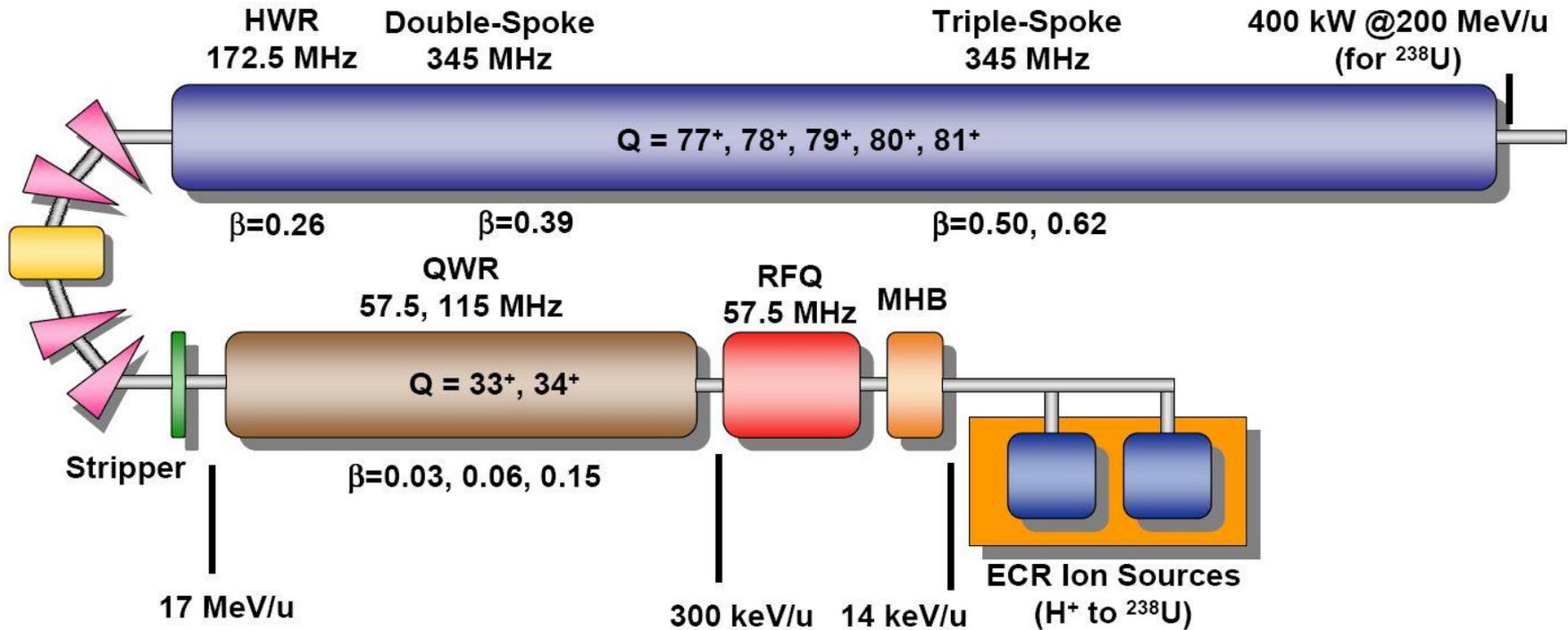
Layout of the AEBL at ANL – 200 MeV/u, 400 kW



Courtesy P. Ostroumov and K. Shepard

Driver linac

Layout for the AEBL driver linac



Courtesy P. Ostroumov and K. Shepard

Advanced Exotic Beam Laboratory

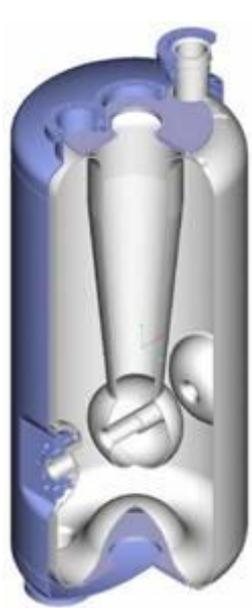
AEBL Driver Linac - SC Resonator Configuration

- Input of uranium 33+ and 34+ at beta = .0254

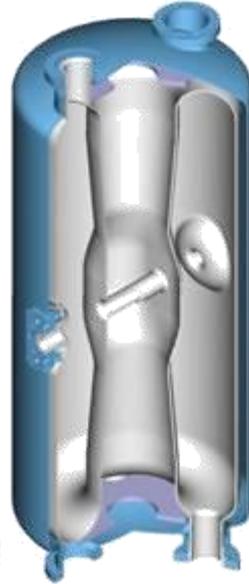
Beta	Type	Freq MHz	Length cm	Esurf MV/m	Eacc MV/m	# Cav	
0.031	FORK	57.5	25	22.4	5.60	3	
0.061	QWR	57.5	20	27.5	9.29	21	
0.151	QWR	115.0	25	27.5	8.68	48	
STRIPPER						Subtotal	72
0.263	HWR	172.5	30	27.5	9.45	40	
0.393	2SPOKE	345.0	38.1	27.5	9.17	16	
0.500	3SPOKE	345.0	65.2	27.5	9.55	54	
0.620	3SPOKE	345.0	80.9	27.5	9.26	24	
						Subtotal	134
						Total Cavity Count =	206

Courtesy P. Ostroumov and K. Shepard

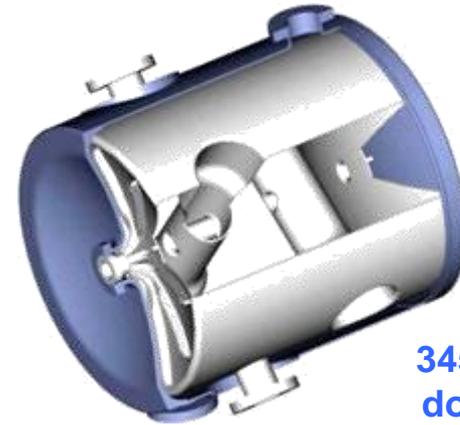
SC cavities covering the velocity range $0.12 < \beta < 0.8$ developed for the RIA driver linac and will be used in AEBL



115 MHz $\beta=0.15$
Steering-
corrected QWR

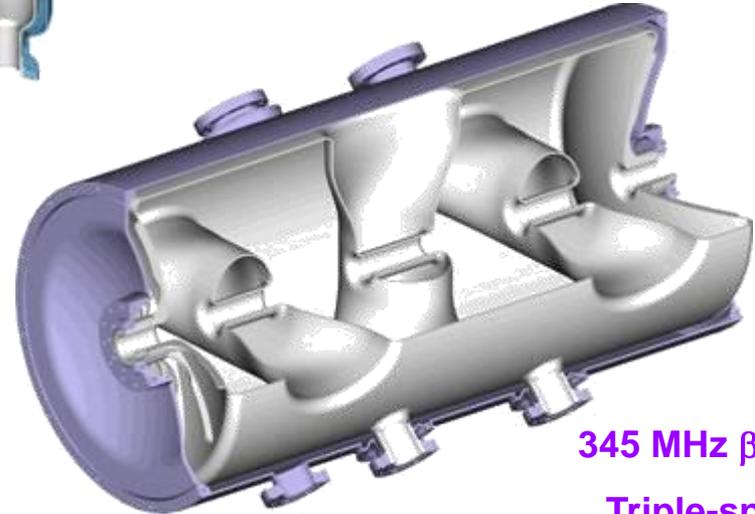
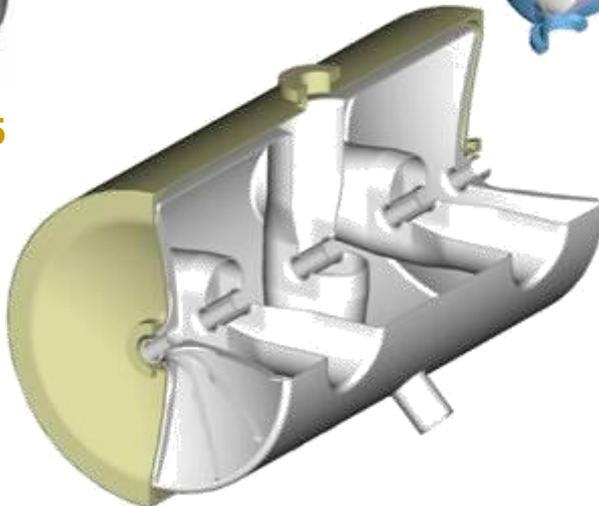


172.5 MHz
 $\beta=0.28$ HWR



345 MHz $\beta=0.4$
double-spoke

345 MHz $\beta=0.5$
Triple-spoke

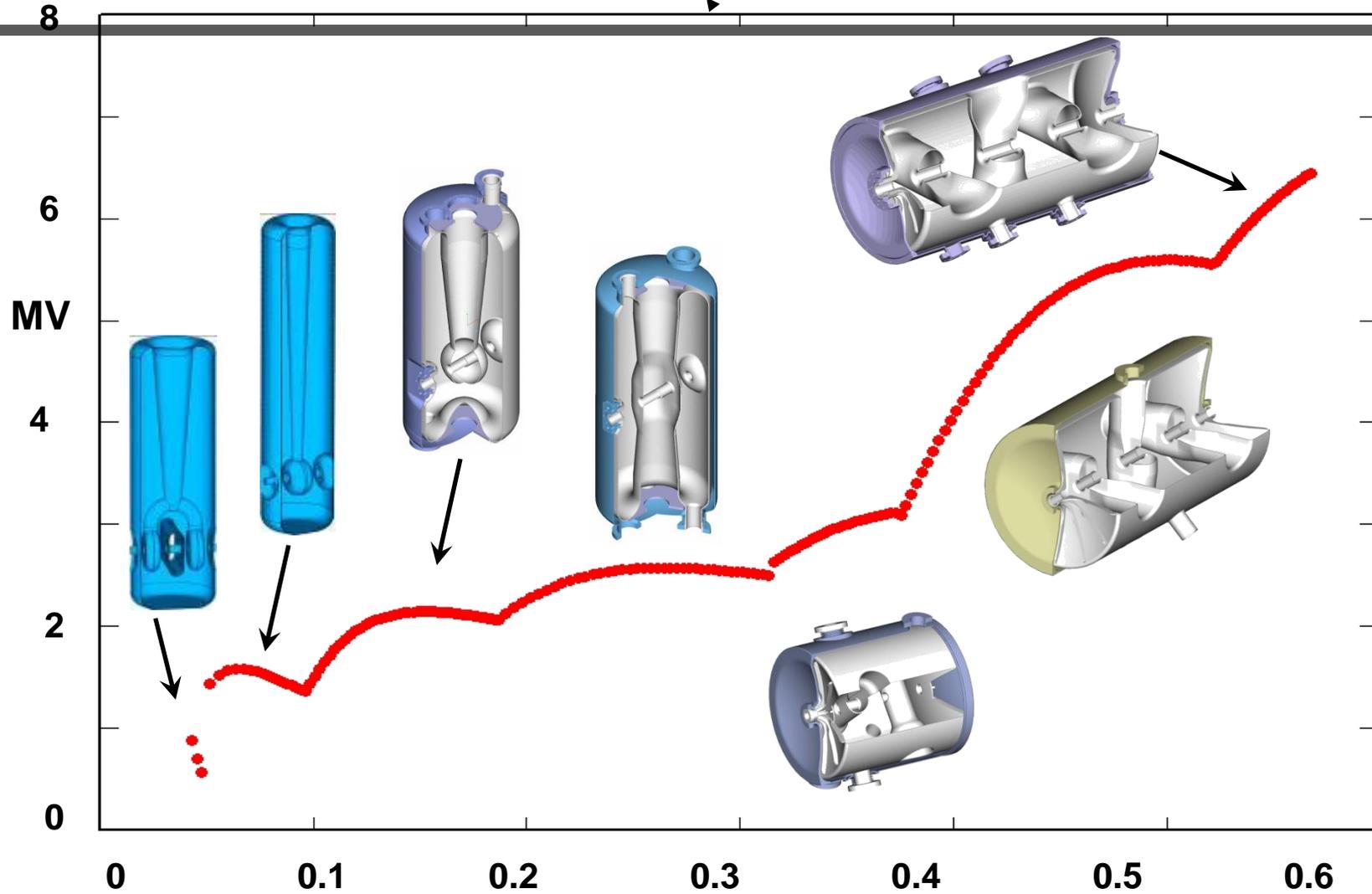


345 MHz $\beta=0.62$
Triple-spoke

See publications by K.W. Shepard, et al.

Courtesy P. Ostroumov and K.
Shepard

Cavity Walk – Voltage Gain per Cavity for Uranium Beam



Courtesy P. Ostroumov and K. Shepard

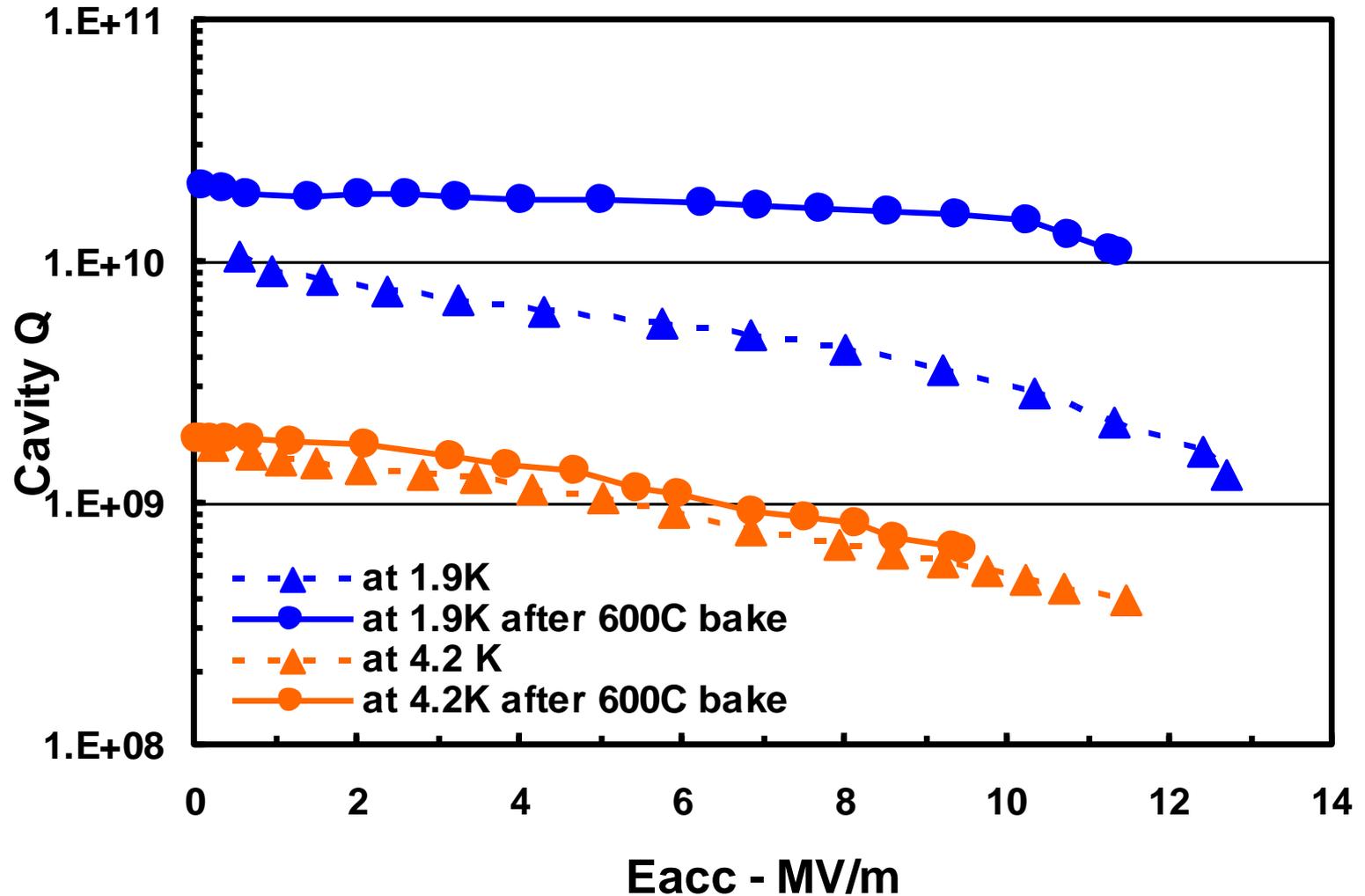
$$\beta = v/c$$

ANL extended to TEM-class SC cavities the very high-performance techniques pioneered by TESLA



Courtesy P. Ostroumov and K. Shepard

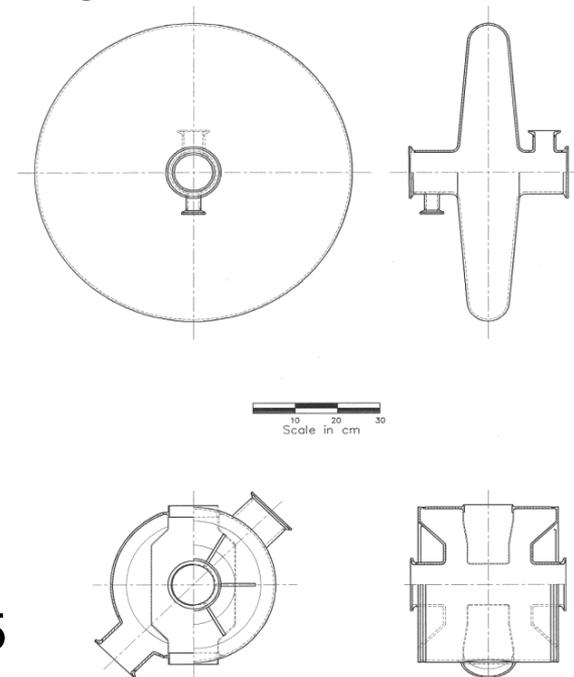
Effects of interstitial hydrogen on triple-spoke cavity performance



Courtesy P. Ostroumov and K. Shepard

Features of Spoke Cavities

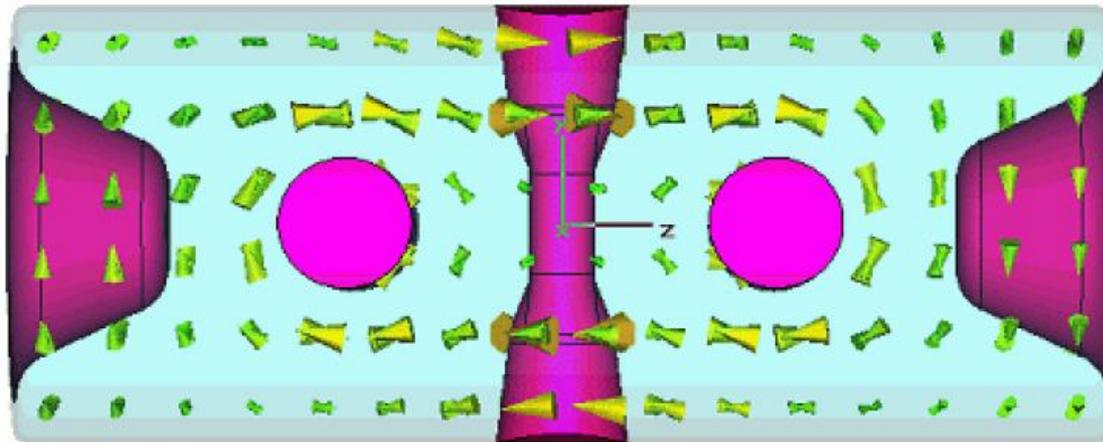
- **Small Size**
 - About half of TM cavity of same frequency
- Allows low frequency at reasonable size
 - Possibility of 4.2 K operation
 - High longitudinal acceptance
- Fewer number of cells
 - Wider velocity acceptance



350 MHz, $\beta = 0.45$

Features of Spoke Cavities

- **Strong cell-to-cell coupling in multi-spoke**
 - All the cells are linked by the magnetic field
 - Field profile robust with respect to manufacturing inaccuracy
 - No need for field flatness tuning
 - Closest mode well separated



Magnetic Field Profile: 352 MHz, $\beta=0.48$ (FZJ)

Features of Spoke Cavities

- **Accelerating mode has lowest frequency**

- No lower-order mode
- Easier HOM damping

3-spoke

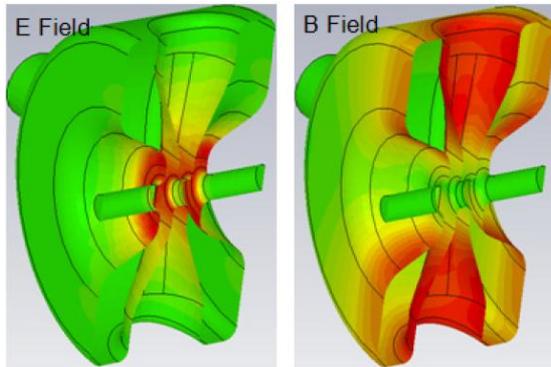
9-cell (TESLA)

Mode #	Freq. (MHz)	$\Delta f/f$ % of f_{ACC}	Freq. (MHz)	$\Delta f/f$ % of f_{ACC}
1	345		1275.6	1.7
2	365	5.7	1277.6	1.6
3	401	14	1280.7	1.4
4	442	28	1284.5	1.1
5	482	40	1288.5	0.8
6	519.7	51	1292.4	0.5
7	520.2	51	1295.5	0.2
8	534	55	1297.6	0.05
9	619	79	1298.3	
10	679	97		

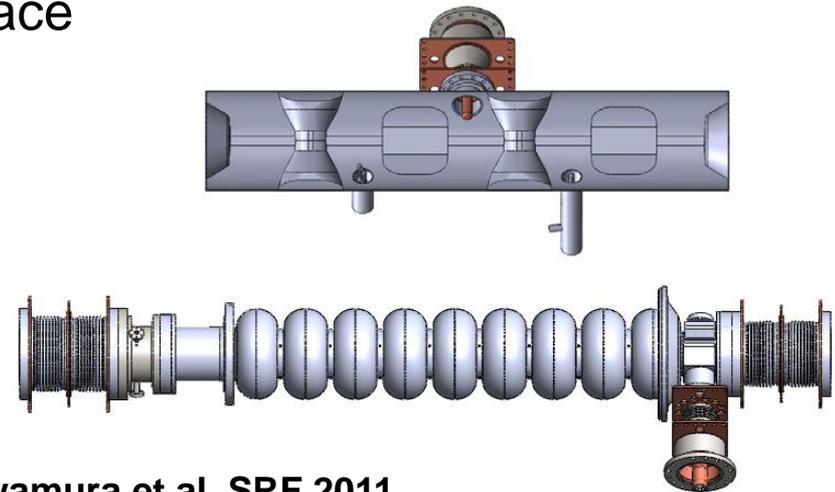
M. Kelly (ANL)

Features of Spoke Cavities

- **Electromagnetic energy concentrated near the spokes**
 - Low energy content
 - High shunt impedance
 - Low surface field on the outer surfaces
 - Couplers (fundamental and HOM) can be located on outer conductor
 - Couplers do not use beamline space



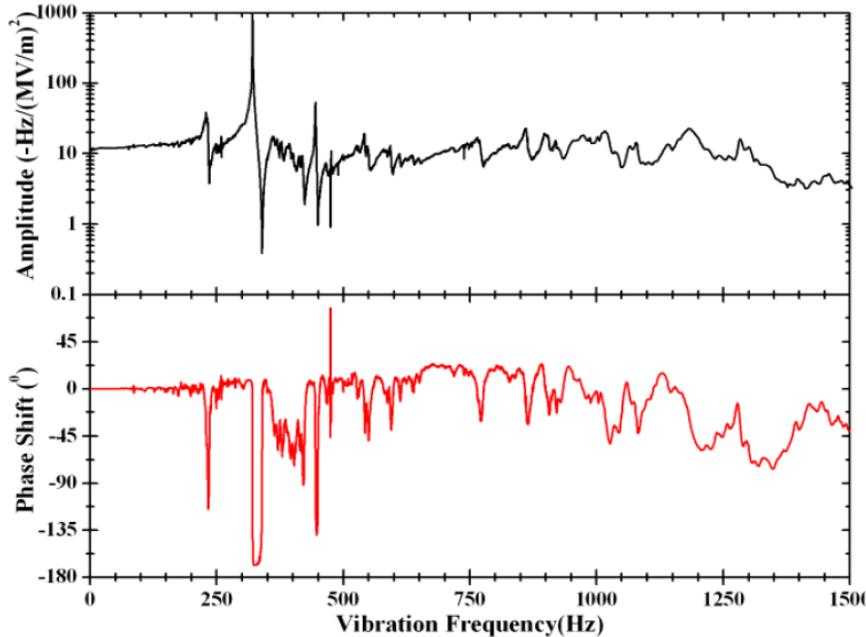
325 MHz, $\beta=0.17$ (FNAL)



M. Sawamura et al. SRF 2011

Features of Spoke Cavities

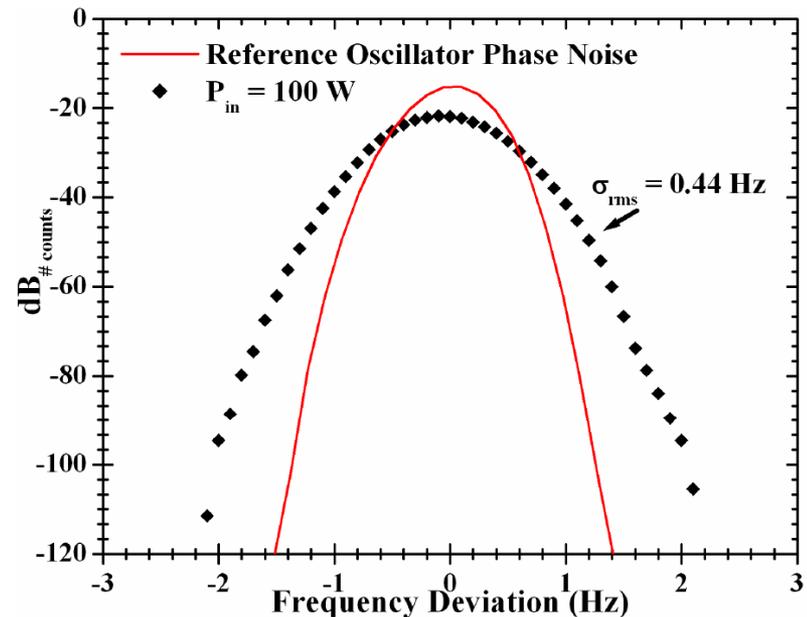
- Few mechanical modes, none at low frequency



345 MHz, $\beta=0.5$, triple-spoke
(Z. Conway, ANL)

- Low microphonics and sensitivity to helium pressure

$$df/dp = -0.4 \text{ Hz/mbar}$$



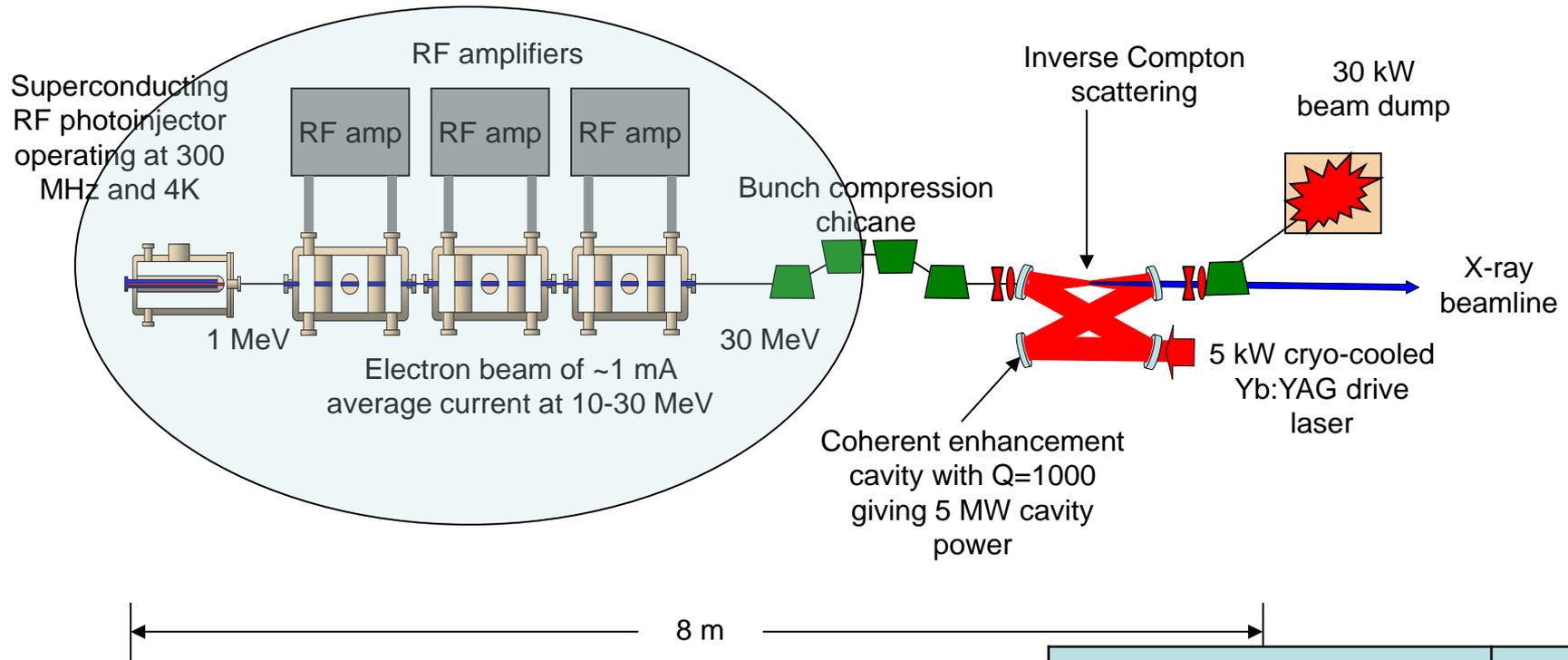
How High Can We Go with β_g in Spoke Cavities?

- What are their high-order modes properties?
 - Spectrum
 - Impedances
 - Beam stability issues
- Is there a place for spoke cavities in high- β high-current applications?
 - FELs, ERLs
 - Higher order modes extraction

Compact Light Sources

- Most existing SRF cavities require or benefit from 2K operation
 - Too complex for a University or small institution-based accelerator
 - Cryogenics is a strong cost driver for compact SRF linacs
- Spoke cavities can operate at lower frequency
 - Lower frequency allows operation at 4K
 - No sub-atmospheric cryogenic system
 - Significant reduction in complexity
- Similar designs for accelerating low-velocity ions are close to desired specifications

Compact Light Sources



MIT proposal

SRF Linac Parameters	
Energy gain [MeV]	25
RF frequency [MHz]	352
Average current [mA]	1
Operating temperature [K]	4.2
RF power [kW]	30

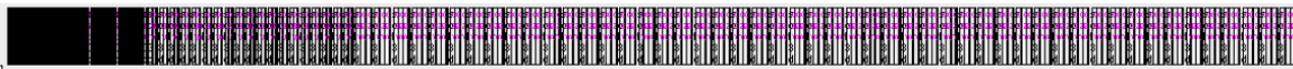
GeV-scale Proton LINAC

2.5 GeV Superconducting Single-Frequency Linac, pulsed current is 100 mA, $f=325$ MHz

- Input energy – 7 MeV
- 2 types of spoke cavities, length =48 m, 135 MeV



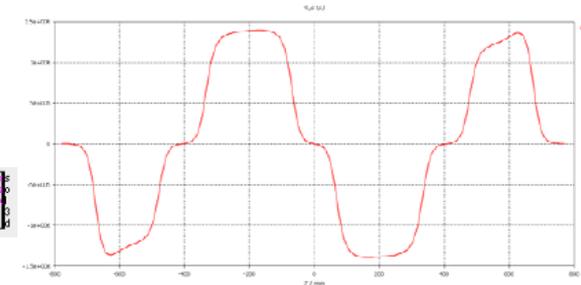
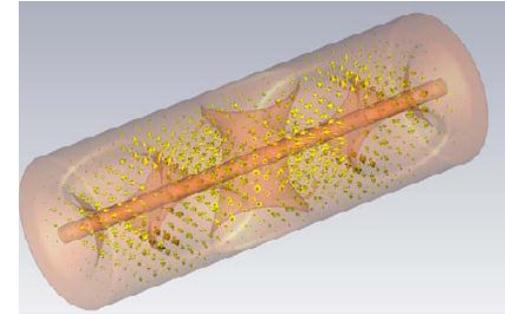
- 2 types of spoke cavities + 2 types of 3-spoke cavities, total length =480 m, 2.3 GeV (total = 250 SC cavities)



↑
TSR, $\beta=0.6$

↑
TSR, $\beta=0.87$

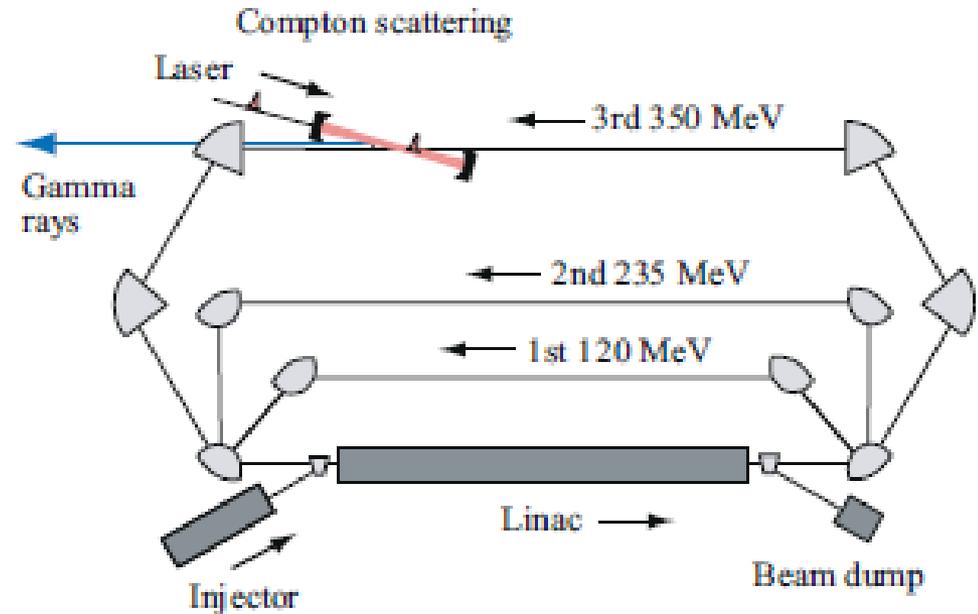
- Focusing with SC solenoids, eff. length = 20 cm, B =from 4T to 10.4T



- $f = 325$ MHz
- $\beta = 0.87$
- Length = 1.55 m
- Aperture diameter – 60 mm

Compact ERL (JAEA)

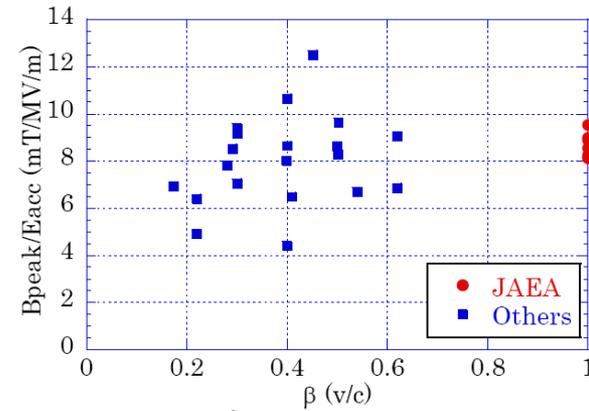
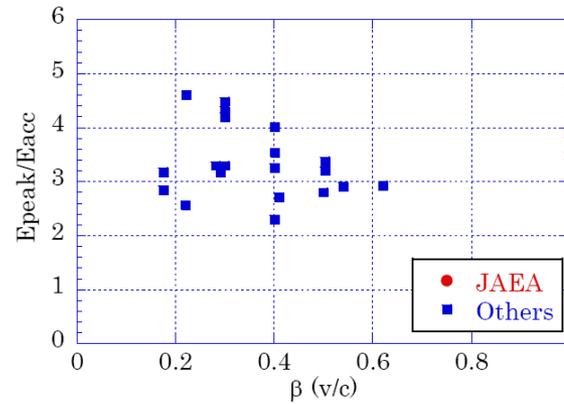
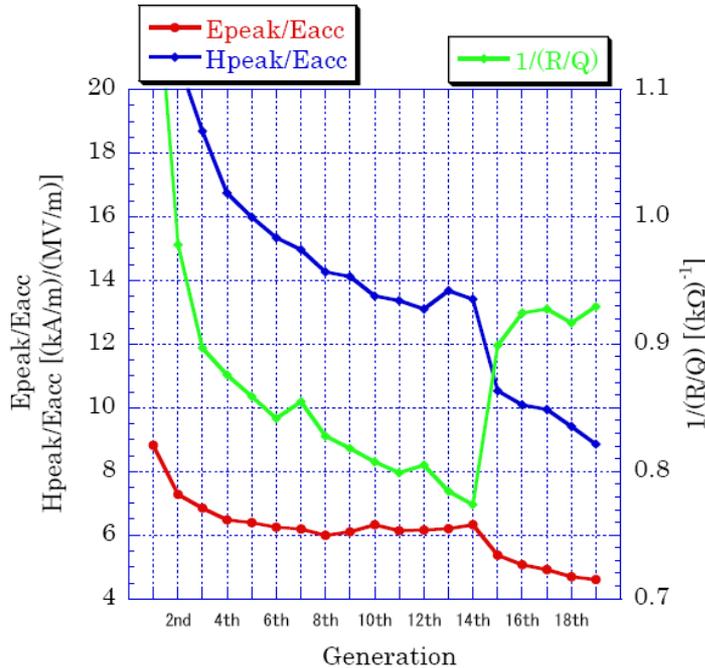
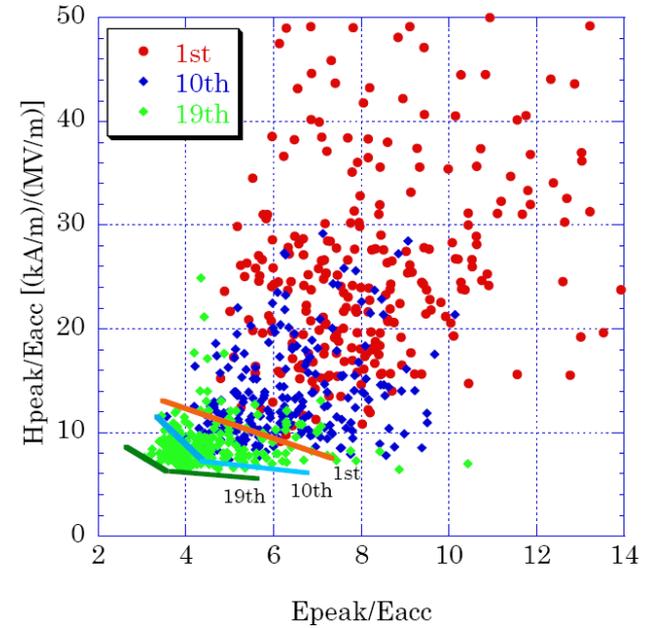
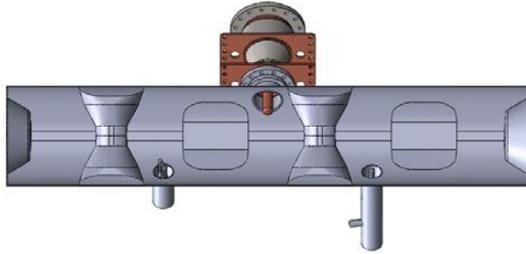
- ERL combined with laser Compton scattering for non-destructive assay system for nuclear materials in spent fuel



Nondestructive assay of plutonium and minor actinide in spent fuel using nuclear resonance fluorescence with laser Compton scattering γ -rays

Takehito Hayakawa ^{a,*}, Nobuhiro Kikuzawa ^{b,c}, Ryoichi Hajima ^c, Toshiyuki Shizuma ^a,
Nobuyuki Nishimori ^c, Mamoru Fujiwara ^{a,d}, Michio Seya ^e

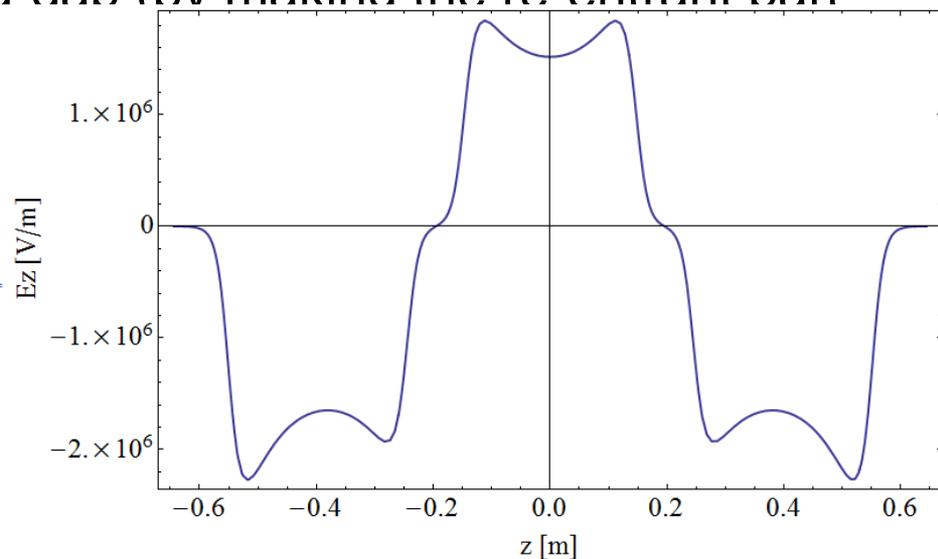
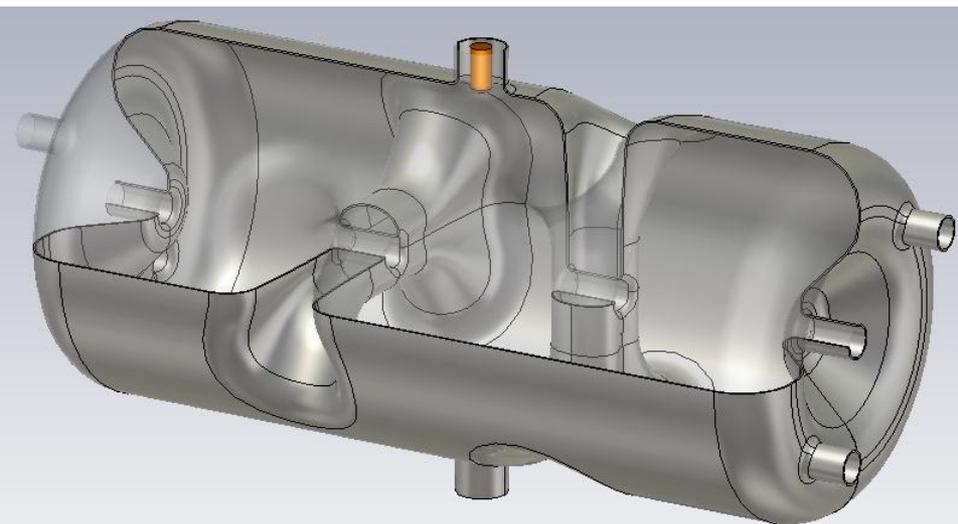
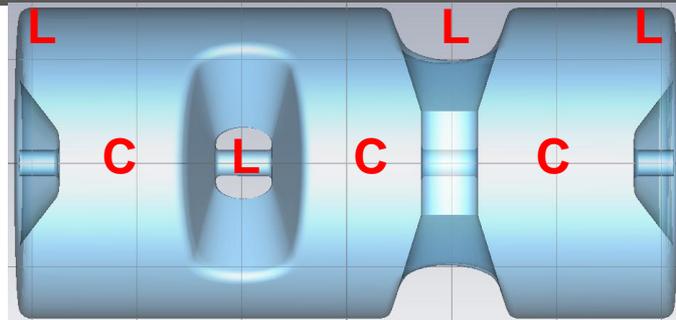
JAEA Tokai (650 MHz)



Masaru Sawamura et al.

JLab: Double spoke cavity RF design

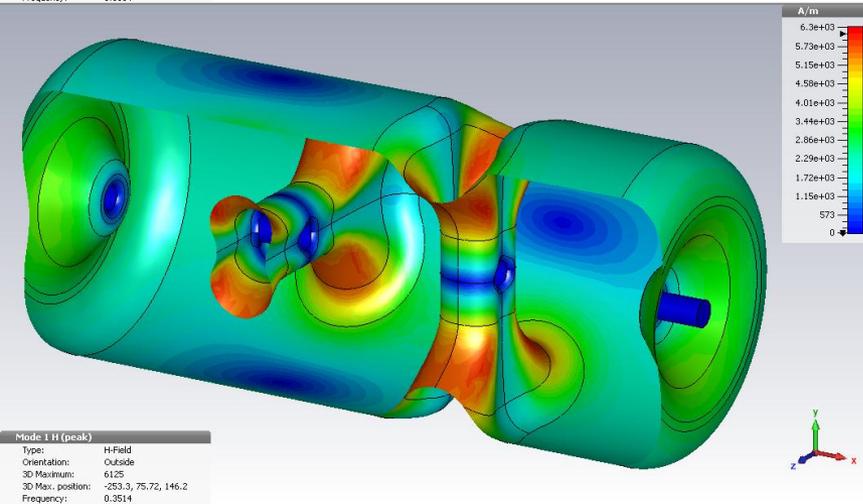
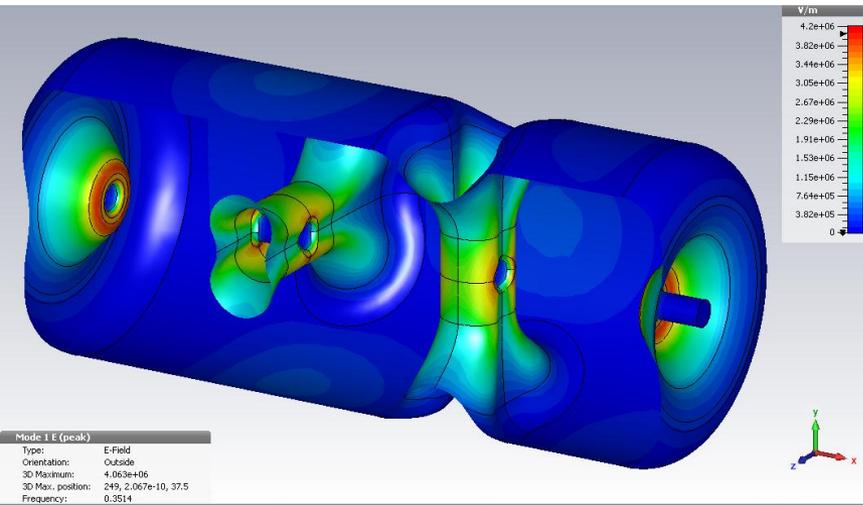
- Goal is to maximize G^*R/Q :
 - $C \downarrow$; $L \uparrow$; B field broad distributed
 - Longer and thinner spoke central part
 - Smaller end-cone radius
 - Larger spoke base in beam transverse direction
 - Make field stronger in the end-gap (by making the re-entrant part deeper)



Feisi He, JLab

Jlab: Cavity RF design (2)

- Key is to maximize $G \cdot Ra/Q$ to minimize dynamic heat load



JLAB 352 MHz Cavity Design	Spoke	Elliptical	
Frequency [MHz]	352	352	
Aperture diameter[mm]	50	170	
Lcavity (end-to-end) [mm]	1289 + 140	1277 + 300	
Cavity inner diameter [mm]	578	730	
Cavity weight (3mm wall) [kg]	111	99	
Ep/Ea	4.3 ± 0.1	2.26 ± 0.1	
Bp/Ea [mT/(MV/m)]	7.6 ± 0.2	3.42 ± 0.1	
Geometry factor [Ω]	179	283	
Ra/Q [Ω]	781	458	
Ra*Rs (=G*Ra/Q) [Ω ²]	1.40 x 10 ⁵	1.29 x 10 ⁵	
At Vacc = 8.5 MV and 4.5K. So Rbcs=48n Ω, and assume Rres=20n Ω	Ep [MV/m]	28.6 ± 0.9	15.0 ± 0.5
	Bp [mT]	50.3 ± 1.5	22.8 ± 0.7
	Max heat flux [mW/cm ²]	4.6	1.4
	Q ₀	2.6 x 10 ⁹	4.2 x 10 ⁹
	Power loss [W]	35	42.6
	Leff=1.5*β ₀ *λ [m]	1.2768	1.2768

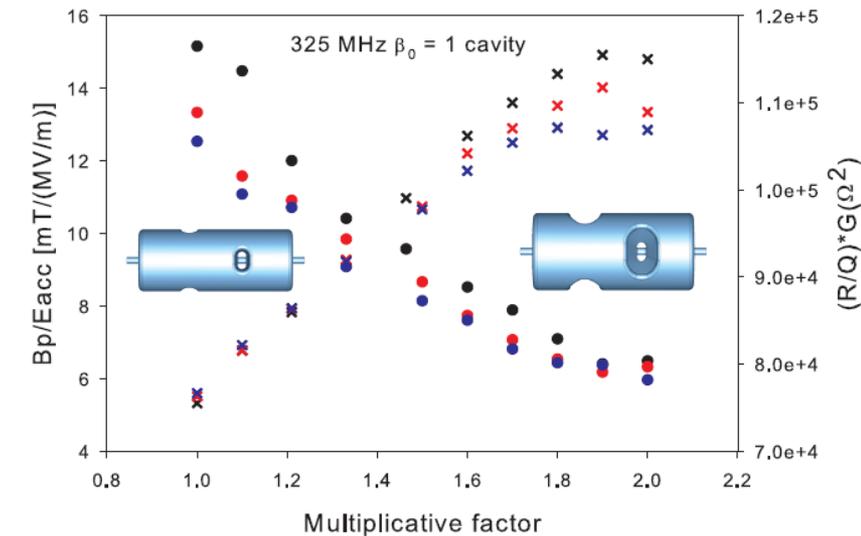
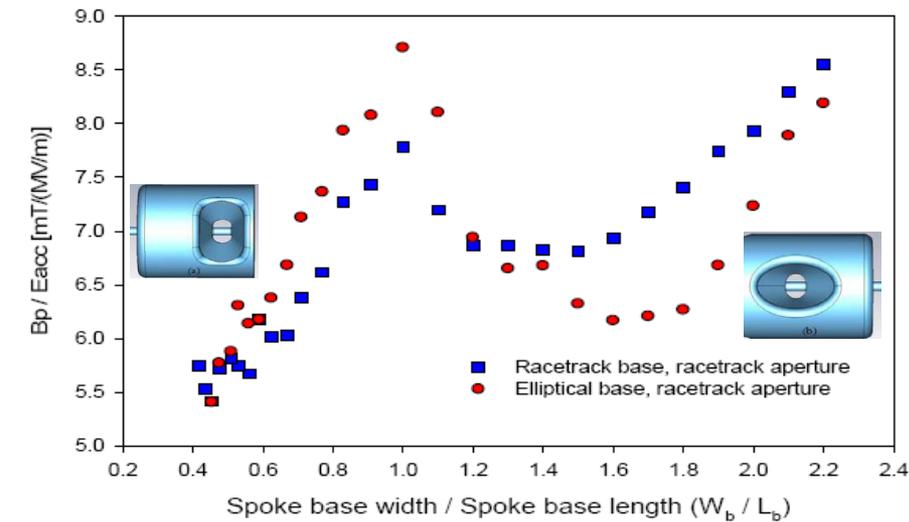
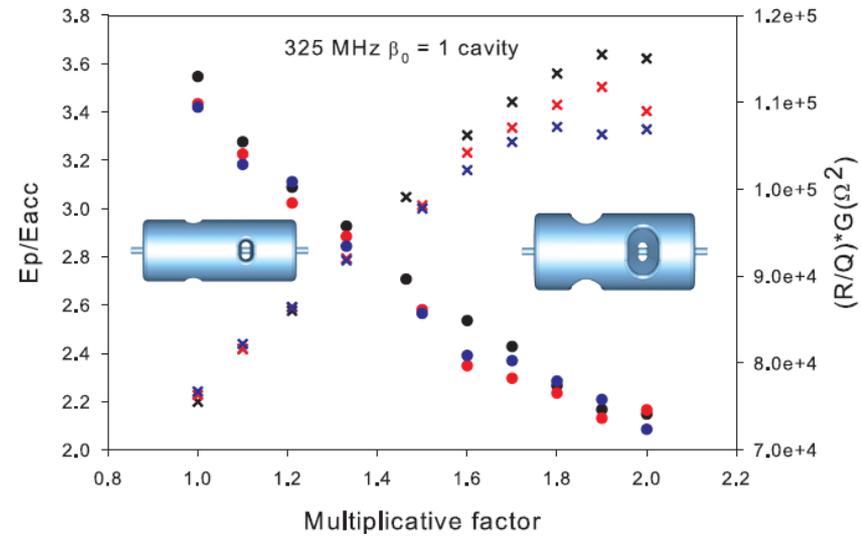
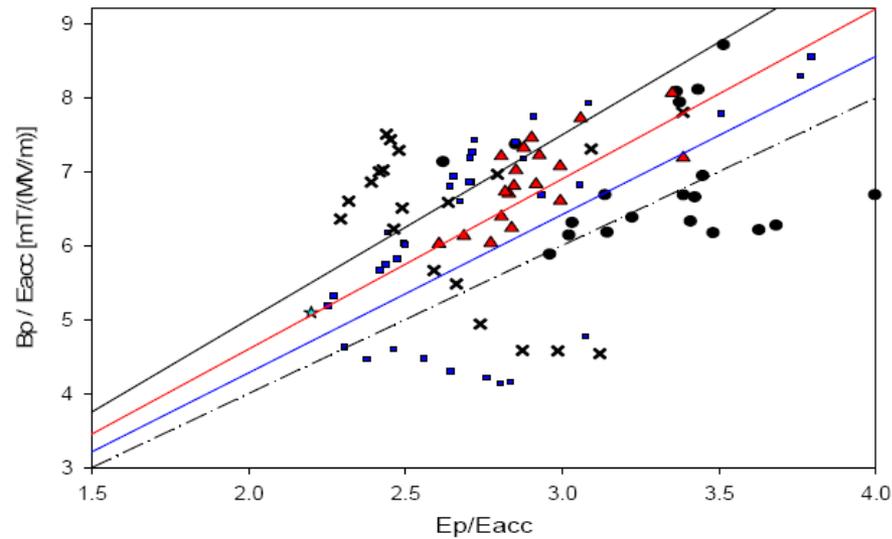
Old Dominion University

- 325 MHz, $\beta = 0.82$ and 1, single and double
 - Collaboration with JLab
- 352 MHz, $\beta = 0.82$ and 1, single and double
 - Collaboration with JLab
- 500 MHz, $\beta = 1$, double
 - Collaboration with Niowave
 - Collaboration with JLab
- 700 MHz, $\beta = 1$, single, double, and triple
 - Collaboration with Niowave, Los Alamos and NPS

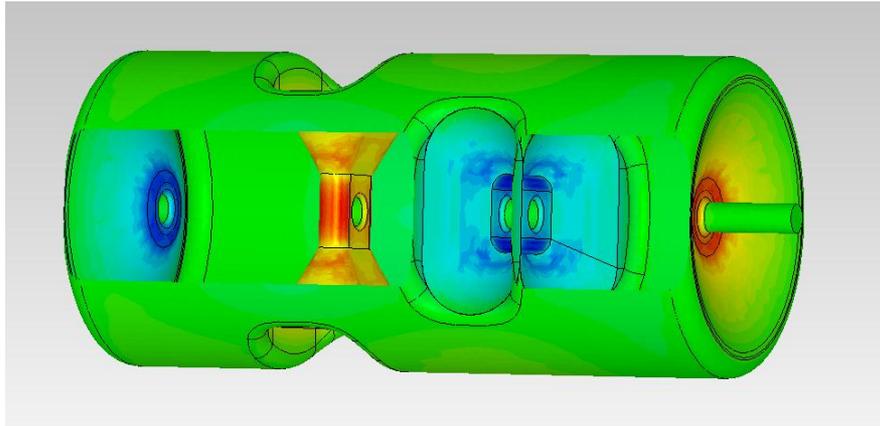
Designs by:
Chris Hopper
Suba De Silva
Rocio Olave



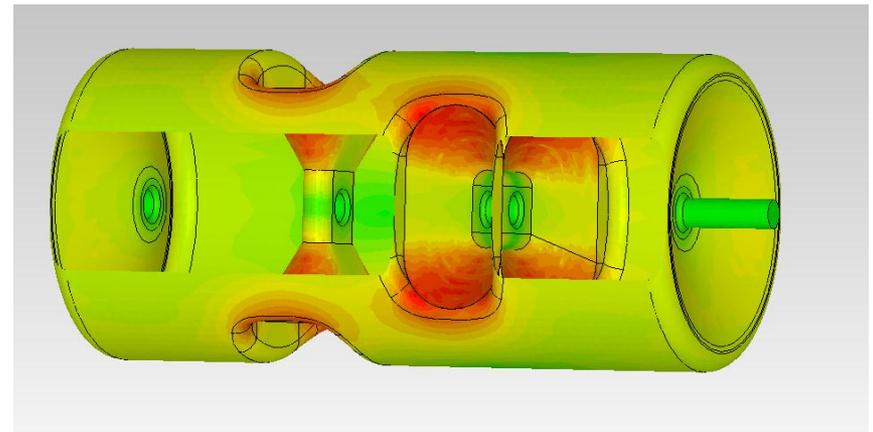
Design Optimization (a small sample)



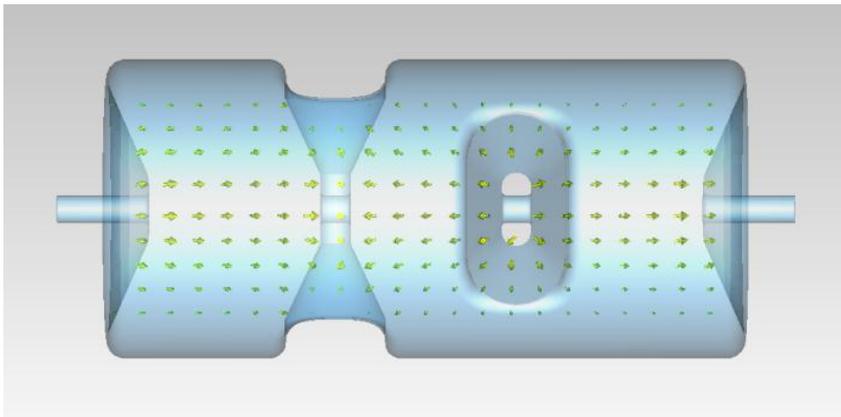
Double Spoke



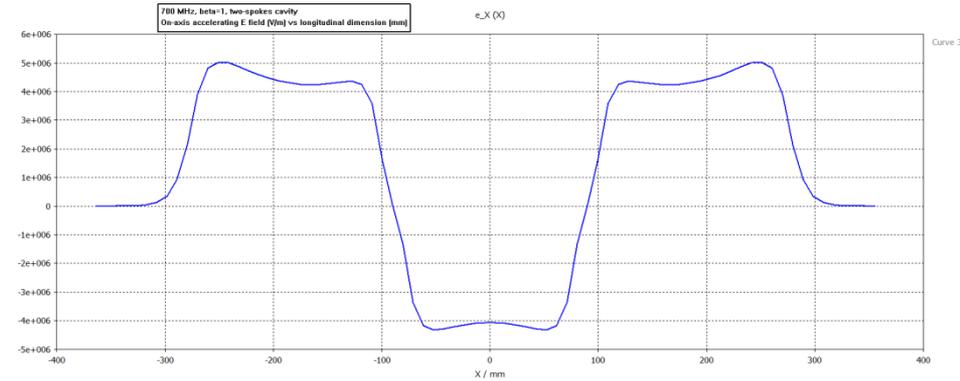
Surface Electric Field



Surface Magnetic Field



Electric Field



On Axis Electric Field

Cavity properties

Cavity Parameters	$\beta_0 = 0.82$	$\beta_0 = 1.0$	Units
Frequency of accelerating mode	325	325	MHz
Frequency of nearest mode	333	329	MHz
Cavity diameter	627	640	mm
Iris-to-iris length	949	1148	mm
Cavity length	1149	1328	mm
Reference length	757	922	mm
Aperture diameter at spoke	60	60	mm

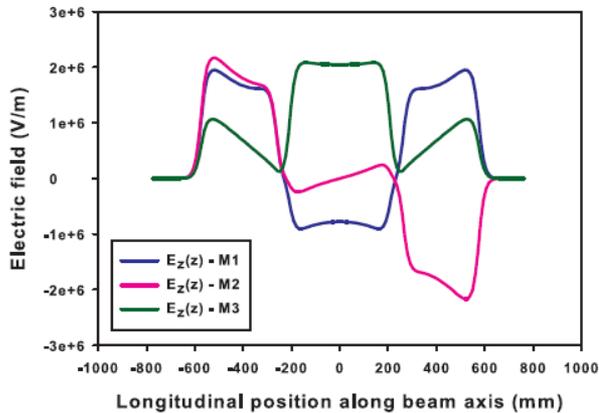
Cavity Parameters	$\beta_0 = 0.82$	$\beta_0 = 1.0$	Units
Frequency of accelerating mode	352	352	MHz
Frequency of nearest mode	361	357	MHz
Cavity diameter	563	595	mm
Iris-to-iris length	869	1059	mm
Cavity length	1052	1224	mm
Reference length	699	852	mm
Aperture diameter at spoke	50	50	mm

Cavity properties

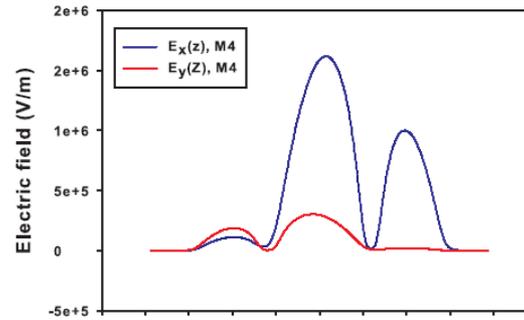
RF properties	325 MHz, $\beta_0 = 0.82$	325 MHz, $\beta_0 = 1.0$	352MHz, $\beta_0 = 0.82$	352 MHz, $\beta_0 = 1.0$	Units
	<i>Low Ep,Bp</i>	<i>High R</i>	<i>Low Ep,Bp</i>	<i>High R</i>	
Energy gain at β_0	757	922	699	852	kV
R/Q	625	744	630	754	Ω
QRs	168	195	169	193	Ω
(R/Q)*QRs	1.05×10^5	1.45×10^5	1.07×10^5	1.46×10^5	Ω^2
Ep/Eacc	2.6	2.8	2.7	2.75	-
Bp/Eacc	4.97	5.6	4.9	5.82	mT/(MV/m)
Bp/Ep	1.9	2.0	1.8	2.12	mT/(MV/m)
Energy Content	0.45	0.56	0.35	0.43	J
Power Dissipation*	0.37*	0.43*	0.33**	0.36**	W
At Eacc = 1 MV/m and reference length $\beta_0 \lambda$					
*Rs = 68 n Ω					
**Rs = 73 n Ω					

Mode types in two-spoke cavities

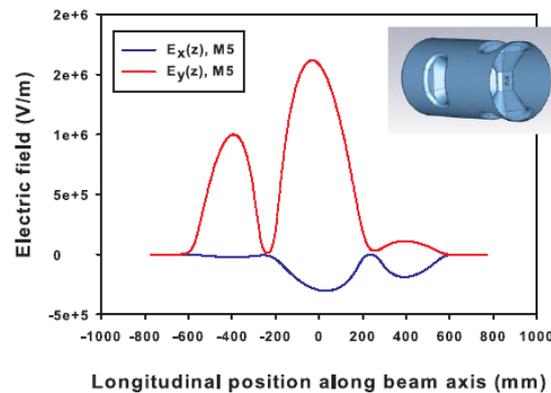
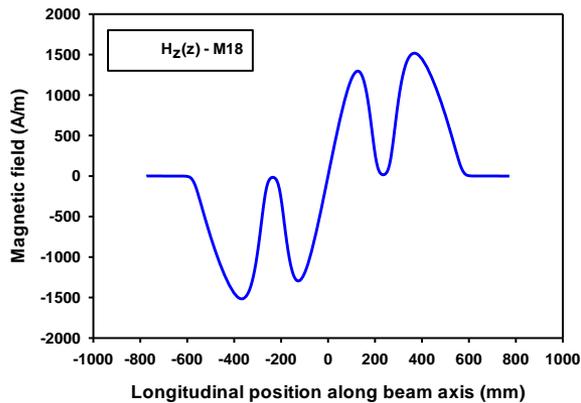
Accelerating modes



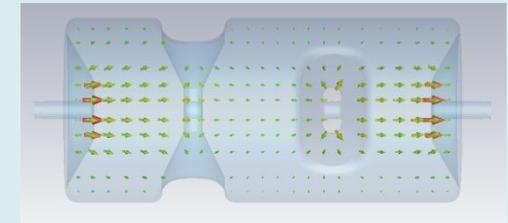
Deflecting (degenerate) modes



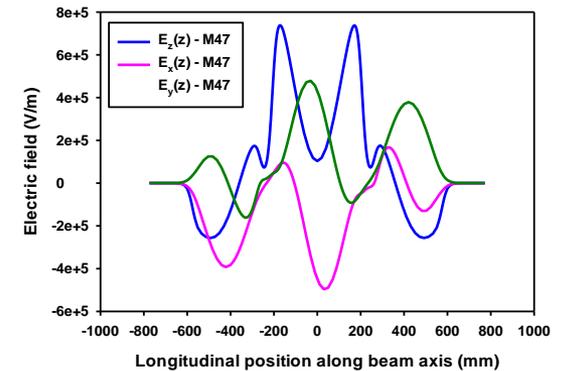
TE-type modes



Examples of modes for the 325 MHz cavity, $\beta=1$



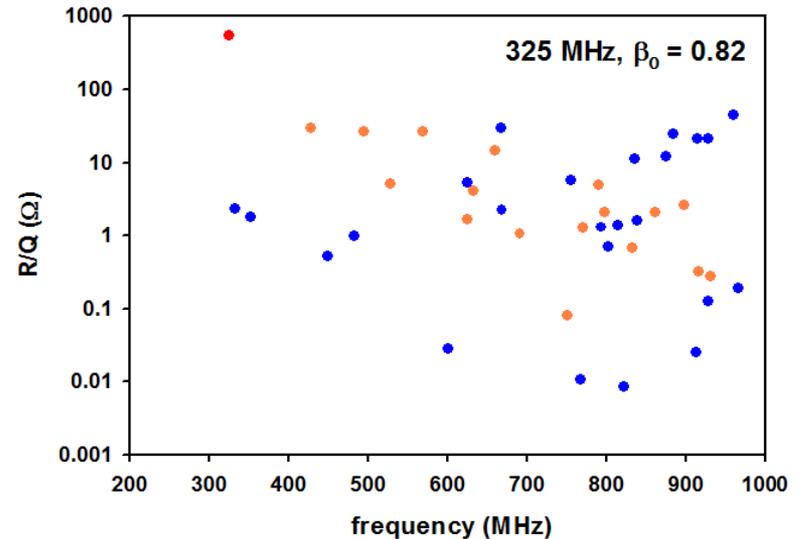
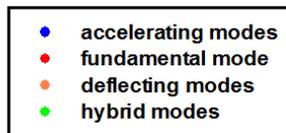
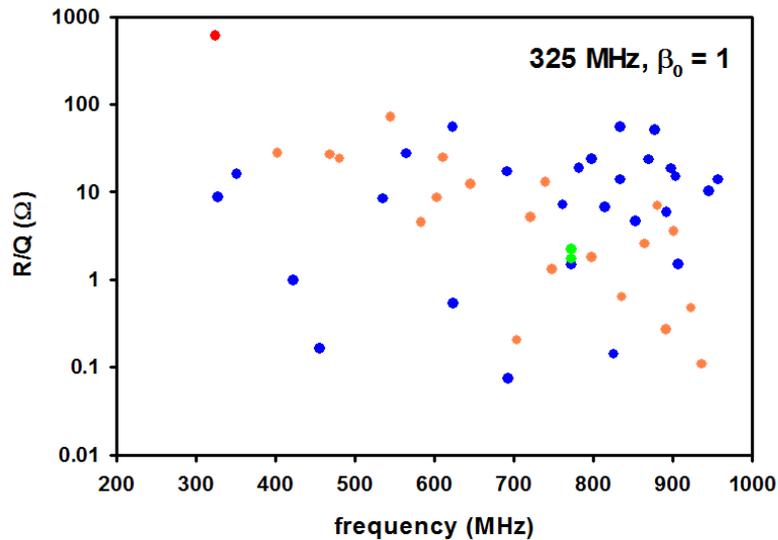
Hybrid modes



C. Hopper, R. Olave, ODU

R/Q values of HOMs

(R/Q) values for particles at design velocities
 $\beta_0=1$ and $\beta_0=0.82$ for the 325 MHz two-spoke cavity

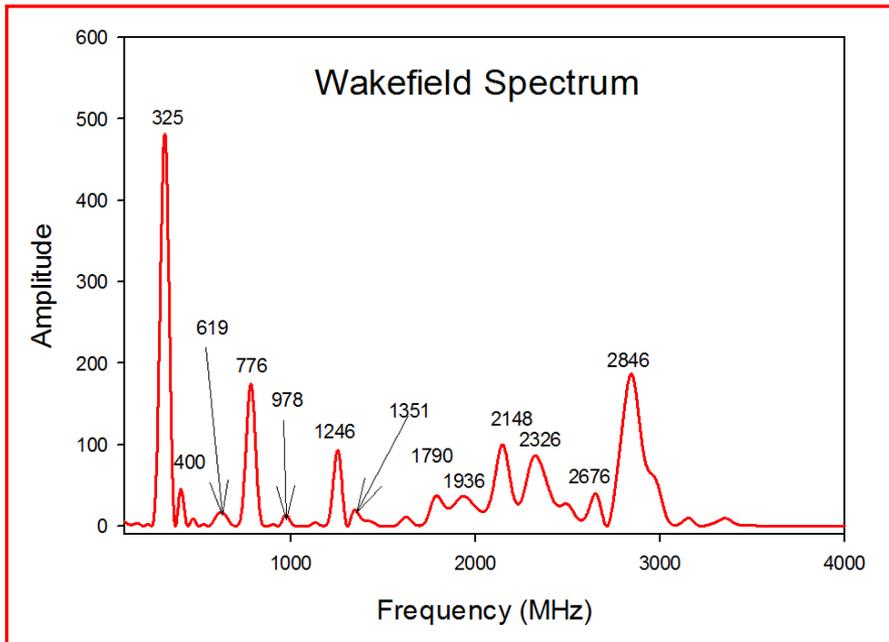


All HOMs have (R/Q)s significantly smaller values than the fundamental mode

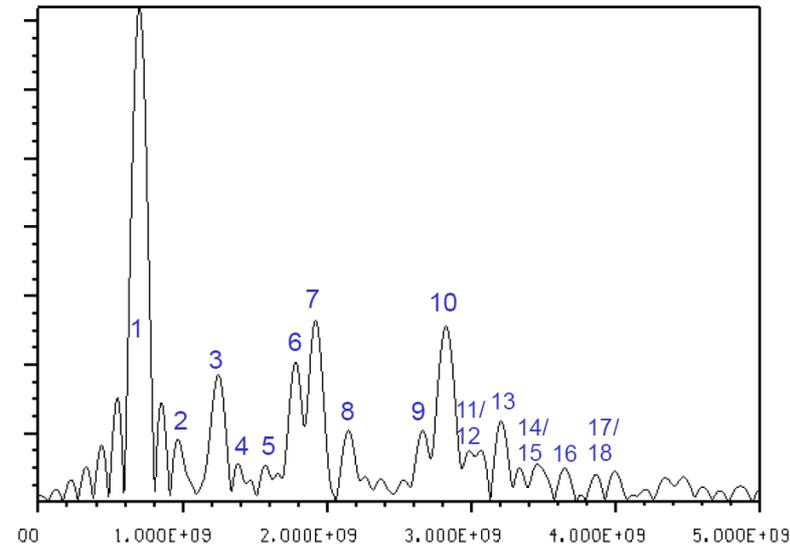
C. Hopper, R. Olave, ODU

Excitation of modes by a single bunch

Single Gaussian bunch, on-axis, $\sigma = 1$ cm
(bunch couples only to accelerating modes)



- 1: 700.6 MHz
- 2: 965.9 MHz
- 3: 1247.5 MHz
- 4: 1383.2 MHz
- 5: 1571.4 MHz
- 6: 1782.3 MHz
- 7: 1921.0 MHz
- 8: 2148.9 MHz
- 9: 2663.3 MHz
- 10: 2825.2 MHz
- 11: 2986.0 MHz
- 12: 3067.5 MHz
- 13: 3207.8 MHz
- 14: 3336.4 MHz
- 15: 3461.1 MHz
- 16: 3647.8 MHz
- 17: 3864.2 MHz
- 18: 3992.9 MHz



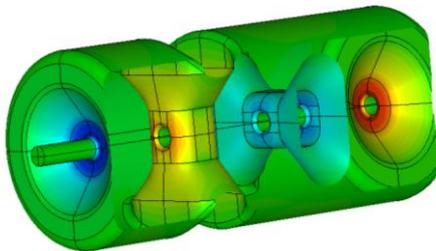
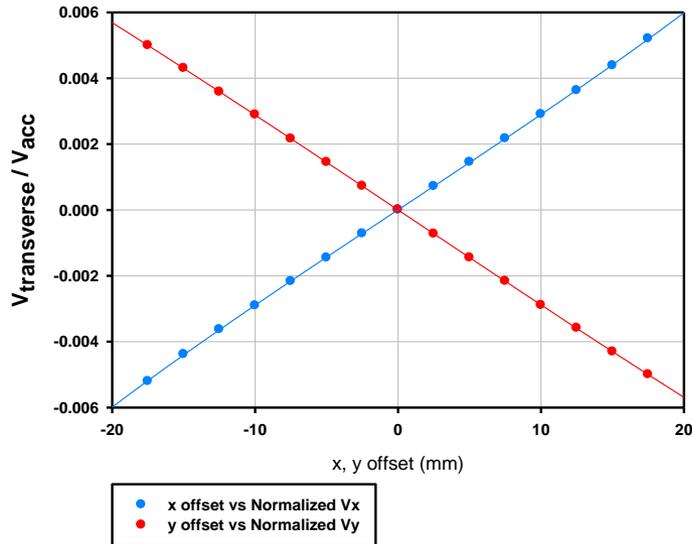
C. Hopper, ODU
ACE3P

F. Krawczyk, LANL
MAFIA

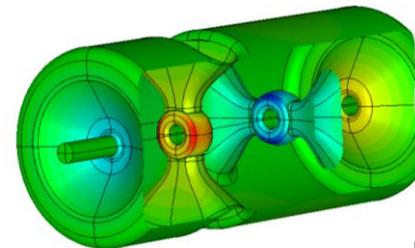
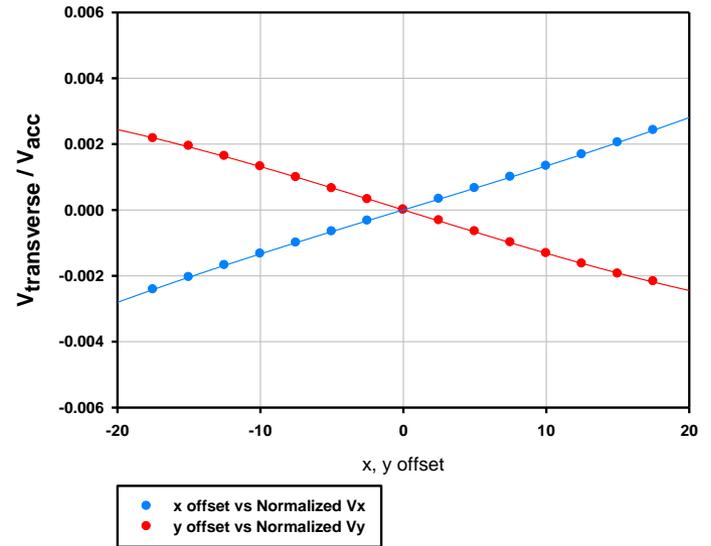
Multipoles

500 MHz, $\beta = 1$

Nonlinearities of field, 500 MHz cavity, racetrack spokes
(symmetric tet [quarter] mesh)



Nonlinearities of field, 500 MHz cavity, ring-shaped spokes
(symmetric tet [quarter] mesh)

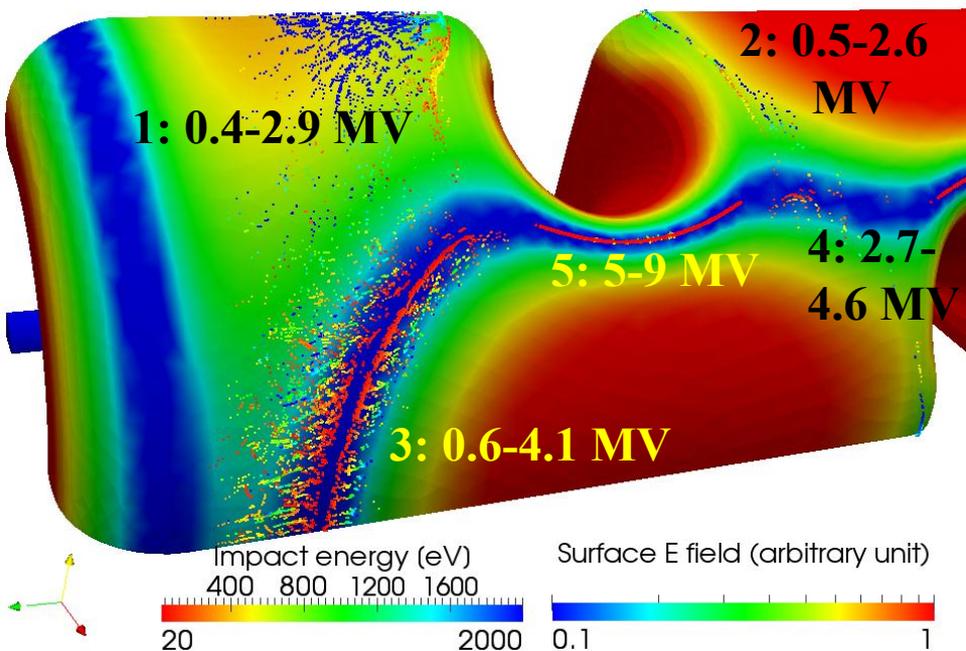


R. Olave, ODU

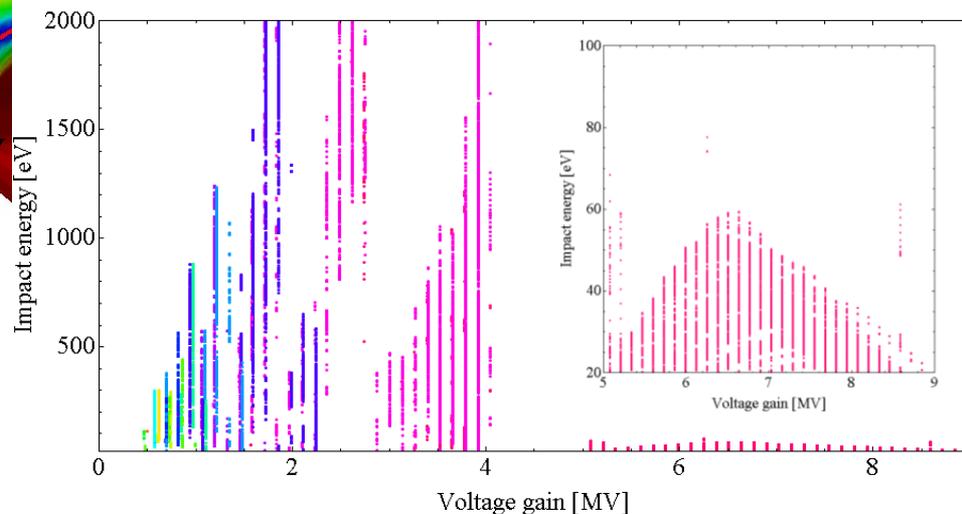
Prediction of multipacting (MP) level

- No stable MP with impact energy between 60 to 1000 eV
- 0.5 – 4 MV and 5 – 9 MV is likely to have MP in the first high power RF test
- Some field levels are especially dangerous when the surface is not clean:
 - 1.4 – 1.7 MV and 2.3 – 2.9 MV in zone 1
 - 1.5 MV, and 2.4 – 2.6 MV in zone 2
 - 1.4 – 2.2 MV and 2.8 – 4.1 MV in zone 3
 - 6 – 7 MV in zone 4
- Plasma cleaning may be used to process away the MP

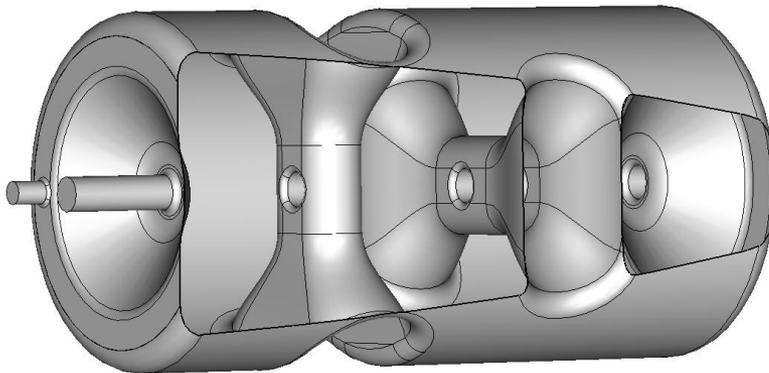
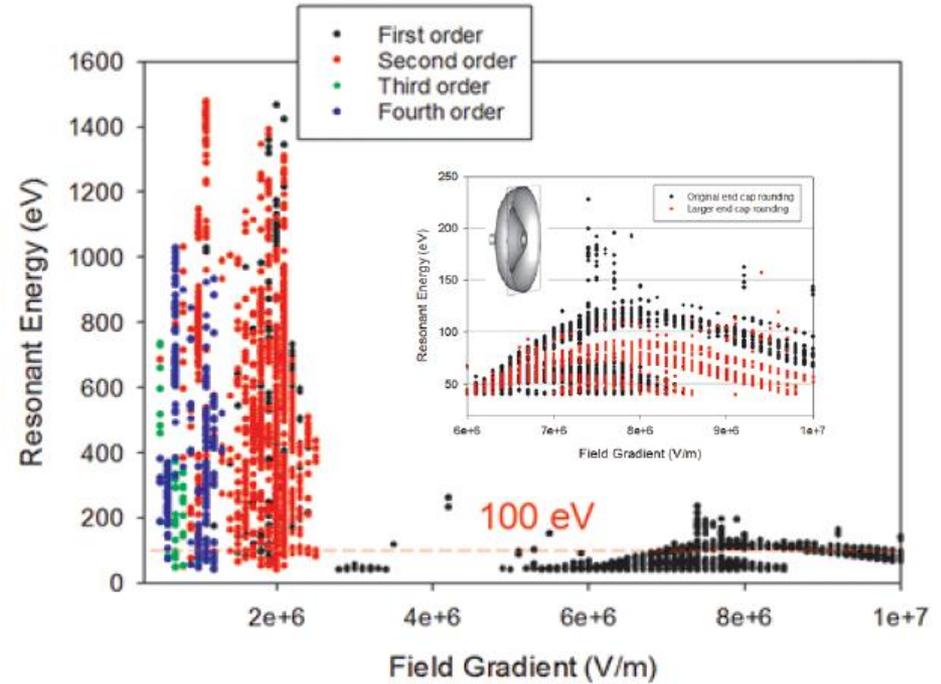
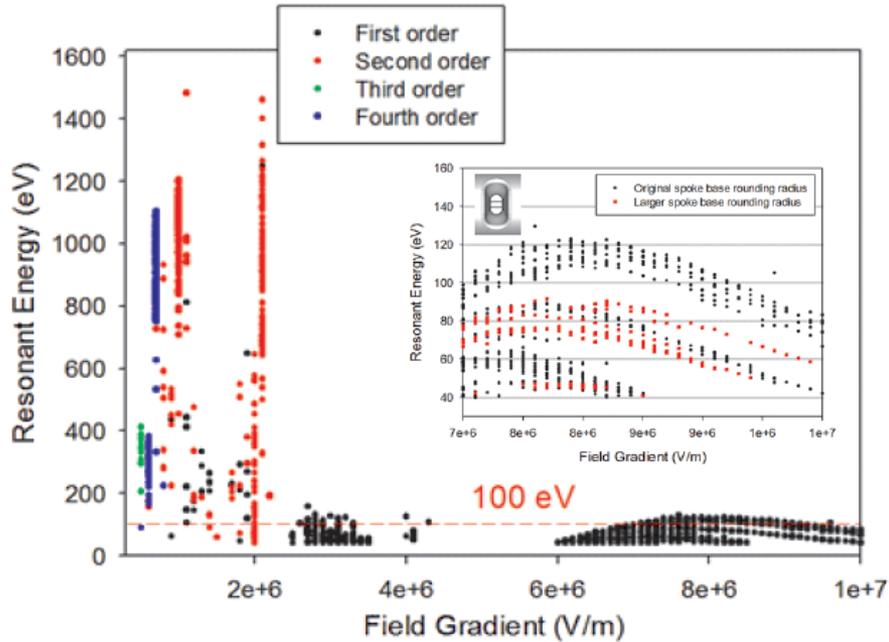
352 MHz, $\beta=1$
Feisi He, JLab



Surviving MP after 40 RF periods

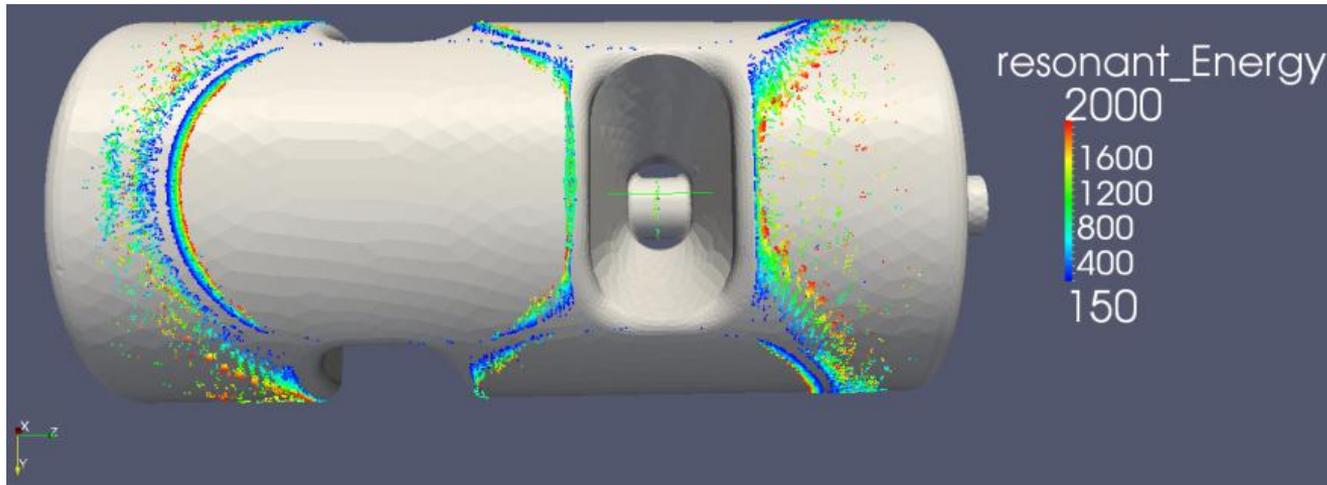


Multipacting



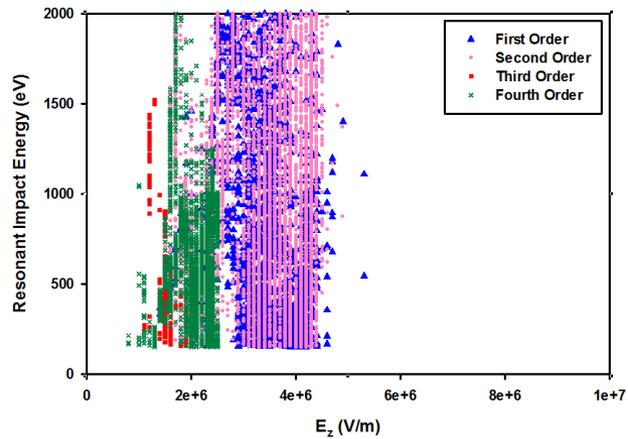
325 MHz, $\beta=0.82$
 ACE3P
 C. Hopper, ODU

Multipacting

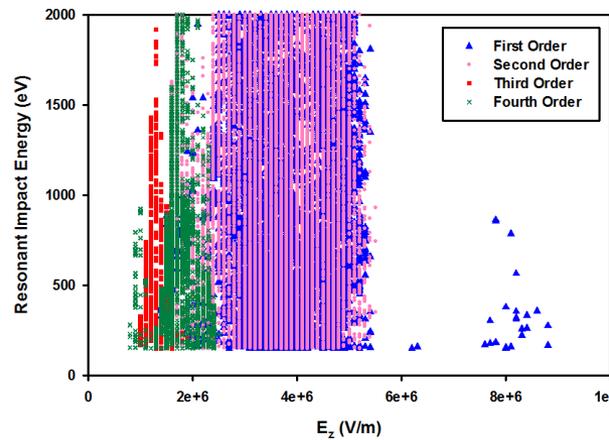


700 MHz, $\beta=1$
ACE3P
R. Olave, ODU

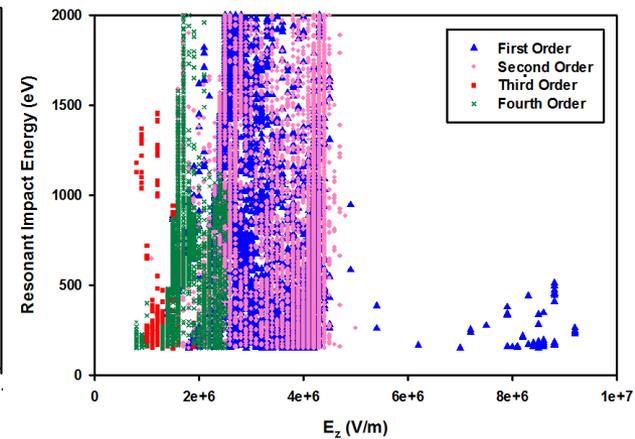
Resonant electrons from the End Caps



Resonant electrons from the Outer Conductor

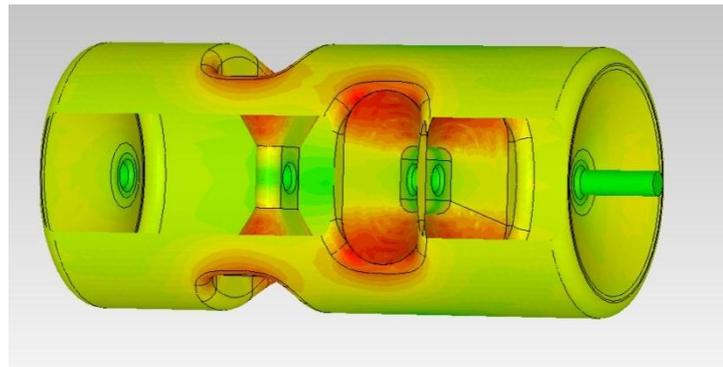
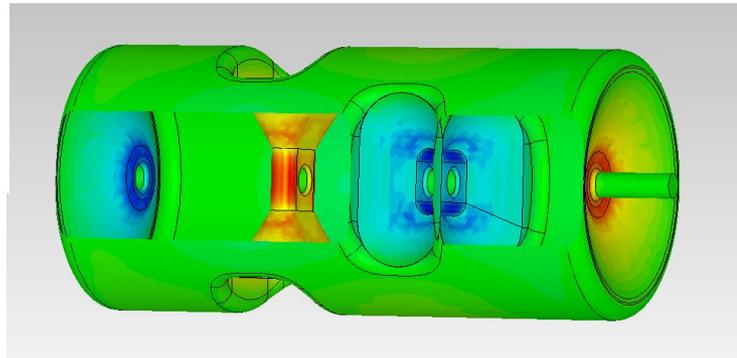
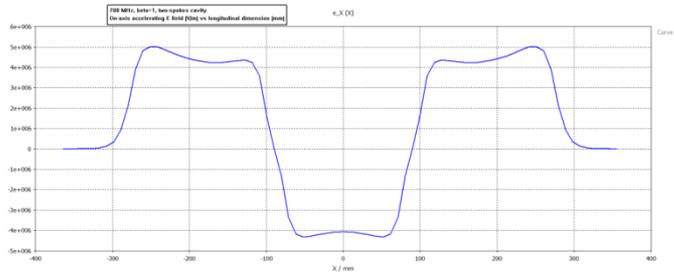


Resonant Electrons from the Right Spoke



700 MHz, $\beta=1$, double-spoke

Collaboration between Niowave, ODU, Los Alamos, NPS
Designed By ODU
Fabricated by Niowave



Parting Words

In the last 30+ years, the development of low and medium β superconducting cavities has been one of the richest and most imaginative area of srf

The field has been in perpetual evolution and progress

New geometries are constantly being developed

The final word has not been said

The parameter, tradeoff, and option space available to the designer is large

The design process is not, and probably will never be, reduced to a few simple rules or recipes

There will always be ample opportunities for imagination, originality, and common sense