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# Resonator Figures of Merit

J.P. Holzbauer, Ph.D.

USPAS – Applied Electromagnetism Lecture 3

1/26/2016

## Pillbox Cavity – All the Details

# Lecture Plan

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- Last time, we got to the basic field description of a pillbox cavity.
- This is the workhorse geometry, for reasons that will rapidly become evident.
- We're going to fully characterize this geometry, all the parameters that we'll need for later, and then move on to more complicated cavity geometries.

# Standing Waveguide Modes

- $E_z = E_0 J_m \left( j_{m,n} \frac{\rho}{R} \right) \cos \left( \frac{l\pi z}{L} \right) e^{-i\omega t - im\phi}$
- $E_\rho = -E_0 \frac{l\pi R}{j_{m,n} L} J'_m \left( j_{m,n} \frac{\rho}{R} \right) \sin \left( \frac{l\pi z}{L} \right) e^{-i\omega t - im\phi}$
- $E_\phi = -E_0 \frac{iml\pi R^2}{\rho j_{m,n}^2 L} J_m \left( j_{m,n} \frac{\rho}{R} \right) \sin \left( \frac{l\pi z}{L} \right) e^{-i\omega t - im\phi}$
- $B_\rho = E_0 \frac{m\omega R^2}{c^2 \rho j_{m,n}^2 L} J_m \left( j_{m,n} \frac{\rho}{R} \right) \cos \left( \frac{l\pi z}{L} \right) e^{-i\omega t - im\phi}$
- $B_\phi = E_0 \frac{i\omega R}{c^2 j_{m,n}} J'_m \left( j_{m,n} \frac{\rho}{R} \right) \cos \left( \frac{l\pi z}{L} \right) e^{-i\omega t - im\phi}$
- Note the change in the dispersion curve! No longer continuous with all frequencies allowed.

- $$\omega_{m,n,l} = \sqrt{\left[ \left( \frac{cl\pi}{L} \right)^2 + \left( \frac{cj_{m,n}}{R} \right)^2 \right]}$$

# Pillbox Cavity

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- You can repeat all this for TE modes, but we want longitudinal electric fields for acceleration!
- Pick the lowest frequency, simplest mode:  $TM_{010}$
- $B_\rho = E_\rho = E_\phi = 0$  and  $j_{m,n} = 2.405$
- $E_z = E_0 J_0 \left( \frac{2.405\rho}{R} \right) e^{-i\omega t}$
- $H_\phi = \frac{E_0}{\eta} J_1 \left( \frac{2.405\rho}{R} \right) e^{-i\omega t} e^{\frac{i3\pi}{2}}$  with  $\eta = \sqrt{\frac{\mu_0}{\epsilon_0}} \cong 376.7 \Omega$  is the impedance of free space.
- $\omega_{010} = \frac{2.405c}{R}$  Note: only depends on radius, not length!

## Now we.... Wellll..... First thing's first. RF Losses!

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- Now it's unavoidable, how is power dissipated in a metallic surface?
- We proved that the skin depth was related to the conductivity and frequency:  $\delta^{-1} = \sqrt{\pi f \mu_0 \sigma}$
- This came from solving for the fields in a metallic layer as it screened the imposed fields, and we did it with the Electric Field:  $E_z = E_0 e^{-\tau_n x}$  where  $\tau_n = \sqrt{i\omega\sigma\mu_0}$  (the real part of this gives the skin depth)
- We want the surface resistance, which is the real part of the surface impedance.

# Surface Resistance – Normal Conducting Materials

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- First, need the total current:  $I = \int_0^{\infty} j_z(x) dx = \int_0^{\infty} j_0 e^{-\tau_n x} dx = j_0 / \tau_n$
- So, Impedance  $Z_S = \frac{E_0}{I} = \frac{\tau_n}{\sigma} = \frac{\sqrt{i\omega\mu_0\sigma}}{\sigma} = R_S + iX_S$
- Turn the crank:  $R_S = \sqrt{\frac{\pi\mu_0 f}{\sigma}} = \frac{1}{\sigma\delta}$
- Two things to note:
  - Highly conducting materials, low  $R_S$  ( $\sim m\Omega$ ), good!
  - $R_S \propto f^{\frac{1}{2}}$  Increases with frequency, but not quickly.

# Surface Resistance – Superconducting Materials!

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- Some materials, when cooled below a certain ‘transition’ temperature lose their DC resistance.
- Technically they are even better than a ‘perfect conductor’ because upon transition, they expel magnetic field instead of trap it.
- Most common superconducting material for cavities (but not only!) is niobium (9.2 K)
- However, no free lunch. While DC resistance is zero, RF resistance is merely very, very small (electrons still have mass, after all)

# Surface Resistance – Superconductivity!

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- The physics of this is very different than normal metals:
  - Surface resistance is now determined by a far more complex physical process, modeled by BCS theory:
    - $R_{BCS} = \frac{2^{-4} C_{RRR}}{T} \left( \frac{f}{1.5} \right)^2 e^{-\frac{17.67}{T}}$ 
      - $f$  is in GHz
      - $T$  is in Kelvin
      - $C_{RRR}$  varies from 1 to 1.5 depending on material purity
    - Even worse! High magnetic fields (the thing we'll be applying to the cavity) break the superconducting state.
    - If the superconductivity is broken in one place, it reverts to a normal conducting metal, and the dissipated power there will almost certainly rapidly heat the rest of the cavity above the transition temperature.

# Superconducting Practicalities

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- Runaway is called a quench, and it's a bad thing.
- Peak surface magnetic field matters quite a bit for superconducting applications, often totally dominating design
- The real surface resistance, what's achievable, is actually a combination of effects:
- $R_S = R_{BCS} + R_{res}$  where  $R_{res}$  is a combination of many factors
  - Impurities on the cavity surface
  - Adsorbed gasses
  - Ambient magnetic field trapped during cooldown
  - Many more
- Modern processing techniques can achieve  $R_S = 10n\Omega$  reliably in most applications, and sometimes  $< 1n\Omega$  in certain circumstances (real cavities, though!).

# Moar superconducting...

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- Last take away points:
  - $R_{s,SRF} \propto f^2$  Pushes applications to lower frequency
  - Complex dependence on temperature, but lower is almost universally better (from a performance point of view, not cost!)
  - Achieving the best performance is very labor/infrastructure/cost intensive. Just ask LCLS-II! Or ILC! Or XFEL! Or CEBAF!
- I'll spare you the math, but the equivalent skin depth for this application is about  $350\text{\AA}$ .
- Also, remember your Carnot:  $\eta_c = \frac{T_c}{T_H - T_C}$ , and operating at  $4K$ , we get  $\eta_c = 0.013$ . We save six orders of magnitude on  $R_s$  but lose three because of the temperature. We gain efficiency, but pay for it in complexity.
- Full comparison of the materials later.

# Pillbox, for real this time.

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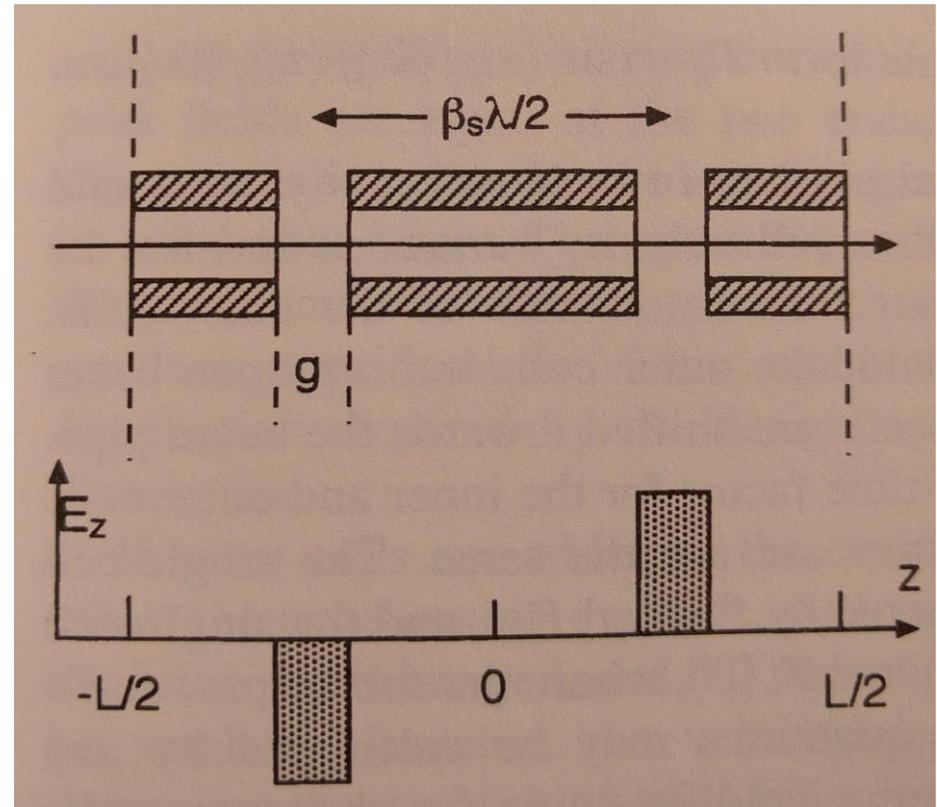
- What quantities do we care about?
  - Accelerating Voltage
  - Stored Energy
  - Peak Surface Fields
  - Efficiency of storing energy
  - Efficiency of transferring energy to the beam
- Peak Fields are obviously defined.
- Let's tackle the others in detail.

# Accelerating Voltage

- Got a good taste of this in the homework
- Generalizing to two gaps, 180 degrees out of phase:

- $$T = \frac{\sin\left(\frac{\pi g}{\beta\lambda}\right)}{\frac{\pi g}{\beta\lambda}} \sin\left(\frac{\pi\beta_s}{2\beta}\right)$$

- Similar, but with an extra factor of synchronization between the gaps
- Model as  $T = T_g S\left(N, \frac{\beta_s}{\beta}\right)$



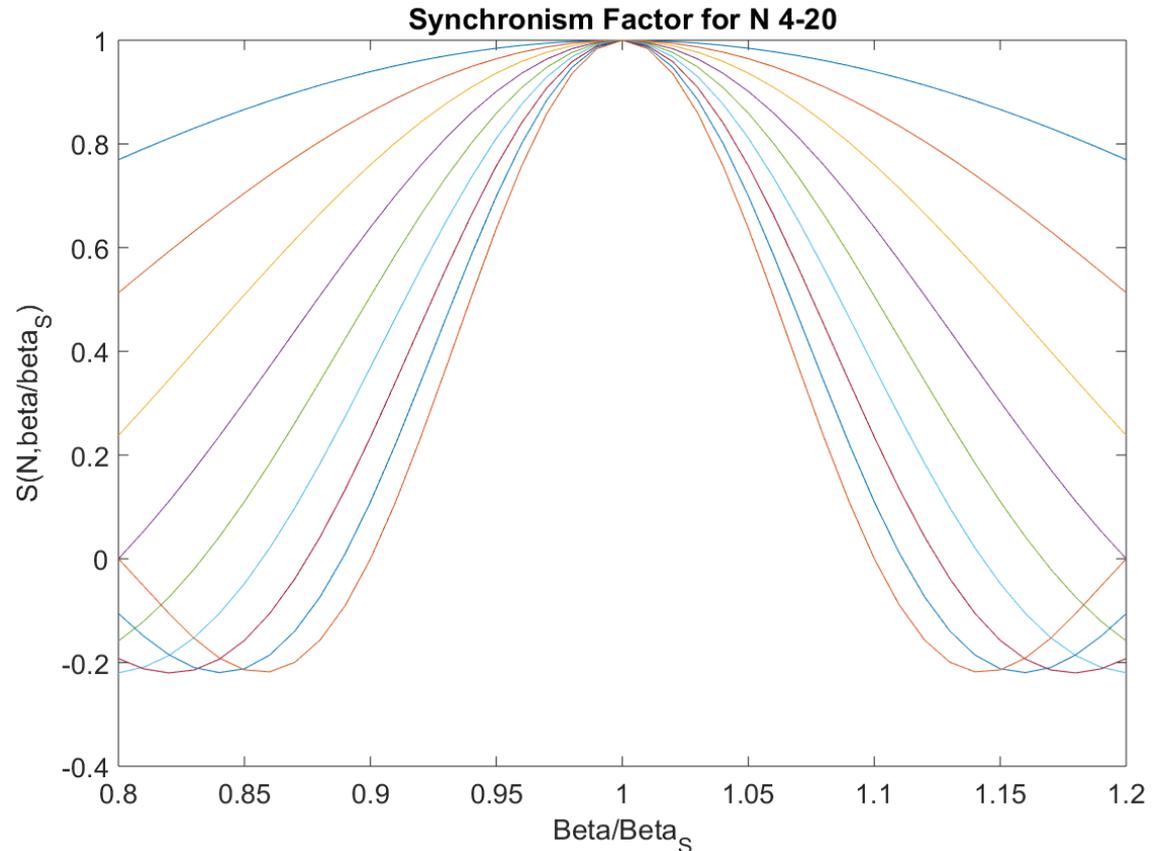
# Gap Synchronism

Plotted is the synchronism factor for 20% error in  $\beta$  for gaps ranging from 4 to 20.

Larger number of gaps have smaller velocity acceptance.

Machine parameters drive design here, heavy ion v electrons, for instance.

For wide range of  $\beta$ , multiple cavity types may be needed.



# Effective Length – A Warning

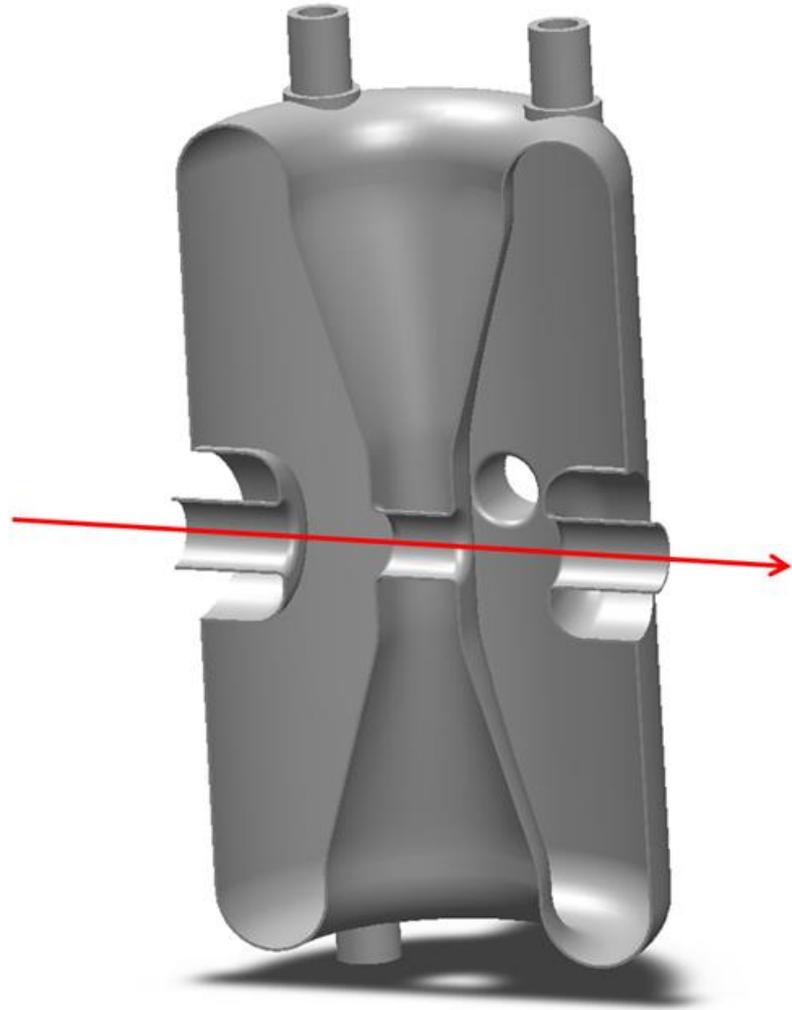
One Last Comment:

An often quoted figure of merit is the Accelerating Electric Field:  $E_{acc} = \frac{V_{acc}}{L}$

While pillbox-style cavities are relative easy to determine the length, more complex geometries are more open to interpretation.

$V_{acc}$  is unambiguous.

Pillbox:  $E_{acc} = \frac{V_{acc}}{L} = \frac{2E_0}{\pi}$



# Stored Energy

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- We stated earlier:  $u = \frac{1}{2} \left( \epsilon_0 \vec{E}^2 + \frac{1}{\mu_0} \vec{B}^2 \right)$
- So it follows that  $U = \int_V \frac{1}{2} \left( \epsilon_0 \vec{E}^2 + \frac{1}{\mu_0} \vec{B}^2 \right) dV$
- While this is generally true, we can choose a time where this calculation is easier. Choose time such that the electric fields are zero and magnetic fields are maximized.
- So,  $U = \int_V \frac{1}{2} \left( \frac{1}{\mu_0} \vec{B}^2 \right) dV$
- Generally, this is done for you in simulation. For a pillbox, this can be done analytically.
- $U = E_0^2 \pi L \epsilon_0 \int_0^R \rho J_1^2 \left( \frac{2.405 \rho}{R} \right) d\rho = \frac{\pi \epsilon_0 E_0^2}{2} J_1^2(2.405) L R^2$

# Peak Surface Fields

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- We want to calculate the peak surface fields.
- $E_{pk} = E_0$  is easy.
- Maximizing magnetic field on the end wall:
- $B_{pk} = \frac{E_0}{c} J_1(1.84) = \frac{E_0}{c} 0.583$  or where  $\rho = 0.77R$
- But what we also want are normalized quantities.
- $\frac{B_{pk}}{\sqrt{U}}$ ,  $\frac{E_{pk}}{\sqrt{U}}$  and, by extension,  $\frac{V_{acc}}{\sqrt{U}}$
- These quantities can be scaled nicely, and are less prone to change during optimization of unrelated features.
- Speaking of, that last one seems quite useful...

# Shunt Impedance

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- Remember, we want a quantity that can be used to judge the efficiency of transferring the stored energy to the beam.
- The (effective) shunt impedance is defined as:
- $\frac{R}{Q} \stackrel{\text{def}}{=} \frac{V_{acc}^2}{\omega U}$  which is the ratio of the accelerating voltage squared and the reactive power in the cavity (in the equivalent circuit).
- This is a purely geometric factor that is very useful in describing the accelerating efficiency of a cavity geometry.
- Other definitions of this may not include the TTF, or may have a factor of two for historical reasons, so watch out.
- Note that this does not scale with frequency. You can directly scale a geometry to a different frequency, and this will stay the same. Very useful.

## Shunt Impedance 2

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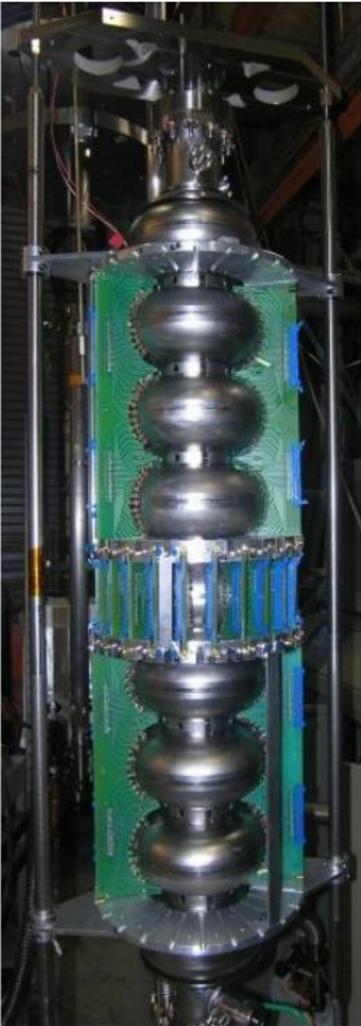
- $\frac{R}{Q} = 150 [\Omega] \frac{L}{R} = 196\beta [\Omega]$
- Linear with optimum particle velocity! Higher frequencies are better.
- Makes sense,  $U$  scales like  $L$ , but so does  $V_{acc}$ .

# Quality Factor

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- A standard metric for how efficiently a resonator stores energy is the quality factor.
- This is a quantity related to the number of cycles it would take to dissipate a given amount of stored energy.
- $Q_0 = \frac{\omega U}{P_d}$  But this means that we need a definition of  $P_d$
- Fortunately, we've done the ground work:
- $P_d = \frac{1}{2} R_s \int_S |\vec{H}|^2 dA$  Integrated over the cavity walls
- Note the implicit assumption, that surface resistance is uniform over the entire cavity! Probably not the greatest assumption for superconductors, but not much else you can do without significant effort.

# Temperature Mapping



# Geometry Factor

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- $R_s$  is quite variable, especially for superconducting cavities.
- The quality factor that doesn't depend on  $R_s$  would be of great usefulness.
- The  $R_s$  dependence comes from the dissipated power.
- $Q_0 = \frac{\omega U}{P_d} = \frac{\omega U}{\frac{P_d}{R_s} R_s}$ ,  $G = R_s Q_0 = \frac{\omega U}{\frac{P_d}{R_s}}$
- This, while adding dimensions to the quality, depends strictly on geometry and not material.
- Again, doesn't scale with frequency (make sure to gather all the scaling of  $U$  and  $P_d$ )

# Pillbox Quality Factor

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- $$P_d = \frac{R_s E_0^2}{\eta^2} \left\{ 2\pi \int_0^R \rho J_1^2 \left( \frac{2.405\rho}{R} \right) d\rho + \pi R L J_1^2(2.405) \right\}$$

- Outer wall + end wall

- $$P_d = \frac{\pi R_s E_0^2}{\eta^2} J_1^2(2.405) R(R + L)$$

- Giving:

- $$G = \frac{\omega_0 \mu_0 L R^2}{2(R^2 + RL)} = \eta \frac{2.405 L}{2(R+L)} = \frac{453 \frac{L}{R}}{1 + \frac{L}{R}} [\Omega] \quad \text{With an optimum } L \dots$$

- $$\frac{L}{R} = \frac{\beta\pi}{2.405}, \quad G = 257\beta [\Omega]$$

- A highly useful result, indicating that pillbox cavities are more efficient at higher optimum particle velocities.

# Cryogenic Efficiency

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- A quantity that is often used to compare efficiency of superconducting cavities is  $\frac{R}{Q} * G = \frac{V_{acc}^2}{\frac{P_d}{R_s}}$
- Calculates directly cost of voltage to dissipated power.
- Cryogenic refrigeration is at a premium, so this can be an excellent comparison between very different cavity geometries.

# Pillbox Scaling

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Clearly better at high beta, best at  $\beta = 1$ .

Mechanical concerns also come into play:

Aspect ratio:

$$\frac{L}{R} = \frac{\beta\pi}{2.405}$$

This gets pretty sub-optimal at low beta, thin pancake cavities have poor mechanical properties.

- $G = 257\beta[\Omega]$
- $\frac{R}{Q} = 196\beta[\Omega]$
- $E_{pk} = E_0$
- $cB_{pk} = 0.583E_0$
- $U = \frac{\pi\epsilon_0 E_0^2}{2} J_1^2(2.405)LR^2$
- $P_d = \frac{\pi R_s E_0^2}{\eta^2} J_1^2(2.405)R(R + L)$
- $TTF = \frac{2}{\pi}$

# Material Comparison

- Superconducting Cavity
  - Peak Surface Fields dominate design
  - ~220 mT is theoretical max, 120 mT is doing very well in practice
  - Pushes for high Q
  - Technologically Challenging
  - Processing requirements put significant constraints on complex cavity geometries
  - $R_s \propto f^2, P_d \propto f, Q \propto f^{-2}$
- Normal Conducting Cavity
  - Limited by dissipated power
  - Limits duty cycle or gradient
  - Pushes for highest  $\frac{R}{Q}$
  - Local power density also a concern (local heating), maxes at ~20 W/cm<sup>2</sup>
  - Electrical breakdown limited peak electric fields
  - Cheaper material (copper!)
  - Cooling design can be quite complex (non-uniform)
  - $R_s \propto f^{\frac{1}{2}}, P_d \propto f^{-\frac{1}{2}}, Q \propto f^{-\frac{1}{2}}$

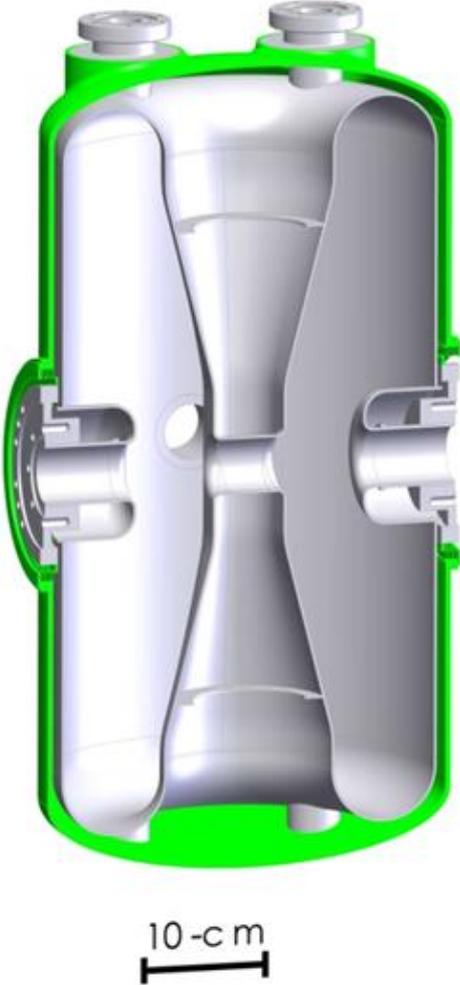
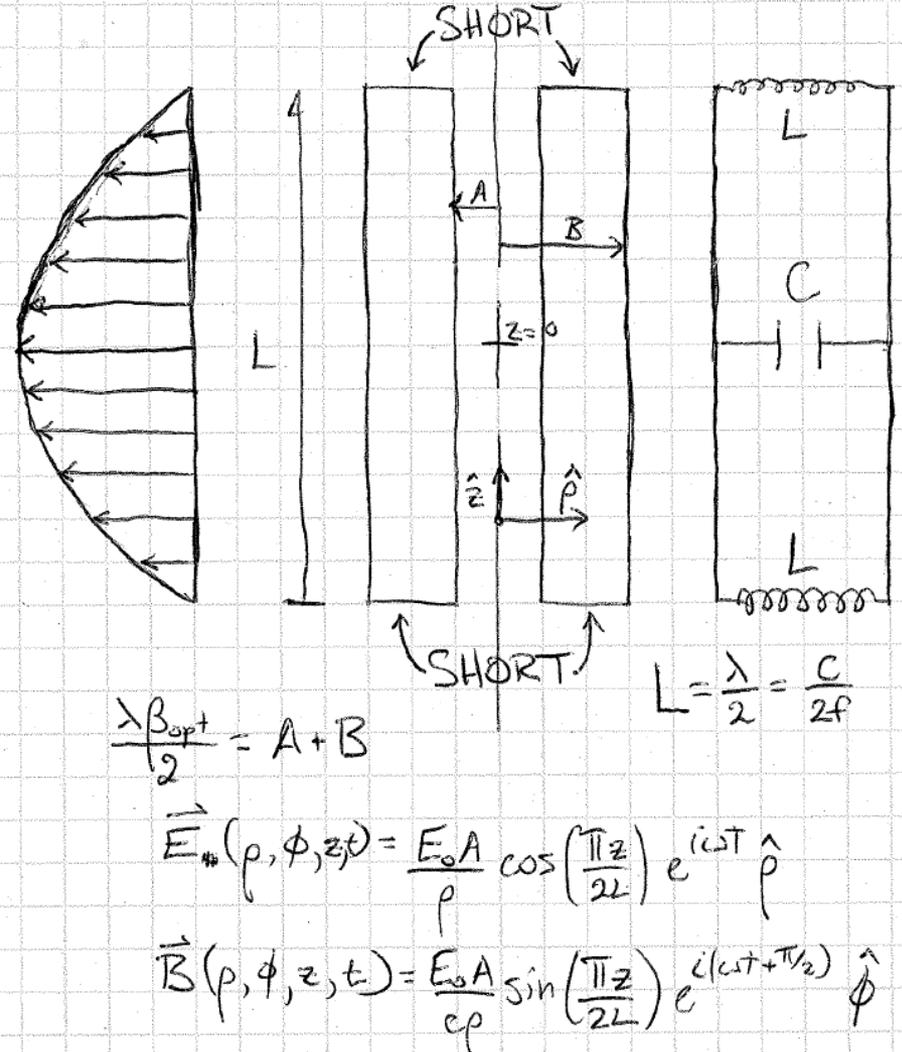
# Coaxial Resonators

# Coaxial Waveguide

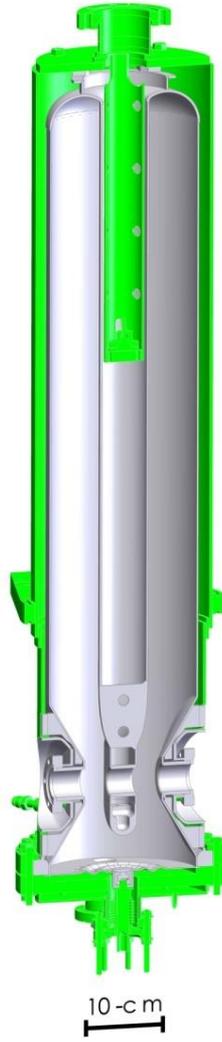
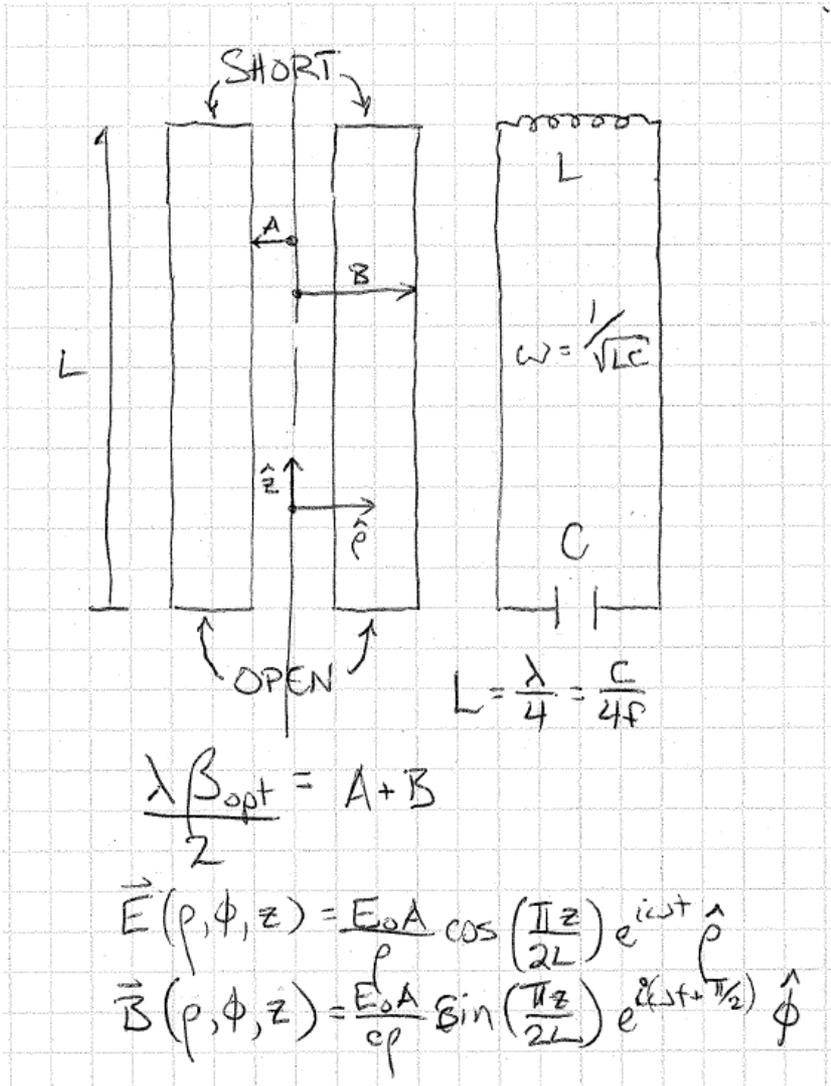
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- A fundamentally different transmission line is coaxial geometry
- In contrast to circular/rectangular waveguide, there is a second conducting surface that's disconnected (in a waveguide) from the outer conductor.
- Assume that we have a cylindrical outer conductor, radius  $b$  and co-radial inner conductor, radius  $a$ . Both are aligned on the  $\hat{z}$  axis.
- Solving the Helmholtz Equation and putting shorting plates at  $\pm \frac{L}{2}$  we get similar solutions:
- $E_\rho = \frac{E_0 a}{\rho} \cos\left(\frac{p\pi z}{2L}\right) e^{i\omega t}$ ,  $B_\phi = -i \frac{E_0 a}{\rho c} \sin\left(\frac{p\pi z}{2L}\right) e^{i\omega t}$
- $\omega = pc\pi/2L$

# Half Wave Resonator



# Quarter-Wave Resonator



Short

“Open”

# Coaxial Cavity Discussion

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- Decouples beam line/accelerating gap size/geometry from the transverse dimension.
- Allows very low frequency resonators with small gaps in a mechanically robust geometry, very low beta resonators.
- Complicated fabrication and processing
- Quarter Wave Resonators are significantly different from ideal because the 'open' boundary condition isn't physical.
- Lack of rotational symmetry can lead to transverse accelerating fields, especially with QWRs.