Proton and Ion Linear Accelerators

Week 2, part 2

Yuri Batygin and Sergey Kurennoy LANL

June 24, 2019



Operated by Los Alamos National Security, LLC for the U.S. Department of Energy's N

LA-UR-19-24574

Proton and Ion Linear Accelerators – Week 2, Part 2

- Why linacs & RF together?
- Reminder: basics of linacs
- RF cavities + Superfish code & exercises
- Accelerating structures: RFQ, DTL, CCL, etc.
- Electromagnetic (EM) design of accelerating structures
- Linac components

Sources:

T.P. Wangler. *RF linear accelerators*, Wiley-VCH, 2nd Ed., 2008. *Handbook of Accelerator Physics and Engineering*. Eds. A. Chao *et al*. World Scientific, 2013.

Uniform cylindrical waveguide



Vacuum inside; for $\mu \neq 1$, $\epsilon \neq 1$

$$c \to c \,/\, \sqrt{\varepsilon \mu}$$

Wave equations





Waveguide cross section can have another shape

Circular waveguide.

Waves in uniform circular cylindrical waveguide

Wave equation for electrical field

$$\Delta \vec{E} - \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = 0$$

Wave equation for E_z component in cylindrical coordinates

The solution is for TM wave:

$$\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial E_z}{\partial r}\right) + \frac{1}{r^2}\frac{\partial^2 E_z}{\partial \theta^2} + \frac{\partial^2 E_z}{\partial z^2} - \frac{1}{c^2}\frac{\partial^2 E_z}{\partial t^2} = 0$$

 $E_{z} = R(r)\Theta(\theta)Z(z)T(t)$ $T(t) = T_{o}e^{-i\omega t} \quad \Theta(\theta) = \Theta_{o}e^{-in\theta} \quad Z(z) = Z_{o}e^{-ik_{z}z}$

$$\frac{d^2R}{dr^2} + \frac{1}{r}\frac{dR}{dr} + (\frac{\omega^2}{c^2} - k_z^2 - \frac{n^2}{r^2})R = 0$$

Transverse wave number

$$k_r^2 = \frac{\omega^2}{c^2} - k_z^2$$

Its solution that is finite at r=0 is the Bessel function of 1^{st} kind

$$\frac{d^2 R}{d(k_r r)^2} + \frac{1}{(k_r r)} \frac{dR}{d(k_r r)} + (1 - \frac{n^2}{(k_r r)^2})R = 0$$

$$R = AJ_n(k_r r)$$

Waves in uniform circular cylindrical waveguide – 2

Longitudinal component vanishes at the boundary of cavity Transverse wave number is determined as

 v_{nm} is the root of equation $J_{nm}(x)=0$

Traveling wave in uniform waveguide

Wave number $k_z = \frac{2\pi}{\lambda}$ and wavelength

Cut-off frequency $k_z = 0$:

Phase of the wave

Phase velocity of the wave in uniform homogeneous single-connected waveguide is always above the speed of light in media



If $\omega < \omega_c$ in a uniform homogeneous single-connected waveguide, it is an evanescent wave: its amplitude exponentially decreases.

Dispersion diagram in uniform cylindrical waveguide



Figure 1.13 Example of dispersion curve for uniform waveguide, $\omega^2 = \omega_c^2 + (k_z c)^2$, showing graphically the meaning of phase and group velocity at the point *p* on the curve. The group velocity at point *p* is the tangent to the curve at that point. The phase velocity is the slope of the line from the origin to the point *p*.

Dispersion (Brillouin) diagram

The slope *a* of the line $\omega = a(k_z c)$ line determines the wave phase velocity: *a* > 1 means $v_{ph} > c$.

The wave group velocity gives the speed of energy propagation along the waveguide: $v_g = d\omega/dk_z < c$.

Disk-loaded waveguide (= traveling wave structure)



Different space harmonics have different phase velocities

Dispersion diagram of periodic waveguide



Dispersion diagram of periodic structure is a combination of diagrams for uniform waveguide periodically repeated after one period of the structure.

Traveling wave structures



Brillouin diagram for disk-loaded waveguide. Angles α_1 , α_2 , ... correspond to phase velocities of various space harmonics.



Snapshots of electric field configurations for disk-loaded structures with various phase shifts per period.

Traveling wave accelerator structures



Linac with traveling wave. Primarily used for electrons.

SLAC accelerating structure: 10-foot disk-loaded, 2856 MHz, 86 cells per structure, 960 structures make up the SLAC 3-km linac.



Cylindrical resonator (pillbox)





Longitudinally integer number of half-variations can be excited

Transverse boundary condition:

Frequency of oscillation mode is

 $k_{z} = \frac{\pi p}{L}$ $E_{z}(a) = 0 \qquad J_{n}(k_{r}a) = 0 \quad k_{r} = \frac{\upsilon_{nm}}{a}$ $\frac{\omega_{o}^{2}}{c^{2}} - k_{z}^{2} = \frac{\upsilon_{nm}^{2}}{a^{2}}$

 $\omega_o = c \sqrt{\frac{v_{nm}^2}{a^2} + (\frac{\pi p}{L})^2}$

Longitudinal component

$$E_z = E_o J_n (v_{nm} \frac{r}{a}) \cos n\theta \cos \frac{\pi pz}{L}$$

Dispersion diagram for cylindrical cavity



Dispersion curve for the TM01p family of modes of a cylindrical circular cavity.

TM_{nmp} modes in cylindrical cavity (E modes)

P – number of variation in longitudinal direction

Field components of TM_{nmp} modes in cylindrical cavity

$$= E_z = E_o J_n(\chi r) \cos n\theta \cos \chi_z z$$

$$E_r = -E_o \frac{\chi_z}{\chi} J'_n(\chi r) \cos n\theta \sin \chi_z z$$

$$E_\theta = E_o \frac{n\chi_z}{\chi^2 r} J_n(\chi r) \sin n\theta \sin \chi_z z$$

$$H_r = -iE_o \frac{n\omega_o \varepsilon_o}{\chi^2 r} J_n(\chi r) \sin n\theta \cos \chi_z z$$

$$H_\theta = -iE_o \frac{\omega_o \varepsilon_o}{\chi} J'_n(\chi r) \cos n\theta \cos \chi_z z$$

$$H_z = 0$$

$$n - \text{number of variation in azimuthal angle}$$

 $\chi = \frac{\upsilon_{nm}}{a} \quad \chi_z = \frac{\pi p}{L}$

θ

r

Ζ

Example: TM₀₁₀ mode

Field components $E_{z} = E_{o}J_{o}(v_{01}\frac{r}{a})\cos\omega_{o}t$ $B_{\theta} = -\frac{E_{o}}{c}J_{1}(v_{01}\frac{r}{a})\sin\omega_{o}t$ Boundary condition $E_{z}(a) = 0$ $v_{01} = 2.405$ Frequency of resonator $k_{z} = 0$ $\omega_{o} = 2\pi f = \frac{cv_{01}}{a}$ $f = \frac{2.405c}{2\pi a}$

Example: radius of resonator for f = 201.25 MHz:

$$a = \frac{2.405 \, c}{2\pi f} = 0.57 m$$

TM010 mode in a pill-box cavity.

TM modes in cylindrical resonator



TM-mode field patterns in cylindrical resonator (T.Wangler, LA-UR-93-805).

TE_{nmp} modes in cylindrical cavity (H modes)

Field components of TE_{nmp} modes in cylindrical cavity

$$H_{z} = H_{o}J_{n}(\chi r)\cos n\theta \sin \chi_{z}z$$
$$H_{r} = H_{o}\frac{\chi_{z}}{\chi}J_{n}'(\chi r)\cos n\theta \cos \chi_{z}z$$

$$H_{\theta} = -H_o \frac{n\chi_z}{\chi^2 r} J_n(\chi r) \sin n\theta \cos \chi_z z$$

$$E_{\theta}(a) = 0$$
$$\chi = \frac{v'_{nm}}{a}$$

 v'_{nm} is the root of equation $J'_{n}(x) = 0$

 $J'_{n}(\chi a) = 0$

Frequency of TE_{nmp} oscillations

$$\omega_o = c \sqrt{\frac{\upsilon_{nm}^{'2}}{a^2} + (\frac{\pi p}{L})^2}$$

$$\blacktriangleright E_z = 0$$

$$E_r = iH_o \frac{n\omega_o \mu_o}{\chi^2 r} J_n(\chi r) \sin n\theta \sin \chi_z z$$

$$E_{\theta} = iH_o \frac{\omega_o \mu_o}{\chi} J'_n(\chi r) \cos n\theta \sin \chi_z z$$
$$\chi_z = \frac{\pi p}{L}$$

Zeros
$$v'_{nm}$$
 of equation $J'_n(x) = 0$

	m = 1	<i>m</i> =2	<i>m</i> =3	<i>m</i> =4
n = 0	3.832	7.016	10.173	13.324
n = 1	1.841	5.331	8.536	11.706
<i>n</i> = 2	3.054	6.706	9.969	13.170
<i>n</i> = 3	4.201	8.015	11.346	

TE modes in cylindrical resonator



TE-mode field patterns in cylindrical resonator (T.Wangler, LA-UR-93-805).

Fundamental modes of cylindrical resonator



Oscillations TE_{111} and TM_{010} are fundamental modes which frequencies coincide if

$$\frac{v_{01}^2}{a^2} = \frac{v_{11}^{\prime 2}}{a^2} + (\frac{\pi}{L})^2$$

In this case of ratio of length of resonator to radius L/a is

$$\frac{L}{a} = \frac{\pi}{\sqrt{\upsilon_{01}^2 - \upsilon_{11}^{\prime 2}}} = 2.03$$

For long cylinder L/a > 2.03 the fundamental mode is TE₁₁₁ while for "flat" resonator L/a < 2.03 the fundamental mode is TM_{010.}

Coaxial line



A section of coaxial transmission line

Field components of TEM wave propagating in coaxial transmission line

$$B_{\theta} = \frac{\mu_o I}{2\pi r} \exp[i(\omega t - k_z z)]$$





Note that unlike single-connected waveguides there is <u>no cut-off frequency</u> in coaxial lines. They can transmit waves even at very low frequencies, and the phase velocity $v_{ph}=c$.

Coaxial resonators: half-wave & quarter-wave



Voltage

Resonance condition: $L = \frac{p\lambda}{2}$

Component of RF field

$$B_{\theta} = \frac{\mu_o I}{2\pi r} \cos(\frac{\pi pz}{L}) \cos\omega t$$

 $E_r = \sqrt{\frac{\mu_o}{\varepsilon_o}} \frac{I}{2\pi r} \sin(\frac{\pi pz}{L}) \sin \omega t$





Coaxial resonator with voltage and current standing waves.

Current

L000

Quarter wave resonator

The wavelength (and frequency) of coaxial resonators is defined mainly by their length. Note: RF coupling – magnetic loop here; <u>ferrite loading</u> – lowering and tuning frequency.

Field energy in RF cavities

EM energy in cavity

$$W = \frac{1}{2} \int_{V} (\mu_{o}H^{2} + \varepsilon_{o}E^{2})$$

Energy balance

$$\oint_{S} [\vec{E}, \vec{H}] d\vec{S} = -\frac{d}{dt} \int_{V} (\frac{\mu_o H^2}{2} + \frac{\varepsilon_o E^2}{2}) dV - \int_{V} \vec{j} \vec{E} \, dV$$

<u>Poynting's theorem</u> in integral form: the rate of energy change in cavity equals the rate of work done on a charge distribution plus the energy flux through its surface.

Energy dissipation in resonator and Q factor

Dissipated power is a combination of power losses inside cavity and outside cavity

$$P = P_o + P_{ext}$$

Energy stored in cavity

Quality factor

(= 2π *stored energy / energy loss per period)

Q-factor is a combination of unloaded quality factor of cavity and external quality (loaded Q factor)

External quality factor

Losses in metal with surface resistance R_{s} [Ohm]

 $R_s = \frac{1}{\sigma \delta} = \sqrt{\frac{\mu_0 \omega}{2\sigma}}$, where σ is the surface conductivity, and δ is the skin depth $\delta = \sqrt{2/(\mu_0 \sigma \omega)}$. Unloaded quality factor

$$Q_o = \frac{\omega_o W_o}{P_o}$$

$$W_{o} = \frac{1}{2} \int_{V_{o}} \mu H_{m}^{2} dV = \frac{1}{2} \int_{V_{o}} \varepsilon E_{m}^{2} dV$$

$$Q = \frac{\omega_{o} W_{o}}{P}$$

$$\frac{1}{Q} = \frac{1}{Q_{o}} + \frac{1}{Q_{ext}}$$

$$\omega W$$

$$Q_{ext} = \frac{O_o W_o}{P_{ext}}$$

$$P_o = \frac{R_s}{2} \int_{S} H_m^2 dS$$



Physical meaning: $Q = G \frac{V}{S\delta}$

Quality factor of TM₀₁₀ cavity

 $H_{m\theta} = -E_o \sqrt{\frac{\varepsilon_o}{\mu_o}} J_1(\upsilon_{01} \frac{r}{a})$

Magnetic field

$$W_{o} = \frac{1}{2} \int_{V_{o}} \mu_{o} H_{m\theta}^{2} dV = \frac{\pi \varepsilon_{o} E_{o}^{2} L a^{2} J_{1}^{2}(\upsilon_{01})}{2} = 0.135 \pi \varepsilon_{o} L a^{2} E_{o}^{2}$$

$$P_o = \frac{R_s}{2} \int_{S} H_{m\theta}^2 dS = \pi a R_s E_o^2 \frac{\varepsilon_o}{\mu_o} J_1^2(\upsilon_{01})(L+a)$$

Unloaded quality factor

$$Q_{o} = \frac{\omega_{o}W_{o}}{P} = \frac{\upsilon_{01}}{2R_{s}}\sqrt{\frac{\mu_{o}}{\varepsilon_{o}}}\frac{1}{(1+\frac{a}{L})} = 1.2025\frac{376.7[Ohm]}{R_{s}}\frac{1}{(1+\frac{a}{L})}$$

For <u>ideal copper surface</u> σ = 5.8·10⁷ Sm/m, so that R_s = 2.6·10⁻⁴ \sqrt{f} (MHz) Ω . At 201.25 MHz, R_s = 3.7 m Ω , and Q_0 = 66500 for a/L = 1. In practice, typically 10%-20% less.

Superconducting RF cavities

For RF cavities the power loss depends on the surface resistance: for normal-conducting

$$R_s = \frac{1}{\sigma\delta} = \sqrt{\frac{\mu_0\omega}{2\sigma}}$$
 scales with RF frequency as \sqrt{f} .

In superconducting (SC) RF cavities the surface resistance is much lower; e.g., for Nb

$$R_{s}(\Omega) = 9 \cdot 10^{-5} \frac{f^{2}(GHz)}{T(^{\circ}K)} \exp\left(-\alpha \frac{T_{c}}{T}\right) + R_{res},$$

where R_{res} is the residual resistance (~1-10 n Ω), α = 1.83, and T_c = 9.2 K is the critical temperature.

SC R_s is ~10⁻⁵ of that in copper, and so are the cavity surface losses!

For ideal copper surface, typical $Q_0 = 10^4 - 10^5$. In SC cavities, typical $Q_0 = 10^8 - 10^{10}$, so it is especially advantageous to use SC RF cavities in CW machines (operating at 100% RF duty factor).



Quality factor of coaxial resonator

Azimuthal magnetic field

Integral over volume

Integral over surface

$$H_{m\theta} = \frac{I_m}{2\pi r} \cos \frac{p\pi z}{L}$$

$$\int_{V_o} H_m^2 dV = \pi L (\frac{I}{2\pi})^2 \ln \frac{R_2}{R_1}$$

$$\int_{V_o} H_m^2 dS = \pi (\frac{I}{2\pi})^2 \left[4 \ln \frac{R_2}{R_1} + L(\frac{1}{R_1} + \frac{1}{R_2})\right]$$

Unloaded quality factor

$$Q_{o} = \frac{p\pi}{R_{s}} \sqrt{\frac{\mu_{o}}{\varepsilon_{o}}} \frac{\ln \frac{R_{2}}{R_{1}}}{4\ln \frac{R_{2}}{R_{1}} + L(\frac{1}{R_{1}} + \frac{1}{R_{2}})}$$

Filling time of resonator

Power losses is a rate of decrease of stored energy

Substitution into equation $Q = \frac{\omega_o W_o}{P}$ gives equation for decrease of stored energy

Solution

Electrical field changes with two times smaller rate:

Electric field

Filing time of the cavity

Note: filling time $t_f = QT_{RF}/\pi$

Sometimes it is convenient to use complex frequency of cavity





Coupling RF power to cavities



Methods of coupling to RF cavities:

- (a) magnetic current loop;
- (b) electric antenna;
- (c) magnetic iris WG-cavity

Model of coupling loop for the LANSCE DTL tank 4. Up to 3 MW, duty 10%.



"Dog-bone" coupling iris for high-power FEL photoinjector. Up to 0.5 MW at 100% duty.

90 cm

Filling time with external load

The cavity coupling coefficient is defined as $\beta \equiv \frac{P_{ext}}{P_0} = \frac{Q_0}{Q_{ext}}$.

When the power source is matched to the resonant structure through a coupling loop, such that no power is reflected toward the source, then the loaded Q



The filling time becomes

During the filling time, the transient effect exists when reflected power cannot be avoided.



 $t_f = \frac{2Q}{\omega} = \frac{2Q_o}{\omega (1+\beta)}$

RF coupling and reflected power

When coupling coefficient $\beta = 1$, the cavity is matched to RF feed (critically coupled); $\beta > 1 - \text{over-coupled}$, and $\beta < 1 - \text{under-coupled}$.



Backward (reflected) power vs time for different values of β . Here $\tau = t_f - \text{cavity filling time.}$ The cavity RF coupling is usually designed such that

$$\beta = \frac{P_0 + P_{beam}}{P_0}$$

In this case no RF power is reflected back to the RF source when the cavity is operating with the beam.

Figures of merit for accelerating structures

Quality factor (stored energy *U*, averaged power loss *P*)

Shunt impedance (total cavity voltage V_0)

Effective shunt impedance (effective voltage V_0T)

Shunt impedance per unit length (voltage $V_0 = E_0 L$)

Effective shunt impedance per unit length (Z_{eff})

Ratio $R_{\rm eff}/Q$ is independent of surface losses and depends only on the cavity (structure) geometry

Ratios $E_{\text{max}}/E_{\text{acc}}$ ($E_{\text{acc}}=E_0T$) and $B_{\text{max}}/E_{\text{acc}}$ – lower is better. The latter is very important for SC cavities.



Cavity RF electric breakdowns: Kilpatrick criterion

RF breakdowns – uncontrolled discharges in cavities – limit the cavity max electric fields.



The maximal surface field also depends on the RF pulse length. The cavity design fields are typically $(1.3-2)E_{\rm K}$ for pulses longer then 1 ms. For very short pulses, below 1 µs, they can be higher and scale as

 $E_s \propto f^{1/2} / t^{1/4}.$

The critical value of the surface electric field ($E_{\rm K}$ – Kilpatrick field) versus RF frequency (empirical, conservative).

Other deleterious electron-related effects in RF cavities: multipacting (usually occurs at low RF field levels) and electron RF loading. In SC RF cavities – quench (\rightarrow NC).

RF cavity design issues

Cavity design goals depend on many factors including its application and the cavity type: maximize accelerating gradient, minimize losses (NC), minimize max surface fields, etc.

Frequency dependence of cavity parameters: $a \sim 1/f$,

$$P \propto \begin{cases} f^{-1/2}, \operatorname{NC} \\ f, & \operatorname{SC} \end{cases} \qquad Q \propto \begin{cases} f^{-1/2}, \operatorname{NC} \\ f^{-2}, & \operatorname{SC} \end{cases} \qquad ZT^2 \propto \begin{cases} f^{1/2}, \operatorname{NC} \\ f^{-1}, & \operatorname{SC} \end{cases}$$

Frequency choice also depends on available RF sources and beam parameters.

Changing cavity shape is the common way of achieving the design goals. Examples:



4-cell elliptical cavity (SC)

RF power sources

<u>High-power vacuum-tube amplifiers</u>: gridded <u>tubes</u> (triodes, tetrodes – below 300 MHz, pulsed) and <u>klystrons</u> (300 MHz – 10s GHz; from 1 μ s pulses to CW operation).

Klystrons were invented in 1937 (Varian). Peak power up to 60 MW, average 50 kW (SLAC).



- The choice depends on application (pulsed or CW), frequency, peak and average power, efficiency, and cost. The RF cost is typically the largest part of linac cost.
- Magnetrons are rarely used (cheaper but lack phase stability).
- More recently multi-beam klystrons, solid-state amplifiers, and inductive-output tubes (IOTs).

Summary of part 2

RF waveguide, cavity, and power source basics are reviewed.