



# U.S. Particle Accelerator School

## July 15 – July 19, 2024



# VUV and X-ray Free-Electron Lasers

## High-brightness Beam Techniques

Dinh Nguyen,<sup>1</sup> Christopher Mayes,<sup>1</sup> Nicole Neveu<sup>2</sup>, Colwyn Gulliford<sup>3</sup>

<sup>1</sup> xLight Inc.

<sup>2</sup> SLAC National Accelerator Laboratory

<sup>3</sup> Xelera



# Tuesday Schedule

- High-brightness beam techniques 09:00 – 10:00
- Break 10:00 – 10:10
- Injector beam dynamics 10:10 – 11:10
- Break 11:10 – 11:20
- Bunch compression, laser heater & CSR 11:20 – 12:00
- Lunch Break 12:00 – 13:30
- Electron beam properties and FODO 13:30 – 15:15
- Break 15:15 – 15:30
- FEL simulations with Genesis 15:30 – 17:30

# High-brightness Beam Techniques (Photoinjector Designs)

# Overview:

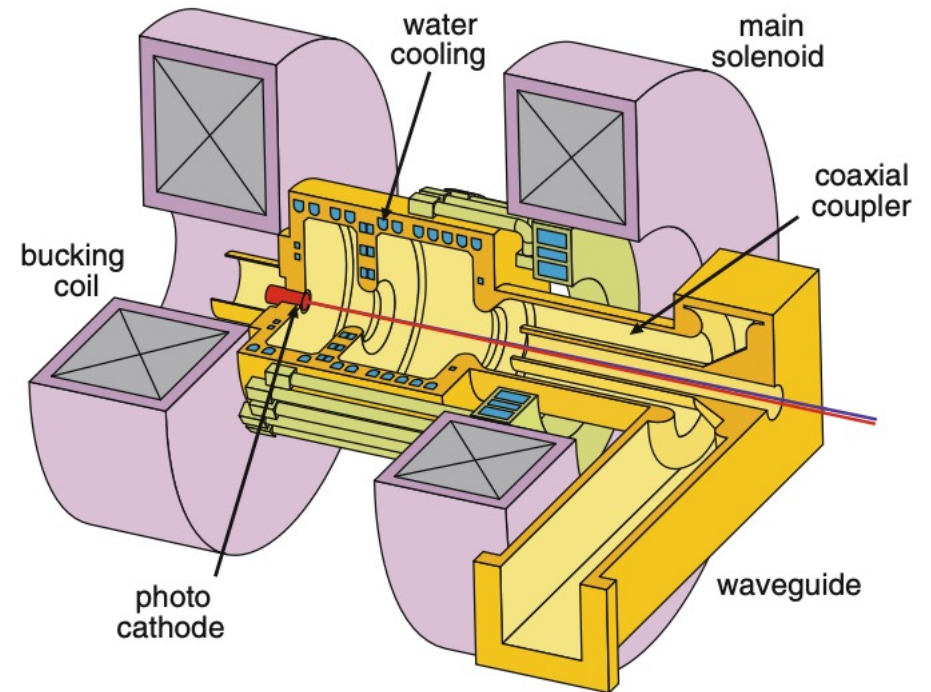
## Overview of Injector System

### Cathode Types

- Photocathode vs. thermionic
- Photoemission
- Popular photocathode materials, QE

### Operating Photoinjector designs

- High/low frequency
- Examples in facilities

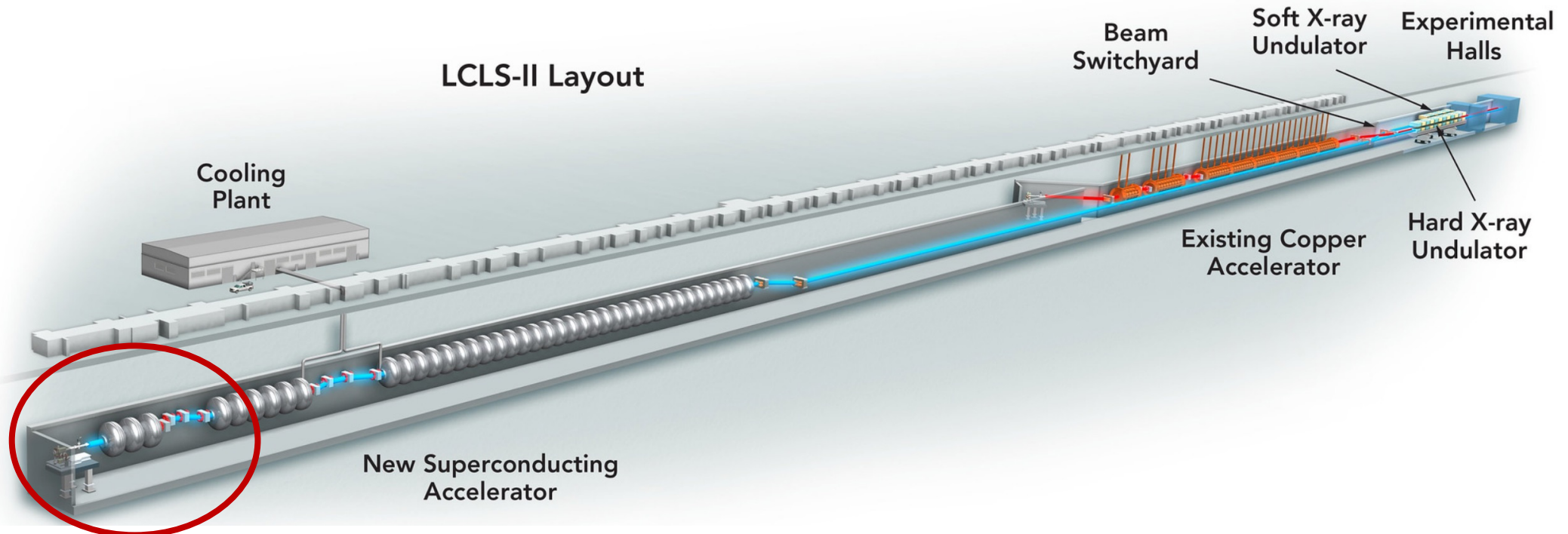


Pg. 136, text.

# Overview of Photoinjector System

# Motivation: Free Electron Lasers (FEL)

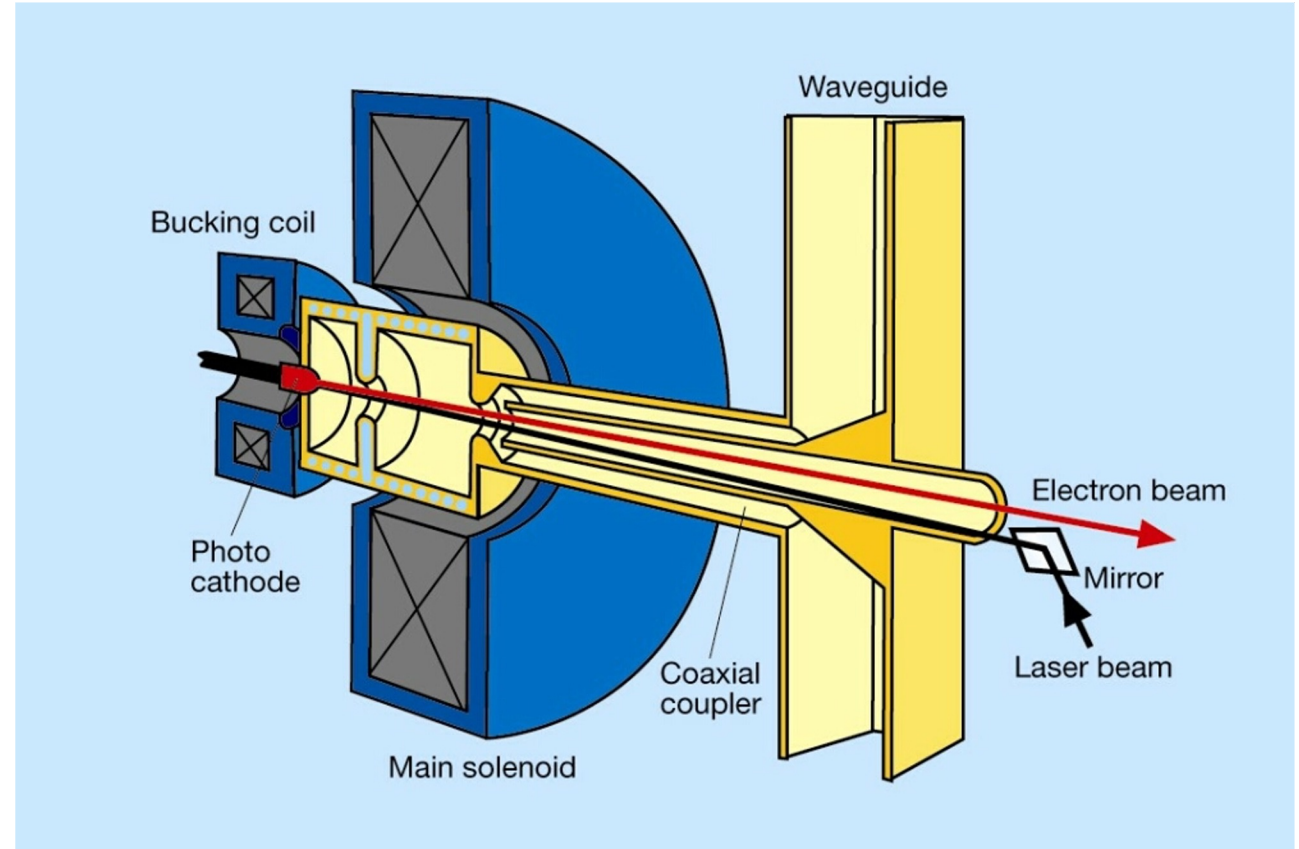
- RF/DC photocathode gun, e- usually the speed of light after the gun
- Commonly use copper or superconducting accelerating cavities
- Photoinjector & linac based light source



# Photoinjector Layout

At the beginning of any accelerator, a few key parts are needed:

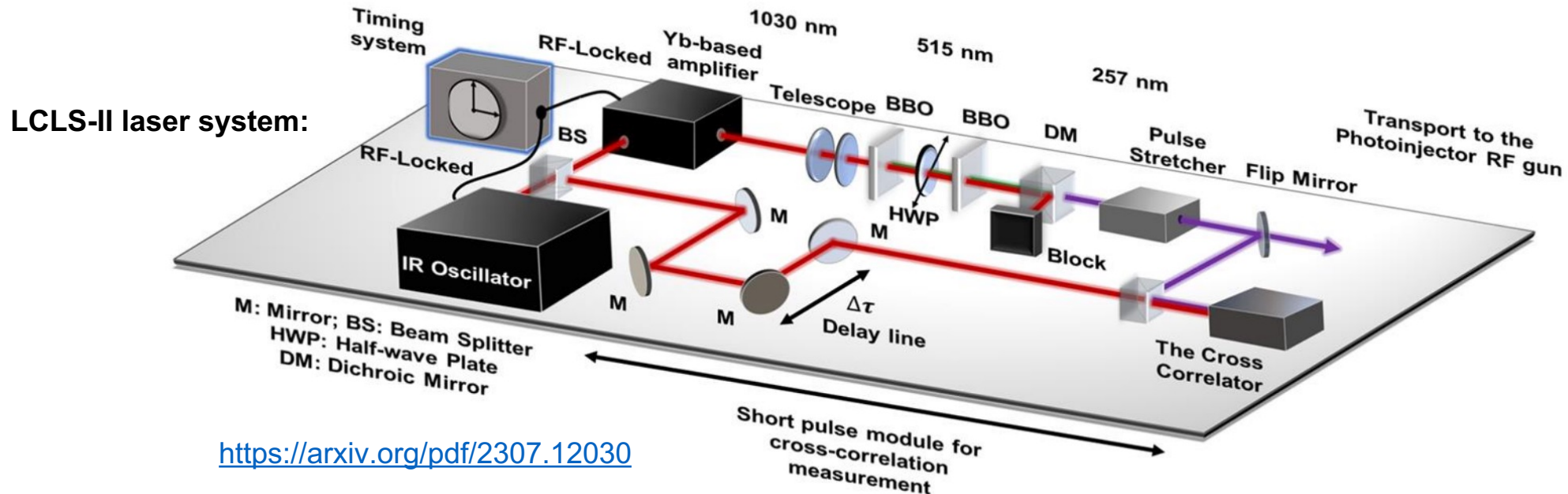
- **Vacuum** - strict for electron machines w/ semiconductors
- **Photocathode** - source of particles
- **Laser** – To induce photoemission
- **Focusing** - solenoid magnets
- **Accelerating field** –  
Buncher/booster or accelerating cavity



Source: DESY

# Laser systems

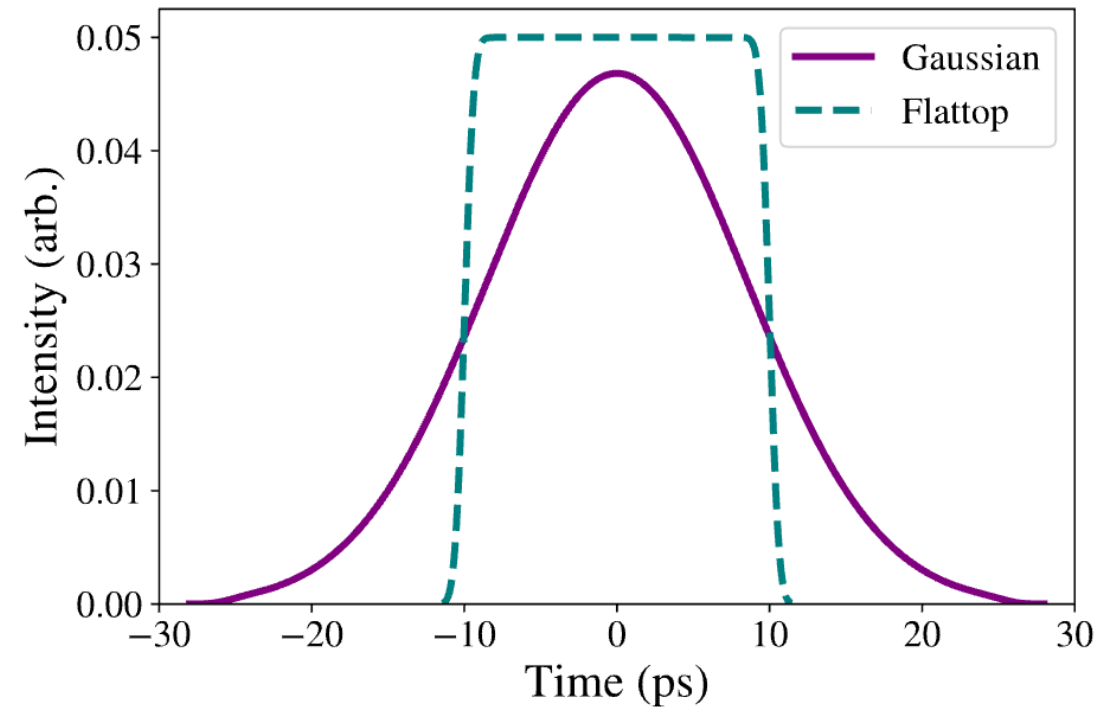
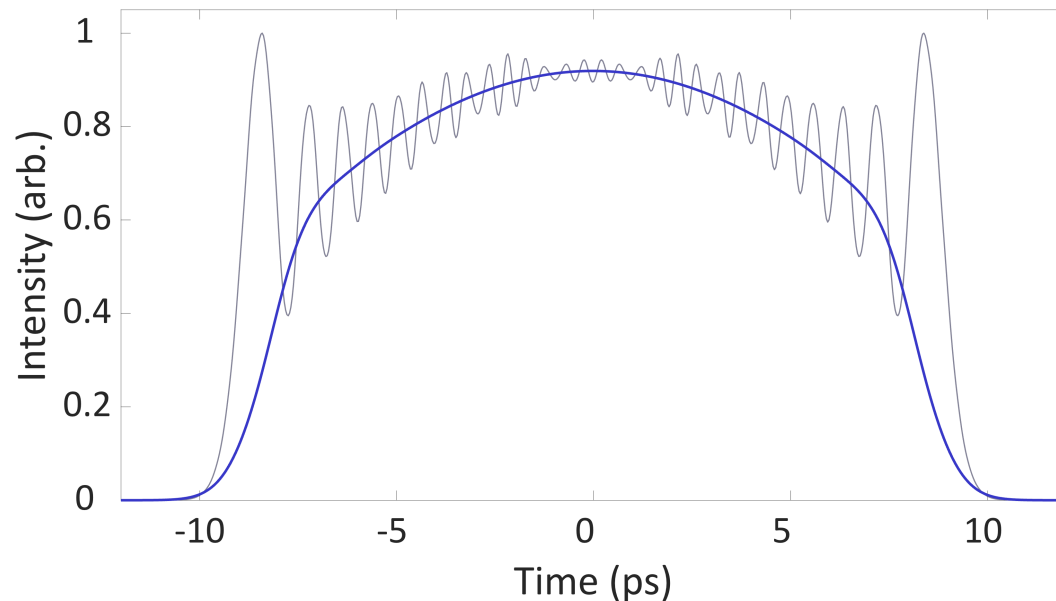
- Required for photoemission on the cathode.
- Set the boundaries for beam initial conditions.
- Typically, IR to UV for semiconductor cathodes.
- Timing must be aligned with RF phases in the gun.
- Alignment into the gun can be problematic depending on the focusing & distance.





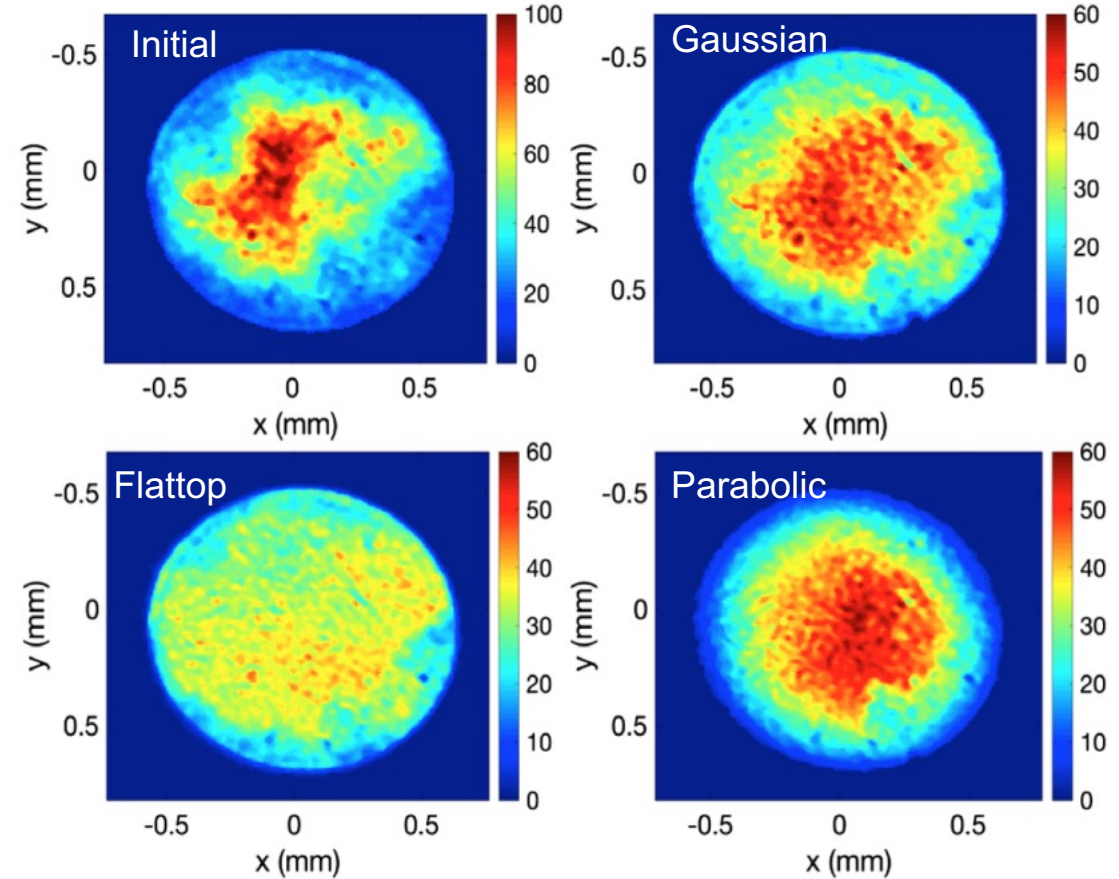
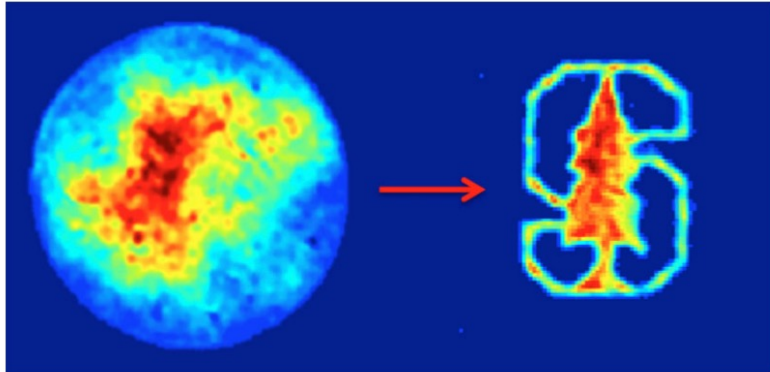
# Laser profiles: Longitudinal

- Ideal simulated profile is often a flattop.
- At high repetition rates, this has not been achieved.
  - Laser technology limitations.
- Facilities typically use a Gaussian.
- Flattop-like profiles are being investigated (UCLA+).



# Laser profiles: Transverse

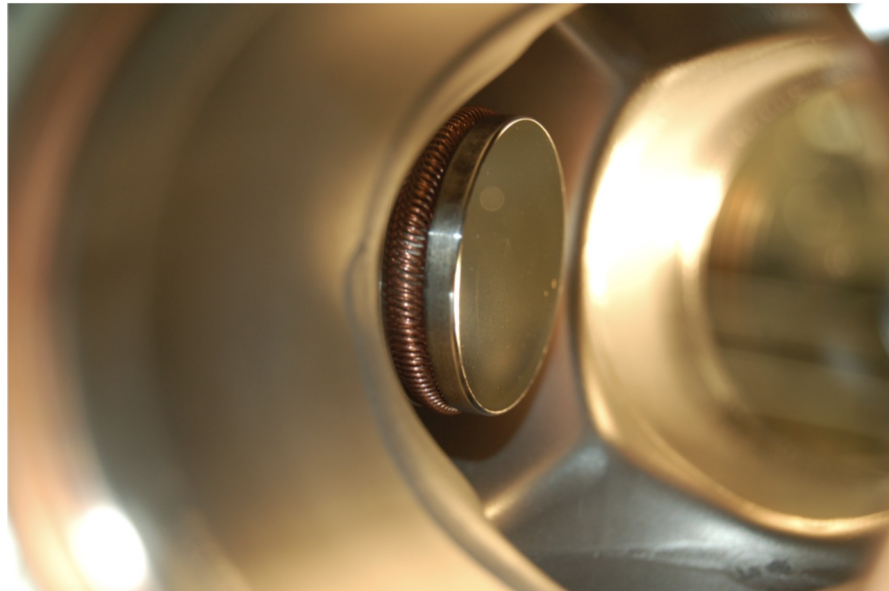
- Can be adjusted with focusing or irises.
- Must be adjusted to compensate for space charge.
  - Larger radius needed for larger charges.
  - Details on the math in the next talk...
- Typically, facility profile is not the ideal gaussian we simulate...
- Limited shaping success/demonstrations.



# Photocathodes

Particles must come from somewhere...

- Gas
- Filament
- **Metals and semiconductors**



Source: AWA-ANL

Quantum efficiency and work function determine best materials:

- $\text{Cs}_2\text{Te}$ , Mg, Cu
- Semiconductors used for material properties; surface grown in lab.



# Accelerating Structures

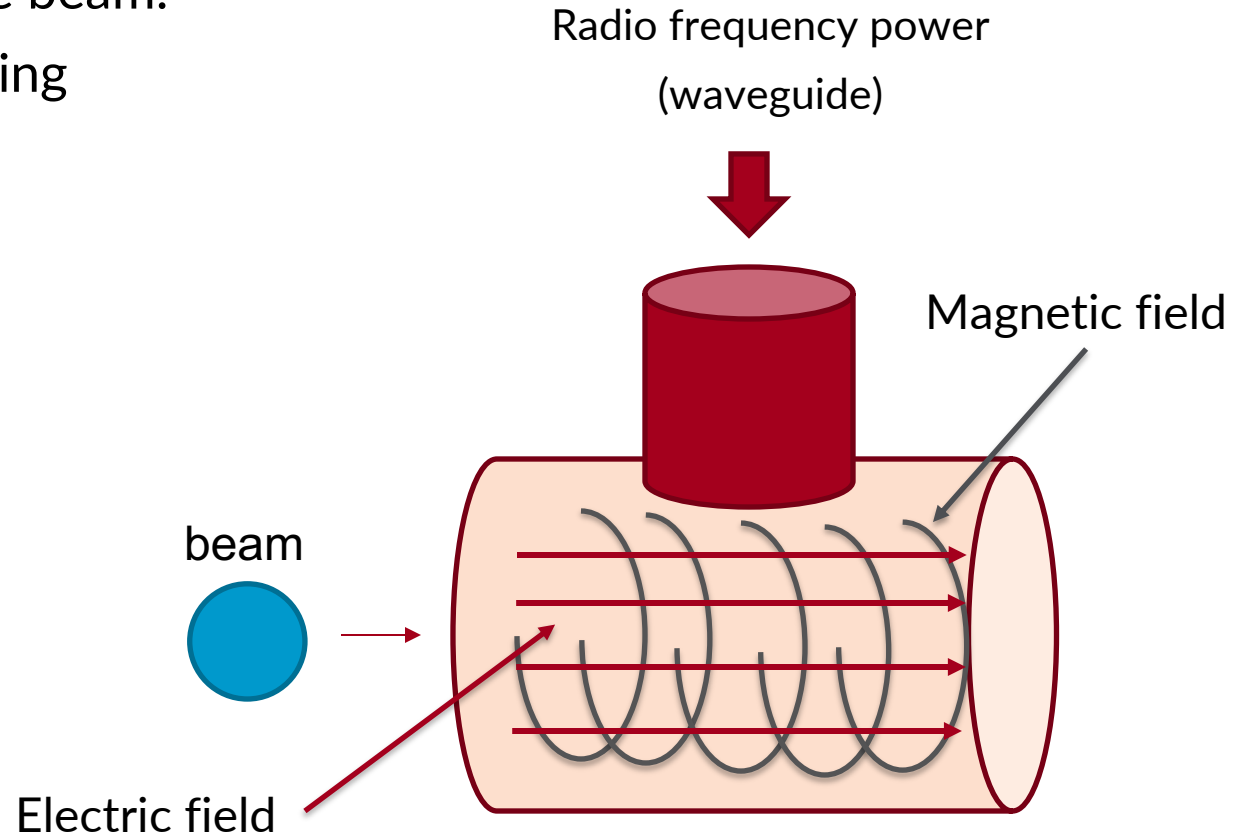
- Used to give additional energy to the beam.
- RF gun is a specific type of accelerating structure (with cathode).

**Lorentz force:**

$$\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$

$$\vec{E} = \vec{E}(r, t)\hat{z}$$

$$E_z = E(r)e^{i\omega t}$$



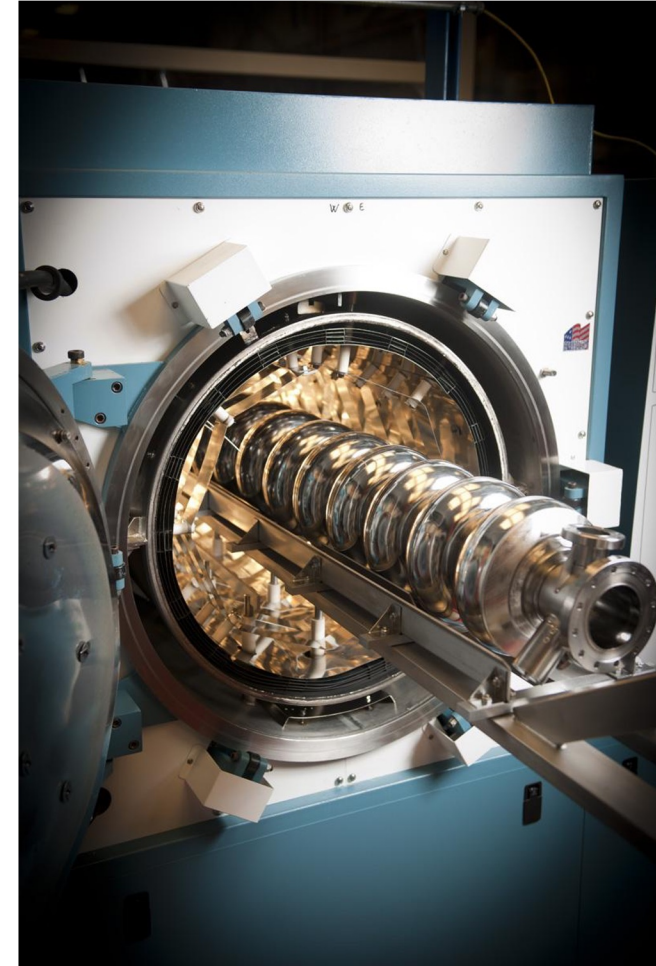
# Accelerating Structures Examples: SLAC

The structures that follow the source / gun:

- Copper or superconducting (niobium).
- Used to give the particles more energy.
- Gradient is dominated by choice of material and geometry.



Source: SLAC flickr



Source: Fermilab

# Discussion

- Why are FEL's laid out the way they are? Why are they so long?
- Does the laser repetition rate limit the beam rate?
  - What does the laser profile impact?
- What factors limit the accelerating gradient?
  - How does this impact the FEL?

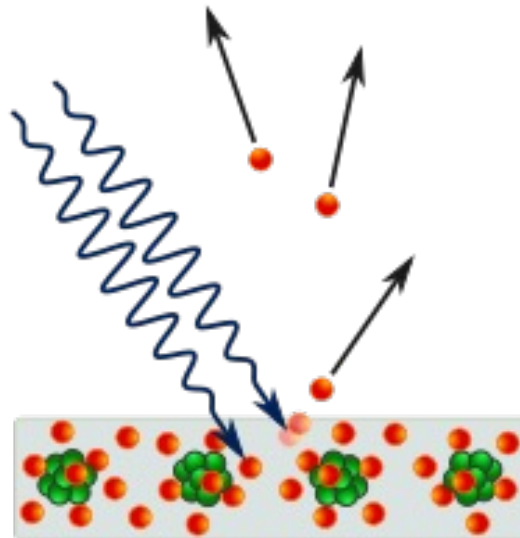


# Cathode Types

# Cathode Types

## Photoemission

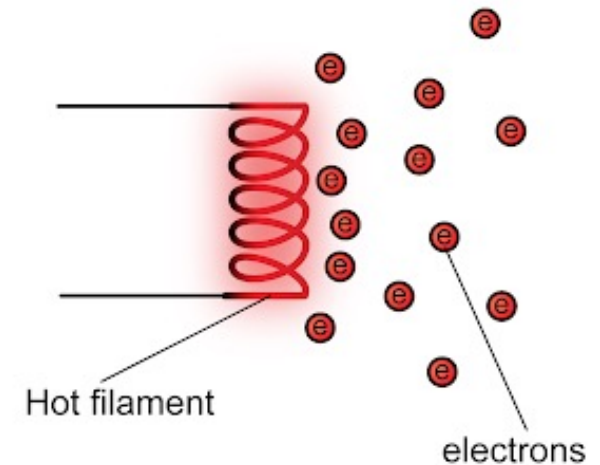
- Electrons are generated via the photoelectric effect
- The laser pulse heavily impacts the initial bunch shape (emittance & space charge)
- High electron brightness
  - i.e. reduced emittance
- Brightness  $\sim 10^{15}$  A/(m-rad)<sup>2</sup>



[https://en.wikipedia.org/wiki/Photoelectric\\_effect](https://en.wikipedia.org/wiki/Photoelectric_effect)

## Thermionic Emission

- Not the focus for this class/FELS...
- Longer bunch lengths, less control, larger emittances
- Brightness  $< 8 \times 10^8$  A/(m-rad)<sup>2</sup>



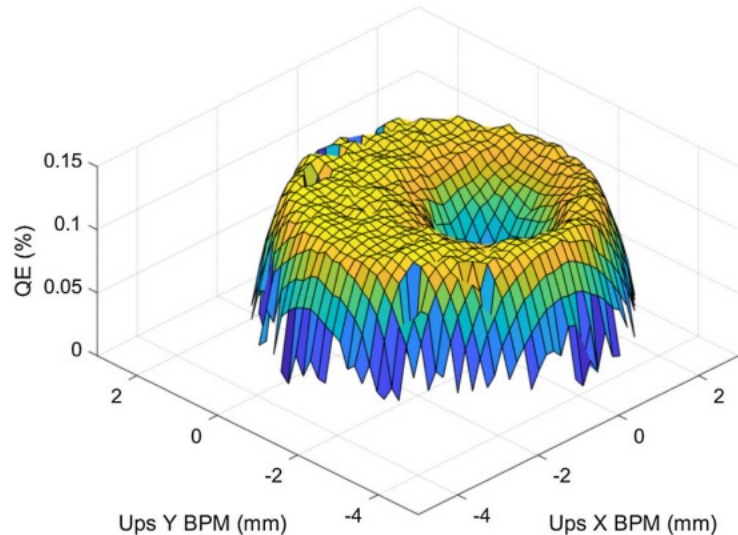
<https://spmphysics.blog.onlinetuition.com.my/electronic/thermionic-emission/>



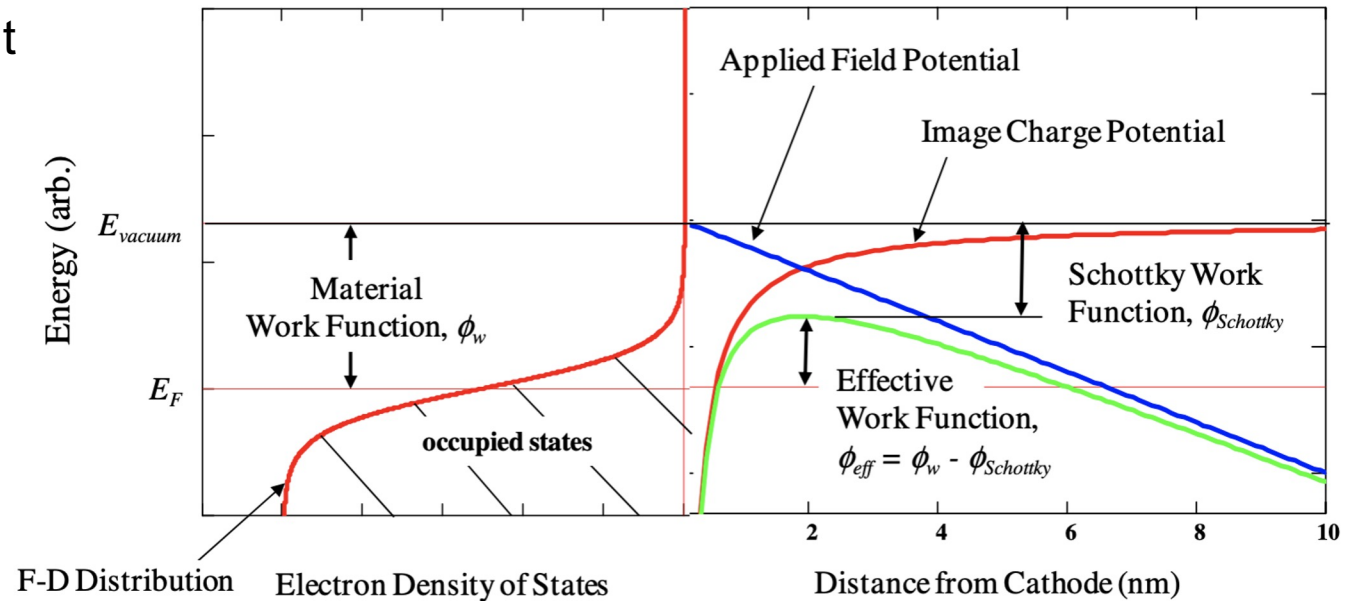
# Photocathodes: Quantum Efficiency

- **Quantum efficiency** = ratio of photoelectrons to incident photons
- **Work function** = energy needed to remove an unbounded electron
- **Schottky effect** = reduction in work function when strong external electric field is present

$$QE[\%] = \frac{N_{electrons}}{N_{photons}} = \frac{\hbar c}{e} \frac{I[A]}{P[W]\lambda[\mu m]}$$

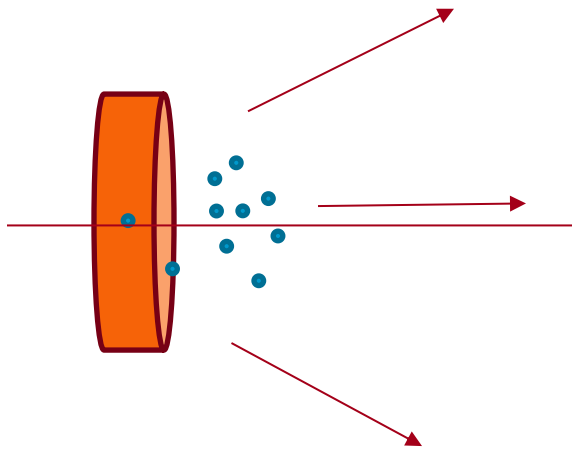


<https://journals.aps.org/prab/pdf/10.1103/PhysRevAccelBeams.24.073401>



# Emittance

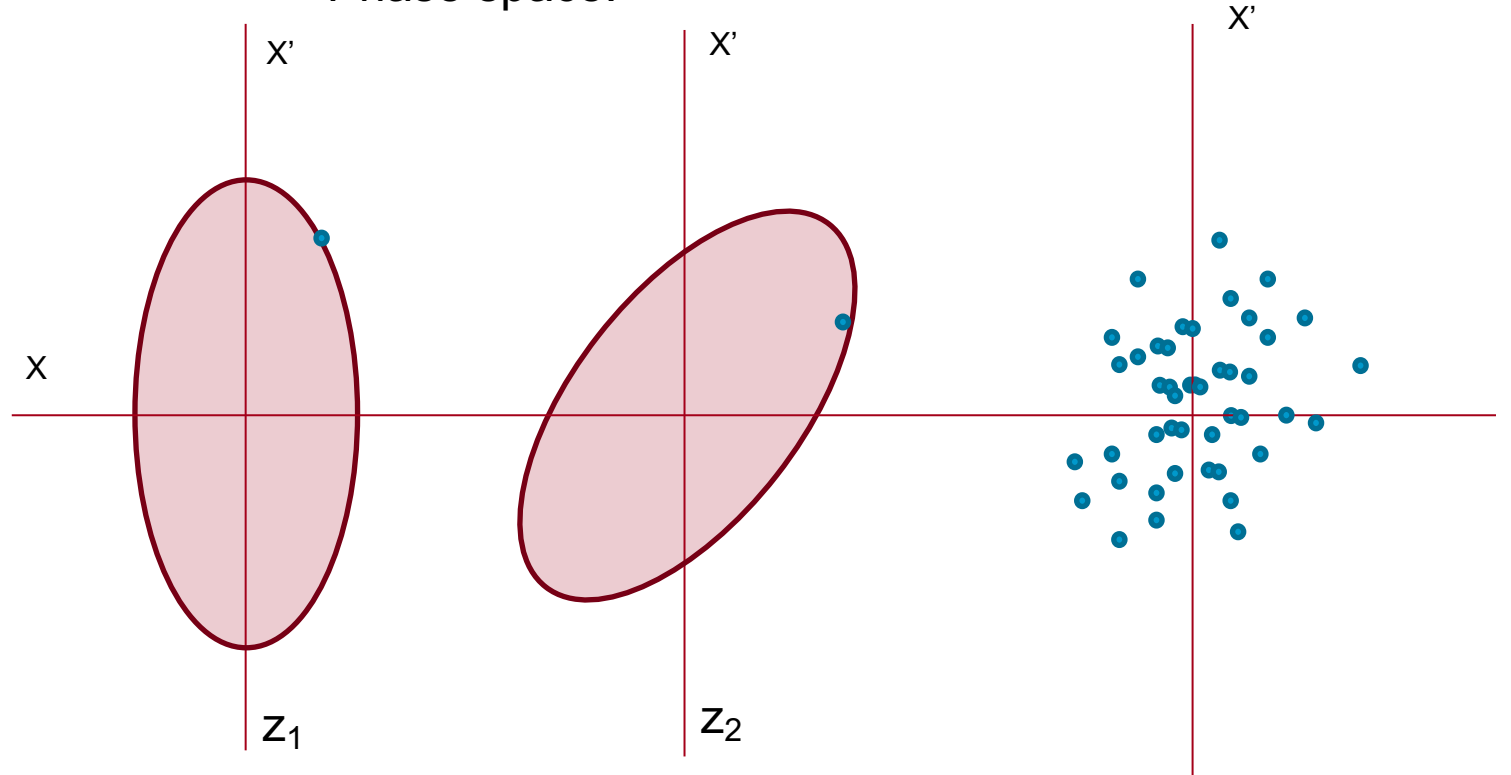
Photocathode



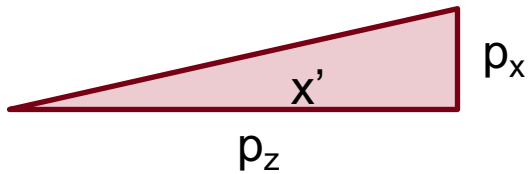
$$\varepsilon = \sqrt{\langle x^2 \rangle \langle p_x^2 \rangle - \langle xp_x \rangle^2}$$

$$\varepsilon = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

Phase space:

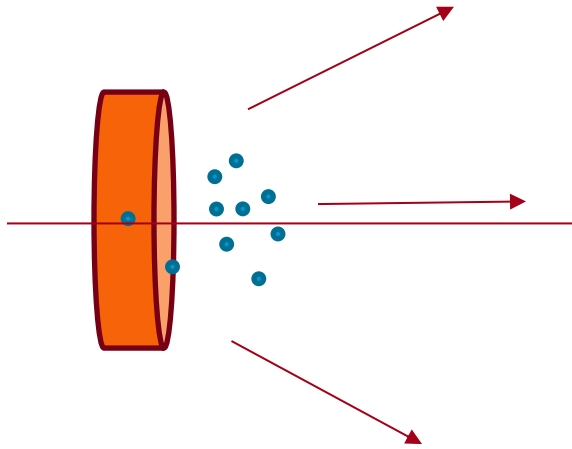


Note:  $x'$  is an angle, not pure momentum



# Thermal Emittance

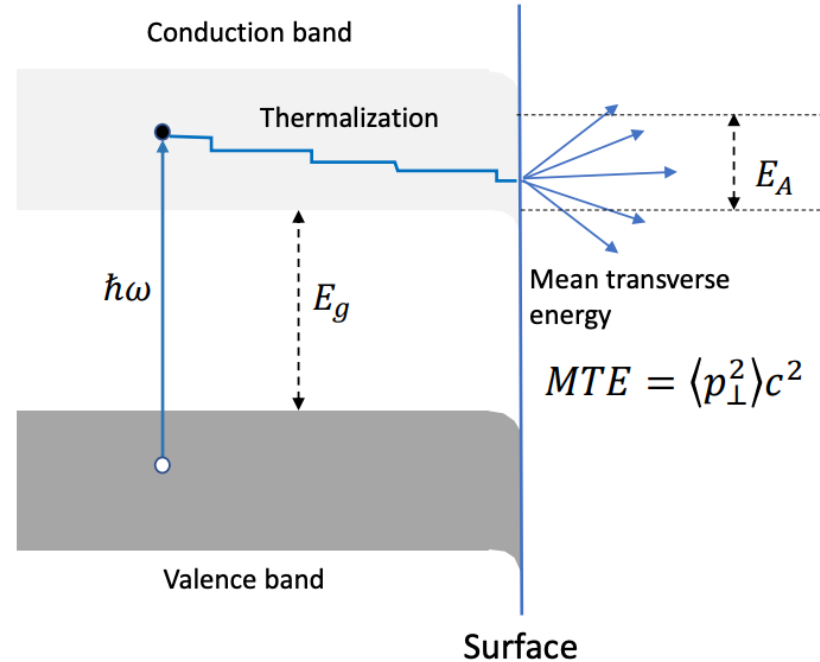
Photocathode



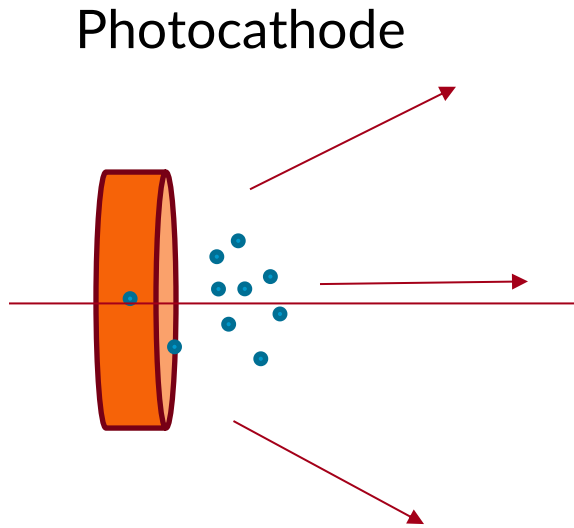
- Transverse momentum of emitted electrons.
- Depends on photocathode material.
- Sets the lower bound on emittance.
- Sets upper bound on brightness achievable.

$$\varepsilon_{nx,thermal} = \sigma_x \sqrt{\frac{MTE}{m_e c^2}}$$

$m_e$  = electron mass  
 $c$  = speed of light  
 $\sigma_x$  = beam size  
 MTE = mean transverse energy



# Other sources of Emittance



## Intrinsic (thermal) emittance

- Photocathode material/work function
- Laser energy
- MTE

## RF induced emittance

- Accelerating gradient
- Bunch length
- Electron phase

## Space charge emittance

- Beam energy
- Charge (peak current)
- Solenoid compensation
- Transverse & longitudinal dimensions

## Angular momentum

- Magnetic field at the cathode
- Transverse laser profile

$$\epsilon_{total} = \sqrt{\epsilon_{th} + \epsilon_{rf} + \epsilon_{sc} + \epsilon_B}$$

$\epsilon_{th}$  = thermal

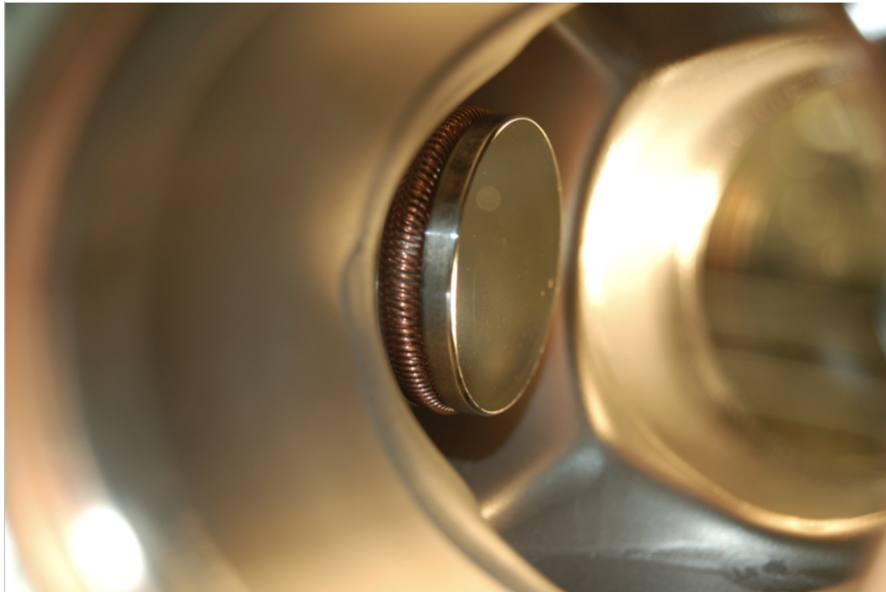
$\epsilon_{rf}$  = rf induced

$\epsilon_{sc}$  = space charge

$\epsilon_B$  = magnetic field

# Photocathodes: Materials

- The two main camps are metals and semiconductors.
- Common materials are Cu, Mg, Cs<sub>2</sub>Te.
- Semiconductors are favored for the lower work function, i.e. lower laser energy needed for similar amount of charge.

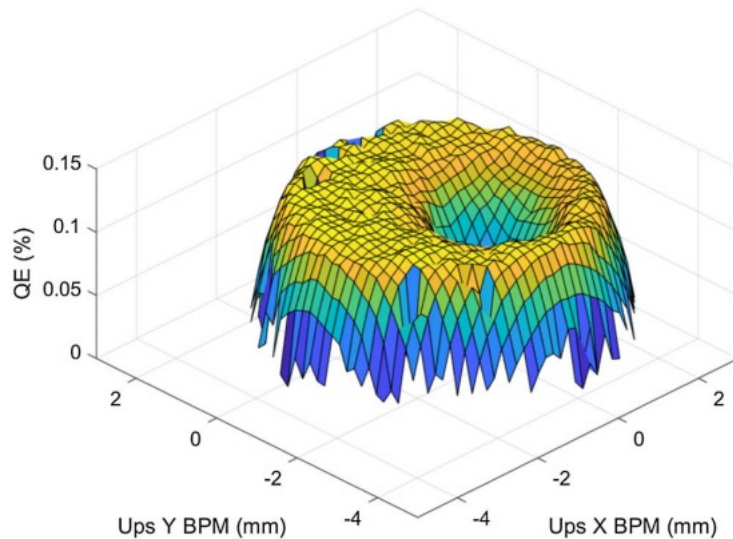


Source: AWA-ANL

	Cu	Mg	Cs <sub>2</sub> Te	Unit
$\hbar\omega$	4.9	4.7	4.7	eV
$\phi$ or $(E_g + E_A)$	4.4	3.6	3.9	eV
MTE	167	367	250	meV
$\frac{\varepsilon_{T,n}}{\sigma_x}$ calculated	0.4	0.8	0.7	um/mm
min. measured	0.7	0.4	0.6	

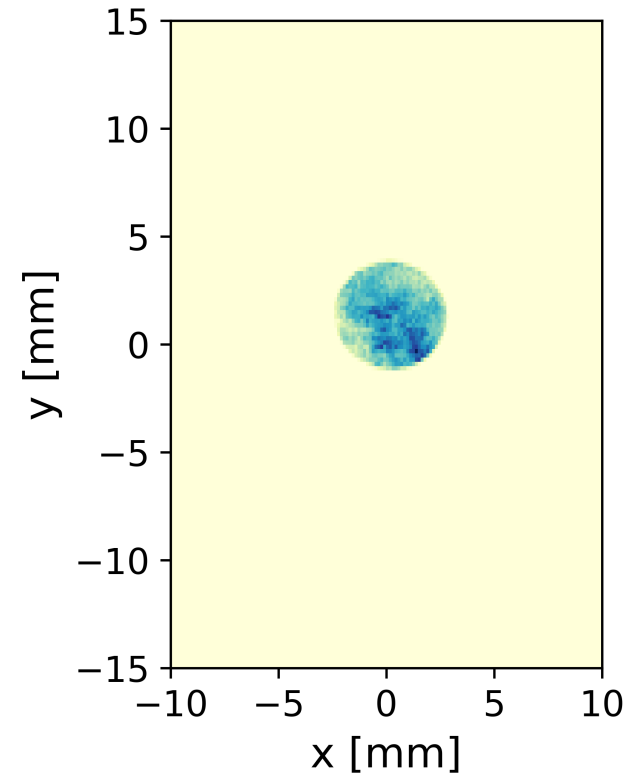
# Discussion

- Where do the particles come from?
- What are the advantages/disadvantages of photocathodes vs. thermionic?
- Why are certain cathode materials chosen?
- How does the QE change over time? Why?
  - How can this impact FEL performance?



<https://journals.aps.org/prab/pdf/10.1103/PhysRevAccelBeams.24.073401>

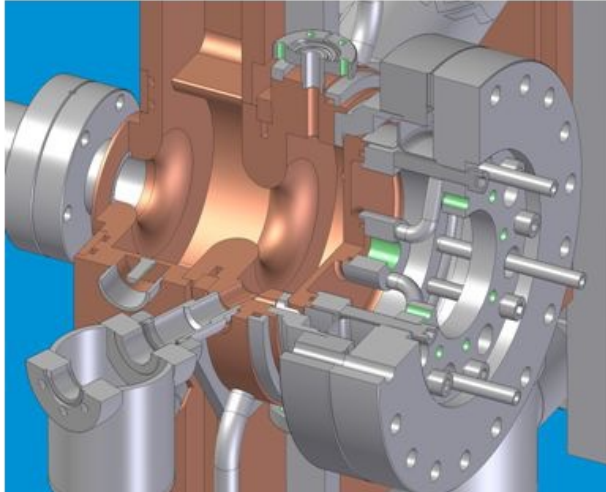
LCLS-II Virtual Cathode Camera Image



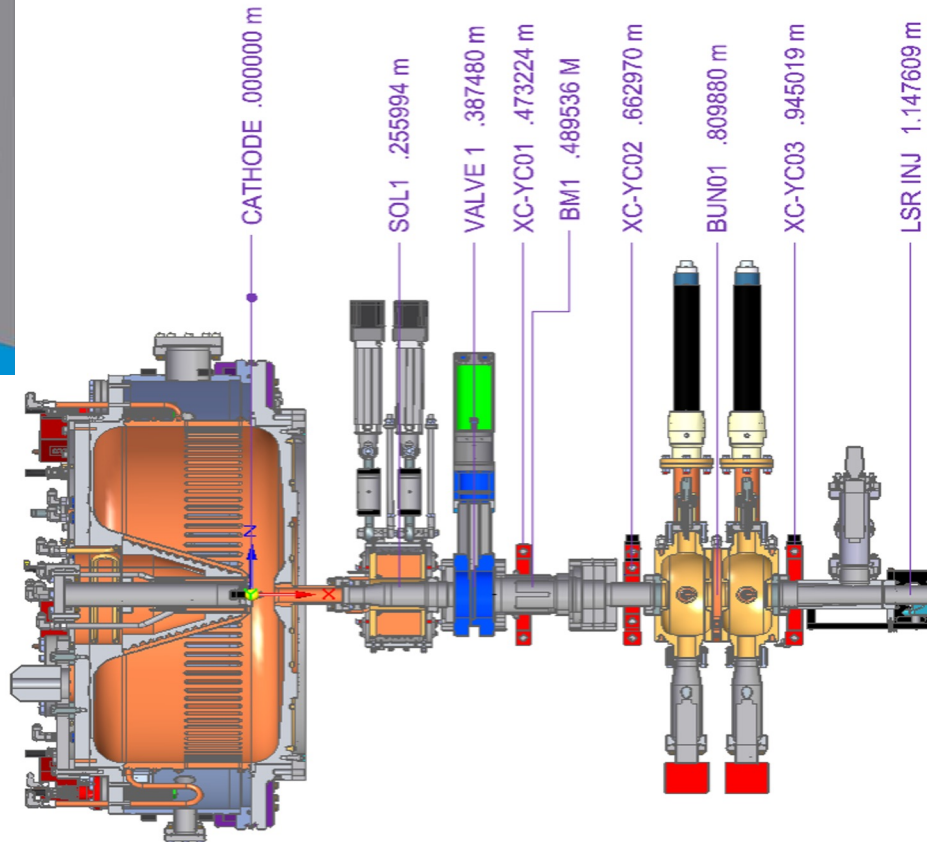
# Operating Photoinjector Designs

# Photoinjector Examples: SLAC

Cut-away view of LCLS Gun



Source: D. Dowell



## Linac Coherent Light Source (LCLS):

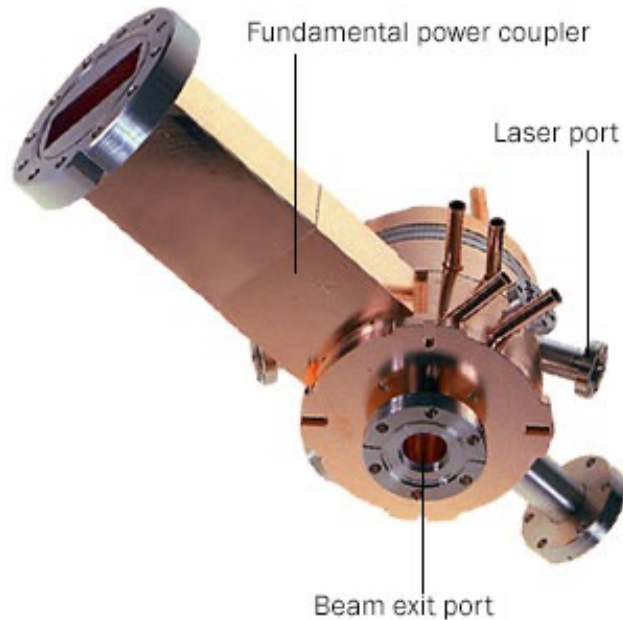
- 2.8 GHz, 1.5 cell, copper gun
- Repetition rate 120 Hz
- Gradient 120 MV/m
- Copper cathode
- 100-250 pC

## LCLS-II gun

- 187 MHz quarter cell, copper gun
- Repetition rate 1MHz
- 1.3 GHz two cell buncher
- Gradient 20 MV/m
- CsTe cathode
- 20-100pC



# Photoinjector Examples: ANL/BNL



## Accelerator Wakefield Accelerator Facility:

- Operates at 1.3 GHz
- 1.5 cell, copper cavity
- Repetition rate of 1-10 Hz
- CsTe cathode
- **1-100 nC** charge

## BNL Accelerator Test Facility:

- Operates at 2.586 GHz
- 1.6 cell, copper cavity
- Repetition rate of 1-10 Hz
- Copper cathode
- 0.1-1 nC charge

<https://www.bnl.gov/atf/beamlines/photoinjector.php>

# Summary



- Photoinjectors are used as the start of FELs.
- They consist of a laser system, photocathode, focusing elements, and accelerating cavities (gun).
- The emittance resulting from photocathode and injector parameters sets the upper limit on achievable brightness in an FEL.
- Common photoinjector gun designs include 1.5/1.6 cell guns, and quarter wave DC-like guns.